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Chappell, HF orcid.org/0000-0003-2043-0422, Jugdaohsingh, R and Powell, JJ (2020) Physiological silicon incorporation into bone mineral requires orthosilicic acid metabolism to SiO_4^{4-} . *Journal of the Royal Society Interface*, 17 (167). 20200145. ISSN 1742-5662

<https://doi.org/10.1098/rsif.2020.0145>

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1 **Physiological Silicon Incorporation into Bone Mineral Requires**
2 **Orthosilicic Acid Metabolism to SiO₄⁴⁻**

3
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12
13 **Abstract**

14
15 Under physiological conditions, the predominant form of bioavailable silicon is
16 orthosilicic acid (OSA). In this study, given silicon's recognized positive effect on bone
17 growth and integrity, we examined the chemical form and position of this natural silicon
18 source in the inorganic bone mineral hydroxyapatite (HA). X-ray diffraction of rat tibia
19 bone mineral showed that the mineral phase was similar to that of phase-pure
20 hydroxyapatite. However, theoretical XRD patterns revealed that at the levels found in
21 bone, the 'Si effect' would be virtually undetectable. Thus we used First Principles
22 Density Functional Theory (DFT) calculations to explore the energetic and geometric
23 consequences of substituting OSA into a large HA model. Formation energy analysis
24 revealed that OSA is not favourable as a neutral interstitial substitution but can be
25 incorporated as a silicate ion substituting for a phosphate ion suggesting that
26 incorporation will only occur under specific conditions at the bone-remodelling
27 interface and that dietary forms of Si will be metabolized to simpler chemical forms,
28 specifically SiO₄⁴⁻. Furthermore, we show that this substitution, at the low silicate
29 concentrations found in the biological environment, is likely to be a driver of calcium
30 phosphate crystallization from an amorphous to a fully mineralized state.

31
32 **Keywords**

33 Silicon; bone structure; mineralisation drivers; orthosilicic acid
34
35
36

37 **Introduction**

38 Dietary silicon (Si) intake, estimated at 20-50 mg ingested/person/day in the western
39 world^{1,2}, largely comes in the form of digestible phytolytic silica from plants (primarily
40 cereals) and as orthosilicic acid (OSA), a weak acid with a pKa of 9.8³, in fluids such as
41 drinking water. Various studies have shown that it is the soluble OSA component, either
42 from direct ingestion or following digestion in the gut, that is readily absorbed, rapidly
43 increasing serum Si levels^{4,5}.

44

45 The impact of this dietary Si appears to be a positive effect on connective tissue growth
46 and maintenance. The earliest studies of Carlisle and Schwarz showed dramatic
47 detrimental changes in the structure of bone and collagenous tissues, such as skull
48 deformities in rats and absent wattles and combs in chicks, when dietary Si deprivation
49 was induced^{6,7}. Subsequent work, which has frequently been hampered by the difficulty
50 in achieving truly Si-free diets, has shown smaller, but significant changes in bone
51 structure such as a reduction in both the phosphate content of bone and the tibia growth
52 plate thickness in Si-deprived animals⁸. Whilst the precise role of Si in bone tissue has
53 yet to be determined, there are a number of important observations that have been
54 made. For example, a relatively recent study showed that bone retains its silicon content
55 even with imposed dietary deprivation⁸; rats, stressed in this way, shut down Si
56 excretion in urine and appear to conserve their already acquired Si for connective tissue
57 function⁸, whilst still displaying a relatively normal phenotype. Recently identified
58 mammalian Si transporters may enable this Si conservation^{9,10}. Furthermore, in contrast
59 to bone *collagen* Si levels, which have been shown to be constant regardless of *total*
60 bone Si-levels¹¹, Jugdaohsingh *et al* revealed that bone *mineral* can vary quite markedly,
61 by about an order of magnitude, in its Si content¹¹. The implications of this are not yet
62 clear although it is understood that (a) men, post-menopausal women taking Hormone
63 Replacement Therapy (HRT) and pre-menopausal women, show a positive relationship
64 between dietary Si intakes and bone mineral density^{12,13} and (b) when growing rats
65 were supplemented with a highly bioavailable form of dietary Si (namely
66 monomethylsilanetriol, MMST) their increase in Si status, adjudged from fasting serum
67 Si levels, positively correlated with their increase in bone mineral density (BMD)¹⁴.

68

69 It is unsurprising therefore that Si is now an integral part of regenerative bone
70 materials. The very first Si-containing bioactive material was Hench's resorbable
71 Bioglass, namely 45S5^{15,16,17}, although it was the Ca:P ratio that was considered
72 important in driving bone apposition and bonding, rather than the inclusion of the silica

73 matrix. Subsequently, however, as the potential of silicon as a biologically advantageous
74 agent was explored, Si-substituted calcium phosphate cements and coatings were
75 specifically developed. Indeed, Si substitution has been used very effectively in
76 commercially available calcium phosphate bone-graft materials for some years^{18,19,20,21}.
77 It has been shown to increase the rate of bone ingrowth²², and promote the remodelling
78 of bone at the material-bone interface²³. Additionally, Si-substituted hydroxyapatite
79 (HA) supports and improves the attachment and proliferation of mesenchymal stem
80 cells and induces osteogenesis to a greater extent than the phase-pure material^{24,25}. It is
81 also worth noting that computational studies have played a particularly significant role
82 in examining the effects and consequences of a number of ion substitutions, including
83 those involving Si, on potential bone-graft materials, both in bulk and surface aqueous
84 environments^{26,27,28,29,30}. An especially useful review is provided by de Leeuw³¹.
85
86 However, it has been suggested, through a combination of experimental analysis and
87 thermodynamic modelling that the most likely chemical form of Si in mineral-based
88 biomaterials is SiO_4 and not the neutral OSA molecule that is found in the diet^{23,32,33,34}.
89 Analysis by Fourier Transform Infrared Spectroscopy (FTIR), in particular, has revealed
90 a decrease in both the hydroxyl and phosphate stretching bands, which has been
91 interpreted as the substitution of phosphate tetrahedra by silicate tetrahedra and the
92 concomitant loss of hydroxyl ions^{25,35}, a mechanism first proposed by Gibson et al³⁶.
93 Thermodynamically, this mechanism has also been shown to be valid by first principles
94 simulations^{32,33}. However, due to the combined effects of low Si substitution levels in
95 bone mineral and the insensitivity of ²⁹Si Nuclear Magnetic Resonance (NMR), it has
96 been impossible to unanimously resolve the chemical speciation of physiological silicon;
97 indeed this is difficult in synthetic minerals despite their much higher Si-substitution
98 levels^{35, 37, 38}, and it is rarely demonstrated. The nature of the silicon anion in calcium
99 phosphates was recently addressed by Duncan et al who performed solid state ²⁹Si NMR
100 on silicon-substituted tricalcium phosphate. Interestingly, this study revealed silicon Q⁰
101 (SiO_4^{4-}) and Q¹/Q² species within the crystal structure. These polymerized, disilicate ions
102 (Q¹/Q²), suggest larger silicate species within the structure, which perhaps indicate an
103 alternative charge compensation mechanism that doesn't involve the loss of hydroxyl
104 ions, but rather, loss of oxygen³⁹. Other silicate anions, in particular SiO_3OH have been
105 detected in several other silicate minerals including afwillite and a number of uranyl
106 silicates⁴⁰. In afwillite, the decrease in symmetry of the silicate tetrahedron caused by
107 the single protonation is reflected in changing Raman stretching and bending vibrations.

108 It is notable that these bands fall in the same range as those frequently observed in Si-
109 substituted HA^{39,40}.

110

111 By contrast, in numerous studies on the effects of Si in animal models, OSA and its pro-
112 forms are the most frequently employed dietary Si sources, due to OSA's bioavailability
113 and ready absorption^{4,8,41}. OSA is a weak acid (pKa 9.8) and it has long been assumed by
114 biologists and physiologists that it remains fully protonated and charge-neutral
115 throughout its physiological pathways. Indeed, in silica-mineralizing species such as the
116 choanoflagellates, silicic acid is known to be the predominant precursor to silica
117 extrusion⁴². Notwithstanding, a recent study on silicic acid transporters in eukaryotic
118 algae suggests that physiological deprotonation *can* occur, and that OSA is transported
119 across the cell membrane through the single ionization of OSA to Si(OH)₃O⁻, mediated
120 through a close interaction with a sodium ion in the transporter binding pocket⁴³.
121 Nevertheless, currently, there is no known driving force for consecutive deprotonation
122 in mammals that would resolve the chemical inconsistency between synthetic
123 biomaterials, where synthesis conditions drive deprotonation of silicate, and
124 mammalian physiology, where physiological conditions alone would suggest OSA
125 remains fully protonated. Therefore we now ask the question, can OSA, as a neutral
126 molecule, find its way into bone mineral in a thermodynamically favourable manner
127 without the need of a *physiological* deprotonation mechanism? Additionally, we explore
128 the energetic cost of including increasing concentrations of silicon in the structure of
129 precursor calcium phosphate clusters to determine if these particles, whatever their
130 formation mechanism, would be thermodynamically stable. It is also worth noting that
131 there are other organic molecules, such as citrate, that have already been shown to be
132 integral to the mineral phase of bone, suggesting that size considerations alone are not
133 enough to eliminate the potential for OSA inclusion⁴⁴.

134

135 **Materials & Methods**

136

137 ***Computational Methods***

138 *Crystalline HA Model*

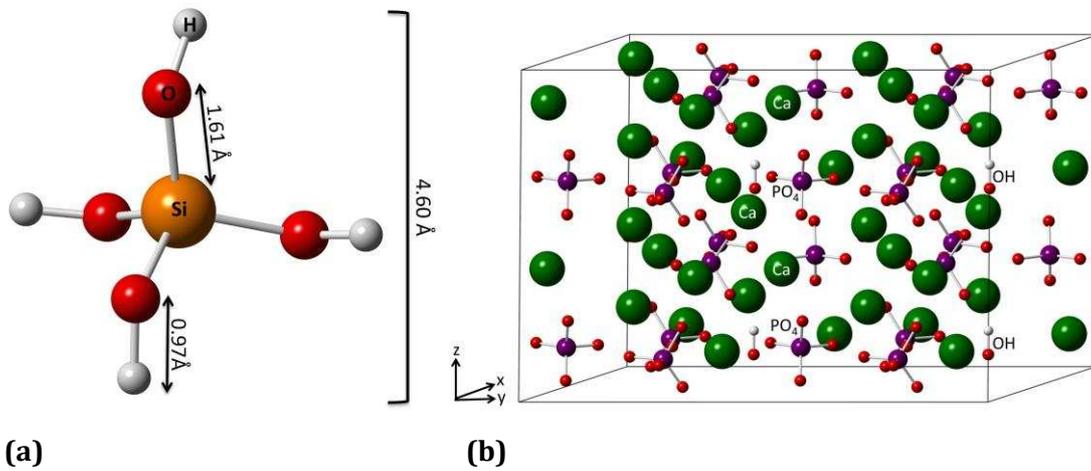
139 A model of HA (previously described³⁰) was employed as a proxy for the bone mineral
140 structure. The exact composition of bone mineral is still widely debated, but analyses of
141 bone tissue consistently show that HA-like mineral can be readily identified as the major
142 mineral component⁴⁵. It should be noted, however, that phase-pure HA represents a
143 simplification of natural bone mineral, which is subject to ion substitutions, principally

144 carbonate and non-Ca cations⁴⁶. As bone mineral is a well-established sink for cations,
145 the composition of biological HA varies quite significantly between people of different
146 ages, sexes, occupation and location⁴⁷. For this reason, we have chosen the least
147 complicated approximation to bone mineral, namely phase-pure HA, which has
148 previously been successfully employed for comparison with, and elucidation of,
149 experimental data^{33, 48}. Briefly, the basic HA structure consists of a hexagonal unit cell
150 composed of 44 atoms and space group $P6_3/m$. To prevent interactions of periodic
151 images through the periodic boundary conditions, substitutions were made into a 4-unit
152 cell model (1x2x2), composed of 176 atoms. Hydroxyl ions were ordered along the c -
153 axis in OH-OH chains, which has been shown to be the most energetically favourable
154 arrangement^{49, 50}. Within our model, which has a number of c -axis channels, it would
155 have been possible to create alternate domains of all up-facing and all downward-facing
156 hydroxyl ions, this arrangement having been shown to have a slight energetic
157 advantage⁵⁰. However, these alternating domains, which have been used to explain the
158 observed disorder in the OH positions, have also been shown, experimentally⁵¹, to form
159 a monoclinic, rather than hexagonal, crystal. Therefore, in this work we decided to leave
160 the hydroxyl ions in alternate channels orientated in the same direction but allowed
161 them complete freedom to move. Unconstraining the hydroxyl ions in this way also
162 accounts for the previously observed disorder, due to the rotation of the ions³³.

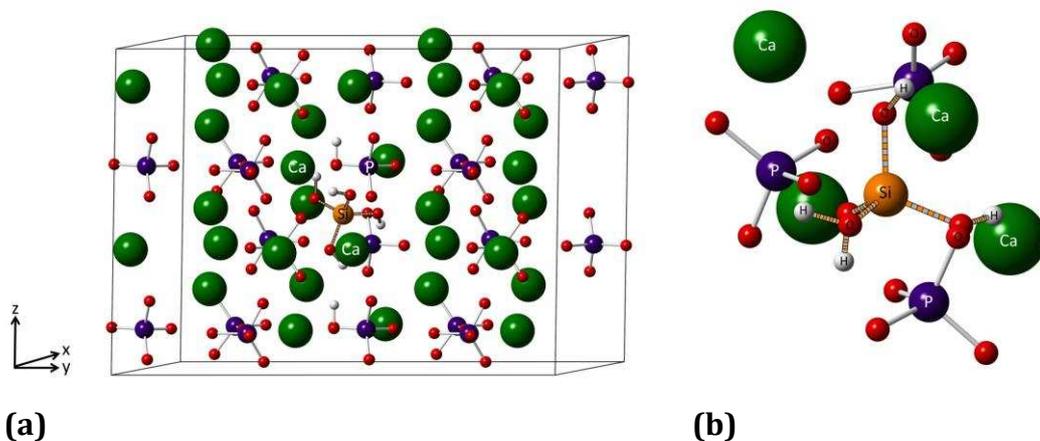
163 Geometry optimization of the phase-pure 4-unit cell HA model, followed by OSA
164 and Si substitutions, were carried out using the plane-wave Density Functional Theory
165 (DFT) code, CASTEP⁵². As it is well established that biological apatite has more disorder,
166 or amorphous characteristics than synthesized phase-pure mineral, the symmetry of the
167 cell was transformed to $P1$, allowing all lattice parameters and atomic positions
168 complete freedom in all planes. Convergence testing assigned a kinetic energy cut-off of
169 430 eV for all calculations. Sampling of the Brillouin zone was carried out with a $4 \times 3 \times 3$
170 k -point grid⁵³. Ultrasoft pseudopotentials (BIOVIA library) were employed⁵⁴ along with
171 the generalized gradient approximation (GGA) and PBE exchange-correlation
172 functional⁵⁵. Convergence tolerances for energy change, maximum displacement,
173 maximum force and maximum stress were set at 1×10^{-5} eV atom⁻¹, 0.001 Å, 0.03 eV Å⁻¹
174 and 0.05 GPa respectively.

175 The OSA molecule (Figure 1a) was positioned within the HA model (Figure 1b)
176 either as a neutral interstitial molecule or as a substitution for a phosphate ion. The
177 interstitial molecule was initially positioned to maximize the distance from all other ions
178 (Figure 2). It should be noted, however, that other positions within the model could,
179 potentially, have been used as a starting configuration and the optimized geometry is

180 therefore only one of a range of possibilities. However, as all phosphate ion positions are
181 equivalent and there are only two different crystallographic calcium sites⁵⁶, the
182 environment for any interstitial position would be similar.
183



184
185 **Figure 1. (a)** Optimized structure of OSA. **(b)** Optimized HA model, showing the three principle
186 axes. The figure shows silicon in orange (a), oxygen in red, hydrogen in white, calcium in green
187 and phosphorus in purple.
188



189
190 **Figure 2. (a)** Interstitial OSA shown in its starting position within the HA model. **(b)** A close-up
191 view of the interstitial OSA molecule in its starting position. Silicon is shown in orange, oxygen in
192 red, hydrogen in white, calcium in green and phosphorus in purple. Bonds within the OSA
193 molecule are shown banded in grey and orange.
194
195 In addition to a neutral OSA interstitial substitution, alternate substitutions were made
196 with chemically modified forms of OSA. Firstly, a PO₄ ion was replaced with a SiO₄ ion
197 and concomitant OH ion removal. This substitution is familiar within the context of

198 biomaterials production, and has been shown to occur at time of synthesis or during
 199 sintering³³. Additionally, OSA was substituted in a singly protonated form, namely
 200 SiO₃OH, for a PO₄ ion. This latter substitution, which has been observed as an anion in
 201 other silicate minerals⁴⁰, allows for the substitution without the need for other charge
 202 compensatory ion removal (i.e. no OH ions need be removed). A previous computational
 203 study that looked at the two PO₄ substitutions in a smaller model structure, but not the
 204 neutral OSA substitution, showed that the SiO₄ ion has much greater affinity for the
 205 excess hydrogen than the PO₄ ions³² so here we do not again explore this alternative
 206 charge compensation possibility (i.e. PO₄H / SiO₄). Although the PO₄ substitutions have
 207 been previously calculated in smaller models, it is important for accurate comparison
 208 with the new substitution, that they are re-visited here under identical conditions.

209

210 To discriminate between the various substitutions, formation energies, which enable
 211 comparison between each substitution site configuration, were calculated. Equations
 212 (1), (2) and (3) show how the formation energies were calculated for (1) an interstitial
 213 OSA substitution, (2) SiO₃OH for PO₄ and (3) SiO₄ for PO₄ with concomitant OH removal:

214

215

$$216 \quad E_f = E_{HA_Int} (E_{HA} + E_{OSA}) \quad (1)$$

217

$$218 \quad E_f = E_{HA_SiO4H} (E_{HA} + E_{SiO4H} - E_{PO4}) \quad (2)$$

219

$$220 \quad E_f = E_{HA_Si_OH} (E_{HA_OH} + \mu_{Si} - \mu_P) \quad (3)$$

221

222

223 where E_{HA_Int} is the energy of the HA model substituted with an interstitial OSA molecule,
 224 E_{HA} is the energy of the phase-pure HA model, E_{OSA} is the energy of the OSA molecule,
 225 E_{HA_SiO4H} is the energy of the HA model with an SiO₃OH substitution replacing PO₄, E_{SiO4H}
 226 is the energy of an SiO₃OH molecule, E_{PO4} is the energy of a PO₄ ion, $E_{HA_Si_OH}$ is the energy
 227 of the HA model in which SiO₄ has replaced PO₄ and an OH ion has been removed, E_{HA_OH}
 228 is the energy of the HA model with OH removed, μ_{Si} is the chemical potential of silicon
 229 and μ_P is the chemical potential of phosphorus. Chemical potentials for the single
 230 element substitutions (namely Si and P, and H for the amorphous model described
 231 below) were calculated, using DFT, from the lowest energy sources, as previously
 232 described⁵⁷. An extensive range of sources and sinks were examined, including two

233 polymorphs of elemental phosphorus, phosphoric oxide, quartz and cristobalite⁵⁷. Final
234 values, calculated at the same cut-off energy as OSA, were obtained from elemental
235 silicon and monoclinic phosphorus. For the amorphous model, described below, the H
236 chemical potential was determined from an isolated H₂ molecule in vacuum.

237

238 *Amorphous HA Model*

239 To examine the stability of potential particle compositions, an amorphous model was
240 created. This model, based on Posner's cluster, was treated as an isolated molecule in a
241 large simulation box of 20 Å x 20 Å x 20 Å. The box size was determined by energy
242 convergence testing to ensure periodic images did not interact. The box dimensions
243 were constrained throughout the geometry optimization while the atomic positions had
244 freedom in all planes. To ensure comparability, optimization was carried out under the
245 same conditions as the crystalline model, using the CASTEP⁵² DFT code and a kinetic
246 energy cut-off of 430 eV. Convergence tolerances for energy change, maximum
247 displacement and maximum force were also set at 1×10⁻⁵ eV atom⁻¹, 0.001 Å and 0.03 eV
248 Å⁻¹ respectively. Substitution formation energies were calculated in the same way as
249 those in the crystalline model,

250

$$251 \quad E_f = E_{AmSub_x} - (E_{Am} - x\mu_P + x\mu_{Si} + x\mu_H) \quad (4)$$

252

253 where E_{AmSub} is the energy of the Si-substituted amorphous model, E_{Am} is the energy of
254 the phase-pure amorphous model, μ_P is the chemical potential of phosphorus, μ_{Si} is the
255 chemical potential of silicon and μ_H is the chemical potential of hydrogen and x is the
256 number of Si substitutions.

257

258 It should be noted that in order to establish substitutional stability, we have undertaken
259 thermodynamic geometry optimization for these calculations and not dynamical
260 simulations. Whilst dynamic simulations that include explicit water within the system
261 would be able to elucidate particle nucleation mechanisms, the advantage of the
262 thermodynamic simulations is their ability to directly compare the potential energetic
263 stability of various compositions, regardless of formation kinetics. As such we are able
264 to determine which silicate species exists in this matrix based upon first principle
265 approaches, allowing for later work that may then determine its incorporation
266 mechanism.

267

268 *X-ray Diffraction*

269 We present new data on bone samples reserved from previous experimental studies in
270 rats^{8,58}. Powder X-ray diffraction was undertaken on tibia samples from female Sprague
271 Dawley rats exposed to two different diets for 26 weeks, which resulted in bones with
272 either a low (0.97 $\mu\text{g Si/g bone mineral}$)⁵⁸ or a high (12.98 $\mu\text{g Si/g bone mineral}$)⁸ Si
273 content. The low Si content bone came from a rat fed on a standard rodent chow diet
274 and the high Si content bone came from a rat fed on a standard rodent diet composed of
275 wheat, barley and soya meal, wheat-feed, fish meal, fats and oils, minerals, trace
276 elements and molasses⁵⁸. Full details of the study design and ethical approval, which
277 was obtained from the UK Home Office (Animals Scientific Procedures Act 1986;
278 Scientific Procedures on Living Animals), are given in references^{8,58}. The organic
279 component of the bone was removed as previously described⁵⁹ and confirmed through
280 FTIR analysis. Full details of the XRD methods and sample preparation are provided in
281 the supplementary information of reference¹⁴. Elemental analysis of the bone samples
282 (Figure 2, Supplementary Information¹¹) indicated that the only major difference
283 between the two, in terms of the major and minor elements, was Si. This suggests that Si
284 incorporation in bone is independent of any other trace element level, making co-
285 substitution unlikely as a significant mechanism.

286

287 Theoretical XRD patterns were calculated using CrystalDiffract[®]⁶⁰.

288

289 All data generated or analysed during this study are included in this published article
290 (and its Supplementary Information file).

291

292 **Results & Discussion**

293

294 *X-ray Diffraction*

295

296 Table 1 shows the lattice parameters derived from the mineral component of two rat
297 tibia samples: one with a high Si content (12.98 $\mu\text{g Si/g bone mineral}$) and the other
298 with a low Si content (0.97 $\mu\text{g Si/g bone mineral}$). The XRD patterns are available in
299 Supplementary Fig. S1 and Supplementary Fig. S2 online. Si exposure for these animals
300 came from within their diets and, as noted above, the absorbed Si is considered to be
301 OSA^{4,5}.

302

303

304

305

Si content ($\mu\text{g Si/g}$ bone mineral)	R_{wp} (%)	a (\AA)	error (\AA)	c (\AA)	error (\AA)	Volume (\AA^3)	error (\AA^3)
0.97	6.9	9.429	0.0003	6.882	0.0002	529.845	0.048
12.98	6.2	9.424	0.0002	6.882	0.0002	529.286	0.042

306 **Table 1.** Measured lattice parameters derived from XRD spectra of tibia samples from female
307 rats that have either a low or high Si content in the bone mineral (0.97 versus 12.98 $\mu\text{g/g}$).
308

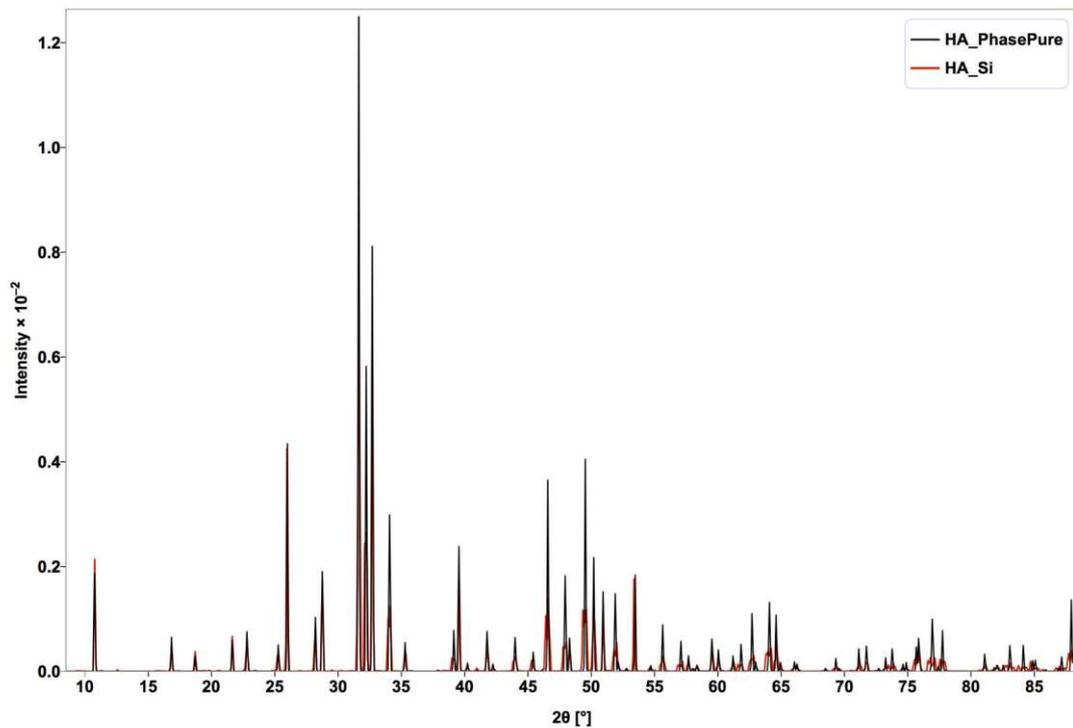
309 Clearly (Table 1), Si incorporation into the bone mineral does not affect any large
310 changes in the crystal structure at these levels. In fact, the lattice parameters are very
311 similar (less than 1 % difference) to those of the phase-pure HA, which we have
312 obtained theoretically from a DFT optimized HA unit cell (Table 2).
313

a (\AA)	b (\AA)	c (\AA)	Volume (\AA^3)
9.477	9.477	6.851	532.873

314 **Table 2.** Calculated phase-pure HA lattice parameters, calculated using a DFT optimized HA unit
315 cell. While bone and HA differ in detailed composition, bone XRD patterns match HA very closely
316 and it is a good model for comparison.
317

318 Potentially, these results suggest that either the OSA is incorporated into the bone
319 mineral structure but, overall, has little impact on the crystallographic lattice, or,
320 alternatively, that OSA was incorporated without disruption but in a metabolized form.
321 This explanation can only be substantiated, however, if (1) the effects of the extremely
322 low levels of Si in the bone mineral are actually detectable within the limits of XRD and
323 (2) the different forms of Si show distinguishable features within those XRD patterns. To
324 assess this theoretical XRD patterns were calculated for phase-pure HA and silicon
325 substituted HA models. Using the theoretical spectra alone enables us to isolate the
326 effect of Si on the mineral phase spectrum without interference from other potential
327 differences between biological samples. The two theoretical models that were created
328 and geometry optimized consisted of: 1) a phase-pure HA model composed of 4 unit
329 cells (176 atoms) and 2) a Si-substituted version of the same HA model. This latter
330 model, with one SiO_4 replacing one PO_4 and the concomitant removal of an OH ion, is a
331 familiar substitution within the biomaterials field³⁰. In this case, Si accounts for 0.7 wt %
332 of the structure, a figure that is both achievable and often found in the production of Si-

333 substituted calcium phosphate materials but many orders of magnitudes higher than
334 occurs in vivo^{19,22,23}. However, in the simulated XRD pattern (Figure 3) Si substitution at
335 this level produces only minor distinguishable features compared to that of phase-pure
336 HA, suggesting that substitution at lower levels would be indistinguishable from phase-
337 pure HA.
338



339 **Figure 3.** Simulated XRD pattern for phase-pure HA (black) and silicon substituted (0.7 wt %) HA
340 (red).

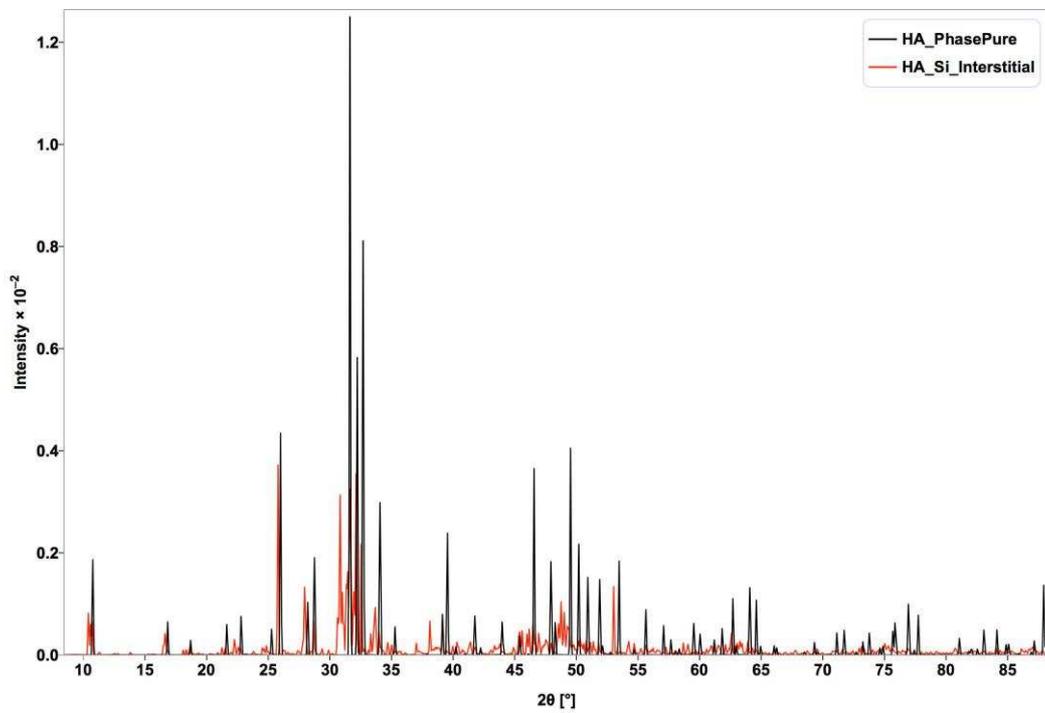
341

342 Turning to OSA, rather than SiO₄, Figure 4a shows the simulated XRD pattern for the
343 same HA model but with a single interstitial OSA molecule substitution; this pattern has
344 some clearly distinguishable features compared to both the phase-pure and SiO₄
345 substituted HA patterns of Figure 3.

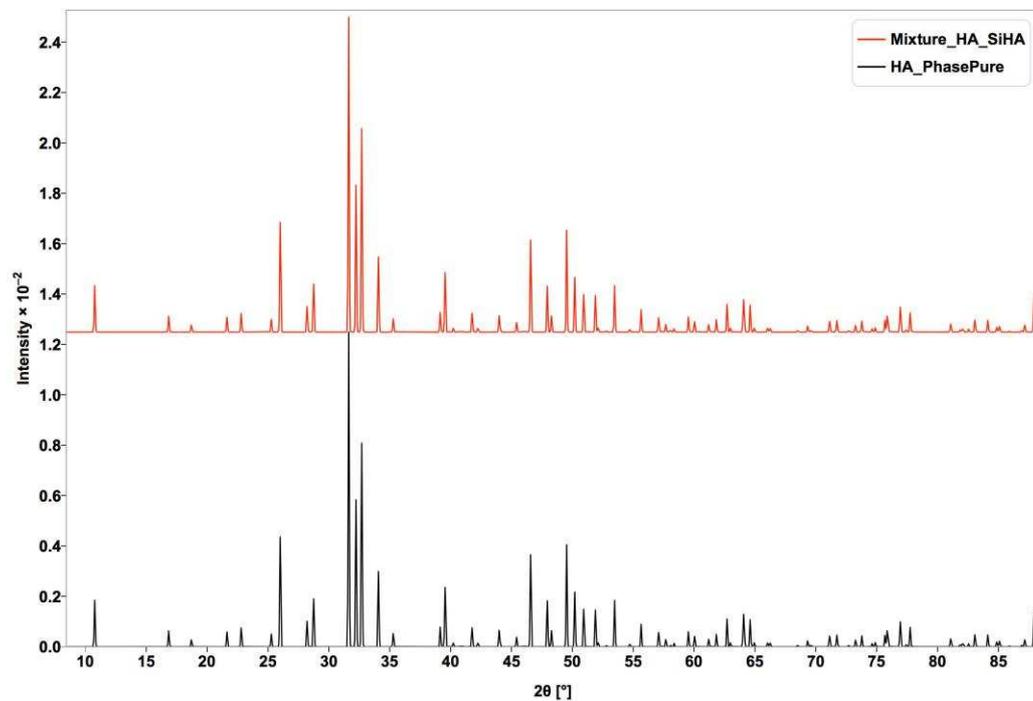
346

347 However, again, at this substitution level there is nearly 500 times as much Si per gram
348 of mineral as in the high Si bone mineral sample of Table 1. Figure 4b therefore shows a
349 mixed XRD pattern that blends, in the correct proportions for that high-Si sample, the
350 phase-pure HA with the OSA interstitial pattern of Figure 4a. It is clear from this figure
351 that at the level of substitution in our experimental samples, XRD produces a pattern
352 that is indistinguishable from that of phase-pure HA. Consequently, we do not expect to

353 be able to either (a) detect Si within our experimental XRD or (b) distinguish one Si
354 substitution site from another.
355



(a)



(b)

356

357 **Figure 4.** (a) Simulated XRD pattern for interstitial OSA in HA. (b) Stacked simulated mixed XRD
358 pattern composed of 99.95% phase-pure HA and 0.05% interstitial OSA in HA (red, top) and, for

359 comparison, the phase-pure HA XRD pattern (black, lower). In terms of Si composition this is
360 equivalent to the 'high' Si level (12.98 $\mu\text{g/g}$ bone mineral) bone sample in Table 1.

361

362 Experimental methods, in particular NMR, have been used by others to try to address
363 the speciation of Si in materials, particularly in the field of calcium phosphate
364 biomaterials^{37, 38}. However, J-coupling in solid state NMR is extremely difficult to achieve
365 in any but the most crystalline of samples, and our own experiments (data not
366 presented) showed no difference between bone samples of differing Si content, using ³¹P
367 NMR. Previously, Duncan et al, using ²⁹Si MAS NMR, established that there is an
368 increasing disorder in the mineral phase of synthetic calcium phosphates with
369 increasing Si-substitution levels and that, potentially, a range of (as yet unresolved)
370 silicate species may exist in these materials³⁷. ³¹P NMR has, in addition, indicated OH ion
371 interactions with the silicate species in similar synthetic samples³⁸. However, the minute
372 levels of Si in natural bone samples and the relative lack of crystallinity in the mineral
373 phase, have so far precluded any analytical insights into the immediate Si environment
374 in biological samples. Therefore, to overcome these limitations with both the XRD and
375 NMR experimental data, we employed first principles modelling to investigate the
376 thermodynamic favourability of different OSA substitution sites.

377

378 *Formation Energy Analysis*

379

380 Formation energies, which determine the thermodynamic stability of a particular
381 crystallographic configuration, are presented in Table 3 for the three Si substitutions (as
382 outlined in Materials & Methods) in the crystalline model.

383

Row	Substitution	Formation Energy, E_f (eV)
a	OSA interstitial	+6.591
b	SiO_4 for PO_4 with OH ion removal	-1.945
c	SiO_3OH for PO_4	+1.415

384 **Table 3.** Calculated formation energies of Si substitutions into the HA model.

385

386 It is clear that substituting neutral OSA into the HA unit cell as an interstitial
387 substitution is highly unfavourable. The positive formation energy is large and suggests
388 that chemical modification would almost certainly be required for Si to enter the
389 mineral phase. However, still unfavourable but to a lesser extent, is substitution with the
390 modified form of OSA, SiO_3OH . As reported previously¹⁸, the straight substitution of SiO_4

391 (effectively a metabolized form of OSA) into a PO₄ site with concomitant hydroxyl
 392 removal, is a favourable substitution with a negative formation energy. A previous
 393 theoretical study that considered both the PO₄ substitutions (in a smaller model) but not
 394 the neutral OSA substitution, agrees with the results here, with the most favourable
 395 substitution being that which requires OH loss for charge compensation³². Additionally,
 396 the authors showed, as reported here, that with the SiO₃OH substitution, the hydrogen
 397 had a high affinity for Si; even when starting with a protonated form of PO₄ the
 398 hydrogen always transferred, under optimization, to the silicate ion³².

	Phase-pure HA	OSA Interstitial	SiO₃OH	SiO₄, OH removal
<i>a</i> (Å)	9.479	9.514 (+0.37%)	9.491 (0.12%)	9.470 (-0.09%)
<i>b</i> (Å)	18.958	19.340 (+1.97%)	18.995 (+0.19%)	18.942 (-0.09%)
<i>c</i> (Å)	13.697	13.803 (+0.77%)	13.715 (+0.13%)	13.719 (+0.16%)
Volume (Å³)	2131.560	2229.373 (+4.39%)	2139.170 (+0.36%)	2133.529 (+0.09%)

410 **Table 4.** Calculated lattice parameters for OSA substitutions in phase-pure HA. The
 411 value in brackets shows the difference from the optimized HA values shown in the first
 412 column.

413
 414 Substitution of OSA in the interstitial position causes structural disruption to the HA
 415 model, with an increase in cell volume of 4.39 %. Whilst the changes for the SiO₃OH
 416 substitution are small, they are still larger than the changes affected by the SiO₄
 417 substitution. In this latter case the volume change is less than 0.1 %. Given that our
 418 analytical measurements (Table 1) on SiHA depart from the phase-pure HA in lattice
 419 parameters by less than 1 %, the incorporation of SiO₄ as a substitution for PO₄ is
 420 entirely plausible. Taken together with the formation energy analysis above it is entirely
 421 reasonable to conclude that the SiO₄/-OH substitution is the most likely substitution in
 422 biological tissue, as often assumed of synthetic biomaterials, and that the substitution of
 423 neutral OSA can be effectively ruled out. Indeed, this convergence of opinion from both
 424 analytical methodology in prior work, and first principles theory in this study, makes for
 425 a compelling case. It should be noted however that while the SiO₃OH anion, which is
 426 found in other silicate minerals⁴⁰, is unlikely to occur, or, to occur in much smaller

427 amounts, due to the positive formation energy, the calculations here show that it can't
428 be absolutely ruled out on the lattice parameters alone.

429

430 However, the mechanism by which this favourable SiO_4 substitution is achieved when
431 dietary Si is delivered (absorbed) in the form of OSA, remains unclear, but is likely to be
432 linked with the bone remodelling process, where new mineral is laid down. Certainly, a
433 small number of studies have corroborated the original Carlisle findings that Si is
434 located within the poorly mineralized, non-calcified regions, whilst the concentrations
435 detected in fully mineralized tissue are extremely low^{11,61,62,63}. These results suggest that
436 there is a role for Si during the early stages of bone formation. Recently, Jugdaohsingh *et*
437 *al*⁵⁸ also reported the marked correlation between bone collagen turnover and fasting
438 serum Si levels (a proxy for Si status) in a mammalian model, again linking Si-bone
439 interactions to the remodelling process.

440

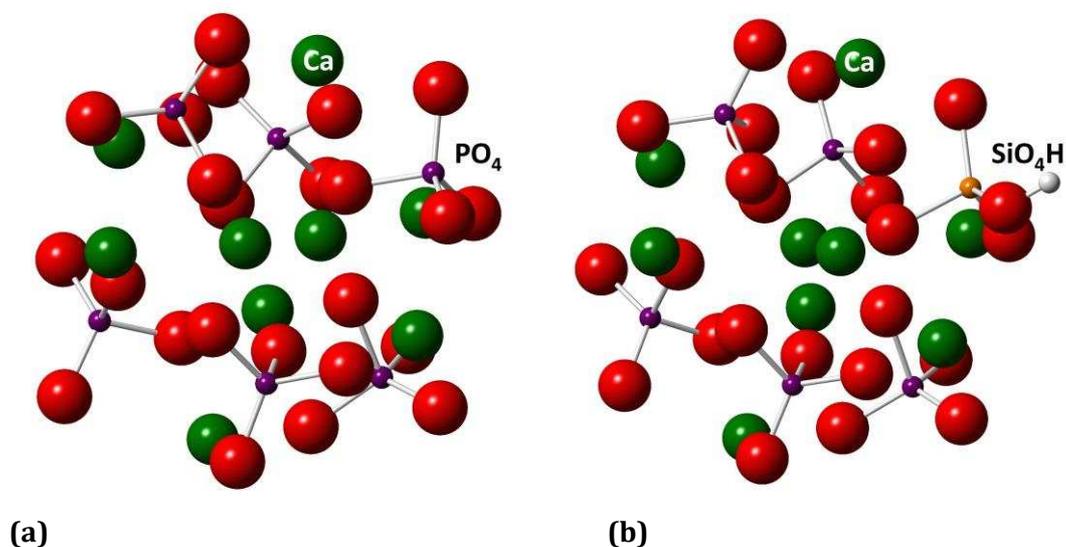
441 *Role of Silicon*

442

443 We have shown that SiO_4 substitution for PO_4 is the only favourable substitution studied
444 here (Table 4). As such, the potential role of SiO_4 in bone mineralization was further
445 explored.

446 As noted above, Si has been detected, in very low concentrations, within the
447 immature bone areas undergoing active calcification^{61,62,63}. To investigate this, an
448 amorphous model based around the bone mineral precursor known as Posner's
449 Cluster⁵⁶, was created. The purpose of these models was to assess the stability of
450 different compositions that may or may not form at the interfacial layer of
451 crystallization. To do this, a silicon-free cluster was created and substitution by silicon
452 was carried out to assess the thermodynamic stability of these compositional changes.
453 This gives a quantitative measure of the most likely compositions to form, but it does
454 not provide a mechanism for their nucleation from solution. This method has previously
455 been used successfully to understand the thermodynamics of growing silica particles in
456 the exoskeleton formation of choanoflagellates⁴². This model cluster consists of six PO_4
457 ions, as in fully crystalline HA, but accommodates only 9 calcium ions and no OH ions.
458 Consequently, the SiO_4 / $-\text{OH}$ substitution is unavailable within the cluster model.
459 Therefore, silicon substitution, in the form of SiO_3OH (for charge compensation the
460 silicate ion was singly protonated), was carried out to compare the thermodynamic
461 favourability of silicon substitution in amorphous and crystalline calcium phosphate.

462 Figure 5 shows the phase-pure amorphous structure and the optimized, singly silicate-
 463 substituted structure.
 464



465
 466 **Figure 5. (a)** An optimized amorphous Posner's Cluster. **(b)** Optimized SiO₃OH substituted
 467 Posner's Cluster. Silicon is shown in orange, oxygen in red, phosphorus in purple, calcium in
 468 green and hydrogen in white.
 469
 470 As in the crystalline structure³², it is the silicate ion that retains the hydrogen on
 471 optimization. The formation energies of successive silicate substitutions, taking the
 472 composition across the entire solid state series from Ca₉(PO₄)₅(SiO₃OH) to Ca₉(SiO₃OH)₆,
 473 are presented in Table 5, along with the formation energy of the silicate substitution in
 474 phase-pure HA.
 475

Row	Substituted Model	Formation Energy (eV)
HA_SiO ₄	Ca ₁₀ (PO ₄) ₅ SiO ₄ OH	-1.945
1	Ca ₉ (PO ₄) ₅ (SiO ₃ OH)	-0.750
2	Ca ₉ (PO ₄) ₄ (SiO ₃ OH) ₂	-1.520
3	Ca ₉ (PO ₄) ₃ (SiO ₃ OH) ₃	-1.705
4	Ca ₉ (PO ₄) ₂ (SiO ₃ OH) ₄	-3.835
5	Ca ₉ PO ₄ (SiO ₃ OH) ₅	-2.881
6	Ca ₉ (SiO ₃ OH) ₆	-4.140

476 **Table 5.** Calculated formation energies of increasing silicate substitution number into the
 477 amorphous calcium phosphate model. The HA_SiO₄ substitution is the substitution of SiO₄ into

478 phase-pure HA with concomitant OH loss. Rows **1-6** show increasing silicate substitution into the
479 amorphous model.

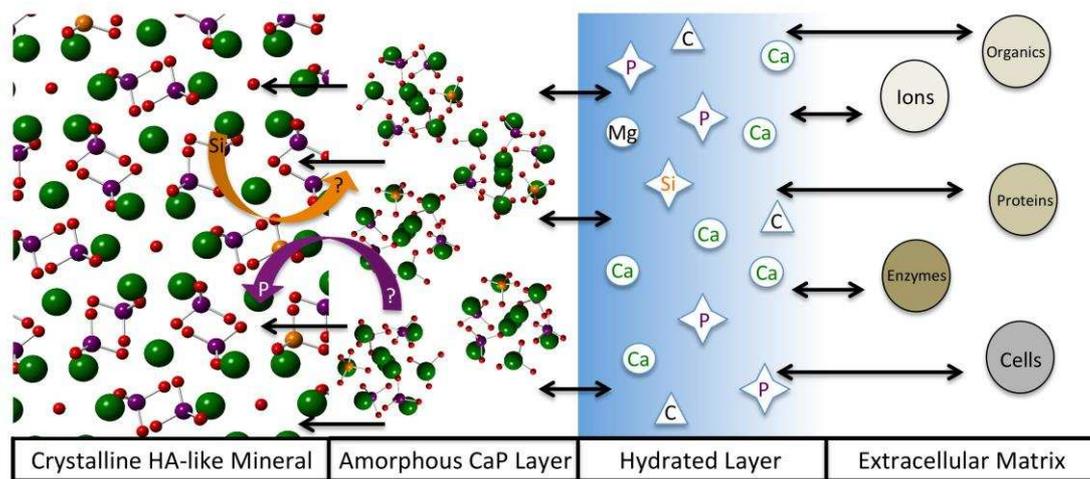
480

481 These results show that silicate substitution in the amorphous calcium phosphate
482 structure is a favourable substitution and one without apparent limit. That is, replacing
483 successive phosphate ions with silicate ions produces increasingly stable compositions
484 with the series end member, $\text{Ca}_9(\text{SiO}_3\text{OH})_6$, being the most favourable and
485 thermodynamically stable of them all. However, in mammalian samples Si is only
486 detected at very low levels, even at the growth front of bones. Consequently, although
487 the higher Si compositions are, in principle, favourable, there is no evidence that they
488 would ever exist biologically.

489

490 In the current model, it is interesting to observe that up to $\text{Ca}_9(\text{PO}_4)_3(\text{SiO}_3\text{OH})_3$, where
491 there are equal amounts of silicate and phosphate, substitution into the fully crystalline
492 HA model has the lower formation energy and is consequently *more* favourable than
493 silicate being incorporated into an amorphous calcium phosphate phase. This
494 thermodynamic cap may go some way to limiting the silicate levels in the amorphous
495 material. Equally, it is therefore plausible that low silicate levels in amorphous young
496 bone mineral or within the aqueous layer at the remodelling interface, may actually act
497 as a driver of HA crystallization to satisfy the system's tendency to occupy its
498 thermodynamically lowest energy state (i.e. crystalline HA). By this hypothesis,
499 therefore, delivery of Si to this interface through Si-laden biomaterials may alone
500 account for the increased rate of bone deposition, without there being any particular
501 structural role at all for Si in the tissue. However, as already outlined above, Si-levels in
502 the fully crystalline bone tissue are considerably lower than at the bone-remodelling
503 front⁶¹, so while the presence of silicate may drive the system towards crystallization,
504 silicate does not appear to be incorporated into the biomineral to any large extent. This
505 suggests that another mechanism, which promotes phosphate substitution for SiO_4 , may
506 exist as the maturation of the crystal progresses and may be linked to multiple
507 components of the interfacial layer, such as small organic molecules or ions, many of
508 which currently remain unknown or unexamined (Figure 6). Equally, migration of Si
509 with the bone-remodelling front may concentrate Si in the interfacial layer and thus
510 account for the observed low levels in the mature crystal; if this were the case it may
511 suggest that in addition to driving crystallisation from the amorphous to the crystalline
512 state, Si plays a role in signalling that has yet to be identified.

513



514

515 **Figure 6.** A simplified illustration of the mechanism of biomineralization. It is still unclear why so
 516 little Si is incorporated into mature bone mineral, when it appears to have a critical role in its
 517 formation. In the ball and stick illustrations, silicon is shown in orange, calcium in green, oxygen
 518 in red, phosphorus in purple and hydrogen in white.

519

520 **Conclusions**

521

522 It can be reasonably concluded from the above results that OSA would not be able to
 523 concentrate in the inorganic mineral phase of bone to any large extent in either its
 524 chemically intact or simple charge-modified forms. Specifically, further chemical
 525 modification, to SiO_4^{4-} , is required in order to maintain both energetic and geometric
 526 integrity and alleviate the large stresses and strains placed upon the crystal structure by
 527 intact molecular substitutions. Although it is possible that OSA exists in the aqueous
 528 interfacial layer during bone remodelling, our data show that the OSA in Si-containing
 529 mineral has almost certainly undergone metabolism. Previous studies have shown that
 530 in the early phases of bone remodelling, the pH of the extracellular fluid is more acidic
 531 than normal and then, as mineral is deposited, becomes more alkaline⁶⁴. Indeed, it has
 532 been shown that the response of the osteoblasts is very sensitive to pH⁶⁵, but it should
 533 be remembered that the actual pH range across the whole remodelling process is 7.0-
 534 7.6^{64,65}. Therefore, while in general the silicate may be expected to be less protonated in
 535 the more alkaline mineral deposition phase of remodelling, pH alone cannot account for
 536 a fully deprotonated silicate ion as this would require a significantly more basic
 537 environment. While it is difficult to precisely determine the isoelectric point for OSA due
 538 to the large difference between the pKa and pKb, it is usually quoted as being around
 539 3⁶⁶. The material point being that at any pH from ~ 3.5 to ~8.5, OSA will exist in its
 540 neutral form of $\text{Si}(\text{OH})_4$.

541

542 Furthermore, the substitution of silicate into an amorphous model indicates that, in
543 vacuum at least, and at low levels, silicate may be driving crystallization in order to
544 move the system into its lowest energy – crystalline - state. This may partly explain the
545 role of Si in bone mineralization and the reason why relatively high concentrations of Si
546 have been detected at the bone growth front rather than in fully mineralized tissue. It
547 may also clarify the advantageous use of Si in biomaterials, where the material
548 essentially acts as a Si-delivery system to developing tissue. However, with adult BMD
549 corresponding to elderly osteoporosis risk and the positive association between higher
550 intakes of Si and increased BMD^{11,12,67}, further research exploring the precise role of Si in
551 bone tissue and the mechanism by which consecutive deprotonation of OSA occurs, is
552 clearly of great importance. Our data clearly explain the paradox that, on the one hand
553 Si(OH)₄ appears to be a beneficial nutrient to bone but on the other hand it is very
554 unreactive. The answer is that it must be metabolized to SiO₄⁴⁻.

555

556 **Author Contributions**

557

558 All experimental work on the rat bone samples was undertaken by R. J and all
559 computational modelling was undertaken by H. C, with discussions between the authors
560 as to objectives and interpretation of results. The manuscript was written by H. C with
561 contributions and editing from R. J and J. P. All authors reviewed the final manuscript.

562

563 **Acknowledgements**

564

565 The modelling work was performed using the Darwin Supercomputer of the University
566 of Cambridge High Performance Computing Service (<http://www.hpc.cam.ac.uk/>),
567 provided by Dell Inc. using Strategic Research Infrastructure Funding from the Higher
568 Education Funding Council for England and funding from the Science and Technology
569 Facilities Council. Thanks to Dr Andrew Brown & Dr Tim Comyn, University of Leeds, for
570 conducting the XRD studies on our rat tibia bone samples and to Professor Stephen
571 Kinrade (Lakehead University, Canada), Dr David Shepherd (De Monteforte University)
572 and Professor Sharon Ashbrook (St Andrews University) for academic discussions.

573

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575

576

577 **Data Accessibility**

578

579 All raw data, both X-ray diffraction and modelling structure files, are included within the
580 supplementary material.

581

582 **Funding**

583 HC would like to thank the UK Medical Research Council (Grant number U105960399)
584 for their support and award of a Career Development Fellowship at MRC-HNR. RJ and
585 JJP would like to also acknowledge the MRC for support (Grant number
586 MR/R005699/1).

587

588 **Competing Financial Interests**

589 None of the authors have any competing financial interests with the work presented
590 here in this manuscript.

591

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