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1       **From experimental plots to experimental landscapes: topography, erosion and**  
2       **deposition in sub-humid badlands from Structure-from-Motion photogrammetry**

3  
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10  
11    **Abstract**

12  
13    In the last decade advances in surveying technology have opened up the possibility of  
14    representing topography and monitoring surface changes over experimental plots (<10 m<sup>2</sup>) in high  
15    resolution (~10<sup>3</sup> points m<sup>-1</sup>). Yet the representativeness of these small plots is limited. With  
16    ‘Structure-from-Motion’ (SfM) and ‘Multi-View Stereo’ (MVS) techniques now becoming part of the  
17    geomorphologist’s toolkit, there is potential to expand further the scale at which we characterise  
18    topography and monitor geomorphic change morphometrically. Moving beyond previous plot-scale  
19    work using Terrestrial Laser Scanning (TLS) surveys, this paper validates robustly a number of  
20    SfM-MVS surveys against total station and extensive TLS data at three nested scales: plots (<30  
21    m<sup>2</sup>) within a small catchment (4710 m<sup>2</sup>) within an eroding marl badland landscape (~1 km<sup>2</sup>). SfM  
22    surveys from a number of platforms are evaluated based on: (i) topography; (ii) sub-grid  
23    roughness; (iii) change-detection capabilities at an annual scale. Oblique ground-based images  
24    can provide a high-quality surface equivalent to TLS at the plot scale, but become unreliable over  
25    larger areas of complex terrain. Degradation of surface quality with range is observed clearly for  
26    SfM models derived from aerial imagery. The modelling findings of James and Robson (2014) are  
27    proven empirically as a piloted gyrocopter survey at 50 m altitude with convergent off-nadir  
28    imagery provided higher quality data than an UAV flying at the same height and collecting vertical

29 imagery. For soil erosion monitoring, SfM can provide comparable data to TLS only from small  
30 survey ranges (~ 5 m) and is best limited to survey ranges of ~10-20 m. Synthesis of these results  
31 with existing validation studies shows a clear degradation of root-mean squared error (RMSE) with  
32 survey range, with a median ratio between RMSE and survey range of 1:639, and highlights the  
33 effect of the validation method (e.g. point-cloud or raster-based) on the estimated quality.

34

35 **Keywords:** badlands; terrestrial laser scanning (TLS); Structure from Motion (SfM); topographic  
36 survey; sediment budget.

37

## 38 **1. Rationale**

39

40 Badlands can be described as well-dissected areas of unconsolidated sediment with sparse or  
41 absent vegetation that are unable to support agriculture (i.e. Bryan and Yair, 1982). These highly  
42 erodible landscapes make disproportionate contributions to catchment scale sediment budgets  
43 (e.g. García-Ruiz et al., 2008; López-Tarazón et al., 2012), control downstream processes in river-  
44 channels (e.g. Buendía et al., 2013) and, ultimately, can cause negative consequences to  
45 downstream infrastructure (e.g. reservoir siltation; Avendaño et al., 2000). Erosion risk maps and  
46 models (e.g. PESERA; Kirkby et al., 2004) can provide a broad-scale assessment of soil erosion  
47 rates, but any such models require calibration and validation using observed soil erosion rates  
48 under different environments (e.g. climatic conditions) and over representative (large) spatial  
49 scales (e.g. catchment scale). New techniques of topographic data acquisition have the potential to  
50 deliver this data. This study validates topographic data derived from Structure from Motion  
51 photogrammetry at three nested scales to assess the scale at which it can be applied in studies of  
52 soil erosion.

53

### 54 **1.1. Measuring erosion in dynamic landscapes**

55

56 A number of different methods of measuring and monitoring erosion exist. Erosion pins are used  
57 commonly to measure the erosion and deposition directly through observed changes in surface  
58 level at a given point (e.g. Clarke and Rendell, 2006; Della Seta et al., 2009; Francke, 2009).  
59 Despite the observed spatial variability in badland erosion rates (e.g. Kuhn and Yair, 2004; Solé-  
60 Benet et al., 1997), the point measurements are typically interpolated, but only over relatively small  
61 areas. Over similar-sized areas (up to ~10 m downslope length), bounded plots with sediment  
62 collectors catch exported sediment directly (e.g. Lázaro et al., 2008). Again, extrapolation of such  
63 plots is problematic (see Boardman, 2006; Boix-Fayos et al., 2006), collectors can fill up rapidly in  
64 highly erodible landscapes (Vericat et al., 2014), and data integrate all upslope processes at a  
65 single point. Sediment flux is often measured at gauging stations through continuous turbidity  
66 records (e.g. Cantón et al., 2001; Mathys et al., 2003) and at larger spatial and temporal scales  
67 still, repeat bathymetric surveys of reservoirs or check dams can provide estimates of sediment  
68 yield (e.g. de Vente et al., 2005; Batalla and Vericat, 2011). This indirect morphometric approach  
69 can also be applied to eroding surfaces at multiple spatial and temporal scales. Repeat  
70 topographic surveys have been used to measure soil loss volumes both at plot scales using  
71 microprofile meters (e.g. Descroix and Claude, 2002; Sirvent et al., 1997) and at large scales using  
72 Terrestrial Laser Scanning (TLS) (e.g. Vericat et al., 2014) or even larger by means of aerial  
73 photogrammetry (e.g. Ciccacci et al., 2008).

74

75 Each technique has different strengths and weaknesses, and each one may measure the result of  
76 different processes. Discrepancies between these methods have been noted previously (Poesen  
77 and Hooke, 1997). Nadal-Romero et al. (2011, 2014) compile sediment yield measurements over  
78 87 study sites of eroding Mediterranean badlands and found statistically significant differences in  
79 sediment yield measurements obtained from different methods. Yet since no single method covers  
80 all spatial scales it is possible that the reported differences in sediment yield between methods  
81 actually reflect the different processes that operate at different catchment sizes. At larger scales,  
82 footslopes and concavities and other sediment sinks become incorporated into the study area.  
83 Sediment connectivity becomes an important factor as the entire range of catchment processes is  
84 studied rather than just interrill erosion (Faulkner, 2008; Godfrey et al., 2008; Bracken et al., 2014).

85

86 Clarification of such scale dependencies requires the application of a single method of monitoring  
87 erosion over a wide range of spatial and temporal scales. A substantial advantage of the  
88 morphometric method (i.e. comparing topographic models obtained at different periods) is that sub-  
89 catchments, discrete areas, or even single grid cells of a large study area can be isolated and  
90 examined at no extra field cost. Airborne LiDAR has been already applied to examine the  
91 topographic structure of badland areas (Bretar et al., 2009; Lopez-Saez et al., 2011; Thommeret et  
92 al., 2010), while Vericat et al. (2014) recently presented the use of TLS to produce a fully  
93 distributed morphometric sediment budget of a small (36 m<sup>2</sup>) eroding badland area.

94

95 The challenge of using topographic survey techniques for erosion monitoring is to design and apply  
96 a methodology that provides meaningful and high-quality data over a range of spatial scales.  
97 Structure-from-Motion with Multi-View Stereo (SfM-MVS) offers a potential solution to the problem  
98 of acquiring such high resolution topographic data over a wide range of scales; however, validation  
99 of this technique at multiple scales is in its infancy.

100

## 101 **1.2. Validation of Structure-from-Motion**

102

103 Using a number of standard camera images of a single scene, Structure-from-Motion (SfM) can  
104 reconstruct simultaneously camera pose, scene geometry and internal camera parameters. Full  
105 details of different steps of the SfM-MVS workflow can be found in Lowe (2004), Snavely et al.  
106 (2008), Furukawa and Ponce, (2010) and James and Robson (2012). In short, features in each  
107 image are identified and matched. A bundle adjustment algorithm is used to produce jointly optimal  
108 estimates of 3D structure and viewing parameters (Triggs et al., 2000). This SfM sparse point  
109 cloud has been used as an end point in itself (e.g. Fonstad et al., 2013). However, SfM is often  
110 paired with multi-view stereo (MVS) which use the known camera locations to reconstruct a denser  
111 point cloud (see Furukawa and Ponce, 2010). Finally, the resultant dense point cloud must be  
112 given a scale and georeferenced using ground control points visible in images or point clouds. All

113 SfM-derived data products herein are technically SfM-MVS data, though, following the emerging  
114 convention, simply 'SfM' is also used as shorthand.

115

116 In combination, SfM-MVS provides high-resolution topographic data which, in recent years, has  
117 been applied and tested in a range of geomorphological settings including volcanic bomb hand  
118 samples (e.g. James and Robson, 2012), agricultural fields (e.g. Ouédraogo et al., 2014; Eltner et  
119 al., 2014), eroded gullies (e.g. Castillo et al., 2012; Frankl et al., 2015), exposed bars of braided  
120 rivers (e.g. Javernick et al., 2014), high water marks of recently flooded ephemeral rivers (e.g.  
121 Smith et al., 2014), submerged gravel bed rivers (e.g. Woodget et al., 2014), eroding cliffs (e.g.  
122 James and Quinton, 2013), alluvial fans (e.g. Micheletti et al., 2014), lava flows (e.g. Tuffen et al.,  
123 2013), glacial moraines (e.g. Westoby et al., 2012; Tonkin et al., 2014), landslide displacements  
124 (e.g. Lucieer et al., 2013), and volcanic craters (e.g. James and Varley, 2012).

125

126 Sub-grid data products extracted from point clouds are utilised increasingly in geomorphology (see  
127 Smith, 2014 for a review). Moreover, topographic change detection protocols, as described by  
128 Wheaton et al. (2010), utilise sub-grid roughness as an error term to determine the minimum level  
129 of detection of topographic changes estimated by differencing digital elevation models (DEMs)  
130 obtained at different periods. Thus, a thorough validation of the capability of SfM-MVS surveys to  
131 replace existing survey methods requires a detailed analysis of the precision of this approach at  
132 the scale required for a particular application.

133

134 Errors in SfM-MVS surveys are related to a number of factors, including the camera used  
135 (Micheletti et al., 2014), number and resolution of images acquired, distribution of perspectives in  
136 those images (James and Robson, 2014), processing software (particularly the number of  
137 parameters used in the camera model; James and Robson, 2012; Ouédraogo et al., 2014) and the  
138 distribution and quality of ground control points used for georeferencing (James and Robson,  
139 2012). However, although the source of error is variable, it appears that the range at which the  
140 pictures are acquired is a particularly important factor in determining the resultant errors, with sub-  
141 m range surveys (i.e.  $<10^0$  mm/pixel photography) exhibiting sub-mm errors and km-range surveys

142 (i.e.  $> 10^1$  mm/pixel) exhibiting m-scale errors. Clearly, the survey range achievable logistically is  
143 controlled by the spatial coverage of the surveys.

144

145 Overall, SfM has substantial potential to revolutionise the acquisition and accessibility of high  
146 resolution topographic data, potentially permitting the study of erosion rates over a range of spatial  
147 scales with a single technique. With a nested survey design and three scales of enquiry, ranging  
148 from experimental plots to experimental landscapes, this paper makes a substantial contribution to  
149 the validation of this approach. The aim of this study is to provide a detailed examination of the  
150 ability of SfM-MVS to represent topography and roughness and to detect reliably small topographic  
151 changes in a complex badland setting. To achieve this, the most extensive and detailed repeat  
152 TLS survey of an eroding badland conducted to date is used as a reference dataset.

153

154 Four specific objectives achieve this aim:

- 155 (1) To provide a robust validation of the capability of SfM-MVS as a high resolution topographic  
156 survey technique through quantitative analysis of standard derived topographic data  
157 products including (a) topography (DEMs); (b) sub-grid surface roughness; and (c)  
158 distributed topographic changes (erosion and deposition, i.e. sediment budgets);
- 159 (2) To examine the effect of survey range and extent on the results of (1);
- 160 (3) To examine the effect of the type of validation dataset on the results of (1);
- 161 (4) To integrate these findings with those of existing SfM-MVS validation studies to elucidate  
162 the scale-effects limiting the accuracy of SfM-MVS surveys.

163

164 The paper is structured as follows: the experimental badland is described in section 2. Field data  
165 collection is described in section 3.1. The post-processing steps are then described in section 3.2.  
166 Validation of topography is presented both for point-based total station data (section 4.2) and TLS-  
167 based DEMs (section 4.3). The latter is then used as a benchmark dataset against which to test  
168 the ability of SfM-MVS to represent sub-grid roughness (section 4.4) and topographic change  
169 (section 4.5). Finally, a synthesis of these results with those of recent SfM-MVS validation studies  
170 is presented in section 5.

171

## 172 **2. Study Area**

173

174 Eroding badlands provide an appropriate location validation of a topographic survey technique due  
175 to the complexity of their surfaces (e.g. slopes, aspect, dissection) and the variability of surface  
176 deformation rates (e.g. rill formation, head-cutting, deposition). A series of highly erodible badlands  
177 located at the Upper River Cinca (Central Pyrenees, Iberian Peninsula, Ebro Basin) were chosen  
178 for this study (Figure 1). The badlands are located at an average altitude of 600 m.a.s.l. and the  
179 local relief can be more than 15 m. The site has a Continental climate with an annual rainfall  
180 around 700 mm. Maximum rainfall is observed during spring and autumn. The average  
181 temperature is 11 °C. Temperatures below freezing are often registered in winter when freeze-thaw  
182 is a fundamental process controlling the erosion and transfer of sediment.

183

184 The selected badlands present steep slopes (near vertical in places) and a high degree of  
185 dissection. The presence of vegetation is limited: isolated shrubs are observed in gentle slopes  
186 while boxwoods and relatively young pines are present on low gradient upper surfaces (Figure 1C).  
187 The badlands are composed of highly erodible Eocene marls and sandstones. A sequence of  
188 marls with different degree of compactness is observed. Therefore, erosional processes are  
189 hypothesized to be highly complex and spatially variable. The study is focused in three embedded  
190 scales as can be seen in Figure 1: (i) plots (5 in total and between 8 and 30 m<sup>2</sup>) located within (ii) a  
191 small catchment (4710 m<sup>2</sup>) (Figure 1C) which in turn is located within (iii) a larger landscape-scale  
192 (~1 km<sup>2</sup>; Figure 1B).

193

194 The study landscape is rapidly eroding relative to other hillslopes in the area; however, the  
195 magnitude of the topographic change observed is small in comparison with that reported in gravel  
196 bed rivers or in areas subjected to landslides, for which morphometric sediment budgets are  
197 typically calculated. Therefore, the relatively low magnitude of the surface change represents a  
198 deliberately challenging test for SfM-MVS.

199

## 200 **3. Methods**

201

### 202 **3.1. Field Data Collection**

203

204 Two field campaigns were undertaken with an 11 month survey interval. The first survey took place  
205 over the 27<sup>th</sup> and 28<sup>th</sup> June 2013. The second took place over the 27<sup>th</sup> and 28<sup>th</sup> May 2014. A  
206 summary of the main methods used at each scale of enquiry is provided in Table 1. Two main data  
207 sets were obtained: (a) a series of photographs to derive point clouds by means of SfM; and (b) a  
208 series of validation data sets based on Terrestrial Laser Scanning and Total Station (TS) surveys.  
209 Details of the methods applied to obtain the data are provided in the following sections.

210

#### 211 *3.1.1. SfM-MVS image acquisition*

212

213 To quantify robustly the typical errors observed with SfM, a number of separate image sets were  
214 acquired from different platforms and at different altitudes (Table 1). A number of sources of error  
215 can be identified for SfM-MVS including the number of images used and their overlap, errors  
216 associated with processing (software and algorithms), imaging geometry, the characteristics of the  
217 camera used and the quality of the lens model. However, the focus herein is on the effect of survey  
218 range (i.e. altitude from where the pictures are taken); a fundamental issue for assessing the  
219 broader applicability of SfM in geomorphology since it determines indirectly the maximum  
220 capability of survey coverage and data resolution (i.e. closer-range images cover smaller areas for  
221 a given camera). The errors associated with range will determine the appropriate scales at which  
222 SfM can be deployed to investigate scale-dependent processes and, consequently, address  
223 geomorphological questions.

224

225 In 2013 two sets of ~350 images were taken (Table 1) at the small-catchment scale (Figure 1C).  
226 The first was ground-based, utilising only oblique photographs taken from around the perimeter

227 and hillcrests of the badland. Ground-based surveys are referred to as 'Oblique' surveys in the  
228 results. A Panasonic DMC-TZ65 (focal length 4 mm which is a 35-mm equivalent of 25 mm; 10  
229 Mpx) was used in this campaign. The second sequence of pictures was taken aerially from a UAV;  
230 a remote controlled hexacopter DJI F550. In this case, a Ricoh CX5 (focal length 5 mm which is a  
231 35-mm equivalent of 28 mm; 10 Mpx) camera was suspended from underneath the UAV with a  
232 vertical viewing angle. These two cameras are very similar; the key difference was that the Ricoh  
233 camera had an intervalometer. The mean flying height was 47 m above ground. The camera was  
234 set up to take a picture every 5 seconds (interval timer, auto shooting). This survey is referred to as  
235 the 'UAV' survey in the results.

236

237 In 2014 a different set of images was obtained for each of the three study scales: plot, small-  
238 catchment and landscape. Five plots were imaged from the ground at around 5 m range (between  
239 25 and 33 oblique images taken by hand). The same Panasonic DMC-TZ65 was used for this  
240 image set. Four independent sets of images were obtained at the small catchment scale (Table 1).  
241 First, the oblique survey of 2013 was repeated taking imagery along exactly the same route and  
242 using the same camera as in 2013. In addition, three aerial surveys were conducted at different  
243 altitudes. Images were taken from on-board a piloted AutoGiro (or gyrocopter). Off-vertical images  
244 were taken to avoid the doming effect described in James and Robson (2014). Flight paths were a  
245 sequence of parallel flight strips (previously designed based on flight altitude and camera  
246 specifications) spaced ~70 m apart, with ~3 additional perpendicular strips added to maximise the  
247 coverage and overlap between pictures. Images in a flight strip were ~ 10 m apart. Target flying  
248 heights of 50 m, 150 m and 250 m were designed for the three surveys; however, owing to the  
249 topographic variability of the ground, each survey contained a range of viewing heights. Final mean  
250 flying heights were 70 m (SD = 16 m), 170 m (SD = 25 m) and 270 m (SD = 19 m) respectively.  
251 Finally, to obtain the images required for the landscape scale study, the two AutoGiro flights at 150  
252 m and 250 m above the ground were extended to cover an area of around 1 km x 1 km (Figure  
253 1B). The 50 m altitude AG survey resulted in 149 images of the small catchment while the 150 m  
254 and 250 m altitude AG surveys of the 1 km<sup>2</sup> area resulted in 527 and 138 images respectively.  
255 With the camera operator taking images manually, a heavier camera could be used than from the

256 UAV; however, previous camera intercomparison experiments (Thoeni et al., 2014; Micheletti et  
257 al., 2014) show little difference between compact cameras and DSLRs. All images taken from the  
258 AutoGiro were obtained by means of a Nikon D310 SLR (focal length 55 mm which is a 35-mm  
259 equivalent of 25 mm; 14 Mpx). The improved image resolution of the Nikon was considered  
260 necessary to support the 250 m altitude surveys and locate GCPs. These surveys are referred to  
261 as 'AutoGiro' (AG) surveys in the results and the altitude of each is also stated to distinguish the  
262 data sets (e.g. AG 250 m).

263

264 A primary control network based on 4 benchmarks was established. Coordinates were obtained by  
265 means of a Leica Viva GS15 GNSS base station and post-processed using Rinex data from 5  
266 stations of the Spanish National Geographic Institute (IGN) and the Spatial Data Infrastructure of  
267 Aragon (SITAR). The data quality of the coordinates of the benchmarks (3d quality) was, on  
268 average, 0.006 m, with a standard deviation of 0.0017 m. This primary network was used to  
269 register all surveys conducted in 2013 and 2014 to the same coordinate system.

270

271 Three different secondary networks of Ground Control Points (GCPs) were set up in relation to the  
272 scale of the study. Five 200 x 200 mm red targets with a central 50-mm diameter disk-mark were  
273 used for the plot scale and surveyed by means of a Total Station (TS). For the small-catchment  
274 scale, in both 2013 and 2014, a network of 30 GCPs was surveyed with a Leica Viva GS15 RTK-  
275 GPS. In this case, black 1 m x 1 m targets with a yellow cross were laid in a grid over the full  
276 catchment, similar to those used by Vericat et al. (2009) and Westoby et al. (2012). A local GPS  
277 base was set up at one of the benchmarks transmitting corrections to the RTK-Rover system.  
278 Small catchment GCPs were surveyed with 3d qualities between 0.009 and 0.014 m. Finally, at the  
279 landscape scale, the 200 x 200 mm red targets were used. The size and colour of the targets were  
280 chosen based on an experiment to determine the minimum target size that could be resolved using  
281 the Nikon D3100 camera from 250 m above the ground. A total of 80 GCPs were placed  
282 throughout the 1 km<sup>2</sup> badland area and surveyed with a Leica Viva GS15 RTK-GPS (3d qualities <  
283 0.05 m).

284

285        *3.1.2. Validation Datasets*

286

287 Validation datasets were based on TLS and TS topographic surveys. A Leica ScanStation C10  
288 TLS was used to provide high resolution topographic data across the field site in both 2013 and  
289 2014. The C10 uses a 532-nm pulsed laser with stated precisions of 6 mm for position, 4 mm for  
290 distance, and 60  $\mu$ rad for angles (one standard deviation; Leica Geosystems, 2011). The  
291 maximum data acquisition rate is 50000 points per second while the maximum survey range is 300  
292 m. Although the reported minimum point spacing is < 1 mm, the laser point spread function is 4  
293 mm over a range of up to 50 m. The small catchment area was surveyed from 12 different stations  
294 to minimise and eliminate gaps caused by occlusion. For consistency, survey markers were placed  
295 at each station to ensure that the same locations were used for the TLS surveys in each year.  
296 Plots were also surveyed and were positioned close to TLS stations. A target-based registration  
297 was performed using a floating network of tripod-mounted Leica targets (i.e. 6" circular tilt and turn  
298 blue/white targets). This floating network was registered using the primary control network  
299 described above. The coordinates of the targets were obtained by means of a reflectorless Leica  
300 TPS1200 Total Station. All TS surveys were performed by averaging 10 consecutive  
301 measurements with standard deviations always < 0.004 mm. The mean absolute scan registration  
302 errors were 3 mm and 2 mm in 2013 and 2014 respectively. All topographic data were  
303 georeferenced to a geographic coordinate system (ED50 UTM31N) using the primary control  
304 network.

305

306 The 2014 TLS dataset is used to validate SfM-MVS surveys, conducted concurrently. In addition,  
307 as an independent dataset to provide an additional validation, 515 points within the small  
308 catchment and 215 across the landscape-scale area were also surveyed with the reflectorless TS.  
309 Errors on the TS surveys were in the sub-centimetre range.

310

311        *3.1.3. Validation metrics*

312

313 Differences between SfM-derived topographic data and the validation datasets were investigated  
314 using the following metrics: (i) mean error (ME); (ii) mean absolute error (MAE); (iii) root mean  
315 squared error (RMSE); and (iv) standard deviation of error (SD).

316

## 317 **3.2. Post-Processing**

318

### 319 *3.2.1. Obtaining SfM and TLS-based point clouds*

320

321 Photographs were inspected manually and any blurred images were deleted. The remaining  
322 photographs were imported into Agisoft Photoscan Professional 1.0.4. This software package  
323 identifies keypoints using an algorithm based on the Scale Invariant Feature Transform (SIFT)  
324 object recognition system outlined in Lowe (2004). Once the SfM process was complete, estimated  
325 camera positions were inspected for misalignment and any misaligned images were removed.  
326 Such images typically resulted from insufficient overlap with other photographs, from objects that  
327 were not static during the image acquisition (e.g. vegetation, moving shadows), or from  
328 approximations in the keypoint matching process. GCPs were then identified in the image set and  
329 their GPS coordinates were imported. A linear similarity transformation was performed to scale and  
330 georeference the point clouds and the transformation was then optimised; a process where camera  
331 parameters and 3D points are adjusted to minimize the sum of the reprojection error and the  
332 georeferencing error (Agisoft, 2012; Javernick et al., 2014). A MVS dense reconstruction was then  
333 performed to produce the final SfM-MVS point clouds.

334

335 TLS point clouds obtained from the 12 stations were registered using Leica Cyclone 8.0. Both TLS  
336 and SfM point clouds were cropped to include only the area of interest. Specifically, at the plot  
337 scale, surveyed areas were limited to mostly bare soil, but any small shrubs were removed  
338 manually. At the small catchment scale, large trees and shrubs were also removed from the point  
339 clouds manually. In addition, a mosaicked orthophoto of the small catchment was derived from the  
340 AutoGiro flight at 50 m altitude. This orthophoto was extracted by means of Agisoft Photoscan  
341 Professional 1.0.4 after scaling and georeferencing. From this orthophoto (Figure 1C), polygons

342 were defined manually to mask out areas of vegetation which were excluded from analysis. At the  
343 landscape scale, no such data cleaning took place as the TS validation was limited to bare areas  
344 and, consequently, was unaffected by vegetation.

### 345 346 *3.2.2. Extracting ground surface and sub-grid topographic statistics*

347  
348 The open-source topographic point cloud analysis toolkit (ToPCAT) was used to unify point  
349 densities, extract ground-elevations and, consequently create DEMs from georeferenced 3d point  
350 clouds. Brasington et al. (2012) and Rychkov et al. (2012) give a full description of this intelligent  
351 decimation method and provide several examples of its application. While developed originally for  
352 use with TLS data, it has been used with SfM-MVS datasets previously (Javernick et al., 2014;  
353 Smith et al., 2014). ToPCAT was run to extract sub-grid topographic statistics at a 0.1 x 0.1 m  
354 resolution in case of the plot and small catchment scales. Several statistics (mean elevation,  
355 minimum elevation, maximum elevation, etc.) of the point clouds were obtained within each 0.1 x  
356 0.1 m grid cell. Owing to the large area under investigation, the landscape-scale point clouds were  
357 post-processed at 1 x 1 m resolution. In each case, the mean elevation of each grid cell was used  
358 to generate a DEM.

359  
360 Additional sub-grid scale statistics were also calculated using ToPCAT. For each cell, a  
361 neighbourhood triangular tessellation based on mean elevation in each cell was used to construct  
362 the local surface and detrend all points within the central grid cell (see Brasington et al., 2012). The  
363 detrended standard deviation of elevations  $\sigma_d$  was then calculated in each cell. Given the  
364 proliferation of use of  $\sigma_d$  as a roughness metric across the Earth Sciences (Smith, 2014),  $\sigma_d$  is an  
365 appropriate choice of roughness metric for this study.

### 366 367 *3.2.3. Comparing DEMs and assessing a minimum Level of Detection (minLoD)*

368  
369 DEMs of the small-catchment were compared to investigate erosion and sedimentation patterns,  
370 and assess the net topographic change during the 11 months between surveys (as a proxy of the

371 sediment yield). Three independent estimates were calculated: (i) differencing TLS-based 2013  
372 and 2014 DEMs; (ii) differencing oblique, ground-based SfM DEMs from 2013 and 2014; and (iii)  
373 differencing SfM-based DEMs from the lowest aerial surveys (50 m flying altitude, see Table 1). To  
374 calculate topographic changes between the two survey periods the old DEM was subtracted from  
375 the new DEM to create a DEM of Difference (DoD) where negative values indicate a lowering of  
376 topography (erosion) and positive values represent sedimentation. The significance of these  
377 changes will be controlled by the errors and topographic uncertainties in each DEM. In the case of  
378 this study, following the approach described by Brasington et al. (2000), a threshold minimum level  
379 of detection was applied to distinguish between real topographic change and artefacts arising from  
380 errors/uncertainties in the two DEMs (see also the more recent studies of Brasington et al., 2003;  
381 Wheaton et al., 2010; Vericat et al., 2014). The minimum level of detection for real topographic  
382 change (i.e. minLoD), was calculated as:

383

$$\text{minLoD} = t[\varepsilon_{DEM1}^2 + \varepsilon_{DEM2}^2]^{0.5}$$

384

385 where  $t$  is the critical  $t$  value for a given confidence interval and  $\varepsilon_{DEMi}$  the errors associated to the  
386 new ( $i = 1$ ) and old ( $i = 2$ ) DEMs. Using the 90% confidence interval,  $t = 1.65$ . For each DEM the  
387 sub-grid roughness value  $\sigma_d$  was applied to represent  $\varepsilon_{DEMi}$  as the sub-grid topographic variability  
388 in the point cloud may be the largest source of uncertainty in the ground estimate. This technique  
389 yields a spatially distributed threshold minimum level of detection based upon local topographic  
390 roughness where small changes can be resolved more reliably on smooth surfaces than rough  
391 surfaces. Observed changes below the minLoD were filtered out of each DoD and considered  
392 unreliable.

393

#### 394 **4. Results**

395

396 Results are divided into 5 sections: section 4.1 outlines the errors involved in registering and  
397 georeferencing TLS and SfM-based datasets. Validation of both 2014 TLS and SfM-derived

398 topographic models (DEMs) with point-based measurements acquired through a TS survey is  
399 presented in section 4.2. The TS point measurements are considered to represent the true ground  
400 elevation. The validation is performed for the 2014 datasets over the three study scales to assess  
401 the role of survey range on survey quality. In section 4.3, TLS and SfM-based DEMs obtained in  
402 2014 are compared at plot and small-catchment scales. In this case the TLS model is considered  
403 to represent the true ground surface estimate. The sub-grid scale topographic variability (i.e.  
404 roughness) of TLS and each SfM-based point cloud obtained for the 2014 datasets at the plot and  
405 small-catchment scales are compared in section 4.4. Finally, a demonstration of the change  
406 detection capabilities of TLS and SfM at the small-catchment scale is presented in section 4.5  
407 through differencing of the DEMs obtained in each year.

408

#### 409 *4.1. Registration and georeferencing of point clouds*

410

411 In both 2013 and 2014, a total of 12 TLS scans were merged to create the full topographic model  
412 at the small catchment scale using a target-based registration as explained above. Average  
413 registration errors were 3 mm (2013) and 2 mm (2014) (Table 2). The georeferencing error of the  
414 targets was < 2.2 mm. Both TLS point clouds contained over 300 Mn points resulting in an average  
415 point density of >6.7 points per cm<sup>2</sup>.

416

417 SfM surveys at the small-catchment scale typically employed around 20 GCPs. Reported 3d errors  
418 range from 0.06 m to 0.21 m. The relatively high errors reported in the oblique (i.e. ground-based)  
419 2014 survey reflect poor matches in the upper catchment, which was excluded from analysis owing  
420 to a low point density and presence of unreliable mismatched imagery. Excluding GCPs from the  
421 upper catchment reduces this error to 0.109 m. Relatively high georeferencing errors were also  
422 reported in the higher altitude AutoGiro (AG) surveys; however, for these surveys additional targets  
423 distributed over the 1 km<sup>2</sup> landscape-scale were used for georeferencing. Using only GCPs over  
424 the catchment-scale reduces this 3d error. At the plot scale, much lower 3d errors were reported.  
425 In this case 5 targets were used to georeference each plot survey with one target in each vertex of

426 the plot and one extra GCP for redundancy. Such a perimeter-distribution was one of the optimal  
427 distributions observed by Vericat et al. (2009) when georeferencing aerial imagery.

428

429 The ability to georeference such surveys accurately is a fundamental aspect of an examination of  
430 SfM to produce reliable change detection estimates; however, it has the potential to affect greatly  
431 the comparison of topographic models in section 4.5 (see Micheletti et al., 2014). As such,  
432 topographic data products were produced for each survey to check for any systematic  
433 misalignment against the TLS datasets that would dominate results. Aspect and flow accumulation  
434 rasters were compared and no systematic georeferencing problems were observed (with a 0.1 m  
435 grid size).

436

#### 437 4.2. *SfM and TLS validation based on Total Station Surveys*

438

439 External validation of both TLS and SfM-based surveys obtained in 2014 is provided by 515 TS  
440 survey points within the small-catchment, and an additional 215 points distributed over the  
441 landscape scale area. The plot scale SfM surveys (gridded at 0.1 x 0.1 m) were validated against  
442 TS point-based surveys (Table 3). No TS validation points were located within Plot 5. Plot-scale  
443 MAE values were in some cases an order of magnitude lower than those observed for the results  
444 from the aerial surveys (i.e. AG) and in all but one case, lower than the reported errors for the TLS  
445 survey (Table 2). This close fit is also reflected in the RMSE values (see Table 3; Figure 3A).

446

447 The distributions of errors for each small-catchment scale survey are displayed in Figure 2 and the  
448 errors for all surveys at each scale are summarised in Table 3 and Figure 3A. At the small  
449 catchment scale, the MAE between the gridded TLS DEM and the TS survey points was 0.03 m. In  
450 comparison, the reported MAE for the SfM surveys increased with survey altitude ranging from  
451 0.07 m (AG50 m) to 0.18 m (AG250 m). The oblique survey demonstrated a higher MAE than the  
452 lowest aerial survey with a large number of points surveyed as being considerably lower than the  
453 validation dataset (Figure3A). From visual inspection of the oblique SfM DEM, a patch where

454 images were matched incorrectly can be observed (also seen in Figure 4A). Other error metrics  
455 follow a similar pattern (Table 3).

456

457 Finally, the 1 m resolution AG150 m and AG250 m landscape-scale DEMs were validated against  
458 all 730 TS survey observations. Errors are increased substantially; while this increase may reflect  
459 greater unreliability of the SfM surveys outside of the small catchment, it also reflects the greater  
460 grid size used to produce the DEM. This issue is discussed further in section 5, and highlights the  
461 need for a robust validation of SfM surveys against co-incident TLS-derived point clouds.

462

#### 463 4.3. *SfM validation based on TLS Digital Elevation Models*

464

465 Differences between each SfM-based DEM and the DEMs produced from the TLS datasets are  
466 summarised in Table 4 and Figure 3b. Differences between SfM and TLS-based DEMs (i.e.  
467  $DoD_{SfM-TLS}$ ) at the plot scale were very small, with generally sub-centimetre MAE. RMSE values  
468 between the cells of the plot scale data are all  $<0.02$  m. These values are an order of magnitude  
469 lower than those found at the small catchment-scale (Table 4). Again, the lowest altitude ( $\sim 50$  m)  
470 SfM aerial survey showed the lowest errors when compared against the concurrent TLS data (MAE  
471 = 0.055 m; RMSE = 0.080 m). All error metrics increased with the altitude at which pictures were  
472 taken. Finally, the oblique ground-based SfM survey exhibited intermediate error metrics (Table 4).  
473 Notably, the UAV survey in 2013 exhibits much greater errors (MAE = 0.218 m, RMSE = 0.308 m)  
474 than the 50 m survey which was at a similar height and indicates a clear systematic error with this  
475 SfM model (Figure 4E).

476

477 In common with the TS validation (section 4.2), the distribution of errors for the Oblique SfM survey  
478 (Figure 5a) reveals a large area where the SfM DEM was lower than the TLS DEM in the stretching  
479 of positive errors. Examination of the spatial pattern of these differences (Figure 4A) identifies  
480 several areas of strong positive errors (i.e. SfM DEM is lower than the TLS DEM) mostly in the  
481 upper part of the catchment, but also with clear patches in the centre of the study area. The lowest  
482 altitude SfM aerial survey also underestimates terrain height over most of the catchment (Figure

483 4B), but this difference is relatively minor (see histogram). The survey overestimates the height of  
484 some thalwegs in the catchment, suggesting that the model is least reliable here.

485

486 The models obtained with pictures taken from the AutoGiro at 150 m and 250 m altitude  
487 overestimate the terrain height across much of the study area (Figure 4C–D; Table 4). Examination  
488 of the spatial distribution of errors (Figure 4C–D) highlights clearly a strong spatial pattern that  
489 appears related to the topographic variability, particularly in the lower parts of the study catchment.  
490 A profile taken over this area of pronounced topographic variability (i.e. high local relief) clarifies  
491 the nature of these errors (Figure 5).

492

493 While at first, the patterns in Figure 4D appear to resemble georeferencing errors in a zone of  
494 steeply sloping terrain, Figure 5 demonstrates that the models are well aligned. The AG50 m DEM  
495 corresponds closely with the TLS survey, as is also the case for the oblique survey, though clear  
496 areas of underestimated terrain height can be seen in the latter (e.g. at around 4 m on the profile).  
497 The higher SfM-based data are not able to represent fully the range of elevations, underestimating  
498 ridge elevations and overestimating thalweg elevations (despite an estimated pixel size of the  
499 images at around 0.025 m at the highest flying altitude). The increased variability in mean elevation  
500 in each grid cell with flying height is also pronounced (e.g. at 15 m in Figure 5). Such a loss of  
501 precision is investigated in section 4.4.

502

#### 503 4.4. *Differences in sub-grid topographic variability*

504

505 An increasing number of studies are utilising the sub-grid variability of topography, or roughness, to  
506 infer process or as error terms in the case of change detection (as demonstrated in section 4.5).  
507 Thus, it is instructive to compare the topographic variability within each grid cell, specifically the  
508 detrended standard deviation taken as a metric of roughness. Increased sub-grid topographic  
509 variability will reflect either real surface roughness or the survey precision; the two components are  
510 combined in a sub-grid roughness metric (on a flat surface, sub-grid roughness would reflect  
511 instrument precision alone). The assumption here is that where real surface roughness has been

512 captured by the higher precision instrument (i.e. the TLS) higher roughness values obtained with  
513 different survey methods broadly (though not directly) indicate survey precision. The distribution of  
514 roughness values in each survey is summarised in Table 5 along with summary statistics of cell-  
515 by-cell differences between TLS and SfM-based surveys at the plot and small-catchment scales.  
516 The spatial and statistical distributions of small catchment scale roughness values is displayed in  
517 Figure 6A-D and Figure 6E-H while cell-by-cell differences between each SfM-based survey and  
518 the TLS survey are presented in Figure 6I-K.

519

520 At the plot scale, sub-grid roughness in the TLS and SfM surveys are comparable. SfM surveys  
521 more frequently exhibit smaller roughness values overall which may indicate higher precision of the  
522 data set (or may alternatively reflect smoothing as part of the MVS algorithm). Indeed, the  
523 distribution of plot-scale TLS roughness contains a small number of cells with high roughness  
524 values which are not observed with SfM and could indicate the presence of 'mixed pixels'.

525

526 At the small-catchment scale, both the mean and standard deviation of sub-grid roughness in TLS  
527 2014 and AG50 m surveys are comparable and only marginally higher in the oblique SfM survey.  
528 Figure 6 demonstrates that the distributions of these values are similar. The spatial patterns of  
529 roughness in Figure 6A-D indicates that the TLS and AG50 m SfM surveys are picking out similar  
530 patterns, while the oblique survey exhibits additional patches of high roughness values. These high  
531 roughness patches are broadly co-incident with the areas of mean elevation underestimation (Figure  
532 4A) in the oblique survey, and are a consequence of mismatched imagery creating two surfaces at  
533 the same location at different elevations, increasing the range of elevations (and thus the sub-grid  
534 roughness) while lowering the mean elevation value used to derive the DEM. Despite being  
535 acquired from a similar survey range to the AG50m data, the 2013 UAV data is much rougher than  
536 the concurrent TLS data.

537

538 Figure 6D shows that the distribution of sub-grid roughness is clearly different for the higher  
539 altitude SfM aerial surveys with much higher values reported (Table 5). It should be noted that only  
540 grid cells with >3 survey points were included in the roughness analysis. This criterion limited the

541 number of cells included from the AG150 m and AG250 m surveys. Nevertheless, it is clear from  
542 Figure 6D that the populated roughness values are much higher than observed by the TLS and so  
543 are likely to be dominated by a reduction in precision of the SfM point cloud even at 150 m altitude,  
544 particularly in the topographic lows, as seen in Figure 5. With only 102 sufficiently populated cells  
545 for roughness analysis, the distributions of roughness for the AG250 m SfM survey are not  
546 presented in Figure 5.

547

548 Cell-by-cell comparisons (Figure 6I-K) show considerable scatter at lower roughness values for  
549 both TLS and SfM-based surveys, suggesting that no agreement exists between the TLS and SfM  
550 data sets. The lack of agreement may reflect the uncertainty of the data sets which is relevant at  
551 such small sub-grid scales. Where higher sub-grid roughness is observed ( $\sim 0.2$  m) agreement can  
552 be seen, though this breaks down with increasing altitude.

553

#### 554 4.5. *Topographic change detection*

555

556 The ability of SfM-MVS surveys to detect topographic change is compared against TLS-based  
557 results (i.e.  $DoD_{TLS2014-TLS2013}$ ). While relatively large in comparison with other hillslope areas, the  
558 typical topographic changes observed over 11 months in a rapidly eroding badland are moderate in  
559 comparison with more dynamic higher-energy systems (e.g. gravel-bed rivers) to which this  
560 morphometric method is more often applied (e.g. Wheaton et al., 2013).

561

562 For TLS data, the number of cells above the minLoD is relatively low indicating that most  
563 topographic changes between surveys are in the range of the uncertainty of the surveys. The final  
564 DoDs created from the TLS data demonstrate relatively small areas of detectable topographic  
565 change focused in the thalwegs and flow lines of the small catchment (Figure 7A). This extensive  
566 TLS-derived morphometric sediment budget covers an area over 100 times larger than that  
567 presented previously by Vericat et al. (2014). Volumetrically, erosion was twice than deposition,  
568 with a catchment average topographic change of  $-1.44 \text{ mm a}^{-1}$  (Table 6). As expected, much of this

569 change is dominated by relatively small topographic differences between the two models,  
570 particularly in areas of deposition, which tend to be less pronounced but more widespread.

571

572 The magnitude of the measured topographic change increases when SfM-based surveys are used  
573 to estimate the morphometric sediment budget. While the overall catchment average topographic  
574 change calculated from ground-based SfM might at first appear to be reasonably accurate (-2.19  
575 mm a<sup>-1</sup>, Table 6), examination of the volumes of estimated erosion and deposition reveals that both  
576 figures are largely overestimating the real changes, resulting from insufficient accuracy. Similar  
577 overestimates are evident for the aerial surveys, which is to be expected given errors reported in  
578 the earlier topographic validation.

579

580 There is little relation between the TLS-derived DoD and the SfM-derived DoDs with considerable  
581 reconstruction error observable throughout the study area. Clear patterns of systematic error can  
582 be seen through the catchment. Quantitative comparison of the DoD derived from oblique ground  
583 based imagery (Figure 7B) with the DoD derived from TLS surveys reveals a ME of -38.97 mm, a  
584 MAE of 158.28 mm, an RMSE of 301.93 mm and a SDE of 299.41 mm. In comparison, the DoD  
585 derived from the aerial image at 50 m above the ground (Figure 7C) demonstrated much lower  
586 error metrics of ME = 2.51 mm, MAE = 134.54 mm, RMSE = 194.35 mm and SDE = 192.72 mm.  
587 Comparison of Figures 7C and 4E identified the 2013 UAV survey as the source of this error. For  
588 both datasets, these errors are too large to resolve annual topographic changes associated with  
589 badlands at this scale, though two datasets of the same quality as the AG50m imagery would  
590 enhance the ability of aerial imagery to resolve changes of <0.1 m.

591

## 592 **5. Discussion**

593

594 As a survey method, SfM-MVS can be implemented easily across a particularly wide range of  
595 scales (see Figure 8). This capability offers the potential for relatively standardised measurements  
596 of topography over a range of spatial and temporal scales. The validation study presented herein,

597 aimed to clarify typical errors expected from SfM-MVS surveys, by conducting multiple nested  
598 surveys of the same area at a number of scales and over a number of platforms. Repeat TLS  
599 surveys covering a catchment of over 4000 m<sup>2</sup> and the derived spatially-distributed morphometric  
600 sediment budget offered an ideal and unique data product with which to validate both plot scale  
601 and small catchment SfM surveys. This was supplemented further with total station surveys for  
602 independent validation.

603

#### 604 5.1. *Quality of SfM-based topographic surveys: scale dependence*

605

606

607 At the plot scale (here ~10 m<sup>2</sup>), sub-centimetre mean absolute differences between SfM-MVS  
608 DEMs and TLS-derived DEMs are observed. In some cases, the detectable differences are  
609 sufficiently small that there is no reason to necessarily prefer the TLS survey as the reference  
610 dataset owing to: (i) the increased point density of the SfM-MVS point clouds over these plots; (ii)  
611 the generally lower sub-grid roughness (i.e. inferred higher precision) of SfM-MVS data sets and;  
612 (iii) the greater range of perspectives offered by SfM-MVS (causing fewer shadows). This finding is  
613 in line with that of James and Robson (2012) who observed sub-millimetre errors when surveying a  
614 hand sample from an even shorter range. Given the high resolution of topographic data achievable  
615 at the plot scale with individual clasts being clearly observable, SfM-MVS is well capable of  
616 detecting topographic changes and, sediment budgets, at the plot or even slope scale, and is likely  
617 to be an improvement on many existing methods. Errors are well within those of the TLS sediment  
618 budget presented in Figure 7A. The visual nature of the method even indicates that the movement  
619 of individual clasts could be tracked in three-dimensions, permitting new inferences in the study of  
620 sediment transport connectivity (e.g. virtual travel velocity). Tuffen et al. (2013) applied such an  
621 approach to estimate the velocity of lava flows. Further work is required to demonstrate this  
622 convincingly.

623

624 Scaling up SfM-MVS using oblique ground-based imagery to small catchment scales (~0.5 ha in  
625 this example) becomes problematic, especially in a complex, heavily dissected environment as

626 surveyed here. In some areas, the closer range yielded a dense point cloud and a close fit to the  
627 TLS-reference dataset (see profiles in Figure 5); however, the keypoint matching and camera pose  
628 estimation proved unreliable in parts of the survey area. While image pose estimation was  
629 examined visually before implementing the dense cloud reconstruction process, relatively small  
630 mismatches proved undetectable. Moreover, many images were rejected by the software and were  
631 not included in the reconstruction, resulting in a large part of the upper catchment where more  
632 vegetation is present (see Figure 1C) being excluded from oblique surveys. Matching ground-  
633 based imagery over relatively large scales is a demanding task for SfM software. Yet, mismatched  
634 patches are particularly problematic as these issues are not apparent during the field survey, and  
635 only arise during post-processing. The results herein suggest that, beyond plot sizes of  $\sim 100 \text{ m}^2$ ,  
636 there is a preference for aerial imagery for SfM-based point cloud generation.

637

638 Aside from large volumetric changes as seen with gully network expansion (e.g. d'Oleire-Oltmanns  
639 et al., 2012; Frankl et al., 2015), results herein suggest that SfM-MVS is only suitable as a method  
640 of monitoring soil erosion from ranges of  $< 50 \text{ m}$  and possibly  $< 10 \text{ m}$ . This would restrict  
641 applications to relatively small areas ( $< 1 \text{ ha}$ ) as has been demonstrated by Eltner et al. (2014). Yet,  
642 errors observed even at the landscape scale are likely to be similar if not smaller than existing  
643 morphometrically-derived sediment yield estimates covering the largest areas which were  
644 estimated using DEMs created from historical aerial imagery (Ciccacci et al., 2008). Using an  
645 AutoGiro (or gyrocopter) as an aerial platform has advantages over UAV platforms allowing  
646 coverage over larger areas in a single survey, with longer flight times and the flexibility and stability  
647 that comes with hand-held shooting (permitting slightly oblique convergent photography).  
648 Comparison of the UAV and AutoGiro data acquired at the same altitude demonstrates this clearly,  
649 as UAV data exhibit a MAE four-times greater than the AutoGiro study. This result provides the first  
650 empirical confirmation of the modelling findings of James and Robson (2014) that off-vertical  
651 imagery in convergent pairs (taken for the AutoGiro survey) coupled with distributed ground control  
652 can reduce doming effects arising from vertical image sets (taken for the UAV survey) and  
653 inaccurate camera models. Further quality improvements can be made as camera technology

654 develops; for example, full-frame FX sensors are now available for DSLRs which provide finer  
655 detail and capture larger image areas.

656

657 As reported in Vericat et al. (2014) in the case of sub-humid badlands, morphometric sediment  
658 budgets also require differentiation between topographic changes caused by erosion/deposition  
659 and surface shrinking/swelling which requires additional datasets (e.g. deep-anchored ground  
660 control points combined with trail cameras). Also, the masking out of observed changes that are  
661 below the minimum level of detection (and deemed unreliable) can potentially underestimate  
662 topographic change. However, as such changes are, by definition, minimal, this effect would not  
663 introduce a large bias in estimated sediment yield.

664

665 The potential cost and time savings achievable using SfM-MVS in place of other high-resolution  
666 survey methods (e.g. TLS or airborne LiDAR) are noteworthy (see Castillo et al., 2012). There was  
667 little difference in survey time required for each camera platform (all ~ 10-15 minutes) and while  
668 UAV purchase costs are the greatest expense (~£1,000) this was balanced by the cost of the  
669 gyrocopter hire (~£150). Greater errors from larger survey ranges are likely to be acceptable for  
670 other applications (e.g. terrain analysis) or for monitoring change on more dynamic systems (e.g.  
671 gravel bed rivers). From 50 m survey range, changes of ~ 0.1 m will be detectable. Surface models  
672 derived from 150 m elevation imagery (e.g. the TIN of Figure 1B) are certainly comparable to those  
673 derived from airborne LiDAR. For the first time, this study has shown that the spatial distribution of  
674 sub-grid roughness can be reproduced with SfM from 50 m survey range meaning that the survey  
675 precision is similar to that of TLS, although systematic errors may be present in the data. Further  
676 developments using camera phones and freely available online processing software (e.g. 123D  
677 Catch) (Micheletti et al., 2014) increase the accessibility of SfM-MVS as a survey method and  
678 indicate serious potential for widespread utilisation of the technique in the Geosciences and  
679 beyond.

680

681 The TLS-derived morphometric sediment budget displayed in Figure 7A covers a much larger area  
682 than previous data sets presented in eroding badlands. Such a dataset is extremely valuable for

683 the development of improved understandings of sediment connectivity (see Bracken et al., 2014).  
684 Further work is required to understand the topographic and meteorological controls on this erosion.  
685 Embedded event-scale repeat SfM surveys at the plot or slope scale can add value to such annual  
686 sediment budgets owing to the reduction in survey time and resources required to undertake such  
687 work regularly. In this manner, SfM can add value to longer-term morphometric monitoring with  
688 more conventional means.

689

## 690 5.2. *Synthesis of SfM-validation: key findings and issues*

691

692 This study contributes to the emerging body of literature that aims to validate SfM robustly in that it  
693 has increased substantially the amount of available validation data points to date. Multiple SfM  
694 surveys from a range of survey heights and over a wide range of scales are validated with both  
695 point-based total-station data and through a comparison of SfM and TLS DEMs (gridded data). In  
696 each case the same software was used; however, a range of alternative SfM programs are  
697 available and used in existing literature (e.g. Mic Mac, Visual SfM). Combining the findings of this  
698 study with other reported validation studies yields important insights into the overall accuracy  
699 achievable with SfM-MVS. While several studies report mean error (e.g. Fonstad et al., 2013;  
700 Woodget et al., 2014), RMSE is commonly cited as a metric of surface quality, while MAE provides  
701 an indication of non-directional elevation errors and provides a natural and comparable measure of  
702 model performance (Willmott and Matsuura, 2005). In total, 50 SfM validation points have been  
703 compiled.

704

705 Figure 9 plots both RMSE and MAE against survey range both for data sets presented in this  
706 paper and existing studies that report each validation metric. Data points are broadly separated  
707 into: (i) those that compare SfM-derived rasters (i.e. DEMs) with point topographic data (e.g. from  
708 RTK-dGPS or Total Stations) ('point-to-raster'); (ii) those that compare SfM-DEM with equivalent  
709 raster-based data products derived from another survey technique such as TLS ('raster to raster');  
710 and (iii) those that compare two point clouds directly ('point to point'). As might be expected, RMSE  
711 at a given range decreases from (i) to (iii) (Figure 9A). Comparison of points with rasters is also

712 dependent on raster grid size; this effect can be seen directly in Figure 3A as the error metrics for  
713 the AG150 m and AG250 m surveys increased between the small-catchment (0.1 x 0.1 m DEM)  
714 and landscape scales (1 x 1 m DEM) which were derived from the same point cloud. Direct  
715 comparison of two DEMs or two point clouds seem to be the fairest tests of SfM as comparable  
716 data-products are being evaluated. However, applications of SfM data typically derive DEMs as a  
717 final processing step, thus it could be argued that a raster-based comparison is most  
718 representative of real errors in final data products.

719

720 A linear degradation in precision with survey range is expected theoretically, is well established for  
721 traditional stereo photogrammetry and has been observed previously for SfM (James and Robson,  
722 2012). However, the majority of existing validation studies report RMSE and not SD.  
723 With a greater synthesis of data points, over a wide-range of terrain types, a power-law relating  
724 RMSE and survey range provides the best fit to the data between survey ranges of <1 m and 1000  
725 m (Figure 9A). The exponent of this relationship is 0.88 which is close to linear ( $R^2 = 0.80$ ,  $n = 43$ ).  
726 Combining all SfM validation points, a median ratio of RMSE : survey range of 1:639 is observed,  
727 which is very similar to the ratio of 1:625 reported by Micheletti et al. (2014). Since RMSE reflects  
728 overall model accuracy and not precision, the ratio is well below the 1:1000 ratio between precision  
729 and range reported by James and Robson (2012). RMSE reflects more than the expected linear  
730 degradation in precision; although a linear relationship between RMSE and survey range might be  
731 also expected, the summary in Figure 9A reflects a number of factors that seem to limit the  
732 practically-achievable accuracy of SfM. Camera platform, camera sensor, weather, georeferencing  
733 method, validation method, number of images and their geometry, distribution of GCPs, terrain  
734 type and processing software will all influence the final model quality to some extent and may be  
735 responsible for the observed non-linear trend. Certainly, survey range is not the only variable to be  
736 altered between the points in Figure 9A which compiles results from a wide range of studies. While  
737 Figure 9A gives a useful indication of the relationship between RMSE and survey range, there is a  
738 clear need for a systematic validation of SfM to determine the effect of each of these factors on  
739 data quality.

740

741 MAE is reported less frequently; Figure 9B compiles 28 reported values. Again, raster-based  
742 comparisons yield a lower error metric at a given range. Again a power law best fits the data ( $R^2 =$   
743  $0.69$ ) with a lower exponent of  $0.57$ . Using just the raster-based validation data ( $n = 8$ ) increases  
744 the exponent to  $0.78$  and improves fit substantially ( $R^2 = 0.97$ ) (dashed line in Figure 9B).

745

746 From Figure 9A and considering both the RMSE : range ratio of 1:639 and degree of scatter  
747 around the trend line, at 10 m range, around 10–15 mm errors can be achieved which would be  
748 suitable for the majority of applications. Inspection poles provide ideal viewing angles at that range  
749 and could replace the need for UAVs over the small catchment scale presented here. Such  
750 inspection poles allow remote triggering of elevated cameras and achieve a compromise between  
751 the close-range imagery available from oblique ground-based surveys, and the more reliable  
752 surfaces generated from airborne surveys. Over larger areas (i.e. the landscape-scale surveys  
753 presented here) a larger range is required ( $>100$  m) for a manageable survey; this increases  
754 anticipated errors by an order of magnitude. Thus, synthesis of extant literature suggests that, for  
755 soil erosion applications, SfM should only be applied where survey ranges  $\sim 10$  m can be  
756 achieved.

757

## 758 **6. Summary and Conclusions**

759

760 Structure-from-Motion with Multi-View Stereo can be used to generate high resolution topographic  
761 data products at a wide range of scales. For the first time, this study presents a robust validation of  
762 SfM using multi-scale nested surveys and a distributed morphometric sediment budget over an  
763 area  $>4000$  m<sup>2</sup> derived using TLS. Validation reveals that data sets of a sufficient quality for soil  
764 erosion monitoring and comparable with TLS can be obtained at the plot or hillslope scale. With a  
765  $0.1 \times 0.1$  m grid size, sub-grid roughness parameters similar to those from TLS can be derived  
766 even from ranges of  $\sim 70$  m. However, the suitability of using SfM for topographic change detection  
767 at this scale is limited to rapidly changing landforms and environments (e.g. gravel bed rivers). For  
768 larger areas of more complex topography, aerial images from piloted gyrocopters are preferable for

769 reliable image matching, but with increasing survey height, surface precision decreases. Sub-  
770 centimetre errors are achievable at ~10 m range as might be provided by a camera inspection  
771 pole. Errors increase approximately linearly with survey range and ratios of RMSE : survey range  
772 of 1:639 are observed. Despite these errors, landscape-scale DEMs can be derived rapidly and at  
773 minimal expense and are likely to have a considerable impact of the future trajectory of  
774 geomorphology as a discipline.

775

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784

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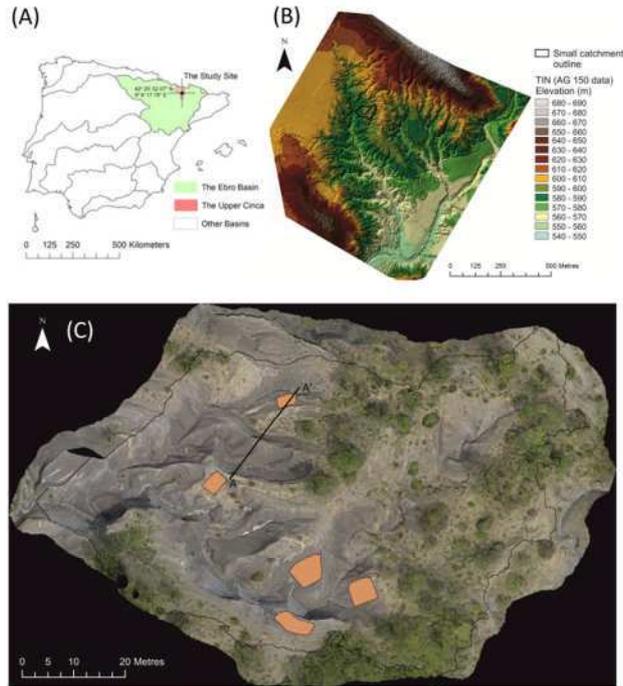
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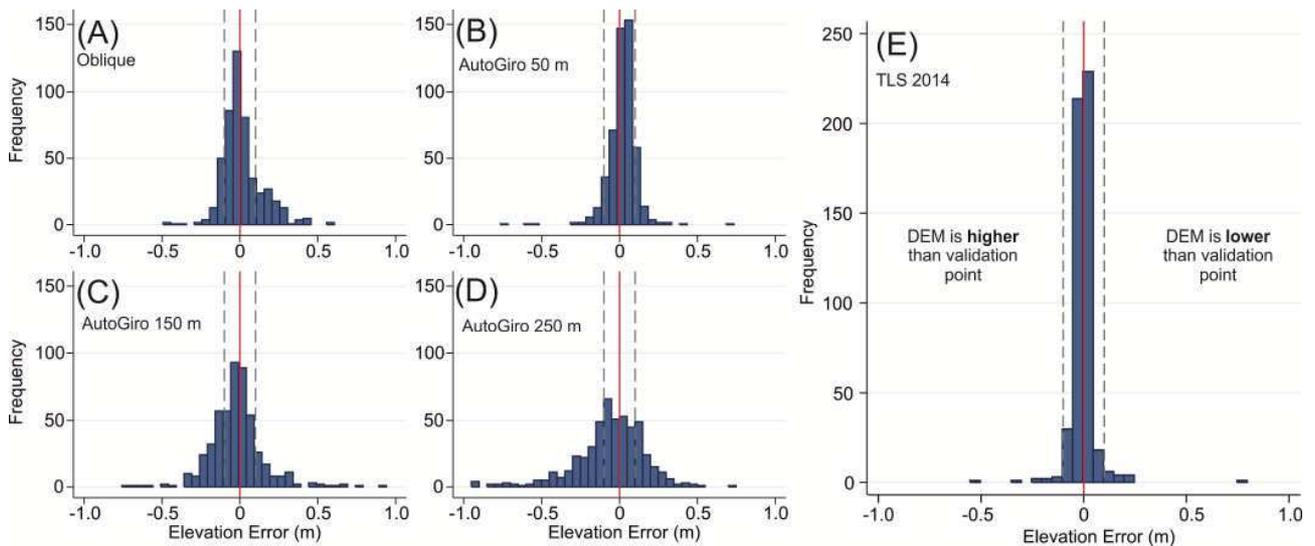
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1043 **List of Figures**



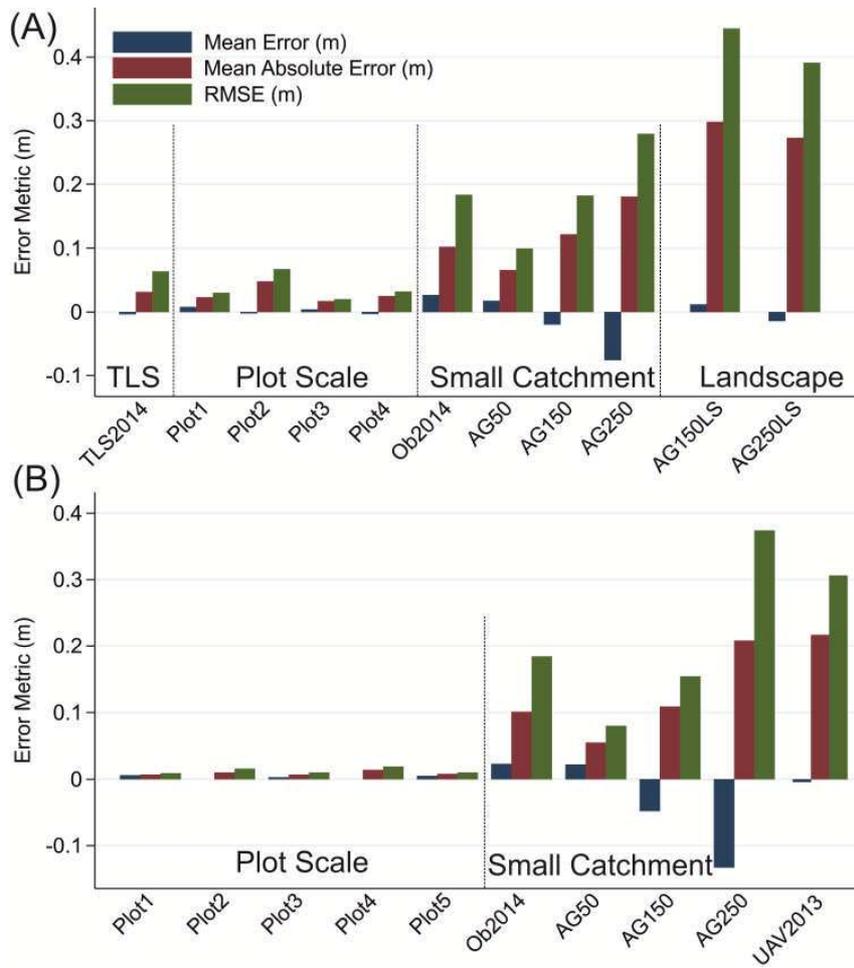
1044  
 1045 **Figure 1.** (A) Location of study site in the Upper River Cinca (Central Pyrenees, Iberian Peninsula,  
 1046 Ebro Basin); (B) topographic model of the landscape-scale (1 km<sup>2</sup>) study area derived from SfM;  
 1047 (C) orthophoto of the small-catchment (4710 m<sup>2</sup>) which is the main focus of this paper. Plot  
 1048 outlines (< 30 m<sup>2</sup>) and the location of the profile AA' in Figure 5 are shown in (C).

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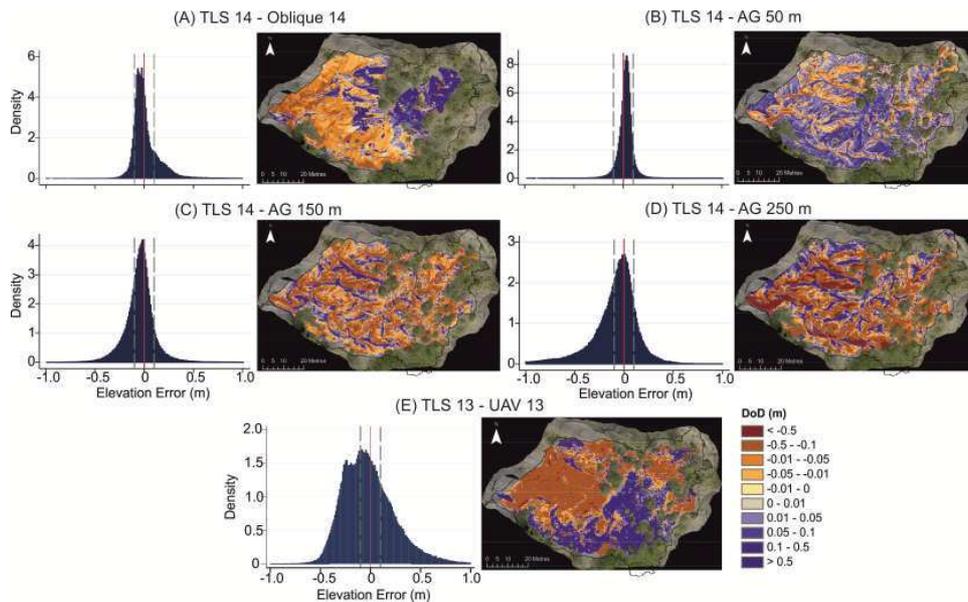
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 1051 **Figure 2.** Distribution of errors in the total station validation of SfM-MVS surveys (A–D) and the  
 1052 TLS 2014 survey (E) at the small catchment scale. Dashed lines indicate  $\pm 0.1$  m.

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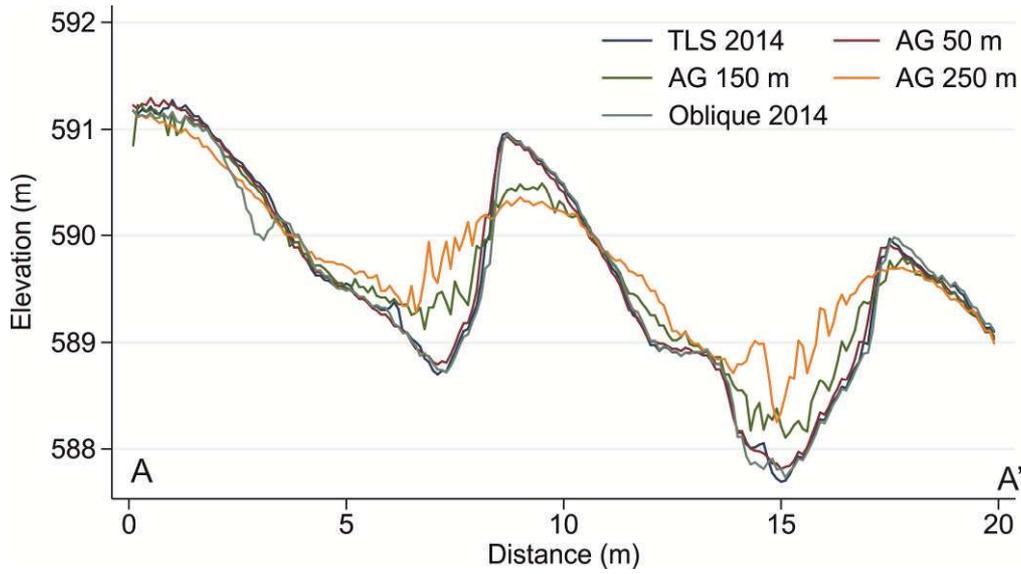
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**Figure 3.** Summary of errors in topographic validation at three different scales using (A) total station data; and (B) using TLS data.



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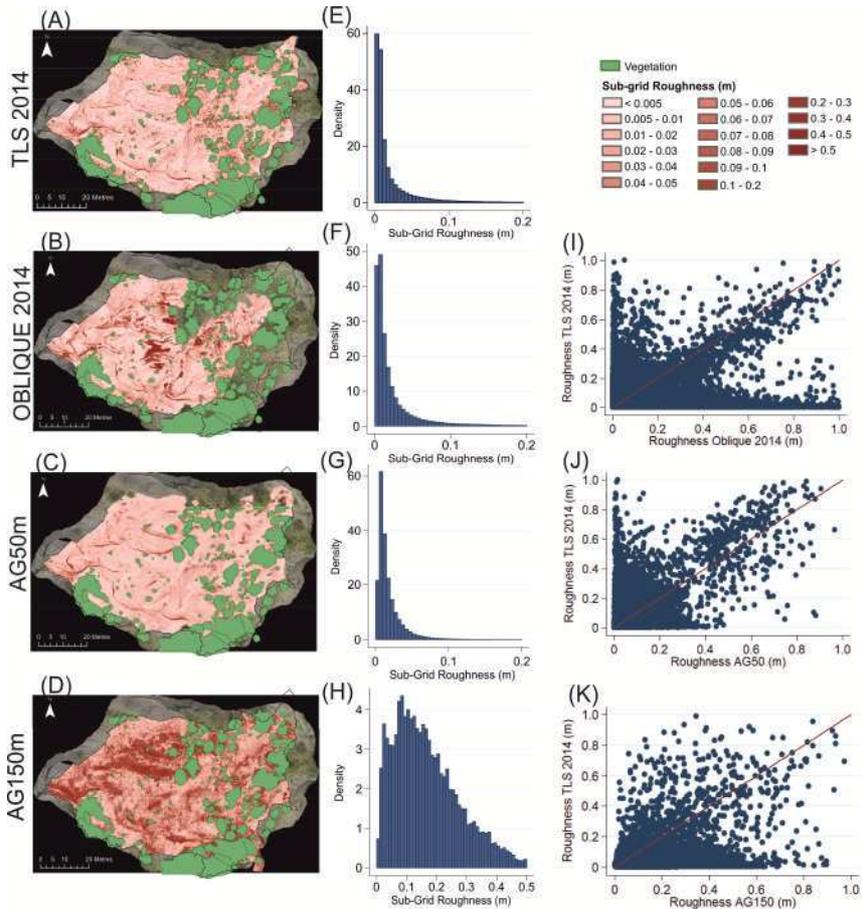
**Figure 4.** Distribution of errors in the TLS validation of SfM-MVS surveys and the spatial pattern of the errors across the small catchment (TLS survey – SfM surveys). Dashed lines indicate  $\pm 0.1$  m.



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1063 **Figure 5.** Profiles comparing the TLS DEM with each small catchment-scale SfM DEM. For the  
 1064 location of the cross-section, see Figure 1C.

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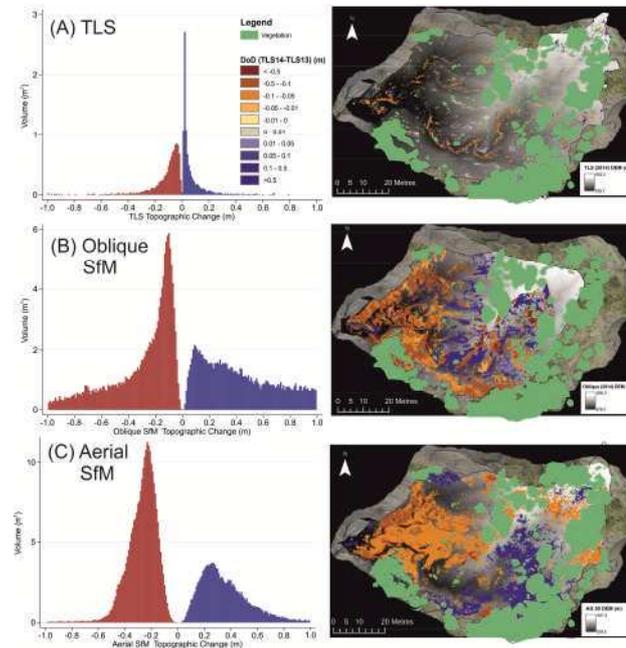


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1067 **Figure 6.** Spatial (A-D) and statistical (E-H) distributions of sub-grid roughness for the TLS (2014)  
 1068 survey (A, E); oblique ground-based SfM survey (B, F); the 50 m altitude aerial SfM survey (C, G);

1069 and the 150 m altitude aerial SfM survey (D, H). Note: the x-axis range of the distribution of (H) has  
1070 been limited to aid comparison. Cell-by-cell comparison between SfM-derived sub-grid roughness  
1071 and TLS data (I-K).

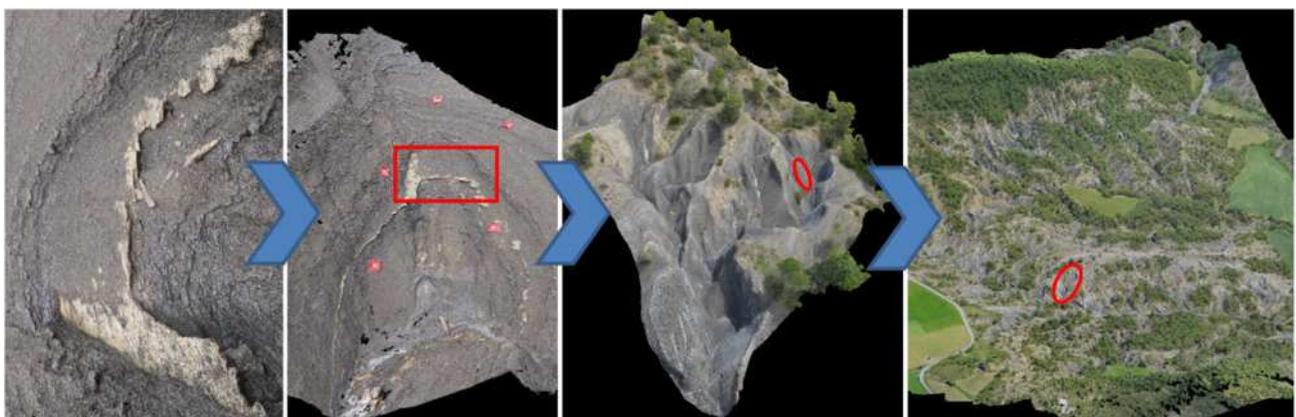
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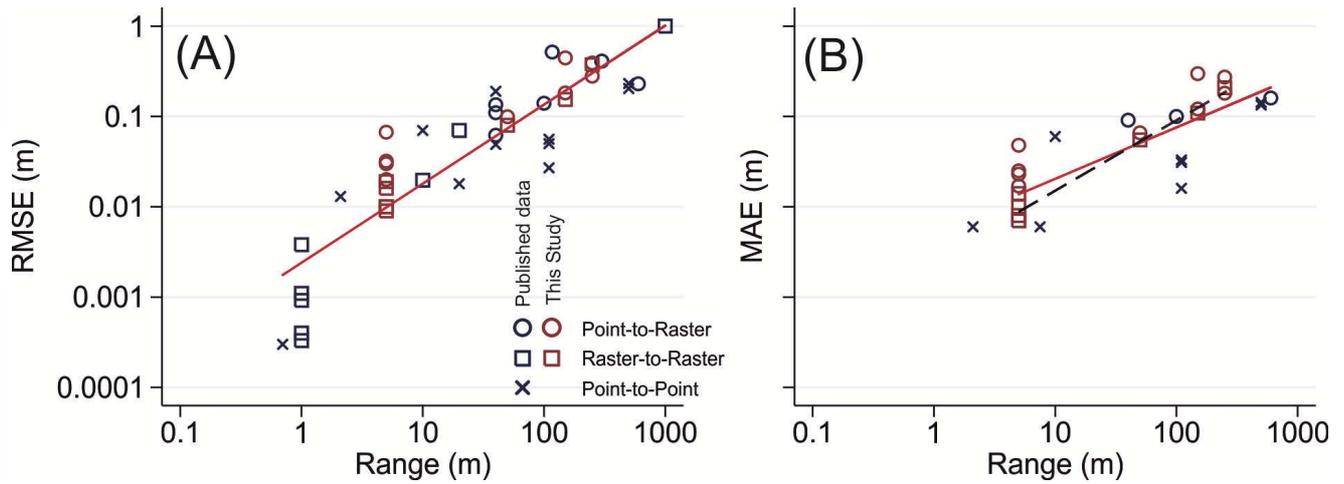
1074 **Figure 7.** DEMs of Difference (DoDs) at the small catchment scale alongside a summary  
1075 distribution of estimated volumetric changes associated with different degrees of topographic  
1076 change for (a) TLS data; (b) oblique ground-based SfM surveys (showing only absolute changes  
1077 <1 m); (c) aerial SfM surveys (AG50 and the UAV data in 2013).

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1079

1080 **Figure 8.** SfM-derived photorendered point clouds of the study badlands over a variety of scales  
1081 (left to right): from plot (~0.0001 ha) to slope (~0.01 ha), to small catchment (~1 ha) to landscape  
1082 (~100 ha).



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**Figure 9.** Synthesis of existing SfM validation studies (navy) with data points generated in this study (maroon) examining the effect of survey range against (A) RMSE and (B) MAE. Dashed line in (B) summarises only raster-based validation data. Data extracted from: Favalli et al. (2012), Harwin and Lucieer (2012), James and Robson (2012), Mancini et al. (2013), James and Quinton (2014), Javernick et al. (2014), Lucieer et al. (2014), Micheletti et al. (2014), Ouédraogo et al. (2014), Ruzic et al. (2014), Smith et al. (2014), Thoeni et al. (2014), Tonkin et al. (2014), Stumpf et al. (2015), subaerial data from Woodget et al. (2014) and an unpublished result by the authors on ice surface plots.

1094 **Tables**

1095

1096 **Table 1.** Overview of field data obtained at each study scale. Note that plot and landscape scale  
 1097 surveys were not conducted in 2013.

1098

|             | Plot Scale  | Small Catchment Scale  | Landscape Scale  |
|-------------|---|--|--|
| 2013 survey | -   | - SfM: ground-based oblique photography<br>- SfM: aerial photography from a UAV (50 m altitude)<br>- TLS   | -  |
| 2014 survey | - SfM: ground-based oblique photography<br>- Terrestrial Laser Scanning (TLS)<br>- Total Station (TS) | - SfM: ground-based oblique photography<br>- SfM: aerial photography from a manned AutoGiro (50 m altitude)<br>- SfM: AutoGiro at 150 m altitude<br>- SfM: AutoGiro at 250 m altitude<br>- TLS<br>- TS | - SfM: AutoGiro at 150 m altitude<br>- SfM: AutoGiro at 250 m altitude<br>- TS |

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1101 **Table 2.** Summary of registration (i.e. MAE of targets) and georeferencing errors (i.e. RMSE on  
 1102 control points) for 2013 and 2014 surveys. For the landscape-scale surveys (AG150m and  
 1103 AG250m) values in parentheses indicate errors using GCPs over sub-catchment area only. For the  
 1104 Oblique 2014 survey, values in parentheses indicate errors using GCPs in the lower catchment  
 1105 only.

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| <b>TLS-based Surveys</b>     |      |           |                        |                          |
|------------------------------|------|-----------|------------------------|--------------------------|
| Survey                       | Year | Points    | Registration Error (m) | Georeferencing Error (m) |
| TLS 2013                     | 2013 | 351 Mn    | 0.003                  | 0.002                    |
| TLS 2014                     | 2014 | 317 Mn    | 0.002                  | 0.002                    |
| <b>SfM-MVS-based Surveys</b> |      |           |                        |                          |
| Survey                       | Year | Points    | GCPs                   | Georeferencing Error (m) |
| Oblique 2013                 | 2013 | 30.3 Mn   | 20                     | 0.062                    |
| UAV 2013                     | 2013 | 9.6 Mn    | 16                     | 0.100                    |
| Oblique 2014                 | 2014 | 99.4 Mn   | 21 (15)                | 0.210 (0.109)            |
| AG50 m 2014                  | 2014 | 2.4 Mn    | 29                     | 0.086                    |
| AG150 m 2014                 | 2014 | 717,000   | 110 (29)               | 0.100 (0.070)            |
| AG250 m 2014                 | 2014 | 313,000   | 75 (29)                | 0.150 (0.092)            |
| Plots (5) 2014               | 2014 | 3.6–20 Mn | 5                      | <0.01                    |

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1109 **Table 3.** Summary of errors in the total station (TS) validation of SFM-MVS surveys and the TLS  
 1110 2014 survey at three different scales. Note: no TS validation points overlapped with Plot 5.  
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| Survey  | Validation points | ME (m) | MAE (m) | SDE (m) | RMSE (m) |
|---|-------------------|--------|---------|---------|----------|
| <b>Plot Scale (0.1 x 0.1 m grid)</b>            |                   |        |         |         |          |
| Plot 1  | 9                 | 0.008  | 0.023   | 0.031   | 0.030    |
| Plot 2  | 18                | -0.002 | 0.048   | 0.069   | 0.067    |
| Plot 3  | 12                | 0.004  | 0.017   | 0.020   | 0.020    |
| Plot 4  | 36                | -0.003 | 0.025   | 0.032   | 0.032    |
| <b>Small Catchment Scale (0.1 x 0.1 m grid)</b> |                   |        |         |         |          |
| TLS 2014  | 515               | -0.003 | 0.031   | 0.063   | 0.064    |
| Oblique 2014                                    | 504               | 0.027  | 0.102   | 0.181   | 0.183    |
| AG50 m  | 515               | 0.018  | 0.066   | 0.098   | 0.099    |
| AG150 m   | 515               | -0.020 | 0.121   | 0.181   | 0.182    |
| AG250 m   | 515               | -0.076 | 0.181   | 0.269   | 0.279    |
| <b>Landscape Scale (1 x 1 m grid)</b>           |                   |        |         |         |          |
| AG150 m   | 730               | 0.012  | 0.298   | 0.446   | 0.445    |
| AG250 m   | 730               | -0.014 | 0.273   | 0.391   | 0.391    |

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1115 **Table 4.** Summary of errors in the validation of SfM-MVS surveys with the TLS surveys at the plot  
1116 and small-catchment scales (comparison of gridded data).

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| Survey  | Validation points | ME (m) | MAE (m) | SDE (m) | RMSE (m) |
|---|-------------------|--------|---------|---------|----------|
| <b>Plot Scale (0.1 x 0.1 m grid)</b>            |                   |        |         |         |          |
| Plot 1  | 808               | 0.006  | 0.007   | 0.007   | 0.009    |
| Plot 2  | 2829              | 0.000  | 0.010   | 0.016   | 0.016    |
| Plot 3  | 2238              | 0.003  | 0.007   | 0.010   | 0.010    |
| Plot 4  | 2040              | 0.000  | 0.014   | 0.019   | 0.019    |
| Plot 5  | 1149              | 0.005  | 0.008   | 0.009   | 0.010    |
| <b>Small Catchment Scale (0.1 x 0.1 m grid)</b> |                   |        |         |         |          |
| Oblique 2014                                    | 277,000           | 0.023  | 0.101   | 0.183   | 0.184    |
| AG50 m  | 333,000           | 0.022  | 0.055   | 0.077   | 0.080    |
| AG150 m   | 327,000           | -0.048 | 0.109   | 0.146   | 0.154    |
| AG250 m   | 328,000           | -0.133 | 0.208   | 0.349   | 0.374    |
| UAV (2013)                                      | 331,293           | -0.004 | 0.218   | 0.308   | 0.308    |

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1121 **Table 5.** Summary of: (i) sub-grid roughness statistics and (ii) cell-by-cell differences between TLS  
 1122 and SfM sub-grid roughness for each plot and small catchment scale survey.

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| Survey          | Summary of sub-grid roughness (mm) |        |        | Summary of Sub-grid Roughness Differences (TLS – SfM) (mm) |        |        |        |
|-----------------|------------------------------------|--------|--------|--|--------|--------|--------|
|                 | <i>n</i>                           | Mean   | SD     | ME   | MAE    | RMSE   | SDE    |
| Plot 1          |                                    |        |        |  |        |        |        |
| TLS             | 1017                               | 9.08   | 10.50  | 1.22   | 4.37   | 10.11  | 10.04  |
| SfM             | 1017                               | 7.85   | 6.21   |  |        |        |        |
| Plot 2          |                                    |        |        |  |        |        |        |
| TLS             | 2830                               | 18.35  | 33.22  | 3.23   | 11.35  | 32.60  | 32.44  |
| SfM             | 2830                               | 15.12  | 16.78  |  |        |        |        |
| Plot 3          |                                    |        |        |  |        |        |        |
| TLS             | 2816                               | 5.82   | 4.50   | -0.53  | 2.70   | 4.48   | 4.45   |
| SfM             | 2816                               | 6.35   | 5.12   |  |        |        |        |
| Plot 4          |                                    |        |        |  |        |        |        |
| TLS             | 2442                               | 11.60  | 20.60  | 2.10   | 7.94   | 21.11  | 21.01  |
| SfM             | 2442                               | 9.50   | 6.40   |  |        |        |        |
| Plot 5          |                                    |        |        |  |        |        |        |
| TLS             | 2047                               | 8.82   | 12.67  | -3.85  | 7.74   | 14.05  | 13.51  |
| SfM             | 2047                               | 12.67  | 12.54  |  |        |        |        |
| Small Catchment |                                    |        |        |  |        |        |        |
| TLS (2013)      | 582591                             | 30.84  | 92.92  | -  |        |        |        |
| UAV (2013)      | 332269                             | 104.07 | 111.87 | -73.34   | 96.24  | 145.23 | 162.49 |
| TLS (2014)      | 324940                             | 21.76  | 47.37  | -  |        |        |        |
| Oblique (2014)  | 264528                             | 38.98  | 98.35  | -19.18   | 34.28  | 101.17 | 99.33  |
| AG50 m          | 241103                             | 19.90  | 31.73  | 2.81   | 18.95  | 38.98  | 38.88  |
| AG150 m         | 13100                              | 176.46 | 126.36 | -133.64  | 148.14 | 189.41 | 134.23 |
| AG250 m         | 102                                | 181.73 | 159.74 | -141.39  | 163.99 | 227.41 | 179.03 |

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1127 **Table 6.** Sediment budgets at the small catchment scale derived from TLS data, ground-based  
1128 oblique SfM surveys and repeat aerial SfM surveys (at ~ 50 m altitude).

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| <b>Survey</b>     | <b>Total Erosion<br/>(m<sup>3</sup>)</b> | <b>Total<br/>Deposition<br/>(m<sup>3</sup>)</b> | <b>Net (m<sup>3</sup>)</b> | <b>Catchment Average<br/>Topographic Change<br/>(mm a<sup>-1</sup>)</b> |
|-------------------|--|---|----------------------------|---|
| TLS               | -12.63                                   | 6.40  | -6.24                      | -1.44   |
| Oblique SfM       | -153.62                                  | 144.16  | -9.46                      | -2.19   |
| Aerial SfM (50 m) | -258.72                                  | 136.35  | -122.37                    | -28.34  |

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