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#### 32 Abstract

33 Climate change is expected to substantially reduce agricultural yields, as reported in the by the Intergovernmental Panel on Climate Change (IPCC). In Sub-Saharan Africa and (to a 34 lesser extent) in South Asia, limited data availability and institutional networking constrain 35 agricultural research and development. Here we performed a review of relevant aspects in 36 relation to coupling agriculture-climate predictions, and a three-step analysis of the 37 38 importance of climate data for agricultural impact assessment. First, using meta-data from the scientific literature we examined trends in the use of climate and weather data in agricultural 39 research, and we found that despite agricultural researchers' preference for field-scale 40 weather data (50.4% of cases in the assembled literature), large-scale datasets coupled with 41 42 weather generators can be useful in the agricultural context. Using well-known interpolation techniques, we then assessed the sensitivities of the weather station network to the lack of 43 44 data and found high sensitivities to data loss only over mountainous areas in Nepal and 45 Ethiopia (random removal of data impacted precipitation estimates by  $\pm 1,300$  mm/year and temperature estimates by  $\pm 3^{\circ}$ C). Finally, we numerically compared IPCC Fourth Assessment 46 Report climate models' representation of mean climates and interannual variability with 47 different observational datasets. Climate models were found inadequate for field-scale 48 agricultural studies in West Africa and South Asia, as their ability to represent mean climates 49 50 and climate variability was limited: more than 50% of the country-model combinations showed <50% adjustment for annual mean rainfall (mean climates), and there were large 51 rainfall biases in GCM outputs (1,000 to 2,500 mm/year), although this varied on a GCM 52 basis (climate variability). Temperature biases were also large for certain areas (5-10°C in the 53 54 Himalayas and Sahel). All this is expected to improve with IPCC's Fifth Assessment Report; hence, appropriate usage of even these new climate models is still required. This improved 55 usage entails bias reduction (weighting of climate models or bias-correcting the climate 56 change signals), the implementation of methods to match the spatial scales, and the 57 quantification of uncertainties to the maximum extent possible. 58

59

60 Keywords: Sub-Saharan Africa; South Asia; climate modelling; climate model; skill;

- 61 uncertainty; CMIP3; CMIP5.
- 62

#### 63 **1. Introduction**

64 Agriculture is expected to play an important role in the context of climate change, not only because it is considered amongst the most vulnerable sectors, but also because it is part of the 65 solution (i.e. potential to mitigate greenhouse gases [GHGs] emissions) (FAO, 2009; IPCC, 66 2007). Agriculture will likely be severely affected over the next hundred years due to 67 68 unprecedented rates of changes in the climate system (IPCC, 2007; Jarvis et al., 2010; Lobell 69 et al., 2008; Thornton et al., 2011). Some of these impacts have already been observed (Battisti and Naylor, 2009; Schlenker and Lobell, 2010). To help cope with such impacts, a 70 framework to assess the effects of climate change on agriculture and food security and to aid 71 with adaptation was established in 2008, as described by Jarvis et al. (2011): The 72 73 Consultative Group of International Agricultural Research (CGIAR) Research Program on Climate Change, Agriculture and Food Security (CCAFS). 74

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76 For adaptation to be successful, agricultural and climate data are crucial, and these are scarce in their basic forms (data from research and weather stations, respectively) or not very well 77 78 managed and/or maintained in certain parts of the world. Most importantly, climate databases and their derived products are sometimes inaccurate, or else lack the documentation 79 80 necessary to facilitate their use within the agricultural research community. In some 81 instances, this may be indicative of the gap between the agricultural and climate research 82 communities (Pielke et al., 2007; Thornton et al., 2011). Even when the two do collaborate, agricultural researchers face critical constraints when accessing basic sources of climate data 83 (i.e. weather stations) due to a number of factors, from access to data, to weather maintenance 84 85 and data quality checks, to the weather itself (DeGaetano, 2006).

86

87 In the last 10 years, various datasets have been developed by different institutions, usually based on either a combination of weather station data, satellite data, and numerical weather 88 89 prediction models in addition to interpolation methods, or on the sole application of climate models. The usage of these datasets for agricultural modelling purposes is rather limited for 90 91 one or more of the following reasons: (1) their time step is long (monthly in the best case); (2) their temporal coverage is limited to an average of several years (Hijmans et al., 2005; 92 93 New et al., 2002); (3) their spatial resolution is too coarse (Adler et al., 2003; Schneider et al., 94 2010); (4) their geographic coverage is not wide enough (Di Luzio et al., 2008); and (5) only certain variables (i.e. temperatures, rainfall) are reported whereas other agriculturally relevant 95 measures (e.g. potential and/or reference evapotranspiration, relative humidity, solar 96 radiation) are rarely reported (Di Luzio et al., 2008; Hijmans et al., 2005). Moreover, 97 assessments of these data (particularly climate models) have been done only under a climate-98 99 science perspective (Gleckler et al., 2008; Pierce et al., 2009), for a limited number of variables (Jun et al., 2008; Reifen and Toumi, 2009), or for a reduced realm (Walsh et al., 100 2008). 101

102

103 In this paper, we sought to improve the general knowledge on the available climate data for 104 agricultural research using a three-step thorough analysis on fundamental aspects related to 105 agricultural modelling. First, we perform a meta-analysis on the usage of various data sources 106 for agricultural applications; second, we assess the quality and distribution of weather station records by exploring both the ability of these data to fill geographic information gaps by
means of interpolation, and the sensitivities of the different regions to data loss; and finally,
we assess the accuracy of climate model outputs against different observational datasets using
various metrics reported in previous literature (Gleckler et al., 2008; Pierce et al., 2009). We
finally analyse the main implications of our findings on agricultural impact assessment.

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# 2. Review of knowledge and data

# 2.1.Understanding of processes and crop modelling

Mechanisms to fix carbon in plants (i.e. photosynthesis) are affected by a number of factors 115 (El-Sharkawy, 2005; Prasad et al., 2002), although responses strongly depend on the type of 116 mechanism used by the plant to produce biomass (i.e. C<sub>4</sub>, C<sub>3</sub>, CAM) and on any other stresses 117 to which the plant could be subjected simultaneously. In crop production, apart from 118 119 appropriate plant growth it is the amount of biomass accumulated in fruits and seeds and the 120 nutrients in them that matters most (Thuzar et al., 2010). Yields are a direct consequence of photosynthesis and biomass accumulation, and these are directly or indirectly affected by 121 environmental conditions [see (Challinor et al., 2009b) for a review]. Well-watered crops 122 grown under optimal temperature and solar radiation ranges develop to their full production 123 potential (van Ittersum et al., 2003), but growth potential reduces if the crop is stressed during 124 125 the growing season (Hew et al., 1969; Huntingford et al., 2005).

126

Therefore, modelling crop growth depends on (1) correct formulation of the simulation 127 model, (2) our ability to understand the effects of environmental factors on growth, and (3) 128 correct measurement of the relevant environmental factors for correct mapping of their 129 interactions (Boote et al., 1996; El-Sharkawy, 2005). Hence, crop modelling largely benefits 130 from accurate measurements of temperatures, rainfall, and solar radiation, as the main factors 131 acting on photosynthesis (Challinor and Wheeler, 2008; Hoogenboom et al., 1994), but even 132 these basic data are often unavailable, messy, or of limited quality. The more available data 133 there exists, the better calibration and evaluation of crop models can be (Adam et al., 2011; 134 135 Niu et al., 2009; Xiong et al., 2008).

136

137 Additionally, most crop models simulate growth of individual plants and then scale out the 138 modelling results to the plot-scale, based on management decisions such as plant and row distances, and plot size (Aggarwal et al., 2006; Boote et al., 1996; Hoogenboom et al., 1994). 139 On the other hand, available weather data (when not measured in the field) is only available 140 at coarse spatial scales. Matching these two spatial scales is not an easy task [see (Challinor 141 et al., 2009a; Jagtap and Jones, 2002; Trnka et al., 2004) for a review]. The challenge is thus 142 143 to increase the knowledge of the interactions between atmospheric and crop-growth processes (Boote et al., 1996) whilst avoiding model over-parameterisation (Challinor et al., 2009b), 144 improving the accuracy of inputs (Adam et al., 2011), and matching both spatial scales 145 (Challinor et al., 2009a). All this requires closing the gap between crop and climate scientists. 146

147

# 148 2.2.Weather data

Measurements of weather for a given site are often unavailable because (1) there is no weather station; (2) weather stations are not well maintained so data are either only available for a short period or contain gaps, (3) collected data are not properly stored; (4) data do not
pass basic quality checks; and/or (5) access to data is restricted by holding institutions (Figure
1). This all further constrains agricultural impact assessment, highlighting the importance of
making data public.

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156 157

# <Insert Figure 1 here>

Apart from the constraints related to access and weather station locations, probably the most important issue regarding weather data is quality (Begert et al., 2008; DeGaetano, 2006) (Figure 1), which also greatly affects the performance of impact models. Therefore, the climate and agricultural community has partly focused on developing methods for either temporal or spatial data gap filling, and on using such methods for developing global or regional datasets with public access (Hijmans et al., 2005; Jones and Thornton, 1999; Soltani et al., 2004).

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However, uncertainties in global datasets derived from interpolation methods have been only
barely (if at all) estimated (Buytaert et al., 2009; Challinor and Wheeler, 2008; Soria-Auza et
al., 2010). Researchers using global datasets and any weather station source need to be aware
of these problems and ought to take this into account by testing the sensitivities of their
approaches to accuracy issues (i.e. inhomogeneities, discontinuities) and (if possible)
providing results within the range of uncertainty in input data (i.e. such as the outputs of cross
validated interpolation methods) (Challinor et al., 2005).

173

### 174 **2.3.Climate model data**

General Circulation Models (GCMs) are currently the best way to model the complex processes that occur at the earth system's level (Huntingford et al., 2005; IPCC, 2007). However, as CGMs are highly complex, they are computationally expensive, so they have only been used for predictions at coarse spatial scales. These predictions therefore involve a number of uncertainties relevant to agriculture [see (Challinor et al., 2009b; Jarvis et al., 2010; Quiggin, 2008) for reviews on the topic].

181

182 In short, uncertainty in climate modelling arises from the impossibility of modelling the climate system with complete determinism (Walker et al., 2003). This uncertainty can arise 183 from: context (boundaries of the system modelled), model, inputs, and parameters (Walker et 184 al., 2003). Model uncertainty can be structural or technical: structural uncertainty in models is 185 associated with our lack of understanding of the system, whereas technical uncertainty relates 186 187 to our inability to implement mathematical formulations in computational systems. Other uncertainties in climate modelling arise from variable driving forces (greenhouse gas 188 emissions and concentrations), initial conditions and parameterised physics (Challinor et al., 189 2009b; Walker et al., 2003). Rationalisation and quantification of all these uncertainties under 190 the context of agriculture is possible (see Challinor et al., 2009b for a review). 191

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193 Crop modellers are thus challenged to understand the broad concepts of climate modelling 194 uncertainties and detect the sensitivities of crop models to them, whilst also having a basic understanding of earth processes in order to identify major flaws in climate models anddecide the best ways to couple them with crop models.

197

# 198 **3. Materials and methods**

199 Throughout this paper, we built upon existing knowledge of agricultural and climate 200 modelling (Sect. 2) and:

- Performed a meta-analysis on the usage of climate and weather data for agricultural modelling purposes and summarised the desirable characteristics sought when modelling crop production.
- Analysed the robustness of the existing weather station network by assessing both the
   ability of these data to correctly fill information gaps via interpolation methods, and
   the network's sensitivities to information loss.
- Assessed the accuracy of climate model outputs from the Fourth Assessment Report
   of the IPCC (IPCC, 2007) against different observational datasets, using metrics and
   methods reported in the climate-science literature that are also familiar to agricultural
   researchers.
- 211

All calculations were done by means of the software packages R-2.13.1 (available at <u>http://www.r-project.org</u>) and GRASS-GIS 6.4.0 (available at <u>http://grass.fbk.edu</u>) in a 64-bit Red Hat Enterprise Linux 5 box.

215

# 216 **3.1.Study area**

We focused on the geographic area of Africa and South Asia, where several studies have 217 identified that significant vulnerabilities exist (Aggarwal, 2008; Aggarwal et al., 2004; 218 219 Barrios et al., 2008; Byjesh et al., 2010; Challinor et al., 2007a; Chipanshi et al., 2003; Jones and Thornton, 2003; Lane and Jarvis, 2007; Liu et al., 2008; Lobell et al., 2008; Thornton et 220 al., 2009; Thornton et al., 2011; Washington et al., 2006). In particular, we concentrate our 221 efforts on West Africa (Senegal, Mali, Burkina Faso, Ghana and Niger), East Africa 222 (Ethiopia, Tanzania, Uganda and Kenya) and the Indo-Gangetic Plains countries (India, 223 Nepal, and Bangladesh), hereafter referred to as WAF, EAF and IGP, respectively (Figure 2). 224

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228

# <Insert Figure 2>

# 3.2.Analysing the usage of climate data in agricultural studies

# 229 **3.2.1.** Meta-data from agricultural studies

We gathered data from a number of publications on any topic that made use of climate data 230 231 for any sort of agricultural modelling. We conducted searches using various search engines and downloaded only peer-reviewed publications. Review papers and the Fourth Assessment 232 report of the IPCC were particularly useful in identifying additional published studies. We 233 analysed all publications that in any way involved the usage of climate data for agricultural 234 modelling purposes. As the selection of the impact assessment model is the first decision that 235 any researcher needs to make, we focus on the driving factors of this decision. We recorded 236 237 different variables from the studies as follows:

- (1) Problem and/or topic in question: classified in categories such as impact assessment,
   seasonal yield forecasting, sole crop modelling, and climate attribution, among others.
   Each study was classified into only one category by taking into account only the main
- 241 issue addressed by the paper;
- (2) Scale of the approach: includes site, sub-national, country, regional (group of countries), and global;
- (3) Use of weather generators: for both present and future, we recorded whether the study
  did or did not use a weather generator;
- (4) Climate dataset (current): GCM when a GCM (regardless of which one) was used,
  RCM when an RCM (regardless of which one) was used, weather station, satellite (no
  further discrimination), and important datasets (i.e. CRU, WorldClim, GPCP, among
  others);
- (5) Climate dataset (future): the nature of used future projections was recorded here
  including the downscaling method, if applicable. Classifications were: GCM "as is"
  when studies used raw GCM outputs as inputs, pattern scaled GCMs (Mitchell et al.,
  2004), RCMs, systematic changes to current climate data, statistical downscaling
  (Wilby et al., 2009), and weather generator downscaled GCM (Jones et al., 2009).
- 255

For further details on the above categories the reader is referred to our supplementary material (part 1). We revised a total of 205 peer-reviewed publications (See supplementary material part 2), printed between the years 1983 and 2011. Most of the studies were published immediately before or after the IPCC 4AR was released in 2007. When a certain study made use of two different sources of present-day climate data, it was considered twice (totalling 247 cases).

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# **3.2.2.** Analysing the usage of climate data in agricultural studies

We analysed the recent trends in the use of climate data for agriculture: the obvious constraints in the studies, the type of approaches used and the climate data inputs used to drive the chosen agricultural models. By doing this, we ensured that we covered all the main factors driving an agricultural researcher's decision to select a particular approach for a given problem.

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# 270 271

# **3.3.Analysis of weather station data**

# **3.3.1.** Worldwide weather station network data

Long term climatological means of monthly precipitation and mean, maximum and minimum temperatures were assembled, as described by Hijmans et al. (2005). However, it is important to note that at the global level the sources of these data are large in number and differ in coverage, availability and quality (Table 1), and thorough quality checks were done only in a sub-set of the sources by original distributing institutions.

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#### <Insert Table 1 here>

Additional sources such as R-Hydronet (<u>http://www.r-hydronet.sr.unh.edu/english/</u>) and Oldeman (1988) database for Madagascar were also included. We discarded any weather station with less than 10 years of data. The final dataset (after quality control and duplicates removal, see Hijmans et al. 2005 for more details) comprised 13,141 locations with monthly precipitation data, 3,744 locations with monthly mean temperature, and 2,684 locations with diurnal temperature range within our study region. This dataset is hereafter referred to as WCL-WS.

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# **3.3.2.** Analysing robustness of existing weather station networks

Many methods exist that allow the user to determine (interpolate) the value of a parameter (e.g., monthly rainfall) in a given condition (i.e. in a given site, at a given time, or both), where it had never been measured before. Some of these methods are already popular with researchers using climate data (Hijmans et al., 2005; Hutchinson, 1995; Jones and Thornton, 1999; New et al., 2002) either on a regional or on a global basis. For climate-variable interpolations, the robustness of weather records is critical for an accurate result.

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296 We assessed the robustness of the weather station network by testing both the ability of 297 weather records to yield accurate interpolation results, and the sensitivities of the network to information loss. Towards those ends, we used the WCL-WS dataset to fit a thin plate spline 298 interpolation algorithm (Hutchinson, 1995) for our study region. We investigated the effect of 299 300 weather station availability by using 100 cross validated folds for four variables (monthly 301 maximum, minimum and mean temperatures and total precipitation) using similar methods as in Hijmans et al. (2005) and New et al. (2002) for each fold. We used longitude, latitude and 302 elevation as independent variables. We used 85% randomly selected data points for fitting the 303 splines and the remaining 15% for evaluating the result for each variable and month. For the 304 evaluation, we calculated the  $R^2$  and the Root Mean Square Error (RMSE) and produced 305 306 boxplots of the 100-fold-by-12-month interpolations for each of the four variables. As the number of stations considerably exceeded the amount of available memory for processing, 307 we divided the whole region of study in 5 tiles, each with an equivalent number of locations. 308 We then projected the fitted splines onto 30-arc-second gridded datasets of latitude, longitude 309 310 and altitude (Jarvis et al., 2008), thus producing a total of 4,800 interpolated surfaces (12 months times 4 variables times 100 folds). Finally, we analysed the spatial variability of 311 312 standard deviations and the performance of the interpolation technique as proxies for 313 sufficient distribution and geographic density of weather stations.

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# 3.4.Assessment of IPCC Fourth Assessment Report (4AR) model data

#### **316 3.4.1.** Long-term observed mean climatology from weather stations

Three different long term climatology datasets were assembled: (1) the Global Historical 317 318 Climatology Network (GHCN, as in Sect. 3.3.1) version 2 (Peterson and Vose, 1997), available at http://www.ncdc.noaa.gov/pub/data/ghcn/v2. We used GHCN as an independent 319 source because it is a global resource that contributed significantly to WCL-WS and also 320 because it is available at more temporally disaggregated levels (i.e. monthly), thus allowing 321 uniformity with analyses on Sect. 3.4.3 and 3.4.6. This database includes monthly historical 322 totals (1900-2010) of precipitation (20,590 stations), and means of maximum, minimum 323 324 (4,966) and mean (7,280) temperatures. GHCN data have been subject to quality checks and to a process of "homogenisation" or "adjustment" (Peterson and Easterling, 1994); however, 325

the available data within our analysis domain consisted primarily of "unadjusted" stations. For each location (6,393 stations for rainfall, 1,278 for mean temperature and 549 for minimum and maximum temperature) within our study area, we averaged historical monthly time series for the period 1961-1990 for maximum, minimum and mean temperatures and total rainfall, resulting in a time-averaged dataset of 6,393 locations for rainfall, 1,278 for mean temperature and 549 for minimum and maximum temperature. This dataset will be hereafter referred to as GHCN-CL.

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(2) WCL-WS (Sect. 3.3.1); and (3) the Global Surface Summary of the Day (GSOD) was 334 accessed at http://www.ncdc.noaa.gov/cgi-bin/res40.pl. This database contains daily data 335 from ~9,000 weather stations worldwide for 18 variables, including, mean, maximum, 336 minimum and dew point temperature, sea level and location pressure, visibility, wind speed 337 338 and gust, precipitation, snow depth, and specifications on the occurrence of rain, snow, fog, 339 tornado, thunder, or hail (NOAA, 2011; ftp://ftp.ncdc.noaa.gov/pub/data/gsod/readme.txt). We selected weather stations within our study area (1,999); aggregated daily rainfall, mean, 340 maximum and minimum temperatures to a monthly time scale; and then averaged over the 341 period 1961-1990. This dataset will be hereafter referred to as GSOD-CL. 342

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#### **3.4.2.** Long-term observed mean climatology from interpolated surfaces

We gathered high-resolution climatology from two different sources: (1) the high resolution 345 climate surfaces in WorldClim (Hijmans et al., 2005), available at http://www.worldclim.org. 346 WorldClim is a 30 arc-seconds (~1km at the equator) global dataset produced from the 347 interpolation of long-term climatology as measured in weather stations. Global gridded data 348 were downloaded at the 30 arc-second resolution, then masked to our analysis domain, and 349 aggregated to 10 arc-minute using bilinear interpolation in order to reduce computational and 350 storage time; and (2) the University of East Anglia Climatic Research Unit (CRU) dataset 351 (New et al., 2002), available through <u>http://www.cru.uea.ac.uk/cru/data/hrg/</u> (CRU-CL-2.0). 352 353 This dataset was developed using the same interpolation method as WorldClim, with the main difference that WorldClim includes many more weather stations, sometimes at the 354 expense of input data quality. CRU-CL-2.0 resolution is 10 arc-minute (~20km at the 355 356 equator). Data were downloaded at the global level and masked to our analysis domain. 357 WorldClim and CRU-CL-2.0 are hereafter referred to as WCL-IS and CRU-IS (interpolated surfaces), respectively. We used these sources because (1) they are flag products that most 358 researchers use for impact studies; (2) they are much higher resolution than GCMs (and other 359 products such as the Global Precipitation Climatology Project [GPCP] and the Global 360 Precipitation Climatology Centre [GPCC]) and hence permit the capture of small-scale 361 362 weather patterns (important to agriculture) as well as a direct comparison of their within-GCM-gridcell mean with the actual GCM value; (3) are based only on ground observations of 363 weather and do not incorporate side-products such as reanalysis (Uppala et al., 2005) or 364 satellite data (Huffman et al., 2007), both of whose accuracy is not as good. 365

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#### 3.4.3. Long-term observed time series

Two sources of weather time series were used: (1) long term (1961-1990) series of monthly weather conditions were gathered from GHCN version 2 (Peterson and Vose, 1997). Again,

we used mainly unadjusted stations. Mean monthly temperature and total monthly historical 370 rainfall data were used without any further processing; and (2) long-term (1961-1990) series 371 of daily weather as in GSOD (NCDC, 2011). For GSOD, daily precipitation and monthly 372 temperature were aggregated to the monthly level only if all days were reported with data (for 373 rainfall) and if at least 50% of the days had data (for temperatures). This resulted in 1,999 374 stations within our analysis domain, although not all stations had data for all months and all 375 376 years. These two data sources are hereafter referred to as GHCN-TS and GSOD-TS, respectively. Lack of data prevented us from including maximum and minimum temperatures 377 in the GHCN-TS and the GSOD-TS datasets. In contrast to GHCN-CL and GSOD-CL, 378 GHCN-TS and GSOD-TS include every month and every year, thus allowing the analysis of 379 inter-annual variability. 380

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### 3.4.4. Global climate model output

The latest IPCC report (Fourth Assessment Report, 4AR) comprises the sole state-of-the-art
public and official source of climate data for use in impact studies (IPCC, 2007; Jarvis et al.,
2010). We therefore decided to use IPCC 4AR results.

386

We downloaded present day (1961-1990) simulations of global climate at original GCM 387 resolution (~100 km) from the CMIP3 (Coupled Model Intercomparison Project phase 3) web 388 data portal at https://esg.llnl.gov:8443/index.jsp (PCMDI, 2007). We downloaded monthly 389 time series of mean, maximum, minimum temperature and precipitation flux in NetCDF 390 format for 24 coupled GCMs (Table 2). Separately for each GCM, we calculated diurnal 391 temperature range for each month and year as the difference between maximum and 392 minimum temperatures and calculated total monthly rainfall as the product between the 393 precipitation rate, the water density at sea level pressure and the number of seconds in a 394 month. We used the each climate model monthly time series (GCM-TS hereafter) and also 395 calculated average 1961-1990 climatology by averaging, for each variable (mean 396 temperature, diurnal temperature range and total rainfall), every month for the whole 1961-397 1990 period (GCM-CL hereafter). The final datasets (i.e. GCM-TS and GCM-CL, 398 respectively) consisted of three variables (mean temperature, diurnal temperature range and 399 400 total monthly rainfall) for 24 different GCMs.

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403 404

#### <Insert Table 2 here>

#### 3.4.5. Ability to represent long-term climatology

The extent to which GCM predictions are accurate has not been fully explored for some parts of the world, particularly in the context of agriculture (Gleckler et al., 2008; Pierce et al., 2009; Walsh et al., 2008). As previously stated (Sect. 2.1), we compared the most readily available variables from both ground observations and climate models: rainfall, mean temperature and diurnal temperature range. Data for other variables are not available for our study regions in observational datasets. As per our stated objective (Sect. 3), we performed two sets of comparisons:

First, we compared the GCM-CL dataset with the interpolated climatology in CRU-IS,
 WCL-IS (Sect. 3.4.2). We performed comparisons on a country basis in order to yield

country-specific results. For each GCM gridcell, the mean, maximum and minimum 414

- values of all lower scale (CRU-IS, WCL-IS) cells was first calculated and then compared 415
- to the GCM value through the determination coefficient  $(R^2)$  and corresponding p-value, 416
- the slope of a origin-forced (so that a 1:1 relationship was sought) regression curve (S) 417 and the root mean square error (RMSE). 418
- Second, using the same procedure, we compared the GCM-CL dataset with observed 419 climatology in WCL-WS (Sect. 3.3.1), GHCN-CL and GSOD-CL (Sect. 3.4.1). 420
- 421

422 We analysed total rainfall, mean temperatures and diurnal temperature ranges over three periods: December-January-February (DJF), June-July-August (JJA) and the whole year 423 (ANN). These months represent the most critical seasons for agriculture in our study regions, 424 and are also the most often assessed in the existing literature (Gleckler et al., 2008; Pierce et 425 426 al., 2009). Due to space constraints, we present only the results of comparisons between 427 GCM gridcell values and mean values within gridcells, unless otherwise stated. We do, 428 however, discuss other relevant results in more general terms.

429 430

# 3.4.6. Ability to represent long-term monthly climate time series

CMIP3-related GCMs are known to misrepresent certain inter-annual and/or within-decade 431 variations that are important for agricultural systems (Govindan et al., 2002). However, 432 specific aspects of these errors have not been explored in all CMIP3 models in the context of 433 agriculture. Therefore, in order to test the consistency of GCM predictions across time, we 434 compared the GCM-TS (Sect. 3.4.4) dataset against the GHCN-TS and GSOD-TS (Sect. 435 3.4.3). The comparison was done for three periods (JJA, DJF and ANN, Sect. 3.4.4) by 436 calculating the  $R^2$  and corresponding p-value, the slope of the regression curve as forced to 437 the origin and the RMSE between the two time series (GCM-TS vs. GHCN-TS and GCM-TS 438 439 vs. GSOD-TS). As a GCM cell contains one or more weather stations, we averaged the 440 monthly time series as needed before comparing the two pairs of series. Finally, we compared the performance of all GCMs across the geographic space of our study area. 441

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#### 443 4. Results

# 4.1. Usage of climate data in agricultural studies

#### 4.1.1. Topics of study 445

446 The most addressed topic (41.4% of the studies) in our literature review was climate change impact assessment (Figure 3), followed by crop growth simulation (18.5%). Water resources-447 impact studies round out the top three topics studied (8.1%), followed by climate attribution 448 (6.9%), crop yield forecasting (6.1%), and model assessment (5.7%). Surprisingly, formal 449 studies addressing adaptation were rather scarce (3.6%). Pests and diseases, soils, abiotic 450 stresses and climate risks appeared to be a lot less addressed than impact assessment and crop 451 growth simulation studies, which together accounted for more than 50% of the total 452 publications. 453

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- <Insert Figure 3 here>
- 4.1.2. Scale of studies and type of models 457

458 Most of the studies performed their models at a scale less than the size of a country; site-459 specific or sub-national level together comprised 55% of the studies. Very few (7%) of the studies were performed at the global level, likely because of the type of models used: field-460 scale mechanistic crop growth models were the most utilised overall (69.2%); followed by 461 statistical and/or empirical approaches (S/E, 21.4%), which most of the crop growth 462 modellers criticise for not being accurate enough (Lobell and Burke, 2010; Lobell et al., 463 2008); and finally by hydrological models (10%). The frequent use of field-based crop 464 growth models suggests that the time step requirement for input data is rather high (El-465 Sharkawy, 2005), also confirmed by the usage of weather generators (8.5 and 11.2% for 466 present and future climates, respectively). 467

468 469

### 4.1.3. Climate data sources

470 Unlike the model types, which were quite similar, the sources of present climate data varied 471 substantially, with a total of 32 different sources being used for present climate data (Figure 4A). On average, a different present-day-climate dataset was used for every 7 agricultural 472 473 studies. The most commonly used data source was local (non-public) weather stations (50.4% of the cases), followed by University of East Anglia Climatic Research Unit (CRU) datasets 474 with 13.7% (10.9% for CRU-TS [monthly time series], and 2.8% for CRU-CL [monthly 475 476 climatology]). Climate model outputs were used in 14.5% of the cases: within this group, 10.5% used GCM data, 4% RCM [Regional Climate Model] data, 3.6% satellite imagery, and 477 2.8% WorldClim, followed by other less relevant sources. The Global Precipitation 478 Climatology Project (GPCP) (Adler et al., 2003; Huffman et al., 2009), the Global 479 Precipitation Climatology Centre (GPCC) (Schneider et al., 2010) and the Global Historical 480 Climatology Network (GHCN, (Peterson and Vose, 1997)) were rarely reported overall 481 482 (0.4% each).

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### <Insert Figure 4 here>

The future climate data used was found to be less variable overall, with only 7 different types 486 of data employed in the 125 cases citing some type of future climate data (Figure 4B). Out of 487 488 these 125, only one study did not clearly state which type of climate data was used. The vast 489 majority of cases (42. 9%) used GCM data "as is" (AI GCM), meaning that predictions on agricultural yields were based on predicted changes at coarse resolution (~100 km). All other 490 studies involved some type of downscaling, except those that employed the systematic 491 changes approach (SC variables), which can be assumed to be sensitivity analyses rather than 492 impact studies. RCMs (Regional Climate Models) were the most common way of 493 494 downscaling GCMs, cited in 19% of the studies, followed by statistical downscaling with 17.5% (SD GCM, (Tabor and Williams, 2010)), and pattern scaling with 8.7% (PS GCM, 495 (Mitchell et al., 2004)) (Figure 4B). 496

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498 Uncertainty, as measured by the number of different future scenarios used (combinations of 499 emissions scenarios and climate models) was explored in only 36.5% of the studies. 500 Additionally, the average number of scenarios per study (rounded to the closest integer) was 501 2 indicating that climate uncertainties are hereby (if at all) studied in agricultural asiance and

501 3, indicating that climate uncertainties are barely (if at all) studied in agricultural science and

highlighting a knowledge gap in agricultural research, an issue previously raised and
discussed by other authors (Challinor et al., 2009b; Challinor and Wheeler, 2008), although
some studies addressing this aspect are underway (C. Rosenzweig, personal communication).

505

#### 4.2.Robustness of existing weather station networks

507 The sensitivities of the network to information loss were found overall to be low. Nevertheless, certain areas, variables and months were found highly sensitive. Agricultural 508 509 lands (Ramankutty et al., 2008), as visually inspected, are in general less sensitive to data loss than non-agricultural lands. Interpolations' performance varied depending upon the variable, 510 month and parameter used to evaluate them (i.e.  $R^2$ , RMSE, and S), but were consistent, 511 statistically significant (p<0.0001) and with variability (of R<sup>2</sup>, RMSE, and S) between 10-512 15% in the worst cases. Rainfall presented the lowest  $R^2$  values (Figure 5), particularly in the 513 months of April to August, during which there was a higher variability in the  $R^2$  value and the 514 values reached the absolute minima (0.8). Although it is possible that a high number of 515 516 weather stations per unit area can improve accuracy, it does not seem to happen in all 517 variables, areas and/or months.

#### <Insert Figure 5 here>

The DJF period presented significantly lower variability and more predictive power, probably 521 due to overall low climate variability (Cooper et al., 2008). Interestingly, maximum and 522 minimum temperatures showed different interpolation accuracies, even though they were 523 measured in the same places. Maximum RMSE for temperatures was up to 1.7°C, whilst for 524 precipitation it was up to 100 mm/year, as seen in the evaluation data. The effect of 525 geography and the difficulty of fitting unique and complex landscape features cause errors, 526 leading to high standard deviations in some areas (Figure 6). In the highlands of Eastern 527 Africa, particularly in the states of Benshangul-Gumaz, Addis Ababa and Southern Nations in 528 Ethiopia, the central areas of the Eastern and Coast States in Kenya, and the very centre of 529 530 Tanzania (i.e. regions of Morogoro, Dodoma and Manyara) between-fold variability was found to be high (above 150 mm/year). 531

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- 533 534

# <Insert Figure 6 here>

535 Over IGP, the largest variability was found in the coastal areas of Maharashtra, Karnataka 536 and Kerala in India, where rainfall deviation was up to 600 mm/year, and in Nepal (districts 537 of Gorka, Dhawalagiri, and Lumbini), where rainfall variability can go up to 1,000 mm/year, 538 and temperature uncertainties up to 3°C, probably due to the combined effect of a more 539 complex climate in the Himalayas and low weather station density.

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542

### 541 **4.3.**Accuracy of climate model outputs

### 4.3.1. Ability to represent mean climate

543 As expected, the climate models' skill varied on a variable, country and region basis, with 544 certain identifiable patterns (Figure 7, 8). The GCMs represent the observed climatology 545 from weather stations (i.e. WCL-WS, GHCN-CL and GSOD-CL) more poorly than they do

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interpolated climatology (i.e. WCL-IS, CRU-IS), mainly because GCMs do not account for
local-scale variability (Boo et al., 2011). In a broad sense, we found that the more complex
the topography, the lower the skill of the GCMs (Gallée et al., 2004; Joubert et al., 1999). We
also observed that GCM skill decreased according to the complexity of the variable, with the
maximum skill displayed for mean temperatures, followed by temperature range and finally
by precipitation. These results agree with those of other studies (Gleckler et al., 2008;
Masson and Knutti, 2011; Pierce et al., 2009).

553

Annual precipitation fit in IGP and WAF was observed to dip as low as 0 in some cases, with 554 a considerable number of cases (23% for WCL-WS, 27% for GHCN-CL and 63% for GSOD-555 CL) presenting very low adjustment ( $R^2 < 0.5$ ) (Figure 7). In Mali, Niger, India and 556 Bangladesh, model skill in representing precipitation, compared to weather station 557 558 measurements, was consistently low, an issue also reported in other studies (Douglass et al., 2008; Gleckler et al., 2008; Reichler and Kim, 2008). The Bergen Climate Model (BCCR-559 BCM2.0) and the INM-CM3.0 model showed very poor performance ( $R^2 < 0.5$ ) in more than 560 25% of the countries when compared with WCL-WS, GHCN-CL and GSOD-CL, while the 561 climate model GISS-ModelE (Hansen et al., 2007) presented the poorest performance. 562

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#### <Insert Figure 7 here>

566 When compared with interpolated climatology (i.e. WCL-IS, CRU-IS), annual precipitation 567  $R^2$  values varied from 0.383 (GISS-ModelE-R in Uganda) to 0.998 (IAP-FGOALS1.0-G in 568 Burkina Faso), whilst for mean temperatures the  $R^2$  varied from 0.195 (GISS-ModelE-R in 569 Nepal) to 0.999 (MIUB-ECHO-G in Burkina Faso), and for temperature range the values 570 were observed between 0.386 (CCCMA-CGCM3.1-T47 in Senegal) to 0.9998 (MPI-571 ECHAM5 in Burkina Faso) (Figure 7).

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- 573 574

#### <Insert Figure 8 here>

In Ethiopia, mean temperature correlations were lower compared to other countries, despite 575 576 the relative high density of stations in that area (data not shown). In Senegal, diurnal 577 temperature range was found to be very poorly fitted, particularly for the CCCMA models (Figure 8). This result contrasts with that of other studies, which have marked the CCCMA 578 models as the most skilled (Gleckler et al., 2008; Jun et al., 2008). The ability of GCMs to 579 represent mean climate patterns over a year was neither uniform nor consistent (Table 3). 580 with the lowest performance being observed for precipitation in the DJF period (large number 581 of cases with  $R^2 < 0.5$ , and few cases with  $R^2 > 0.8$ ). Performance for temperature range showed 582 almost no cases with  $R^2 < 0.5$ , but fewer cases with  $R^2 > 0.8$  than for mean temperatures (Table 583 <mark>3</mark>). 584 585

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#### <Insert Table 3 here>

588 **4.3.2.** Ability to represent interannual variability

R square values were above 0.8 in a large number of gridcells (>50%) for all GCMs for both 589 variables (rainfall, mean temperature) (data not shown); however, there were large rainfall 590 biases in GCM outputs (Figure 9, 10), in some cases between 1,000 and 2,500 mm/year, 591 depending on the GCM. These areas were located in Nepal, northern India and EAF. Most of 592 the models' biases were wet-biases (Figure 10) which were found throughout the whole 593 analysis domain, but they were particularly strong over IGP in the models CCCMA-594 CGCM3.1-T47, CSIRO-Mk3.0 and -Mk3.5, GFDL-CM2.0, all NASA-GISS models, and 595 both UKMO-HadCM3 and -HadGEM1, whereas the opposite signal was observed over the 596 same area for the models MIROC3.2.-HIRES, NCAR-CCSM3.0, INGV-ECHAM4, CNRM-597 CM3, and GFDL-CM2.1. Over WAF and EAF, almost all GCMs showed a dry-bias, with 598 underestimations of up to 250 mm/year in some cases. Responses varied for seasonal means 599 and totals, with the wet-season (JJA) being more sensitive to wet biases in most GCMs. 600

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602 Temperature biases were also large for certain areas. In some cases, annual mean temperature biases were greater than 5°C and were observed to go up to 10°C, particularly in the Sahel 603 and in the areas surrounding the Himalayas and the Tibetan Plateau in Nepal (Figure 11). The 604 most evident temperature biases were found in the NASA-GISS models (GISS-AOM, GISS-605 ModelE-H and GISS-ModelE-R), and in INM-CM3.0, probably due to their coarse 606 resolution. The quality of higher resolution models was in general better, but geographic 607 trends were difficult to identify, as the locations with mean temperature were scant (7,280 608 locations for the whole study area). The smallest biases were observed in WAF, northern 609 EAF and central India, where temperature biases were below 1.5°C, particularly for the 610 models BCCR-BCM2.0, UKMO-HadCM3, NCAR-PCM1, CCCMA-CGCM3.1-T47 and 611 MIUB-ECHO-G, some of which have been reported to perform well in tropical areas before 612 (Gleckler et al., 2008; Jun et al., 2008). These biases were mostly concentrated in lowlands 613 and were mostly warm-biases, except for UKMO-HadCM3 (Figure 12). Cold-biased models 614 were usually the GISS-NASA models, MIROC3.2-MEDRES, UKMO-HadCM3, IPSL-CM4, 615 MRI-CGCM2.3.2A and IAP-FGOALS1.0-G both for seasons (i.e. JJA, DJF, maps not 616 shown) and for the annual mean (Figure 11, 12). 617

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# 5. Discussion

# 5.1.Climate data and agricultural research

Although climate model data ("as is") are often preferred for impact studies, crop modellers 621 and agricultural scientists should be cautious when developing future adaptation strategies 622 based on crop models applied using future predictions of different (and sometimes unknown) 623 nature (Jarvis et al., 2011), given the large uncertainties regarding the agricultural system and 624 625 plant responses, the underlying uncertainty related to parameterised processes, and the differences in scales, all of which are reported in the impact-assessment literature [e.g. 626 (Challinor and Wheeler, 2008)]. This, however, does not necessarily imply that climate 627 model data cannot or should not be used, but rather means that an adequate treatment of 628 biases needs to be done before climate and crop models can be properly used together 629 (Challinor et al., 2010; Osborne et al., 2007). 630

Our findings demonstrate that, for regional assessments where large area process-based crop 632 633 models, statistical, or empirical models are to be used, products such as WorldClim (Jones and Thornton, 2003; Thornton et al., 2009) and CRU (Challinor et al., 2004) coupled with 634 weather generation routines appear to be the best-bet approach (Challinor et al., 2004; Jones 635 and Thornton, 2003), although climate model data can also be used with proper bias 636 treatment (Challinor et al., 2010; Osborne et al., 2007). However, if studies are to be carried 637 638 out on a site-specific scale (Parry et al., 2005), weather station data is the best means by which to calibrate the modelling approaches. While partnerships are constantly being built 639 and this allows researchers to share data, currently global weather station data such as GSOD 640 and GHCN seem to be good options in cases when no other data is available, particularly 641 when coupled with satellite data or other (country specific) historical weather records 642 (Álvarez-Villa et al., 2010). 643

644

645 Agricultural research requires high quality and high resolution climatological data to yield accurate results, but to date this has been impossible to achieve at detailed scales and with 646 647 sufficient coverage, partly due to the difficulty in compiling and revising field data and partly due to the limited climatology knowledge of agricultural researchers (with some exceptions). 648 Large-scale datasets can be matched to certain crop models, mostly when these models can 649 650 be applied at large scales (Challinor et al., 2010) or do not rely on a detailed calibration of varietal-level crop parameters (Lobell et al., 2011; Lobell et al., 2008). However, matching 651 different modelling scales is not a trivial matter (Baron et al., 2005; Challinor et al., 2009a). 652 Two options are available for matching two differing scales: 653

- 654 (1) Decreasing the resolution of the crop model from plot scale to large regions, at the
  655 expense of loss of detail in some processes [see (Challinor et al., 2007b; Challinor et al., 2004; Yao et al., 2007)], or
- (2) Disaggregating the coarse-resolution climate data, at the expense of introducing noise
   and possibly propagating uncertainties present in the original climate model data
   (Tabor and Williams, 2010).
- 660

These two choices yield different results that need to be assessed and coupled. Climate data 661 662 can be aggregated up to any scale to match any intended use (Masson and Knutti, 2011), but 663 agricultural impacts need to be informed at an scale such that information can be used for decision making and adaptation (Jarvis et al., 2011). Hence, governments and international 664 agencies should support common platforms through which data can be shared without 665 restrictions between members of the research community. Best-bet methods can then be 666 applied over such data to produce useable datasets that can be further shared, used and 667 668 assessed in multidisciplinary and transdisciplinary approaches.

669 670

# 5.2.Robustness of existing weather station network

671 It is tacitly acknowledged that the use of interpolated surfaces can lead to errors and biases 672 when these data are used for impact assessment (A. Jarvis, pers. comm.). However, we have 673 demonstrated here that the effects on uncertainty are actually rather low in most of the cases, 674 with very few eventtions (highlands of Ethionia, the Uimplayer, and some parts of the Sahara

with very few exceptions (highlands of Ethiopia, the Himalayas, and some parts of the Sahara

675 and Southern Africa, Figure 6).

677 The results of this research suggest that, despite weather station density being important, it may not be the only determining factor for a good ability to fill information gaps (Hijmans et 678 al., 2005). Based on our results, we suggest that, in selecting locations to measure weather, 679 the following factors be taken into account: (1) the nature of the variable (e.g. precipitation 680 681 might be much more difficult to monitor than temperature), (2) the area where it is measured 682 (topographically complex areas are much more variable), (3) the values of the variable in the areas where it is measured (high values are subjected to larger absolute errors, assuming 683 relative errors are relatively uniform), (4) the relevance of the area for different subjects (i.e. 684 the Sahara might be irrelevant for agriculture but can be of high relevance for other fields 685 such as climate science, ecology or biodiversity and conservation), (5) possible errors in 686 measurements and other underlying factors that can influence the measurability or 687 688 correctness of estimates of a particular variable, and (6) possible political or social constraints 689 on access to the site. Improving weather station distribution and status, as well as improving the cross-checking, correction and validation of data collected at the different sites, is 690 fundamental for improving climate data for agricultural impact assessment. 691

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#### 693

# 5.3.Global climate model accuracy and performance

#### 694 **5.3.1. CMIP3 climate model skill**

695 GCM performance is highly reliant on the type of comparisons performed, on the GCM formulation and on the nature of climate conditions in the analysed areas (Gleckler et al., 696 2008; Masson and Knutti, 2011). Underlying factors driving GCM performance are indeed 697 difficult to track, given the complexity of the models. IPCC 4AR (CMIP3) models showed 698 varied performance, with a high tendency to being wet-biased and no general trend for 699 700 temperature. These responses reportedly have their origin in different factors: first, some GCMs have weak forcing on sea surface temperatures (SSTs), whereas climate in Africa and 701 Asia is strongly coupled with the Atlantic and Indian Ocean and with inland water bodies 702 (Gallée et al., 2004; Lebel et al., 2000); second, models do not properly account for the 703 704 relation between inter-annual variability, ENSO and the monsoonal winds (Gallée et al., 2004; Hulme et al., 2001); third, the resolution of the models prevents acknowledgement of 705 706 local-scale land use, orographic patterns and small water bodies (Hudson and Jones, 2002); 707 fourth, cloud thickness and latent heat and moisture flux between clouds has not been properly resolved in the models (Gallée et al., 2004); and fifth, convective parameterisations 708 produce an early onset of the seasonal rains and over-prediction of wet days and high-rainfall 709 events (Gallée et al., 2004). 710

711

712 The NASA models GISS-ModelE (-R and -H) consistently presented very low predictive ability, mainly because of the models' coarse spatial resolution in conjunction with the 713 reasons mentioned above (Hansen et al., 2007). These results agree with those of Gleckler et 714 al. (2008), who reported that NCAR-PCM1, GISS-ModelE (-R and -H) and GISS-AOM 715 models are the worst performing in the 24 GCMs of the CMIP3 ensemble. Similar results are 716 reported by other authors that have assessed this or similar model ensembles (Jun et al., 2008; 717 718 Pierce et al., 2009). Lack of detail in land use and land use changes (Eltahir and Gong, 1996), monsoon winds (Eltahir and Gong, 1996; Gallée et al., 2004), and sea surface temperature 719

anomalies (SSTs) of the Atlantic and the Indian Oceans (Lebel et al., 2000; Sun et al., 1999)
also causes the scales at which climate model information is robust to be varied (Masson and
Knutti, 2011), and prevents local scale seasonal weather patterns from being modelled
consistently (Douglass et al., 2008; Hansen et al., 2007).

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#### 5.3.2. Plugging climate model data into agricultural research

726 GCMs do not provide realistic representations of climate conditions in a particular site, but rather provide estimated conditions for a large area. Our results, in agreement with those from 727 the agricultural community (Baron et al., 2005; Challinor et al., 2003) and the climate 728 community (Jun et al., 2008; Masson and Knutti, 2011), indicate that climate model outputs 729 730 cannot be input directly into plot-scale (agricultural) models, but support the idea that higher resolution climate modelling largely improves results. Either the CMIP3 (assessed here) or 731 732 the upcoming CMIP5 (being released at the moment) (Moss et al., 2010) climate model 733 outputs can be adequately used in agricultural modelling if: (1) the scales between the models are matched (see Sect. 5.1), (2) skill of models is assessed and ways to create robust model 734 735 ensembles are defined, (3) uncertainty and model spread are quantified in a robust way, and (4) decision making in the context of uncertainty is fully understood. 736

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738 Producing robust (i.e. skilled and certain) ensembles for agriculture is not an easy task, mainly because of the scales at which these have been found to be robust (Masson and 739 Knutti, 2011). Opinions are contrasting: some authors support sub-selecting models based 740 upon performance under present conditions (Matsueda and Palmer, 2011; Pierce et al., 2009), 741 calculating a mean ensemble by weighting models based on skill (Matsueda and Palmer, 742 2011; Walsh et al., 2008), while others advocate using all available models with no-weighting 743 744 at all (Reifen and Toumi, 2009). We suggest that until sensitivities of agricultural models to ensemble spread are fully explored (Baigorria et al., 2007), the full CMIP3 (or CMIP5) 745 ensembles should be used. 746

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748 Strategies for combining plot-scale and large-scale models and for optimising the overall result (including estimation of uncertainties derived from the scale-matching process) need to 749 750 be further researched. The potential of high-quality and less uncertain climate predictions of 751 current and future climate conditions for agricultural research is expected to have a direct impact on decision-making at different levels and for different purposes: to improve yields on 752 the farm, to direct country level policies and investment, to define research foci, to direct 753 international agencies' investments, and to clarify global greenhouse emissions limits and 754 commitments (Challinor et al., 2009a; Funke and Paetz, 2011; IPCC, 2007). 755

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### 757 **6.** Conclusions

A thorough analysis of different aspects of climate data for agricultural applications was performed. All topics addressed here are of high relevance to agricultural applications, particularly in the global tropics. Several important points were raised: (1) spatial scale is the most important issue for agricultural researchers, as they prefer to use monthly products with higher resolution rather than daily products with very low spatial resolution, or else limit their areas of study to field plots; (2) the sensitivities of Sub-Saharan African and Southeast Asian climate to data loss and poor availability were found to not be limiting factors for the region,
with the exceptions of mountainous areas in Nepal and Ethiopia; and (3) climate modelling,
although constantly improving and useful, still requires considerable future development.

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As such, CMIP3 GCMs can be used with a certain degree of confidence to represent large-768 area climate conditions for some areas and periods. In areas where predictions lack enough 769 770 skill for agricultural modelling, models can be bias-corrected using different methods [see (Challinor et al., 2009a; Hawkins et al., 2011; Reifen and Toumi, 2009)]. Whilst model skill 771 is expected to improve with the upcoming IPCC Fifth Assessment Report, climate model 772 ensembles as well as different methods for 'calibrating' (i.e. pre-processing for input into 773 774 crop models) climate model data both need to be used, as uncertainties go beyond those derived from emissions scenarios (Hawkins et al., 2011). The proper usage of climate 775 776 projections for agricultural impact assessment is of paramount importance in order to 777 properly inform adaptation.

778

779 Finally, it is critical to understand the implications of all this to agriculture. Crops are sensitive to shortages in water and heat stresses during key periods during their development 780 (i.e. flowering, fruit filling). Therefore, lack of skill in representing seasonal and inter-annual 781 782 variability is expected to produce a significant obstacle to agricultural impact assessment of climate change; several examples in the literature exist that illustrate this (Baigorria et al., 783 2008; Baigorria et al., 2007). The importance of this factor depends on the strength of the 784 climate signal on yields and the variables that drive this signal. Future impact assessments 785 need to take into account input data and climate model data inaccuracies, sensitivities and 786 787 uncertainties; make their own assessments of the inaccuracies and uncertainties; and 788 comprehensively quantify and report uncertainties in the impact assessment process.

789

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1094 Figure captions

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1096 Figure 1 Cascade of constraints to climate data, as normally observed in agricultural impact1097 assessment

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Figure 2 Areas of study. Bold-outlined areas indicate the areas on which the study focused
(SN: Senegal, ML: Mali, NE: Niger, BF: Burkina Faso, GH: Ghana, UG: Uganda, ET:
Ethiopia, KE: Kenya, TZ: Tanzania, NP: Nepal, BD: Bangladesh, IN: India)

1102

**Figure 3** Topics treated in the analysed agricultural studies. WG: weather generators.

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Figure 4 Frequency of use of the different data sources in agricultural studies. A. Present-day 1105 1106 climates. B. Future climates. Datasets acronyms are as follows: CRU-TS: Climatic Research 1107 Unit monthly time series product at 0.5 degree, GCM: global climate model output, RCM: regional climate model, CRU-CL: CRU monthly climatology product at 10 arc-minute, 1108 1109 MARS: Data from the MARS European project, GSOD: Global summary of the day, ARTES: Africa rainfall and temperature evaluation system, VEMAP: United States 1110 comprehensive dataset, ATEAM: Advanced Terrestrial Ecosystem Analysis and Modelling, 1111 1112 PRISM: United States dataset, GPCP: Global Precipitation Climatology Project, GPCC: Global Precipitation Climatology Centre, GHCN: Global Historical Climatology Network, AI 1113 GCM: GCM data "as is", SD GCM: statistically downscaled GCM, PS GCM: pattern scaled 1114 GCM, WG GCM: GCM data through a weather generator, SC Variables: systematic changes 1115 in target key variables, Unclear: not specified clearly in study, ARPEGE: the ARPEGE 1116 Atmospheric GCM (Déqué et al., 1994). 1117

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Figure 5 Performance of the interpolations for all variables and months as measured by the
R-square value. A. Rainfall, B. Mean temperature, C. Maximum temperature, D. Minimum
temperature

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**Figure 6** Uncertainties in WorldClim expressed as standard deviations from the mean of the 100 cross-validated folds for (A) total annual rainfall (in mm), and (B) annual mean temperature (in °C).

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**Figure 7** Comparison (R-square based) of observed climatology (CL-WS [w], GHCN-CL [g] and GSOD-CL [o]) and each of the GCMs (GCM-CL) for each of the countries in the study area for mean temperature (top), temperature range (middle) and precipitation (bottom), for the annual and two seasonal (DJF, JJA) means or totals. All  $R^2$  values were statistically significant at p<0.0001

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**Figure 8** Comparison (R-square based) of interpolated climatology (i.e. CRU-IS [c], WCL-IS [w]), and each of the GCMs (GCM-CL) for each of the countries in the study area for mean temperature (top), temperature range (middle) and precipitation (bottom) for the annual mean extended true accesses (DEF, UA). All  $P^2$  values were statistically significant at r < 0.001

or total and two seasons (DJF, JJA). All  $R^2$  values were statistically significant at p<0.001.

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Figure 9 Root mean squared error (RMSE), in millimetres, between observed (GHCN-TS)
and GCM (GCM-TS) time series, for the 24 GCMs in Table 2, for annual total rainfall
between the years 1961-1990.

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**Figure 10** Mean bias of GCM (GCM-TS) time series compared to observed time series (GHCN-TS), for the 24 GCMs in Table 2, for annual total rainfall between the years 1961-1990. Bias is expressed as the slope of the regression curve between observed and climatemodel series. Values below 1 (light grey areas) indicate that GCMs are wet-biased, whereas values above 1 (dark grey areas) indicate that GCMs are dry-biased.

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Figure 11 Root mean squared error (RMSE), in Celsius degree, between observed (GHCNTS) and GCM (GCM-TS) time series, for the 24 GCMs in Table 2, for annual mean
temperature between the years 1961-1990

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**Figure 12** Mean bias of GCM (GCM-TS) time series compared to observed time series (GHCN-TS), for the 24 GCMs in Table 2, for annual mean temperature between the years 1961-1990. Bias is expressed as the slope of the regression curve between observed and climate-model series. Values below 1 (light grey areas) indicate that GCMs are warm-biased, whereas values above 1 (dark grey areas) indicate that GCMs are cold-biased.

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_	Source*	Precipitation stations	femnerafiire femnerafiire		Period
	GHCN v2	20,590	7,280	4,966	1950- 2000
F	WMO CLINO	4,261	3,084	2,504	1961- 1990
	FAOCLIM 2.0	27,372	20,825	11,543	1960- 1990
	CIAT	18,895	13,842	5,321	1950- 2000

\*GHCN v2: Global Historical Climatology Network version 2 (Peterson and Vose, 1997);
WMO CLINO: World Meteorological Organization Climatology Normals; FAOCLIM 2.0:
Food and Agriculture Organization of the United Nations Agro-Climatic database (FAO, 2001); CIAT: Database assembled by Peter J. Jones at the International Center for Tropical
Agriculture (CIAT).

**Table 1** Number of locations per data source (global)

Table 2 Available GCMs, resolutions, and main references

Model	Country	Atmosphere	Ocean	Reference
BCCR-BCM2.0	Norway	T63, L31	1.5x0.5, L35	(Furevik et al., 2003)
CCCMA-CGCM3.1 (T47)	Canada	T47 (3.75x3.75), L31	1.85x1.85, L29	(Scinocca et al., 2008)
CCCMA-CGCM3.1 (T63)	Canada	T63 (2.8x2.8), L31	1.4x0.94, L29	(Scinocca et al., 2008)
CNRM-CM3	France	T63 (2.8x2.8), L45	1.875x(0.5-2), L31	(Salas-Mélia et al., 2005)
CSIRO-Mk3.0	Australia	T63, L18	1.875x0.84, L31	(Gordon et al., 2002)
CSIRO-Mk3.5	Australia	T63, L18	1.875x0.84, L31	(Gordon et al., 2002)
GFDL-CM2.0	USA	2.5x2.0, L24	1.0x(1/3-1), L50	(Delworth et al., 2006)
GFDL-CM2.1	USA	2.5x2.0, L24	1.0x(1/3-1), L50	(Delworth et al., 2006)
GISS-AOM	USA	4x3, L12	4x3, L16	(Russell et al., 1995)
GISS-MODEL-EH	USA	5x4, L20	5x4, L13	(Schmidt et al., 2006)
GISS-MODEL-ER	USA	5x4, L20	5x4, L13	(Schmidt et al., 2006)
IAP-FGOALS1.0-G	China	2.8x2.8, L26	1x1, L16	(Yongqiang et al., 2004)
INGV-ECHAM4	Italy	T42, L19	2x(0.5-2), L31	(Gualdi et al., 2008)
INM-CM3.0	Russia	5x4, L21	2.5x2, L33	(Diansky and Zalensky, 2002)
IPSL-CM4	France	2.5x3.75, L19	2x(1-2), L30	(Marti et al., 2005)
MIROC3.2-HIRES	Japan	T106, L56	0.28x0.19, L47	(Hasumi and Emori, 2004)
MIROC3.2-MEDRES	Japan	T42, L20	1.4x(0.5-1.4), L43	(Hasumi and Emori, 2004)
MIUB-ECHO-G	Germany/Korea	T30, L19	T42, L20	(Grötzner et al., 1996)
MPI-ECHAM5	Germany	T63, L32	1x1, L41	(Jungclaus et al., 2006)
MRI-CGCM2.3.2A	Japan	T42, L30	2.5x(0.5-2.0)	(Yukimoto et al., 2001)
NCAR-CCSM3.0	USA	T85L26, 1.4x1.4	1x(0.27-1), L40	(Collins et al., 2006)
NCAR-PCM1	USA	T42 (2.8x2.8), L18	1x(0.27-1), L40	(Washington et al., 2000)
UKMO-HADCM3	UK	3.75x2.5, L19	1.25x1.25, L20	(Gordon et al., 2000)
UKMO-HADGEM1	UK	1.875x1.25, L38	1.25x1.25, L20	(Johns et al., 2006)

Variable	Period	Dataset*	$R^2 < 0.5$	$0.5 < R^2 < 0.7$	$R^{2}>0.8$	$R^2 > 0.9$
v al lable	renod	Dataset*	(%)*	(%)*	(%)*	(%)*
	Annual	IS	2.8	6.6	77.8	54.3
		WS	37.5	19.4	30.8	17.0
		ALL	23.6	14.3	49.6	31.9
all		IS	17.7	19.3	49.1	25.9
Rainfall	DJF	WS	38.1	17.2	31.4	15.7
$ m R_{e}$		ALL	29.9	18.1	38.5	19.8
		IS	12.8	17.2	58.9	40.1
	JJA	WS	15.2	19.1	52.1	34.5
		ALL	14.2	18.3	54.8	36.7
		IS	0.4	2.2	81.8	73.1
re	Annual	WS	0.4	1.2	54.5	46.1
atu.		ALL	0.4	1.7	68.1	59.6
Diurnal temperature range		IS	0.4	2.2	80.4	71.2
l temp range	DJF	WS	0.4	2.4	53.1	47.7
ral 1 ra		ALL	0.4	2.3	66.8	59.4
iur	JJA	IS	0.4	2.0	80.7	67.2
D		WS	0.4	1.2	54.5	46.1
		ALL	0.4	1.6	67.6	56.6
	Annual	IS	0.7	1.2	96.4	95.7
e		WS	2.4	1.9	93.5	91.0
utur		ALL	1.7	1.6	94.7	92.8
Dere	DJF	IS	3.5	1.9	93.2	91.5
luc		WS	2.3	2.3	93.9	91.2
n tƙ		ALL	2.8	2.2	93.6	91.3
Mean temperature	JJA	IS	0.0	0.0	100.0	98.8
2		WS	0.0	0.1	99.8	98.5
		ALL	0.0	0.1	99.9	98.6

**Table 3** Summarised performance of all GCMs with available data for each of the variables and periods in the study countries for different ranges of the  $R^2$  skill evaluation parameter.

1183 \* Values are expressed as percent of country-GCM combinations for comparisons of GCM-

1184 CL and different observational datasets: interpolated surfaces (IS), namely, WCL-IS and

1185 CRU-IS; weather stations (WS), namely, GHCN-CL, WCL-WS, GSOD-CL; and as the

1186 average of IS and WS (ALL)

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3	Assessing relevant climate data for agricultural applications
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28	Target journal
29	Agricultural and Forest Meteorology
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#### 32 Abstract

33 Climate change is expected to substantially reduce agricultural yields, as reported in the by the Intergovernmental Panel on Climate Change (IPCC). In Sub-Saharan Africa and (to a 34 lesser extent) in South Asia, limited data availability and institutional networking constrain 35 agricultural research and development. Here we performed a review of relevant aspects in 36 relation to coupling agriculture-climate predictions, and a three-step analysis of the 37 38 importance of climate data for agricultural impact assessment. First, using meta-data from the scientific literature we examined trends in the use of climate and weather data in agricultural 39 research, and we found that despite agricultural researchers' preference for field-scale 40 weather data (50.4% of cases in the assembled literature), large-scale datasets coupled with 41 42 weather generators can be useful in the agricultural context. Using well-known interpolation techniques, we then assessed the sensitivities of the weather station network to the lack of 43 44 data and found high sensitivities to data loss only over mountainous areas in Nepal and 45 Ethiopia (random removal of data impacted precipitation estimates by  $\pm 1,300$  mm/year and temperature estimates by  $\pm 3^{\circ}$ C). Finally, we numerically compared IPCC Fourth Assessment 46 Report climate models' representation of mean climates and interannual variability with 47 different observational datasets. Climate models were found inadequate for field-scale 48 agricultural studies in West Africa and South Asia, as their ability to represent mean climates 49 50 and climate variability was limited: more than 50% of the country-model combinations showed <50% adjustment for annual mean rainfall (mean climates), and there were large 51 rainfall biases in GCM outputs (1,000 to 2,500 mm/year), although this varied on a GCM 52 basis (climate variability). Temperature biases were also large for certain areas (5-10°C in the 53 54 Himalayas and Sahel). All this is expected to improve with IPCC's Fifth Assessment Report; hence, appropriate usage of even these new climate models is still required. This improved 55 usage entails bias reduction (weighting of climate models or bias-correcting the climate 56 change signals), the implementation of methods to match the spatial scales, and the 57 quantification of uncertainties to the maximum extent possible. 58

59

60 Keywords: Sub-Saharan Africa; South Asia; climate modelling; climate model; skill;

- 61 uncertainty; CMIP3; CMIP5.
- 62

#### 63 **1. Introduction**

64 Agriculture is expected to play an important role in the context of climate change, not only because it is considered amongst the most vulnerable sectors, but also because it is part of the 65 solution (i.e. potential to mitigate greenhouse gases [GHGs] emissions) (FAO, 2009; IPCC, 66 2007). Agriculture will likely be severely affected over the next hundred years due to 67 68 unprecedented rates of changes in the climate system (IPCC, 2007; Jarvis et al., 2010; Lobell 69 et al., 2008; Thornton et al., 2011). Some of these impacts have already been observed (Battisti and Naylor, 2009; Schlenker and Lobell, 2010). To help cope with such impacts, a 70 framework to assess the effects of climate change on agriculture and food security and to aid 71 with adaptation was established in 2008, as described by Jarvis et al. (2011): The 72 73 Consultative Group of International Agricultural Research (CGIAR) Research Program on Climate Change, Agriculture and Food Security (CCAFS). 74

75

76 For adaptation to be successful, agricultural and climate data are crucial, and these are scarce in their basic forms (data from research and weather stations, respectively) or not very well 77 78 managed and/or maintained in certain parts of the world. Most importantly, climate databases and their derived products are sometimes inaccurate, or else lack the documentation 79 80 necessary to facilitate their use within the agricultural research community. In some 81 instances, this may be indicative of the gap between the agricultural and climate research 82 communities (Pielke et al., 2007; Thornton et al., 2011). Even when the two do collaborate, agricultural researchers face critical constraints when accessing basic sources of climate data 83 (i.e. weather stations) due to a number of factors, from access to data, to weather maintenance 84 and data quality checks, to the weather itself (DeGaetano, 2006). 85

86

87 In the last 10 years, various datasets have been developed by different institutions, usually based on either a combination of weather station data, satellite data, and numerical weather 88 89 prediction models in addition to interpolation methods, or on the sole application of climate models. The usage of these datasets for agricultural modelling purposes is rather limited for 90 91 one or more of the following reasons: (1) their time step is long (monthly in the best case); (2) their temporal coverage is limited to an average of several years (Hijmans et al., 2005; 92 93 New et al., 2002); (3) their spatial resolution is too coarse (Adler et al., 2003; Schneider et al., 94 2010); (4) their geographic coverage is not wide enough (Di Luzio et al., 2008); and (5) only certain variables (i.e. temperatures, rainfall) are reported whereas other agriculturally relevant 95 measures (e.g. potential and/or reference evapotranspiration, relative humidity, solar 96 radiation) are rarely reported (Di Luzio et al., 2008; Hijmans et al., 2005). Moreover, 97 assessments of these data (particularly climate models) have been done only under a climate-98 99 science perspective (Gleckler et al., 2008; Pierce et al., 2009), for a limited number of variables (Jun et al., 2008; Reifen and Toumi, 2009), or for a reduced realm (Walsh et al., 100 2008). 101

102

103 In this paper, we sought to improve the general knowledge on the available climate data for 104 agricultural research using a three-step thorough analysis on fundamental aspects related to 105 agricultural modelling. First, we perform a meta-analysis on the usage of various data sources 106 for agricultural applications; second, we assess the quality and distribution of weather station records by exploring both the ability of these data to fill geographic information gaps by
means of interpolation, and the sensitivities of the different regions to data loss; and finally,
we assess the accuracy of climate model outputs against different observational datasets using
various metrics reported in previous literature (Gleckler et al., 2008; Pierce et al., 2009). We
finally analyse the main implications of our findings on agricultural impact assessment.

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# 2. Review of knowledge and data

# 2.1.Understanding of processes and crop modelling

Mechanisms to fix carbon in plants (i.e. photosynthesis) are affected by a number of factors 115 (El-Sharkawy, 2005; Prasad et al., 2002), although responses strongly depend on the type of 116 mechanism used by the plant to produce biomass (i.e. C<sub>4</sub>, C<sub>3</sub>, CAM) and on any other stresses 117 to which the plant could be subjected simultaneously. In crop production, apart from 118 119 appropriate plant growth it is the amount of biomass accumulated in fruits and seeds and the 120 nutrients in them that matters most (Thuzar et al., 2010). Yields are a direct consequence of photosynthesis and biomass accumulation, and these are directly or indirectly affected by 121 environmental conditions [see (Challinor et al., 2009b) for a review]. Well-watered crops 122 grown under optimal temperature and solar radiation ranges develop to their full production 123 potential (van Ittersum et al., 2003), but growth potential reduces if the crop is stressed during 124 125 the growing season (Hew et al., 1969; Huntingford et al., 2005).

126

Therefore, modelling crop growth depends on (1) correct formulation of the simulation 127 model, (2) our ability to understand the effects of environmental factors on growth, and (3) 128 correct measurement of the relevant environmental factors for correct mapping of their 129 interactions (Boote et al., 1996; El-Sharkawy, 2005). Hence, crop modelling largely benefits 130 from accurate measurements of temperatures, rainfall, and solar radiation, as the main factors 131 acting on photosynthesis (Challinor and Wheeler, 2008; Hoogenboom et al., 1994), but even 132 these basic data are often unavailable, messy, or of limited quality. The more available data 133 there exists, the better calibration and evaluation of crop models can be (Adam et al., 2011; 134 135 Niu et al., 2009; Xiong et al., 2008).

136

137 Additionally, most crop models simulate growth of individual plants and then scale out the 138 modelling results to the plot-scale, based on management decisions such as plant and row distances, and plot size (Aggarwal et al., 2006; Boote et al., 1996; Hoogenboom et al., 1994). 139 On the other hand, available weather data (when not measured in the field) is only available 140 at coarse spatial scales. Matching these two spatial scales is not an easy task [see (Challinor 141 et al., 2009a; Jagtap and Jones, 2002; Trnka et al., 2004) for a review]. The challenge is thus 142 143 to increase the knowledge of the interactions between atmospheric and crop-growth processes (Boote et al., 1996) whilst avoiding model over-parameterisation (Challinor et al., 2009b), 144 improving the accuracy of inputs (Adam et al., 2011), and matching both spatial scales 145 (Challinor et al., 2009a). All this requires closing the gap between crop and climate scientists. 146

147

# 148 2.2.Weather data

Measurements of weather for a given site are often unavailable because (1) there is no weather station; (2) weather stations are not well maintained so data are either only available for a short period or contain gaps, (3) collected data are not properly stored; (4) data do not
pass basic quality checks; and/or (5) access to data is restricted by holding institutions (Figure
1). This all further constrains agricultural impact assessment, highlighting the importance of
making data public.

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156 157

# <Insert Figure 1 here>

Apart from the constraints related to access and weather station locations, probably the most important issue regarding weather data is quality (Begert et al., 2008; DeGaetano, 2006) (Figure 1), which also greatly affects the performance of impact models. Therefore, the climate and agricultural community has partly focused on developing methods for either temporal or spatial data gap filling, and on using such methods for developing global or regional datasets with public access (Hijmans et al., 2005; Jones and Thornton, 1999; Soltani et al., 2004).

165

However, uncertainties in global datasets derived from interpolation methods have been only
barely (if at all) estimated (Buytaert et al., 2009; Challinor and Wheeler, 2008; Soria-Auza et
al., 2010). Researchers using global datasets and any weather station source need to be aware
of these problems and ought to take this into account by testing the sensitivities of their
approaches to accuracy issues (i.e. inhomogeneities, discontinuities) and (if possible)
providing results within the range of uncertainty in input data (i.e. such as the outputs of cross
validated interpolation methods) (Challinor et al., 2005).

173

### 174 **2.3.Climate model data**

General Circulation Models (GCMs) are currently the best way to model the complex
processes that occur at the earth system's level (Huntingford et al., 2005; IPCC, 2007).
However, as CGMs are highly complex, they are computationally expensive, so they have
only been used for predictions at coarse spatial scales. These predictions therefore involve a
number of uncertainties relevant to agriculture [see (Challinor et al., 2009b; Jarvis et al.,
2010; Quiggin, 2008) for reviews on the topic].

181

182 In short, uncertainty in climate modelling arises from the impossibility of modelling the climate system with complete determinism (Walker et al., 2003). This uncertainty can arise 183 from: context (boundaries of the system modelled), model, inputs, and parameters (Walker et 184 al., 2003). Model uncertainty can be structural or technical: structural uncertainty in models is 185 associated with our lack of understanding of the system, whereas technical uncertainty relates 186 187 to our inability to implement mathematical formulations in computational systems. Other uncertainties in climate modelling arise from variable driving forces (greenhouse gas 188 emissions and concentrations), initial conditions and parameterised physics (Challinor et al., 189 2009b; Walker et al., 2003). Rationalisation and quantification of all these uncertainties under 190 the context of agriculture is possible (see Challinor et al., 2009b for a review). 191

192

193 Crop modellers are thus challenged to understand the broad concepts of climate modelling 194 uncertainties and detect the sensitivities of crop models to them, whilst also having a basic understanding of earth processes in order to identify major flaws in climate models anddecide the best ways to couple them with crop models.

197

# 198 **3. Materials and methods**

199 Throughout this paper, we built upon existing knowledge of agricultural and climate 200 modelling (Sect. 2) and:

- Performed a meta-analysis on the usage of climate and weather data for agricultural modelling purposes and summarised the desirable characteristics sought when modelling crop production.
- Analysed the robustness of the existing weather station network by assessing both the
   ability of these data to correctly fill information gaps via interpolation methods, and
   the network's sensitivities to information loss.
- Assessed the accuracy of climate model outputs from the Fourth Assessment Report
   of the IPCC (IPCC, 2007) against different observational datasets, using metrics and
   methods reported in the climate-science literature that are also familiar to agricultural
   researchers.
- 211

All calculations were done by means of the software packages R-2.13.1 (available at <u>http://www.r-project.org</u>) and GRASS-GIS 6.4.0 (available at <u>http://grass.fbk.edu</u>) in a 64-bit Red Hat Enterprise Linux 5 box.

215

# 216 **3.1.Study area**

We focused on the geographic area of Africa and South Asia, where several studies have 217 identified that significant vulnerabilities exist (Aggarwal, 2008; Aggarwal et al., 2004; 218 219 Barrios et al., 2008; Byjesh et al., 2010; Challinor et al., 2007a; Chipanshi et al., 2003; Jones and Thornton, 2003; Lane and Jarvis, 2007; Liu et al., 2008; Lobell et al., 2008; Thornton et 220 al., 2009; Thornton et al., 2011; Washington et al., 2006). In particular, we concentrate our 221 efforts on West Africa (Senegal, Mali, Burkina Faso, Ghana and Niger), East Africa 222 (Ethiopia, Tanzania, Uganda and Kenya) and the Indo-Gangetic Plains countries (India, 223 Nepal, and Bangladesh), hereafter referred to as WAF, EAF and IGP, respectively (Figure 2). 224

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228

## <Insert Figure 2>

# 3.2.Analysing the usage of climate data in agricultural studies

# 229 **3.2.1.** Meta-data from agricultural studies

We gathered data from a number of publications on any topic that made use of climate data 230 231 for any sort of agricultural modelling. We conducted searches using various search engines and downloaded only peer-reviewed publications. Review papers and the Fourth Assessment 232 report of the IPCC were particularly useful in identifying additional published studies. We 233 analysed all publications that in any way involved the usage of climate data for agricultural 234 modelling purposes. As the selection of the impact assessment model is the first decision that 235 any researcher needs to make, we focus on the driving factors of this decision. We recorded 236 237 different variables from the studies as follows:

239	seasonal yield forecasting, sole crop modelling, and climate attribution, among others.
240	Each study was classified into only one category by taking into account only the main
241	issue addressed by the paper;
242	(2) Scale of the approach: includes site, sub-national, country, regional (group of
243	countries), and global;
244	(3) Use of weather generators: for both present and future, we recorded whether the study
245	did or did not use a weather generator;
246	(4) Climate dataset (current): GCM when a GCM (regardless of which one) was used,
247	RCM when an RCM (regardless of which one) was used, weather station, satellite (no
248	further discrimination), and important datasets (i.e. CRU, WorldClim, GPCP, among
249	others);
250	(5) Climate dataset (future): the nature of used future projections was recorded here
251	including the downscaling method, if applicable. Classifications were: GCM "as is"
252	when studies used raw GCM outputs as inputs, pattern scaled GCMs (Mitchell et al.,
253	2004), RCMs, systematic changes to current climate data, statistical downscaling
254	(Wilby et al., 2009), and weather generator downscaled GCM (Jones et al., 2009).
255	
256	For further details on the above categories the reader is referred to our supplementary
257	material (part 1). We revised a total of 205 peer-reviewed publications (See supplementary
258	material part 2), printed between the years 1983 and 2011. Most of the studies were published
259	immediately before or after the IPCC 4AR was released in 2007. When a certain study made
260	use of two different sources of present-day climate data, it was considered twice (totalling
261	247 cases).
262	
263	3.2.2. Analysing the usage of climate data in agricultural studies
264	We analysed the recent trends in the use of climate data for agriculture: the obvious
265	constraints in the studies, the type of approaches used and the climate data inputs used to
266	drive the chosen agricultural models. By doing this, we ensured that we covered all the main
267	factors driving an agricultural researcher's decision to select a particular approach for a given
268	problem.
269	
270	3.3.Analysis of weather station data
271	3.3.1. Worldwide weather station network data
272	Long term climatological means of monthly precipitation and mean, maximum and minimum
273	temperatures were assembled, as described by Hijmans et al. (2005). However, it is important
274	to note that at the global level the sources of these data are large in number and differ in
275	coverage, availability and quality (Table 1), and thorough quality checks were done only in a
276	sub-set of the sources by original distributing institutions.
277	
278	<insert 1="" here="" table=""></insert>
279	
280	Additional sources such as R-Hydronet (http://www.r-hydronet.sr.unh.edu/english/) and

(1) Problem and/or topic in question: classified in categories such as impact assessment,

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281 Oldeman (1988) database for Madagascar were also included. We discarded any weather

station with less than 10 years of data. The final dataset (after quality control and duplicates
removal, see Hijmans et al. 2005 for more details) comprised 13,141 locations with monthly
precipitation data, 3,744 locations with monthly mean temperature, and 2,684 locations with
diurnal temperature range within our study region. This dataset is hereafter referred to as
WCL-WS.

287 288

## **3.3.2.** Analysing robustness of existing weather station networks

Many methods exist that allow the user to determine (interpolate) the value of a parameter (e.g., monthly rainfall) in a given condition (i.e. in a given site, at a given time, or both), where it had never been measured before. Some of these methods are already popular with researchers using climate data (Hijmans et al., 2005; Hutchinson, 1995; Jones and Thornton, 1999; New et al., 2002) either on a regional or on a global basis. For climate-variable interpolations, the robustness of weather records is critical for an accurate result.

295

296 We assessed the robustness of the weather station network by testing both the ability of 297 weather records to yield accurate interpolation results, and the sensitivities of the network to information loss. Towards theoset ends, we used the WCL-WS dataset to fit a thin plate 298 spline interpolation algorithm (Hutchinson, 1995) for our study region. We investigated the 299 300 effect of weather station availability by using 100 cross validated folds for four variables 301 (monthly maximum, minimum and mean temperatures and total precipitation) using similar methods as in Hijmans et al. (2005) and New et al. (2002) for each fold. We used longitude, 302 latitude and elevation as independent variables. We used 85% randomly selected data points 303 for fitting the splines and the remaining 15% for evaluating the result for each variable and 304 month. For the evaluation, we calculated the  $R^2$  and the Root Mean Square Error (RMSE) 305 and produced boxplots of the 100-fold-by-12-month interpolations for each of the four 306 variables. As the number of stations considerably exceeded the amount of available memory 307 for processing, we divided the whole region of study in 5 tiles, each with an equivalent 308 number of locations. We then projected the fitted splines onto 30-arc-second gridded datasets 309 of latitude, longitude and altitude (Jarvis et al., 2008), thus producing a total of 4,800 310 interpolated surfaces (12 months times 4 variables times 100 folds). Finally, we analysed the 311 312 spatial variability of standard deviations and the performance of the interpolation technique 313 as proxies for sufficient distribution and geographic density of weather stations.

314

## 315

# 3.4.Assessment of IPCC Fourth Assessment Report (4AR) model data

## **316 3.4.1.** Long-term observed mean climatology from weather stations

Three different long term climatology datasets were assembled: (1) the Global Historical 317 318 Climatology Network (GHCN, as in Sect. 3.3.1) version 2 (Peterson and Vose, 1997), available at http://www.ncdc.noaa.gov/pub/data/ghcn/v2. We used GHCN as an independent 319 source because it is a global resource that contributed significantly to WCL-WS and also 320 because it is available at more temporally disaggregated levels (i.e. monthly), thus allowing 321 uniformity with analyses on Sect. 3.4.3 and 3.4.6. This database includes monthly historical 322 totals (1900-2010) of precipitation (20,590 stations), and means of maximum, minimum 323 324 (4,966) and mean (7.280) temperatures. GHCN data have been subject to quality checks and to a process of "homogenisation" or "adjustment" (Peterson and Easterling, 1994); however, 325

the available data within our analysis domain consisted primarily of "unadjusted" stations. For each location (6,393 stations for rainfall, 1,278 for mean temperature and 549 for minimum and maximum temperature) within our study area, we averaged historical monthly time series for the period 1961-1990 for maximum, minimum and mean temperatures and total rainfall, resulting in a time-averaged dataset of 6,393 locations for rainfall, 1,278 for mean temperature and 549 for minimum and maximum temperature. This dataset will be hereafter referred to as GHCN-CL.

333

(2) WCL-WS (Sect. 3.3.1); and (3) the Global Surface Summary of the Day (GSOD) was 334 accessed at http://www.ncdc.noaa.gov/cgi-bin/res40.pl. This database contains daily data 335 from ~9,000 weather stations worldwide for 18 variables, including, mean, maximum, 336 minimum and dew point temperature, sea level and location pressure, visibility, wind speed 337 338 and gust, precipitation, snow depth, and specifications on the occurrence of rain, snow, fog, 339 tornado, thunder, or hail (NOAA, 2011; ftp://ftp.ncdc.noaa.gov/pub/data/gsod/readme.txt). We selected weather stations within our study area (1,999); aggregated daily rainfall, mean, 340 maximum and minimum temperatures to a monthly time scale; and then averaged over the 341 period 1961-1990. This dataset will be hereafter referred to as GSOD-CL. 342

343

344

#### **3.4.2.** Long-term observed mean climatology from interpolated surfaces

We gathered high-resolution climatology from two different sources: (1) the high resolution 345 climate surfaces in WorldClim (Hijmans et al., 2005), available at http://www.worldclim.org. 346 WorldClim is a 30 arc-seconds (~1km at the equator) global dataset produced from the 347 interpolation of long-term climatology as measured in weather stations. Global gridded data 348 were downloaded at the 30 arc-second resolution, then masked to our analysis domain, and 349 aggregated to 10 arc-minute using bilinear interpolation in order to reduce computational and 350 storage time; and (2) the University of East Anglia Climatic Research Unit (CRU) dataset 351 (New et al., 2002), available through <u>http://www.cru.uea.ac.uk/cru/data/hrg/</u> (CRU-CL-2.0). 352 353 This dataset was developed using the same interpolation method as WorldClim, with the main difference that WorldClim includes many more weather stations, sometimes at the 354 expense of input data quality. CRU-CL-2.0 resolution is 10 arc-minute (~20km at the 355 356 equator). Data were downloaded at the global level and masked to our analysis domain. 357 WorldClim and CRU-CL-2.0 are hereafter referred to as WCL-IS and CRU-IS (interpolated surfaces), respectively. We used these sources because (1) they are flag products that most 358 researchers use for impact studies; (2) they are much higher resolution than GCMs (and other 359 products such as the Global Precipitation Climatology Project [GPCP] and the Global 360 Precipitation Climatology Centre [GPCC]) and hence permit the capture of small-scale 361 362 weather patterns (important to agriculture) as well as a direct comparison of their within-GCM-gridcell mean with the actual GCM value; (3) are based only on ground observations of 363 weather and do not incorporate side-products such as reanalysis (Uppala et al., 2005) or 364 satellite data (Huffman et al., 2007), both of whose accuracy is not as good. 365

366 367

#### 3.4.3. Long-term observed time series

Two sources of weather time series were used: (1) long term (1961-1990) series of monthly weather conditions were gathered from GHCN version 2 (Peterson and Vose, 1997). Again, 370 we used mainly unadjusted stations. Mean monthly temperature and total monthly historical rainfall data were used without any further processing; and (2) long-term (1961-1990) series 371 of daily weather as in GSOD (NCDC, 2011). For GSOD, daily precipitation and monthly 372 temperature were aggregated to the monthly level only if all days were reported with data (for 373 rainfall) and if at least 50% of the days had data (for temperatures). This resulted in 1,999 374 stations within our analysis domain, although not all stations had data for all months and all 375 376 years. These two data sources are hereafter referred to as GHCN-TS and GSOD-TS, respectively. Lack of data prevented us from including maximum and minimum temperatures 377 in the GHCN-TS and the GSOD-TS datasets. In contrast to GHCN-CL and GSOD-CL, 378 GHCN-TS and GSOD-TS include every month and every year, thus allowing the analysis of 379 inter-annual variability. 380

381 382

## 3.4.4. Global climate model output

The latest IPCC report (Fourth Assessment Report, 4AR) comprises the sole state-of-the-art
public and official source of climate data for use in impact studies (IPCC, 2007; Jarvis et al.,
2010). We therefore decided to use IPCC 4AR results.

386

We downloaded present day (1961-1990) simulations of global climate at original GCM 387 resolution (~100 km) from the CMIP3 (Coupled Model Intercomparison Project phase 3) web 388 data portal at https://esg.llnl.gov:8443/index.jsp (PCMDI, 2007). We downloaded monthly 389 time series of mean, maximum, minimum temperature and precipitation flux in NetCDF 390 format for 24 coupled GCMs (Table 2). Separately for each GCM, wWe calculated diurnal 391 temperature range for each month and year as the difference between maximum and 392 minimum temperatures and calculated total monthly rainfall as the product between the 393 precipitation rate, the water density at sea level pressure and the number of seconds in a 394 month. We used the each climate model monthly time series (GCM-TS hereafter) and also 395 calculated average 1961-1990 climatology by averaging, for each variable (mean 396 temperature, diurnal temperature range and total rainfall), every month for the whole 1961-397 1990 period (GCM-CL hereafter). The final datasets (i.e. GCM-TS and GCM-CL. 398 respectively) consisted of three variables (mean temperature, diurnal temperature range and 399 400 total monthly rainfall) for 24 different GCMs.

401

402 403

404

## <Insert Table 2 here>

#### 3.4.5. Ability to represent long-term climatology

The extent to which GCM predictions are accurate has not been fully explored for some parts of the world, particularly in the context of agriculture (Gleckler et al., 2008; Pierce et al., 2009; Walsh et al., 2008). As previously stated (Sect. 2.1), we compared the most readily available variables from both ground observations and climate models: rainfall, mean temperature and diurnal temperature range. Data for other variables are not available for our study regions in observational datasets. As per our stated objective (Sect. 3), we performed two sets of comparisons:

First, we compared the GCM-CL dataset with the interpolated climatology in CRU-IS,
 WCL-IS (Sect. 3.4.2). We performed comparisons on a country basis in order to yield

country-specific results. For each GCM gridcell, the mean, maximum and minimum 414

- values of all lower scale (CRU-IS, WCL-IS) cells was first calculated and then compared 415
- to the GCM value through the determination coefficient  $(R^2)$  and corresponding p-value, 416
- the slope of a origin-forced (so that a 1:1 relationship was sought) regression curve (S) 417 and the root mean square error (RMSE). 418
- Second, using the same procedure, we compared the GCM-CL dataset with observed 419 climatology in WCL-WS (Sect. 3.3.1), GHCN-CL and GSOD-CL (Sect. 3.4.1). 420
- 421

422 We analysed total rainfall, mean temperatures and diurnal temperature ranges over three periods: December-January-February (DJF), June-July-August (JJA) and the whole year 423 (ANN). These months represent the most critical seasons for agriculture in our study regions, 424 and are also the most often assessed in the existing literature (Gleckler et al., 2008; Pierce et 425 426 al., 2009). Due to space constraints, we present only the results of comparisons between 427 GCM gridcell values and mean values within gridcells, unless otherwise stated. We do, 428 however, discuss other relevant results in more general terms.

429 430

## 3.4.6. Ability to represent long-term monthly climate time series

CMIP3-related GCMs are known to misrepresent certain inter-annual and/or within-decade 431 variations that are important for agricultural systems (Govindan et al., 2002). However, 432 specific aspects of these errors have not been explored in all CMIP3 models in the context of 433 agriculture. Therefore, in order to test the consistency of GCM predictions across time, we 434 compared the GCM-TS (Sect. 3.4.4) dataset against the GHCN-TS and GSOD-TS (Sect. 435 3.4.3). The comparison was done for three periods (JJA, DJF and ANN, Sect. 3.4.4) by 436 calculating the R<sup>2</sup> and corresponding p-value, the slope of the regression curve as forced to 437 the origin and the RMSE between the two time series (GCM-TS vs. GHCN-TS and GCM-TS 438 439 vs. GSOD-TS). As a GCM cell contains one or more weather stations, we averaged the 440 monthly time series as needed before comparing the two pairs of series. Finally, we compared the performance of all GCMs across the geographic space of our study area. 441

442

444

#### 443 4. Results

# 4.1. Usage of climate data in agricultural studies

#### 4.1.1. Topics of study 445

446 The most addressed topic (41.4% of the studies) in our literature review was climate change impact assessment (Figure 3), followed by crop growth simulation (18.5%). Water resources-447 impact studies round out the top three topics studied (8.1%), followed by climate attribution 448 (6.9%), crop yield forecasting (6.1%), and model assessment (5.7%). Surprisingly, formal 449 studies addressing adaptation were rather scarce (3.6%). Pests and diseases, soils, abiotic 450 stresses and climate risks appeared to be a lot less important addressed than impact 451 assessment and crop growth simulation studies, which together accounted for more than 50% 452 of the total publications. 453

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- 456

- <Insert Figure 3 here>
- 4.1.2. Scale of studies and type of models 457

458 Most of the studies performed their models at a scale less than the size of a country; site-459 specific or sub-national level together comprised 55% of the studies. Very few (7%) of the studies were performed at the global level, likely because of the type of models used: field-460 scale mechanistic crop growth models were the most utilised overall (69.2%); followed by 461 statistical and/or empirical approaches (S/E, 21.4%), which most of the crop growth 462 modellers criticise for not being accurate enough (Lobell and Burke, 2010; Lobell et al., 463 2008); and finally by hydrological models (10%). The frequent use of field-based crop 464 growth models suggests that the time step requirement for input data is rather high (El-465 Sharkawy, 2005), also confirmed by the usage of weather generators (8.5 and 11.2% for 466 present and future climates, respectively). 467

468 469

## 4.1.3. Climate data sources

470 Unlike the model types, which were quite similar, the sources of present climate data varied 471 substantially, with a total of 32 different sources being used for present climate data (Figure 4A). On average, a different present-day-climate dataset was used for every 7 agricultural 472 473 studies. The most commonly used data source was local (non-public) weather stations (50.4% of the cases), followed by University of East Anglia Climatic Research Unit (CRU) datasets 474 with 13.7% (10.9% for CRU-TS [monthly time series], and 2.8% for CRU-CL [monthly 475 476 climatology]). Climate model outputs were used in 14.5% of the cases: within this group, 10.5% used GCM data, 4% RCM [Regional Climate Model] data, 3.6% satellite imagery, and 477 2.8% WorldClim, followed by other less relevant sources. The Global Precipitation 478 Climatology Project (GPCP) (Adler et al., 2003; Huffman et al., 2009), the Global 479 Precipitation Climatology Centre (GPCC) (Schneider et al., 2010) and the Global Historical 480 Climatology Network (GHCN, (Peterson and Vose, 1997)) were rarely reported overall 481 482 (0.4% each).

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- 484 485

## <Insert Figure 4 here>

The future climate data used was found to be less variable overall, with only 7 different types 486 of data employed in the 125 cases citing some type of future climate data (Figure 4B). Out of 487 488 these 125, only one study did not clearly state which type of climate data was used. The vast 489 majority of cases (42. 9%) used GCM data "as is" (AI GCM), meaning that predictions on agricultural yields were based on predicted changes at coarse resolution (~100 km). All other 490 studies involved some type of downscaling, except those that employed the systematic 491 changes approach (SC variables), which can be assumed to be sensitivity analyses rather than 492 impact studies. RCMs (Regional Climate Models) were the most common way of 493 494 downscaling GCMs, cited in 19% of the studies, followed by statistical downscaling with 17.5% (SD GCM, (Tabor and Williams, 2010)), and pattern scaling with 8.7% (PS GCM, 495 (Mitchell et al., 2004)) (Figure 4B). 496

497

Uncertainty, as measured by the number of different future scenarios used (combinations of
emissions scenarios and climate models) was explored in only 36.5% of the studies.
Additionally, the average number of scenarios per study (rounded to the closest integer) was
a indicating that climate uncertainties are hereby (if at all) studied in agricultural asiance and

501 3, indicating that climate uncertainties are barely (if at all) studied in agricultural science and

highlighting a knowledge gap in agricultural research, an issue previously raised and
discussed by other authors (Challinor et al., 2009b; Challinor and Wheeler, 2008), although
some studies addressing this aspect are underway (C. Rosenzweig, personal communication).

505 506

#### 4.2.Robustness of existing weather station networks

507 The sensitivities of the network to information loss were found overall to be low. Nevertheless, certain areas, variables and months were found highly sensitive. Agricultural 508 509 lands (Ramankutty et al., 2008), as visually inspected, are in general less sensitive to data loss than non-agricultural lands. Interpolations' performance varied depending upon the variable, 510 month and parameter used to evaluate them (i.e.  $R^2$ , RMSE, and S), but were consistent, 511 statistically significant (p<0.0001) and with variability (of R<sup>2</sup>, RMSE, and S) between 10-512 15% in the worst cases. Rainfall presented the lowest  $R^2$  values (Figure 5), particularly in the 513 months of April to August, during which there was a higher variability in the R<sup>2</sup> value and the 514 values reached the absolute minima (0.8). Although it is possible that a high number of 515 516 weather stations per unit area can improve accuracy, it does not seem to happen in all 517 variables, areas and/or months.

#### <Insert Figure 5 here>

521 The DJF period presented significantly lower variability and more predictive power, probably due to overall low climate variability (Cooper et al., 2008). Interestingly, maximum and 522 minimum temperatures showed different interpolation accuracies, even though they were 523 measured in the same places. Maximum RMSE for temperatures was up to 1.7°C, whilst for 524 precipitation it was up to 100 mm/year, as seen in the evaluation data. The effect of 525 geography and the difficulty of fitting unique and complex landscape features cause errors, 526 leading to high standard deviations in some areas (Figure 6). In the highlands of Eastern 527 Africa, particularly in the states of Benshangul-Gumaz, Addis Ababa and Southern Nations in 528 Ethiopia, the central areas of the Eastern and Coast States in Kenya, and the very centre of 529 530 Tanzania (i.e. regions of Morogoro, Dodoma and Manyara) between-fold variability was found to be high (above 150 mm/year). 531

532

533 534

## <Insert Figure 6 here>

535 Over IGP, the largest variability was found in the coastal areas of Maharashtra, Karnataka 536 and Kerala in India, where rainfall deviation was up to 600 mm/year, and in Nepal (districts 537 of Gorka, Dhawalagiri, and Lumbini), where rainfall variability can go up to 1,000 mm/year, 538 and temperature uncertainties up to 3°C, probably due to the combined effect of a more 539 complex climate in the Himalayas and low weather station density.

540

542

## 541 **4.3.** Accuracy of climate model outputs

## 4.3.1. Ability to represent mean climate

543 As expected, the climate models' skill varied on a variable, country and region basis, with 544 certain identifiable patterns (Figure 7, 8). The GCMs represent the observed climatology 545 from weather stations (i.e. WCL-WS, GHCN-CL and GSOD-CL) more poorly than they do

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interpolated climatology (i.e. WCL-IS, CRU-IS), mainly because GCMs do not account for
local-scale variability (Boo et al., 2011). In a broad sense, we found that the more complex
the topography, the lower the skill of the GCMs (Gallée et al., 2004; Joubert et al., 1999). We
also observed that GCM skill decreased according to the complexity of the variable, with the
maximum skill displayed for mean temperatures, followed by temperature range and finally
by precipitation. These results agree with those of other studies (Gleckler et al., 2008;
Masson and Knutti, 2011; Pierce et al., 2009).

553

Annual precipitation fit in IGP and WAF was observed to dip as low as 0 in some cases, with 554 a considerable number of cases (23% for WCL-WS, 27% for GHCN-CL and 63% for GSOD-555 CL) presenting very low adjustment ( $R^2 < 0.5$ ) (Figure 7). In Mali, Niger, India and 556 Bangladesh, model skill in representing precipitation, compared to weather station 557 558 measurements, was consistently low, an issue also reported in other studies (Douglass et al., 2008; Gleckler et al., 2008; Reichler and Kim, 2008). The Bergen Climate Model (BCCR-559 BCM2.0) and the INM-CM3.0 model showed very poor performance ( $R^2 < 0.5$ ) in more than 560 25% of the countries when compared with WCL-WS, GHCN-CL and GSOD-CL, while the 561 climate model GISS-ModelE (Hansen et al., 2007) presented the poorest performance. 562

- 563 564
- 565

#### <Insert Figure 7 here>

566 When compared with interpolated climatology (i.e. WCL-IS, CRU-IS), annual precipitation 567  $R^2$  values varied from 0.383 (GISS-ModelE-R in Uganda) to 0.998 (IAP-FGOALS1.0-G in 568 Burkina Faso), whilst for mean temperatures the  $R^2$  varied from 0.195 (GISS-ModelE-R in 569 Nepal) to 0.999 (MIUB-ECHO-G in Burkina Faso), and for temperature range the values 570 were observed between 0.386 (CCCMA-CGCM3.1-T47 in Senegal) to 0.9998 (MPI-571 ECHAM5 in Burkina Faso) (Figure 7).

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- 573 574

#### <Insert Figure 8 here>

In Ethiopia, mean temperature correlations were lower compared to other countries, despite 575 576 the relative high density of stations in that area (data not shown). In Senegal, diurnal 577 temperature range was found to be very poorly fitted, particularly for the CCCMA models (Figure 8). This result contrasts with that of other studies, which have marked the CCCMA 578 models as the most skilled (Gleckler et al., 2008; Jun et al., 2008). The ability of GCMs to 579 represent mean climate patterns over a year was neither uniform nor consistent (Table 3). 580 with the lowest performance being observed for precipitation in the DJF period (large number 581 of cases with  $R^2 < 0.5$ , and few cases with  $R^2 > 0.8$ ). Performance for temperature range showed 582 almost no cases with  $R^2 < 0.5$ , but fewer cases with  $R^2 > 0.8$  than for mean temperatures (Table 583 <mark>3</mark>). 584 585

586 587

#### <Insert Table 3 here>

588 **4.3.2.** Ability to represent interannual variability

R square values were above 0.8 in a large number of gridcells (>50%) for all GCMs for both 589 variables (rainfall, mean temperature) (data not shown); however, there were large rainfall 590 biases in GCM outputs (Figure 9, 10), in some cases between 1,000 and 2,500 mm/year, 591 depending on the GCM. These areas were located in Nepal, northern India and EAF. Most of 592 the models' biases were wet-biases (Figure 10) which were found throughout the whole 593 analysis domain, but they were particularly strong over IGP in the models CCCMA-594 CGCM3.1-T47, CSIRO-Mk3.0 and -Mk3.5, GFDL-CM2.0, all NASA-GISS models, and 595 both UKMO-HadCM3 and -HadGEM1, whereas the opposite signal was observed over the 596 same area for the models MIROC3.2.-HIRES, NCAR-CCSM3.0, INGV-ECHAM4, CNRM-597 CM3, and GFDL-CM2.1. Over WAF and EAF, almost all GCMs showed a dry-bias, with 598 underestimations of up to 250 mm/year in some cases. Responses varied for seasonal means 599 and totals, with the wet-season (JJA) being more sensitive to wet biases in most GCMs. 600

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602 Temperature biases were also large for certain areas. In some cases, annual mean temperature biases were greater than 5°C and were observed to go up to 10°C, particularly in the Sahel 603 and in the areas surrounding the Himalayas and the Tibetan Plateau in Nepal (Figure 11). The 604 most evident temperature biases were found in the NASA-GISS models (GISS-AOM, GISS-605 ModelE-H and GISS-ModelE-R), and in INM-CM3.0, probably due to their coarse 606 resolution. The quality of higher resolution models was in general better, but geographic 607 trends were difficult to identify, as the locations with mean temperature were scant (7,280 608 locations for the whole study area). The smallest biases were observed in WAF, northern 609 EAF and central India, where temperature biases were below 1.5°C, particularly for the 610 models BCCR-BCM2.0, UKMO-HadCM3, NCAR-PCM1, CCCMA-CGCM3.1-T47 and 611 MIUB-ECHO-G, some of which have been reported to perform well in tropical areas before 612 (Gleckler et al., 2008; Jun et al., 2008). These biases were mostly concentrated in lowlands 613 and were mostly warm-biases, except for UKMO-HadCM3 (Figure 12). Cold-biased models 614 were usually the GISS-NASA models, MIROC3.2-MEDRES, UKMO-HadCM3, IPSL-CM4, 615 MRI-CGCM2.3.2A and IAP-FGOALS1.0-G both for seasons (i.e. JJA, DJF, maps not 616 shown) and for the annual mean (Figure 11, 12). 617

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# 5. Discussion

# 5.1.Climate data and agricultural research

Although climate model data ("as is") are often preferred for impact studies, crop modellers 621 and agricultural scientists should be cautious when developing future adaptation strategies 622 based on crop models applied over using future predictions of different (and sometimes 623 unknown) nature (Jarvis et al., 2011), given the large uncertainties regarding the agricultural 624 625 system and plant responses, the underlying uncertainty related to parameterised processes, 626 and the differences in scales, all of which are reported in the impact-assessment literature [e.g. (Challinor and Wheeler, 2008)]. This, however, does not necessarily imply that climate 627 model data cannot or should not be used, but rather means that an adequate treatment of 628 biases needs to be done before climate and crop models can be properly used together 629 (Challinor et al., 2010; Osborne et al., 2007). 630

Our findings demonstrate that, for regional assessments where large area process-based crop 632 633 models, statistical, or empirical models are to be used, products such as WorldClim (Jones and Thornton, 2003; Thornton et al., 2009) and CRU (Challinor et al., 2004) coupled with 634 weather generation routines appear to be the best-bet approach (Challinor et al., 2004; Jones 635 and Thornton, 2003), although climate model data can also be used with proper bias 636 treatment (Challinor et al., 2010; Osborne et al., 2007). However, if studies are to be carried 637 638 out on a site-specific scale (Parry et al., 2005), weather station data is the best means by which to calibrate the modelling approaches. While partnerships are constantly being built 639 and this allows researchers to share data, Geurrently global weather station data such as 640 GSOD and GHCN seem to be good options in such cases when no other data is available. 641 particularly when coupled with satellite data or other (country specific) historical weather 642 records (Álvarez-Villa et al., 2010). 643

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645 Agricultural research requires high quality and high resolution climatological data to yield accurate results, but to date this has been impossible to achieve at detailed scales and with 646 647 sufficient coverage, partly due to the difficulty in compiling and revising field data and partly due to the limited climatology knowledge of agricultural researchers (with some exceptions). 648 Large-scale datasets can be matched to certain crop models, mostly when these models can 649 650 be applied at large scales (Challinor et al., 2010) or do not rely on a detailed calibration of varietal-level crop parameters (Lobell et al., 2011; Lobell et al., 2008). However, matching 651 different modelling scales is not a trivial matter (Baron et al., 2005; Challinor et al., 2009a). 652 Two options are available for matching two differing scales: 653

- 654 (1) Decreasing the resolution of the crop model from plot scale to large regions, at the
  655 expense of loss of detail in some processes [see (Challinor et al., 2007b; Challinor et al., 2004; Yao et al., 2007)], or
- (2) Disaggregating the coarse-resolution climate data, at the expense of introducing noise
   and possibly propagating uncertainties present in the original climate model data
   (Tabor and Williams, 2010).
- 660

These two choices yield different results that need to be assessed and coupled. Climate data 661 662 can be aggregated up to any scale to match any intended use (Masson and Knutti, 2011), but 663 agricultural impacts need to be informed at an scale such that information can be used for decision making and adaptation (Jarvis et al., 2011). Hence, governments and international 664 agencies should support common platforms through which data can be shared without 665 restrictions between members of the research community. Best-bet methods can then be 666 applied over such data to produce useable datasets that can be further shared, used and 667 668 assessed in multidisciplinary and transdisciplinary approaches.

669 670

# 5.2.Robustness of existing weather station network

671 It is tacitly acknowledged that the use of interpolated surfaces can lead to errors and biases 672 when these data are used for impact assessment (A. Jarvis, pers. comm.). However, we have 673 demonstrated here that the effects on uncertainty are actually rather low in most of the cases, 674 mid. Solver and the set of the se

with very few exceptions (highlands of Ethiopia, the Himalayas, and some parts of the Sahara

and Southern Africa, Figure 6).

677 The results of this research suggest that, despite weather station density being important, it may not be the only determining factor for a good ability to fill information gaps (Hijmans et 678 al., 2005). Based on our results, we suggest that, in selecting locations to measure weather, 679 the following factors be taken into account: (1) the nature of the variable (e.g. precipitation 680 681 might be much more difficult to monitor than temperature), (2) the area where it is measured 682 (topographically complex areas are much more variable), (3) the values of the variable in the areas where it is measured (high values are subjected to larger absolute errors, assuming 683 relative errors are relatively uniform), (4) the relevance of the area for different subjects (i.e. 684 the Sahara might be irrelevant for agriculture but can be of high relevance for other fields 685 such as climate science, ecology or biodiversity and conservation), (5) possible errors in 686 measurements and other underlying factors that can influence the measurability or 687 688 correctness of estimates of a particular variable, and (6) possible political or social constraints 689 on access to the site. Improving weather station distribution and status, as well as improving the cross-checking, correction and validation of data collected at the different sites, is 690 fundamental for improving climate data for agricultural impact assessment. 691

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#### 693

# 5.3.Global climate model accuracy and performance

#### 694 **5.3.1. CMIP3 climate model skill**

695 GCM performance is highly reliant on the type of comparisons performed, on the GCM formulation and on the nature of climate conditions in the analysed areas (Gleckler et al., 696 2008; Masson and Knutti, 2011). Underlying factors driving GCM performance are indeed 697 difficult to track, given the complexity of the models. IPCC 4AR (CMIP3) models showed 698 varied performance, with a high tendency to being wet-biased and no general trend for 699 700 temperature. These responses reportedly have their origin in different factors: first, some GCMs have weak forcing on sea surface temperatures (SSTs), whereas climate in Africa and 701 Asia is strongly coupled with the Atlantic and Indian Ocean and with inland water bodies 702 (Gallée et al., 2004; Lebel et al., 2000); second, models do not properly account for the 703 704 relation between inter-annual variability, ENSO and the monsoonal winds (Gallée et al., 2004; Hulme et al., 2001); third, the resolution of the models prevents acknowledgement of 705 706 local-scale land use, orographic patterns and small water bodies (Hudson and Jones, 2002); 707 fourth, cloud thickness and latent heat and moisture flux between clouds has not been properly resolved in the models (Gallée et al., 2004); and fifth, convective parameterisations 708 produce an early onset of the seasonal rains and over-prediction of wet days and high-rainfall 709 events (Gallée et al., 2004). 710

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712 The NASA models GISS-ModelE (-R and -H) consistently presented very low predictive ability, mainly because of the models' coarse spatial resolution in conjunction with the 713 reasons mentioned above (Hansen et al., 2007). These results agree with those of Gleckler et 714 al. (2008), who reported that NCAR-PCM1, GISS-ModelE (-R and -H) and GISS-AOM 715 models are the worst performing in the 24 GCMs of the CMIP3 ensemble. Similar results are 716 reported by other authors that have assessed this or similar model ensembles (Jun et al., 2008; 717 718 Pierce et al., 2009). Lack of detail in land use and land use changes (Eltahir and Gong, 1996), monsoon winds (Eltahir and Gong, 1996; Gallée et al., 2004), and sea surface temperature 719

anomalies (SSTs) of the Atlantic and the Indian Oceans (Lebel et al., 2000; Sun et al., 1999)
also causes the scales at which climate model information is robust to be varied (Masson and
Knutti, 2011), and prevents local scale seasonal weather patterns from being modelled
consistently (Douglass et al., 2008; Hansen et al., 2007).

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#### 5.3.2. Plugging climate model data into agricultural research

726 GCMs do not provide realistic representations of climate conditions in a particular site, but rather provide estimated conditions for a large area. Our results, in agreement with those from 727 the agricultural community (Baron et al., 2005; Challinor et al., 2003) and the climate 728 community (Jun et al., 2008; Masson and Knutti, 2011), indicate that climate model outputs 729 cannot be input directly into plot-scale (agricultural) models, but support the idea that higher 730 resolution climate modelling largely improves results. Either the CMIP3 (assessed here) or 731 732 the upcoming CMIP5 (being released at the moment) (Moss et al., 2010) climate model 733 outputs can be adequately used in agricultural modelling if: (1) the scales between the models are matched (see Sect. 5.1), (2) skill of models is assessed and ways to create robust model 734 735 ensembles are defined, (3) uncertainty and model spread are quantified in a robust way, and (4) decision making in the context of uncertainty is fully understood. 736

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738 Producing robust (i.e. skilled and certain) ensembles for agriculture is not an easy task, mainly because of the scales at which these have been found to be robust (Masson and 739 Knutti, 2011). Opinions are contrasting: some authors support sub-selecting models based 740 upon performance under present conditions (Matsueda and Palmer, 2011; Pierce et al., 2009), 741 calculating a mean ensemble by weighting models based on skill (Matsueda and Palmer, 742 2011; Walsh et al., 2008), while others advocate using as many asall available models with 743 744 no-weighting at all (Reifen and Toumi, 2009). We suggest that until sensitivities of agricultural models to ensemble spread are fully explored (Baigorria et al., 2007), the full 745 CMIP3 (or CMIP5) ensembles should be used. 746

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748 Strategies for combining plot-scale and large-scale models and for optimising the overall result (including estimation of uncertainties derived from the scale-matching process) need to 749 750 be further researched. The potential of high-quality and less uncertain climate predictions of 751 current and future climate conditions for agricultural research is expected to have a direct impact on decision-making at different levels and for different purposes: to improve yields on 752 the farm, to direct country level policies and investment, to define research foci, to direct 753 international agencies' investments, and to clarify global greenhouse emissions limits and 754 commitments (Challinor et al., 2009a; Funke and Paetz, 2011; IPCC, 2007). 755

756

## 757 **6.** Conclusions

A thorough analysis of different aspects of climate data for agricultural applications was performed. All topics addressed here are of high relevance to agricultural applications, particularly in the global tropics. Several important points were raised: (1) spatial scale is the most important issue for agricultural researchers, as they preferred to use monthly products with higher resolution rather than daily products with very low spatial resolution, or else limited their areas of study to field plots; (2) the sensitivities of Sub-Saharan African and Southeast Asian climate to data loss and poor availability were found to not be limiting
factors for the region, with the exceptions of mountainous areas in Nepal and Ethiopia; and
(3) climate modelling, although constantly improving and useful, still requires considerable
future development.

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769 As such, CMIP3 GCMs can be used with a certain degree of confidence to represent large-770 area climate conditions for some areas and periods. In areas where predictions lack enough skill for agricultural modelling, models can be bias-corrected using different methods [see 771 (Challinor et al., 2009a; Hawkins et al., 2011; Reifen and Toumi, 2009)]. Whilst model skill 772 773 is expected to improve with the upcoming IPCC Fifth Assessment Report, climate model 774 ensembles as well as different methods for 'calibrating' (i.e. pre-processing for input into crop models) climate model data both need to be used, as uncertainties go beyond those 775 776 derived from emissions scenarios (Hawkins et al., 2011). The proper usage of climate 777 projections for agricultural impact assessment is of paramount importance in order to properly inform adaptation. 778

779

Finally, it is critical to understand the implications of all this to agriculture. Crops are 780 sensitive to shortages in water and heat stresses during key periods during their development 781 782 (i.e. flowering, fruit filling). Therefore, lack of skill in representing seasonal and inter-annual variability is expected to produce a significant obstacle to agricultural impact assessment of 783 climate change; several examples in the literature exist that illustrate this (Baigorria et al., 784 2008; Baigorria et al., 2007). The importance of this factor depends on the strength of the 785 climate signal on yields and the variables that drive such this signal. Future impact 786 assessments need to take into account input data and climate model data inaccuracies, 787 788 sensitivities and uncertainties; make their own assessments of the inaccuracies and uncertainties; and comprehensively quantify and report uncertainties in the impact assessment 789 790 process.

791

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1096 Figure captions

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1098 Figure 1 Cascade of constraints to climate data, as normally observed in agricultural impact1099 assessment

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Figure 2 Areas of study. Bold-outlined areas indicate the areas on which the study focused
(SN: Senegal, ML: Mali, NE: Niger, BF: Burkina Faso, GH: Ghana, UG: Uganda, ET:
Ethiopia, KE: Kenya, TZ: Tanzania, NP: Nepal, BD: Bangladesh, IN: India)

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**Figure 3** Topics treated in the analysed agricultural studies. WG: weather generators.

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Figure 4 Frequency of use of the different data sources in agricultural studies. A. Present-day 1107 1108 climates. B. Future climates. Datasets acronyms are as follows: CRU-TS: Climatic Research 1109 Unit monthly time series product at 0.5 degree, GCM: global climate model output, RCM: regional climate model, CRU-CL: CRU monthly climatology product at 10 arc-minute, 1110 MARS: Data from the MARS European project, GSOD: Global summary of the day, 1111 ARTES: Africa rainfall and temperature evaluation system, VEMAP: United States 1112 comprehensive dataset, ATEAM: Advanced Terrestrial Ecosystem Analysis and Modelling, 1113 1114 PRISM: United States dataset, GPCP: Global Precipitation Climatology Project, GPCC: Global Precipitation Climatology Centre, GHCN: Global Historical Climatology Network, AI 1115 GCM: GCM data "as is", SD GCM: statistically downscaled GCM, PS GCM: pattern scaled 1116 GCM, WG GCM: GCM data through a weather generator, SC Variables: systematic changes 1117 in target key variables, Unclear: not specified clearly in study, ARPEGE: the ARPEGE 1118 Atmospheric GCM (Déqué et al., 1994). 1119

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Figure 5 Performance of the interpolations for all variables and months as measured by the
R-square value. A. Rainfall, B. Mean temperature, C. Maximum temperature, D. Minimum
temperature

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**Figure 6** Uncertainties in WorldClim expressed as standard deviations from the mean of the 100 cross-validated folds for (A) total annual rainfall (in mm), and (B) annual mean temperature (in °C).

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**Figure 7** Comparison (R-square based) of observed climatology (CL-WS [w], GHCN-CL [g] and GSOD-CL [o]) and each of the GCMs (GCM-CL) for each of the countries in the study area for mean temperature (top), temperature range (middle) and precipitation (bottom), for the annual and two seasonal (DJF, JJA) means or totals. All  $R^2$  values were statistically significant at p<0.0001

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Figure 8 Comparison (R-square based) of interpolated climatology (i.e. CRU-IS [c], WCL-IS
[w]), and each of the GCMs (GCM-CL) for each of the countries in the study area for mean
temperature (top), temperature range (middle) and precipitation (bottom) for the annual mean
or total and two seasons (DJF, JJA). All R<sup>2</sup> values were statistically significant at p<0.001.</li>

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Figure 9 Root mean squared error (RMSE), in millimetres, between observed (GHCN-TS)
and GCM (GCM-TS) time series, for the 24 GCMs in Table 2, for annual total rainfall
between the years 1961-1990.

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**Figure 10** Mean bias of GCM (GCM-TS) time series compared to observed time series (GHCN-TS), for the 24 GCMs in Table 2, for annual total rainfall between the years 1961-1990. Bias is expressed as the slope of the regression curve between observed and climatemodel series. Values below 1 (light grey areas) indicate that GCMs are wet-biased, whereas values above 1 (dark grey areas) indicate that GCMs are dry-biased.

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Figure 11 Root mean squared error (RMSE), in Celsius degree, between observed (GHCNTS) and GCM (GCM-TS) time series, for the 24 GCMs in Table 2, for annual mean
temperature between the years 1961-1990

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**Figure 12** Mean bias of GCM (GCM-TS) time series compared to observed time series (GHCN-TS), for the 24 GCMs in Table 2, for annual mean temperature between the years 1961-1990. Bias is expressed as the slope of the regression curve between observed and climate-model series. Values below 1 (light grey areas) indicate that GCMs are warm-biased, whereas values above 1 (dark grey areas) indicate that GCMs are cold-biased.

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Table 1 Number of locations per data source (global)							
Source*	Precipitation stations	Mean temperature stations	Min., Max. temperature stations	Period			
GHCN v2	20,590	7,280	4,966	1950- 2000			
WMO CLINO	4,261	3,084	2,504	1961- 1990			
FAOCLIM 2.0	27,372	20,825	11,543	1960- 1990			
CIAT	18,895	13,842	5,321	1950- 2000			

\*GHCN v2: Global Historical Climatology Network version 2 (Peterson and Vose, 1997);
WMO CLINO: World Meteorological Organization Climatology Normals; FAOCLIM 2.0:
Food and Agriculture Organization of the United Nations Agro-Climatic database (FAO, 2001); CIAT: Database assembled by Peter J. Jones at the International Center for Tropical Agriculture (CIAT).

Table 2 Available GCMs, resolutions, and main references

Model	Country	Atmosphere	Ocean	Reference
BCCR-BCM2.0	Norway	T63, L31	1.5x0.5, L35	(Furevik et al., 2003)
CCCMA-CGCM3.1 (T47)	Canada	T47 (3.75x3.75), L31	1.85x1.85, L29	(Scinocca et al., 2008)
CCCMA-CGCM3.1 (T63)	Canada	T63 (2.8x2.8), L31	1.4x0.94, L29	(Scinocca et al., 2008)
CNRM-CM3	France	T63 (2.8x2.8), L45	1.875x(0.5-2), L31	(Salas-Mélia et al., 2005)
CSIRO-Mk3.0	Australia	T63, L18	1.875x0.84, L31	(Gordon et al., 2002)
CSIRO-Mk3.5	Australia	T63, L18	1.875x0.84, L31	(Gordon et al., 2002)
GFDL-CM2.0	USA	2.5x2.0, L24	1.0x(1/3-1), L50	(Delworth et al., 2006)
GFDL-CM2.1	USA	2.5x2.0, L24	1.0x(1/3-1), L50	(Delworth et al., 2006)
GISS-AOM	USA	4x3, L12	4x3, L16	(Russell et al., 1995)
GISS-MODEL-EH	USA	5x4, L20	5x4, L13	(Schmidt et al., 2006)
GISS-MODEL-ER	USA	5x4, L20	5x4, L13	(Schmidt et al., 2006)
IAP-FGOALS1.0-G	China	2.8x2.8, L26	1x1, L16	(Yongqiang et al., 2004)
INGV-ECHAM4	Italy	T42, L19	2x(0.5-2), L31	(Gualdi et al., 2008)
INM-CM3.0	Russia	5x4, L21	2.5x2, L33	(Diansky and Zalensky, 2002)
IPSL-CM4	France	2.5x3.75, L19	2x(1-2), L30	(Marti et al., 2005)
MIROC3.2-HIRES	Japan	T106, L56	0.28x0.19, L47	(Hasumi and Emori, 2004)
MIROC3.2-MEDRES	Japan	T42, L20	1.4x(0.5-1.4), L43	(Hasumi and Emori, 2004)
MIUB-ECHO-G	Germany/Korea	T30, L19	T42, L20	(Grötzner et al., 1996)
MPI-ECHAM5	Germany	T63, L32	1x1, L41	(Jungclaus et al., 2006)
MRI-CGCM2.3.2A	Japan	T42, L30	2.5x(0.5-2.0)	(Yukimoto et al., 2001)
NCAR-CCSM3.0	USA	T85L26, 1.4x1.4	1x(0.27-1), L40	(Collins et al., 2006)
NCAR-PCM1	USA	T42 (2.8x2.8), L18	1x(0.27-1), L40	(Washington et al., 2000)
UKMO-HADCM3	UK	3.75x2.5, L19	1.25x1.25, L20	(Gordon et al., 2000)
UKMO-HADGEM1	UK	1.875x1.25, L38	1.25x1.25, L20	(Johns et al., 2006)

Variable	Period	Dataset*	$R^2 < 0.5$	$0.5 < R^2 < 0.7$	$R^2 > 0.8$	$R^2 > 0.9$
v al lable	Feriou	Dataset	(%)*	(%)*	(%)*	(%)*
		IS	2.8	6.6	77.8	54.3
	Annual	WS	37.5	19.4	30.8	17.0
		ALL	23.6	14.3	49.6	31.9
all		IS	17.7	19.3	49.1	25.9
Rainfall	DJF	WS	38.1	17.2	31.4	15.7
Ra		ALL	29.9	18.1	38.5	19.8
		IS	12.8	17.2	58.9	40.1
	JJA	WS	15.2	19.1	52.1	34.5
		ALL	14.2	18.3	54.8	36.7
		IS	0.4	2.2	81.8	73.1
re	Annual	WS	0.4	1.2	54.5	46.1
atu		ALL	0.4	1.7	68.1	59.6
Diurnal temperature range		IS	0.4	2.2	80.4	71.2
l temp range	DJF	WS	0.4	2.4	53.1	47.7
ral r		ALL	0.4	2.3	66.8	59.4
iur	JJA	IS	0.4	2.0	80.7	67.2
Di		WS	0.4	1.2	54.5	46.1
		ALL	0.4	1.6	67.6	56.6
		IS	0.7	1.2	96.4	95.7
e	Annual	WS	2.4	1.9	93.5	91.0
utur		ALL	1.7	1.6	94.7	92.8
oer2	DJF	IS	3.5	1.9	93.2	91.5
fme		WS	2.3	2.3	93.9	91.2
n té		ALL	2.8	2.2	93.6	91.3
Mean temperature	JJA	IS	0.0	0.0	100.0	98.8
4		WS	0.0	0.1	99.8	98.5
		ALL	0.0	0.1	99.9	98.6

**Table 3** Summarised performance of all GCMs with available data for each of the variables and periods in the study countries for different ranges of the  $R^2$  skill evaluation parameter.

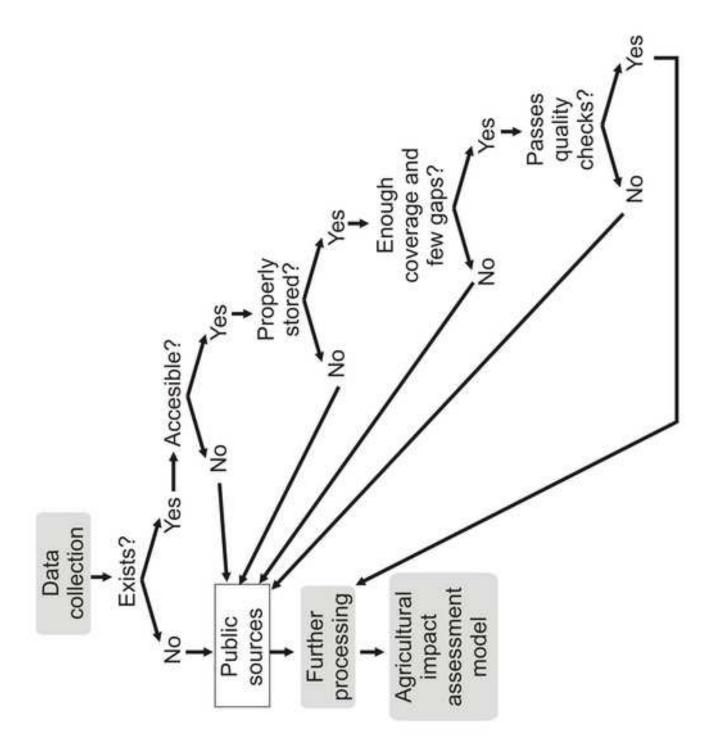
1185 \* Values are expressed as percent of country-GCM combinations for comparisons of GCM-

1186 CL and different observational datasets: interpolated surfaces (IS), namely, WCL-IS and

1187 CRU-IS; weather stations (WS), namely, GHCN-CL, WCL-WS, GSOD-CL; and as the

1188 average of IS and WS (ALL)

1189 1190



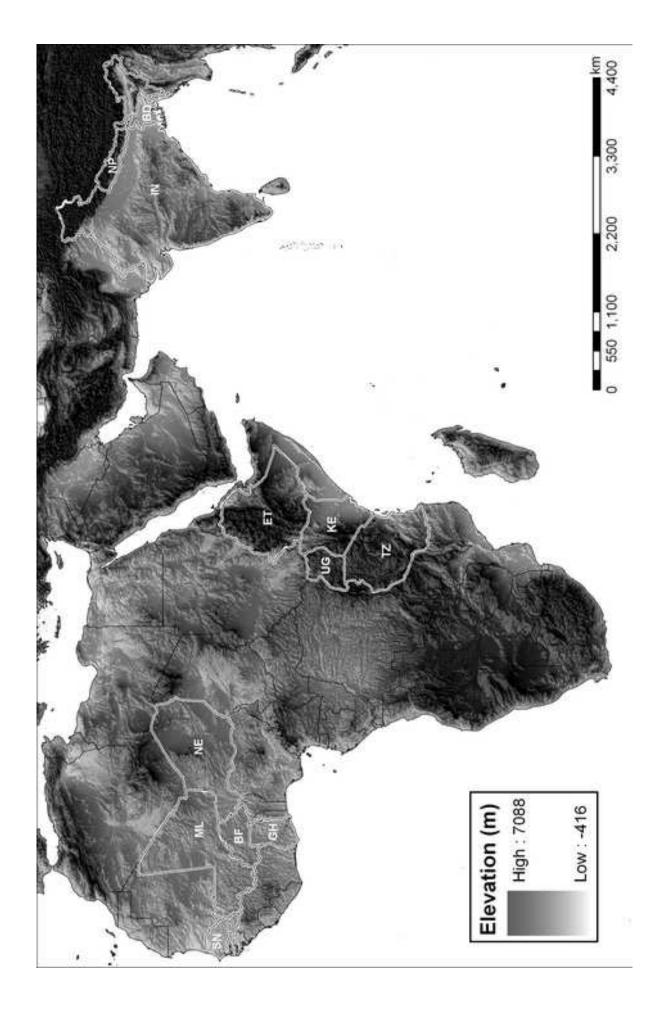
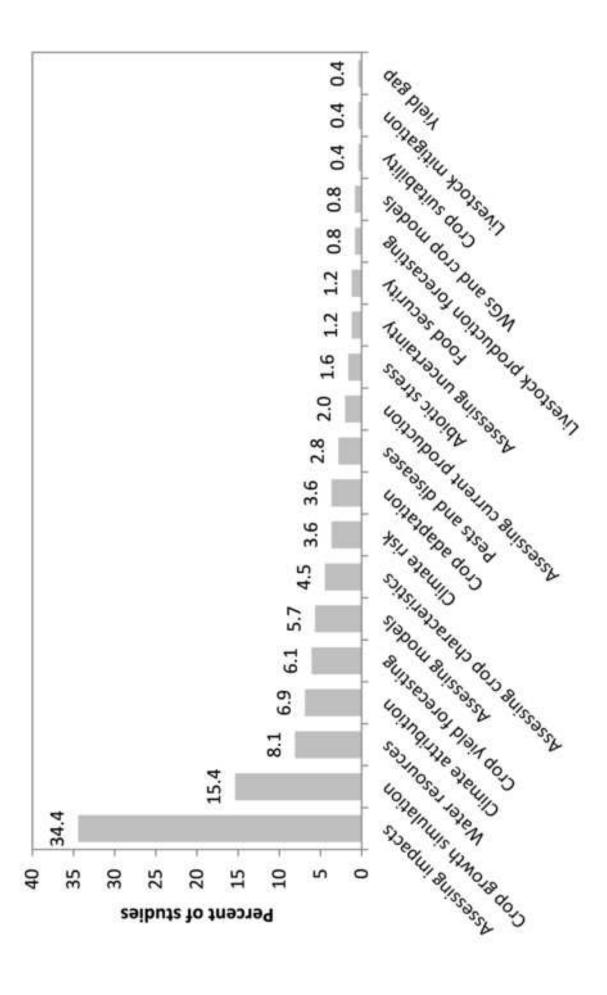
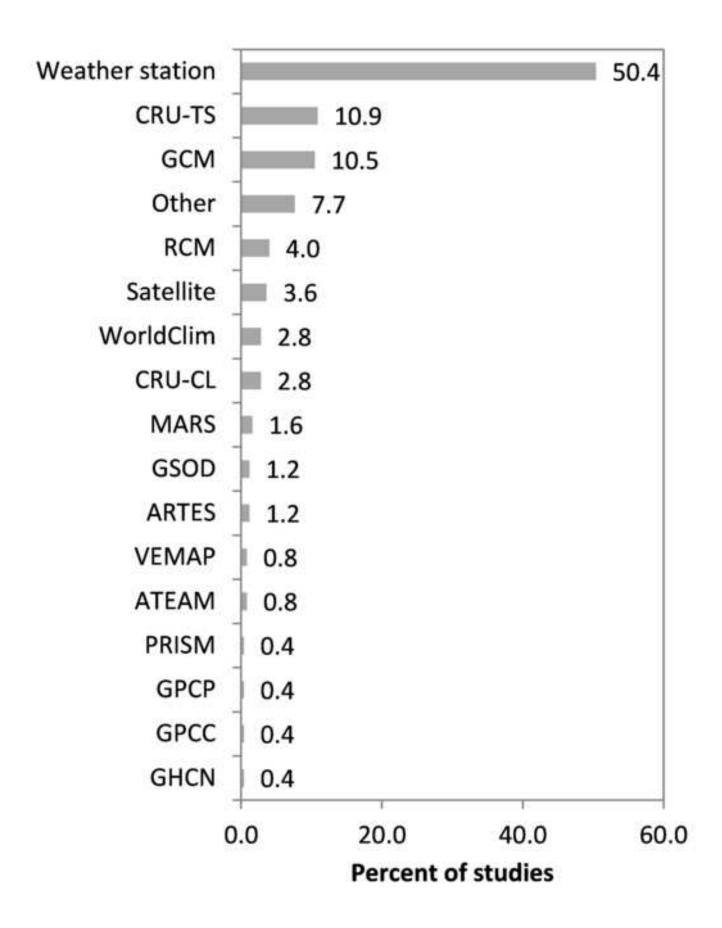
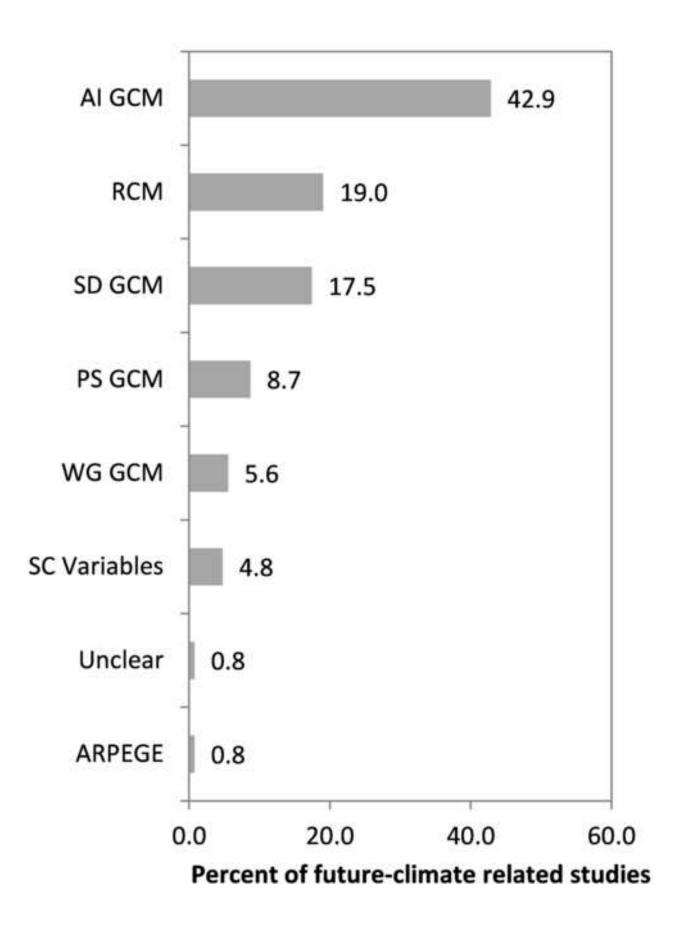
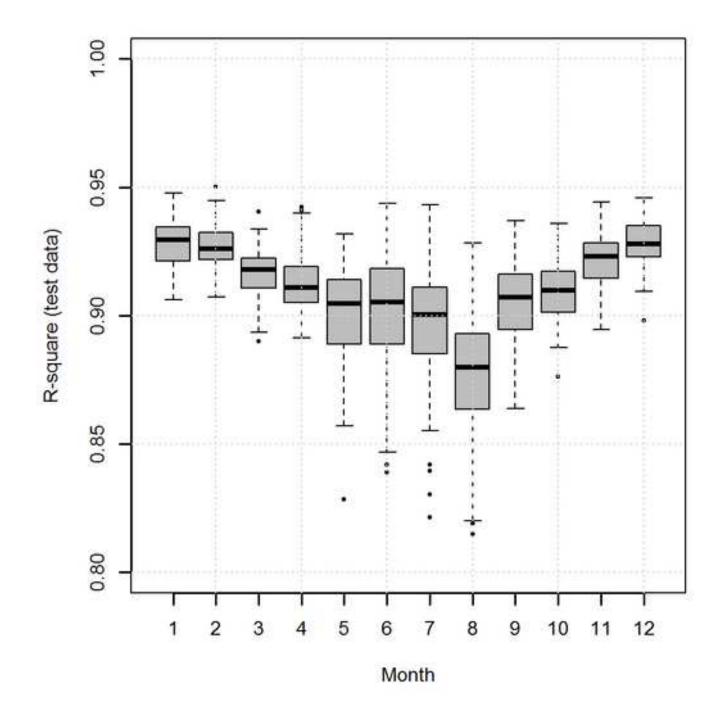


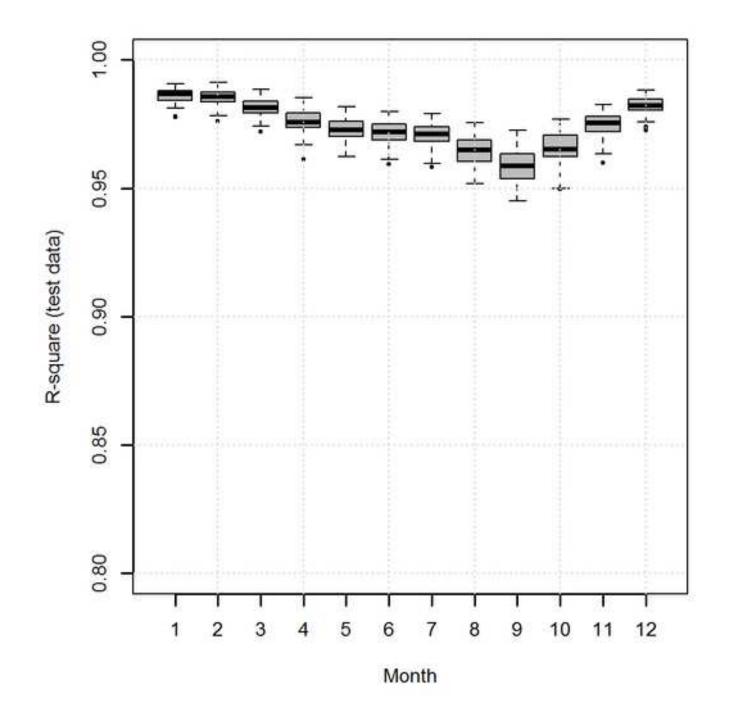
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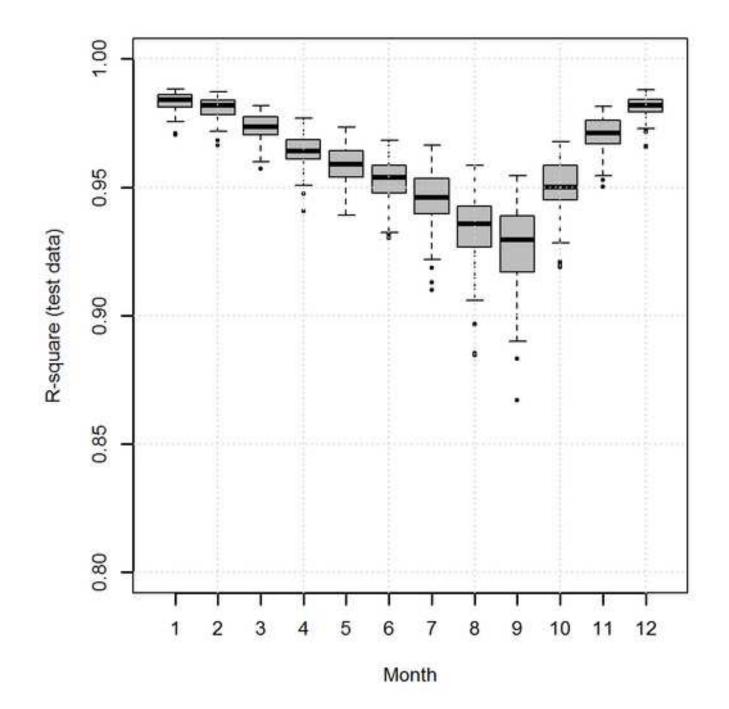


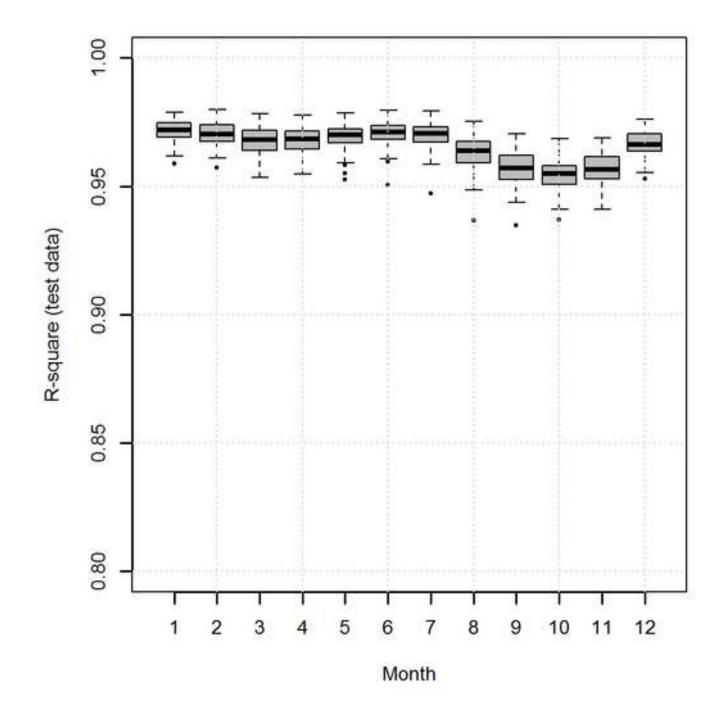




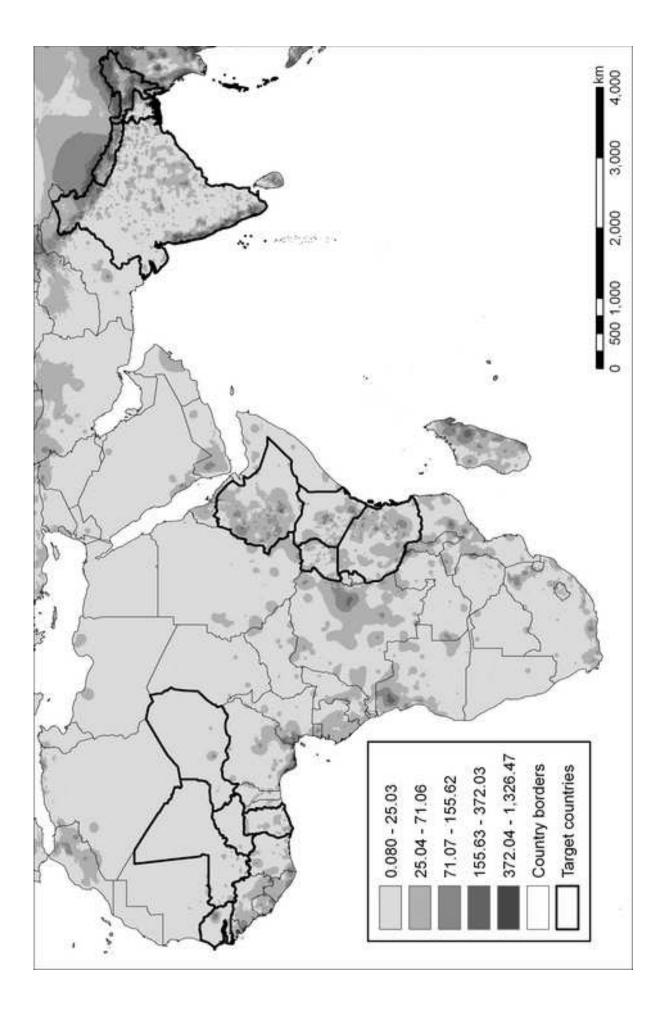


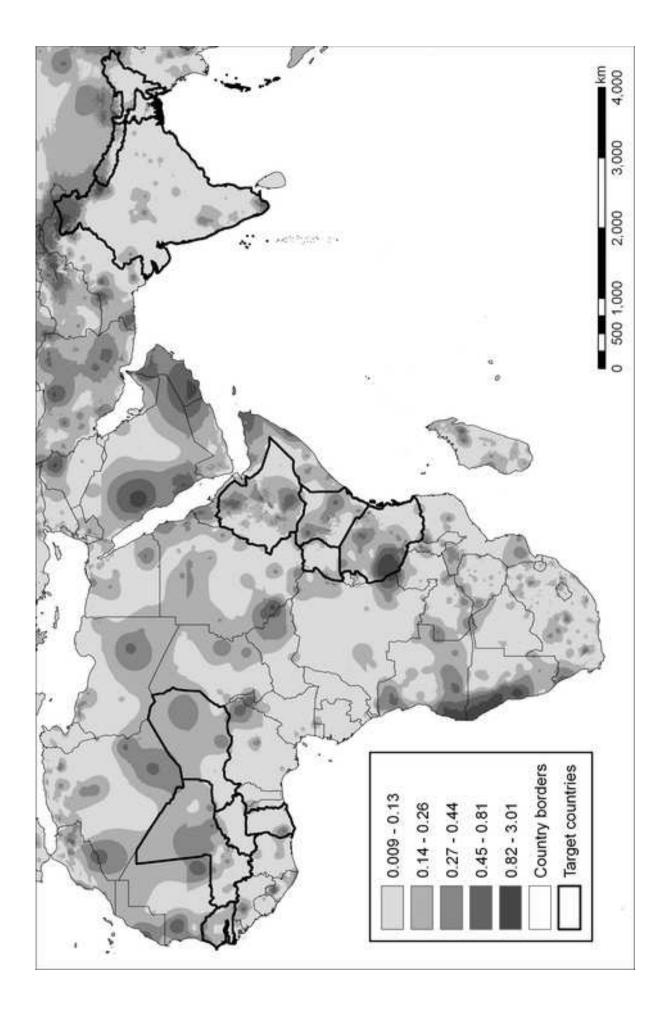


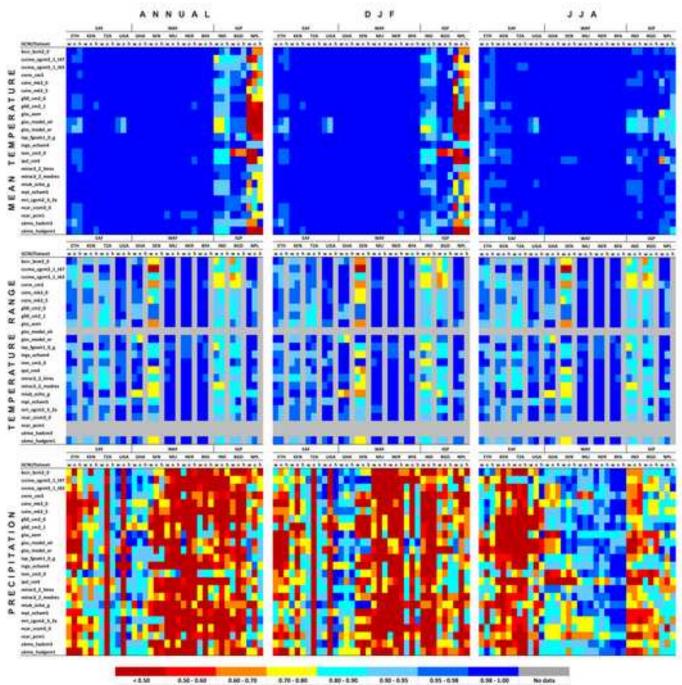












18.58 8.50-8.68 8.80-0.19 0.70-0.00 8.87-8.90 8.90-8.95 0.05-0.58 0.98 - 1.00

## Figure 8 Click here to download high resolution image

