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1 **Psychological Interventions as Vaccine Adjuvants: a systematic review**

2 Running title: Psychological vaccine adjuvants

3  
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28 **Abstract**

29 **Objectives:** The effectiveness of vaccines is known to be altered by a range of psychological  
30 factors. We conducted a systematic review to evaluate the effects of psychological  
31 interventions on the ability of vaccines to protect against disease, as measured by antibody  
32 responses.

33 **Methods:** Electronic databases (EMBASE, Medline, PsychINFO, CINAHL) were searched from  
34 their inception to 6th February 2018.

35 **Results:** The search yielded 9 eligible trials conducted with 1603 participants and four broad  
36 categories of intervention: meditation/mindfulness (n=3), massage (n=3), expressive writing  
37 (n=2) and cognitive behavioural stress management (n=1). Some evidence of benefit on the  
38 antibody response to vaccination was observed in 6/9 of all trials and in 4/7 of randomised  
39 controlled trials. However, effects on antibody levels were often mixed, with only 3 of 6  
40 trials showing benefit demonstrating an improvement in all antibody outcomes and at all  
41 time points assessed. Trials demonstrating benefit also provided direct or indirect evidence  
42 of adequate adherence with the intervention; and in 50% of these trials, there was also  
43 evidence that the intervention was effective in changing the mediating psychological  
44 constructs targeted by the intervention.

45 **Conclusions:** This literature is characterised by considerable heterogeneity in terms of  
46 intervention type, vaccine type, age of participants and the temporal relationship between  
47 vaccination and intervention. We conclude that there is early evidence to suggest that  
48 psychological interventions may enhance the antibody response to vaccination. However,  
49 the effects are inconsistent, with the greatest likelihood of benefit seen in trials evidencing  
50 adequate adherence with the intervention. Future work would benefit from rigorous

51 intervention development that focuses on achieving adequate adherence and large well-  
52 controlled randomised trials with a focus on an agreed set of outcomes.

53

54

55 **Keywords:** vaccinations; antibodies; psychological interventions

56

57 **Introduction**

58           The Centres for Disease Control stated that vaccination is among the ten most  
59 significant health achievements ever documented[1]; and for many conditions they have  
60 been an enormous success (e.g., smallpox). However, vaccinations are not universally  
61 effective, with multiple factors related to the vaccine and the vaccine recipient known to  
62 influence efficacy [2, 3]. With regard to the latter, there are several populations in whom  
63 the evidence for vaccine effectiveness is equivocal. These include populations with  
64 underlying immune impairment due to advancing age [3, 4] and/or the presence of co-  
65 existing diseases (e.g., cancer) [5]. As a consequence, vaccines may be most likely to fail in  
66 those they most seek to benefit [6, 7].

67           This has prompted research into strategies to enhance the immune response to  
68 vaccination, so called vaccine adjuvants. The aim of such interventions is to optimise the  
69 response of the immune system to the vaccine antigens and, in so doing, increase the  
70 likelihood that the vaccine confers protection. Within this context, there has been a growing  
71 interest in the potential for non-pharmacological factors to act as vaccine adjuvants. This is  
72 borne out of a literature which has demonstrated that psychological and behavioural factors  
73 such as mood, diet and physical activity can modulate aspects of functional and  
74 enumerative immunity [8], including responses to vaccination [9, 10]. For example, a meta-  
75 analysis of 13 studies examining the relationship between psychological stress and antibody  
76 responses following influenza vaccination reported evidence of a significant negative  
77 relationship, such that greater levels of stress (regardless of how it was measured) were  
78 associated with lower levels of antibody [9]. Similarly, a review of cross-sectional,  
79 observational and randomised controlled studies investigating the relationship between  
80 chronic and acute exercise and immune responses to vaccination concluded that the

81 immune response appears to be augmented by exercise [11]. Comparable evidence also  
82 exists for a range of dietary factors. For example, both vitamin D and zinc have been shown  
83 to modulate the functioning of the immune system [12, 13] .

84         This systematic review aims to provide a comprehensive evaluation of the effects of  
85 psychological interventions on the human antibody response to vaccination; with a view to  
86 informing the debate as to whether they could be used to optimise vaccine efficacy. We  
87 sought to be inclusive in this review. Thus, the term psychological was used to capture any  
88 treatment that could be broadly considered to be aiming to improve the vaccine response  
89 by targeting a psychological construct or process known to effect immunity (e.g., mood,  
90 relaxation, pain, etc.), but we did not require the intervention to draw on psychological  
91 theory. This was necessary to ensure a comprehensive assessment of the relevant literature,  
92 given that this is a field known to be characterised by a relative absence of theory driven  
93 enquiry [14]. We examined the evidence from all eligible trials conducted with human  
94 participants that measured the effects of a psychological intervention on the antibody  
95 response to standard dose vaccinations.

96         Furthermore, although a range of immunological outcomes have been reported in  
97 the literature, we chose to focus this review on the antibody response only. Vaccines  
98 contain live, attenuated, modified, or killed microorganisms (or their toxins) and, when  
99 administered, they stimulate an immune response, the nature of which depends on the type  
100 of microorganism administered. However, most often the cascade of immune activity  
101 following vaccination ends with the production of antibodies. Thus, antibody responses can  
102 be accepted as a surrogate and universal marker of an effective immune response to  
103 vaccination.

104           It is worth noting that there are two classes of vaccine that stimulate B cells to  
105 produce antibodies: thymus-dependent (i.e. T cell-dependent) or thymus-independent (i.e.  
106 T cell-independent) vaccines. Psychological factors have been shown to influence the  
107 response to both in comparable ways [15]. Thus, we had no *a priori* reason to expect that  
108 the effect of the non-pharmacological interventions considered in this review would affect  
109 these two classes of vaccines differently.

110

## 111 **Systematic Review Methods**

### 112 **Search strategy and selection criteria**

113           We searched electronic databases (EMBASE, Medline, PsychINFO, and CINAHL) from  
114 their inception to 6<sup>th</sup> February 2018 (see Appendix 1 for details of the search strategy). Our  
115 search was constructed to identify all non-pharmacological interventions and identified  
116 three broad types of intervention: psychological, physical activity/exercise and  
117 dietary/nutritional interventions. However, given the diversity in types of intervention  
118 within and between each category, the results from the physical activity/exercise and  
119 dietary/nutritional interventions are to be the subject of separate manuscripts. Hereafter,  
120 we use ‘k’ to denote number of articles and ‘n’ to denote number of participants in this  
121 manuscript:

122           No language restrictions were applied. Only primary studies published in peer-  
123 reviewed journals were considered for inclusion. Review articles were excluded, but their  
124 reference lists were examined for relevant papers. We also hand-searched reference lists of  
125 included papers and contacted subject experts for additional relevant papers. The following  
126 study inclusion criteria were applied: (1) human adult, child and infants receiving any type of  
127 vaccine; (2) studies explicitly concerned with evaluating the therapeutic (i.e., beneficial)

128 effects of an intervention on the immune response to the vaccine; (3) the intervention  
129 targeted a psychological construct known to effect immunity (e.g., mood, relaxation, etc.)  
130 but was not required to explicitly draw on psychological theory; (4) studies in which  
131 participants received standard doses of vaccine; (5) comparative studies (randomised and  
132 non-randomised); (6) studies providing a quantitative assessment of the antibody response  
133 to the vaccination and (7) examined the association between the intervention and the  
134 antibody response. To be included, studies had to meet all 7 criteria.

135           Antibody responses are typically quantified in absolute levels, as captured by titres,  
136 or binary outcomes that capture a change in antibody levels: with the outcomes  
137 ‘seroresponder/responder’ and ‘seroconversion’ used most commonly. Typically,  
138 seroresponding following vaccination is defined as a rise in serum antibody of a particular  
139 magnitude (e.g., a four-fold increase or greater). Seroconversion refers to the presence of  
140 antibody specific to the vaccine antigens in the blood. All approaches to quantifying the  
141 antibody response were included in this review.

142           It is usual in reviews of this kind to specify the primary outcome in advance. In the  
143 case of the present body of work this might have included a focus on a specific type of  
144 antibody measure (e.g., absolute antibody levels) and a specific time-point following  
145 vaccination (e.g., 4 weeks post-vaccination). However, this was not possible in this review  
146 because common practice in this field has been to report multiple antibody outcomes;  
147 measure these on more than one occasion post-vaccination and not always specify the  
148 primary or secondary outcomes. The absence of a consistent approach to measuring the  
149 effects of psychological interventions on the antibody response to vaccination led us to  
150 operationalise ‘an improvement in the antibody response’ as a statistically significant  
151 ( $p \leq 0.05$ ) enhancement in one or more antibody outcome, at any time point post-



152 vaccination, i.e., evidence of improvement across all outcomes and all times post-vaccine  
153 was not required. Although this approach is symptomatic of the extant literature, it does  
154 increase the risk of bias. Thus, in our summary table we describe all antibody outcomes  
155 reported in each trial, and in the manuscript comment on the proportion of outcomes,  
156 relative to the total outcomes measured, exhibiting an improved antibody response.

157         The titles and abstracts of the papers were initially assessed against the inclusion  
158 criteria by two independent reviewers who removed those that did not meet the criteria.  
159 Full text papers were retrieved and read in full by both reviewers. Disagreements at each  
160 stage of the selection process were resolved through discussion between the reviewers. For  
161 example, at title and abstract review it was not always clear if a vaccine had been  
162 administered or antibodies measured. This was resolved by review of the full-text. The  
163 search procedure can be seen in Figure 1.

164

165                                     INSERT FIGURE 1 ABOUT HERE

166

#### 167 **Data extraction and assessment of risk of bias**

168         Data were extracted by two reviewers directly from the papers into tables. These  
169 data included the sample size, characteristics of the participants, a description of the  
170 intervention, type of vaccine administered, the primary outcome, number of follow-ups and  
171 a summary of the major findings.

172         Risk of bias for individual studies was assessed independently by two reviewers using  
173 the Cochrane Collaboration's risk of bias tool [16]. The tool refers to seven items that assess:  
174 method of randomisation, allocation concealment, blinding of participants, study personnel,  
175 outcome assessments, how missing data were handled and evidence of selective reporting.

176 All discrepancies between reviewers were resolved through discussion. For example, there  
177 was some discrepancy regarding what could be considered selective reporting. Discussions  
178 led to reviewers agreeing that this could only be determined if a published protocol was  
179 available containing the relevant details. All agreed ratings are reported in Table 1.

180

181 INSERT TABLE 1 ABOUT HERE

## 182 **Effect Sizes**

183 Between group effect sizes (Hedges'  $g$ ) were calculated for all antibody outcomes  
184 using Comprehensive Meta-Analysis (Version 3): Englewood, NJ; Biostat: [https://www.meta-](https://www.meta-analysis.com/)  
185 [analysis.com/](https://www.meta-analysis.com/)). These were calculated using post-vaccination means, standard deviations  
186 and sample size for continuous outcomes and number of events per group used for  
187 dichotomous outcomes. In two cases [17, 18], where these statistics were not reported in  
188 the published manuscript, effect sizes were calculated on the basis of reported inferential  
189 tests assessing between group differences in changes from pre-vaccination antibody levels.  
190 In the case of the Davidson et al. trial [17] this was because no other data were available. In  
191 the case of the Vedhara et al trial [18], the measure presented was seroconversion and thus  
192 was, in effect, 'change from baseline'.

193 For five studies, insufficient statistics of any kind were published to calculate effect  
194 sizes. Authors of all 5 studies were contacted and two provided additional data, thus  
195 allowing us to calculate effect sizes for 6/9 articles in total (see Table 2).

196 Effect sizes were interpreted in line with guidelines for Cohen's  $d$  (small = .2,  
197 medium= .5, large= .8 [19], with positive values interpreted as the intervention having  
198 enhanced antibody responses compared to controls. However, due to the heterogeneous

199 nature of the trials identified (in terms of vaccinations used, intervention type, and method  
200 of antibody measurement) we did not meta-analyse these data.

201

## 202 **Results**

### 203 **Summary of findings**

204 The search yielded nine eligible papers reporting nine trials which covered four  
205 broad categories of intervention: meditation/mindfulness (k=3), massage (k=3), expressive  
206 writing (k=2) and cognitive behavioural stress management (k=1). We elected to include the  
207 massage trials in this review of psychological interventions for two main reasons. First, they  
208 met our criteria of ‘interventions targeting a psychological construct known to effect  
209 immunity’ in that the massage in these trials was designed to reduce pain or enhance mood.  
210 Second, we considered these interventions to be wholly different from the exercise/physical  
211 activity based interventions identified in our searches, all of which were concerned with  
212 participants actively engaging in some form of physical activity. This contrasts with massage  
213 where subjects are the passive recipients of some degree of physical manipulation.

214 Seven randomised controlled trials were identified, one study used matched  
215 controls, and another used waiting-list controls. All studies provided data on at least one  
216 measure of adherence or effects on a mediating mechanism. The total sample size across all  
217 studies was 1603 (range: 40-413). The average age of participants ranged from 2 months to  
218 80 years. Two trials were conducted with infants (2-6 months), five with adults (21-60  
219 years), and two in older adults (75-80 years). Five trials focussed on responses to seasonal  
220 influenza vaccination, two to hepatitis B vaccinations, and two to  
221 diphtheria/tetanus/pertussis (DTP) vaccination. Four trials targeted groups who could be

222 considered to be at potential risk of vaccine failure: two with young infants [20, 21] and two  
223 with older adults [18, 22]. The length of the interventions ranged from single sessions of 1  
224 minute [20] to 3 x 1 hour sessions per week for 20 weeks [22]. Five trials administered their  
225 vaccination post-intervention; two before or at the first intervention session and two during  
226 the intervention.

227           Two-thirds of all trials (k=6/9), and over half of all RCTs (k=4/7), reported some  
228 evidence of a statistically significant improvement in the antibody response to vaccination  
229 [17, 18, 20, 22-24]; two showed no benefit [21, 25] and one showed evidence of an  
230 impaired antibody response in the intervention group [26]. Intervention effect sizes ranged  
231 from  $g=-0.73$  to  $g=1.13$  (see Table 2). Trials showing evidence of an improved immune  
232 response to vaccination, and in which effect sizes could be calculated, typically exhibited  
233 moderate to large effects [17, 18, 24].

234           When examining the six trials that showed some evidence of benefit in more detail,  
235 it was clear that there was variability in both the number of outcomes reported (ranging  
236 from 1-25) and the proportion of these that exhibited evidence of a statistically significant  
237 improvement in the antibody response. For 50% of these trials (k=3) all antibody outcomes  
238 reported improved significantly in the intervention group compared with the control group  
239 [17, 18, 24]. In contrast, the study by Hsu [20], considered 5 outcomes over 5 time points,  
240 only 12 of which (48%) attained significance in the expected direction. Two outcomes  
241 showed significantly greater antibody levels in the control arm (both at 2 months post-  
242 vaccine) and the direction of the non-significant comparisons indicated higher antibody  
243 levels in the control arm for 7/11 outcomes.

244           The study by Yang [22], reported 6 between-group comparisons, 2 of which (33%)  
245 attained statistical significance in the expected direction. The direction of all the non-

246 significant between group comparisons in this study were in the expected direction (i.e.,  
247 greater antibody levels or protective titres observed in the intervention arm). Finally, the  
248 post-hoc analysis by Stetler [23] which showed evidence of improved antibody responses,  
249 did so for only 1 out of 3 viral strains (33%). The results for the other viral strains were not  
250 presented in the manuscript and so we could not determine the direction of these non-  
251 significant comparisons.

252         There appeared to be no systematic differences in intervention effects based on the  
253 nature of the vaccine (influenza, hepatitis B and DTP vaccines used in trials showing  
254 benefit/impairment and not); or the timing of the vaccination relative to the intervention  
255 (i.e., whether vaccination occurred pre, during or post-intervention). Trials showing no  
256 benefit/impairment also did not appear to differ markedly in their duration, from those that  
257 did show benefit (median total number of intervention days: 4 versus 6 respectively).  
258 However, they did appear to differ in intensity (i.e., median number of minutes engaged in  
259 formal intervention sessions): with median intensity (not including unsupervised  
260 intervention practice) over the intervention period of 180 minutes for trials showing no  
261 benefit/impairment versus 280 minutes for trials reporting benefit. They also differed in  
262 sample size: with trials showing no benefit/ impairment typically being larger than the trials  
263 showing some evidence of benefit (medians n=149 and n=49 respectively). Although this  
264 latter observation may be attributable, in part, to a single very large trial of 413 participants  
265 [21].

266         In considering this literature in more detail, we next give consideration to findings  
267 according to intervention type and methodology

## 268 **Intervention Type and Methodology**

269 No single intervention approach was examined in more than three trials. Thus it is  
270 not yet possible to consider the relative benefits of each intervention approach in the  
271 context of such a modest evidence base. However, some early patterns emerge if we  
272 consider aspects of intervention methodology, relating in particular to (a) adherence with  
273 the interventions (indicated by the number of intervention sessions attended); (b)  
274 intervention effects on purported mediating mechanisms i.e., whether it had a beneficial  
275 effect on constructs targeted by the intervention (e.g., improved mood) and (c)  
276 characteristics of participants at baseline (i.e., could they be considered to be at risk of  
277 vaccination failure).

278 **Intervention adherence:** Only three trials formally reported on intervention  
279 adherence [18, 22, 26], but it is possible to infer levels of adherence from other details (e.g.,  
280 degrees of freedom) presented in a further three trials [20, 23, 24]. All six of these trials  
281 evidenced adequate to good adherence, as measured by participants attending >75% of  
282 intervention sessions, and all but one [26] reported evidence of an enhanced antibody  
283 response to vaccination in the intervention group compared with the control group. In  
284 contrast, of the three trials that did not provide data on adherence [17, 21, 25], only one  
285 reported evidence of an improved vaccination response.

286 **Mediating mechanisms:** Nearly all trials (k=8/9) reported evidence relating to one or  
287 more hypothesised mediating mechanism: mood [17, 18, 23, 24, 26]; brain activity [17];  
288 cognitive change [23-25]; pain and other vaccine related adverse events [20, 21]. Of these,  
289 three trials were characterised by the intervention having no effect or an adverse effect on  
290 their hypothesised mechanisms [21, 25, 26]; and all three showed no evidence of a  
291 beneficial effect on vaccine effectiveness. In contrast, three out of the five trials reporting  
292 evidence of a beneficial effect on vaccine effectiveness showed that the purported

293 mechanisms had also been changed in the expected direction [17, 23, 24]. The remaining  
294 two trials showing benefit observed no effect of their intervention on their hypothesised  
295 mechanism (mood: [18]) or an adverse effect (pain and fever: [20]).

296 **Participant characteristics:** Four out of nine trials were conducted with individuals at  
297 risk of vaccine failure due to their age [18, 20-22]. All but one of these trials [21] reported a  
298 beneficial effect of their intervention on the antibody response to vaccination. However,  
299 evidence of an enhanced immune response to vaccination following interventions  
300 conducted in healthy adults was also not uncommon, with three out of five of these trials  
301 reporting benefit [23-25].

302

### 303 **Discussion**

304 This review identified nine trials in which the effects of psychological interventions  
305 on the antibody response to vaccination were examined. This literature was modest in size  
306 and characterised by considerable heterogeneity in terms of the type of intervention, age of  
307 participants, vaccine type, intervention duration and intensity and approaches to assessing  
308 the antibody response to vaccination. When examining the evidence according to the less  
309 stringent criterion of 'a statistically significant ( $p \leq 0.05$ ) enhancement in one or more  
310 antibody outcome at any time point post-vaccination', we observed that two-thirds of trials  
311 reported some evidence of benefit in the antibody response to vaccination, and in those  
312 where an effect size could be calculated, the results suggested evidence of a moderate to  
313 large effect. However, a closer examination of these trials suggests that caution should be  
314 exercised when interpreting these findings. For example, only 50% of trials reported a  
315 significant improvement across all antibody outcomes and at all time points; while for the

316 remaining trials, evidence of improvement was seen only for between 33-48% of outcomes  
317 and time-points considered.

318         The weight of the evidence offers early support for the view that psychological  
319 interventions may help to prevent disease through their ability to improve the antibody  
320 response to vaccinations and thus make vaccines more effective. Furthermore, the data  
321 suggest the effect could be generalizable across a range of vaccinations and at all stages of  
322 the immune response: evidenced by the fact that intervention effects were unrelated to  
323 vaccine type or the timing of the intervention relative to the vaccine. However, this  
324 conclusion should be tempered by several caveats.

325         First, while our outcome measure (i.e., antibody responses) is widely used as a  
326 surrogate for protection from disease [27], vaccine effectiveness is more accurately  
327 determined in studies that report laboratory confirmed disease [28]. Such trials, do  
328 however, require longer follow-ups, are likely to be more costly and thus are rarely  
329 undertaken in the context of psychological interventions.

330         Second we wish to acknowledge that the way we determined if there was evidence  
331 of an enhanced immune response to vaccination, and thus improved protection from  
332 disease, lacked precision and could have increased the risk of bias. We considered an  
333 improvement in at least one immune outcome (not necessarily all immune outcomes), at  
334 any time point, as evidence of an enhanced response to vaccination i.e., improvement  
335 across all outcome measures and at specific times was not required. This was necessary  
336 because of variability in the literature in the ways that the antibody response has been  
337 measured; at what time points; and the failure in many trials to specify primary or  
338 secondary outcomes. The former poses a particular problem for this field because it is well  
339 known that findings from different immunological methods and outcomes do not correlate



340 well [29, 30]. Thus, it is perhaps not reasonable, for example, to expect improvements in  
341 absolute antibody levels to translate into improved rates of seroprotection. Similarly, the  
342 optimal timing of antibody outcomes is influenced by whether the focus is on a primary or  
343 secondary immune response (a primary response is slower than a secondary response) [31-  
344 34]; and whether the focus is on the peak antibody response or long-term persistence in  
345 immunity (again the former would be measured earlier than the latter). In addition, the  
346 choice of primary outcome may also be influenced by the nature of the vaccine itself [35].  
347 These considerations have contributed to capriciousness in outcome assessment in this  
348 literature which, in turn, serves only to impede attempts to synthesise the evidence. We  
349 suggest that future research in this area would benefit from the development of an agreed  
350 set of outcomes as is advocated by the COMET initiative [36]. COMET seeks to achieve  
351 agreement on the minimum outcomes that should be measured and reported in clinical  
352 trials with a view to facilitating comparisons between trials and evidence synthesis. The  
353 initiative is typically focussed on single disease entities. However, the principles of COMET  
354 are of relevance to this field. In addition, we would recommend greater uptake of pre-  
355 registration of trial designs and analysis plans as this would alleviate concerns regarding  
356 'researcher degrees of freedom' [37] which can also lead to false-positive results.

357         The third caveat relates to the potential for the significance of these findings to be  
358 influenced by the 'file drawer effect' or publication bias. This phenomenon, now widely  
359 recognised in the psychological and medical sciences, refers to the likelihood of positive  
360 findings being more likely to appear in the published literature than null findings. Some  
361 estimates of the size of the file drawer problem suggest that there may be 3 times more  
362 negative trials than those found in the published literature. For example, in a now classic  
363 study, Smart [38] examined publications in psychological journals and reported that while

364 studies with negative findings typically accounted for 9% of published papers, negative  
365 findings were reported in 20.5% of abstracts of papers presented at a mainstream  
366 psychological conference in a single year and 30.2% of dissertation abstracts from the same  
367 year. These findings support the view that research is much more likely to be published if  
368 the results are positive.

369         A host of factors are known to drive the file drawer effect [39], but the implications  
370 for reviews like the present one are clear: it can lead to an over-estimation of the size of the  
371 treatment effect. Like many authors, we sought to mitigate this risk by contacting known  
372 authors in the field to enquire about data from unpublished trials (none were reported). We  
373 also sought to be as inclusive as possible in our identification of the literature by not  
374 restricting ourselves to studies in which the intervention explicitly drew on psychological  
375 theory. Indeed, we are somewhat reassured that this review reflects the extant literature by  
376 the fact that three of the nine included studies reported null findings or evidence in support  
377 of a psychological intervention impairing the antibody response. Furthermore, while we  
378 were unable to locate and include any unpublished studies, there is a contrasting view that  
379 this could be a strength of the present work because unpublished research is not without  
380 bias (e.g., due to potentially being of lower quality, not having been subjected to peer  
381 review etc.). Indeed, a recent simulation study concluded that selective publication (as  
382 opposed to publishing everything) results in a more accurate estimate of effect sizes [40].

383         The debate on the file drawer effect is likely to continue for some time to come. But  
384 in the context of this nascent field, typically characterised by modest sample sizes, we  
385 strongly encourage authors to always seek to publish their findings regardless of observed  
386 effects so that the scientific community can arrive at an informed view on whether  
387 psychological interventions represent a viable means for enhancing vaccine effectiveness.

388 Further observations arising from this review worthy of comment include, first, that  
389 we cannot yet determine what type of intervention (e.g., mindfulness versus CBT) might be  
390 most effective in enhancing vaccinations and reducing disease risk because no single  
391 intervention has been examined in more than 3 studies. Second, that observations  
392 regarding intervention methodology pointed towards effective interventions being more  
393 likely to involve treatments that were more intensive (reflected by the median time spent in  
394 receipt of formal intervention sessions), although not necessarily of a longer duration, and  
395 where the intervention was effective in modifying the psychological constructs being  
396 targeted. We also observed some potentially interesting findings in relation to intervention  
397 adherence and effects on the antibody response. For six of the nine trials, adherence data  
398 were reported (or could be inferred) and the majority of these (k=5/6) showed evidence of  
399 both adequate adherence and an improved antibody response to vaccination. For the  
400 remaining three trials it was not possible to determine if adequate adherence had been  
401 achieved, but two of these failed to show evidence of benefit on the antibody response. We  
402 cannot of course assume that the absence of adherence data is indicative of poor  
403 adherence. But the findings hint at this possibility and, at the very least, highlight the need  
404 for more rigorous reporting of trial methodology.

405 Third, we did not observe any clear patterns in relation to the age of participants and  
406 the likelihood of psychological interventions enhancing the antibody response to  
407 vaccination: with some degree of improvement reported in trials with the very young, the  
408 elderly and healthy adults.

409 Fourth, we suggest that the heterogeneity evident in this literature regarding  
410 intervention type and populations assessed may be a consequence of the absence of theory  
411 driven enquiry in this field. The theoretical context for much of this work comes from the

412 biopsychosocial model [41] which proposes that health and disease are a function of not  
413 only biology but the complex psychological and social influences that surround an individual.  
414 Although this framework has been influential, critics argue that its lack of specificity has  
415 meant that it does not make clear predictions or hypotheses that can be tested [14]. This  
416 lack of specificity is reflected in the literature reviewed here where both the populations  
417 under investigation (ranging from the very young to the very old) and the mechanisms  
418 targeted by the interventions were broad (ranging from mood, cognitive change and brain  
419 activity to pain). At this stage we have not achieved a clear understanding of which  
420 psychological factors may be the most influential in modifying immunity or how these  
421 relationships vary according to factors such as participant age and contextual factors such as  
422 the nature and type of stressor. Greater clarity on these issues would enable us to focus  
423 research effort on developing interventions that could optimise, rather than just improve,  
424 the effectiveness of vaccinations.

425         An additional consequence of the varied literature examined here is that it  
426 necessarily precluded a meta-analysis and also impacted on the conclusions we could draw  
427 in this narrative synthesis. We also observed that studies where the intervention  
428 methodology was less robust (e.g., no data on intervention adherence) were less likely to  
429 find evidence of benefit. This makes it difficult to determine whether an absence of effect  
430 was due to the interventions per se, or the rigour with which they were implemented.

431         Taken together, some clear directions for future research are evident. In particular,  
432 we would suggest that there is a need for more trials to examine the potential for  
433 psychological interventions to prevent disease by enhancing the effectiveness of vaccines;  
434 for these trials to be larger and conducted with a focus on an agreed set of outcomes; for  
435 authors to publish trial protocols in advance and be mindful of the consequences of

436 publication bias. It would also be advantageous for this work to adopt a clearer theoretical  
437 framework so that we can move towards a better understanding of which psychological  
438 influences on immunity are preeminent; and develop interventions that target these  
439 specifically whilst also maximising participant adherence.

440

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**Table 1: Risk of Bias Assessments**

Author	Year	Random sequence generation	Allocation concealment	Blinding of participants and personnel	Blinding of outcome assessment	Incomplete outcome data	Selective reporting	Other bias
Davidson	2003	?	?	H	?	?	?	L
Hayney	2014	L	L	H	L	L	?	L
Hsu	1995	?	?	H	?	?	?	L
Huang	1999	?	?	H	?	?	?	L
Loft	2012	L	?	H	?	?	?	L
Petrie	1995	?	?	H	?	?	?	L
Stetler	2006	?	?	H	?	?	?	L
Vedhara	2003	H	H	H	?	L	?	H
Yang	2008	H	H	H	?	L	?	L

L = low risk; ? = Unclear risk; H = High risk

**Table 2 Summary of Studies**

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Davidson et al. (2003)	Intervention: n=25 Control: n=16	Intervention: mindfulness meditation program; sessions lasting 2.5 – 3 hours, once a week, over 8 weeks; 7 hour silent retreat; unsupervised sessions 1 hour 6 days a week for 8 weeks	Influenza	Compared with control group, intervention participants displayed a significantly greater increase in HI antibody titres between 3-5 and 8-9 weeks post-vaccine.	g= 0.64 [.01, 1.27]
USA	Healthy adults	Control: wait-list control	Hemagglutination inhibition assay		
Randomised controlled trial	Mean age 36 years 12 male, 29 female	Adherence: not reported	3-5 weeks & 8-9 weeks post-vaccination		
		Mediating mechanisms: intervention group, compared with controls showed a reduction in negative affect and increased left sided brain activity.	Change in HI antibody titres (composite of viral strains)		
		Vaccination administered after the 8 week intervention period			

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Hayney et al. (2014) USA Randomised controlled trial	Control group n= 51  Exercise group n= 47  MBSR/meditation group n= 51  Adults ≥ 50 years: no previous/current experience of meditation; moderate exercise ≥ 2 times a week; any intense exercise  Control group: mean age 59, 10 male, 41 female  MBSR group: mean age 60, 9 male, 42 female  Exercise group: mean age 59, 8 male, 43 female	Mindfulness-based stress reduction (MBSR) group: 8-week meditation intervention, weekly 2.5hr group sessions and 45mins home practice per day.  Exercise group: 8 weeks in length, weekly 2.5hr group sessions, 45mins daily home practice  Waiting list control group: no intervention  Adherence: not reported  Mediating mechanisms: measures of mindfulness and exercise completed at 1 and 8 weeks post-intervention indicate no between group differences in mindfulness and a difference in exercise between the exercise and control group at 1 and 8 weeks post-intervention  Timing: Vaccine given to all participants during week 6 of intervention	Influenza  Hemagglutination inhibition assay;  Baseline (pre-vaccine), 3 and 12 weeks post-vaccine  HI titres: Mean fold increase from baseline to 3 weeks (by viral strain); geometric mean titre (by viral strain); seroprotection rates - titres ≥ 40 (by viral strain and by number of strains); seroconversion rates – 4-fold increase in titres (by viral strain and by number of strains)	No significant differences between groups for any immune outcome at any time point.	<u>Meditation vs Control</u> <sup>+</sup> Mean fold Increase: g= .08 Geometric Mean Titre 3 weeks: g= -.51 Geometric Mean Titre 12 weeks: g= -.34 Seroconversion: g= -.42 Seroconversion: g= -.13  <u>Exercise vs Control</u> <sup>+</sup> Mean fold Increase: g= -.07 Geometric Mean Titre 3 weeks: g= .23 Geometric Mean Titre 12 weeks: g= .03 Seroconversion: g= -.15 Seroconversion: g= .04  <u>Meditation vs Exercise</u> <sup>+</sup> Mean fold Increase: g= .06 Geometric Mean Titre 3 weeks: g= -.73 Geometric Mean Titre 12 weeks: g= -.38 Seroconversion: g= -.27 Seroconversion: g= -.17  <i>+Average Hedges' g across viral strains and number of strains reported, as a total of 72 effect sizes could be reported. Effect sizes by viral strains and number of strains available at request.</i>

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Hsu et al. (1995) Taiwan Randomised controlled trial	Intervention: n=175 Control: n=152  Infants recruited through routine vaccine programme  2 months of age n=125; receiving first vaccine dose); 70 male, 55 female  4 months of age n=100; receiving second dose; 44 male, 56 female  6 months of age n=102; receiving third dose; 48 male, 54 female	Intervention: 1-minute light circular massage over injection site  Control: no treatment  Adherence: not reported, but intervention was a single session of supervised massage.  Mediating mechanisms: examined parents' reports of local (e.g., pain) and systemic (e.g. fever) adverse reactions. Greater percentage of parents in intervention arm reported local pain and fever. But effects on fever not significant when examining fevers >39°C.  Vaccination administered immediately prior to intervention.	Diphtheria, tetanus, pertussis  Diphtheria: neutralisation assay; tetanus: indirect hemagglutinin test; pertussis: elisa measuring antibody to filamentous hemagglutinin (anti-FHA); antibody to pertussis toxin (anti-PT) microagglutination assay for pertussis agglutinin  2 (pre-vaccine), 6, 7, 18, & 19 months of age  Antibody titres (log transformed)	Compared with controls, the intervention group exhibited higher diphtheria titres at 6 and 7 months, but no significant between group differences at 18 or 19 months. At 2 months titres were significantly higher in the control group.  No significant between group differences in tetanus titres at any time point.  Compared with controls, the intervention group exhibited significantly higher anti-FHA at 2, 6 and 7 months; significantly higher anti-PT at all time points and significantly higher pertussis agglutinin titres at 18 and 19 months, but with greater levels in the control group at 2 months.	Insufficient details available.

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Huang & Huang (1999)  Taiwan  Randomised controlled trial	<p>Intervention: DTPw n=293 (of which 107 provided a blood sample for antibody measurement);</p> <p>DTPa n= 107 (of which 99 provided a blood sample for antibody measurement);</p> <p>Control: DTPw n=297 (of which 108 provided a blood sample for antibody measurement);</p> <p>DTPa n= 111 (of which 99 provided a blood sample for antibody measurement).</p> <p>Infants recruited through routine vaccine programme</p> <p>2-6 months</p>	<p>Intervention: 2 minute massage immediately after vaccination and application of warm towel on injection site for 30 minutes in the evening of the vaccination day</p> <p>Control: no treatment</p> <p>Adherence: not reported, but first part of intervention was a single session of supervised massage. Adherence to warm towel application not reported.</p> <p>Mediating mechanisms: examined parents' reports of local (e.g., pain) and systemic (e.g. fever) adverse reactions. Found no differences between groups for DTPa but evidence of increased, rather than decreased adverse reactions (pain and induration) in intervention children receiving DTPw.</p> <p>Vaccination administered immediately prior to intervention.</p>	<p>Diphtheria, tetanus, &amp; whole-cell pertussis combined vaccine (DTPw) &amp; diphtheria, tetanus and acellular pertussis combined vaccine (DTPa)</p> <p>Diphtheria: neutralisation assay; tetanus: indirect hemagglutinin test; pertusus: microagglutination assay</p> <p>2 (pre-vaccine) and 7 months of age</p> <p>Antibody titres (log transformed)</p>	<p>No significant between group differences between the intervention group and controls in antibody titres of diphtheria, tetanus, and pertussis antibodies in response to the DTPw or DTPa vaccines.</p>	<p>Insufficient details available.</p>



Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Loft et al. (2012) New Zealand Randomised controlled trial	Intervention: n=35 Control: n=35 Undergraduate medical students Mean age 21 years 34 male, 36 female	Intervention: 45-minute body massage received once a week for 4 weeks. Control: no treatment Adherence: all intervention participants attended all treatment sessions. Mediating mechanisms: no effect of intervention on measures of emotional distress Vaccination administered after intervention	Hepatitis B (single, primary dose) Microparticle enzyme immunoassay 0 (pre-vaccine), 2 & 6 weeks post-vaccination Total serum (IgM & IgG) anti-HB antibody titres	Compared with controls, the intervention group exhibited significantly lower anti-HB antibody titres at 2 weeks and 6 weeks post-vaccination.	At 2 weeks: g= -.68 [-1.16, -.21] At 6 weeks: g= -.40 [-.87, .07]
Petrie et al. (1995) New Zealand Randomised controlled trial	Intervention: n=20 Control: n=20 Undergraduate medical students Mean age 21 years 21 male, 19 female	Intervention: writing about traumatic event or events over 4 consecutive days Control: emotionally neutral writing about activities in recent days over 4 consecutive days Adherence: not reported, but degrees of freedom data indicate 100% adherence Mediating mechanisms: text analysis of written material showed intervention group's writing was more emotional and showed greater cognitive change Vaccination administered on the day after the 4 <sup>th</sup> day of writing	Hepatitis B (triple vaccine schedule) Microparticle enzyme immunoassay 0 months (after intervention/pre-vaccine), 1, 4, & 6 months Anti-HB antibody titres (log transformed)	Compared with the control group, the intervention group had increasingly higher levels of anti-HB antibody titres over time. This effect became non-significant when individuals (n=5) who were seropositive at baseline were excluded from the analyses.	All participants at: 1 month: g= .06 [-.55, .67] 4 months: g= .43 [-.18, 1.05] 6 months: g= .42 [-.19, 1.04] Excluding seropositive at baseline participants: 1 month: g= -.21 [-.86, .44] 4 months: g= .41 [-.24, 1.07] 6 months: g= .37 [-.28, 1.03]

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Stetler et al. (2006) Canada Randomised controlled trial	Intervention: n=26 Control: n=22 Healthy students Mean age 27 years Intervention group: 2 male, 24 female Control group: 3 male, 19 female	Intervention: writing about personal experiences of racism for 20 minutes over 3 days (day 1, day 1 + 5-7 days; day 2 +5-7 days) Control: emotionally neutral writing about activities 20 minutes over 3 days (day 1, day 1 + 5-7 days; day 2 +5-7 days) Adherence: not reported, but degrees of freedom data indicate 100% adherence Mediating mechanisms: intervention participants were less positive and more negative after each intervention session Vaccination administered within one week of the 3 <sup>rd</sup> day of writing	Influenza Hemagglutination inhibition assay 0 (pre-vaccine), 30 and 90 days Hemagglutination inhibiting antibody slopes/change over time (log transformed, regressed on time since vaccination) analysed separately by viral strain (A/New Caledonia H1N1; A/Moscow H3N2, B/Sichuan)	Compared with the control group, the intervention group had lower antibody slopes/change over time for the A/New Caledonia H1N1 and A/Moscow H3N2 viral strains. No significant between group differences in antibody slopes/change over time for the B/Sichuan viral strain. Post-hoc analysis of the intervention group only showed greater antibody slopes/change over time for the A/New Caledonia H1N1 strain in participants who attributed greater certainty their experiences were explained by racism, compared with those who showed expressed less certainty. No such relationships were observed for the other two viral strains.	A/New Caledonia H1N1: 30 days: g= -.14 [-.70, .42] 90 days: g= -.12 [-.68, .44] A/Moscow H3N2: 30 days: g= -.21 [-.77, .35] 90 days: g= -.28 [-.85, .28] B/Sichuan: 30 days: g= .10 [-.46, .66] 90 days: g= .10 [-.45, .66]

Authors (year of publication); setting & trial design	Sample size per condition & participant characteristics	Description of intervention/control arms; adherence; effects on mediating mechanisms & timing in relation to vaccination	Type of vaccine; assay methods; timing of immune measures & immune outcomes relating to vaccination	Authors' main immune findings relating to vaccine response	Effect Sizes (Hedges' g) for between condition differences [95% Confidence intervals]*
Vedhara et al. (2003) UK Matched control design	Intervention: n=16 Carer controls: n=27 Non-carer controls: n=27 Chronically stressed older adults (spousal carers and non-caregiving controls) Mean age 75 years (carers); 71 years (controls) 32 males, 38 females	Intervention: Cognitive-behavioural stress management intervention; sessions 1 hour a week over 8 weeks Control: no treatment Adherence: all intervention participants attended at least 6/8 intervention sessions Mediating mechanisms: no change in emotional distress between groups Vaccination administered 2-3 weeks after final intervention session	Influenza Enzyme-linked immunosorbent assay 0 (pre-vaccine), 2, 4, & 6 weeks Seroresponse: 4-fold increase in IgG antibody titres to at least one viral strain	Significantly more carers in the intervention group were classed as seroresponders compared with carers in the control group. Seroresponder rates did not differ significantly between intervention carers and non-carer controls. Significantly more non-carer controls were classed as seroresponders compared with carer controls.	Intervention vs Carer Controls: g= 1.13 [.41, 1.83] Intervention vs Non-carer Controls: g= .43 [-.19, 1.06] Carer Controls vs Non-carer controls: g= -.59 [-1.15, -.02]
Yang et al., (2008) USA Waiting-list control design	Intervention: n=27 Control: n=23 Older adults Intervention group: mean age 80 years; 6 male, 21 female Control group: mean age 75 years; 7 male, 16 female	Intervention: combined Taiji/Qigong meditation; 3 x 1 hour sessions per week for 20 weeks Control: waiting-list control Adherence: mean attendance of intervention sessions 80.5% Mediating mechanisms: no relevant data reported. Vaccination administered during first week of intervention/control period	Influenza Hemagglutination inhibition assay 0 (pre-vaccine), 3, 6 & 20 weeks Hemagglutination inhibiting antibody titres (composite of all viral strains) and seroprotection rates (titre > 40) analysed separately by viral strain	Compared with the control group, intervention group had higher hemagglutination inhibiting antibody titres at 3 and 20 weeks post-vaccination, but not at 6 weeks. Compared with baseline levels: antibody levels were significantly greater at 3, 6 and 20 weeks post-vaccination in the intervention group; in the control group, antibody levels were significantly greater at 3 and 6 weeks only. No significant differences between groups in seroprotection rates for each viral strain.	Insufficient details available.

MBSR= Mindfulness-based stress reduction; HI= Hemagglutination inhibiting; DTPw= Diphtheria, tetanus, & whole-cell pertussis combined vaccine; DTPa= diphtheria, tetanus and acellular pertussis combined vaccine; IgG= Immunoglobulin serotype G; IgM= Immunoglobulin serotype M; anti-HB= anti-hepatitis B. <sup>†</sup> Positive effect sizes should be interpreted as the trial arm listed first (typically the intervention) having enhanced antibody responses compared to the trial arm listed second (typically the control). Negative effect sizes indicate reduced antibody responses in the same manner

## Figure Captions

**Figure 1: PRISMA summary of search procedure**

## **Appendix 1: Medline search matrix as example of search strategy**

Each group of search terms were combined with the Boolean AND operator within each bibliographic database.

### **Population (vaccine)**

Conjugate OR Haemophilus Vaccines OR Human OR Influenza OR Influenza vaccines  
OR Vaccin OR Vaccines OR Viral vaccines

### **Intervention**

Acupressure OR Acupuncture OR Adaptation OR Affect OR Alternative medicine OR  
Alternative therapy OR Anxiety OR Autogenic training OR Behavior change OR Behaviour  
change OR Behavior modification OR Behaviour modification OR Behavior therapy OR  
Behaviour therapy OR Biofeedback OR Biofeedback training OR Breathing exercises OR  
Client education OR Cognition OR Cognitive behaviour therapy OR Cognitive behavior  
therapy OR CBT OR Cognitive performance OR Cognitive restructuring OR Cognitive therapy  
OR Cognitive techniques OR Complementary therapy OR Coping behavior OR Coping  
behaviour OR Counseling OR Counselling OR Depression OR Diet OR Education OR  
Emotional adjustment OR Emotional disclosure OR Emotional expression OR Emotions OR  
Exercise OR Exercise therapy OR Expressive writing OR Group counseling OR Group  
counselling OR Health education OR Health promotion OR Home practice OR Hypnosis OR  
Hypnotherapy OR Illness behavior OR Illness behaviour OR Interventional studies OR  
Lifestyle changes OR Massage OR Meditation OR Meditation retreat OR Mind body  
therapies OR Mind body therapy OR Mindful meditation OR Mindfulness OR Motivation OR  
Narration OR Nutrition OR Optimism OR Patient counseling OR Patient counselling OR  
Patient education OR Perceived stress OR Physical activity OR Physical education OR Physical

education training OR Physiological OR Pilates OR Preventative medicine OR Promotion  
campaign OR Psychoeducation OR Psychology OR Psychological OR Psychological  
intervention OR Psychotherapy OR Rehabilitation OR Relaxation OR Relaxation therapy OR  
Relaxation training OR Self-help groups OR Sleep OR Sleep techniques OR Social adjustment  
OR Social network OR Social care OR Social skills training OR Social support OR Stress OR  
Stress appraisal OR Stressor appraisal OR Stressors OR Stress OR Stress management OR  
Stress reduction OR Support groups OR Tai chi OR Tai ji OR Visualisation OR Yoga

### **Outcome**

Antibodies OR Antibody OR Antibody formation OR Antibody maintenance OR  
Antibody-producing cells OR Antibody status OR Antibody titer OR Antigens OR Anti-  
idiotypic OR Autoantibodies OR B-Lymphocytes OR Bacterial OR Cellular OR Cytokines OR  
Dendritic Cells OR Hemagglutination inhibition OR Humoral OR Humoral responses OR OR  
IgA OR IgM OR IgD OR IgE OR IgG OR Immune response OR Immune tolerance OR Immunity  
OR Immunoglobulin OR Immunologic memory OR Immunosorbent assay OR  
Immunosuppression OR Immunosuppressive agents OR Innate OR Lymphocytes OR Memory  
cells OR Primary antibody response OR Regulatory OR Secondary antibody response OR  
Seroconverted OR Seronegative OR Seropositive OR Seroprotection OR Seroprotective  
responses OR T-Lymphocytes OR Titres OR Viral