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On the influence of a translating inner core in models of outer core convection

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Abstract

It has recently been proposed that the hemispheric seismic structure of the inner core can be explained by a self-sustained rigid-body translation of the inner core material, resulting in melting of the solid at the leading face and a compensating crystallisation at the trailing face. This process induces a hemispherical variation in the release of light elements and latent heat at the inner-core boundary, the two main sources of thermochemical buoyancy thought to drive convection in the outer core. However, the effect of a translating inner core on outer core convection is presently unknown. In this paper we model convection in the outer core using a nonmagnetic Boussinesq fluid in a rotating spherical shell driven by purely thermal buoyancy, incorporating the effect of a translating inner core by a timeindependent spherical harmonic degree and order 1 (Y_1^1) pattern of heat-flux imposed at the inner boundary. The analysis considers Rayleigh numbers up to 10 times the critical value for onset of nonmagnetic convection, a parameter regime where the effects of the inhomogeneous boundary condition are expected to be most pronounced, and focuses on varying q^* , the amplitude of the imposed boundary anomalies. The presence of inner boundary anomalies significantly affects the behaviour of the model system. Increasing q^* leads to flow patterns dominated by azimuthal jets that span large regions of the shell where radial motion is significantly inhibited. Vigorous convection becomes increasingly confined to isolated regions as q^* increases; these regions do not drift and always occur in the hemisphere subjected to a higher than average boundary heat-flux. Effects of the inner boundary anomalies are visible at the outer boundary in all models considered. At low q^* the expression of inner boundary effects at the core surface is a difference in the flow amplitude between the two hemispheres. As q^* increases the spiralling azimuthal jets driven from the inner boundary are clearly visible at the outer boundary. Finally, our results suggest that, when the system is heated from below, a Y_1^1 heat-flux pattern imposed on the inner boundary has a greater overall influence on the spatio-temporal behaviour of the flow than the same pattern imposed at the outer boundary.

Keywords: Inner core translation, outer core convection, zonal flows, inhomogeneous heat-flux

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1 1. Introduction

Free thermal convection of the inner core, driven by either radiogenic heating (Jeanloz 2 and Wenk, 1988) or secular cooling (Buffett, 2009), has been proposed to explain the ob-3 served cylindrical anisotropy in inner core P-wave velocity (Morelli et al., 1986; Woodhouse 4 et al., 1986). For this proposition to be viable the inner core must be (at least partially) 5 unstably stratified. Such a stratification may arise if the inner core temperature gradient 6 exceeds the adiabatic gradient at the relevant pressure-temperature conditions; previous 7 models suggest this may be true at present and was more likely in the past (Buffett, 2009; 8 Deguen and Cardin, 2009, 2011). Recent work suggests that the thermal conductivity of 9 the outer core is significantly higher than previously thought (Pozzo et al., 2012; de Koker 10 et al., 2012), which may affect the viability of thermal inner core convection, although these 11 calculations pertain to the liquid phase only. Another possibility is that the inner core is 12 compositionally unstable, which may arise if the amount of light element that remains in the 13 solid on freezing decreases with time (Deguen and Cardin, 2011; Alboussière and Deguen, 14 2012). In reality the net inner core density gradient is determined by a combination of 15 thermal and chemical effects. Uncertainties in key parameters such the cooling rate at the 16 inner core boundary (ICB), the core-mantle boundary (CMB) heat-flux, and the partition 17 coefficients of the various light elements in the core prevent an unequivocal determination 18 of the inner core stratification and so inner core convection remains a realistic possibil-19 ity. If the inner core does convect the preferred model likely depends on the bulk viscosity 20 (Deguen and Cardin, 2011). If the viscosity is sufficiently large the inner core could un-21 dergo a translational mode of convection involving an eastward drift of inner core material 22 (Monnereau et al., 2010; Alboussière et al., 2010). This mode has been used to explain an 23 observed asymmetry in seismic velocities between eastern and western hemispheres (Tanaka 24 and Hamaguchi, 1997; Niu and Wen, 2001; Waszek et al., 2011) and the existence of a seis-25 mically slow layer in the bottom ~ 150 km of the outer core (Souriau and Poupinet, 1991; 26 Kennett et al., 1995; Zou et al., 2008). 27

Convection in the outer core is driven by a combination of thermal and chemical buoyancy 28 forces that in turn result from the Earth's slow cooling (e.g. Buffett et al., 1996; Gubbins 29 et al., 2003, 2004). These buoyancy forces are likely to be strongest near the base of the 30 outer core (Davies and Gubbins, 2011) where inner core growth due to freezing of the liquid 31 iron alloy releases latent heat (Verhoogen, 1961), and a light component of the outer core 32 mixture, probably oxygen (Alfè et al., 1999), remains in the liquid to provide a source of 33 compositional buoyancy (Braginsky, 1963). Models of outer core convection usually assume 34 that light element and latent heat release at the ICB are spherically symmetric and that 35 convection is driven uniformly from below (e.g. Braginsky and Roberts, 1995; Anufriev 36 et al., 2005); however, the translational mode of inner core convection requires freezing in 37 the western hemisphere and melting in the eastern hemisphere (Monnereau et al., 2010; 38 Alboussière et al., 2010). The asymmetry arises because the eastward drift of inner core 39 material induces a west to east density gradient with heavy material on the freezing western 40 side; hydrostatic adjustment shifts the centre of mass of the inner core eastward so that the 41 eastern part of the inner core is above the melting temperature, leading to localised melting 42

(Alboussière et al., 2010). Outer core convection is then driven non-uniformly from below:
in the western hemisphere, release of latent heat and light elements create outward buoyancy
fluxes that drive convection; in the eastern hemisphere, latent heat is absorbed and no light
elements are released, thereby creating a negative buoyancy flux.

In this paper we investigate the possible influence of a translating inner core on outer 47 core convection using a simple model of a rotating fluid-filled spherical shell. To incorporate 48 hemispherical variations induced by a translating inner core we note that the turnover time 49 of outer core convection, $\tau_{\rm c} = d/U \sim 10^2$ yrs (Gubbins, 2007), is much shorter than both the 50 turnover time of the translational mode, $\tau_{\rm ic} = l/v_t \sim 10^8$ yrs, and the timescale for inner core 51 growth $\tau_{\rm g} = l/v_q \sim 10^9$ yrs (Labrosse et al., 2001). Here d is the outer core shell thickness, 52 U a characteristic outer core velocity, l the inner core radius, $v_t \sim 10^{-10} \text{ m s}^{-1}$ (Alboussière 53 et al., 2010) a characteristic translational velocity and $v_q \sim 10^{-11}$ m s⁻¹ a characteristic 54 inner core growth rate. We therefore assume that, on the timescales associated with outer 55 core convection, both the ICB and the thermochemical anomalies resulting from translation 56 are stationary and can be modelled as a time-independent bottom boundary condition in the 57 outer core convection simulation. We further assume that this boundary condition takes the 58 form of a fixed flux. The outer core is well-mixed on timescales associated with inner core 59 convection, implying that the latter should be modelled with an isothermal and chemically 60 homogeneous ICB. Outer core convection must then respond to lateral variations in thermal 61 and chemical fluxes at the ICB induced by the translating inner core. The bottom boundary 62 condition is specified by the pattern and amplitude of thermochemical flux. 63

In this paper we approximate the pattern of hemispherical melting and freezing by a Y_1^1 64 spherical harmonic. The amplitude of the anomaly is measured by q^* , the ratio of the peak-65 to-peak variation and the average flux through the boundary (see $\S2$ for the mathematical 66 definition). Estimates of q^* for the Earth are highly uncertain. The thermal contribution 67 depends on physical properties of the inner and outer cores, some of which are known to 68 within a factor of 3 at the relevant pressure-temperature conditions (Stacey, 2007), and 69 gross quantities such as the CMB heat-flux, which can only be estimated to within a factor 70 of 3–4 at present (Lay et al., 2009) and vary significantly over time (e.g. Nimmo et al., 71 2004; Nimmo, 2007). The chemical contribution depends on the relative abundance of light 72 elements in both cores (i.e on the part of the ICB density jump not due to the phase change) 73 and on mixing properties of the core alloy, which are likely to be non-ideal (Helffrich, 2012) 74 and exhibit complex dependencies on partition coefficients (Alboussière et al., 2010; Deguen 75 and Cardin, 2011). 76

⁷⁷ A simple estimate of q^* , q_e^* , can be obtained by neglecting chemical effects and assuming ⁷⁸ that the only thermal buoyancy source at the ICB is latent heat (thus neglecting secular ⁷⁹ cooling and the effect of the adiabat, both of which are likely to be smaller than the latent ⁸⁰ heat (Davies and Gubbins, 2011)). The average ICB heat-flux per unit area, $q_{\rm L}$, is then ⁸¹ (Gubbins et al., 2003)

$$q_{\rm L} = \rho_{\rm i} L \frac{\mathrm{d}r_{\rm i}}{\mathrm{d}t} = \rho_{\rm i} L v_g,\tag{1}$$

where r_i is the ICB radius, ρ_i the inner core density, and L the latent heat. An expression for the maximum heat-flux is obtained by replacing v_g with the translation velocity, v_t , in (1). Assuming that the absolute value of the maximum and minimum heat-flux anomaly
 are equal gives the estimate

$$q_e^* = \frac{2v_t}{v_g}.$$
(2)

Using values from Alboussière et al. (2010) gives present-day estimates in the range $1 \leq q_e^* \leq$ 30 for a CMB heat-flux ranging from 8–11 TW. We vary q^* in our simulations to exhibit the dependence of the convection on this parameter.

This paper is organised as follows. In $\S2$ we describe the numerical model used to 89 simulate convection in the outer core. In $\S3.1$ we present models with a laterally-varying 90 Y_1^1 inner boundary condition and a spherically symmetric outer boundary condition. We 91 discuss the changes in spatio-temporal behaviour that emerge as q^* is varied and conduct a 92 detailed analysis of the mechanisms that drive large-scale flows in our models. In §3.2 we 93 briefly discuss models with a laterally-varying Y_1^1 outer boundary condition and a spherically 94 symmetric inner boundary condition and compare to the results obtained in §3.1. Discussion 95 and conclusions are presented in $\S4$. 96

97 2. Methods

We consider a model of convection in a rotating spherical shell that incorporates lateral 98 variations in the thermodynamic boundary conditions. A Boussinesq fluid of constant ther-99 mal diffusivity, κ , constant coefficient of thermal expansion, α , and constant viscosity, ν , is 100 confined to a rotating spherical shell of thickness $d = r_{\rm o} - r_{\rm i}$. Here $r_{\rm i}$ and $r_{\rm o}$ are respectively 101 the inner and outer boundary radii in spherical polar coordinates, (r, θ, ϕ) . The fluid rotates 102 about the axial z-axis with angular velocity Ω . To relate our results to previous studies and 103 to avoid double diffusive effects, which we regard as an unnecessary complication at this 104 stage, we consider a chemically homogeneous system heated from below, the analogue of 105 outer core convection driven by latent heat release at the inner boundary with no composi-106 tional buoyancy. With no flow, the basic steady state temperature, T_0 , is maintained such 107 that $\nabla T_0 = -(\beta/r^2)\hat{\mathbf{r}}$, where β measures the amplitude of the basic state radial temperature 108 gradient, \mathbf{r} is the radial position vector and a hat denotes a unit vector. The total tempera-109 ture field $T = T_0 + T'$, where T' is the deviation from the basic state temperature. Scaling 110 length by the shell thickness, d, time by the thermal diffusion time, d^2/κ , and temperature 111 by β/d , the nondimensional perturbation equations are 112

$$\frac{E}{Pr}\left(\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla)\mathbf{u}\right) + \mathbf{z} \times \mathbf{u} = -\nabla \bar{P} + RaT'\mathbf{r} + E\nabla^2\mathbf{u},\tag{3}$$

$$\frac{\partial I'}{\partial t} + (\mathbf{u} \cdot \nabla)T' = \nabla^2 T' + \mathbf{u} \cdot (\beta r^{-2})\hat{\mathbf{r}}, \qquad (4)$$

$$\nabla \cdot \mathbf{u} = 0. \tag{5}$$

¹¹³ The pressure gradient $\nabla \overline{P}$, is removed from the problem by taking the curl of (3). The ¹¹⁴ Ekman number *E*, Prandtl number *Pr*, and modified Rayleigh number *Ra* are

$$E = \frac{\nu}{2\Omega d^2}, \quad Pr = \frac{\nu}{\kappa}, \quad Ra = \frac{\alpha g\beta}{2\Omega \kappa}, \tag{6}$$

where g is the gravitational acceleration at the outer boundary. Gravity varies linearly with radius. The radius ratio, r_i/r_o , of the shell is set to 0.35.

The fluid velocity \mathbf{u} is decomposed into toroidal and poloidal components,

$$\mathbf{u} = \nabla \times \mathcal{T}\mathbf{r} + \nabla \times \nabla \times \mathcal{P}\mathbf{r}.$$
(7)

The toroidal, \mathcal{T} , and poloidal, \mathcal{P} , scalars along with the temperature T' are expanded in spherical harmonics $Y_l^m(\theta, \phi)$. The radial dependence of all variables is computed using finite differences.

¹²¹ We use no-slip and impenetrable inner and outer boundaries, requiring

$$\mathbf{u}(r_{\rm i}) = \mathbf{u}(r_{\rm o}) = 0. \tag{8}$$

We also fix the heat-flux on both boundaries. Lateral variations in heat-flux on the inner boundary (IB) and outer boundary (OB) are modelled using the method described in (Gibbons et al., 2007). In all models the pattern of the boundary variation is a Y_1^1 spherical harmonic. The amplitude of the anomalies is measured by the parameter q^* , defined as the ratio of the peak-to-peak variation in boundary heat-flux and the average boundary heat-flux

$$q^* = \frac{q_{max} - q_{min}}{q_0} = \frac{2q_{max}}{q_0},\tag{9}$$

where q_{max} and q_{min} are the maximum and minimal values of the boundary anomaly. q_0 is a nondimensional measure of the average boundary heat-flux per unit area, $q_0 = (1/r^2)$, and is approximately a factor of 8 larger at the IB than at the OB. Hence, to impose the same value of q_{max} at the IB and OB requires that the value of q^* is 8 times larger in the variable OB heat-flux calculation compared to the variable IB heat-flux calculation.

The governing equations (3)–(5) are solved using a pseudo-spectral method. Detailed descriptions of the code are given in Willis et al. (2007) and Davies et al. (2011).

135 3. Results

Table 1 lists all simulations conducted for this work. In order to facilitate comparisons 136 and to elucidate the effect of the laterally-varying IB condition, we fix the values of E and Pr137 and vary Ra and q^* . For simplicity we use the value Pr = 1 throughout. The Ekman number 138 is the major computational challenge. The lowest value of E used in a numerical simulation 139 is $\sim 5 \times 10^{-7}$ (Kageyama et al., 2008); very few models have been conducted in this parameter 140 regime, which is still many orders of magnitude higher than the value $E \sim 10^{-15}$ appropriate 141 to Earth's outer core. We fix $E = 10^{-5}$, which is low enough for rotation to dominate in 142 our calculations but high enough to conduct a suite of simulations run for long enough 143 to obtain time-averages that span many time units. At this value of E a linear stability 144 analysis (see Gibbons et al. (2007) and Davies et al. (2009) for details) with our chosen 145 boundary conditions and value of Pr shows that the most unstable azimuthal wavenumber, 146 $m_c = 9$, and the corresponding value of the critical Rayleigh number, $Ra_c = 25.5$, for the 147 onset of non-magnetic convection with homogeneous boundaries $(q^* = 0)$. We focus on the 148

parameter range $3Ra_c \leq Ra \leq 10Ra_c$, where we expect the influence of the inhomogeneous boundary condition to be most pronounced. If boundary effects are not important in this regime we would anticipate that they be less significant in the core where Ra is likely to be many times supercritical (Gubbins, 2001; Davies and Gubbins, 2011).

All simulations were started from the same initial condition with $\mathbf{u} = 0$ and arbitrary three dimensional seed perturbations superimposed on the basic state temperature profile. The spatial resolution required to achieve a given level of spectral convergence increases with Ra. At the lowest values of Ra we found that $N_{max} = 90$ radial points and maximum harmonic degree $L_{max} = 84$ produced a drop of four orders of magnitude between wavenumbers with highest and lowest energy. At the highest values of Ra, $N_{max} = 120$ and $L_{max} = 128$ were required to obtain the same convergence.

For the subsequent discussion we define the dimensionless kinetic energy $K = K_T + K_P$, where the toroidal and poloidal components are given respectively by

$$K_{\mathcal{T}} = \frac{1}{2} \left\langle |\nabla \times \mathcal{T}\mathbf{r}|^2 \right\rangle,$$

$$K_{\mathcal{P}} = \frac{1}{2} \left\langle |\nabla \times \nabla \times \mathcal{P}\mathbf{r}|^2 \right\rangle,$$

and angled brackets indicate a time average over the length of the run quoted in Table 1. The zonal part of the toroidal energy, K_T^z , is obtained by retaining only the m = 0 harmonic coefficient.

Our choice of nondimensionalisation means that the Péclet number, $Pe = Ud/\kappa = \sqrt{2K/V_s}$, where V_s is the volume of the spherical shell, measures the amplitude of the velocity U. With all other parameters fixed, increasing Ra leads to an increase in Pe while the ratios K_T/K and K_T^z/K remain relatively constant in the parameter range considered (Table 1). Increasing q^* with all other parameters fixed shows a general increase in Pe (see also Figure 1), a slight increase in K_T/K and little variation in K_T^z/K , which is a small fraction of the total energy in all models.

In the next two sections we analyse the models in Table 1 in detail. In the subsequent discussion $\phi = 0^{\circ}$ corresponds to the rightmost edge of the equatorial projections and is the longitude of minimum heat-flux; the maximum heat-flux is imposed at $\phi = 180^{\circ}$. The western hemisphere, which is subject to a higher than average heat-flux, is defined as the region $90^{\circ} < \phi \le 270^{\circ}$ and the eastern hemisphere, which is subjected to a lower than average heat-flux, is defined as the region $-90^{\circ} < \phi \le 90^{\circ}$.

178 3.1. Y_1^1 inner boundary condition

Figure 2 shows four models with Ra = 90 that differ only by the value of q^* . The snapshots are taken at time t = 11 of Figure 1a. With homogeneous boundaries ($q^* = 0$) the familiar pattern of spiralling columnar rolls aligned with the rotation axis, a feature of moderate Pr and low Ra convection, is obtained (Zhang, 1992). The prograde drift speed of the columns varies with radius and hence the convection is characterised by different wavenumbers at different distances from the rotation axis (e.g. Sun et al., 1993; Tilgner and Busse, 1997). The pattern of temperature anomalies in the equatorial plane is wellcorrelated with radial velocity. The *m*-spectrum of kinetic energy (Figure 1) is characterised by a peak at m = 0, and broad peaks around the most unstable mode and its overtones.

Imposing a Y_1^1 heat-flux variation at the inner boundary significantly alters the large-188 scale flow pattern as q^* is increased above zero. For Ra = 90 we identify three broad flow 189 regimes. For $q^* < 0.6$ the homogeneous flow pattern is modulated by the presence of the 190 Y_1^1 boundary anomaly. Figure 2 shows that, for $q^* = 0.6$, the velocity field in the western 191 hemisphere has a higher amplitude and a larger characteristic azimuthal wavenumber than 192 in the eastern hemisphere. The columnar rolls drift in the prograde sense in this model, 193 but accelerate when passing through the eastern (low heat-flux) hemisphere and decelerate 194 when passing through the western hemisphere. Similar behaviour was found by Zhang 195 and Gubbins (1993) in a convection model with lateral variations at the OB. Temperature 196 anomalies near the IB are predominantly negative in the region $0^{\circ} < \phi \leq 180^{\circ}$ and positive 197 in the region $180^{\circ} < \phi \leq 360^{\circ}$; a similar phase shift of temperature anomalies with respect 198 to the boundary anomalies has been observed in models of convection with lateral OB 199 variations (Olson, 2003). 200

For $0.6 < q^* \le 1.4$ the m = 1 mode becomes dominant in the *m*-spectrum of the kinetic 201 energy (Figure 1) and convection columns are absent in parts of the eastern hemisphere. 202 Very weak radial motions are observed between $-90^{\circ} < \phi \leq 0^{\circ}$ as shown in Figure 2 for 203 $q^* = 1.4$. This region is characterised by strong prograde and retrograde azimuthal jets that 204 are established near the IB at $\phi \approx 180^{\circ}$ and spiral outwards, terminating when they reach 205 the OB. Strong vertical and radial gradients in azimuthal velocity are evident in the region 206 spanned by the jets. The pattern of temperature anomalies is dominated by an m = 1207 component and strong gradients in the region where the jets are formed. 208

Finally, for $q^* > 1.4$ the flow patterns are almost stationary as suggested by the kinetic 209 energy time-series in Figure 1a. Figure 2 for $q^* = 4.2$ shows that the azimuthal jets become 210 stronger and have greater lateral extent than at lower values of q^* . The amplitude of vertical 211 and radial gradients in azimuthal velocity in the region spanned by the jets also increase 212 with q^* . Strong upwelling and downwelling regions are visible in the plot of u_r near the 213 locations where the azimuthal jets are initiated and terminated due to interaction with the 214 OB, but away from these regions the radial velocity is very weak. Temperature gradients 215 are strong in the region where the azimuthal jets are formed and departures from the basic 216 state are significant across broad regions of the shell. 217

The large-scale flow patterns described above for $q^* \geq 1.4$ are reminiscent of those found 218 by Grote and Busse (2001) and Busse et al. (2003) in simulations of rotating convection 219 with homogeneous boundaries. In their models, convection columns are sheared by a strong 220 azimuthal zonal (m = 0) flow driven by Reynolds stresses; the zonal flow dominates in large 221 regions of the shell where radial motion is severely inhibited. Although a large-scale shear is 222 apparent in our models for $q^* \ge 1.4$ there are three factors suggesting that it is driven by a 223 different mechanism to that described by Grote and Busse (2001). Firstly, our values of Ra224 are much smaller than those used by Grote and Busse (2001); indeed, with a homogeneous 225 IB condition and Ra = 90, Figure 2 shows that convection columns are not confined to a 226 particular longitudinal band. Secondly, the region where convection columns are observed in 227

the Grote and Busse (2001) simulations is not fixed in space, in contrast to our models where 228 this region remains in the western hemisphere. Finally, our models contain only $\sim 1/10$ th 229 of the total energy in zonal components (Table 1), suggesting that the shear generated by 230 large-scale nonzonal flows could greatly exceed shear generated by the zonal flow. We now 231 explore these three points in detail by investigating the mechanisms that drive the azimuthal 232 flows observed for $q^* \ge 1.4$ (Figure 2). We first consider the azimuthal zonal flow, which we 233 denote u_{ϕ}^{z} , and then focus on the nonzonal azimuthal flow, which is denoted u_{ϕ}^{nz} hereafter. 234 There are two main driving mechanisms for u_{ϕ}^{z} (e.g. Cardin and Olson, 1994; Aubert 235 et al., 2003). The first is due to Reynolds stresses arising from the convection columns, 236 which drive a zonal flow with cylindrical symmetry that tends to be strongly retrograde 237 near the IB (Busse, 1970; Cardin and Olson, 1994) and slightly retrograde (Cardin and 238 Olson, 1994) or prograde (Glatzmaier and Olson, 1993) near the OB. The second driving 239 force for u_{ϕ}^{z} arises because more heat is lost in equatorial regions than polar regions, which 240 sets up axisymmetric latitudinal temperature gradients that drive zonal flows with shear in 241 the vertical z direction. To distinguish between these two mechanisms we follow Glatzmaier 242 and Olson (1993) and define the geostrophic wind as the portion of the zonal flow that 243 is uniform in the axial direction and the remainder, which contains vertical shear, as the 244 ageostrophic wind. We compute u_{ϕ}^{z} by retaining only the m = 0 component of the velocity 245 field, and the geostrophic wind, $[u]_{\phi}^{z}$ by averaging this flow over z. The averaging operation 246 denoted by square brackets is defined by 247

$$[] = \frac{1}{2L} \int_{L}^{-L} \mathrm{d}z, \qquad L = \sqrt{r_{\rm o}^2 - s^2}, \tag{10}$$

where $s = r \sin(\theta)$ is cylindrical radius. Figure 3 shows u_{ϕ}^z and $[u]_{\phi}^z$ for $q^* = 1.4$ and 4.2. 248 The zonal flow is westward (retrograde) near the tangent cylinder (the imaginary cylinder 249 parallel to the rotation axis that touches the inner core equator) for all values of q^* including 250 $q^* = 0$. Near the OB, u_{ϕ}^z is slightly prograde at mid-latitudes for low values of q^* ; for $q^* \ge 2.8$ 251 the prograde u_{ϕ}^{z} at mid-latitudes is approximately half the value of the retrograde flow near 252 the IB. These features are also reflected in the profiles of $[u]^z_{\phi}$ in Figure 3. Increasing q^* 253 produces a mild increase in u_{ϕ}^{z} , presumably due to nonlinear interaction with the large-scale 254 boundary forcing, and also causes an increase in $[u]_{\phi}^{z}$; the ratio $[u]_{\phi}^{z}/u_{\phi}^{z}$ does not show a 255 strong dependence on q^* for the particular Ra we have considered. We conclude that, for 256 the models considered, the geostrophic and ageostrophic contributions to the zonal flow are 257 comparable. 258

Our models contain a large-scale nonzonal azimuthal flow, u_{ϕ}^{nz} , that dramatically increases in amplitude as q^* increases (compare the meridional sections in Figures 2 and 3). The variation of u_{ϕ} with z seen in both Figures suggests a significant thermal wind exists in our models, as has been found in other simulations with inhomogeneous boundary conditions (e.g. Zhang, 1992; Sreenivasan, 2009). Taking the curl of equation (3) with the viscous force and acceleration term omitted gives

$$\frac{\partial \mathbf{u}}{\partial z} + Ra\nabla \times (T\mathbf{r}) - \frac{E}{Pr}\nabla \times [(\mathbf{u} \cdot \nabla)\mathbf{u}] = 0;$$
(11)

omitting the contribution from the divergence of the Reynolds stress (the last term) gives 265 the thermal wind balance. Figure 4 shows the terms in (11) and their sum for $q^* = 4.2$. 266 For this model the first two terms in (11) are over an order of magnitude larger than the 267 last term. The remainder after summing terms on the left-hand side of (11) is close to zero 268 outside the tangent cylinder as shown in the rightmost column of Figure 4. These results 269 imply that a thermal wind balance holds for the model with $q^* = 4.2$. Further calculations 270 (not shown) indicate that this balance holds well for all models conducted at Ra = 90. 271 Sumita and Olson (2002) noted that regions where $\partial u_{\phi}/\partial z > 0$ and where $|u_{\phi}|$ decreases 272 with z imply $u_{\phi} < 0$ if the thermal wind balance applies. Similarly, $\partial u_{\phi}/\partial z > 0$ and $|u_{\phi}|$ 273 increasing with z implies $u_{\phi} > 0$; $\partial u_{\phi}/\partial z < 0$ and $|u_{\phi}|$ decreasing with z implies $u_{\phi} > 0$; 274 $\partial u_{\phi}/\partial z < 0$ and $|u_{\phi}|$ increasing with z implies $u_{\phi} < 0$. The meridional sections shown in 275 Figure 5 for $q^* = 4.2$ indicate that the above relations are reasonably well-satisfied and 276 further calculations for models that contain large-scale azimuthal jets (see Figure 2) give 27 similar results. These results suggest that, in the models described above, the dominant 278 driving force for the nonzonal azimuthal flow, u_{ϕ}^{nz} , is a thermal wind. Furthermore, Figure 4 279 indicates that a thermal wind is the dominant driving force for the ageostrophic contribution 280 to the azimuthal zonal flow, u_{ϕ}^{z} . 281

Figures 3 and 5 show that changes in sign of u_{ϕ}^z and u_{ϕ}^{nz} occur at almost the same (cylin-282 drical) radii in regions where radial flow is weak and azimuthal flow dominates, suggesting 283 that shear due to the zonal flow is reinforced by shear due to the nonzonal azimuthal flow 284 driven by the inhomogeneous boundary. This explains why convection columns are not con-285 fined to a particular longitudinal band in models with no boundary forcing: shear in the 286 zonal flow alone is not strong enough to break down the convection columns. The region 287 where the columnar rolls can persist is determined by the amplitude of the shear produced 288 by u_{ϕ}^{z} and u_{ϕ}^{nz} . For $q^{*} \geq 2.8$, u_{r} and u_{ϕ}^{nz} are both strongest above the maximum IB heat-flux 289 at $\phi = 180^{\circ}$, but the shear due to the strong u_{ϕ}^{nz} is sufficient to break down convection 290 columns directly east of the maximum heat-flux until u_{ϕ}^{nz} weakens sufficiently for columns 29 to reemerge around $\phi = 0^{\circ}$. At lower values of q^* the u_{ϕ}^{nz} driven by the thermal wind is 292 not strong enough to shear convection columns in the western hemisphere where the high 293 heat-flux drives strong radial motions; however, in the eastern hemisphere, the combined 294 action of zonal and nonzonal azimuthal flows dominates over the relatively weak radial mo-295 tions. This explains why the region where convection columns persist is always located in the 296 hemisphere where the IB heat-flux is higher than the average. Finally, our analysis suggests 29 that the large-scale azimuthal flows in the models described above are driven predominantly 298 by a thermal wind; Reynold's stresses play a secondary role. 299

For Ra = 150 and Ra = 225 we did not obtain quasi-stationary solutions for any value 300 of q^* considered. Higher values of Ra lead to more energy in small-scales compared to those 301 with Ra = 90, but the large-scale features are very similar to those described above for 302 Ra = 90. Figure 6 shows time-averaged flow patterns with $q^* = 1.4$ and Ra = 90, 150, 225. 303 Instantaneous and time-averaged flows for Ra = 90 show the same basic features, as could be 304 anticipated by comparing the time-averaged and instantaneous velocity spectra in Figure 1. 305 Interestingly, the time-averaged flow for $q^* = 1.4$ indicates that upwellings and downwellings 306 in the western hemisphere, with a characteristic lengthscale much smaller than that of the 307

imposed boundary anomaly, occur in preferred locations. The superposition of scales in 308 flows forced by inhomogeneous outer boundary conditions was noted by Davies et al. (2009). 309 Equatorial sections for Ra = 150 and 225 reveal large-scale nonzonal azimuthal flows similar 310 to those studied in detail for Ra = 90 and $q^* = 4.2$; indeed, applying the same analysis to 311 these cases suggests that the mechanisms inferred to drive the zonal azimuthal flow u_{ϕ}^{z} , and 312 the nonzonal azimuthal flow u_{ϕ}^{nz} , are the same as those discussed for models with Ra = 90. 313 Increasing Ra for fixed q^* does not change the amplitude of u_{ϕ}^{nz} significantly, but strengthens 314 u_{ϕ}^{z} (Table 1) due to increased Reynolds stresses and axisymmetric latitudinal temperature 315 gradients. The combined shear due to u_{ϕ}^{z} and u_{ϕ}^{nz} (which are well-correlated as above) 316 produces similar large-scale effects at Ra = 150, 225 as for Ra = 90. These results suggest 317 that the behaviour described for solutions with Ra = 90 is broadly characteristic of the 318 behaviour across the range of Ra considered. 319

$_{320}$ 3.2. Y_1^1 outer boundary condition

In this section we briefly discuss the effect of imposing a Y_1^1 boundary anomaly at the OB 321 with a homogeneous IB. The model parameters are the same as used in $\S3.1$, but we consider 322 only Ra = 90. Simulations were conducted with $q^* = 11.2$ and $q^* = 34.2$ (see Table 1), 323 corresponding to OB anomalies that are equal in magnitude to the IB anomalies imposed in 324 the models with $q^* = 1.4$ and 4.2 respectively. No quasi-steady solutions were obtained for 325 models with Y_1^1 OB anomalies at Ra = 90, unlike models with Y_1^1 IB anomalies where such 326 solutions were obtained for Ra = 90 and $q^* \ge 2.8$. Simulations at higher values of Ra were 327 not conducted, but quasi-steady solutions are not anticipated based on the results of $\S3.1$. 328 Figure 7 shows a snapshot of the flow pattern for Ra = 90 and $q^* = 34.2$. Temporal 329

variations are most apparent outside the tangent cylinder near the IB, where a sequence of 330 columnar rolls reminiscent of the pattern of homogeneous $(q^* = 0)$ convection (see Figure 2) 331 drift predominantly in the prograde sense. A cluster of rolls are located beneath the OB 332 under the region of high heat-flux and remain in this location for the length of our simulation 333 (6 time units). A previous study (Davies et al., 2009) with an imposed Y_2^2 OB condition 334 found two such clusters. These results suggest that the number of clusters is determined 335 by the azimuthal wavenumber of the imposed boundary anomaly. Large-scale nonzonal 336 azimuthal flows are generated near the OB but do not penetrate all the way to the IB. 337

Figure 8 shows the ϕ -component of the thermal wind balance (equation (11)) for Ra = 90338 and $q^* = 34.2$. Both terms are large and tend to balance near the OB; however, the 339 amplitude of the thermal wind decreases significantly with depth. Conducting the analysis 340 of $\S3.1$ suggests that the large-scale nonzonal azimuthal flows near the OB are driven by the 341 thermal wind resulting from the OB heat-flux anomalies; these flows are much stronger than 342 those obtained with a Y_1^1 IB condition (see Table 1), which we attribute to the larger surface 343 area of the OB giving rise to a stronger thermal wind. Azimuthal flows are much weaker and 344 contain more small-scale structure at depth where the thermal wind is weak. This, together 345 with the fact that the homogeneous system is driven from below, suggests that the effects 346 of OB anomalies do not penetrate far enough into the shell to stop fluid near the IB from 347 drifting, as it would do in the absence of boundary anomalies. For this particular model 348 it appears that the Y_1^1 OB condition has less overall influence on the spatial and temporal 349

characteristics of the flow than a Y_1^1 IB condition. We attribute this to the fact that, in our simulations, the IB condition is imposed in the same location as the buoyancy source for free convection.

353 4. Discussion and conclusions

We have performed numerical simulations to investigate the effects of a translating inner 354 core on outer core convection. The novel feature of our model is that convection in the 355 outer core is driven non-uniformly from below. Many previous studies have investigated the 356 effects of laterally-varying outer boundary conditions on rotating convection (e.g. Zhang, 357 1992; Zhang and Gubbins, 1993; Davies et al., 2009) and magnetic field generation (e.g. 358 Olson and Christensen, 2002; Willis et al., 2007; Sreenivasan, 2009) in spherical shells. By 359 contrast, laterally-varying inner boundary conditions have received very little attention, save 360 for an investigation into possible long-term asymmetry in the geomagnetic field by Olson 361 and Deguen (2012). Studies with laterally-varying outer boundary conditions generally use 362 a pattern of boundary anomalies inferred from seismic tomography, a complex combination 363 of spherical harmonics, or the largest harmonic in this pattern, which is Y_2^2 . Conversely, the 364 large-scale pattern imposed by inner core translation is a spherical harmonic Y_1^1 . Motivated 365 by these issues, we used an idealised nonmagnetic model of thermally-driven convection in 366 a rotating spherical shell designed to highlight the effects of the imposed Y_1^1 inner boundary 367 heat-flux. Nonmagnetic models reduce computational costs, allowing a suite of simulations 368 to be conducted, and afford theoretical simplifications compared to geodynamo simulations. 369 Our results for the simpler hydrodynamic problem will hopefully guide future research into 370 geodynamo models with laterally-varying inner boundary conditions. 371

The suite of simulations conducted for this work use an Ekman number that is low enough 372 for the dynamics to be rotation-dominated and focus on low Rayleigh numbers, where the 373 influence of the boundary condition is expected to be prominent. Higher Rayleigh numbers 374 could lead to a weakening of boundary effects at the values of q^* (which measures the 375 amplitude of boundary anomalies) used in this work, but higher values of q^* may lead to 376 significant boundary effects even when the Rayleigh number is highly supercritical. Such a 377 regime cannot be ruled out given the significant uncertainties in the value of q^* appropriate 378 for the Earth. 379

In our models, increasing q^* with all other parameters fixed leads to significant changes in the large-scale flow pattern compared to the solution with a homogeneous inner boundary $(q^* = 0)$. The most striking feature is the development of spiralling azimuthal jets that span large portions of the shell. Radial motion tends to be weak where the azimuthal jets are strong. Vigorous convection becomes increasingly confined to localised regions as q^* increases; these regions do not drift and are always located in the hemisphere where the boundary heat-flux is higher than the average.

We explored the processes responsible for generating the localised regions of convection that emerge at large q^* , focusing on shear generated by the large-scale zonal and nonzonal azimuthal flows. Zonal flows generally account for only a small fraction of the total kinetic energy in our models, partly due to our use of no-slip boundary conditions (Christensen,

2002) and partly due to choice of relatively low Ra. The energy in the zonal flow remains a 391 small fraction of the kinetic energy for all values of q^* considered. Large-scale nonzonal az-392 imuthal jets significantly increase in amplitude with q^* and tend to dominate the zonal flows 393 when the boundary forcing is strong. Our analysis suggests that the large-scale nonzonal 394 azimuthal jets are driven by a thermal wind resulting from the boundary anomalies and that 395 the shear generated by these jets leads to the destruction of columnar convection rolls (that 396 would otherwise exist in the absence of boundary anomalies) in regions where the shearing 397 flow is much greater than the amplitude of the radial flow. Thermal winds were found to be 398 more important for driving large-scale flows than Reynold's stresses at high values of q^* . 399

Applying a Y_1^1 heat-flux pattern at the outer boundary, with a spherically symmetric 400 inner boundary, appears to exert a weaker influence on fluid far from the inhomogeneous 401 boundary compared to a model with the same parameter values and a Y_1^1 inner boundary 402 condition. We suggest that this occurs in the model because outer boundary effects are 403 weakest where the buoyancy force driving homogeneous convection is strongest. Models 404 with inhomogeneous inner and outer boundaries designed to simulate outer core-mantle and 405 outer core-inner core interactions are needed to further explore this potentially significant 406 result. 407

The effects of the inner boundary condition are visible in instantaneous and time-averaged 408 surface flows even for low values of q^* . Figure 9 shows that the surface expression of the 409 lateral inner boundary anomalies is an amplitude difference between the flow in the eastern 410 and western hemispheres. The amplitude difference increases with q^* . At the highest values 411 of q^* there is a clear signature of the large-scale azimuthal flows that are generated near 412 the inner boundary and spiral outward. Close correspondence between magnetic and non-413 magnetic flows found in models with laterally-varying outer boundary conditions (e.g. Willis 414 et al., 2007) raise the possibility that flows of this type may arise in geodynamo models. 415 This may be the case if the Lorentz force does not significantly alter the largest scales of 416 the flow. 417

Our principle conclusion is that the presence of thermal inner boundary anomalies can significantly affect the dynamics of convection in a rotating spherical shell. This result appears consistent with the models of Olson and Deguen (2012), which include the effect of a magnetic field but operate at lower rotation rates than those considered here. Future work is needed to assess the role of laterally-varying thermal inner boundary conditions at rapid rotation rates with the inclusion of the magnetic field.

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Ra	q^*	Pe	$K_{\mathcal{T}} \left(K_{\mathcal{T}} / K \right)$	$K_{\mathcal{T}}^z \left(K_{\mathcal{T}}^z / K \right)$
90	0	38.6	8851 (0.82)	1529(0.14)
90	0.3	37.2	$8162 \ (0.81)$	1258 (0.12)
90	0.6	39.1	9093~(0.82)	$1342 \ (0.12)$
90	1.4	45.1	$12534 \ (0.84)$	$1571 \ (0.10)$
90	2.8	58.2	21689 (0.88)	4014 (0.16)
90	4.2	62.2	$24784 \ (0.88)$	4372(0.16)
90*	11.4	62.8	23972(0.83)	3814(0.13)
90*	34.2	79.5	$40287 \ (0.87)$	$15653 \ (0.34)$
150	0	65.6	26125 (0.83)	3362(0.11)
150	0.3	65.3	$25869 \ (0.83)$	$3555\ (0.11)$
150	0.6	70.1	$30392 \ (0.85)$	$4855\ (0.14)$
150	1.4	73.4	$33677 \ (0.86)$	5659(0.14)
150	2.8	77.8	$38179 \ (0.86)$	$6656 \ (0.15)$
150	4.2	81.8	42048 (0.86)	$7881 \ (0.16)$
225	0.3	90.5	48935(0.82)	7538(0.13)
225	0.6	89.0	47127 (0.82)	$7125\ (0.12)$
225	1.4	94.2	$53996\ (0.83)$	$7811 \ (0.12)$
225	2.8	102.3	$64679 \ (0.84)$	11481 (0.15)

Table 1: Convection simulations used in this work. All simulations use Pr = 1 and $E = 10^{-5}$. Ra is the Rayleigh number based on the average boundary heat-flux. All models employ a Y_1^1 inner boundary condition and a spherically symmetric outer boundary condition except those denoted with an asterisk, which employ a Y_1^1 outer boundary condition and a spherically symmetric inner boundary condition. Velocity is measured in units of the Péclet number, $Pe = Ud/\kappa = \sqrt{2K/V_s}$, where $V_s = 14.59$ is the nondimensional volume of the spherical shell. K is the total kinetic energy; K_T the toroidal kinetic energy; and K_T^z the zonal toroidal kinetic energy. Each run spans six thermal diffusion time units following an initial transient phase.



Figure 1: a) kinetic energy plotted against time for different values of q^* (top). Time is measured in units of d^2/κ . b) and c) kinetic energy as a function of harmonic degree l and order m plotted up to degree and order l = m = 30 at time t = 11 in a) (solid lines) and averaged over the period of time shown in a) (dashed lines). Other parameter values are $E = 10^{-5}$, Pr = 1, Ra = 90. Note that spherical harmonics up to degree and order 80 were retained in the solutions and spectra are plotted up to l = m = 30 for clarity.



Figure 2: Snapshots of simulations at t = 11 in Figure 1a. From top to bottom: models with $q^* = 0, 0.6, 1.4$ and 4.2. Other parameter values are $E = 10^{-5}$, Pr = 1, Ra = 90. From left to right: u_r in the equatorial plane; u_{ϕ} in the equatorial plane; temperature perturbation with the spherically symmetric (Y_0^0) component of the spherical harmonic expansion removed; u_{ϕ} in the meridional plane at $\phi = 270^{\circ}$. $\phi = 0^{\circ}$ corresponds to the rightmost edge of the equatorial sections and is the longitude of minimum heat-flux; maximum heat-flux is imposed at $\phi = 180^{\circ}$.



Figure 3: Snapshots of the azimuthal component of the zonal flow, u_{ϕ}^z , for $q^* = 1.4$ (left) and $q^* = 4.2$ (middle). Snapshots of the vertically (z) averaged azimuthal component of the zonal flow, $[u]_{\phi}^z$, as a function of radius for various values of q^* (right). Snapshots are taken at t = 11 in Figure 1a. Other parameter values are $E = 10^{-5}$, Pr = 1, Ra = 90.



Figure 4: Snapshots, taken at t = 11 in Figure 1a, of the θ (top) and ϕ (bottom) components of equation (11) for a model with $E = 10^{-5}$, Pr = 1, Ra = 90, $q^* = 4.2$ and a Y_1^1 inner boundary condition. The first two columns show the thermal wind balance. The plots show $\partial u_{\theta}/\partial z$ (column 1, top), $-(Ra/r\sin\theta)\partial T/\partial\phi$ (column 2, top), $\partial u_{\phi}/\partial z$ (column 1, bottom), and $(Ra/r)\partial T/\partial\theta$ (column 2, bottom). Column 3 shows the θ (top) and ϕ (bottom) components of the term $(E/Pr)\nabla \times [(\mathbf{u} \cdot \nabla)\mathbf{u}]$ in equation (11). Column 4 shows the remainder after adding the fields in columns 1–3. All images are volume rendered with the equatorial plane highlighted for clarity. Boundary layers have been removed from the plots as they are sources of vorticity, which tend to obscure features in the bulk of the shell.



Figure 5: Snapshots of the azimuthal component of the nonzonal $(m \neq 0)$ flow, u_{ϕ}^{nz} , at $\phi = 180^{\circ}$ (left), 225°, 270° and 315° (right) for $q^* = 4.2$. Snapshots are taken at t = 11 in Figure 1a. Other parameter values are $E = 10^{-5}$, Pr = 1, Ra = 90.



Figure 6: Time-averaged flows for $E = 10^{-5}$, Pr = 1 and $q^* = 1.4$. u_r (top) and u_{ϕ} (bottom) in the equatorial plane for Ra = 90 (left), 150 (middle), and 225 (right). Time-averages span 6 time units, which are measured in units of d^2/κ . $\phi = 0^{\circ}$ corresponds to the rightmost edge of the plots and is the longitude of minimum heat-flux; maximum heat-flux is imposed at $\phi = 180^{\circ}$.



Figure 7: Snapshots of u_r (left) and u_{ϕ} (right) in the equatorial plane for Ra = 90 and $q^* = 34.2$ with a Y_1^1 outer boundary condition. $\phi = 0^{\circ}$ corresponds to the rightmost edge of the plots and is the longitude of minimum heat-flux; maximum heat-flux is imposed at $\phi = 180^{\circ}$. Other parameter values are $E = 10^{-5}$, Pr = 1, Ra = 90.



Figure 8: Snapshots of the ϕ component of the thermal wind balance (first two terms in equation (11)) for a model with $E = 10^{-5}$, Pr = 1, Ra = 90 and $q^* = 34.2$ with a Y_1^1 outer boundary condition. The plots show $\partial u_{\phi}/\partial z$ (left), $(Ra/r)\partial T/\partial \theta$ (middle), and the remainder after adding the fields in columns 1 and 2 (right). All images are volume rendered with the equatorial plane highlighted for clarity. Boundary layers have been removed from the plots as they are sources of vorticity, which tend to obscure features in the bulk of the shell.



Figure 9: Snapshots (left) and time-averages (right) of u_{ϕ} in Mollweide projection for Ra = 90 and $q^* = 0.3$ (top), Ra = 90 and $q^* = 1.4$ (middle) and Ra = 225 and $q^* = 1.4$ (bottom). Snapshots are taken at t = 11 of Figure 1a. Time-averages span 6 time units, which are measured in units of d^2/κ . Projections are taken at $r = 0.95r_{\rm o}$, i.e. just beneath the outer boundary. Note the amplitude difference between the western hemisphere (left half of each projection) and the eastern hemisphere (right half).