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1 **Introduction**

2 The many pathogens that infect humans (e.g., viruses, bacteria, protozoa, fungal par-
3 asites, helminths) often co-occur within individuals.¹⁻⁵ Helminth coinfections alone are
4 thought to occur in over 800 million people,⁶ and are especially prevalent among the
5 global poor.⁷⁻⁹ Other coinfections involve globally important diseases such as HIV,¹⁰ tu-
6 berculosis,¹¹ malaria,¹² hepatitis,¹³ leishmaniasis,¹⁴ and dengue fever.¹⁵ It seems likely,
7 therefore, that the true prevalence of coinfection exceeds one sixth of the global population
8 and often involves infectious diseases of pressing human concern.

9 Improved understanding of coinfection prevalence is greatly needed,¹⁶ partly because coin-
10 fecting pathogens can interact either directly with one another or indirectly via the host's
11 resources or immune system.³ Compared to infections of single pathogen species, these
12 interactions within coinfecting hosts can alter the transmission, clinical progression and
13 control of multiple infectious diseases.¹⁷⁻¹⁹ Establishing the nature and consequences of
14 coinfection requires integrated monitoring and research of different infectious diseases,¹
15 but such data are rare.^{9,20,21}

16 Reviews of coinfection have emphasised that coinfection requires further research, espe-
17 cially in humans,^{2,3,20,22} where coinfection outnumbers single infection in many commu-
18 nities^{2,23} and where helminth coinfections appear to worsen human health.²⁰ Coinfection
19 involves a range of pathogens and can have various effects on coinfecting hosts.³ There are
20 many individual studies concerning coinfection, but these use various approaches and are
21 often narrowly focused. We aimed to gain a coherent picture of the nature and conse-
22 quences of coinfection in humans. We surveyed the published literature for the occurrence
23 of coinfecting pathogens and their effects on other infecting organisms and human health.
24 We found that coinfections involve a huge variety of pathogens, and most studies report
25 negative effects on human health. However, current coinfection research rarely focuses on
26 pathogens with highest global mortality.

27 **Materials and Methods**

28 *Literature search*

29 We searched the published literature for studies of coinfection (i.e. multi-species in-
30 fections) in humans using the Advanced Search facility on the largest online citation
31 database, Scopus (Elsevier Ltd.). Many disciplines study infectious diseases and various
32 terms are used to describe coinfection. We therefore searched for co*infection, concomi-
33 tant infection, multiple infection, concurrent infection, simultaneous infection, double
34 infection, polymicrobial, polyparasitism, or multiple parasitism in the Title, Abstract,
35 or Keywords of publications in the Life and Health Sciences before 2010. In June 2011
36 this search returned 12963 results; an equivalent search on an alternative online cita-
37 tion database, Web of Science [Thomson Reuters], yielded similar trends in publications
38 through time, but fewer results. Due to the large number of publications matching the
39 search terms, we chose to focus on publications from 2009. Furthermore, publications
40 concerning nonhuman hosts, non-infectious diseases or multiple genotypes of only one
41 pathogen species were excluded.

42 For each publication we collected data on the identity of coinfecting pathogens, journal,
43 study type and maximum number of pathogen species found per person. Study types
44 included experiments treating each infection, observational studies, and reviews/meta-
45 analyses. Observational studies were either case notes on particular patients, studies of
46 patient groups, or epidemiological surveys among human communities.

47 Many publications reported the stated effect of one pathogen on the abundance of coin-
48 fecting pathogens (i.e. proxies for the intensity of infection, e.g. from measures of viral
49 load, faecal egg counts, antibody response, bacterial cultures etc.) and/or host health
50 (e.g. survival, recovery time, anaemia, liver fibrosis, immune cell counts). These effects of
51 coinfection are relative to conditions observed under infections of single pathogen species.
52 Where these effects were reported we recorded the pair of coinfecting pathogens involved,
53 the quality of measurement (rated as low [e.g. anecdotal], adequate [e.g. correlation] and
54 high [i.e. full reporting of appropriate statistical test supported by theoretical mecha-
55 nisms]) and other data (see below). Data from review-type publications, case notes and
56 from publications not mentioning the effects of coinfection (120 publications for pathogen

57 abundance and 110 for host health) were excluded to avoid double counting, undue in-
58 fluence of individual cases and the inclusion of irrelevant publications. Reported effects
59 based on low quality evidence (10 publications for pathogen abundance and 24 for host
60 health) were also omitted.

61 *Analyses of the effects of coinfection*

62 There was considerable heterogeneity in the reporting of the effects of coinfection, both in
63 terms of the response variable and in terms of the quantitative measure given (e.g. odds
64 ratios, adjusted odds ratios, *P*-values, hazards ratios, raw comparisons). Furthermore,
65 many publications gave qualitative statements of effect direction. Among publications
66 quantifying effect size, diverse measures were given across publications. We focused on
67 the direction of reported effects (positive, negative and no-effect) to maximise the data
68 available. Reported directions of the effects on both pathogen abundance and host health
69 for each pair of coinfecting pathogens was coded +1 for positive effect, 0 for neutral,
70 -1 for negative effects, and NA if no information about effect direction was given. The
71 resulting dataset includes some repeated measures because some publications reported
72 multiple pairs of coinfecting pathogens and some coinfections were reported in multiple
73 publications. We created two independent datasets containing the mean effect direction (i)
74 per publication and (ii) per coinfection to eliminate these sources of pseudoreplication. A
75 negative mean implied a predominance of negative effects; a positive mean implied a dom-
76 inance of positive effects. A mean close to 0 could result from either many neutral effects
77 (whereby a pathogen consistently had no discernible effect) and/or equal numbers of pos-
78 itive and negative effects (whereby a pathogen had different, possibly context-dependent
79 effects). In either case, there is no clear indication of these pathogens having a consistent
80 effect on each other (or on host health), so we adopt the most conservative interpretation
81 and assume there is no effect. These means were converted into three categories: negative
82 (-1 to $-\frac{1}{3}$), neutral ($-\frac{1}{3}$ to $+\frac{1}{3}$) and positive ($+\frac{1}{3}$ to $+1$). Chi-squared tests²⁴ based
83 on double log-likelihood values^{25,26} were done to establish whether totals in each category
84 differed from those expected from two different null hypotheses (random and no-effect).
85 The random null model was of equal proportions of positive, neutral and negative effects,
86 while the no-effect null model was that coinfecting pathogens do not interact, allowing for

87 a 5% error rate (hence 2.5% negative, 2.5% positive, and 95% neutral reported effects).
88 This constitutes a recommended vote-counting method deriving continuous parameters
89 analysed against confidence intervals ($\alpha = 0.05$).²⁷

90 Finally, we explored the potential influence of the missing data (NAs) on the effects
91 of coinfection in the analysis (56 for pathogen abundance, 79 for host health). These
92 values represent reported coinfections where the effect on either pathogen abundance or
93 host health was not reported, despite the possibility that these coinfecting pathogens
94 did interact with each other and/or influence host health. We therefore assessed how
95 potential interactions from these unreported effects may alter the overall patterns of
96 coinfection effects. To determine their potential impact on the estimated overall effects,
97 NAs were assigned one of three values at random (+1, 0, -1). The mean effect was then
98 calculated per publication or coinfection pair as before, and a grand mean taken across all
99 publications or coinfection-pairs. The grand mean represents an estimate of overall effect
100 of coinfection on either host health or pathogen abundance across either publications or
101 coinfections, given a particular random assignment of -1, 0, +1 to NAs. Repeating this
102 random assignment 1000 times produced a distribution of grand means.

103 *Comparison with WHO data*

104 We examined whether recent coinfection research focuses on the pathogens causing the
105 highest global mortality. We obtained global totals for the number of deaths (both sexes,
106 all ages) in 2009 under every category of infection collated by the World Health Or-
107 ganisation (obtained from the Global Burden of Disease section of the Global Health
108 Observatory website)²⁸. We compared the ten categories causing most global deaths in
109 2009 with total reports of coinfection involving these infections. Comparing the top ten
110 infection categories by mortality with their morbidity measures (DALYs) yielded similar
111 trends, so we present only data from the mortality comparison.

112 **Results**

113 *Overall trends in coinfection publications*

114 Hundreds of publications on coinfection are published annually and have increased from
115 219 publications in the first year of search results to 1464 publications in 2009 (Fig. 1).
116 This increase includes studies of both human and non-human hosts. Of the 1464 pub-
117 lications retrieved for 2009, 309 reported multiple pathogen species coinfecting humans.
118 Publications came from 192 journals, with most (136 of 192 journals, 70.8%) publishing
119 a single coinfection article in 2009.

120 The majority of relevant publications from 2009 were observational studies (234 of 309,
121 75.0%), of which 159 (67.9%) involved patient groups, 60 (25.6%) were case notes and 18
122 (7.7%) surveyed a population. Three observational studies (1.3%) analysed death records.
123 Seventy publications (22.4%) were reviews or metaanalyses. Five publications (1.6%) were
124 experimental, whereby treatment and controls were applied to both singly infected and
125 coinfecting groups. A majority of the relevant publications concerned coinfections by two
126 pathogen species (249 of 309, 80.5%), but more pathogen species per individual were
127 occasionally reported; the mean number of pathogens was 2.4 and a maximum of 13
128 pathogens was reported twice in a venous leg ulcer²⁹ and a periodontal infection³⁰.

129 *Reported coinfecting pathogens*

130 A total of 270 pathogen taxa were reported in coinfection publications from 2009, across
131 1265 reports of coinfections comprising 933 different pairs of coinfecting pathogen taxa.
132 All pathogen types (viruses, bacteria, protozoa, fungal parasites, helminths) were reported
133 in coinfections; the most common pathogen group were bacteria (Table 1). In terms of
134 specific pairs of reported coinfecting pathogens there was high diversity, but HIV and
135 hepatitis viruses featured relatively highly (Table 1).

136 *Effects of coinfection on pathogen abundance and human health*

137 Effects of coinfection on pathogen abundance and host health were sampled across 173
138 suitable publications according to pathogen abundance and host health. These publica-
139 tions covered 827 coinfecting pairs of pathogens, involving 183 pathogen species. Among

140 these coinfections, 203 (24.5%) measured the size or direction of effects on pathogen
141 abundance and 191 (23.1%) measured the size or direction of effects on host health. The
142 remainder of coinfections had no reports of the effects of coinfection in suitable publica-
143 tions.

144 Overall, positive effects of coinfection on pathogen abundance were the most common
145 reported across publications (6 negative, 15 neutral, 28 positive reports across 49 publi-
146 cations; Fig. 2A). Among specific pairs of coinfecting pathogens neutral effects exceeded
147 positive effects (10 negative, 95 neutral, 69 positive across 174 unique pathogen pairs;
148 Fig. 2C). In both cases these patterns were strongly significantly different from both the
149 random null model (grey line on Fig. 2, by publication [$X^2 = 15.6$, $d.f. = 2$, $P < 0.001$]
150 and by coinfection [$X^2 = 82.6$, $d.f. = 2$, $P < 0.001$]) and from the no-effect null model
151 (black line on Fig. 2, by publication [$X^2 = 160.3$, $d.f. = 2$, $P < 0.001$] and by coinfection
152 [$X^2 = 292.8$, $d.f. = 2$, $P < 0.001$]).

153 Regarding the impact of coinfection on host health, there was a much greater number
154 of negative effects reported in publications than either positive, neutral or NA categories
155 (51 negative, 12 neutral, 4 positive across 67 publications; Fig. 2B). When data were
156 aggregated by specific pathogen pairs the neutral effects exceed the negative effects (51
157 negative, 84 neutral, 5 positive across 140 unique pathogen pairs; Fig. 2D). In both cases
158 these patterns were significantly different from both the random null model (grey line,
159 by publication [$X^2 = 55.6$, $d.f. = 2$, $P < 0.001$, Fig. 2B] and by coinfection [$X^2 =$
160 85.5 , $d.f. = 2$, $P < 0.001$, Fig. 2D]) and from the no-effect null model (black line,
161 by publication [$X^2 = 315.4$, $d.f. = 2$, $P < 0.001$, Fig. 2A] and by coinfection [$X^2 =$
162 199.6 , $d.f. = 2$, $P < 0.001$, Fig. 2C]).

163 It is unlikely that these patterns of the effects of coinfection would be changed by knowl-
164 edge of the unreported effects (the NAs in Fig. 2). Even after NA values were assigned
165 predominantly to the neutral category (i.e. under the no-effect null model), the distribu-
166 tion of the grand mean effect was positive for the effects on pathogen abundance (Fig. 3A
167 and C), and negative for effects on host health (Fig. 3B and D). None of the distributions
168 of grand means overlapped zero (Fig. 3).

169 *Do coinfection studies focus on the most important infectious diseases?*

170 We found notable differences between the most commonly reported coinfecting pathogens
171 and the infections causing the greatest global health burden (Fig. 4). The largest infec-
172 tious causes of mortality are respiratory infections, causing 44.7% of these deaths with the
173 next greatest causes, diarrhoea and HIV/AIDS, causing half as many deaths. Other im-
174 portant infections by global mortality are tuberculosis, malaria and childhood infections
175 (measles, meningitis, whooping cough and tetanus). The tenth biggest infectious cause of
176 mortality worldwide, HBV, is the only hepatitis virus featuring in the top ten infectious
177 causes of mortality, causing 1.1% of infectious disease deaths. In comparison, hepatitis
178 viruses featured in one fifth of reported coinfections (286 of 1265, 22.6%). The top ten
179 pathogen species reported in coinfections were HIV (in 266 [21.9%] of 1265 coinfections),
180 HCV (11.4%), HBV (7.04%), *Staphylococcus aureus* (4.58%), *Escherichia coli* (4.43%),
181 *Pseudomonas aeruginosa* (3.72%), *M. tuberculosis* (5.9%), HPV (3.16%), unidentified
182 *Streptococcus* spp. (3.00%), and unidentified *Staphylococcus* spp. (3.00%). Some of the
183 most common reported coinfecting pathogens (HCV, *Staphylococcus*, HPV, and *Strepto-*
184 *coccus*) contribute relatively little to global infection mortality. Perhaps surprisingly, four
185 of the most important infectious causes of mortality (all of them childhood infections)
186 received very few or no reports of coinfection in 2009 publications.

187 **Discussion**

188 Interest in coinfection has increased in recent years, with publications on human coin-
189 fection involving hundreds of pathogen taxa across all major pathogen groups. Recent
190 publications tend to show that negative effects of coinfection on human health are more
191 frequent than no effect or positive effects. However, the most commonly reported coinfect-
192 ing pathogens differ from those infections causing highest global mortality. These results
193 raise questions concerning the occurrence and study of coinfection in humans and their
194 implications for effective infectious disease management.

195 The overall consequence of reported coinfections was poorer host health and enhanced
196 pathogen abundance, compared with single infections. This is strongly supported by
197 significant statistical differences in the reported direction of effects ($P < 0.001$) from ex-

198 pectations of either no-effect or of random distributions, and by the robustness of these
199 trends in the face of missing values and by diversity in the types of publications in which
200 these coinfections were reported. Moreover the tendency for positive effects on pathogen
201 abundance corroborates the negative effects on host health because larger infections are
202 a mechanism by which disease can be exacerbated. The consistency of these detrimental
203 coinfection effects across a wide range of pathogens suggests a general incidence of inter-
204 actions between coinfections. The long-term effects among survivors of coinfections can
205 be varied and in some cases severe, including blindness, chronic diarrhoea, chronic inflam-
206 mation, carcinoma, immunosuppression, liver fibrosis, meningitis, renal failure, rheumatic
207 fever, *etc.*³¹.

208 The direction of reported coinfection effects could have at least two explanations. The
209 first is that coinfection may be more likely in individuals of poor health, which in turn
210 leads to poorer prognosis among coinfecting cases. The relative paucity of experimental
211 studies of coinfection in humans means sampling biases towards people of poorer health
212 is possible, but impossible to account for in our analyses. The second explanation is that
213 coinfecting pathogens interact synergistically with each other, for example via the host's
214 immune system, so that the presence of one enhances the abundance and/or virulence of
215 the other. A clear example of this is HIV, which causes immunosuppression, increasing
216 the likelihood of additional infections and occurred in two fifths of reported coinfections
217 (Fig. 4).

218 Differences between reported coinfections and global mortality figures may also suggest
219 important interactions between coinfecting pathogens. Coinfections that were more com-
220 monly reported than their relative contribution to global mortality may involve particular
221 synergistic pathogen-pathogen interactions, such as among herpes viruses like CMV or
222 HSV infection enhancing the risk of HPV coinfection.³² Conversely, infections that cause
223 high mortality but had relatively few reports of coinfection could result from antagonistic
224 interactions, reducing the likelihood of such coinfections occurring and being reported, like
225 *Pseudomonas aeruginosa* exoproduct limiting *Staphylococcus aureus* colony formation.³³
226 An alternative and possibly more likely explanation of the discrepancies between reported
227 coinfections and global mortalities from infections could be greater funding availability
228 (e.g. HIV/AIDS research), higher interests of virologists in coinfection and/or easier ob-

229 servations or more routine screening compared with other pathogens, for instance the
230 greater difficulty of detecting intestinal helminths in coinfection research. The lack of
231 coinfection publications reporting on major infectious causes of childhood mortality re-
232 mains unexplained. While some publications do study childhood coinfection and find
233 coinfection to be more common in children,³⁴ current coinfection research does not in-
234 clude the infections that kill the most infants globally. Fewer than 1 in 20 publications
235 reported coinfections involving helminths, despite hundreds of millions of helminth coin-
236 fections globally,⁶ which could arise from limited published research on helminthiases. To
237 what extent disparities between global mortality data reflect actual epidemiology or biases
238 in research attention remains to be established, in part hindered by current inadequacies
239 in coinfection surveillance.

240 The disparity between infections that feature highly in global mortality statistics and
241 those receiving most attention in published coinfection studies poses a challenge to infec-
242 tious disease research. A general understanding of the effects of coinfection is important
243 for appropriate control of infectious diseases.^{4,7,8,35} Poor or uncertain observational data
244 regarding coinfection hinders efforts to improve health strategies for infectious disease in
245 at-risk populations.⁹ For example, global infectious disease mortality data²⁸ report only
246 single causes of death, even if comorbidities were identified. If health statistics better
247 represent coinfection, published coinfection research could be better evaluated. Moreover
248 there is a lack of coherence in coinfection literature, with a variety of synonyms being used
249 for the same phenomenon, which is multi-species infection (see the Methods for exam-
250 ples). The term polymicrobial, while commonplace, is restricted to coinfections involving
251 microbes. Coinfection is a broader term encompassing all pathogen types including in-
252 teractions between the same kinds of pathogens as well as cross-kingdom coinfections
253 between, say, bacteria and helminths. Ultimately decisions over which term to prefer (if
254 any) need to be made by a consensus of the diverse research communities concerned with
255 this phenomenon. True patterns of coinfection remain unknown²¹ and our results suggest
256 that it may be starkly different from existing data on important infectious diseases.

257 Overall recently published reports of coinfection in humans show coinfection to be detri-
258 mental to human health. Understanding the nature and consequences of coinfection is
259 vital for accurate estimates of infectious disease burden. In particular, more holistic data

260 on infectious diseases would help to quantify the size of the effects on coinfection on
261 human health. Improved knowledge of the factors controlling an individual's risk of coin-
262 fection, circumstances when coinfecting pathogens interact, and the mechanisms behind
263 these pathogen-pathogen interactions, especially from experimental studies, will also aid
264 the design and evaluation of infectious disease management programmes. To date, most
265 disease control programs typically adopt a vertical approach to intervention, dealing with
266 each pathogen infection in isolation. If coinfecting pathogens generally interact to worsen
267 human health, as suggested here, control measures may need to be more integrated and
268 specialist treatments developed for clinical cases of coinfection. Further research is needed
269 to identify the role of predisposed risks to coinfection.

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371 **Tables and figures**

372 Table 1. Number of reports of each type of pathogen and the five most reported pair of
coinfecting pathogens among 2009 coinfection publications.

Pathogen Type	Frequency (%)	Coinfecting pathogens	Frequency (%)
Bacteria	1351 (53.4)	HCV-HIV	82 (6.5)
Viruses	877 (34.7)	HBV-HIV	31 (2.4)
Protozoa	117 (4.6)	HBV-HCV	30 (2.4)
Helminths	78 (3.1)	HIV- <i>Mtb</i>	28 (2.2)
Fungi	81 (3.2)	HIV-HPV	27 (2.1)

HBV = Hepatitis B Virus, HCV = Hepatitis C Virus, HIV = Human Immunodeficiency
Virus, *Mtb* = *Mycobacterium tuberculosis*, HPV = Human Papillomavirus

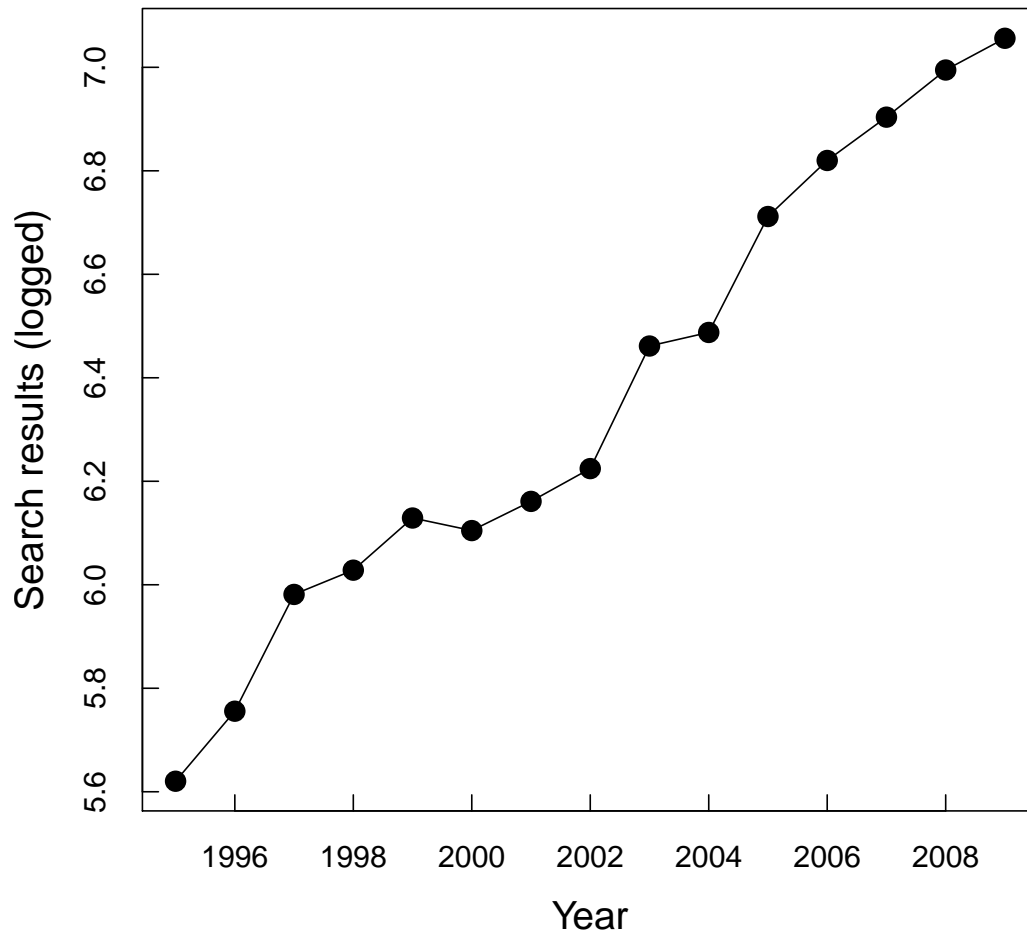


Figure 1: Annual coinfection publications (\log_{10}) from initial Scopus search. See the Methods section for search criteria.

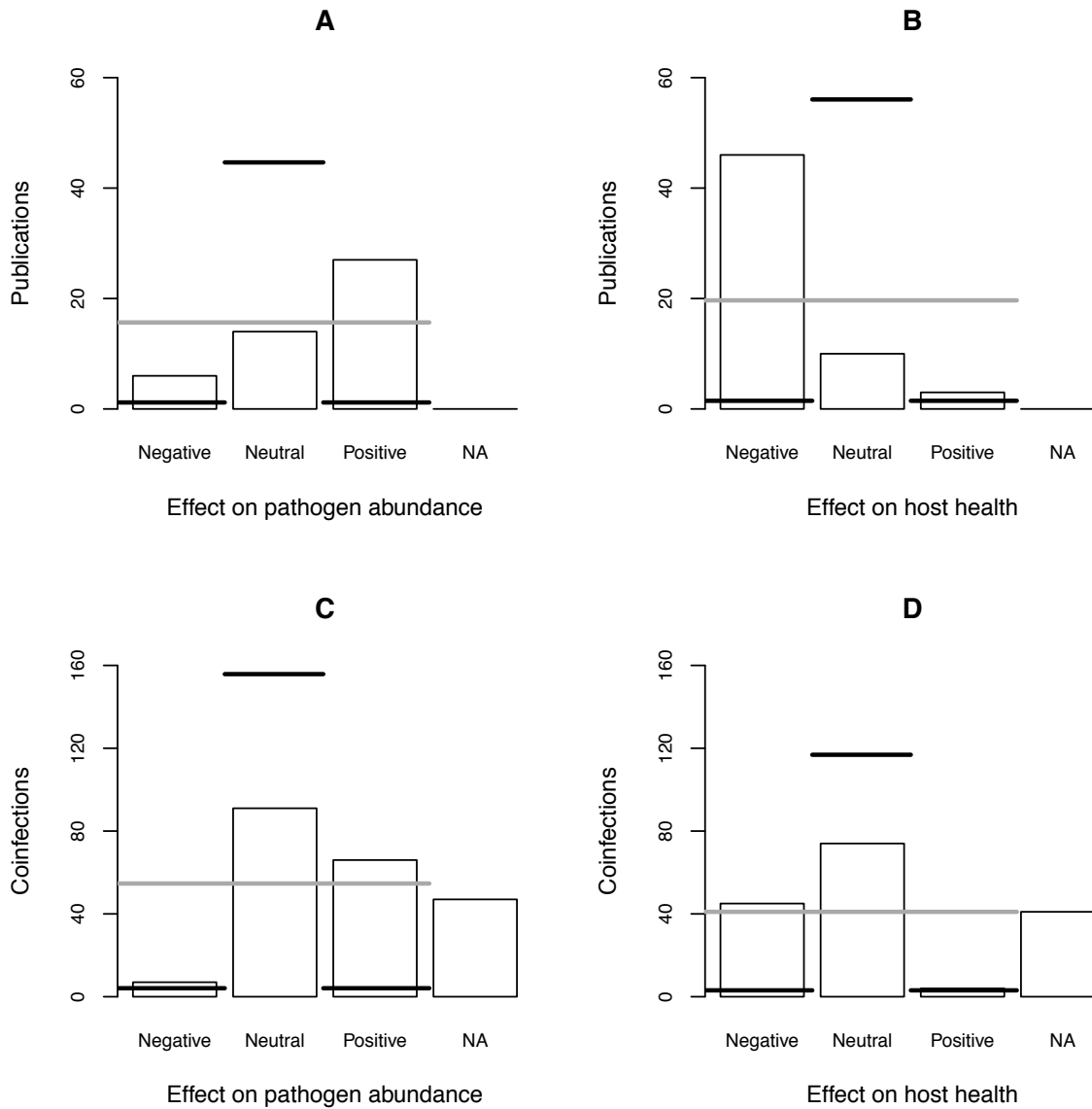


Figure 2: Direction of reported effects of coinfection on the abundance of infecting pathogens and host health averaged across publications and coinfections published in 2009. Horizontal lines indicate expected values of null hypotheses (black=no-effect, grey=random).

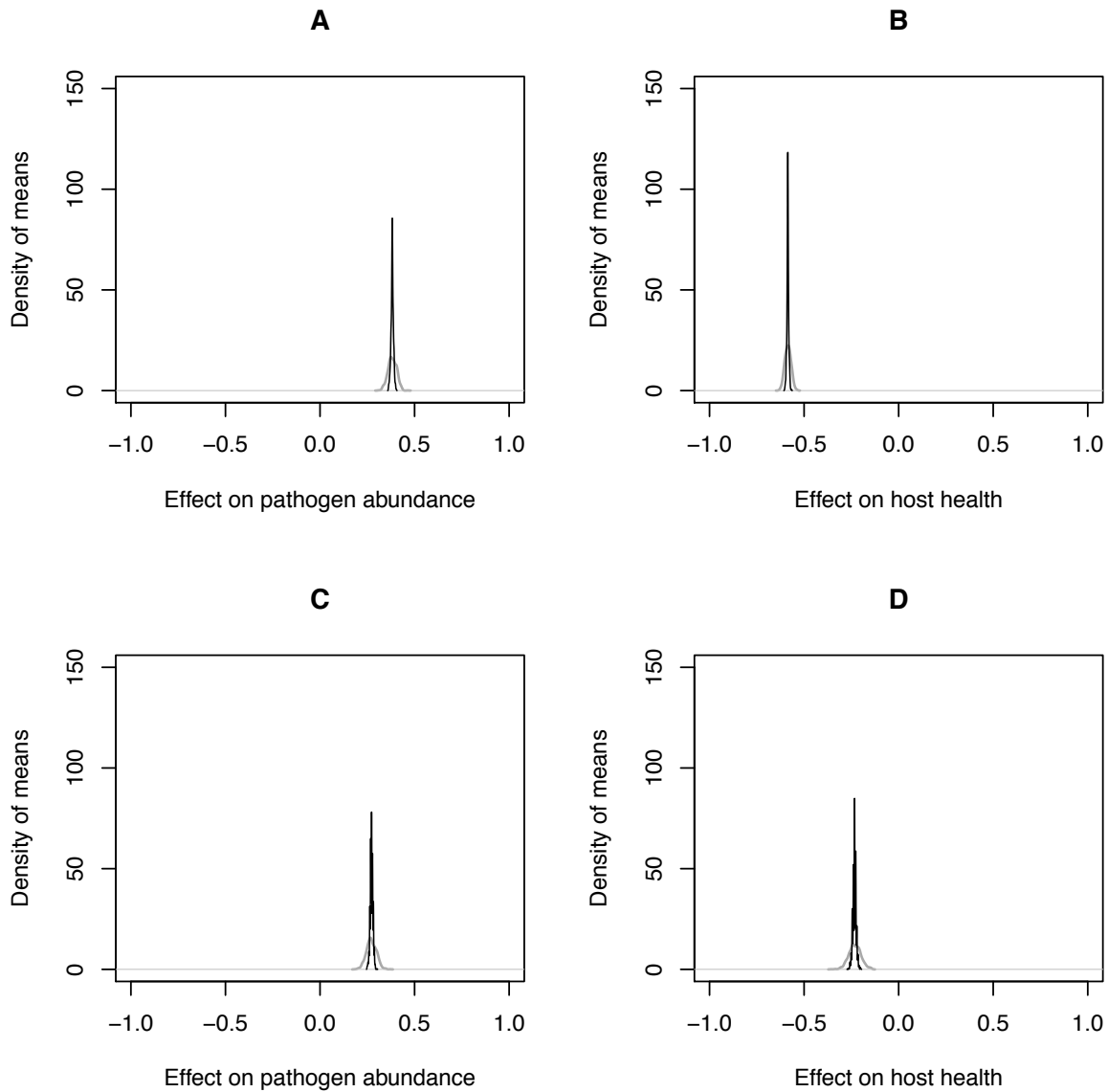


Figure 3: Distribution of grand mean effects of coinfection including simulations of missing values according to the random (grey line) and no-effect (black line) null models. Lines generated by a Gaussian kernel estimator (smoothing bandwidths: random = 5.1×10^{-3} , no-effect = 1.2×10^{-3}).

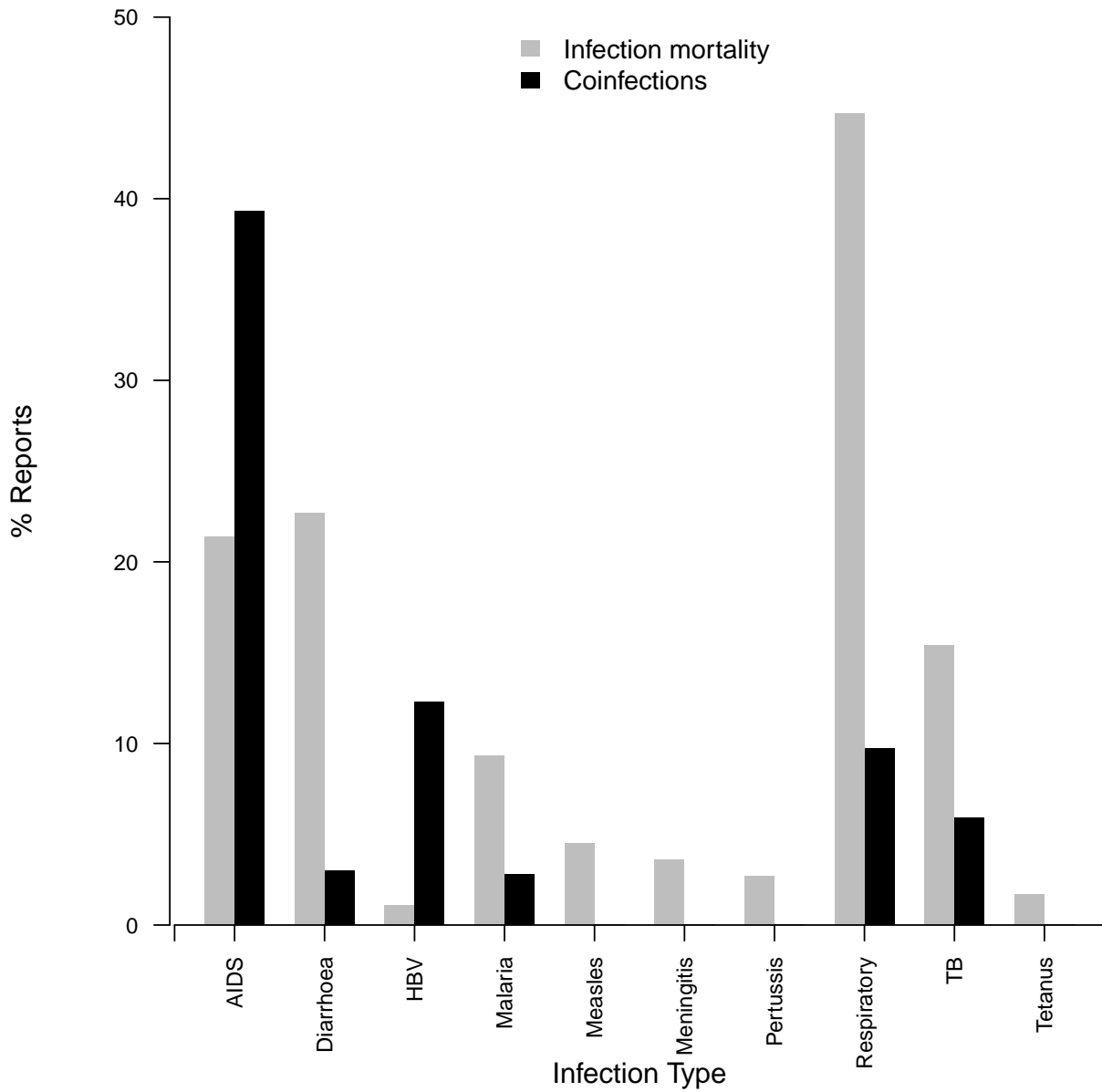


Figure 4: Top ten infections from global mortality data²⁸ (grey bars), compared with percentage of times the infections were reported in coinfections in 2009 publications (black bars).