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Running head: Serial visual memory and attention

Evidence for two attentional components in visual working memory

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## Abstract

How does executive attentional control contribute to memory for sequences of visual objects, and what does this reveal about storage and processing in working memory? Three experiments examined the impact of a concurrent executive load (backward counting) on memory for sequences of individually presented visual objects. Experiments 1 and 2 found disruptive concurrent load effects of equivalent magnitude on memory for shapes, colors, and colored shape conjunctions (as measured by single-probe recognition). Crucially, these effects were only present for items 1 and 2 in a 3-item sequence; the final item was always impervious to this disruption. This pattern of findings was precisely replicated in Experiment 3 using a cued verbal recall measure of shape-color binding, with error analysis providing additional insights concerning attention-related loss of early-sequence items. These findings indicate an important role for executive processes in maintaining representations of earlier encountered stimuli in an active form alongside privileged storage of the most recent stimulus.

### Evidence for two attentional components in visual working memory

Research primarily using simultaneously presented arrays has shown substantial negative impacts of executive load on the recognition and recall of visual objects (e.g. Allen, Baddeley & Hitch, 2006; Dell'Acqua & Jolicouer, 2000). It is likely that executive control is also important during the encoding of *sequences* and their retention in working memory.

Furthermore, by analogy with studies of verbal STM, it is possible that the impact of executive load may vary depending on serial position, potentially throwing light on the underlying cognitive processes. We investigate this by studying the effect of an executive load on the retention of sequences of shapes, colors and bound objects.

The use of simultaneous presentation of all to-be-remembered (TBR) items in the majority of recent studies examining visual memory (e.g. Luck & Vogel, 1997; Wheeler & Treisman, 2002) is sensible in that information from visual scenes involves parallel presentation of an array of objects and features. However, for anything other than brief presentations, processing quickly becomes sequential with a series of eye-movements being used to pick off crucial features of the array. Furthermore, naturalistic perception often involves changing scenes that are inherently sequential. If, as in verbal memory, sequential order of processing were important, then it would be valuable to take this into account. A useful alternative in this case is sequential stimulus presentation. Though memory for simultaneous and sequential displays is likely to involve similar mechanisms, the former method compresses these into a single, limited time period, meaning that it is often not able to draw clear distinctions between the possible operations of different components. In contrast, for an object sequence, each item is encoded in turn before being retained while subsequent items are then presented. Examination of performance for items at each position in a sequence thus provides the opportunity to identify separable processes contributing to visual working memory. In the study of verbal memory, serial position effects have often

proved informative, with serial recall favoring primacy and free recall recency, an effect that is particularly marked with auditory presentation (e.g. Conrad & Hull, 1968; Murdock, 1966). Sequential presentation might be similarly informative for visual working memory

In a classic series of studies, Phillips (1974; Phillips & Baddeley, 1971; Phillips & Christie, 1977a;b) demonstrated short-term forgetting of visual matrix patterns. When a sequence of patterns was presented and then probed using the change detection method, Phillips and Christie (1977a) found a recency effect of one item, with all other items detected at a much lower level (though still above chance). These results were attributed to separable contributions of long-term memory (LTM) and visual short-term memory. However, this explanation is unlikely to apply to other observations of recency effects (e.g. Allen et al., 2006; Parmentier, Tremblay, & Jones, 2004) where the repeated re-use of items from the same limited experimental set on each trial renders LTM uninformative (Endress & Potter, 2013). This leaves open the possibility that differential performance across a sequence reflects different components operating within working memory. In particular, it may be that the most recently encountered item retains a privileged status in working memory, being temporarily retained in a relatively automatic manner without the need for additional executive support, as is found in verbal STM where recency is unaffected by a concurrent load, unlike earlier items (Baddeley & Hitch, 1974). In contrast, limited resources for executive control may be important in ensuring earlier items in the sequence remain accessible and are protected against potential interference, as suggested by Engle's (2002) approach to working memory. We investigated this question across three experiments by combining serial presentation with an executively demanding concurrent task (backward counting). We predicted that, for all stimulus conditions, an irrelevant attentional load would reduce performance on earlier items in the sequence. The crucial issue is whether this load manipulation has a similar effect on the most recent stimulus, or whether this item will be

resistant. Observation of the latter pattern would indicate two separable components in visual working memory; an early component reliant on executive resources alongside relatively privileged and automatic storage of the most recent item.

We examined this question in the context of memory for features such as shape and color, and the bindings between these constituent elements. Previous research using simultaneously presented arrays has revealed substantial effects of concurrent executive load on recognition accuracy that were equivalent in magnitude for features and their conjunctions (Allen et al., 2006; see also Allen, Hitch, Mate, & Baddeley, 2012). These findings were interpreted as indicating that feature binding is relatively automatic, with the episodic buffer component of working memory possibly acting as a passive recipient of the products of lower level processes linked to perception (Baddeley, Allen, & Hitch, 2011). However, these conclusions were based solely on studies using simultaneously encountered arrays. When serial presentation was used (without manipulating concurrent load), Allen et al. (2006) found a recency effect with equivalent recognition performance in feature and binding conditions at the final position and poorer performance at earlier positions, with bindings more likely to be forgotten than features. These data suggested that bound representations are particularly fragile and susceptible to overwriting by subsequent stimuli. Building on this, it is possible that retaining a *sequence* of bindings places greater reliance on executive control, which manifests itself as a pattern of ‘fragility’ for early items, consistent with Wheeler and Treisman’s (2002) suggestion that maintenance of bound information is particularly attention-dependent. Thus, while memory for bindings would not be especially sensitive to an increased executive load in the case of simultaneous arrays, they would in the case of serial presentation. In contrast, if memory for serially encountered bindings is no more demanding of executive resources than memory for serially encountered features, we would observe equivalent declines in accuracy for both types of information at early sequence positions as a

result of concurrent load. Experiments 1 and 2 examined these issues by comparing memory for colors, shapes, and color-shape binding using a single-probe recognition procedure, while Experiment 3 focused solely on binding using cued recall.

### Experiment 1

This first study aimed to explore the role of executive resources in retaining a sequence of features (colors, shapes) and their conjunctions (colored shapes), using the single-probe recognition procedure previously implemented in studies of visual feature binding (e.g. Allen et al., 2006, 2012; Brown & Brockmole, 2010; Wheeler & Treisman, 2002). The substantial impact of a demanding concurrent task that has been previously observed across all trial types on this measure was again anticipated, reflecting the broad contribution of modality-independent executive resources to visual working memory (e.g. Morey & Cowan, 2004, 2005). Exploration of how these effects change over time was then performed, through analysis of performance levels on target-present trials probing each position in the sequence. If encoding and storage always requires executive support, recognition accuracy at all positions in the sequence should suffer from increased concurrent load. However, if these resources are only important for retention in the face of disruption and updating from incoming stimuli, concurrent task effects should be limited to earlier sequence positions, with the final item potentially being stored ‘cost-free’.

The comparison of feature and binding conditions enabled an examination of performance across serial positions and the contribution of executive support to sequential working memory in each case. Based on previous findings using sequential presentation (e.g. Allen et al., 2006), we expected to observe a larger recency effect for binding relative to feature memory. If retaining a series of bound objects is particularly reliant on executive control, there should be a larger effect of concurrent load on recognition in the binding

condition relative to the feature conditions. In addition, if it is particularly the retention of bound objects, in the face of further to-be-encoded stimuli, that requires executive support (e.g. Fougny & Marois, 2009; Wheeler & Treisman, 2002; Wolfe, 1999), binding should show particularly large concurrent task effects at earlier positions in the sequence, as indexed by target trials probing those positions. In contrast, if all visual memory representations (regardless of the requirement to retain conjunctive information) draw on executive support for their retention (e.g. Cowan, Saults, & Morey, 2013; Johnson, Hollingworth, & Luck, 2008; Morey & Bieler, 2012), we would find similar larger concurrent task effects at earlier sequence positions for color, shape, and binding memory.

### *Method*

#### *Participants*

There were 24 participants (8 males; 16 females) in this experiment, all students at the University of Leeds (mean age 20.7 years, range 18-29). They took part for course credit or a small honorarium.

#### *Materials*

All stimuli measured approximately 1.6cm<sup>2</sup> and were presented on a grey background. Stimuli were drawn from the study by Allen et al. (2012; see that paper for shape outlines and RGB values), and consisted of a set of 8 shapes (arch, chevron, circle, cross, diamond, flag, star, triangle) and 8 colors (blue, brown, green, purple, red, turquoise, white, yellow). Combinations of these shapes and colours were used as stimuli and test probes in the ‘binding’ condition. In contrast, in the color condition, stimuli were presented and tested using a noncanonical “blob” shape, while the shape condition always used black shape outlines filled grey to match the background. This consistency in the non-tested feature



dimension matched the procedure used in Allen et al. (2006), and Experiment 1 of Allen et al. (2012).

### *Design and Procedure*

The experiment used a 3x2 repeated measures design, manipulating stimulus condition (color; shape; binding) and concurrent task (articulatory suppression, AS; backward counting, BC), with each of the resulting six conditions performed in separate blocks. Condition order was counterbalanced across participants, with all those of a particular concurrent task condition performed together. There were 52 trials within each block (4 practice trials, and 48 test trials).

Trial procedure is illustrated in Figure 1. Three-item sequences were used in this and subsequent experiments, based on pilot work indicating this to be the optimal length to avoid floor and ceiling effects. Each trial commenced with the 2s presentation of a randomly generated two-digit number (between 20 and 99) in the centre of the screen. Participants were instructed to either repeatedly articulate this start number (the AS condition) or count backwards in decrements of 2 from this start point (BC), until presentation of the test probe. This number was then replaced with a central fixation cross (500ms), followed by the to-be-remembered sequence. Objects were presented serially for 250ms each, with a blank screen 250ms inter-stimulus interval (ISI). They were presented in left-right order at locations along a horizontal row centred at the position of the fixation cross with approximately 3cm separating the location of each object on screen. The three-item sequence was then followed by a blank-screen retention interval of 1s.

The test probe was then presented at lower screen centre. Following Allen et al. (2006, 2012), participants were required to judge whether this color, shape, or color-shape conjunction has been present in the sequence. On 50% of trials, the probe feature or

conjunction had been present in the sequence, with participants required to press “z” on the keyboard in order to record a correct response. Within these 24 target trials, each of the three serial positions was cued 8 times during each block. On the remaining 50%, the probe was a lure item that had not been present (requiring a “/” keypress response). For the shape and color feature conditions, this lure probe consisted of a shape or color drawn from the experimental set that was not part of the sequence on that trial. For the binding condition, the features of the lure probe were always part of the presented sequence, but were drawn from different objects. Thus, accurate performance in the feature conditions only required memory for the relevant features themselves, while the binding condition crucially required memory for how features were combined. Target and lure trials were randomly intermixed within each block. The test probe remained on screen until participants made their key-press response, with accuracy emphasized over speed.

### *Results*

In the backward counting task, participants recorded a mean number of 4.02 steps ( $SE = .17$ ) for color, 4.01 ( $SE = .17$ ) for shape, and 4.04 ( $SE = .16$ ) for binding, with a repeated measures ANOVA showing no effect of stimulus condition,  $F(2,46) = .14$ ,  $MSE = .04$ ,  $p = .87$ ,  $np2 = .01$ . Error rates were very low ( $< .03$  in all conditions), and there was again no effect of stimulus condition,  $F(2,46) = .02$ ,  $MSE = .01$ ,  $p = .98$ ,  $np2 = .00$ .

Recognition accuracy is reported as corrected recognition<sup>1</sup> (hits-false alarms) and is displayed in Figure 2. A 3x2 repeated measures ANOVA revealed significant effects of stimulus condition,  $F(2,46) = 121.94$ ,  $MSE = .02$ ,  $p < .001$ ,  $np2 = .84$ , and concurrent task,  $F(1,23) = 56.46$ ,  $MSE = .02$ ,  $p < .001$ ,  $np2 = .71$ . However, the condition by task interaction was not significant,  $F(2,46) = 1.13$ ,  $MSE = .02$ ,  $p = .33$ ,  $np2 = .05$ . Thus, accuracy was highest for color and lowest for binding, and backward counting had a significant disruptive

effect relative to simple suppression, but the latter effect was equivalent across color, shape, and binding. This overall analysis was followed up by comparing each of the feature conditions with binding, in sets of 2x2 ANOVAs, to establish whether concurrent task interacted with stimulus condition in these more focused comparisons. For both color vs. binding and shape vs. binding, the stimulus condition by concurrent task manipulation was not significant ( $p = .77$  and  $p = .32$  respectively).

Performance on target-present trials was then separately analyzed as a function of serial position, to explore how performance varied across positions in the sequence. Mean performance accuracy for each stimulus condition under conditions of AS and BC is displayed in Figure 3. An overall 3x2x3 ANOVA revealed significant effects of stimulus condition,  $F(2,46) = 41.69$ ,  $MSE = .03$ ,  $p < .001$ ,  $np2 = .64$ , concurrent task,  $F(1,23) = 29.98$ ,  $MSE = .03$ ,  $p < .001$ ,  $np2 = .57$ , and serial position (SP),  $F(2,46) = 4.69$ ,  $MSE = .05$ ,  $p < .05$ ,  $np2 = .17$ . There were also significant interactions between stimulus condition and SP,  $F(4,92) = 4.28$ ,  $MSE = .02$ ,  $p < .01$ ,  $np2 = .16$ , concurrent task and SP,  $F(2,46) = 8.69$ ,  $MSE = .02$ ,  $p < .01$ ,  $np2 = .27$ , and the three-way interaction,  $F(4,92) = 2.83$ ,  $MSE = .02$ ,  $p < .05$ ,  $np2 = .11$ . The stimulus condition by concurrent task interaction was not significant ( $p = .09$ ). Planned comparisons examining concurrent task effects at each SP revealed significant differences (Bonferroni-Holm adjusted) between AS and BC conditions at positions 1,  $t(23) = 2.60$ ,  $p = .032$ , and 2,  $t(23) = 4.49$ ,  $p < .001$ , for color, but not at position 3 ( $t < 1$ ). Similarly, for shape, there were effects of BC at position 1,  $t(23) = 4.15$ ,  $p < .001$ , and position 2,  $t(23) = 3.68$ ,  $p = .002$ , but not position 3 ( $t < 1$ ). Finally, there was a significant effect of BC at position 2,  $t(23) = 4.71$ ,  $p < .001$  for binding, though not for positions 1 or 3 ( $t < 1$ ).

While recognition accuracy was the primary dependent variable in this experiment, and instructions provided to participants emphasized accuracy over speed of response,

latency data were also analyzed. These revealed similar patterns to the accuracy data, with no indication of any speed-accuracy trade-offs.

### *Discussion*

The central focus of the present study is to examine how executive attention supports memory for a series of visual objects, across different positions in the sequence. In line with there being two potential components to serial memory, concurrent task interacted with serial position, with effects of backward counting only emerging on the first two positions in the sequence. There were no impacts of counting on the final sequence position in any of the three stimulus conditions. This would suggest that modality-independent executive resources are important in retaining items encountered early in a sequence, while the most recently encountered item in the environment is retained in working memory without such a cost, at least for a brief period of time.

This experiment also aimed to establish whether memory for a series of feature conjunctions is more dependent on executive resources than is memory for the individual features. Overall analysis of target and lure trials provides a clear indication that this is not the case; although increased concurrent load during sequence presentation had substantial negative impacts on all stimulus conditions, this was no larger for binding than for color or shape memory. However, it should also be noted that accuracy across the serial positions showed a slightly different profile in the binding condition, with larger load effects at the middle serial position. While this may indicate a genuine vulnerability of bound object representations at mid-sequence positions when executive processes are withdrawn, it is important to establish whether this pattern is sufficiently robust to be replicable. Experiment 2 served to examine this question.

Finally, it should be noted that examination of performance in the articulatory suppression conditions reveals a generally reduced recency advantage relative to those previously observed (e.g. Allen et al., 2006). This is likely attributable to the use of three-item sequences in this study (thus enabling the addition of the key concurrent task manipulation), as opposed to sequences of four items in the final experiment reported by Allen et al. (2006). Nevertheless, as in that study, a larger recency effect for binding relative to feature memory is still observable in the present experiment, as reflected by the interaction between stimulus condition and serial position.

## Experiment 2

The primary aim of this experiment was to establish the reliability of the key patterns observed in Experiment 1. Thus, we explored whether concurrent executive load during sequence encoding would cause equivalent disruption to memory for individual features and their conjunctions, and whether this disruption was limited to the first two items in the sequence, leaving memory for the final item unaffected. Experiment 2 was a replication of the first study, with the exception that to-be-remembered stimuli were identical in all conditions. In Experiment 1 (and in all experiments in Allen et al., 2006), items in the shape and color conditions only varied on a single feature dimension. This allows for a greater focus on the relevant dimension, and minimizes the possibility of any irrelevant shape-color binding influencing performance, but has the potential disadvantage that stimuli in feature and conjunction conditions vary in their appearance. Allen et al. (2012) examined feature memory within simultaneously presented arrays under conditions in which non-tested dimensions were held constant (Experiment 1) or were varied (Experiment 2) and found similar load effects in each case. However, it is important to examine whether the outcomes from Experiment 1 in the present series replicate when to-be-remembered sequences are equivalent in appearance in all conditions.

## *Method*

### *Participants*

There were 24 participants (6 males; 18 females) in this experiment, all students at the University of Leeds (mean age 21.42 years, range 18-28). They took part for course credit or a small honorarium.

### *Materials, Design, and Procedure*

The same materials, design, and procedure as Experiment 1 were used again in this experiment, with the exception that both color and shape varied during the presentation phase for all stimulus conditions. Therefore, participants encountered sequences of three different colored shapes, and were required to focus on color only, shape only, or the conjunctions of these features, depending on the stimulus condition. As in Experiment 1, test probes consisted either of non-canonical color ‘blobs’ (in the color condition), unfilled shape outlines (in the shape condition), or colored shape conjunctions (binding), with participants required to decide whether these individual features or feature combinations had been present during the stimulus sequence they had just experienced. This procedure closely resembles the method used by Brown and Brockmole (2010) and Allen et al. (2012, Experiment 2), though with serial instead of simultaneous target presentation.

## *Results*

For backward counting, participants recorded a mean number of 4.68 ( $SE = .21$ ) steps for the color condition, 4.66 ( $SE = .21$ ) for shape, and 4.65 ( $SE = .21$ ) for binding, with a repeated measures ANOVA showing no effect of stimulus condition,  $F(2,46) = .11$ ,  $MSE = .07$ ,  $p = .90$ ,  $np2 = .01$ . Error rates were very low ( $< .03$  in all conditions), and there was again no effect of stimulus condition,  $F(2,46) = 1.3$ ,  $MSE = .01$ ,  $p = .28$ ,  $np2 = .05$ .

Recognition accuracy is displayed in Figure 4. A 3x2 repeated measures ANOVA revealed significant effects of stimulus condition,  $F(2,46) = 37.90$ ,  $MSE = .03$ ,  $p < .001$ ,  $np2 = .62$ , and concurrent task,  $F(1,23) = 42.32$ ,  $MSE = .05$ ,  $p < .001$ ,  $np2 = .65$ . However, the condition by task interaction was not significant,  $F(2,46) = 1.35$ ,  $MSE = .01$ ,  $p = .27$ ,  $np2 = .06$ . This overall analysis was followed up by comparing each of the feature conditions with binding in separate 2x2 ANOVAs. For both color vs. binding and shape vs. binding, the stimulus condition by concurrent task manipulation was not significant ( $p = .91$  and  $p = .20$  respectively).

Performance on target trials was then separately analyzed as a function of serial position. Mean performance accuracy for each stimulus condition under conditions of AS and BC is displayed in Figure 5. An overall 3x2x3 ANOVA revealed significant effects of stimulus condition,  $F(2,46) = 12.80$ ,  $MSE = .03$ ,  $p < .001$ ,  $np2 = .36$ , concurrent task,  $F(1,23) = 33.80$ ,  $MSE = .04$ ,  $p < .001$ ,  $np2 = .60$ , and SP,  $F(2,46) = 22.10$ ,  $MSE = .03$ ,  $p < .001$ ,  $np2 = .50$ . There was also a significant interactions between concurrent task and SP,  $F(2,46) = 5.66$ ,  $MSE = .02$ ,  $p < .01$ ,  $np2 = .20$ . The stimulus condition and SP interaction was not significant although somewhat marginal,  $F(4,92) = 2.17$ ,  $MSE = .02$ ,  $p = .08$ ,  $np2 = .09$ , with trends towards larger recency effects in the binding condition, relative to feature memory. The interaction between stimulus condition and concurrent task, and the three-way interaction, were not significant ( $p = .61$  and  $p = .89$  respectively). Planned comparisons examining concurrent task effects at each SP revealed significant differences (Bonferroni-Holm adjusted) between AS and BC conditions at positions 1,  $t(23) = 4.34$ ,  $p < .001$ , and 2,  $t(23) = 4.46$ ,  $p < .001$ , for color, but not at position 3,  $t(23) = 1.40$ ,  $p = .175$ . Similarly, for shape, there were effects of BC at position 1,  $t(23) = 2.63$ ,  $p = .03$ , and position 2,  $t(23) = 3.22$ ,  $p = .004$ , but not position 3,  $t(23) = 1.12$ ,  $p = .273$ . Finally, for binding, there was a

significant effect of BC at position 1,  $t(23) = 3.00$ ,  $p = .012$  for binding, though not for positions 2,  $t(23) = 2.18$ ,  $p = .117$ , or 3 ( $t < 1$ ).

As in Experiment 1, analysis of response latency data revealed patterns that were similar to accuracy, with no evidence of any speed-accuracy trade-offs.

### *Discussion*

This experiment replicated the outcomes of Experiment 1 in all key aspects of the study overall; concurrent load effects were again only reliably observed on the first two positions in the sequence, with memory for the final item impervious to this disruption. Thus, executive resources are reliably important in retaining all but the terminal item in a sequence of visual stimuli. This would fit with Experiment 1 in suggesting that it is not the initial encoding or very brief (1s) retention of objects that is reliant on executive support, but their maintenance in the face of subsequent stimuli. In addition, the disruptive effects of concurrent load were statistically equivalent across all stimulus conditions, including feature and binding memory. Thus, regardless of whether to-be-remembered sequences of single features only vary on the relevant dimension (Experiment 1) or are allowed to vary slightly in both color and shape (Experiment 2), they show equivalent reliance on executive resources to feature conjunction memory.

The outcomes from these two experiments were therefore extremely similar overall, with concurrent task effects emerging on earlier but not final items. We also again observed somewhat larger recency effects in the binding condition, relative to the single feature conditions (as in Allen et al., 2006). However, the profile of concurrent task effects on binding across sequence positions does slightly vary between experiments, with significant effects only at position 2 in the first experiment, and position 1 in the second (after Bonferroni-Holm adjustment). There is no clear reason for this variation, given that the



binding conditions were identical in the two experiments. One possibility is that it reflects varying strategy use (e.g. focusing on certain items in the sequence) between different groups of participants.

Experiment 3 was therefore designed to clarify how executive load impacts on memory for binding at different points in a sequence. To do so, we extended the exploration to a different testing procedure, and explored forms of response error produced by participants and associated implications for the nature of forgetting.

### Experiment 3

The first two experiments used single-probe recognition in order to compare sequentially presented feature and binding memory. While this is an appropriate method for such an overall comparison, it is less suited to a fine-grained examination of binding performance across positions in the sequence. Such an analysis is only possible for 50% of the implemented trials, as target-absent lure trials are made up of features recombined from different points in the sequence (in the binding condition). The binary nature of the yes/no recognition response is also not particularly informative, as incorrect responses provide no further information about why errors were made. Therefore, Experiment 3 examined feature binding only, and replaced recognition with a cued recall task based on Ueno, Mate, Allen, Hitch, and Baddeley (2011). In this method, participants are presented at test with a feature cue, and are required to recall the corresponding feature from the other dimension that it was paired with during presentation. This method still critically requires memory for the binding between features for an accurate response, and has been successfully used to examine the effects of to-be-ignored (TBI) suffixes on binding memory (Ueno, Mate, et al., 2011). It also has the advantages of providing more data points per serial position (as all trials can be included in this analysis), and a more sensitive performance range (as chance guessing rate is

limited to the likelihood of guessing correctly from the number of items in the experimental pool).

Importantly, paradigms such as cued recall enable the opportunity to analyze types of error, thus potentially providing further insights into the mechanisms of forgetting across sequences and as a result of concurrent load. For example, Ueno, Mate, et al. (2011) found that a to-be-ignored suffix (following a simultaneous target array) led to reduced cued recall accuracy through an increase in the erroneous recall of non-presented features (possibly reflecting overwriting of target representations), as opposed to recall of features from other presented objects (reflecting binding errors). In contrast, using a precision-based reconstruction measure of color-orientation binding (following serial presentation), Gorgoraptis, Catalao, Bays, and Husain (2011) observed increased binding errors at early sequence positions. However, no previous studies have examined the forms of error pattern in sequentially encountered binding tasks under attentional load conditions. Therefore, this third experiment provides new information about the forms of forgetting induced by withdrawal of executive resources during sequence presentation.

### *Method*

#### *Participants*

There were 26 participants (2 males; 24 females) in this experiment, all students at the University of Leeds (mean age 19.3 years, range 18-21). They took part for course credit or a small honorarium.

#### *Materials, Design, and Procedure*

Stimuli from Experiments 1-2 were used again in this experiment, which manipulated concurrent task (AS vs. BC) as the single repeated measures variable (with order

counterbalanced across participants). There were 51 trials (3 practice and 48 test trials) in each of the concurrent task blocks. Within each trial block, there were 24 ‘color-probe’ trials and 24 ‘shape probe’ trials (see below), and for each of these trial types, each of the 3 serial positions was probed 8 times (providing a total of 16 trials for each serial position, in each concurrent task block). All probe-type and serial position trials were randomly intermixed.

The experimental session began by presenting participants with the sets of 8 colors and 8 shapes, and familiarizing them with the labels to be used at recall. The presentation method in each trial was identical to Experiments 1-2, with articulatory suppression or backward counting (in 2s) performed from prior to the fixation cross, through to presentation of the test probe. This consisted of a color- or shape-probe, with participants required to verbally recall the feature from the other dimension that was part of the same object during that sequence. Probes consisted of the color blobs and shape outlines used in the previous experiments, and were presented at lower screen centre until participants made their verbal response and pressed the space bar to move on to the next trial. Participants were encouraged to provide a guess rather than no response, with all backward counting and cued recall responses recorded by the experimenter.

### *Results*

For backward counting, participants recorded a mean number of 3.97 ( $SE = .02$ ) steps, with an error rate of .004.

Responses were categorized as correct or incorrect, based on whether the recalled feature was part of the same object as the probe feature. Comparison of color- and shape-probe trials revealed a significant difference, with participants somewhat more accurate when recalling colors in response to shape probes, than recalling shape names on color probe trials,  $F(1,25) = 6.27$ ,  $MSE = .01$ ,  $p < .05$ ,  $\eta^2 = .2$ . As this was not the primary focus of the

present study, and probe type did not interact with any other factor ( $F < 1$  in all cases), color and shape probe trials were collapsed together. Mean proportional accuracy is displayed in Figure 6, as a function of concurrent task and serial position. Erroneous responses were then categorized as within-sequence confusions (recall of another feature from the presented sequence), extra-sequence intrusions (recall of a feature from the wider experimental set), extra-experiment intrusions (recall of a shape or color not featured in the experiment) or omissions (no response), following Ueno, Mate, et al. (2011). Rates of extra-experiment intrusions and omissions were very low ( $< .02$  of all responses) and so will not be reported or analyzed further. Within-sequence confusion and extra-sequence intrusions errors are displayed in Figure 7, as a function of concurrent task and serial position.

### *Accuracy*

For mean proportion correct, a 2x3 repeated measures ANOVA revealed significant effects of concurrent task,  $F(1,25) = 54.17$ ,  $MSE = .02$ ,  $p < .001$ ,  $np2 = .68$  and serial position,  $F(2,50) = 35.66$ ,  $MSE = .02$ ,  $p < .001$ ,  $np2 = .59$ . In addition, the task by position interaction was significant,  $F(2,50) = 10.33$ ,  $MSE = .02$ ,  $p < .001$ ,  $np2 = .29$ . Comparisons of concurrent task effects at each SP indicated significant differences (Bonferroni-Holm corrected) between AS and BC conditions at positions 1,  $t(25) = 5.28$ ,  $p < .001$ , and 2,  $t(25) = 8.72$ ,  $p < .001$ , but not at position 3 ( $t < 1$ ).

### *Errors*

*Within-sequence confusions.* Within-sequence confusions can be interpreted to reflect binding errors between different objects in a given sequence. A 2x3 repeated measures ANOVA revealed significant effects of concurrent task,  $F(1,25) = 10.19$ ,  $MSE = .02$ ,  $p < .01$ ,  $np2 = .29$ , and serial position,  $F(2,50) = 12.29$ ,  $MSE = .02$ ,  $p < .001$ ,  $np2 = .33$ . The task by position interaction was also significant,  $F(2,50) = 5.31$ ,  $MSE = .01$ ,  $p < .01$ ,  $np2 = .18$ .

Further comparisons (Bonferroni-Holm corrected) revealed significant effects of BC relative to AS at position 2 only,  $t(25) = 4.59, p < .001$ ; there were no significant differences at the first,  $t(25) = 1.79, p = .26$ , or final ( $t < 1$ ) positions in the sequence. Chance guessing rate for this error type (based on guessing limited to the experimental set) was (sequence length-1/experimental set-1) .29. Comparison of each concurrent task/SP error rate with this rate revealed that only serial position 2 under backward counting produced a confusion rate above that expected by chance.

*Extra-sequence intrusions.* Extra-sequence intrusions (recall of non-presented features from the wider experimental set) can be viewed as a guessing response, and might reflect overwriting/loss of the probed item's representation from memory. A 2x3 repeated measures ANOVA revealed significant effects of concurrent task,  $F(1,25) = 28.21, MSE = .01, p < .001, np2 = .53$ , and serial position,  $F(2,50) = 14.77, MSE = .01, p < .001, np2 = .37$ . The task by position interaction was again significant,  $F(2,50) = 5.29, MSE = .01, p < .01, np2 = .18$ . Further comparisons (Bonferroni-Holm corrected) revealed significant effects of BC, relative to AS, at position 1,  $t(25) = 3.94, p = .003$ , and position 2,  $t(25) = 6.54, p < .001$ , while there was no effect at the final position in the sequence,  $t(25) = 1.04, p = .31$ .

### *Discussion*

This experiment served to clarify and explore further the issues highlighted in Experiments 1-2. The primary outcome was that, while backward counting had substantial negative impacts on this cued recall measure of visual WM, these effects were very clearly limited to the first two positions in the presented sequence. Thus, when using a method that allows for more data points per serial position, we were able to obtain a clear pattern of attention-related decrement for early-sequence items, coupled with the absence of any effects on recall of the

final item. This basic pattern of concurrent task effects extends the core findings from Experiments 1 and 2 to a different testing procedure.

The cued recall method also allowed an analysis of incorrect responses, which were divided into those reflecting loss of the whole representation (extra-sequence intrusions) or a confusion between the features of two items in a sequence, i.e. a binding error (within-sequence confusions). Concurrent load led to a substantial increase in intrusion errors, but only at the first two sequence positions, suggesting much of the load effects on accuracy were the result of losing whole items. As participants were encouraged to guess rather than provide no response, this error type can be interpreted as reflecting overwriting or representation loss of the whole item (followed by a guessing response based on the experimental item pool), and would account for the general reduction in recognition accuracy for all conditions in Experiments 1 and 2. In contrast, errors reflecting confusion or binding problems within the sequence were only significantly increased, and only above chance, at the middle position in the sequence. Such a response might be characterized as reflecting a binding error, that is an incorrect pairing of features from two objects (see also Gorgoraptis et al. (2011), and may reflect a role for executive resources in preventing conjunction errors emerging between temporally adjacent items in a sequence.

### General Discussion

While previous research has shown that visual working memory is reliant on executive support, it has not always been straightforward to specify how this might operate. Sequential presentation enables an examination of changing processes over time and activity, and therefore optimizes the chances of successfully localizing the impact of withdrawing executive resources. Within this context, we examined the effects of a verbal concurrent load (backward counting during presentation and retention) on visual working memory. Three

experiments found that backward counting disrupted performance, consistent with a range of previous findings indicating a key role for internally oriented, executive resources in visual memory (e.g. Allen et al., 2006, 2012; Brown & Brockmole, 2010; Morey & Cowan, 2004, 2005; Morey & Bieler, 2012). Critically, analysis of load effects on target trials in the recognition paradigm used in Experiments 1 and 2, and all trials in the cued recall procedure used in Experiment 3, only revealed reliable disruption of memory for the first two items in a sequence, with no effects on final item recognition or recall. While recency effects have previously been observed in visual memory (e.g. Allen et al., 2006; Broadbent & Broadbent, 1981; Parmentier et al., 2004), the key outcomes in the present work lie in the interaction between the serial position functions observed under simple suppression and backward counting, and the absence of load effects at the final sequence position. This is a reliable and consistent pattern of effects that emerges across different stimulus conditions and testing methods and is not attributable to simple floor or ceiling effects.

These findings suggest a two-component view of memory for visual object sequences that can be applied to performance variation across serial positions, with particular regard to the emergence of recency effects and the role of executive control resources. Specifically, they suggest that accessible retention of items that were encountered earlier in the sequence is dependent on executive control, while the most recently encountered item is retained in a relatively automatic and cost-free manner. It is useful to speculate how this might operate. When each new item is encountered in the environment, it can automatically gain access to working memory without the need for executive resources. Storage in conscious awareness may be provided by a component such as the episodic buffer (Baddeley, 2000; Baddeley, Allen, & Hitch, 2011). As previously noted (Baddeley, 2012), the episodic buffer may be analogous to the focus of attention as described by Cowan (e.g. 2005), and indeed we use this term to describe the active storage of accessible representations. The present work therefore

helps highlight and build on the similarities between these approaches, though we would interpret our findings within the context of the multicomponent framework. Oberauer and Hein (2012) have recently differentiated between a broad focus of attention capable of holding around four chunks of information and a narrow focus that selects a single chunk at a time, while Gilchrist and Cowan (2011) have argued for a single focus of attention that can hold more than one chunk simultaneously. Both approaches propose that the most recently encountered item in a sequence will always be encoded into and retained in the active focus of attention, thus supporting accurate performance (see also McElree & Doshier, 1989, 2001), a suggestion that clearly fits with our current findings. Furthermore, Gilchrist and Cowan (2011) also note that the probability of an item from earlier in the sequence being retained within this focus will vary. We suggest that this crucially depends on the availability and attribution of executive control resources.

Thus, as presentation of a sequence progresses, each item in turn passes through two phases of processing. Each will briefly benefit from automatic storage within the focus of attention. As visual memory is dynamic and constantly subject to updating in response to changes in the environment, temporary representations are vulnerable and subject to disruption caused by incoming information (e.g. Makovski, Sussman, & Jiang, 2008; Rensink, 2000, 2002). Continued maintenance of such representations within the focus of attention in the face of subsequent stimuli will then require executive resources. If this support is not available (e.g. if executive attention is allocated to another task), or is instead directed to the retention of other items, early sequence items are likely to be displaced. This displacement might lead to forgetting of the whole item (Gajewski & Brockmole, 2006), or either of the constituent features (Cowan et al., 2013), with either situation increasing the probability of participants making a guess response, as indicated by the increase in extra-sequence intrusion rates in Experiment 3. In contrast, the final item in a sequence retains



privileged and cost-free storage in visual working memory, at least for a brief period, reflecting an absence of further environmental interference and overwriting (see also Cowan, 2011). Under this approach, while we might accept the Oberauer and Hein (2012) distinction between broad and narrow attentional foci, we would suggest that a) the latter is capable of holding more than one chunk of information (as claimed by Gilchrist & Cowan, 2011), and that b) while earlier items need executive resources in order to avoid displacement, the last item is stored for free. Thus, more than one item can be retained within focused attention, but the requirement for executive control in this maintenance process depends on where in a stimulus sequence each item was encountered.

The current findings and theoretical interpretation can be usefully linked to the taxonomy of attention recently set out by Chun, Golomb, and Turk-Browne (2011). This describes separable but interactive forms of attentional control, differentiating between modality-independent executive resources that are internally oriented and cognitive in nature, and externally oriented attention that is directed towards, modulates, and can be captured, by external stimuli. Chun et al. place working memory at the interface between these forms of attention. In line with this, our present account describes how both might contribute to the processing of visual sequences, and how this impacts on working memory. Specifically, the focus of attention, possibly within the episodic buffer, would represent the intersection between new stimuli in the external environment that can be automatically encoded and retained, and internally oriented cognitive control that is required to prevent older items from being displaced and lost.

If executive control is only required to protect existing representations from new input, this begs the question of why substantial effects of concurrent tasks have previously emerged on simultaneously presented target arrays (e.g. Allen et al., 2006, 2012), given that all items in such arrays may be comparable to the final item in a sequence (based on an

absence of subsequent environmental interference). One possibility is that cost-free encoding and/or storage within the focus of attention is limited in capacity; concurrent registration and retention of multiple items would therefore require executive resources to enable accurate recognition or recall performance. Indeed, Makovski et al. (2008) have demonstrated how orienting attention to an individual item within a multi-stimulus array increases protection for that item from subsequent external interference. However, further research will be required to explore the various constraints that operate on controlled and cost-free storage functions across contexts.

The absence of any overall interaction between stimulus condition and concurrent task in Experiments 1 and 2 supports the claim that memory for visual feature bindings is no more reliant on executive resources than memory for the individual features (Allen et al., 2006, 2012). The current study extends this to serial presentation, and also observes impacts of backward counting at earlier sequence positions that are broadly equivalent for feature and binding memory. Therefore, evidence indicating a particular loss of binding as a result of subsequently encountered stimuli (e.g. Allen et al., 2006; Ueno, Allen, et al., 2011; Ueno, Mate, et al., 2011; Wheeler & Treisman, 2002) is not specifically attributable to the withdrawal of executive resources, which are important in maintaining accessibility of early items regardless of whether the individual features or their conjunctions need to be retained for the purposes of the task. Such findings instead reflect a greater fragility of bound representation, with information critical to supporting binding memory at retrieval being more likely to be lost when further items are encountered (as again indicated in the larger recency patterns for binding in the present study). Subsequent work should attempt to specify more closely the factors, both internally and externally oriented (Chun et al., 2011), that may influence and underlie this binding loss.

However, it is also noteworthy that an increase in within-sequence confusion errors (i.e. incorrect recall of a feature from a different item in the sequence) as a result of concurrent load was observed in the final experiment. Such a response may reflect a binding error, that is, an incorrect pairing of features from two different objects (Gorgoraptis et al., 2011; Pertsov, Dong, Peich, & Husain, 2012). This load-related increase was limited to trials cueing the middle item in the sequence, and it was only this position at which the error rate was elevated significantly above chance, suggesting that binding errors are more likely to occur between adjacent items in the sequence. This might also help explain why the binding condition showed particular disruption at the middle sequence position as a result of backward counting in Experiment 1 (though this was not replicated in Experiment 2). Thus, the features of different objects are somewhat more likely to become confused when executive control is directed elsewhere, indicating that it does have a role in maintaining within-object cohesion. However, the absence of broader interactive effects in Experiments 1 and 2 suggest this is not a large enough source of disruption to cause increased binding problems overall, or to claim that executive attention is always *critical* to binding.

In summary, our studies suggest two components in serial visual working memory. One principally involves the final item and appears to be automatic and impervious to executive disruption. A second, involved in the maintenance of earlier items, is sensitive to executive load, with the influence of load being broadly equivalent for individual features and bound objects.

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## Footnote

1. Data were also analyzed using both  $d'$  and  $A'$  for Experiments 1 and 2. These analyses produced identical patterns to those revealed by H-FA, and so only the latter will be reported in full.



### Author's note

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## Figure captions

Figure 1. Illustration of procedure in Experiment 1, showing the binding condition (a), and corresponding example stimuli series for color (b) and shape (c) conditions (not to scale). All displays used a grey background.

Figure 2. Mean accuracy (hits-false alarms) in Experiment 1, with standard error

Figure 3. Mean hit rates as a function of serial position for the color, shape, and binding conditions

Figure 4. Mean accuracy (hits-false alarms) in Experiment 2, with standard error

Figure 5. Mean hit rates as a function of serial position for the color, shape, and binding conditions

Figure 6. Mean proportion correct in Experiment 3

Figure 7. Rates of within-sequence confusion errors and outside-sequence intrusion errors in Experiment 3

Figure 1

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Figure 1.

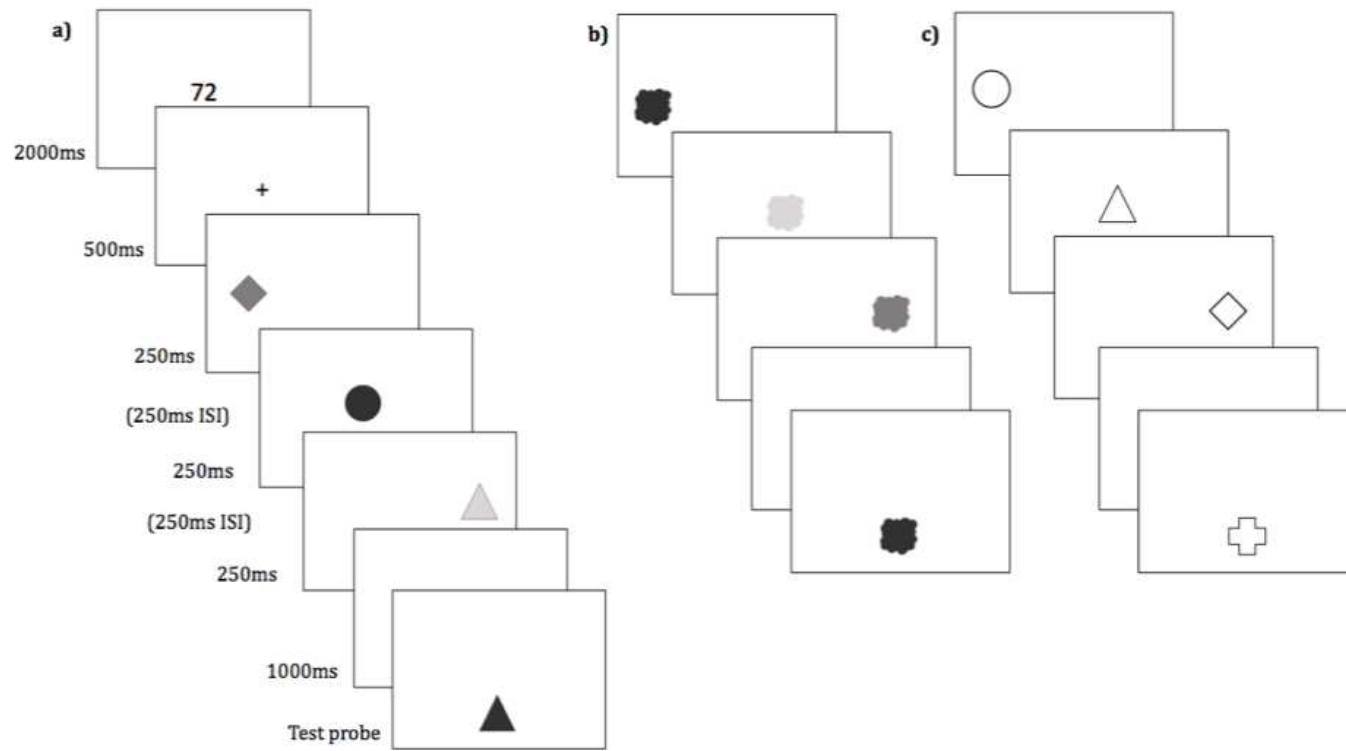


Figure 2.

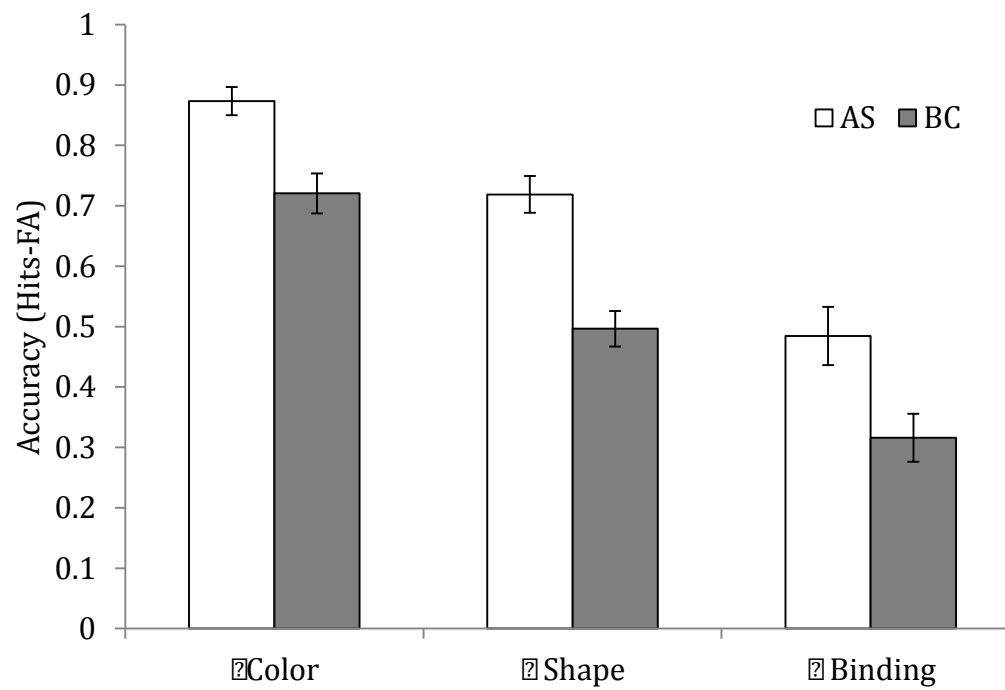


Figure 3.

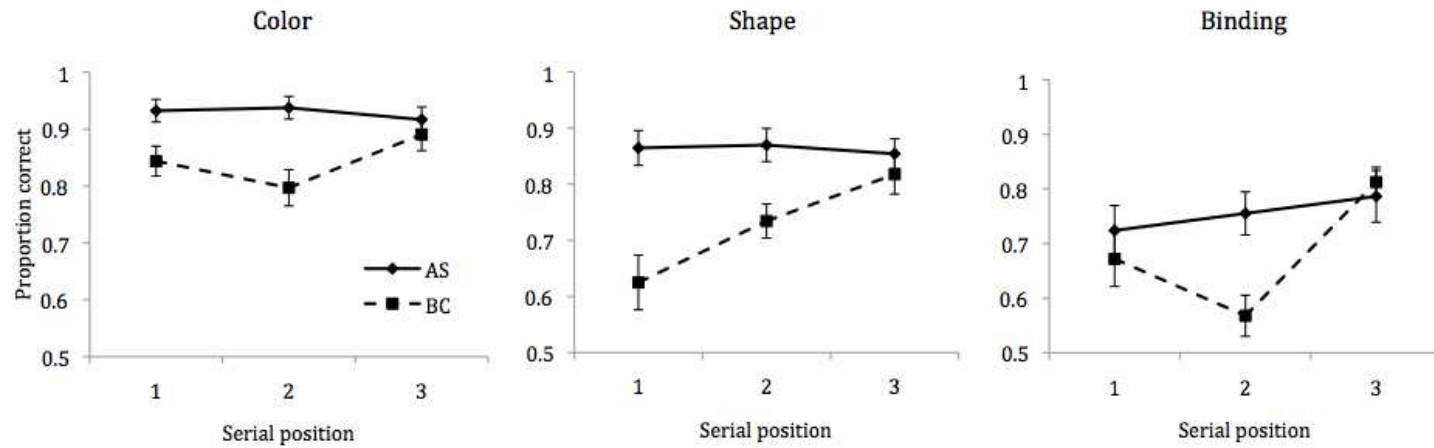


Figure 4

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Figure 4.

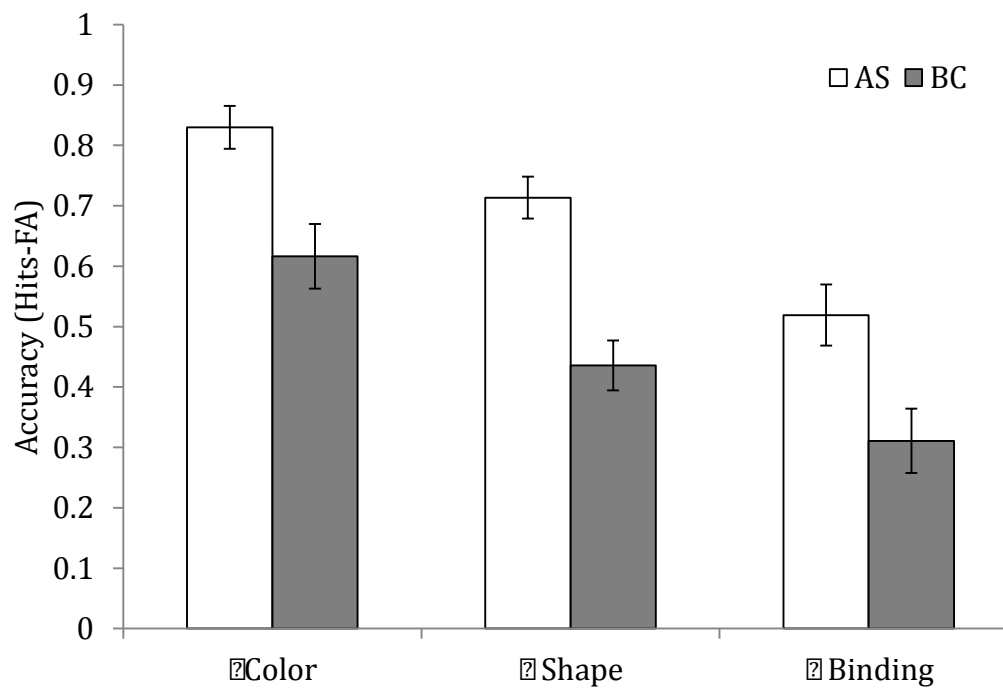


Figure 5

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Figure 5.

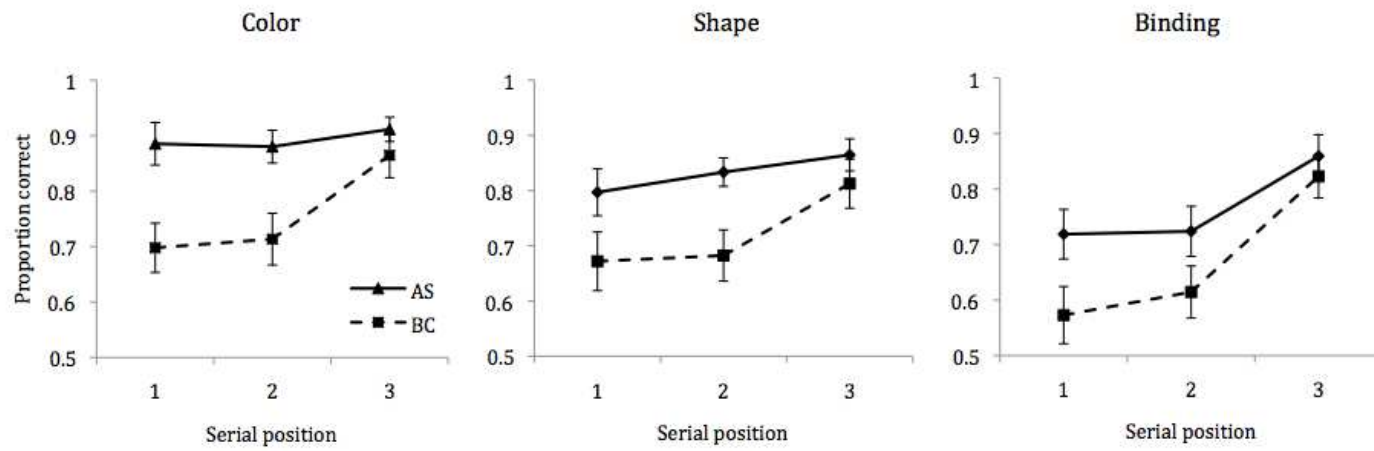


Figure 6.

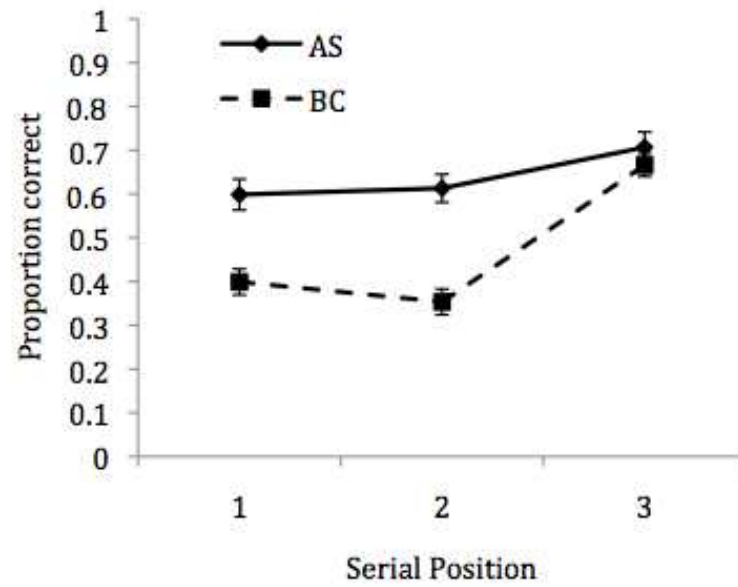




Figure 7.

