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Influence of Size and Temperature on the Phase Stability and Thermophysical Properties of Anatase TiO$_2$ nanoparticles: Molecular Dynamics Simulation

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Abstract

Nanoparticles have attracted the attention of researchers in a number of multidisciplinary fields as they possess enhanced structural and physical properties, which make them desirable to a wide range of industries. These enhancements have mostly been attributed to their large surface area-to-volume ratio. However, the effect of temperature on the structural and surface properties of nanoparticles of different sizes is still not well understood, an aspect addressed in the present work. Using molecular dynamics simulations, we have performed investigations on Anatase TiO$_2$ nanoparticles with sizes ranging between two and six nm and at different temperatures. Structural and surface properties including surface energies are reported for the different nanoparticle sizes, temperature and simulation time step. Comparisons of surface energies for the different nanoparticle sizes show that surface energy increases to a maximum (optimum value) especially for temperatures between 300 - 1500 K, as the particle size increases after which no further significant increase is observed. Studies conducted on the
change of final structure with respect to the initial structure of the particles, revealed that atomic structural disordering is more visible at the surface layer compared to the bulk or core of the final structure. Further studies conducted on the sphericity of the nanoparticles showed that the particles became less spherical with increase in temperature.

**Keywords:** Anatase TiO$_2$, Molecular dynamics simulation, Surface energy, Sphericity

1. Introduction

Metal oxide particles at the nanometer size have a wide range of applications in areas such as photonics, sensors, electronics and catalysis [1-2]. Generally, nanometer sized metal oxide particles such as TiO$_2$, have a large surface area per unit mass and have the potential of enhancing physical, chemical and electrical properties compared to the equivalent properties manifested in the bulk form of the material.

Anatase is one of the three main crystalline forms of Titanium dioxide, with the other two forms known as Rutile and Brookite. Experimental evidence suggests that Anatase is the most thermodynamically stable in the nanocrystalline form while the Rutile phase is more stable in the bulk form [3]. Understanding the underlying mechanism behind phase stability and the thermophysical properties of TiO$_2$ phases is of great importance to scientists and engineers.

In this work, MD simulations were carried out on the Anatase phase of Titanium dioxide nanoparticles with particle sizes ranging between 2 and 6 nm and temperatures between 300 and 2500 K, using the classical molecular dynamics code DL_POLY. Subsequently, structural and surface properties were investigated. Additionally, surface energies of bulk crystal surfaces were calculated using the *General Utility Lattice Program* (GULP) [4] for comparison with that of the nanoparticles of different sizes. Also, the evolution of structural configuration and surface energy of the nanoparticles at different temperatures was analyzed systematically. Although surface energy for different nanoparticle
sizes have been reported previously for limited cases at ambient conditions [5], here we go further to report surface energy at different temperatures and for a range of particle size.

As part of the structural analysis, here we give a clear insight into the degree of shift of atoms within the particles (for different particle sizes) from the centre, after a molecular dynamics run. Also, the degree of sphericity of the particles after dynamics simulations was investigated. The method of simulation and results of the analysis are presented in the sections below.

2. MD Simulations

2.1. Structure Configurations. The Accelrys Materials Studio 4.2 visualisation package was used to create the TiO$_2$ nanoparticles of the anatase phase. For this purpose, molecular lattice (Table 1) parameters of Anatase TiO$_2$ [5] were used to create the bulk crystal with a perfect lattice. Subsequently, spheres with diameters ranging from 2 to 6 nm were cut from the bulk lattice and some of the surface oxygen and titanium atoms were removed to ensure electroneutrality (Figure 1).

Table 1. Experimental unit cell parameters and fractional coordinates for anatase. [5]

<table>
<thead>
<tr>
<th>Phase</th>
<th>Crystal System</th>
<th>Space group</th>
<th>$a$, Å</th>
<th>$b$, Å</th>
<th>$c$, Å</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anatase</td>
<td>Tetragonal</td>
<td>$I4_1/amd$</td>
<td>3.784</td>
<td>3.784</td>
<td>9.514</td>
</tr>
</tbody>
</table>

Figure 1. Image of typical structure generated for 3 nm anatase TiO$_2$ nanoparticle created using Materials Studio
2.2. Simulation Method. The force field of Matsui and Akaogi [6-8, 11, 13], as widely used in simulating titanium dioxide nanoparticles, was used for the present molecular dynamics simulations. The force field is represented in a site–site interaction form as:

\[
U(r_{ij}) = A_{ij} \exp\left(-\frac{r_{ij}}{\rho_{ij}}\right) - C_{ij} \frac{q_i q_j}{r_{ij}}
\]

where \( U(r_{ij}) \) is the interaction energy, \( r_{ij} \) is the distance between sites \( i \) and \( j \). The Ti and O ions possess partial charges \( q \) of +2.196 and -1.098 respectively, in atomic units. The parameters \( A_{ij}, \rho_{ij} \) and \( C_{ij} \) are given in Table 2.

<table>
<thead>
<tr>
<th>Interaction</th>
<th>( A_{ij} ) / eV</th>
<th>( \rho_{ij} ) / Å</th>
<th>( C_{ij} ) / eV Å²</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ti²⁺ -O⁻¹⁰⁹₈⁻</td>
<td>16957.53</td>
<td>0.194</td>
<td>12.59</td>
</tr>
<tr>
<td>Ti²⁺ -Ti²⁺¹⁰⁹₈⁺</td>
<td>31120.2</td>
<td>0.154</td>
<td>5.25</td>
</tr>
<tr>
<td>-O⁻¹⁰⁹₈⁻ -O⁻¹⁰⁹₈⁻</td>
<td>11782.76</td>
<td>0.234</td>
<td>30.22</td>
</tr>
</tbody>
</table>

In the molecular dynamics code, DL_POLY version 2.13 was used and the Verlet leapfrog algorithm for integration of Newton’s equations of motion was selected [6, 10, 12]. Simulations were carried out in the canonical ensemble (NVT) and the Hoover thermostat was used to maintain a constant temperature. Interactions between the particle and its images, under the periodic boundary convention, were minimized by making the cell edges 40 Å longer than the diameter of the particle. A time step of 1 fs was used and the simulations were carried out for 1 ns, sufficiently long to attain steady state of the molecular structure of particles in this study.

2.3. Determination of bulk lattice and surface energies. The General Utility Lattice Program (GULP), which began development in the early 1990s, performs force field simulations using static lattice/lattice dynamical methods [4]. In GULP, energy calculations are carried out by decomposing the internal energy (which for a solid, is a many body quantity that depends on positions and momenta of all the electrons and nuclei) into an expansion in terms of interactions between different subsets of the total number of atoms, \( N \) [4];
\[
U = \sum_{i=1}^{N} U_i + \frac{1}{2} \sum_{i=1}^{N} \sum_{j=1}^{N} U_{ij} + \frac{1}{6} \sum_{i=1}^{N} \sum_{j=1}^{N} \sum_{k=1}^{N} U_{ijk} + \ldots
\]

where the first term represents the self energies of the atoms, the second the pairwise interaction, etc [4]. Equation 2 is a generic equation for carrying out energy calculations and since current study focuses on pairwise interaction, only the second term in the equation was used. GULP adopts a two-region strategy as a computational approach in calculating surface energy of a bulk material. In this approach, a single surface is created and is divided into two regions (Figure 2). Region 1 contains the surface and all layers of atoms below it that show significant atomic relaxation. Region 2 contains the rest of the bulk material which have no displacements induced from the structure. Only the atoms of region 2 that have an interaction with region 1 are explicitly considered and for this reason the depth of region 2 is controlled by the force field cut-offs. The surface is sufficiently relaxed, and surface energy converged due to interaction of atoms of both regions. Surface energy \( \Delta U_{SE} \) of a surface is defined as;

\[
\Delta U_{SE} = \frac{(U_{\text{surface}} - U_{\text{bulk}})}{A}
\]

Where \( U_{\text{bulk}} \) is the bulk energy, \( U_{\text{surface}} \) is the energy for a surface created in the same system and \( A \), the surface area.
Figure 2. A typical anatase structure showing the two regions viewed at right angles to the surface normal. The dash lines represent the boundary between region 1 (with the relaxed atoms) and region 2 (with the frozen atoms).

Surface energy for different bulk surfaces and spherical particles for Anatase TiO$_2$, have been calculated. Surface energy for the bulk surfaces were calculated using the GULP interface, GDIS. The surfaces were constructed and visualized using GDIS [4], which is a visualization program that can be used in displaying molecular and periodic systems such as surface slabs and crystal unit cells. In GDIS, the miller ($hkl$) indices and a shift value are used to define a planar cleaved surface and in this case a cut-off depth of 5 Å was chosen for both region 1 and 2 of the cleaved surfaces to allow for sufficient convergence of the energy block. The size of region 2 is selected to be as thick as possible to allow the atoms represent the effect of the bulk on the atoms in region 1 whereas the size of region 1 is selected to allow convergence of properties such as surface energy. The surface energy of a particular surface is calculated based on the difference between the energy of the surface block and the bulk energy per unit area. For this calculation, the lower index planes were chosen as they are generally known for their stability after surface relaxation [9]. Some surfaces with surface dipoles leading to uneven distribution of charges had to be
reconstructed by moving the atoms so as to remove the dipoles. Non-dipolar surfaces give more accurate surface energies.

3. Results and discussion

3.1 Surface Properties

Surface energies for bulk surfaces are reported in Table 3, and have been compared with energy results from Oliver et al [9].

Table 3. Surface energies, $\Delta U_{SE}$ of bulk anatase surfaces

<table>
<thead>
<tr>
<th>Surface (hkl)</th>
<th>Surface area ($\text{Å}^2$)</th>
<th>Present $\Delta U_{SE}$ (Jm$^{-2}$)</th>
<th>Literature [9] $\Delta U_{SE}$ (Jm$^{-2}$)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Unrelaxed Relaxed</td>
<td>Unrelaxed Relaxed</td>
</tr>
<tr>
<td>(001)</td>
<td>36.00</td>
<td>2.285 1.714</td>
<td>2.81 2.40</td>
</tr>
<tr>
<td>(110)</td>
<td>50.91</td>
<td>2.902 2.264</td>
<td>2.05 1.78</td>
</tr>
</tbody>
</table>

In the case of the nanoparticles, surface energy was calculated by assuming that the particles were spherical using the following expression [5];

$$U_{surface} = \frac{U_{cluster} - nU_{bulk}}{4\pi r^2}$$

(4)

Where $U_{cluster}$ is the potential energy of the nanoparticle, $U_{bulk}$ is the potential energy per TiO$_2$ unit in the bulk material, $n$ is the number of TiO$_2$ units in the nanoparticle and $r$ is the radius of the nanoparticle. Since the particles become less spherical as temperature increases, the assumption that the particle is spherical and hence use of the formula for surface area (i.e. $4\pi r^2$) in Equation 4 is a poor approximation. For this reason, actual surface area values for the particles were gotten from Materials Studio. Materials Studio estimates surface area by creating a Connolly, van der waal or solvent surface around the material (treated at the atomic scale), depending on the choice of surface. In this case, surface area calculations were made using the Connolly surface [14].
The dependence of surface energy on particle size, its evolution with time in the molecular dynamics simulation and temperature has been investigated and results shown in Figure 3. In the case of 300 K, it can be seen that for all time steps, the surface energy increased with particle size until a maximum is reached above which no further increase is observed. In the case of 1000 K, an increase in surface energy with particle size is observed until a maximum is reached above which there a slight decrease is observed. At 1500 K and for most of the time steps, an observed fluctuation is associated with the increase in surface energy for increasing particle size. This fluctuation through time may be indicative of an annealing process occurring in the particle. The observation shows a high dependence of surface energy on not only particle size but also especially temperature. The observed linear increase of surface energy with particle size for 2500 K (which is not the case for 300, 1000 and 1500 K), could suggest that the nanoparticles have reached their melting point (which is between 2250 and 2280 K,[7, 10]) and have become more liquid-like.
Figure 3. Surface energy as a function of particle size and time step at (a) 300, (b) 1000, (c) 1500 and (d) 2500 K.

Surface energy calculated and presented in this study has also been validated with MD simulation data from Naicker et al [5], as shown in Figure 4.
Apart from surface energy at 2500 K, surface energies for the nanoparticles are seen to approach the bulk surface energy values as the nanoparticle sizes increase. This can also be observed in Figure 4, where the surface energies at 300, 1000 and 1500 K are seen to increase to a maximum (optimum value) as the particle size increases after which no further significant increase is observed. The case of surface energy increasing with temperature especially for relatively small particle sizes is due to the fact that the nanoparticles start to show signs of faceting with a highly non-spherical shape and become in molten state as the temperature increases (especially towards 2500 K) as seen in Figure 5. This may further mean that the nanoparticles approach the melting point and become liquid-like.

**Figure 4.** Surface energy validation with literature
Figure 5. 3nm anatase nanoparticles showing faceting after MD simulations. (a) 300 K without faceting and before simulation, (b) 300 K without faceting, (c) 1000 K with little faceting, (d) 1500 K with faceting and (e) 2500 K with faceting. (b), (c), (d), (e), are results after simulations. Oxygen and titanium atoms are in red and grey colours, respectively.

The effect of temperature on surface energy pertaining to the final stage of the simulations has also been analysed and results show that surface energy tends to decrease linearly with increase in temperature until 1500 K after which a sharp and rapid increase occurs. This is mostly observed for particle sizes 3 – 6 nm as seen in Figure 6. In the case of 2 nm, the surface energy decreases linearly as the temperature increases to 1000 K after which an increase in surface energy is observed. This shows that the surface energy contribution to thermal properties such as total energy and melting point of nanoparticles is very significant especially at very small particle sizes [5].
Figure 6. Surface energy of nanoparticles at the final stages of simulation time, as a function of temperature

3.2 Structural Analysis

The degree of shift of the titanium and oxygen atoms in the nanoparticles from the centre of the particle (or bulk) to the surface is investigated here. It is observed from Figures 8 and 9 that the degree of shift of the atoms from their original positions increases as the surface of the nanoparticle is approached and is minimal at the centre (bulk) of the nanoparticle. The atom positions of the initial nanoparticle structure (before simulation) were compared with the atom positions of the final structure (after simulation) and on this basis, calculations were made to evaluate the degree of shift. The estimation of the shift or change, of the final structure with respect to the initial structure was calculated using the following equation:

\[ R_{\text{shift}} = \frac{1}{N} \sum_{j=1}^{N} \sqrt{(x_i - x_{i,\text{opt}})^2 + (y_i - y_{i,\text{opt}})^2 + (z_i - z_{i,\text{opt}})^2} \]  

(5)

Where \( R_{\text{shift}} \) is the degree of shift, \((x_i, y_i, z_i)\) are the coordinates of an atom (with respect to symmetry positions within the particle structure) and \( N \) is the number of atoms in the system. The coordinates of the atoms in the optimised structure (after simulation) are marked with an ‘opt’ superscript, while those
without any superscript represent the original structure (before simulation). Figure 7 shows the surface and bulk of a supposedly spherical nanoparticle after simulation using layers to represent the cut-off distances from the centre.

![Figure 7](image)

**Figure 7.** Schematic representation of a spherical shaped nanoparticle with different layers representing cut-off distances from the centre. Oxygen and titanium atoms are red and grey colours, respectively.

![Figure 8](image)

**Figure 8.** Degree of shift or change in structure for different Anatase TiO$_2$ nanoparticle sizes with respect to its optimised structure

It can be observed from Figure 8 that for all cases of nanoparticle sizes, the degree of shift of atom positions increases exponentially from the bulk to the surface of the nanoparticle. This shift is seen to decrease with particle size. A typical visual inspection of 2 and 6 nm nanoparticle sizes in Figure 9
show that the shift of atoms from their original positions after optimisation is more significant for 2 nm compared to 6 nm.

**Figure 9.** Degree of shift of atoms from their original positions. (a) 2 nm before optimisation, (b) 2 nm after optimisation, (c) 6 nm before optimisation, (d) 6 nm after optimization. Oxygen and titanium atoms are in red and grey colours, respectively.

In Figure 10, the bulk, intermediate and surface for a typical case of 5 nm nanoparticles after optimisation, are shown. It can be seen that there is more atom shift and disorderliness at the surface (c) compared to the bulk or centre (a) of the nanoparticle, where the atoms are seen to be more orderly. This observation is similar to that seen in Figure 8, which revealed that there was less degree of shift at the bulk or centre of the nanoparticle than at the surface.
Figure 10. Atom shifts at the (a) bulk or core (b) intermediate and (c) surface, of 5 nm Anatase nanoparticle. Oxygen and titanium atoms are in red and grey colours, respectively.

Figure 11 shows the degree of sphericity as a function of temperature and nanoparticle size. The sphericity calculation was carried out based on the following expression:

$$\psi = \frac{\frac{1}{\pi^2} (6V)^{\frac{2}{3}}}{A}$$

(6)

Where $V$ is the volume of the nanoparticle and $A$ is the surface area of the nanoparticle.

It can be seen that the particle becomes less spherical as the temperature increases. This can also be observed in Figure 3 where 3 nm nanoparticles are seen to become less spherical and more faceted as temperature increases. This occurs for all particles sizes as seen in Figure 11 (a). A steep drop of sphericity is observed at 2000 K for the different nanoparticle sizes (apart from 2 nm where an early steep drop in sphericity is observed at 1500 K). This could also be linked to the melting point of the nanoparticles been approached.

It can also be observed from Figure 11 (b) that for temperatures between 300 and 2000 K, the effect of particle size on the degree of sphericity is less significant as compared to its effect at 2500 K. For temperatures between 300 and 2500 K, the degree of sphericity is seen to fluctuate about a mean position and only a slight drop in sphericity is observed as particle size increases. In the case of
temperature at 2500 K, the degree of sphericity is seen to decrease non-linearly with increase nanoparticle size.

![Figure 11](image.png)

**Figure 11.** Degree of sphericity as a function of (a) temperature for different nanoparticle sizes and (b) nanoparticle size for different temperatures

**Conclusion**

The influence of parameters such as particle size and temperature on surface energy and structural properties of Anatase TiO$_2$ nanoparticles is presented in this work. The melting point and the point of phase transition of the particles have been analyzed. For Anatase TiO$_2$ nanoparticles, melting point is seen to be around 2500 K and the particles are seen to be liquid-like around this temperature. Surface energy of the nanoparticles shows a high dependence on particle size and temperature. The surface energy of the nanoparticles at 300 K is seen to approach the bulk surface energy as the particle size increases. The particles are also seen to be less spherical and show faceting as temperature increases.

Further investigations are to be carried out on TiO$_2$ nanoparticles in liquid systems, so as to compare their behavior and properties with those in a vacuum.
References


