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## Hearing in middle age: a population snapshot of 40-69 year olds in the UK

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**Objective:** To report population-based prevalence of hearing impairment based on speech recognition in noise testing in a large and inclusive sample of UK adults aged 40 to 69 years. The present study is the first to report such data. Prevalence of tinnitus and use of hearing aids is also reported.

**Design:** The research was conducted using the UK Biobank resource. The better-ear unaided speech reception threshold was measured adaptively using the Digit Triplet Test (n = 164,770). Self-report data on tinnitus, hearing aid use, noise exposure as well as demographic variables were collected.

**Results:** Overall, 10.7% of adults (95%CI 10.5-10.9%) had significant hearing impairment. Prevalence of tinnitus was 16.9% (95%CI 16.6-17.1%) and hearing aid use was 2.0% (95%CI 1.9-2.1%). Odds of hearing impairment increased with age, with a history of work- and music-related noise exposure, for lower socioeconomic background and for ethnic minority backgrounds. Males were at no higher risk of hearing impairment than females.

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**Conclusion:** Around 1 in 10 adults aged 40 to 69 years have substantial hearing impairment. The reasons for excess risk of hearing impairment particularly for those from low socioeconomic and ethnic minority backgrounds require identification, as this represents a serious health inequality. The underutilisation of hearing aids has altered little since the 1980s, and is a major cause for concern.

## INTRODUCTION

Hearing loss represents a substantial burden on society (Mathers et al. 2006) and on individuals in terms of reduced emotional, social and physical well-being (Arlinger 2003; Chia et al. 2007; Dalton et al. 2003; Gopinath, Wang, et al. 2009; Mulrow, Aguilar, Endicott, Velez, et al. 1990; Strawbridge et al. 2000). Good hearing across the life course is vital in terms of people’s ability to carry out everyday activities at home, at work and at leisure. To date, the epidemiology of hearing has primarily focused on hearing loss, or sensitivity measured by detection of very quiet pure tones of varying frequencies (Agrawal et al. 2008; Cruickshanks et al. 1998; Davis 1989; Gates et al. 1990; Mościcki et al. 1985; D. H. Wilson et al. 1999). Measures of hearing loss, however, are poor predictors of hearing disability (i.e. the impact of hearing difficulties in daily life), with correlations between measures of disability and loss ranging between 0.3 and 0.6 depending on the type of disability measure and range of hearing loss (Anderson et al. 1995; Koike et al. 1994; Lutman et al. 1987; Meijer et al. 2003; Newman et al. 1990).

In order to better index hearing problems that impact on daily life, use of speech recognition tests as a supplement to tests of hearing sensitivity has been advocated in clinical audiology (Arlinger et al. 2009; Kramer et al. 1996). In the present paper, we refer to poor performance on tests of speech recognition as ‘hearing impairment’. As listening in noise is a key function of hearing, and difficulties hearing in noise is the most common complaint by people with hearing loss, speech recognition testing in noise arguably provides a more ecologically valid measure of hearing than detection of tones in a quiet environment (Arlinger et al. 2009). The present study provides estimates of the prevalence of hearing impairment in the general UK population based on speech-in-noise testing using the Digit

64 Triplet Test (DTT; Smits, Kapetyn & Houtgast, 2004). Because the DTT correlates with  
65 measures of hearing sensitivity (PTA;  $r = 0.77$ ; Smits et al. 2004) and with other speech  
66 recognition measures (such as with Plomp and Mimpen's (1979) Sentences in Noise;  $r =$   
67  $0.85$ ; Smits et al. 2004), it may be regarded as being both an indirect index of hearing loss  
68 and a measure of hearing impairment.

69

70 There has been a surge of interest in speech recognition testing in large-scale screening for  
71 clinical audiological services in the UK and Europe, Australia and the US (Meyers et al. 2011;  
72 Vlaming et al. 2011; Watson et al. 2012). Despite this interest and an extensive body of lab-  
73 based research in speech recognition, very little population-based research has been  
74 reported. We identified only three studies. The first included male participants aged 20 to  
75 64 years recruited from an engineering firm, and older male and female participants up to  
76 89 years recruited from nursing homes, with a total sample size of 212 (Plomp et al. 1979).  
77 The second study did not report any demographic information other than the age of the 75  
78 participants in the study, which ranged between 20 to 79 years (R. H. Wilson et al. 2002).  
79 The third included 1086 adults aged over 60 years in the Netherlands (Smits et al. 2006). The  
80 levels of self-reported hearing problems in the study sample were similar to those in the  
81 population-based sample from which the study sample was drawn. However, no other  
82 information on the comparability of the study sample to the general Dutch population was  
83 reported. All three studies suggested worse speech recognition in noise with age,  
84 particularly after the age of 50-60 years. For all studies, the generalisability of the results is  
85 uncertain, and only limited descriptions of the prevalence of hearing impairment according  
86 to demographic variables were possible.

87

88 The study utilised the UK Biobank resource (Collins 2012), in which 164,770 participants  
89 completed the DTT. To our knowledge no previous study has reported prevalence data for  
90 hearing impairment with a sample of this large size and wide coverage. The primary aim of  
91 the study was to provide an objective current estimate of the burden associated with  
92 hearing difficulties among UK adults aged 40 to 69 years. Secondary aims were to document  
93 associated demographics as well as prevalence of tinnitus and hearing aid use.

94

## PARTICIPANTS AND METHODS

95  
96 UK Biobank was established for investigations of the genetic, environmental and lifestyle  
97 causes of diseases of middle and older age. Recruitment was carried out via the UK National  
98 Health Service and aimed to be as inclusive and representative as possible of the  
99 population. Stratification and over-sampling were employed to maintain comparability with  
100 demographic statistics based on the 2001 UK Census (Office for National Statistics 2005).  
101 Overall, 9.2 million invitations were sent to recruit 503,325 participants over the course of  
102 2006-2010, giving a response rate of 5.47%. Table 1 shows sex, ethnicity and Townsend  
103 deprivation index score (a proxy measure of socioeconomic status; see below) for the UK  
104 Biobank sample aged 40 to 69 years and for the corresponding section of the UK population  
105 as reported in the 2001 UK Census. The UK Biobank contains a slightly higher proportion of  
106 females, people of White ethnicity and people living in less deprived areas than the general  
107 population. As data collection proceeded, additional measures were included for a subset of  
108 participants. Data were obtained from 164,770 participants for the hearing measure (Digit  
109 Triplet Test). Different numbers of participants completed self-report questions (dependent  
110 on when the question was included in the measurement protocol and contingent on  
111 responses to earlier questions), and the size of each sub-sample for each question is  
112 reported in Appendix A.

113  
114 (Table 1 here)

115  
116 Volunteers attended an assessment centre and gave informed consent. They completed an  
117 assessment of approximately 90 minutes duration which included a computerised  
118 questionnaire (on lifestyle, environment and medical history) and physical measures  
119 including hearing testing. Information on the procedure and the additional data collected  
120 can be found elsewhere (<http://www.ukbiobank.ac.uk/>).

121  
122 Data on sex and ethnicity (2001 UK Census categories) and the area of residence translated  
123 to Townsend deprivation score were collected for each participant. The Townsend  
124 deprivation scheme is widely used in health studies as a proxy for socioeconomic status, and  
125 is applicable across the UK's constituent countries (Norman 2010). It comprises four input  
126 variables on unemployment, non-car ownership, non-home ownership and household

127 overcrowding which are used to allocate a score to a small area geography<sup>1</sup>. Each variable is  
128 expressed as a z-score relative to the national level which are then summed, equally  
129 weighted, to give a single deprivation score for each area. Lower Townsend scores represent  
130 areas associated with less deprived socioeconomic status. Self-report questions on tinnitus,  
131 hearing aid use, amount of music- and work-related noise exposure are tabled in Table 2.  
132 Tinnitus identification was based on self-report of ringing or buzzing in the head or one or  
133 both ears that lasts for more than five minutes at a time and is currently experienced at  
134 least some of the time.

135

136 (Table 2 here)

137

### 138 **Digit Triplet Test**

139 The Digit Triplet Test (DTT) is a speech-in-noise test originally developed in Dutch (Smits et  
140 al. 2004) for reliable large scale hearing screening (Vlaming et al. 2011). Telephone and  
141 internet-based versions of the DTT for adult hearing screening have been implemented in  
142 the Netherlands, United Kingdom, Australia, Poland, Switzerland, Germany, France and the  
143 USA (Watson et al. 2012). The English speech materials for the UK Biobank DTT were  
144 developed at the University of Southampton (Hall 2006). The DTT is described elsewhere  
145 (<http://biobank.ctsu.ox.ac.uk/crystal/label.cgi?id=100049>). Briefly, fifteen sets of three  
146 monosyllabic digits (e.g. 1-5-8) were presented via circumaural headphones  
147 (Sennheiser HD-25). Each ear was tested separately with the order of testing randomised  
148 across participants. Participants first set the volume of the stimuli to a comfortable level.  
149 Digit triplets were then presented in a background of noise shaped to match the spectrum  
150 of the speech stimuli. Noise levels varied adaptively after each triplet to estimate the SNR  
151 for 50% correct recognition of the three digits via touchscreen response. The recognition  
152 threshold was taken as the mean SNR for the last eight triplets. Testing of each ear took  
153 around 4 minutes. Lower (more negative) scores correspond to better performance. In the  
154 present study, hearing disability was based on 'better ear' performance (i.e. the ear with the  
155 lower recognition threshold) categorised with reference to a group consisted of 20  
156 volunteers with normal hearing aged 18 to 29 years who performed the UK Biobank version

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<sup>1</sup> Electoral wards in England, Wales and Northern Ireland, postal sectors in Scotland

157 of the DTT tested by the first author. Normal hearing was defined as pure tone audiometric  
158 thresholds <25 dB HL between 250 Hz and 8,000 Hz bilaterally. For the normative group,  
159 mean speech reception threshold in the better ear was -8.00 dB SNR, SD = 1.24.  
160 Performance categories were based on those used by the UK telephone hearing screening  
161 version of the DTT (<http://www.actiononhearingloss.org.uk/>). Cut-off scores were thus  
162 'Normal'; SRT < -5.5 dB, 'Insufficient'; -5.5 dB to -3.5 dB and 'Poor'; SRT > -3.5 dB<sup>2</sup>.

163

#### 164 **Data analysis**

165 All analyses were performed in Stata version 12.1. Within each subsample, iterative  
166 proportional fitting was used (IPF, or raking; *ipfweight* command in Stata) in each age  
167 category to adjust the subsample margins to known population margins of sex, ethnicity and  
168 socioeconomic status from the 2001 UK Census. For the overall age category (40-69 year-  
169 olds), age was included as an additional weighting variable. With respect to socioeconomic  
170 status, deciles of deprivation weighted for each five year age-group using 2001 UK Census  
171 data were linked to each participant. This allowed for the Biobank sample being selective of  
172 people living in slightly less deprived circumstances and that the distribution of people  
173 across differently deprived areas varies by age. As different subsets of participants  
174 completed each measure, the weights were calculated separately within subsamples based  
175 on whether the respective outcome variable was observed. This assumes that missing data  
176 may be ignored because the reason for missing data is not systematically related to the  
177 outcome variable. Missing data were primarily accounted for by the inclusion of measures  
178 at different points over the course of data collection, and this was unrelated to the hearing  
179 status of participants. The IPF procedure performs a stepwise adjustment of survey  
180 sampling weights until the difference between the observed subsample margins and the  
181 known population margins across sex, ethnicity and socioeconomic status is less than a  
182 specified tolerance, which was set at 0.2%. Convergence of the IPF procedure was achieved  
183 within 10 iterations for all subsamples and age categories. The subsamples were weighted  
184 and the crosstabulations performed to generate the population prevalence estimates.

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<sup>2</sup> To facilitate comparability, the category names ('insufficient' and 'poor') are the same as those used in previous publications concerning the DTT (Hall 2006; Smits et al. 2004; Vlaming et al. 2011). The cut-off for the 'insufficient' category is performance lower than -2 standard deviations with respect to the normative sample while the 'poor' category is defined by a further 2 dB step, which corresponds to an increase of hearing threshold level of around 10 dB (Smits et al. 2004; Vlaming et al. 2011).

185 Multinomial logistic regression was used to model the effects of age, sex, socioeconomic  
186 status, work- and music-related noise exposure and ethnicity on hearing difficulties.

187

188

## RESULTS

189 Prevalence data are presented graphically. For numerical values, see the Supplementary  
190 Data files. Figure 1 shows that the prevalence of hearing difficulties increases with age, with  
191 an acceleration in prevalence beginning in the 55-59 year-old age group. The proportional  
192 increase in hearing difficulties between the youngest and the oldest age group was 3.9-fold.

193

194 (Figure 1 here)

195

196 Tinnitus shows a pattern of increase with age (Figure 2), although this follows a more  
197 gradual linear pattern than for DTT performance. The proportional increase in tinnitus  
198 between the youngest and oldest age groups was 2-fold. Hearing aid use (Figure 3) was  
199 2.0% overall, and usage accelerated with age (a 7.4-fold increase between youngest and  
200 oldest age groups). Among the 'poor' category, only 21.5% reported using a hearing aid and  
201 those with hearing aids had significantly lower (less deprived) Townsend levels than those  
202 without (-0.63 versus 0.15;  $t(3150) = 5.42$ ,  $p < 0.001$ ).

203

204 (Figure 2 and Figure 3 here)

205

206 Table 3 shows odds ratios derived from multivariable logistic regression modelling of the  
207 main effects for the prevalence of hearing difficulties on the Digit Triplet Test. The main  
208 effects of six factors were tested including age, sex, socioeconomic status, work- and music-  
209 related noise exposure and ethnicity. Increasing age was associated with higher risk of  
210 hearing difficulties. Those from a low socioeconomic background and those with a history of  
211 work-related noise exposure were also more likely to have hearing difficulties. Music-  
212 related noise exposure showed an inconsistent pattern; exposure for more than 5 years was  
213 associated with a small but significant increased risk of hearing impairment, exposure  
214 between 1 and 5 years was not associated with increased risk, but shorter duration  
215 exposure (<1 year) was. Female sex was associated with small increased odds for  
216 'insufficient' speech reception threshold, while sex was not a significant factor for 'poor'

217 performance. Comparison of mean performance between males and females suggested no  
218 significant difference the speech reception threshold in younger age groups (40-44 year-  
219 olds: males -7.82 dB, females -6.76 dB;  $t(17136) = -2.3$   $p = 0.29$ ) while females tended to  
220 have slightly better mean performance in the oldest age groups (65-69 year-olds: males -  
221 6.65 dB, females -6.79 dB;  $t(32242) = 6.0$   $p < 0.001$ ). Non-white ethnicity was associated with  
222 increased risk. Logistic models were re-run to provide odds ratios for ethnic sub-groups  
223 compared to White British for hearing difficulties (insufficient or poor; see Supplemental  
224 Tables). Ethnicities at highest risk were Bangladeshi, Black African, Pakistani, Black Other  
225 and Asian Other (ORs 5.0 to 7.1,  $p < 0.001$ ).

226

227 (Table 3 here)

228

229

## DISCUSSION

230 Overall 10.7% of adults had a hearing impairment based on speech recognition in noise  
231 measured with the DTT. This impairment may be expected to impact on both home and  
232 work life. Prevalence increased with age particularly after the mid-50s, consistent with  
233 earlier studies (Plomp and Mimpen 1979; Smits et al. 2006; R. H. Wilson and Strouse 2002).  
234 The proportion of adults who reported tinnitus (16.9%) was comparable to a previous  
235 estimate which used a somewhat similar measure (15.1% of those aged 41 to 70 years;  
236 Davis 1995). Prevalence of tinnitus also increased with age, although the proportional  
237 increase in tinnitus was smaller than for hearing impairment.

238

239 In the present study and in numerous previous ones, increasing age was strongly associated  
240 with hearing loss (Cruickshanks et al. 2010), although recent observations suggest that  
241 hearing loss may be delayed and/or the severity of hearing loss with age may be moderated  
242 (Hoffman et al. 2012; Zhan et al. 2009). Alterations in environmental, lifestyle or other  
243 modifiable risks may explain a lower prevalence of hearing loss in younger birth cohorts  
244 (Zhan et al. 2011). Given the substantial burden of hearing loss with aging, the possibility of  
245 preventing or postponing hearing loss is extremely appealing.

246

247 Low socioeconomic status may be one such modifiable risk, and the association between  
248 socioeconomic status and hearing has been observed in several studies in addition to the

249 present one (Davis 1989; Sixt et al. 1997). Low socioeconomic status is associated with a  
250 range of lifestyle factors such as smoking, poor diet, insufficient exercise and excessive  
251 drinking (Poortinga 2007). All of these factors have been independently associated with  
252 higher risk of hearing loss (Cruickshanks et al. 2010), and this may explain the association of  
253 low socioeconomic status with hearing loss. Noise exposure is a particular risk for hearing  
254 loss, and low socioeconomic status is also associated with occupations involving high levels  
255 of noise exposure (Lutman et al. 1994; Lutman et al. 1991). Interestingly, in a study by Davis  
256 and colleagues (Davis et al. 2008), after controlling for occupation-related noise exposure,  
257 smoking and drinking, the effect of current socioeconomic status on hearing still accounted  
258 for up to 64% of variance in hearing thresholds. Further, socioeconomic status during  
259 childhood accounted for an even higher proportion. The authors concluded that adult  
260 susceptibility to hearing impairment is likely to be determined by socioeconomic status-  
261 mediated experiences in childhood. Early childhood and pre-natal experiences have been  
262 associated with a range of adult health outcomes, particularly cardio-vascular ones (Barker  
263 2004). Several studies also suggest an association between early childhood experiences  
264 (such as birth weight, weight gain and parental smoking) with risk of adult hearing loss  
265 (Barrenäs et al. 2005; Power et al. 2007; Sayer et al. 1998). Understanding and moderating  
266 the risk associated with low socioeconomic status and adult hearing loss may involve  
267 attention to the experiences of childhood.

268

269 In the present study, work-related noise exposure was associated with poor hearing, in line  
270 with previous research (Cruickshanks et al. 2010). Music-related noise exposure was  
271 inconsistently associated with poor hearing; exposure over 5 years or less than 1 year's  
272 duration were associated with poor hearing, but exposure between 1 to 5 years was not. If  
273 this is a reliable finding, one possible explanation may be that respondents reporting  
274 exposure of less than 1 year's duration had few, but highly damaging exposures over a short  
275 period (for example, one or two very loud rock concerts). Reliable measurement of music-  
276 related noise exposure is a challenge, although these data suggest that music-related  
277 exposure poses a risk to hearing similar to established risks for occupational noise.

278

279 Male sex was associated with slightly reduced risk of 'insufficient' category of hearing  
280 difficulties, with no significant association between sex and the 'poor' category of hearing

281 difficulties. This was unexpected. Previous studies indicated that males are at increased risk  
282 of hearing impairment (Agrawal et al. 2008; Cruickshanks et al. 1998; Gopinath, Rochtchina,  
283 et al. 2009), although in the UK National Study of Hearing, males had only slightly increased  
284 odds of mild to moderate hearing impairment, and sex was not significantly associated with  
285 severe hearing impairment (Davis 1989). The present study included participants up to the  
286 age of 69 years only. However, it is unlikely that the exclusion of older adults may account  
287 for the lack of more substantial sex differences in hearing because in previous studies, as  
288 these are already apparent by middle age. These contradictory findings might perhaps be  
289 due to differences in un-modelled confounding factors associated with male sex in the  
290 different populations across studies. That male sex is not a consistent risk factor might  
291 suggest that the excess risks to hearing associated with male sex are modifiable  
292 (Cruickshanks et al. 2012). Evidence for the modifiability of excess risk associated with male  
293 sex include the observation that in the US Health Aging and Body Composition Study, sex  
294 differences disappeared after multivariable adjustment which included lifestyle factors  
295 (such as smoking and work-related noise exposure) (Helzner et al. 2005). There are also  
296 reports of reduced sex differences in hearing loss in younger age cohorts (in the US National  
297 Health and Nutrition Examination Survey; Hoffman et al. 2012, and in studies of successive  
298 generations of participants in the Beaver Dam studies (Zhan et al. 2009)). Previous studies  
299 that utilized older age cohorts may therefore have over-estimated the magnitude of sex  
300 differences in hearing, due to cohort-specific experiences of males (for example, noise  
301 exposure associated with military service in the Second World War and employment in  
302 'traditional' farming and manufacturing industries with high levels of work-related noise  
303 exposure). Alternatively, the lack of sex differences in the present study may be due to a  
304 particular characteristic of the speech-in-noise measure. The high redundancy of the speech  
305 signal may mean that, as a test of speech recognition, the DTT is not sensitive to mild levels  
306 hearing loss because recognition remains unaffected. This may result in men with typically  
307 mild losses not being differentiated from women with typically normal hearing. However,  
308 this does not explain why there remains no excess risk for male sex for more severe levels of  
309 hearing impairment. For further examination of male-female performance differences on  
310 the DTT, see Moore et al. (submitted).

311

312 Non-White ethnicity was associated with hearing impairment. Examination of risks  
313 associated with ethnic subgroups suggested that this association is driven by ethnic  
314 subgroups that are at very high risk for hearing difficulties; Bangladeshi, Black African, Black  
315 Other and Pakistani in particular. This was a surprising result, as previous research in the US  
316 suggested that non-White ethnicity is associated with reduced risk of hearing loss (Agrawal  
317 et al. 2008). This was suspected to be due to the protective effects of melanin against  
318 hearing loss in the cochlea (Barrenäs et al. 1991). The finding of higher risk for hearing loss  
319 in the present study does accord with findings of poorer general health within ethnic  
320 minorities in the UK, however (Department of Health 2001). The particular ethnic minorities  
321 associated with the poorest general health indices tended to be the same as those in the  
322 present study associated with poor hearing. Suggested reasons for the general health  
323 inequality of ethnic minorities centre on culture and lifestyle, socioeconomic factors,  
324 reduced uptake of services and biological susceptibility (Smith et al. 2000). In the case of  
325 hearing, it may be that in the UK, other risk factors outweigh the biological resilience of non-  
326 White ethnicity. Elucidation of the reasons for the disproportionate risk of hearing  
327 impairment associated with ethnic subgroups would be a first step towards redressing this  
328 particular health inequality.

329

330 In the current study, 2.0% of 40 to 69 year-olds were regular hearing aid users. Hearing aid  
331 ownership among 41 to 70 year-olds in the early 1980s was estimated at 2.8% (Davis 1995).  
332 This represented a significant underutilization; 9.4% of 41 to 70 year-olds had a hearing loss  
333 severe enough to benefit from a hearing aid (better ear average  $\geq 35$  dB HL over 0.5, 1, 2,  
334 and 4 kHz). It is striking that despite significant advances in hearing aid technology and  
335 improvements in provision by the National Health Service, hearing aids remain significantly  
336 underutilized. Hearing loss is responsible for a substantial burden on society (Mathers and  
337 Loncar 2006), impacting on emotional, social and physical well-being (Arlinger 2003; Chia et  
338 al. 2007; Dalton et al. 2003; Gopinath, Wang, et al. 2009; Mulrow, Aguilar, Endicott, Velez,  
339 et al. 1990; Strawbridge et al. 2000). Hearing aids ameliorate these adverse outcomes  
340 (Appollonio et al. 1996; Chisolm et al. 2007; Kochkin et al. 2000; Mulrow, Aguilar, Endicott,  
341 Tuley, et al. 1990) and are currently the primary treatment for hearing loss. Continued  
342 underutilization of hearing aids is therefore a major public health problem. Both uptake and  
343 use of hearing aids is problematic; only around 10-30% of those with hearing loss obtain

344 hearing aids and up to a quarter of hearing aid owners never use them (Chia et al. 2007;  
345 Davis 1989; Hartley et al. 2010; Popelka et al. 1998).

346

347 There is a large body of research into factors underlying poor hearing aid uptake and use  
348 (see McCormack et al. 2013 and Vestergaard Knudsen et al. 2010 for reviews). Some studies  
349 have suggested that cost may be a barrier to hearing aid uptake (Chien et al. 2012),  
350 although this is unlikely to be a significant barrier in the UK where hearing aids are provided  
351 in a socialised health care setting where they are free at point of delivery. In the present  
352 study, for those with 'poor' speech recognition, hearing aid users were from less deprived  
353 areas than nonusers on average. As cost is not likely to be a strong factor, perhaps another  
354 factor associated with deprivation such as awareness of options for hearing rehabilitation  
355 may be an explanation. Additional factors that have been researched include motivation,  
356 expectation, attitude to hearing aids, hearing sensitivity, age, gender and the effect of  
357 counselling (McCormack et al. 2013; Vestergaard Knudsen et al. 2010). The evidence for the  
358 importance of most of these factors is mixed. One reason may be that while some factors  
359 are associated with one aspect of obtaining and using hearing aids, they may not be  
360 associated with others. For example, external motivation is associated with initial help-  
361 seeking and uptake, but not with continued use and satisfaction. Self-recognition of hearing  
362 problems is the factor most consistently related with both hearing aid uptake and use  
363 (Vestergaard Knudsen et al. 2010), and self-reported disability tends to be a more reliable  
364 predictor of hearing aid use than audiometric threshold. However, self-report of significant  
365 hearing difficulties was common in the UK National Study of Hearing (Davis 1989), so this  
366 does not support low levels of self-identification of hearing difficulties as underpinning low  
367 hearing aid uptake and use generally. McCormack and Fortnum (2013) report insufficient  
368 hearing aid value (i.e. the hearing aid providing limited benefit) and uncomfortable fit as  
369 being most commonly reported reasons for low hearing aid use.

370

371 The association of specific factors with particular steps in the process of acquiring,  
372 acclimatizing to and using hearing aids suggests that strategies aimed at improving uptake  
373 should focus on the desired outcome (i.e. satisfaction and use), while being prepared to  
374 address likely barriers at each stage of the process (Vestergaard Knudsen et al. 2010). For  
375 example, Davis and colleagues tested the acceptability of adult hearing screening in those

376 aged 55-74 years (Davis et al. 2007). Only around a quarter of those identified with hearing  
377 loss used hearing aids at the time of screening. Of those who did not use hearing aids but  
378 had significant hearing loss, hearing aids were accepted by ~70%. However, long-term use  
379 was generally low. This suggests that the model of hearing screening in this study was  
380 effective in boosting hearing aid uptake, but less good at ensuring continued use.  
381 Encouragingly, there is evidence that appropriate strategies may be employed to ensure  
382 high use and satisfaction in the long term. Bertoli et al (2009) reported relatively high rates  
383 of long-term hearing aid use and satisfaction in Switzerland (where only 3% of hearing aid  
384 owners were non-users). Bertoli et al ascribed this to the Swiss model of hearing aid  
385 provision, in which candidacy is based on the degree of social and emotional handicap due  
386 to hearing loss in addition to audiometric thresholds. The dispensing process also allows  
387 fitting and trial of different types of devices and provides on-going counselling after fitting.  
388 State health insurance covers most or all of the cost. A comprehensive strategy to boost  
389 initial help-seeking and uptake as well as long-term use and satisfaction may need to  
390 address particular barriers at each stage of the process of hearing aid adoption. Models of  
391 this process have been proposed (e.g. Kochkin 2007), although they remain to be empirically  
392 investigated. Clinical fitting and counselling are under-researched but potentially critical  
393 aspects of the adoption process (Vestergaard Knudsen et al. 2010), and this may be  
394 particularly relevant given recent moves in the UK to open hearing aid provision to  
395 commercial competition (the 'any qualified provider' scheme). In addition to the above  
396 suggestions, hearing aid use and uptake may be facilitated by i) making hearing care a  
397 'lifestyle choice'. Currently in the UK, one must obtain a referral from a GP to attend a  
398 hospital-based audiology clinic, and this may contribute to the stigmatisation of hearing loss  
399 by an association with illness and infirmity. Removing the need for GP consultation and  
400 increasing accessibility of good quality audiology services may reduce the stigma associated  
401 with hearing aid use. (ii) Undertaking good quality trials of adult hearing screening and early  
402 hearing intervention that are based on models of hearing aid uptake and which include tests  
403 of the effectiveness of methods of improving hearing aid uptake and long-term use.  
404 Empirical data could then be used to address barriers to uptake and use. iii) Improving  
405 hearing aid technology to the level that it will significantly improve speech understanding in  
406 noise. If hearing aids provided near- or even super-normal listening performance, this may

407 both remove the stigma associated with hearing aids and do away with dissatisfaction with  
408 performance, a major reason for non-use (Dillon 2013).

409

410 The most significant limitation of the current study is that, despite the large number of  
411 participants, the low response rate of 5.47% may have introduced unknown biases into  
412 prevalence estimates that may not be accounted for by the statistical weighting procedures  
413 used in this study. Representatives of the UK Biobank argued that despite the low response  
414 rate, the size and coverage of the sample allows generalisable associations between  
415 relevant risk factors and health outcomes (Allen et al. 2012). The size and coverage of the  
416 UK Biobank sample may also give confidence in the reliability of prevalence estimates  
417 reported here. In the present study, recruitment bias was in favour of ethnically White,  
418 female and more affluent participants – all of which are associated with lower levels of  
419 hearing problems. One might expect that any residual or unknown bias might also result in  
420 under-estimates of the prevalence of hearing problems. The prevalence statistics reported  
421 in the present paper should therefore be regarded as being conservative estimates. Finally,  
422 the present paper was primarily concerned with examining patterns of association with  
423 hearing impairment and key demographic variables. Future work with this data set will  
424 involve detailed analysis of associations between life-style and health-related risk and  
425 protective factors and hearing impairment.

426

427

## CONCLUSIONS

428 This is the first study to describe the prevalence of difficulties understanding speech in  
429 background noise in a large inclusive sample of UK adults aged 40 to 69 years. Older age,  
430 low socioeconomic background and ethnic minority backgrounds were associated with  
431 hearing difficulties, as was work- and music-related noise exposure. Hearing aids remain  
432 significantly underutilised despite improvements in technology and provision, and a high  
433 proportion of those who would benefit from treatment may not receive effective  
434 intervention. Possible reasons for low hearing aid uptake and use may include lack of  
435 recognition of difficulties, lack of awareness of treatment options, stigma associated with  
436 hearing aid use, insufficient hearing aid value (i.e. the hearing aid providing limited benefit)  
437 and uncomfortable fit.

438

439

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449

## 450 ENDNOTE REFS

451 (Smits et al. 2004) (McCormack and Fortnum 2013; Vestergaard Knudsen et al. 2010)  
452 (Bertoli et al. 2009) (Kochkin 2007) ((Hoffman et al. 2012; Moore et al. submitted) (Plomp  
453 and Mimpen 1979) (Davis 1995)

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