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1 **Interplay between dyslipidemia and inflammation in**  
2 **atherosclerosis: Translating experimental targets into clinical**  
3 **practice.**

4 **Short Title: Inflammation, Immunity and Lipids**

5  
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41 canakinumab

42 **ABSTRACT**

43

44

45 **INTRODUCTION**

46 Lipids have been long recognized to play a pivotal role in atherosclerosis. Inflammation  
47 has also been acknowledged as a key biological process in this disorder<sup>1</sup>. Importantly,  
48 dyslipidemia and inflammation are closely intertwined in their contribution to  
49 atherosclerosis and cardiovascular risk<sup>2</sup>. For example, lipid-lowering drugs that  
50 effectively decrease cardiovascular (CV) events also exhibit anti-inflammatory effects<sup>3</sup>.  
51 On the other hand, some of the anti-inflammatory effects reported for statins, and also  
52 for aspirin and renin-angiotensin modulators<sup>3-5</sup> may result from. lipid-lowering,  
53 antithrombotic or anti-proliferative effects, as well as improved endothelial function. In  
54 addition, observational studies have suggested beneficial effects of anti-inflammatory  
55 drugs used for other indications in terms of reducing CV risk<sup>6</sup>. However, until recently,  
56 direct evidence on the efficacy of anti-inflammatory strategies to reduce CV events in  
57 humans was lacking. Thus, there was a need for a definitive study to specifically  
58 address the specific anti-inflammatory effects on CV events.

59         The recent Canakinumab Antiinflammatory Thrombosis Outcomes Study  
60 (CANTOS) has addressed this question. This trial investigated high-risk patients after  
61 acute coronary syndromes (ACS) on statin therapy and increased inflammatory burden  
62 (CRP levels >2 mmol/L). It demonstrated that CV outcome was improved after  
63 inhibition of interleukin-1 $\beta$  (IL-1 $\beta$ ) without affecting lipid levels<sup>7</sup>. These results  
64 introduce a new paradigm for the treatment of human atherosclerosis. In this Consensus  
65 Paper, we highlight the role of inflammation and dyslipidemia in atherosclerosis and  
66 aim to outline the new issues and challenges that are brought up by the interplay  
67 between these two CV risk factors in cardiovascular prevention.

68

## 69 **LIPID-INDUCED INFLAMMATORY RESPONSES (Figure 1)**

70 A key trigger of atherosclerosis is subintimal retention of low-density lipoproteins  
71 (LDL) at regions of complex flow or low shear stress.<sup>8</sup> Modified LDL (mLDL) species  
72 are strong inducers of inflammation and have a marked impact on atherosclerosis<sup>9</sup>. They  
73 alter vascular physiology by activating pattern recognition receptors, such as toll-like  
74 receptors (TLRs), which trigger proinflammatory signals and reactive oxygen species<sup>10</sup>  
75 and promote matrix degradation<sup>11</sup>. These TLRs will prime the Nod-like receptor  
76 protein 3 (NLRP3) inflammasome for activation by cholesterol crystals leading to IL-  
77 1 $\beta$  activation.<sup>12</sup> Pathway activation leads to the increased release of cytokines<sup>13</sup> and  
78 activate the endothelium by increasing the expression of adhesion molecules and  
79 chemokines, costimulatory molecules, and pro-inflammatory transcription factors, such  
80 as CD40 and nuclear factor- $\kappa$ B (NF- $\kappa$ B)<sup>14-16</sup>, and trained immunity<sup>17</sup> that promote the  
81 recruitment of inflammatory cells into the vascular wall. Macrophages are of key  
82 relevance<sup>18</sup> since they can scavenge oxidized LDL leading to their transformation into  
83 pro-atherogenic foam cells<sup>1, 6, 19</sup>.

84 Adaptive immune responses play a key role in atherogenesis. Activated T  
85 lymphocytes are present in both peripheral blood and coronary plaques in patients with  
86 ACS<sup>1, 20</sup>, and especially Th1-derived cytokines such as TNF $\alpha$  (tumour necrosis factor  
87  $\alpha$ ), interferon-gamma and interleukin-12 are associated with atherosclerosis. Although  
88 the notion of immunomodulatory effects of lipid-lowering agents emerged from both  
89 experimental and clinical studies<sup>21, 22</sup>, the causal relation between lipids and immunity  
90 with regard to atherogenesis remains incompletely understood.

91

## 92 **ANTI-INFLAMMATORY EFFECTS OF LIPID-LOWERING THERAPIES**

93 There is overwhelming evidence showing that statins have anti-inflammatory and  
94 immunomodulatory effects. They decrease the activity of the transcription factor NF-  
95  $\kappa$ B<sup>3</sup>, with subsequent diminution in the expression of adhesion molecules, cytokines and  
96 MMPs, interfering also with the arachidonic/cyclooxygenase (COX) pathway<sup>23a-23b</sup>.  
97 Also, they reduce plasma levels of inflammatory markers such as C-reactive protein  
98 (CRP)<sup>24</sup>. Although most evidence has been obtained with statins, other lipid-lowering  
99 approaches show similar inhibitory effects on inflammation. For instance, ezetimibe and  
100 fibrates also inhibit the NF- $\kappa$ B pathway and decrease CRP levels<sup>25-28</sup>. Similarly, low fat  
101 diet reduces CRP levels<sup>29</sup> and Mediterranean diet also decreases CD40 expression on  
102 monocytes and plasma levels of cell adhesion molecules and cytokines<sup>30</sup>. Accordingly,  
103 lipid-lowering has been shown to decrease the incidence of cardiovascular events  
104 independently of the employed therapy<sup>31</sup>.

105 Recently, another class of lipid-lowering drugs, Proprotein convertase  
106 subtilisin/kexin type 9 (PCSK9) inhibitors, have been demonstrated to reduce the of  
107 cardiovascular events<sup>32,33</sup>. Although PCSK9 inhibitors do not decrease CRP plasma  
108 levels,<sup>34 35</sup> they reduce Lipoprotein (a) levels -a molecule that promotes inflammation,  
109 oxidative stress, and coagulation<sup>36</sup>- and decrease monocyte activation and  
110 transmigration in patients with familial hypercholesterolemia<sup>34</sup>. Moreover, antibody-  
111 based PCSK9 inhibition in atherosclerotic mice diminished plaque macrophage and  
112 necrotic core content<sup>37</sup>.. Conversely, up-regulation of hepatic LDL receptors (LDLR)  
113 by PCSK9 inhibition results in increased lipopolysaccharide clearance, a decreased  
114 inflammatory response, and improved survival following sepsis in mice,<sup>38</sup> while patients  
115 with PCSK9 loss-of-function variants exhibit improved clinical outcomes during septic  
116 shock<sup>39</sup>. Likewise, PCSK9 expression can be experimentally induced by pro-  
117 inflammatory molecules, such as Lipopolysaccharide, TNF $\alpha$ , and hepatocyte nuclear  
118 factor-1 $\alpha$ <sup>40-41</sup>.

119 Also, PCSK9 modulates LDLR expression in macrophages,<sup>46 47</sup> promoting the  
120 expression of pro-inflammatory markers, and inhibiting anti-inflammatory molecules<sup>47</sup>.  
121 In humans, plasma PCSK9 concentrations increase in sepsis<sup>48</sup>, trauma<sup>49</sup>, and in acute  
122 coronary syndromes, and they are positively associated with hsCRP<sup>50</sup>, white blood cell  
123 count, and fibrinogen in coronary patients<sup>51</sup>. In conclusion, this evidence confirms that  
124 all LDL-c-lowering therapies employed to date decrease inflammation.

125

## 126 **ANTI-INFLAMMATORY THERAPY AND CARDIOVASCULAR RISK**

127 Inflammatory cytokines such as IL-1<sup>52</sup> and TNF<sup>53</sup> have been detected in human  
128 coronary atherosclerosis. Observational studies have revealed an association of different  
129 anti-inflammatory treatments, when used for their indications, with reduced  
130 cardiovascular risk, providing support for the concept of anti-inflammation in  
131 cardiovascular prevention<sup>6</sup>. It is the case of anti-TNF therapy in rheumatoid arthritis<sup>54, 55</sup>  
132 and anti-leukotrienes in asthmatics<sup>56</sup> that apparently decreased the incidence of  
133 cardiovascular events.

134 On the other hand, other anti-inflammatory drugs failed to decrease the  
135 cardiovascular risk, as was observed for steroids in patients with unstable angina<sup>57</sup>.  
136 With the exception of aspirin, non-steroidal anti-inflammatory (NSAIDs) drugs,  
137 especially COX-2 inhibitors, have in general increased cardiovascular risk<sup>58, 59, 60</sup>,  
138 indicating that this class of anti-inflammatory drugs should be limited to patients  
139 without other alternatives<sup>59</sup>. Interestingly, the PRECISION (Prospective Randomized  
140 Evaluation of Celecoxib Integrated Safety versus Ibuprofen or Naproxen) trial showed  
141 non-inferiority of celecoxib versus ibuprofen and naproxen regarding cardiovascular  
142 events<sup>61</sup> highlighting some hypertensive effects of these drugs. Interestingly, these  
143 effects were minimal for celecoxib.



144 The recent CANTOS trial sets a new paradigm in the relationship of  
145 inflammation with atherosclerosis and lipids<sup>7</sup>. In this randomized, double-blind trial,  
146 10,061 high-risk patients with a previous myocardial infarction, majority using  
147 moderate to high intensity statin therapy and hsCRP>2 mg/L received canakinumab, a  
148 monoclonal antibody that blocks IL1 $\beta$ , or placebo. After a median follow-up of 3.7  
149 years there was a ~15% decrease in the incidence of the primary end point composed of  
150 nonfatal myocardial infarction, nonfatal stroke with the highest dose investigated.  
151 Interestingly, an even higher risk reduction of ~27% was observed in patients  
152 characterized by above median reduction of CRP following canakinumab administration  
153 (ESC presentation Ridker). While there was an increase in the incidence of fatal  
154 infections, neutropenia or thrombocytopenia in patients on canakinumab, there were  
155 also non-CV benefits, comprising a reduction in the incidence of lung cancer, cancer  
156 mortality, arthritis, and gout<sup>7, 62</sup>.

157

158 **IMPACT OF THE CANTOS TRIAL ON OUR UNDERSTANDING OF**  
159 **INTERPLAY BETWEEN LIPIDS AND INFLAMMATION**

160 The results from the CANTOS trial provide a first proof-of-principle about the link  
161 between lipids and inflammation. In this trial, canakinumab did not decrease lipid  
162 levels<sup>62</sup>. Thus, the CANTOS data excludes the possibility that canakinumab reduces  
163 CV-risk through lipid-dependent mechanisms linked to IL-1 $\beta$ .

164 Patients in the CANTOS trial had mean LDL-C levels of approximately 80  
165 mg/dl and CRP > 2 mg/L. Efforts to further reduce residual CV-risk now have multiple  
166 options. Following the post-hoc analysis in the FOURIER study, Giugliano and  
167 colleagues showed that CV-benefit by LDL-c lowering is extended to values even below  
168 20 mg/dl (Giugliano, Fourier, Lancet 2017). Conversely, CANTOS shows that a  
169 persistent CRP reduction following canakinumab may also convey a 25% CV-risk

170 reduction (ref Ridker, ESC presentation). Suggested biomarkers to guide personalized  
171 medicine in an effort to further reduce residual burden in high CV-risk patients  
172 comprise absolute LDL-c levels, CRP levels<sup>70</sup> or IL-1 $\beta$  genotype<sup>71</sup>. However, the role  
173 of other inflammatory biomarkers or even imaging strategies (PET/CT ref  
174 Tawakol/Fayad/Rudd; MRI-lipid by Choudhury?) to better select high-responders to  
175 therapeutic moieties targeting either residual lipid or inflammatory pathways remains to  
176 be established<sup>72</sup>. Last but not least, despite the landmark character of CANTOS, the  
177 high costs of canakinumab preclude its broader use in cardiovascular prevention.

178

## 179 **CONCLUSIONS AND PERSPECTIVES**

180 **1) Interplay between lipids and inflammation:** The reduction in cardiovascular events  
181 observed with IL1 $\beta$ -blockade confirms the link between lipids and inflammation. The  
182 mechanism involves cholesterol crystals (and possibly other lipid species) which  
183 activate non-canonical pathways (TLRs or the NLRP3) to induce maturation of IL-1 $\beta$   
184 which is blocked by canakinumab.

185 **2) Other pro-inflammatory targets appear worth testing.** Although IL1 $\beta$  blockade is  
186 today the only anti-inflammatory approach shown to reduce cardiovascular risk in a  
187 randomized clinical trial, the wealth of evidence linking inflammation with  
188 atherosclerosis indicates that other potential targets exist to be evaluated in future trials.

189 **3) Anti-inflammatory therapy is complementary to lipid-lowering and risk factor**  
190 **control.** Canakinumab is not a competitor of lipid-lowering therapies given, among  
191 other reasons, that the large evidence supporting these therapies cannot be compared  
192 with the results of only one clinical trial.

193 **4) CANTOS – a proof-of-principle study for causal role of inflammation in human**  
194 **atherosclerosis.** Although the results of CANTOS trial may be considered a milestone  
195 in cardiovascular medicine, given all the above considerations it is improbable that

196 canakinumab is prescribed to patients with cardiovascular risk to improve their  
197 prognosis. Alternatively, an attractive idea would be to systematically and randomly  
198 explore its effect in patients with rheumatologic disorders or in other context of chronic  
199 inflammation using trials with a prespecified cardiovascular endpoint.

200 5) Would highlight anti-cancer effects. → likely to create impact in the cancer field.

201 6) Other cheaper tools than antibodies may also do the job of lowering IL1b (e.g. RNA  
202 interference – as in ORION).

203 7) Anti-inflammatory therapy has a narrow therapeutic window as compared to LDL-C  
204 lowering therapy. → increased infections, sepsis after anti-IL1b therapy, whereas  
205 lowering LDL-C to very very low levels reveals no side effects. → caution with dosing  
206 of anti-inflammatory agents.

207 .

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236

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537 **FIGURE LEGENDS**

538 **Figure 1. Lipid dysregulation triggers inflammatory and immune responses. AP-1:**

539 Activator protein-1; **CCR2:** Chemokine receptor type-2; **COX-2:** Cyclooxygenase-2;

540 **DC:** Dendritic cells; **IKK:** I $\kappa$ B kinase; **IL:** Interleukin IL: Interleukin; **INF $\gamma$ :**

541 Interferon- $\gamma$ ; **JAK-STAT:** Janus kinase and Signal Transducer Activator of

542 Transcription Proteins; **JNK:** Jun kinase; **LDL:** low-density lipoprotein; **mLDL:**

543 modified LDL; **oxLDL:** oxidized LDL; **M $\phi$ :** macrophages; **MCP-1:** Monocyte

544 chemoattractant protein-1; **NF- $\kappa$ B:** Nuclear factor- $\kappa$ B; **NLR:** NOD-like receptors;

545 **PMN:** Polymorphonuclear; **PRR:** Pattern recognition receptors; **ROS:** Reactive Oxygen

546 species; **Scav-R:** Scavenger receptors; **SRA** and **SRB:** Scavenger receptor class A and

547 B; **TGF $\beta$ :** Transforming growth factor- $\beta$ ; **TLR:** Toll-like receptor; **TNF $\alpha$ :** Tumor

548 necrosis factor- $\alpha$ ; **TNF-R:** Tumor necrosis factor-receptor; **VCAM:** Vascular cell

549 adhesion molecule.

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