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Paper:

Asseng, S, Ewert, F, Rosenzweig, C, Jones, JW, Hatfield, JL, Ruane, AC, Boote, KJ, Thorburn, PJ, Rötter, RP, Cammarano, D, Brisson, N, Basso, B, Martre, P, Aggarwal, PK, Angulo, C, Bertuzzi, P, Biernath, C, Challinor, AJ, Doltra, J, Gayler, S, Goldberg, R, Grant, R, Heng, L, Hooker, J, Hunt, LA, Ingwersen, J, Izaurralde, RC, Kersebaum, KC, Müller, C, Naresh Kumar, S, Nendel, C, O'Leary, G, Olesen, JE, Osborne, TM, Palosuo, T, Priesack, E, Ripoche, D, Semenov, MA, Shcherbak, I, Steduto, P, Stöckle, C, Stratonovitch, P, Streck, T, Supit, I, Tao, F, Travasso, M, Waha, K, Wallach, D, White, JW, Williams, JR and Wolf, J (2013) *Uncertainty in simulating wheat yields under climate change*. Nature Climate Change, 3 (9). 827 - 832.

<http://dx.doi.org/10.1038/nclimate1916>

Quantifying uncertainties in simulating wheat yields under climate change

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44 # Dr. Nadine Brisson passed away in 2011 while this work was being carried out.
45

1 **Projections of climate change impacts on crop yields are inherently uncertain¹.**
2 **Uncertainty is often quantified when projecting future greenhouse gas emissions**
3 **and their influence on climate². However, multi-model uncertainty analysis of crop**
4 **responses to climate change is rare since systematic and objective comparisons**
5 **among process-based crop simulation models^{1,3} are difficult⁴. Here we present the**
6 **largest standardized model intercomparison for climate change impacts to date.**
7 **We found that individual crop models are able to simulate measured wheat grain**
8 **yields accurately under a range of environments, particularly if the input**
9 **information is sufficient. However, simulated climate change impacts vary across**
10 **models due to differences in model structures and parameter values.**
11 **A greater proportion of the uncertainty in climate change impact projections was**
12 **due to variations among crop models than to variations among downscaled general**
13 **circulation models (GCMs). Uncertainties in simulated impacts increased with**
14 **CO₂ concentrations and associated warming. These impact uncertainties can be**
15 **reduced by improving temperature and CO₂ relationships in models and better**
16 **quantified through use of multi-model ensembles. Less uncertainty in describing**
17 **how climate change may affect agricultural productivity will aid adaptation**
18 **strategy development and policymaking.**

19
20
21
22 **Uncertainties in projections of climate change impacts on future crop yields derive from**
23 **different sources in modeling. The trajectories of future greenhouse gas emissions**
24 **cannot be projected easily as they are strongly influenced by political and socio-**
25 **economic development. A range of plausible projections (scenarios) of emissions are**
26 **used instead². Projecting the effects of emissions on climate and the downscaling of**
27 **climate data itself, are both inherently uncertain, since different general circulation**
28 **model ensembles⁵ and downscaling methods⁶ give different results. Finally, uncertainty**
29 **in simulating the response of crops to altered climate can be attributed to differences in**
30 **the structures of crop models and how model parameters are set. Process-based crop**
31 **models account for many of the interactions among climate, crop, soil and management**
32 **effects and are the most common tools for assessing climate change impacts on crop**
33 **productivity. Many crop model impact assessments have been carried out for specific**

1 locations⁷, agricultural regions⁸, and the globe⁹. Statistical methods have also been used
2 to analyze trends in yields driven by climate¹⁰, but interactions between climate and
3 non-climate factors confound results¹¹. This hinders the attribution of causality¹² and
4 development of appropriate adaptation strategies.

5
6 Uncertainty, any departure from the unachievable ideal of completely deterministic
7 knowledge of a system¹³, has been addressed by the climate science community through
8 probabilistic projections based on multiple GCMs or regional climate model
9 ensembles¹⁴. However, most climate change agricultural impact assessments have used
10 a single crop model³, limiting the quantification of uncertainty¹⁵. Since crop models
11 differ in the way they simulate dynamic processes, set parameters, and use input
12 variables³, large differences in simulation results have been reported¹⁶. While
13 uncertainty of crop model projections is sometimes assessed by using more than one
14 crop model¹⁶ or by perturbing crop model parameters¹⁷, coordinating comprehensive
15 assessments has proven difficult⁴.

16
17 To estimate the uncertainty associated with studies of climate impacts on crop yields,
18 we used 27 different wheat crop models (Supplementary Tables S1 and S2) at four sites
19 representing very different production environments (Fig. 1a). Simulated grain yields
20 varied widely, although median values were close to observed grain yields across
21 single-year-experiments for four representative environments (Supplementary Table S3)
22 in The Netherlands, Argentina, India and Australia (Fig.1a, b). This phenomenon was
23 previously reported in another multi-model comparison with fewer models¹⁶, and is
24 comparable to the improved seasonal climate simulations produced with multiple
25 GCMs¹⁸. The range of simulated yields was reduced significantly after full calibration,
26 such that >50% of yields from calibrated models were within the mean coefficient of
27 variation (CV%) (+/- 13.5%) of >300 wheat field experiments¹⁹ (Fig. 1c). Similar
28 patterns were found for other simulated aspects of wheat growth (Fig. 1d). Hence, crop
29 models are able to simulate measured grain yield and other crop components accurately
30 under diverse environments if input information is sufficient.

31

1 To illustrate the possible changes in uncertainty of simulated impacts, we analyzed the
2 sensitivity of models to a combination of changes in precipitation and increases in both
3 temperature and atmospheric CO₂ concentration (734 ppm, compared to baseline at 360
4 ppm) based on a location-specific scenario that best approximated the ensemble of high-
5 emission late-century climate projections (see Supplementary Table S3). Simulated
6 climate change yield responses of all partially calibrated crop models had CV values
7 between 20% and 30% (Fig. 2a); these were reduced by 2% to 7% when models were
8 fully calibrated. However, the CV of simulated impacts using the 50% best-performing
9 calibrated models (based on RMSE across all locations) was about 2% higher than
10 using all models, and this only decreased when the 50% of models closest to observed
11 yields at each location were used (Fig. 2a). Uncertainty in simulated climate change
12 impacts differed across the environments (Fig. 2a). In addition, uncertainty in simulated
13 impacts varied with soil (Fig. 2b) and crop management (Fig. 2c and d). Hence, the
14 overall growing environment, in particular the soil and crop management, affects the
15 range of simulated grain yields across models, thus adding to uncertainty in responses
16 coming from individual models. Therefore, selecting a subset of models that perform
17 best in current environments does not reduce uncertainty in simulated climate change
18 impacts.

19
20 Changes in atmospheric CO₂, temperature and precipitation are key drivers of the
21 responses of crops to climate change²⁰. Simulated impacts of elevated CO₂ on yields
22 varied relatively little across models (50% of model results were within +/- 20% of the
23 median response) (Fig. 3a-d and Supplementary Fig. S5), but the variation across 80%
24 of the crop models increased under elevated CO₂ concentration mostly in the low-
25 yielding environment of Australia (see box-plot whiskers in Fig. 3d). However, the
26 uncertainty in simulated yields did not increase with increasing CO₂ in the other
27 environments. This is not surprising as elevated CO₂ affects fewer processes than
28 increased temperature and because several of the wheat models have used observations
29 from free-air CO₂ enrichment (FACE) experiments to improve model processes related
30 to high CO₂^{21,22}. But none of the models have been tested with elevated CO₂ in
31 combination with high temperature. The majority of simulated yield responses to an 180
32 ppm CO₂ increase at current temperatures (Fig. 3a-d) were within the range of

1 measured responses, ranging from 8% to 26% with elevated atmospheric CO₂
2 concentrations (Fig. 3e) across experiments conducted in the USA, Germany and
3 China^{23, 24} (see also Supplementary Information, page 11 last paragraph).

4
5 In contrast to the mean response of yields to CO₂, uncertainty in simulated yield
6 showed a strong dependency on temperature, particularly when the temperature increase
7 exceeded 3°C with associated changes in atmospheric CO₂. The median model response
8 to a 3°C increase in temperature (Fig. 3a-d and Supplementary Fig. S5) is consistent
9 with general field observations (Fig. 3e); observed wheat grain yields declined by 3% to
10 10% per °C increase in mean temperature^{24,10} (see also Supplementary Information,
11 page 11 last paragraph). The increased range of impacts at high temperatures (50% of
12 models were between 20% and 40% of the median response on either side) indicated an
13 increased model uncertainty with increasing temperature, partly related to simulated
14 phenology (Supplementary Fig. S3), e.g. phenology is often enhanced with increasing
15 temperature resulting in less time for light interception and photosynthesis and
16 consequently less biomass and yield, an increased frequency of high temperature events
17 and its simulated impact on crop growth (Supplementary Fig. S4)²⁵, and high
18 temperature interactions with elevated CO₂ (Fig. 3). However, accounting for a process
19 such as high temperature stress impact in a model does not necessarily result in
20 correctly simulating that effect (Supplementary Fig. S4), as the modelled process itself,
21 e.g. leaf area or biomass growth interacts with other model processes in determining the
22 final yield response of a model. Precipitation affected simulated yields, but precipitation
23 change had little impact on the range of simulated responses (Supplementary Fig. S2).

24
25 If averaging multi-model simulations is superior to a single crop⁴ or climate²⁶ model
26 simulation because the ratio of signal (mean change) to noise (variation) increases with
27 the number of models and errors tend to cancel each other out, we should be able, with
28 caution²⁷, to estimate how many models would be required for robust projections. We
29 assessed this by randomly choosing 260 subsets of the crop models, and computing the
30 mean and spread of simulated results (Supplementary Fig. S1). As the variation in
31 yields was about 13.5% around the mean in field experiments¹⁹, we considered
32 projections to be robust if the range of projections was within 13.5% of the mean. The

1 number of models required for robust assessments of climate change varied depending
2 on the magnitude of temperature change and interactions with the change in
3 atmospheric CO₂ (Fig. 4a). For example, at least five models are needed for robust
4 assessments of yield impacts for increases of up to 3°C and 540 ppm of CO₂. Fewer
5 models are needed for smaller changes and more models for greater changes in
6 temperature (Fig. 4a).

7
8 When simulating impacts assuming a mid-century A2 emissions scenario (556 ppm of
9 CO₂) for climate projections from 16 downscaled GCMs using 26 wheat models, a
10 greater proportion of the uncertainty in yields was due to variations among crop models
11 than to variations among the downscaled GCMs (Fig. 4b). In contrast, GCM uncertainty
12 tends to dominate in perturbed single crop model parameter studies²⁸. The variation of
13 simulated yields for the scenario ensemble was greater for low-yielding environments,
14 while absolute values were similar to observations across yield levels and within the
15 range of field experimental variation¹⁹. Smaller projected climate changes, e.g. for low
16 emissions or early-century timeframes, result in less variation in simulated impacts,
17 larger climate changes result in more variation (Figure 3).

18
19 We conclude that projections from individual crop models fail to represent the
20 significant uncertainties known to exist in crop responses to climate change. On the
21 other hand, model ensembles have the potential to quantify the significant, and hitherto
22 uncharacterized, crop component of uncertainty. Crop models need to be improved to
23 more accurately reflect how heat stress and high temperature-by-CO₂ interactions affect
24 plant growth and yield formation.

25 26 **Methods**

27
28 Twenty-seven wheat crop simulation models (Supplementary Table S1 and S2) were
29 tested within the Agricultural Model Intercomparison and Improvement Project²⁹
30 (AgMIP; www.agmip.org), with data from quality-assessed field experiments (sentinel
31 site data) from four contrasting environments using standardized protocols, including
32 partial and full model calibration experiments, to assess the role of crop model-based

1 uncertainties in projections of climate change impacts (Fig. 1a; Supplementary
2 Information). Model simulations were executed by individual modeling groups.
3
4

1 Figure captions

2
3 **Figure 1 | Wheat model-observation comparisons.** (a) Global map of wheat production³⁰ showing
4 experimental sites (stars) representative of CIMMYT mega-environments (ME, broadly indicated by
5 circles, <http://wheatatlas.cimmyt.org>). (b) Observed (x) and simulated (box plots) grain yields from
6 single-year-experiments for The Netherlands (NL), Argentina (AR), India (IN) and Australia (AU).
7 Simulated yields are from 27 different wheat crop models. Partially calibrated simulated yields (larger
8 boxes) - researchers had no access to observed grain yields and growth dynamics (blind test). Calibrated
9 simulated yields (smaller boxes) - researchers had access to observed grain yields and growth dynamics.
10 In each box plot, vertical lines represent, from left to right, the 10th percentile, 25th percentile, median,
11 75th percentile and 90th percentile of simulations. Standard deviation for observed yields (based on
12 measurements of four replicates) is shown as an error bar if known. (c) Number of models within mean
13 field experimental variation (13.5%)¹⁹ for partially calibrated (open bars) and fully calibrated models
14 (grey bars) for single locations (NL, AR, IN and AU for each country) and combinations of locations. (d)
15 Relative root mean square errors (RMSE) of simulation-observation comparisons for partially calibrated
16 (open bar) and fully calibrated models (grey bars) of grain yield components across all four locations.
17 LAI, leaf area index; ET, evapotranspiration.

18
19 **Figure 2 | Variability in impact model uncertainty.** (a) Coefficient of variation (CV%) for simulated yield
20 response to a location-specific scenario representing GCM projections for the high-emission (A2)
21 scenario for the late century (in relation to baseline 1981-2010, see Supplementary Table S3) with 27
22 crop models. Models were partially calibrated (black) or fully calibrated (green). Alternatively, 50% of
23 models with the closest simulations to the observed yields across all locations were used (blue) or 50%
24 of models with the closest simulations to the observed yields per location (red). CV% of simulated yield
25 response with 27 fully calibrated crop models to the climate change scenario with (b) increased (solid
26 red) and reduced (dashed red) soil water holding capacity, (c) early (solid red) and delayed (dashed red)
27 sowing dates and (d) increased (solid red) and reduced (dashed red) N fertilizer applications (only 20
28 models included N dynamics); fully calibrated 20 models which included N dynamics (dashed green). The
29 fully calibrated simulation (green) from (a) is reproduced in (b), (c) and (d) for comparison. The
30 Netherlands (NL), Argentina (AR), India (IN) and Australia (AU).

31
32 **Figure 3 | Sensitivity of simulated and observed wheat to temperature and CO₂ change.** Simulated
33 relative mean (30-year average, 1981-2010) grain yield change for increased temperatures and elevated
34 atmospheric CO₂ concentrations for (a) The Netherlands (NL), (b) Argentina (AR), (c) India (IN) and (d)
35 Australia (AU). For each box plot, vertical lines represent, from left to right, the 10th percentile, 25th
36 percentile, median, 75th percentile and 90th percentile of simulations based on multi-models. (e)
37 Observed range of yield impacts with elevated CO₂^{23,24}. Observed range of yield impacts with increased
38 temperature^{24,10}. (extrapolated, based on separate experiments with 40-345 ppm elevated CO₂ and 1.4-
39 4.0 °C temperature increase, see Supplementary Information)

40
41 **Figure 4 | Size of model ensembles and impact model uncertainty.** (a) Average number of crop models
42 across locations required to reduce the simulated yield impact variation to within the mean field
43 experimental coefficient of variation (CV%) of 13.5%¹⁹. Different colours indicate elevated atmospheric
44 CO₂ concentrations (black = 360 ppm, red = 450 ppm, blue = 540 ppm, green = 630 ppm, dark yellow =
45 720 ppm) in combinations with temperature changes. Error bars show s.d. (b) Coefficient of variation
46 due to crop model uncertainty (using 10th percentile to 90th percentile of simulations based on crop
47 multi-models) in simulated 30-year average climate change yield impact (black) and due to variation in
48 16 downscaled GCM (red, see Supplementary Tables S6 and S7) mid-century A2 emission scenarios
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