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Monitoring land subsidence in a rural area using a combination of ADInSAR and polarimetric coherence optimization

Zahra Sadeghi, Mohammad Javad Valadan Zoj and Jan-Peter Muller

Abstract- This letter investigates a combination of Advanced Differential Synthetic Aperture Radar Interferometry, ADInSAR, with different coherence optimization methods. After the launch of satellites with polarimetry capabilities, DInSAR is feasible to generate polarimetric DInSAR to enhance pixel phase quality and increase coherent pixel density. The first method proposed in this paper, MCPO, is a modification of a known single-baseline coherence optimization method to optimize coherence of all interferograms simultaneously. The second method, CPO, was presented by Neumann [1] and is an existing revision of the single-baseline coherence optimization technique. The final method, ESPO, is a search-based approach to find the optimized scattering mechanism introduced by Navarro-Sanchez [2,3]. The case study is the Tehran basin located in the North of Iran which suffers from a high-rate of land subsidence and is covered by agricultural fields. Usually such an area would significantly decorrelate but applying polarimetric ADInSAR allows to obtain a more coherent pixel coverage. A set of dual polarization TerraSAR-X images with 9×9 and 15×15 as multi-look factors were used within the polarimetric ADInSAR procedure. All three coherence optimization methods with two different multi-look factors are shown to have increased the density and phase quality of coherent pixels. Moreover, the estimated deformation rates were evaluated using available levelling measurements. MCPO, which is presented in this paper, works more successful than CPO in terms of coherent pixels density, phase quality and deformation accuracy.

Index Terms- ADInSAR, Polarimetry, Coherence optimization, Polarimetric DInSAR

I. INTRODUCTION

Advanced Differential Synthetic Aperture Radar Interferometry (ADInSAR) methods estimate the deformation rate of the Earth's land surface accurately using a permanent scatterer selection process [2]. Due to decorrelation, only those pixels that show permanent behaviour over a sequence of interferograms, are identified for DInSAR processing [4,5].

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Interferometric coherence is the main criterion for the estimation of pixels' phase stability [6,7]. Coherent Pixels (CPs) are those with coherence values higher than a threshold. The quality and density of CPs in the scene are key factors in success of ADInSAR methods.

Introduction of Polarimetric Synthetic Aperture Radar Interferometry (POLInSAR) was first investigated in [8] to demonstrate how the analysis of interferometric scattering matrix data can improve the performance of InSAR. One of the key ideas is that it is possible to obtain interferograms from all possible linear combinations of polarization states. One advantage of using this combination is the possible improvement of the coherence level using the polarimetric information [9]. As noise level is indirectly related to coherence, consequently the signal-to-noise ratio is improved and the decorrelation terms are decreased [1]. Therefore, using polarimetric information an optimization problem is introduced and solved to obtain the optimum scattering mechanism which leads to the highest interferometric coherence. This approach is based on the intrinsic assumption that there is no systematic impact on the polarimetric dependence of interferometric phase due to deformations or other processes, such as vegetation growth. However, this assumption can be violated frequently in the some agricultural fields and leads to uncertainty in the estimated deformation [10,11].

Cloude and Papathanassiou first introduced a polarimetric coherence optimization technique [8]. This method allows different polarization states at the ends of the baseline. A general technique for coherence optimization with equal polarization states was presented by Colin *et al.*, [9]. Given a multi-baseline data set in these methods, coherence can be optimized independently for every baseline. This strategy is called single-baseline coherence optimization that leads to different dominant scattering centres depending on the chosen baseline. A more robust polarimetric optimization approach to find the most coherent and dominant scatterer is simultaneous optimization of multi-baseline coherence. However, both single-baseline and multi-baseline approaches entail coherence improvement due to using polarimetry information. Neumann extended single-baseline coherence optimization to multi-baseline and modified the Colin's method with a multi-baseline strategy [1]. Another multi-baseline coherence optimization technique was proposed by Navarro Sanchez based on using search spaces to increase density of identified CPs and persistent scatterer pixels [2, 3].

Generally, estimation of land deformation using ADInSAR is suggested over areas covered by vegetation due to decorrelation. To the southwest of the Tehran basin (located in northern Iran) there is an area covered primarily by agriculture fields which is subject to high-rate land subsidence. Our previous works in this case study presented enhanced algorithms based on ADInSAR to address phase unwrapping error due to decorrelation [4,5]. A combination of ADInSAR and polarimetry information can improve the identified CP density significantly in vegetated areas. In this letter over the Tehran basin, three different multi-baseline coherence optimization approaches in case of two different multi-look factors were applied. One of those approaches, MCPO, was introduced by this research. Then optimized interferograms were ingested into an ADInSAR technique to select CPs with coherence values higher than a pre-determined threshold. Finally the results were compared in terms of Coherent Pixel (CP) density and phase quality and validated through available levelling measurements.

This paper is organised as follows. Section II presents a brief review of concepts of POLInSAR and also the three multi-baseline polarimetric optimization approaches. In section III, the study area and the available dataset is introduced. Section IV describes the implementation of combining coherence optimization methods with ADInSAR and shows output velocity maps. The polarimetric optimization methods are compared in terms of CP density and then are verified through estimation and analysis of noise phase. Moreover, the results are validated using available levelling measurements. Finally, the main conclusions are discussed in section V.

II. COHERENCE OPTIMIZATION USING POLINSAR

One of the key ideas of POLInSAR is application of vector interferometry instead of scalar that was already proposed in [8]. In the case of dual-polarisation Interferometry, considering there is no data from cross-polar channel, the Pauli form of scattering vector for each pixel can be defined as in [8] as follows

$$\underline{k} = \frac{1}{\sqrt{2}} [S_{HH+VV}, S_{HH-VV}]^T \quad (1)$$

T indicates the matrix transposition operation, and S_{ij} ($i, j = H$ or V) is the complex scattering coefficient for j transmitted and i received polarization in the HV polarization basis. Using the outer product formed from the scattering vectors \underline{k}_1 and \underline{k}_2 for images I1 and I2, it can be defined in a 4×4 matrix $[T_4]$

$$[T_4] = \begin{bmatrix} [T_{11}] & [\Omega_{12}] \\ [\Omega_{12}]^{*T} & [T_{22}] \end{bmatrix} \quad (2)$$

Where $[T_{11}]$, $[T_{22}]$ and $[\Omega_{12}]$ are 2×2 complex matrices given by

$$[T_{11}] = \langle k_1 k_1^{*T} \rangle$$

$$[T_{22}] = \langle k_2 k_2^{*T} \rangle$$

$$[\Omega_{12}] = \langle k_1 k_2^{*T} \rangle \quad (3)$$

The generalized vector expression for the coherence γ is given by

$$\gamma = \frac{|\langle \underline{\omega}_1^{*T} [\Omega_{12}] \underline{\omega}_2 \rangle|}{\sqrt{\langle \underline{\omega}_1^{*T} [T_{11}] \underline{\omega}_1 \rangle \langle \underline{\omega}_2^{*T} [T_{22}] \underline{\omega}_2 \rangle}} \quad (4)$$

Where $\underline{\omega}_1$ and $\underline{\omega}_2$ are normalized complex vectors that can be interpreted as two scattering mechanisms [8]. The scalar complex value for each pixel can be defined as $\mu = \underline{\omega}^{*T} \underline{k}$, that is a linear combination of the elements of \underline{k} .

Given a multi-baseline dataset, in contrast with the independent single-baseline coherence optimization methods, multi-baseline methods simultaneously optimize multi-baseline coherence. Thus the average magnitude of the interferometric coherence, $|\bar{\gamma}| = \frac{1}{n} \sum_{i=1}^n |\gamma_n|$, is maximized. This approach generally entails lower coherence magnitudes, but the corresponding scattering mechanisms and their interferometric phases are estimated on basis of all available data set and more accurately. Therefore, they are expected to deliver more robust estimates of the optimized interferometric phases and dominant scattering mechanisms [1]. In this research, three different multi-baseline coherence optimization methods were used and enforced equal polarimetric signatures of scatterers along all baselines ($\underline{\omega}_1 = \underline{\omega}_2$) called Equal Scattering Mechanism (ESM).

A. Modified Coherence set-based Polarimetry Optimization (MCPO)

Colin *et al.* in 2006 proposed a coherence optimization method which performs the optimization of the coherence using the same complex unitary vector for both antennas. In the interferometric configuration, both antennas as well as the incidence angles are very close, and it is sensible to calculate a coherence for two equal mechanisms. So in practice matrices T_{11} and T_{22} are both coherence matrices of the target seen under very close incidence angles. It is even more suitable in a single-pass acquisition since signals are not affected by temporal decorrelation effects [9]. Using this assumption, the formulation of γ was replaced by the following

$$\tilde{\gamma} = \frac{|\langle \underline{\omega}^{*T} [\Omega_{12}] \underline{\omega} \rangle|}{|\langle \underline{\omega}^{*T} [T_{12}] \underline{\omega} \rangle|} \quad (5)$$

Where matrix T_{12} is defined as $T_{12} = (T_{11} + T_{22})/2$. The set of all complex coherence is called the coherence set and is written $\Gamma(\Omega_{12}, T_{12})$ [9]. It was proved in [12] that this coherence set can be defined as follows

$$\Gamma(\Omega_{12}, T_{12}) = \Omega(T_{12}^{-\frac{1}{2}} \Omega_{12} T_{12}^{-\frac{1}{2}}) \quad (6)$$

$\Omega(A)$ is the field of values of matrix A and the optimization of $\tilde{\gamma}$ is equivalent to finding a maximum of the numerical range of matrix A ($=T_{12}^{-\frac{1}{2}} \Omega_{12} T_{12}^{-\frac{1}{2}}$).

The main process is finding the radius value of matrix A to optimize the coherence. A numerical approach was used to achieve this goal. Initialization is the first step. $\theta = \theta_0 = \arg(A(1,1))$, $\theta_0 \in [0, \pi]$ [9]. Then matrices A_θ and H_θ are calculated as follow

$$\begin{aligned} A_\theta &= e^{i\theta} A \\ H_\theta &= \frac{A_\theta + A_\theta^{*T}}{2} \end{aligned} \quad (7)$$

The next step is calculating the maximum eigenvalue and corresponding eigenvector (x) of H_θ . A new θ is extracted from $\arg(x^{*T}Ax)$. An iterative process continues to achieve θ convergence. The associated optimal vector ω for the coherence is given by $\omega = T^{-\frac{1}{2}}x$.

MCPO is a modification of Colin's method for this multi-baseline approach. Therefore, instead of optimizing each interferogram individually, the mean value of all simplified coherence according to equation (5) is optimized and the optimal $\underline{\omega}$ is found for all interferograms. Therefore, the optimization function is changed to

$$H_\theta = \sum_{k=1}^m \sum_{j=1 \neq k}^m \frac{A_{\theta kj} + A_{\theta kj}^{*T}}{2}$$

$$\text{where } A_{\theta kj} = e^{i\theta_{kj}} T_{kj}^{-\frac{1}{2}} \Omega_{kj} T_{kj}^{-\frac{1}{2}} \quad (8)$$

Where k and j are related to master and slave images, respectively and m is the number of all images.

B. Coherence-set based polarimetry optimization (CPO)

Neumann *et al.*, in 2008 extended Colin's coherence optimization method for multi-baseline case [1]. This method differs slightly from the modified algorithm presented in section A in the optimization function and initialization. This iterative optimization method can obtain the optimal scattering mechanism from the eigenvector associated with the largest eigenvalue of the matrix H_θ in

$$H_\theta w = \lambda w \text{ where}$$

$$H_\theta = \sum_{k=1}^m \sum_{j=1 \neq k}^m A_{kj} e^{-i\theta_{kj}}$$

$$A_{kj} = T^{-\frac{1}{2}} \Omega_{kj} T^{-\frac{1}{2}}, \text{ where}$$

$$T = \frac{1}{m} \sum_{i=1}^m T_{ki} \quad (9)$$

Selecting the initial phase shift θ can be critical with respect to the number of iterations and robustness. At first, initialization is done, $\theta_{kj} = \arg(\text{trace } A_{kj})$. Then in an iterative process, H_θ and eigenvector w corresponding to the highest eigenvalue are computed. The phase shift is improved in each iteration via $\theta_{kj} = \arg(w^{*T} A_{kj} w)$ until the termination criterion is met. The optimal scattering mechanism vector ω is calculated from $\omega = T^{-\frac{1}{2}} w / (w^{*T} T^{-\frac{1}{2}} w)$.

C. Exhaustive Search Polarimetry Optimization (ESPO)

Navarro-Sanchez *et al.*, in 2010 parameterized the scattering mechanism vectors and found the optimum coherence via looking in defined search spaces of each parameter. Parametrization of the projection vector guarantees its unitary nature, $|\underline{\omega}|=1$, and ensures that all possible unambiguous values of $\underline{\omega}$ are taken into account [2]

$$\underline{\omega} = [\cos \alpha, \sin \alpha e^{j\psi}]^T, \begin{cases} 0 \leq \alpha \leq \pi/2 \\ -\pi \leq \psi < \pi \end{cases} \quad (10)$$

This equation is specific for dual polarization images. The parameterization is presented in [8]. With this expression, the problem is reduced to finding two real values, i.e., α and ψ , for optimizing coherence value [2].

III. CASE STUDY AREA AND DATA SETS

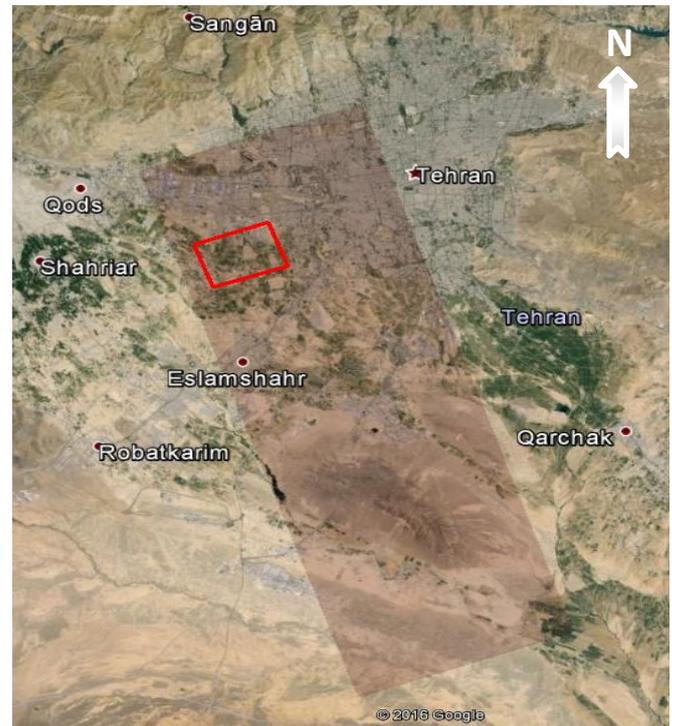




Fig.1. (a) Spatial location of master image (shaded rectangle) and case study area (outlined rectangle). (b) Composite RGB of master image (20130903), Channels: R=HH, G=VV, B: Absolute value of the difference between channels. The channels are individually scaled based on the mean backscattering value. The black rectangle is the rural case study suffering from land subsidence.

The Tehran basin, with an area of 2250 km², is located in the north of Iran. The southwestern portion of the basin is subject to a high-rate land subsidence [4, 5] and is covered by agricultural fields (Fig.1). Therefore, due to temporal decorrelation, the coherence of interferograms and then ADInSAR efficiency decline. In order to improve the ADInSAR procedure through coherence optimization to monitor land subsidence in the Tehran basin, dual polarization TSX images were acquired. A set of 8 dual polarization Stripmap TSX images from July 21 to October 10, 2013 with 11 days interval were obtained to test different combinations of different coherence optimization methods and ADInSAR in the rural case study. Fig. 2 illustrates the spatial and temporal baselines of all slave images with respect to the master one. All images involve HH and VV channels information. Azimuth and slant-range resolution are 6.6 and 1.17 m, respectively.

As can be seen in Fig. 1, the processing is applied over a 2600 × 2000 portion of the Tehran basin containing pixels with the highest rate of deformation and also mostly covered by agriculture fields.

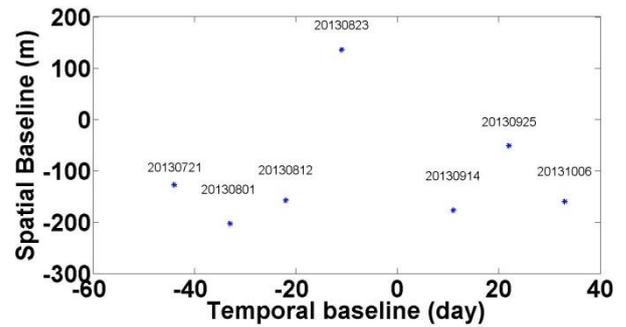


Fig. 2. Temporal and spatial baseline of available dataset.

IV. EXPERIMENTAL RESULTS USING ADINSAR AND DISCUSSION

In this section, the performances of coherence optimization methods are compared in terms of CP density and their results are verified using estimation and analysis of noise phase. Moreover, the estimated deformation rates are validated through available levelling measurements.

In order to estimate coherence, a multi-looking process should be applied based on the Equivalent Number of Looks (ENL) in this letter. A multi-look factor is calculated with a pre-defined ENL value, pixel spacing and resolution in azimuth and slant-range orientations. According to the expression derived in [13], with a predefined ENL there is trade-off between coherence and standard deviation (STD). Windows of 9 × 9 and 15 × 15 which correspond to ENL of approximately 23 and 64 were chosen to assess effects of a multi-look factor in the implemented coherence optimization methods. To keep standard deviations below 0.09, coherence thresholds were chosen as 0.6 and 0.4 for 9 × 9 and 15 × 15 multi-look factors, respectively.

Coherence optimization methods and modifying the interferograms to replace the optimum phase were

implemented in MATLAB. Interferometric pre-processing and ADInSAR procedures were carried out in Doris and the StaMPS software [14-16]. CPs were selected in multi-looked interferograms if at least three interferograms had coherence values above a specified threshold.

Figs. 3 and 4 show deformation velocity maps from the ADInSAR approach for standard single polarimetry information (HH and VV channel) and optimized coherence via vector polarimetry information. It should be mentioned, different scales for CPs in Fig. 3 and Fig. 4 is due to usage of different multi-look factors and given an area of approximately 2.2 m² for each pixel, the area of each multi-look CP should be $\frac{15 \times 15}{9 \times 9}$ times larger in Fig. 4 than in Fig. 3. As the figures show, all three coherence optimization methods were successful in increasing the CP density for both the applied multi-look factor. Number and percentage of selected CPs in the velocity maps are presented in table I and table II, respectively.

Table I. Number of selected CPs of single- and multi-polarization ADInSAR with two different multi-look factor

| multi-look factor | HH | VV | MCPO | CPO | ESPO |
|-------------------|-------|-------|-------|-------|-------|
| 9 × 9 | 14498 | 12968 | 20570 | 18535 | 22251 |
| 15 × 15 | 5922 | 5061 | 8419 | 8062 | 9039 |

Table II. Percentage of selected CPs of single- and multi-polarization ADInSAR with two different multi-look factor

| multi-look factor | HH | VV | MCPO | CPO | ESPO |
|-------------------|-------|-------|-------|-------|-------|
| 9 × 9 | 22.5% | 20.1% | 31.9% | 28.8% | 34.5% |
| 15 × 15 | 25.4% | 21.7% | 36.1% | 34.6% | 38.8% |

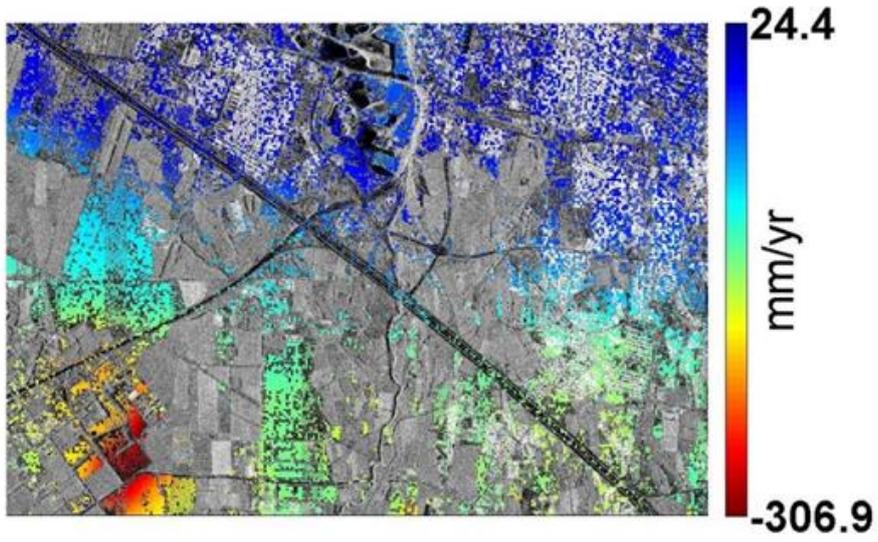
Numbers of existing pixels for 9 × 9 and 15 × 15 multi-look factors are 64447 and 23316, respectively. The tables show that the VV and ESPO selected the lowest and highest number of CPs in the case study area. A combination of ADInSAR with MCPO, CPO and ESPO increased the number of identified CPs with respect to the VV channel by approximately 59%, 43% and 72% for 9 × 9 multi-look and 66.3% , 59.3% and 78.6% for 15 × 15 multi-look, respectively. Generally, a 15 × 15 multi-look factor with a coherence threshold of 0.4 caused a greater coverage of CPs for all three optimization methods comparing against 9 × 9, and a combination of the 15 × 15 multi-look factor with ESPO appears to be the most successful polarimetric ADInSAR method in increasing CP density. Moreover, the MCPO indicates more coverage rather than CPO.

Figs. 5 and 6 illustrate the histograms of $|\overline{\gamma}|$ obtained for single polarimetric channels and the optimized methods. These histograms were calculated using all pixels in the image. It is clear that all three optimized methods increased the coherence level with respect to the HH and VV channel.

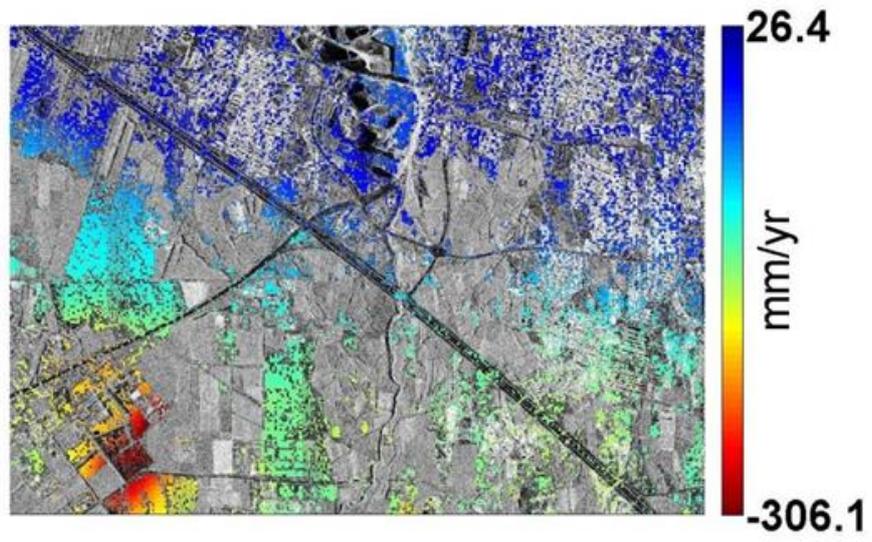
In order to assess the phase quality and noise phase level for the polarimetric ADInSAR methods in comparison with the standard ADInSAR methods, noise phase estimation was applied and analysed. First, for each ADInSAR method, the CPs were connected to form a network using Delaunay triangulation, and double difference phase measurements were constructed as the differenced phases in space and time for each arc connecting two CPs. For estimating the contribution of noise to the double difference phase, a low-pass filter was used. This filter calculates a weighted mean and then a local fitting of a straight line to estimate smooth phase and then the noise phase after subtraction of the smooth contribution. The weight factors ensure that the nearest points carry the most weight, i.e. the line fit is local and a Gaussian was used for the weighting function. Therefore, for each vector of double difference phase, which contains phase values of an arc in all available interferograms, a vector of contribution of noise was estimated. Subsequently, the maximum magnitude of vector of noise phase, denoted max-noise, and the standard deviation of vector of noise phase, named std-noise, were obtained for each arc.

The estimated max-noise and std-noise for mutual formed arcs between the polarimetric ADInSAR and standard ADInSAR were then compared to verify the impact of polarimetric optimization on the noise phase.

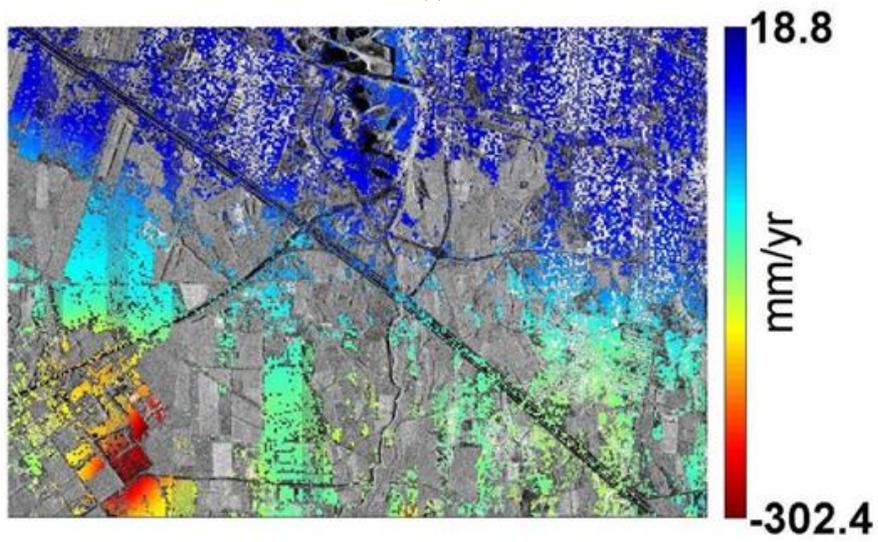
The double difference phase in each interferogram includes the contribution of different phenomena such as deformation, atmospheric error, orbital error and residual DEM error and noise. Atmospheric error, which results from the atmospheric delay at the two acquisition times, is strongly correlated in space, and the double difference phase measurements between nearby CPs do not contain a significant contribution of atmospheric delay [17,18]. In order to achieve more reliable results from noise phase estimation, the contribution of orbital and residual DEM error were estimated and subtracted from phase of each CP before triangulation. The phase error from uncertainty in the DEM is proportional to the perpendicular component of the baseline, and was estimated in a least square scene using StaMPS software as this is the only term that would correlate with baseline [15]. The orbital error due to orbit inaccuracies was estimated through fitting a ramp according to StaMPS software [15]. Therefore, obtained max-noise and std-noise for ADInSAR methods were compared in case of i) residual DEM error reduction, ii) orbital error reduction, iii) residual DEM and orbital error reduction and iv) no reduction from phase of CPs. Histograms of the estimated noise phase demonstrated that the polarimetric ADInSAR decreases the number of arcs with max-noise and std-noise higher than 0.5 (rad) with respect to standard ADInSAR. Therefore, threshold of 0.5



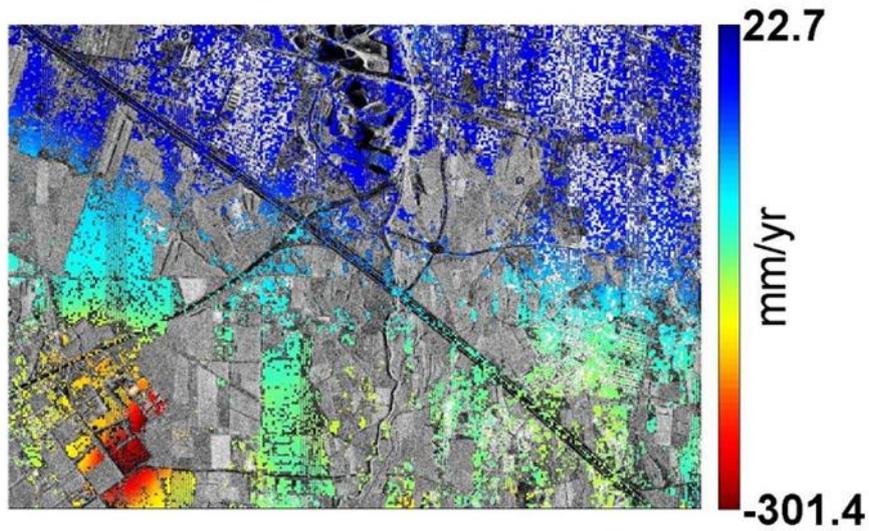
(a)



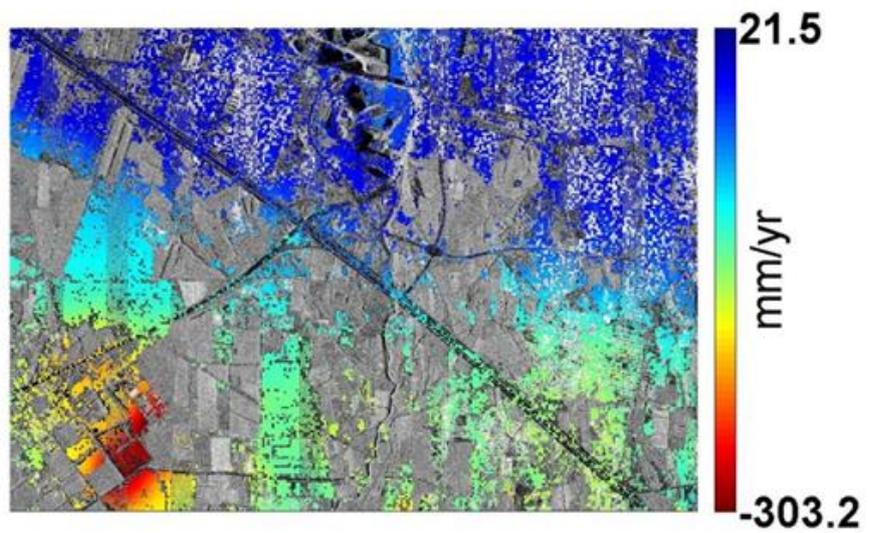
(b)



(c)

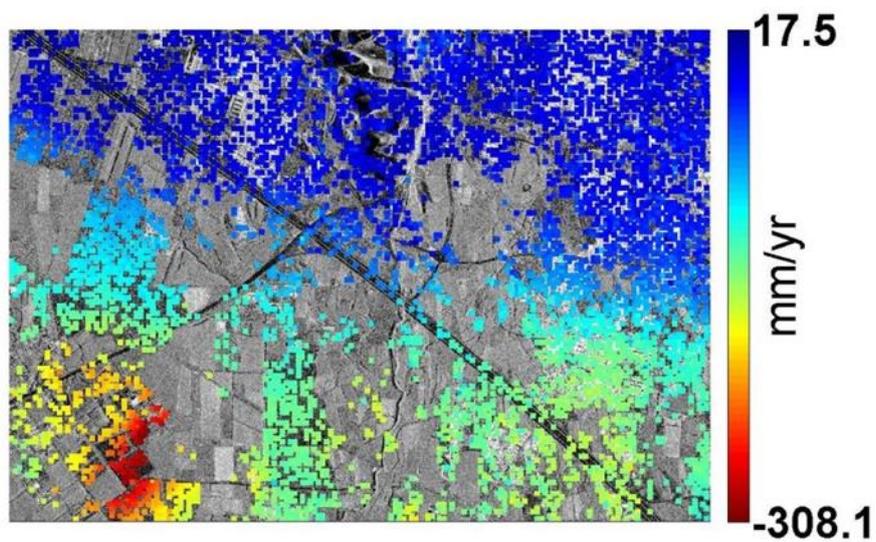


(d)

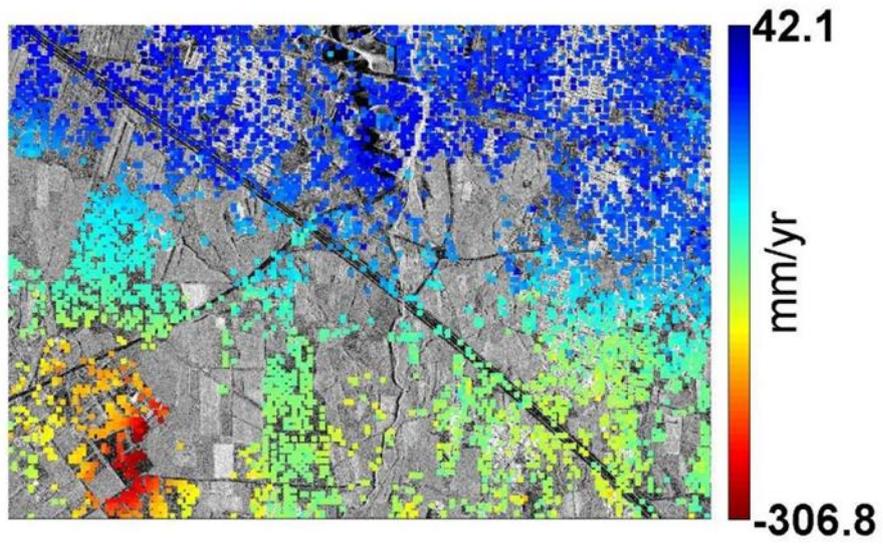


(e)

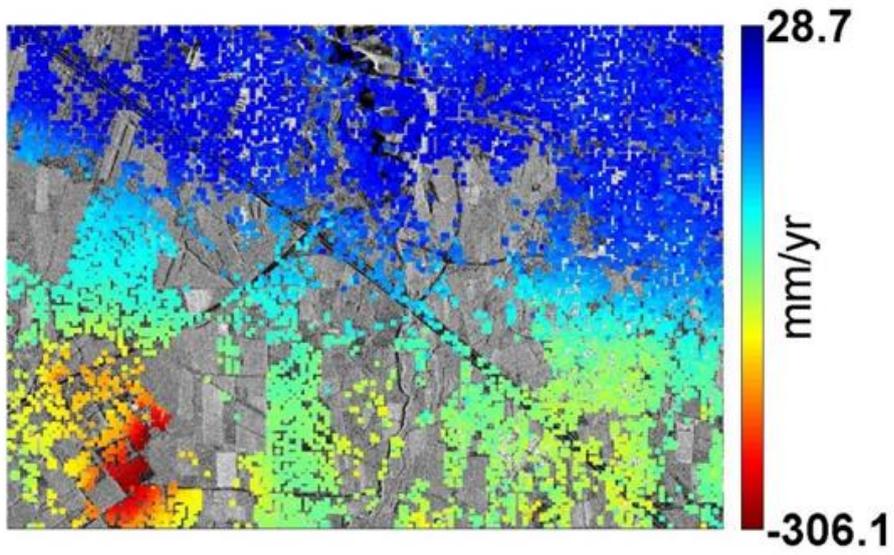
Fig. 3. Velocity map of selected CP pixels with 9×9 multi-look factor for a) HH channel, b) VV channel, c) optimised channel produced by MCPO, d) optimised channel produced by CPO, e) optimised channel produced by ESPO



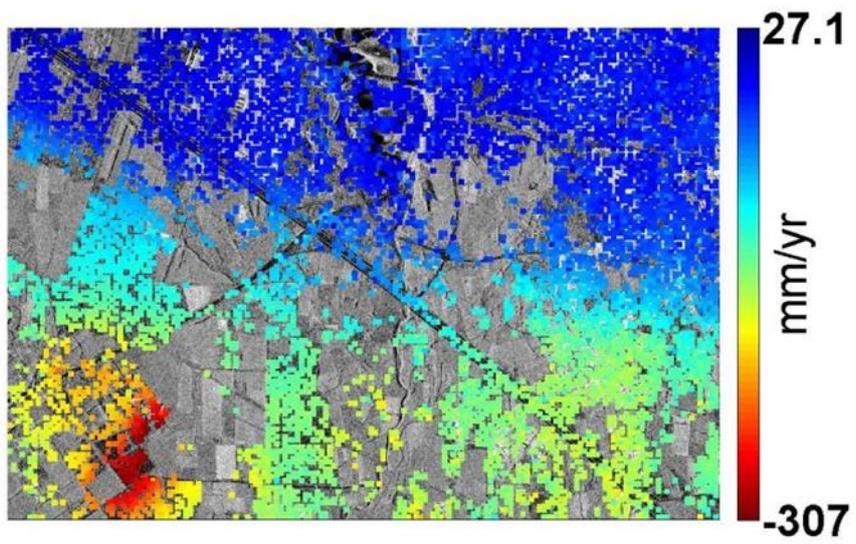
(a)



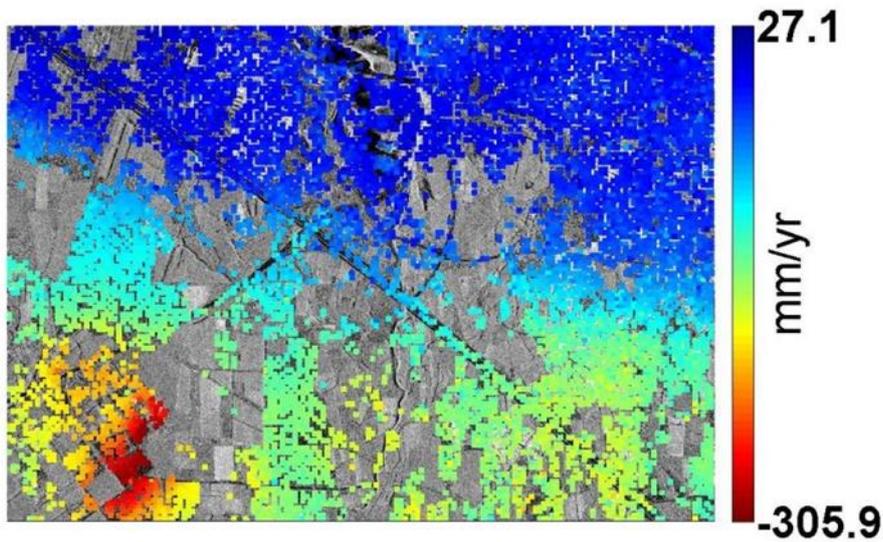
(b)



(c)



(d)



(e)

Fig. 4. Velocity map of selected CP pixels with 15×15 multi-look factor for a) HH channel, b) VV channel, c) optimised channel produced by MCPO, d) optimised channel produced by CPO, e) optimised channel produced by ESPO

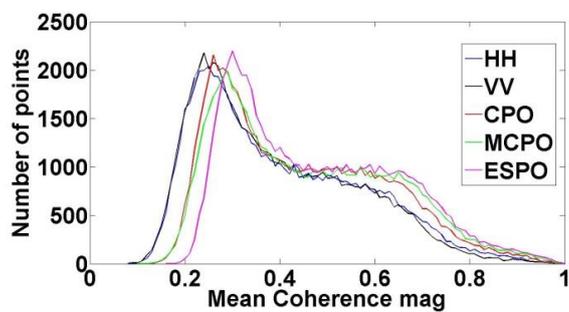


Fig. 5. Histograms of $|\bar{\gamma}|$ for HH, VV, MCPO, CPO, ESPO for 9×9 multi-look factor.

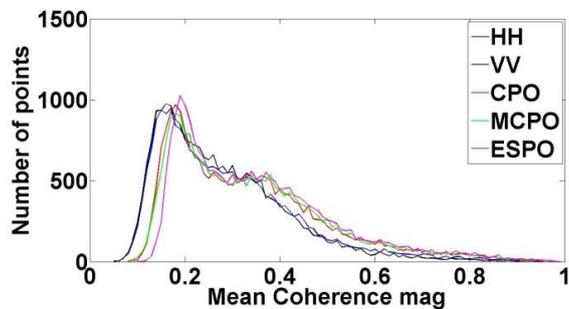
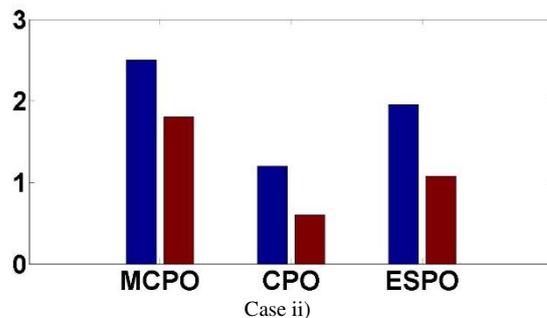
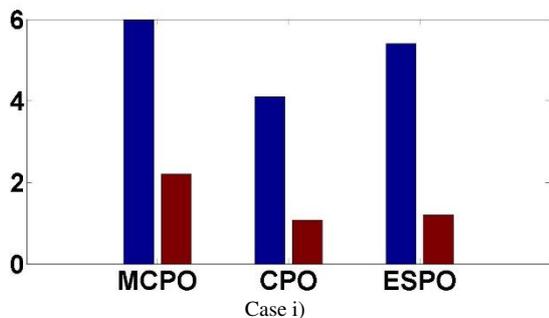


Fig. 6. Histograms of $|\bar{\gamma}|$ for HH, VV, MCPO, CPO, ESPO for 15×15 multi-look factor.



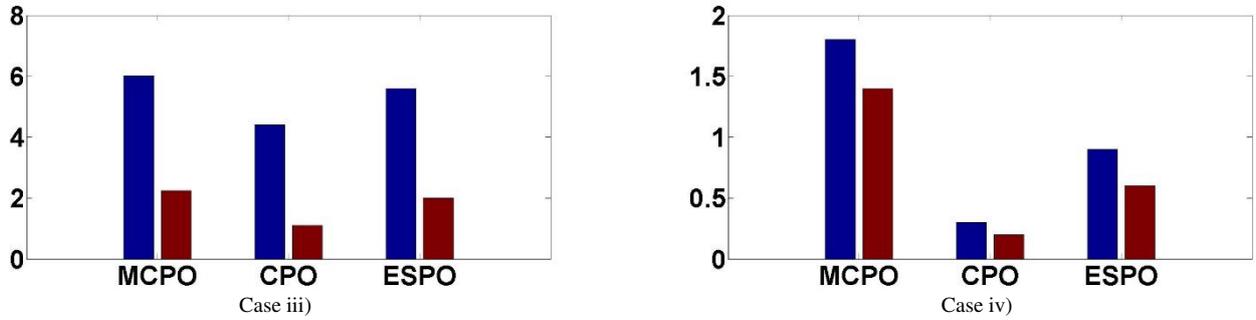


Fig. 7. Percentage of decline in number of arcs with max-noise and std-noise higher than 0.5 (rad) in three optimum channels with respect to HH channel for 9×9 multi-look factor in case of i) residual DEM error reduction, ii) orbital error reduction, iii) residual DEM and orbital error reduction and iv) no reduction from phase of CPs. Blue and red columns show the max-noise and std-noise reduction, respectively.

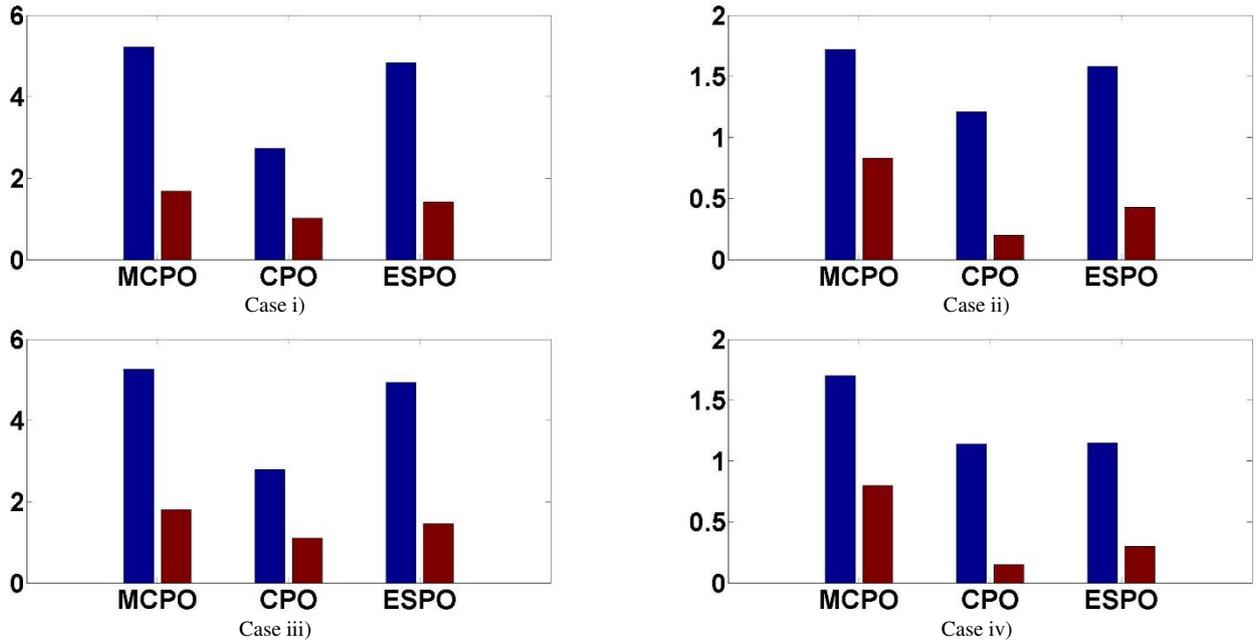


Fig. 8. Percentage of decline in number of arcs with max-noise and std-noise higher than 0.5 (rad) in three optimum channels with respect to VV channel for 9×9 multi-look factor in case of i) residual DEM error reduction, ii) orbital error reduction, iii) residual DEM and orbital error reduction and iv) no reduction from phase of CPs. Blue and red columns show the max-noise and std-noise reduction, respectively.

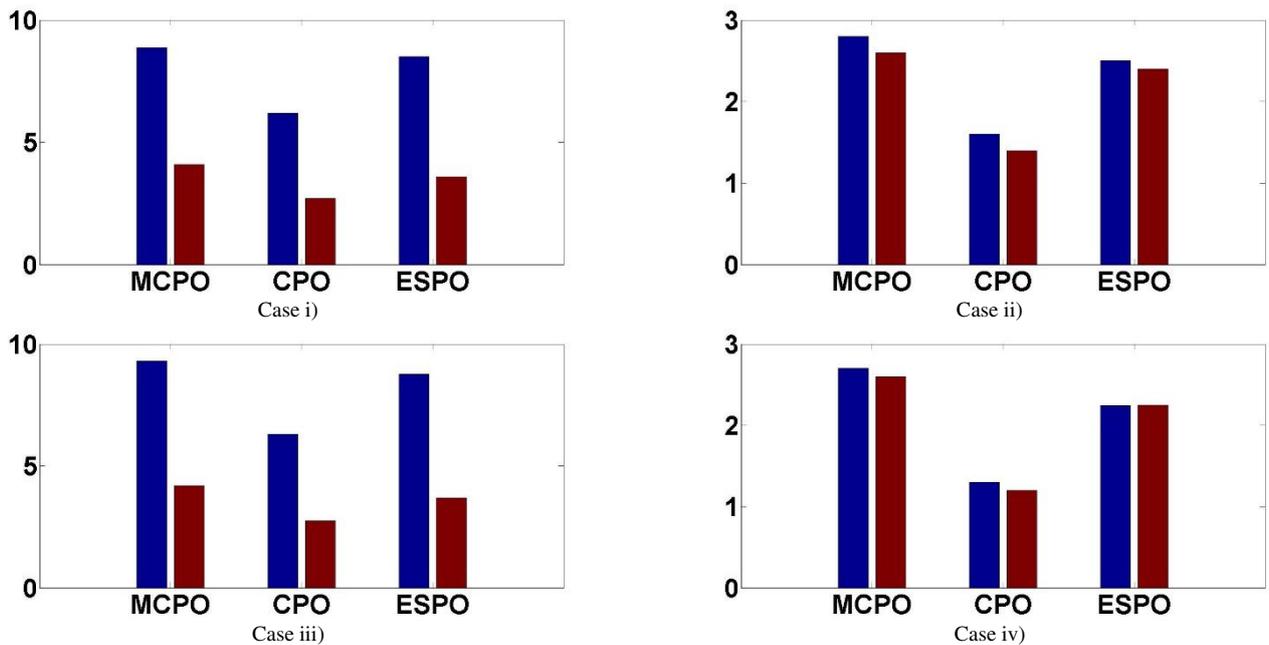


Fig. 9. Percentage of decline in number of arcs with max-noise and std-noise higher than 0.5 (rad) in three optimum channels with respect to HH channel for 15×15 multi-look factor in case of i) residual DEM error reduction, ii) orbital error reduction, iii) residual DEM and orbital error reduction and iv) no reduction from phase of CPs. Blue and red columns show the max-noise and std-noise reduction, respectively.

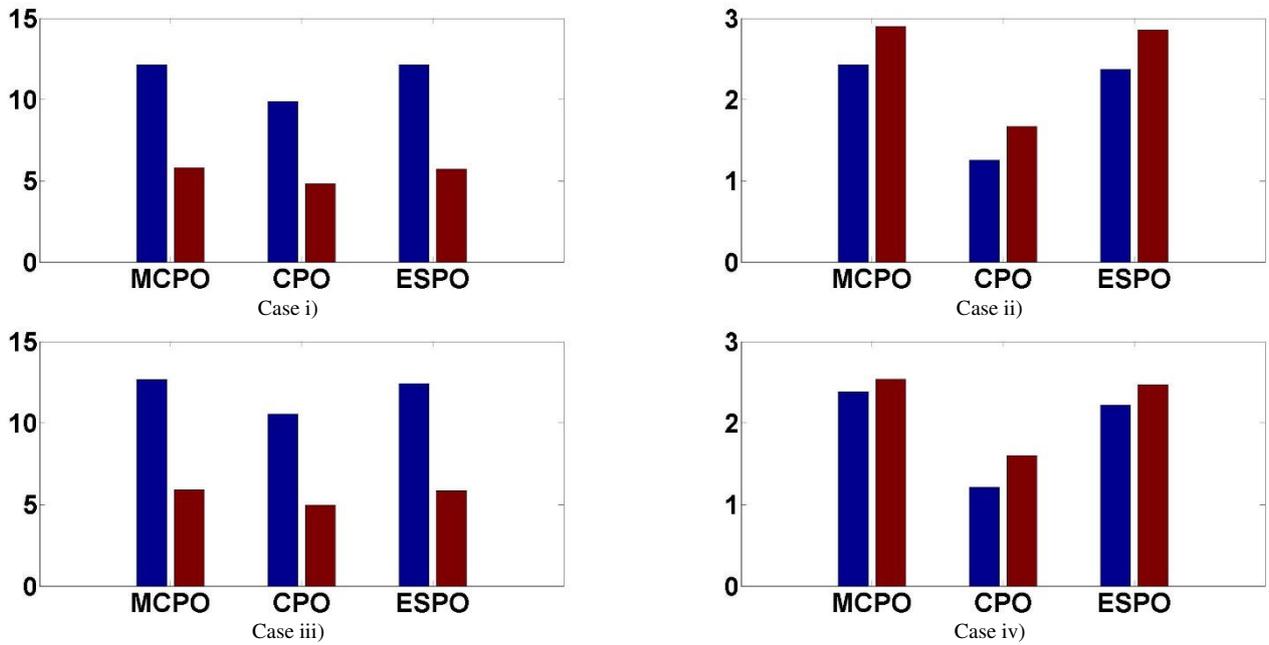


Fig. 10. Percentage of decline in number of arcs with max-noise and std-noise higher than 0.5 (rad) in three optimum channels with respect to VV channel for 15×15 multi-look factor in case of i) residual DEM error reduction, ii) orbital error reduction, iii) residual DEM and orbital error reduction and iv) no reduction from phase of CPs. Blue and red columns show the max-noise and std-noise reduction, respectively.

was selected to compare the noise phase level of the ADInSAR approaches. Figures 7-10 show the percentages of the declines for two multi-look factors of 9×9 and 15×15 and the above four different cases.

As can be seen in the above figures, the proposed multi-baseline coherence optimization method in this paper, MCPO, is more successful than the other methods, especially CPO, to decrease the noise phase. The second performing method in terms of reducing the noise level is ESPO with achieving slightly less reduction than what it is gained through MCPO method. With regards to the different reduction cases before noise phase estimation, decline of the noise level in case i is more significant than case iv for the three optimization methods. This can demonstrate the impact of the polarimetric ADInSAR method on the residual DEM error estimation. However, the impact of orbital error removal before noise phase estimation on decreasing the noise level is negligible mainly due to the high spatial correlation of orbital error and its insignificant contribution along the short arcs. Moreover, as it was expected, the results of polarimetric ADInSAR with 15×15 multi-look factor are more successful than 9×9 multi-look factor in reducing the phase noise due to selection of larger factor for multi-looking.

In order to quantitatively evaluate the results of ADInSAR, the available levelling observations were used. Because the levelling observations were not collected exactly within the temporal interval of the radar data used in this study (21 July to 10 October 2013), the available observed data were used instead. Levelling measurements were obtained with third-order accuracy during two epochs on 23 September 2013 and 23 April 2014 which is not exactly contemporaneous with the radar data set. There are 13 levelling stations in the case study as can be observed in Figure 11. Fig.12 depicts the

comparison between the vertical deformation obtained from the polarimetric and standard ADInSAR and the levelling measurements. The reference point is the number 13 levelling station and its nearest CP for estimated deformation from levelling observations and ADInSAR, respectively. As shown in this figure, the spatial pattern of the subsidence obtained from the ADInSAR methods agree with the levelling measurements along most levelling stations. It should be mentioned that estimated behaviour of subsidence for levelling stations by MCPO and ESPO are highly correlated. Table III displays the RMSE values obtained from all ADInSAR methods and levelling measurements and table IV and V show percentages of RMSE improvement for the optimum channels with respect to HH and VV channel, respectively. As it is obvious, the three coherence optimization methods developed the RMSE values and estimated the deformation rates more accurately. MCPO, which presented in this paper, was the best algorithm in terms of improving the RMSE values and also more accurate deformation estimation. However, difference between MCPO and ESPO in percentage of RMSE decline is not significant.

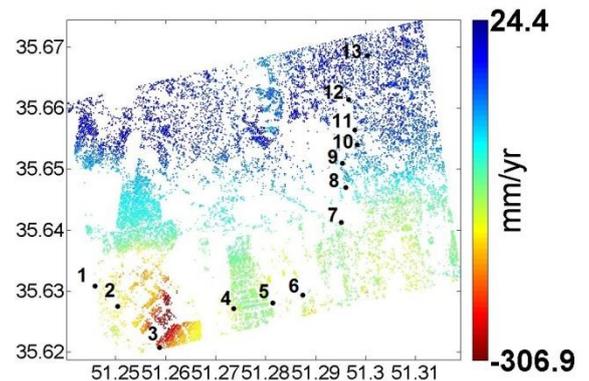


Figure. 11. Spatial location of levelling stations in the case study, the background is deformation map estimated using standard ADInSAR (HH channel) with 9×9 multi-look factor

V. CONCLUSIONS

In this paper, high-rate land subsidence in Tehran basin that suffers from temporal decorrelation was studied through a combination of polarimetric information and ADInSAR. Recently, a new generation of radar satellites with different polarimetric channels have created the potential to optimize the interferometric coherence. Thus, using dual polarization TerraSAR-X dataset, three different coherence optimization techniques with 9×9 and 15×15 multi-look factors were combined with the ADInSAR method.

The resulting velocity map of polarimetric ADInSAR approaches showed all three coherence optimization methods succeeded in increasing the density of selected CPs with respect to single-pol ADInSAR. Results with a 15×15 multi-look factor and coherence threshold of 0.4 illustrated better improvements in CP density when compared with a 9×9 multi-look factor and a coherence threshold of 0.6. Combination of ADInSAR with MCPO, CPO and ESPO increased the number of identified CPs with respect to VV channel with approximately 59%, 43% and 72 % for 9×9 multi-looking and 66.3%, 59.3% and 78.6% for 15×15 multi-looking, respectively. Therefore, the best coherence optimization method in terms of CP density improvement appears to be ESPO that improved CP coverage significantly with respect to HH and VV channel.

In order to check the phase quality for polarimetric ADInSAR results with respect to standard ADInSAR, estimation and analysis of noise phase were applied. This analysis for mutual formed arcs between polarimetric ADInSAR and standard ADInSAR showed that the three optimized ADInSAR methods decreased the noise phase level comparing with standard ADInSAR. The best method in terms of phase quality improvement is MCPO which was presented in this paper. Moreover, the bigger multi-look factor which was 15×15 in this paper entails less noise phase level as it was predicted.

Available levelling measurements in the case study were used to validate the estimated deformation rates through ADInSAR methods. The comparison confirmed that the three polarimetric ADInSAR methods were successful to increase accuracy of deformation estimation with respect to standard ADInSAR. The best method in terms of developing accuracy of deformation estimation is MCPO.

One of the most important findings of this paper was better performance of MCPO in terms of CP density, phase quality and deformation accuracy in comparison with CPO. Moreover, it was concluded that ESPO was much more successful than MCPO in increasing CP density, although superiority of MCPO in terms of phase quality and deformation accuracy over ESPO was not significant.

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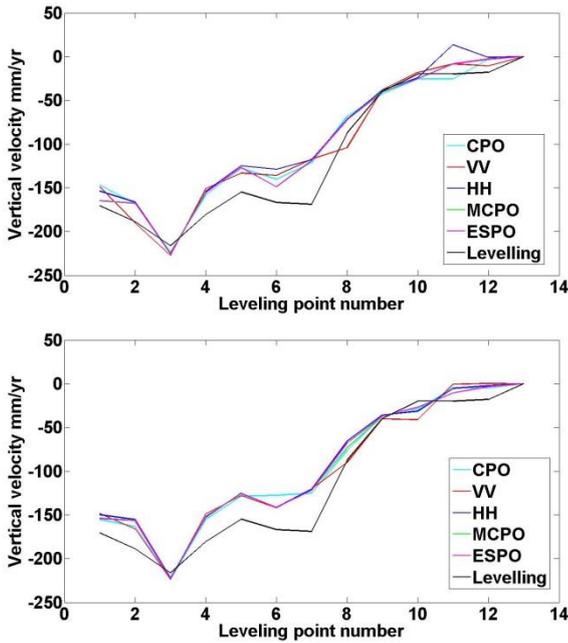


Figure. 12. Comparison of subsidence vertical velocities inferred from the polarimetric and standard ADInSAR and levelling measurements along 13 levelling stations with a) 9×9 multilook window b) 15×15 multilook window.

It should be mentioned that the different time intervals covered by the radar data (21 July to 10 October 2013) and by the levelling measurements (23 September 2013 to 23 April 2014) can lead to different estimated rates. Therefore, overlapping the time of the levelling observations and the radar data acquisitions leads us to more precisely evaluate the results.

Table III. RMSE (mm/yr) values between vertical velocities of ADInSAR methods and levelling measurements with two different multi-look factors

| multi-look factor | HH | VV | MCPO | CPO | ESPO |
|-------------------|-------|-------|-------|-------|-------|
| 9×9 | 16.78 | 18.16 | 14.98 | 15.90 | 15.24 |
| 15×15 | 16.30 | 18.31 | 15.68 | 15.79 | 15.69 |

Table IV. Percentage of RMSE improvement with respect to HH channel with two different multi-look factors

| multi-look factor | MCPO | CPO | ESPO |
|-------------------|-------|-------|-------|
| 9×9 | 10.7% | 5.26% | 9.16% |
| 15×15 | 3.83% | 3.1% | 3.74% |

Table V. Percentage of RMSE improvement with respect to VV channel with two different multi-look factors

| multi-look factor | MCPO | CPO | ESPO |
|-------------------|-------|-------|--------|
| 9×9 | 17.5% | 12.4% | 16% |
| 15×15 | 14.3% | 13.7% | 14.27% |

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Zahra Sadeghi received the B.S. degree in Geomatics engineering from Shahid Rajaei University, Tehran, Iran, in 2008, the M.Sc. degree in Remote Sensing from K. N. Toosi University of Technology, Tehran, Iran, in 2011. She is currently Ph.D. student in Remote Sensing at K. N. Toosi University of Technology and visiting Ph.D. student at University College London (UCL), London, U.K, working on combination of Persistent Scatterer InSAR and polarimetric information to improve the identified PS density and then estimate land deformation in rural areas. She has been focusing on applications of different InSAR techniques and presenting enhanced Persistent Scatterer InSAR methods to monitor land deformation accurately since 2009. Her main research interests are satellite remote sensing, InSAR, geohazard monitoring, polarimetric InSAR, SAR tomography and geodesy.



Mohammad Javad Valadan Zojj received the Ph.D. degree in remote sensing and photogrammetry from the University of Glasgow, Glasgow, U.K. He is currently a Professor with the Faculty of Geodesy and Geomatics, K. N. Toosi University of Technology, Tehran, Iran. His research interests include 3-D spatial information extraction from space images, hyperspectral and multispectral image processing, automatic feature extraction, radargrammetry and interferometry.



Jan-Peter Muller received the B.S. degree (Hons.) in Physics from Sheffield University, U.K., in 1976, the M.Sc. and D.I.C. degrees in Atmospheric Physics and Dynamics from Imperial College London, U.K., in 1977, and the Ph.D. degree in planetary meteorology from University College London (UCL), London, U.K., in 1982. He is currently the Head of the Imaging Group and a Professor of image understanding and remote sensing with UCL, where he has been a member of the faculty since 1984. He is currently a Principal Investigator of the EU-FP7 QA4ECV Project, generating a unique 33-year record of earth's land surface albedo, fAPAR & LAI from European and U.S. sensors, and the Principal Investigator of the EU-FP7 iMars project to generate a 40 year seamless record of changes on the Martian surface. He has been a member of the NASA Multiangle Imaging Spectroradiometer and Moderate Resolution Imaging Spectroradiometer Science Teams since 1990. His current research interests include practical applications of machine vision, especially related to Big Data and the role of deep learning applied to remote sensing of the Earth and planets, with a special focus on operational applications of stereo photogrammetry and other 3-D imaging technologies for applications in climate modeling, weather forecasting, and planetary exploration. He has worked on SAR including interferometric, phase correlation and most recently TOMOSAR since the early 1990s and published extensively in the field.