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**Title:**

**The impacts of family involvement on general hospital care experiences for people living with dementia: An ethnographic study**

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**Conflicts of interest:**

We have no conflicts of interest to declare.

## **Abstract:**

### **Background:**

A quarter of people in general hospitals have dementia. Limited existing studies suggest that hospital care experiences of people living with dementia, and the involvement of their families in care, may be suboptimal.

### **Objectives:**

The objectives of this study were to explore how family involvement impacts upon experiences of hospital care for people living with dementia.

### **Design:**

A qualitative ethnographic study.

### **Methods:**

Ethnographic data were collected from two care of older people general hospital wards. Data were collected via observations, conversations and interviews with people living with dementia, families and staff. In total, 400 hours of observation and 46 interviews were conducted across two 7-9 month periods.

### **Results:**

People living with dementia could experience a lack of connection on multiple levels - from pre-hospital life as well as life on the wards - where they could spend long periods of time without interacting with anyone. There was great variation in the degree to which staff used opportunities to involve families in improving connections and care. When used, the knowledge and expertise of families played a crucial role in facilitating more meaningful interactions, demonstrating how person-centred connections and care are possible in busy hospital settings. Despite such benefits, the involvement of families and their knowledge was not routine. Care was required to ensure that family involvement did not override the needs and wishes of people living with dementia.

### **Conclusions:**

This study demonstrates the benefits of involving families and their knowledge in care, advocating for family involvement, alongside the involvement of people living with dementia, to become a more routine component of hospital care.

**Keywords:** Acute care; General Hospitals; Nursing; Dementia; Family Caregivers; Qualitative Research; Ethnography

## **Contribution of the Paper:**

### **What is already known about the topic?**

- Experiences of general hospital care are often poor for people living with dementia
- Working with families of people living with dementia may help to improve care
- Research is needed to understand how families of people living with dementia are involved in care and the impacts of their involvement

### **What this paper adds?**

- People living with dementia experienced disconnection from pre- and in-hospital life in many different ways
- Families could play crucial roles in facilitating more person-centred connections and care but their involvement was not routine, varying between and within hospital wards
- Family involvement was not uniformly positive for people living with dementia; careful attention is required to ensuring family involvement does not override the needs or wishes of the person

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### Background and Objectives

Considerable numbers of people living with dementia are admitted to general hospitals. For example, in the UK, one in four hospital patients have dementia and up to one in two may have some form of cognitive impairment (Alzheimer’s Society, 2016, 2009; Royal College of Psychiatrists, 2005). Their care needs are multifaceted and diverse due to the combination of cognitive impairment and a wide range of co-morbid medical problems (Porock et al., 2015; Knopman et al., 2003), some of which may exacerbate symptoms of dementia. These complex needs are often poorly met in general hospital settings (Dewing & Dijk, 2016). Outcomes of hospital care for people living with dementia are often poor, and include longer lengths of stay and higher rates of malnutrition, dehydration, delayed discharges, care home admissions and mortality than people without dementia (Dewing & Dijk, 2016). A growing body of research exploring hospital care from the perspective of people living with dementia suggests that experiences of care are also poor (e.g. Featherstone et al, 2019; Porock et al., 2015; Cowdell, 2010). Positive examples of care exist (Scerri et al., 2015; Cowdell, 2010; Tolson et al., 2009), but hospitals are often viewed as distressing and bewildering environments (Porock et al., 2015; Cowdell, 2010), where interactions are limited or dominated by routinized clinical care at the expense of interactions on a personal level (Featherstone et al., 2019; Moyle et al., 2011; Cowdell 2010). Staff and families also identify care concerns, including inadequate support with eating and drinking, communication problems, insufficient social interaction, and difficulties managing distressed behaviour (Boltz et al., 2015; Alzheimer’s Society, 2009). The possibility of a causal relationship between poor care quality and poor outcomes (Featherstone at el, 2019; Sampson et al, 2009) highlights the importance of improving care quality.

One approach through which hospital care for people living with dementia might be improved is the involvement of families and friends in care planning and delivery. Outside hospital, people living with dementia are often supported by families and friends, who represent a potential source of knowledge and expertise in relation to their relative’s care. For example, they may hold in-depth knowledge of the person and their usual levels of functioning, or have a repertoire of skills and strategies to help care for them, such as familiar care routines or bespoke communication techniques (Bray et al., 2015; Nolan et al., 2002; Redfern et al., 2002). Such expertise, if conveyed to hospital staff or employed in hospitals by families and friends, could help to improve care.

Whilst not all relatives and friends are able to provide such input, some carers welcome opportunities to help improve care (Cowdell, 2008). However, despite widespread support for family involvement from campaigns, reports and policy initiatives (e.g. Jones & Gerrard, 2014; National Federation of Women’s Institutes, 2016; Royal College of Nursing, 2011), limited guidance or research is available on how families of people living with dementia can be involved in general hospital care (Bauer et al., 2011a; Boltz et al., 2015; Kelley, 2017; Porock et al., 2015). The few available studies paint a negative picture of family involvement practices, where families’ expertise is often not recognised or sought by hospital staff (Cowdell, 2008; Nolan et al., 2002; Douglas-Dunbar & Gardiner, 2007) and families are excluded from knowledge exchanges, care planning and decision making (Jurgens et al., 2012; Bloomer et al., 2014; Bauer et al., 2011a; Walker & Dewar, 2001; Douglas-Dunbar & Gardiner, 2007; Care Quality Commission, 2014; Department of Health, 2009). However, there are several limitations to these studies; the processes and impacts of family

44 engagement is poorly understood, people living with dementia are often excluded, and data  
45 collection is often restricted to interviews conducted after discharge, further limiting the  
46 involvement of people living with dementia and longitudinal exploration of families' involvement. As  
47 a result, there remains much to be understood about the processes, challenges and impacts of  
48 involving families in general hospital dementia care.

49 To address these evidence gaps, this study explored the processes through which families are  
50 involved in general hospital dementia care, and the impacts of their involvement on care  
51 experiences. The methodological limitations of previous studies were avoided by collecting data  
52 from all three arms of the care-giving triad (people living with dementia, families and staff) over the  
53 course of an admission (to explore family involvement experiences longitudinally) and using multiple  
54 data collection methods to maximise the involvement of people living with dementia.

55

## 56 **Research Design and Methods**

### 57 **Data collection**

58 Data were collected from people living with dementia, their families, and staff in two care of older  
59 people hospital wards in the north of England. Data were collected by the lead author over two 7-9  
60 month periods between 2011 and 2013. An ethnographic approach, involving participant  
61 observations, informal conversations, and in-depth interviews, was used to explore experiences of  
62 care and the involvement of families in those experiences. Ethnographic methods were well suited  
63 to the achieving the study's aims, enabling exploration of actions and interactions between  
64 members of the care-giving triad and of how family involvement is enacted in practice. Extensive  
65 fieldwork enabled relationships to develop with participants and facilitated timing and tailoring of  
66 data collection to the communication abilities and preferences of participants, in particular those  
67 living with dementia.

68

#### 69 *Observational Data Collection*

70 Data collection began with a period of general observations to explore routine patterns of care, and  
71 to allow the researcher to become familiar with the ward environments and staff. These were  
72 followed by in-depth case studies (involving participant observations, conversations and interviews)  
73 with 12 dyads of people living with dementia and their families (6 per site). A larger number of staff  
74 were observed and interviewed to gather a range of experiences in relation to each dyad, and to  
75 explore general views on care experiences and family involvement.

76 400 hours of observation were conducted by RK; 190 hours over 67 (non-consecutive) days at site 1  
77 and 210 hours over 71 days at site 2. Observation sessions were typically 2-4 hours long, but ranged  
78 from 30 minutes to six hours depending on the activity being observed, encompassing different days  
79 and times of the week, including mornings (from 8am), evenings (until 9pm) and weekends.

80 Observations took place in various wards locations (e.g. bed spaces, communal areas, meeting  
81 rooms) and involved conversations with participants as well as observations of events. From initial  
82 observations and review of the literature, a sensitising framework was developed to guide the  
83 observational data collection, guiding attention towards the nature of families' involvement and  
84 how it impacted upon experiences of care. Handwritten fieldnotes were made during observations,

85 or shortly afterwards, and typed into fuller versions later. Notes were also made from accounts of  
86 families' input in hospital records.

87

### 88 *In-depth Interviews and informal conversations*

89 Alongside the observations, in-depth interviews and informal conversations with case study  
90 participants were used to further explore experiences of care and of families being involved in care.  
91 Informal conversations occurred throughout the data collection period and were recorded in  
92 fieldnotes. These provided a valuable means of including the perspectives of people living with  
93 dementia who were unable to participate in a full interview. In addition to these informal  
94 conversations, 46 in-depth semi-structured interviews were conducted. These interviews were  
95 audio-recorded and took place with 23 staff, 11 family members (1 declined an interview), and 4  
96 people living with dementia. Follow up interviews were conducted with 8 of the 11 family members  
97 after discharge to explore experiences across the course of the admission.

98 Separate interview topic guides were used for people living with dementia, families and staff,  
99 shaped by the research aims, existing literature and observed events. All audio-recorded interviews  
100 were transcribed verbatim. Interview length varied from shorter conversations with some people  
101 living with dementia (around 30 minutes) to in-depth discussions with relatives and staff (up to 1.5  
102 hours). Most interviews were conducted in private spaces on the wards, but some interviews  
103 occurred by bedsides due to poor mobility or a lack of alternative options. Post-discharge interviews  
104 with families usually took place in the family or person's own residence. Interviews sometimes took  
105 place in stages to accommodate interviewee preference, concentration levels or time constraints. A  
106 reflexive diary was used to document and explore the researcher's influence throughout data  
107 collection and analysis.

108

### 109 **Sampling**

110 The research took place on two care of older people acute hospital wards in two cities in the north  
111 of England: an 18 bedded rehabilitation ward and a 24 bedded general hospital ward. These settings  
112 were purposefully selected to explore practices and policies across different organisations and care  
113 environments and patients with varied medical needs. Purposive sampling was used to include a  
114 diverse range of case study participants; for example, people with a range of physical complaints,  
115 degrees of dementia, and care-giver relationships, and staff with varying professional backgrounds,  
116 training and experience.

117 People living with dementia (and their families) were eligible for inclusion in the case studies if the  
118 person had a confirmed or suspected dementia diagnosis, was expected to remain in hospital for at  
119 least 7 days, had at least one identifiable family member or friend, was not seriously or terminally ill,  
120 and communicated predominantly in English. Further details of case study participants are provided  
121 in Figure 1. All ward staff, apart from students and agency staff, were eligible for inclusion. Staff  
122 participants included doctors and nurses (with varying degrees of seniority), healthcare assistants  
123 and therapists (physiotherapists, occupational therapists and therapy assistants).

124

*Figure 1 about here*

### 125 **Recruitment and consent**

126 Permission for the orientation observations was sought verbally from senior ward staff, patients,  
127 families and staff, who were made aware of the observations through discussions and posters.  
128 Written consent was sought for the patient-carer case studies and staff interviews. Case study  
129 participants were identified by nursing staff from cues in hospital records suggesting dementia (e.g.  
130 'memory problems' or 'cognitive impairment') and their knowledge of each person's cognitive state.  
131 Case study participants were asked by staff if they were happy to speak with the researcher before  
132 direct approaches were made. One family decided not to take part after the initial approach due to  
133 their relative becoming seriously ill. Staff interviewees were approached directly from the  
134 researcher's knowledge of who was most involved in each participant's care.

135 Care was taken to explain the study in an understandable way to enable people living with dementia  
136 to make their own decisions about taking part wherever possible. Capacity to consent was assessed  
137 during these conversations. Written informed consent was obtained from participants with capacity,  
138 and the advice of a personal consultee sought for people who lacked capacity in accordance with the  
139 Mental Capacity Act (2005). Obtaining consent was an ongoing process through which the  
140 willingness of people living with dementia to take part was repeatedly ascertained, either verbally or  
141 by monitoring for any signs of unwillingness to take part, such as reluctance to speak to the  
142 researcher or anxiety caused by her presence. Ethical approval for the study was provided by  
143 Bradford Research Ethics Committee (Ref: 10/H1302/4).

144

#### 145 **Data Analysis**

146 Data collection and analysis were informed by a Constructivist Grounded Theory approach (Charmaz,  
147 2014). Grounded Theory was chosen as a complimentary and widely used analytic approach in  
148 ethnography which supported the study's focus on exploring patterns of social interaction (Annells,  
149 1996). A constant comparative approach was used to integrate data collection and analysis  
150 (Charmaz, 2012). Interview transcripts and fieldnotes for each case study were initially read through  
151 and coded line-by-line, with reflections and ideas noted in analytic memos. Key codes were  
152 identified and developed via further coding and data collection. Simultaneous data collection and  
153 analysis, and constant comparison (across different data sources, participants, settings and time  
154 points), was used to test and refine emerging analytic ideas and to inform subsequent data  
155 collection and sampling decisions. All authors were involved in the analysis, with RK repeatedly  
156 sharing and discussing transcripts and the emerging themes with the co-authors. The data were  
157 analysed using Atlas.ti (2015)

158

#### 159 **Results**

160 The results begin by summarising experiences of hospital care for people living with dementia, to  
161 provide some context for exploring how family involvement impacted upon those experiences.

162

#### 163 **Experiences of hospital care for people living with dementia – from disconnection 164 to connection**

165 Hospital care for people living with dementia could involve multiple disconnections – from pre-  
166 hospital care-giving relationships and routines as well as the unfamiliar people, routines and

167 environments encountered in hospital. However, connections were not simply present or absent but  
168 existed on a continuum. First, we summarise the features of disconnection before considering how  
169 increasingly meaningful connections were made, the crucial roles families could play in creating  
170 these, and the impact of these connections on care quality.

171

## 172 **Disconnections from pre-hospital life**

### 173 ***Disconnection from prior care-giving roles and relationships***

174 Care-giving relationships were often disrupted during hospital admissions. Prior to hospitalisation,  
175 many people living with dementia had close emotional and care-giving bonds with family members:

176 *Interview Site 1, Carer 3: "I've been looking after him for the past 4 years now... making sure*  
177 *he's got plenty of everything... It's what he's comfortable with really, I'm like his bloody*  
178 *cardigan!"*

179 Disruptions to these care-giving roles and relationships were often keenly felt by both parties.  
180 People living with dementia often made references to missing close family and friends, sometimes  
181 repeatedly searching or calling out for them:

182 *Fieldnotes, Site 2: Kitty repeatedly searches for her daughter Wilma, with whom she is very*  
183 *close. 'Where's Wilma?' she calls, looking and walking around with outstretched arms, 'Do*  
184 *you know where Wilma's gone?'*

185 Families spoke of the disconnect they experienced from their usual familial and care-giving roles,  
186 including difficulties determining their relative's care and well-being in hospital and in maintaining  
187 care-giving or social relationships with their relative:

188 *Interview Site 2, Carer 23: "I rang up every morning to find out how Kitty had been... the*  
189 *number of times when I was told 'Oh yes she's settled' and... on visiting, I find that she's been*  
190 *up and about, which isn't settled."*

191 *Interview Site 2, Carer 20: "You're a visitor aren't you – you can't even sit and have a cup of*  
192 *tea with them. It's just a cup of tea and a bit of normality into your life."*

193 Usual care-giving roles, and control over these, were taken away as care 'ownership' transferred to  
194 the hospital, leaving many families to transition to the much less active role of visitor.

195 Organisational policies - such as protected mealtimes, restricted visiting hours and infection control -  
196 could further limit opportunities for families to undertake care-giving roles:

197 *Fieldnotes Site 2: (Daughter talking to her father) 'No one will come tomorrow. It's cleaning*  
198 *day, so they won't let us in tomorrow.'*

199

### 200 ***Disconnection from prior routines and levels of functioning***

201 Alongside temporary absences of familiar people, the lack of recognisable routines meant that ward  
202 life could feel very unfamiliar to people living with dementia. Routinized care cultures left little room  
203 for maintaining connections to usual routines and levels of functioning:

204 *Interview Site 2, Carer 20: “Even if they just got dressed every day and did something that*  
205 *were a normal routine... rather than just there’s your bed, there’s your chair... never getting*  
206 *out of the pyjamas or anything.”*

207 *Interview Site 1, Staff 12: “They get everybody up, washed and dressed... by 10 o’clock so*  
208 *they can all have their break... actually Mrs Smith might want to have a lie in... it’s around*  
209 *culture and historically what they’ve always done... it’s not around individual patients.”*

210 Prolonged disruptions to familiar routines and levels of functioning could have important  
211 consequences for people living with dementia; exacerbating confusion and causing the person to  
212 lose, through lack of practice, connections to valuable abilities:

213 *Interview Site 1, Staff 1: “Everything is out of her (Mavis’) normal routine so once she goes*  
214 *home it may be she’s going home quite different from how she was.”*

215 Irreversible functional decline could lead to increased care post discharge (including residential  
216 care), thus causing further disconnections from previous life.

217 A lack of attention to information about preferences and routines could also limit connections to  
218 usual life:

219 *Interview Site 1, Carer 1: “I had explained to them about her meals... Just give her bread, no*  
220 *butter, and jam... a cup of tea... But nobody would listen... and then they are getting upset*  
221 *because she’s not eating.”*

222 This highlights again how failure to maintain feasible aspects of usual life could have important  
223 consequences - a reduction in food and drink intake in this instance – indicating the potential value,  
224 if used, of families’ knowledge for enhancing care provision.

225

## 226 **Disconnections from in-hospital life**

### 227 ***Disconnection from fellow patients, staff and the ward environment***

228 Disconnection from familiar people was compounded by the large amounts of time people living  
229 with dementia spent without anyone to interact with or alleviate their concerns. As visiting times  
230 were restricted, other patients or staff were often the main potential sources of interaction. Whilst  
231 some patients chatted to each other, interaction was often limited by confusion, ill health, deafness,  
232 poor sight, or distances between people (with beds, chairs, curtains or side rooms separating  
233 people). Opportunities for interaction with staff were also limited by closed-ward designs (for  
234 example, bed areas not visible from ‘staff’ areas) and the volume and prioritisation of clinical work;  
235 when staff were not providing care in the immediate vicinity, they were often working out of sight  
236 elsewhere. As a result, people living with dementia could spend long periods with limited interaction  
237 with others:

238 *Fieldnotes Site 2: Mabel is in a side room repeatedly banging objects against her bed frame.*  
239 *A staff member says she wants someone to sit with her and she has told her she has ‘no time*  
240 *to talk to you’.*

241 *Interview Site 1, Carer 3: “They put him in his own room, that’s it. People just used to come in*  
242 *briefly and come out, but he would be left for hours, just by his self.”*

243 As these quotes reveal, the levels of engagement required by people living with dementia could not  
244 always be accommodated during busy, task-focused ward routines. Despite high numbers of people  
245 living with dementia on both wards, staffing was often not perceived by staff or families as sufficient  
246 for the time required to meet their needs. In addition, physical ill health, delirium, and hearing  
247 impairments created further challenges to making connections, particularly if staff were unfamiliar  
248 with the person and their usual ways of communicating.

249 In addition, ward environments were unfamiliar and often bewildering places for people living with  
250 dementia. Bed spaces were typically clinical and unengaging, largely devoid of recognisable features,  
251 with equipment and signs that could be difficult to make sense of, even causing distress at times:

252 *Fieldnotes Site 2: Ruby voices repeated concerns that her feet are in water, thinking the blue*  
253 *wires holding her notes onto her bed are taps of pouring water. They are shaped like taps*  
254 *and, if they were taps, would be pouring water directly onto her feet.*

255 A lack of interaction, and stimulating or orientating features (such as pictures, clocks, televisions,  
256 radios or sight of staff or the rest of the ward), could mean people living with dementia had limited  
257 means of making sense of their unfamiliar surroundings:

258 *Fieldnotes Site 1: Ailsa looks frightened and confused, staring around with an alarmed*  
259 *expression, saying tearfully 'I'm not stupid, but where am I? I just can't see where I am?'*

260

## 261 **The effects of disconnection on care quality**

262 Disconnection had numerous negative impacts, highlighting the value of creating connections and of  
263 engaging families' help to do so.

264 A lack of connection with staff could obstruct care provision; people living with dementia could  
265 refuse care or struggle to articulate their needs, particularly when staff were out of sight or knew  
266 little about the person and how to interact with them:

267 *Interview Site 2, Staff 33: "A patient may come into hospital that's got dementia, that won't*  
268 *take tablets for you, will become quite distressed if you try to wash them or toilet them. And*  
269 *you don't know anything about that person to try and put them at ease."*

270 A lack of connection could also affect the emotional well-being of people living with dementia,  
271 exacerbating symptoms such as agitation, distress and fear:

272 *Interview Site 2, Carer 25: "It [making a connection] would have made a difference... because*  
273 *then my mam wouldn't have been as upset as she was... she wouldn't have been as*  
274 *frightened."*

275 People who were distressed or anxious had a particularly high need for connection with others. Staff  
276 often tried hard to interact with them, displaying patience, kindness and continued responses to  
277 repeated distress. However, a lack of personal knowledge and time could mean these attempts  
278 failed to alleviate the person's distress. A lack of staff presence or time to interact was also linked to  
279 an increased risk of falls:

280 *Interview, Site 1, Staff 7: "When you don't have the staff you can't do that [engage with*  
281 *people living with dementia] and therefore they become a falls, more of a falls risk... they*  
282 *also get agitated cause you're constantly telling them to go and sit back down."*

283 *Fieldnotes, Site 2: Lynette starts mumbling, calling out and shuffling down her bed, her feet*  
284 *beginning to hang off the bed. She shuffles and mumbles for 5 minutes before shouting 'Take*  
285 *me to the toilet!'. She continues shuffling and calling out as people walk past outside. A*  
286 *housekeeper enters, sees what is happening, and tries unsuccessfully to find a nurse. She*  
287 *presses Lynette's call button before continuing her work. Lynette shuffles further off the bed,*  
288 *pulling her hands out of her knickers, covered in runny faeces, moaning 'In a mess.'*

289

## 290 **Creating connections**

291 Despite the challenges of connecting with people living with dementia in acute settings, there were  
292 many circumstances under which connections were made. These connections ranged from brief or  
293 task focused to personalised and meaningful, with personal knowledge from families, or their direct  
294 involvement, often enabling the latter.

### 295 ***Using opportunities to connect***

296 Although care was occasionally delivered almost silently, or alongside conversations with colleagues,  
297 many staff used the opportunities care tasks presented to interact with people living with dementia.  
298 Whilst care was often delivered with warmth and kindness, interactions could relate mainly or  
299 entirely to the task:

300 *Fieldnotes Site 2: A staff member finds Leila, who has poor mobility, alone on a commode*  
301 *behind curtains – 'What you doing Leila! Could have fallen! Don't think you should be left on*  
302 *your own on toilet.' She helps Leila onto the bed - 'Leila push up the bed darling' - before*  
303 *tidying around the bed. She doesn't say anything else to Leila, pulling back the curtains soon*  
304 *afterwards and leaving in silence.*

305 Some staff expanded conversations during tasks to include other topics, or took the opportunity to  
306 engage with people living with dementia as they passed:

307 *Fieldnotes Site 1: A staff member passes John [he is sat in the corridor] and says 'Hiya John',*  
308 *stroking his hand gently. 'Yeah, you alright' replies John.*

309 *Interview Site 2, Staff 29: "We are so busy sometimes, but it's usually when you wash people,*  
310 *cos you have at least 10 minutes... you say "Oh were you ever married then? How many*  
311 *children have you got? ...Sometimes they can't remember, but most of them they can... They*  
312 *will just talk and talk! ...If you didn't ask them they wouldn't initiate. But I do ask."*

313 These quotes demonstrate that it is possible to find opportunities to make meaningful connections  
314 on busy acute wards, and the value of seeking and using personal knowledge to create meaningful  
315 connections.

316

## 317 **The roles of families in creating connections**

318 The personal knowledge of families, and their expertise and involvement in care, could play  
319 numerous crucial roles in facilitating the connections required to provide more person-centred care.

320

### 321 ***Using personal knowledge to create meaningful connections***

322 Personal knowledge, often available from families if the person could not communicate it  
323 themselves, provided a valuable means of facilitating and expediting connections. Even simple  
324 conversation triggers, such as the names of familiar people or places, could be used to stimulate  
325 prolonged interactions:

326 *Interview Site 2, Staff 32: "You become a bit detached because you don't know them. But*  
327 *once you see a photo, or you speak to the family about what they used to do... you can say to*  
328 *them 'Oh I heard you used to work at the mills'...we'll be talking about the mill then for half*  
329 *an hour, and ...you've made a connection."*

330 *Interview Site 1, Staff 7: "If you're asking a really broad question... they might not be able to*  
331 *answer you... If you've got prompts about that person, it makes it a lot easier to gauge your*  
332 *questioning to be specific to them... you've already given them a little clue and then they can*  
333 *build around it... and therefore they'll engage with it more."*

334 Personal knowledge, in the form of conversation prompts or communication techniques, enabled  
335 people living with dementia to participate in conversations, assessments and care activities in ways  
336 they would otherwise have been unable to.

337

### 338 ***Creating and maintaining connections with the person***

339 As well as providing personal knowledge, families could find it easier to connect meaningfully with  
340 their relatives than staff:

341 *Fieldnotes Site 2: Emmett recites riddles made up in his daughters' childhood. His daughter*  
342 *and wife join in, prompting when he gets stuck, laughing with him after each one. He often*  
343 *mumbles, his words unclear, but they still recognise his rhymes, reciting them when he can't*  
344 *find the words.*

345 Although families, as with staff, could face challenges connecting with people living with dementia,  
346 their in-depth knowledge of the person often helped to overcome these.

347 Maintaining family connections during hospitalisation was a key concern for people living with  
348 dementia, many of whom attached great value to these relationships and the opportunities visiting  
349 times offered to maintain them:

350 *Fieldnotes Site 1: Ray says visitors bring 'a smile to my face', describing how his lady friend's*  
351 *visit 'made my day'. He says his granddaughter is visiting tonight, adding he hopes she brings*  
352 *his great grandson, a broad smile spilling across his face.*

353 Creating and maintaining connections with hospitalised relatives was also a key aim for many  
354 families:

355 *Fieldnotes Site 1: Ray's daughter describes the visiting rota she has arranged, which mirrors*  
356 *the visits her dad gets at home. She describes the lengths she has taken to ensure visitors at*  
357 *each visiting time.*

358 A focus of family visits was often on maintaining the person's connections with familiar life, with  
359 news and photographs brought in to maintain connections to the outside world. These examples,  
360 alongside further ones below, illustrate how disconnection was not constant or inevitable.

361

### 362 ***Creating connections with ward environments***

363 Families who brought personal items to the wards (e.g. favourite photographs, activities, blankets  
364 and clothes), also provided their relative with a sense of familiarity and identity, and thus a  
365 connection to their sense of self, in an otherwise highly impersonal environment. These items also  
366 stimulated personalised interactions with staff:

367 *Fieldnotes Site 2: A staff member speaks kindly to Betty, introducing himself. He picks up a*  
368 *picture of her granddaughter, asking her name. Betty falters, forgetting her granddaughters*  
369 *name, recalling other family members instead.*

370 Conversation starters involving personal items also had the ability to be used by successive staff  
371 without prior knowledge of the person, avoiding some of the difficulties of sharing personal  
372 information amongst large staff groups. Items related to hobbies and interests could also provide  
373 stimulation and maintain connections to these activities. Examples included regular games of  
374 dominoes instigated by one gentleman's wife, and continuation of a photography hobby via a  
375 granddaughter bringing in her granddad's favourite camera, which also created talking points with  
376 staff.

377

### 378 ***Creating and maintaining connections with care routines***

379 Information from families could help maintain connections to the usual routines of people living with  
380 dementia, by informing personalisation of care routines. Information from families could also help to  
381 identify and meet care needs, and to recognise deviations from routine behaviours which could  
382 indicate important changes in health or well-being:

383 *Interview Site 1, Staff 12: "It's understanding the routine... it's giving them [staff] that*  
384 *understanding that she doesn't go to bed until nine, ten o'clock, it's those little things that*  
385 *help their patient experience, and they settle down more if they're in that routine... if they*  
386 *[staff] know what someone's routine is, what they normally do, then we shouldn't have as*  
387 *many problems."*

388 Personalised routines, although beneficial, were sometimes difficult to implement within the  
389 constraints of busy, clinically-focused ward routines. Families could counter these difficulties by  
390 undertaking some of this personalisation themselves:

391 *Interview Site 1, Carer 1: "I used to put me mum her nighty on [in hospital] and see to her*  
392 *and do her teeth and tuck her in before I came home... I think she felt better me doing that...*  
393 *It was more like being at home, when she stays with me. She goes up to bed and I tuck her in*  
394 *and see to her."*

395 The familiarity created by these activities, and the familiar people who undertook them, appeared to  
396 bring a sense of comfort, lessen the unfamiliarity of ward environments and routines, and helped  
397 maintain connections to prior routines, abilities and care-giving relationships.

398

### 399 **Better connections creating better care**

400 The impacts of families' involvement went beyond enhancing connections to improving the care  
401 provided. For example, when families imparted knowledge about how to communicate with their  
402 relative, it could make the difference between whether or not staff could identify and meet even  
403 basic care needs:

404 *Interview Site 1, Staff 11: "Like that gentleman... he'd say no and he meant yes. And it wasn't*  
405 *until his son told us... he'd been asked if he wanted extra meals and he was saying no, and he*  
406 *was hungry."*

407 These examples show how families could hold crucial information for interpreting the needs of  
408 people living with dementia. Families' knowledge could also help staff engage people living with  
409 dementia in activities such as assessments and therapy tasks:

410 *Interview Site 2, Staff 20: "[speaking to relatives] gives you a better picture. They sometimes*  
411 *give you tips on how, what motivates them, and so the next day... you can be a lot more*  
412 *productive... having held the conversation."*

413 Families could also recognise signs that their relative was more unwell or in need, even when the  
414 person had significant communication difficulties:

415 *Interview Site 1, Carer 2: "There's always a build up to these infections, it just doesn't happen*  
416 *overnight. He'll start getting more agitated, or he'll stop eating, or he'll start swearing a lot*  
417 *at my mum, and so we'll know it's building up."*

418 Whilst these indicators of change could be obvious to families, they were not necessarily recognised  
419 or revealed during limited staff interactions. When directly involved in care, families could also  
420 explain to, and encourage, people living with dementia in ways that staff could not:

421 *Fieldnotes Site 2: I ask a member of staff if Jessie has eaten anything today and she says she*  
422 *hasn't. She says she is better for her daughter.*

423 In some cases, families were providing particularly high levels of care to their hospitalised relatives:

424 *Interview Site 2, Carer 25: "They used to ring me up... 'She won't take it'... So I used to go*  
425 *down and I used to give her the medication 3 or 4 times a day... When they wanted to wash*  
426 *and change her, they couldn't do it. So again they used to have to ask me."*

427

### 428 **Variability in the involvement of families**

429 Although family involvement could have numerous benefits, it was very variable and far from  
430 routine. Involvement of families was sometimes in response to 'special' circumstances, such as when  
431 the person was refusing care (as above), distressed or terminally ill, or when families had especially  
432 close care-giving relationships or wanted to ensure care was given:

433 *Interview Site 1, Staff 2: "If someone is struggling to eat, I know the nursing staff will get*  
434 *family members to come in, if the family are happy to do that... and if someone is getting*  
435 *quite distressed... they will allow them to come on if it keeps the patient settled... I don't*  
436 *really think they encourage too much else."*

437 Other reasons to engage with families included bad news or a complex case, meaning that families  
438 of people with less overt or complex needs were often less involved in care. Disparities in family  
439 involvement were also explained by inconsistent information and responses given to families  
440 regarding their involvement. Responses ranged, between and within the two wards, from invitations  
441 to contribute to discouragement and restrictions on families' input. Wards in the same department  
442 could have differing approaches to visiting hours, creating confusion when people moved between  
443 wards. Some wards strictly enforced visiting hours whilst others did not, with senior and  
444 administrative ward staff particularly influential in shaping approaches to visiting times. Strict  
445 interpretations of protected mealtimes, visiting and infection control policies, by individual staff or  
446 at a ward level, could limit opportunities for families to become involved in their relative's hospital  
447 stay:

448 *Fieldnotes Site 1: A visitor says she has come to 'sit in her [relatives] room whilst she eats*  
449 *lunch'. A senior staff member replies 'We have a protected mealtimes policy' and that it will*  
450 *be 'Alright for today' but that it isn't something she can do normally.*

451 Notably, these policies were interpreted in ways which limited family involvement more often in the  
452 site with less encouragement for family involvement from senior staff. At the other site, the more  
453 regularly present senior staff repeatedly conveyed support for families' input, creating a greater  
454 expectation that family engagement would take place:

455 *Fieldnotes Site 2: A staff member says she feels confident speaking to families because she is*  
456 *supported by the consultants and their communication is good, so they know what is going*  
457 *on and can pass that on to families.*

458 However, varying responses to families' involvement were also seen within the same ward, with  
459 individual staff holding quite different views on the extent to which families, including the same  
460 family members, should be involved on the wards:

461 *Interview Site 1, Staff 12: "We did have one patient [a gentleman with dementia who was*  
462 *often distressed] where his wife used to spend a lot of time here, and she used to play games*  
463 *and dominoes and things. And actually some of the staff were quite resistant to that and*  
464 *thought she was spending too much time... but actually she was keeping him occupied."*

465 Variability in responses to families between and within wards points to a lack of any standard  
466 approach to supporting the involvement of families in care. Even when a ward or staff member did  
467 take a more flexible approach, many families kept to the advertised visiting hours, which were  
468 clearly displayed at ward entrances or conveyed by staff. The lack of an agreed approach meant that  
469 conversations with families about their involvement were often absent or reactive; in response to  
470 complex patient needs rather than proactive discussions. This inconsistent approach created a lack  
471 of clarity around what activities or roles families could undertake:

472 *Interview Site 1, Interviewer: "You said you didn't know what was expected of you...?" Carer*  
473 *1: "How far I could go with my mum, what was expected of me, or what I wasn't expected to*

474 do, or touch, or get involved in. Or leave to them... You don't know what you're supposed to  
475 do, or what their thing is."

476

#### 477 **Concerns around the involvement of families**

478 A final but important finding was recognition that family involvement was not uniformly positive and  
479 not all families had the knowledge, skills, or physical ability to help their relatives to a professionally  
480 acceptable standard. Concerns about the negative impacts of involving families included disruptions  
481 and additional work for staff and falls, infection control and litigation risks:

482 *Interview Site 1, Carer 3: "I went to pick him up... haul him out of his wheelchair... and a*  
483 *woman came up to me... 'Oh no, no, you are not allowed!' I went like 'Why?' She went*  
484 *'Because you're not, I'm not insured, and if he falls then it's on my head.' "*

485 *Fieldnotes, Site 2: A staff member tells me visiting hours were reduced because patients*  
486 *weren't getting 'down time', and to reduce infection and visitor throughput. She describes*  
487 *how visitors are 'at you' at the desk all the time.*

488 However, shorter visiting hours at one site did not appear to alleviate concerns around visitor  
489 demands, or meet the engagement needs of families, with families queuing to speak to staff, some  
490 of whom were unavailable, during the limited visiting hours.

491 Other potentially negative impacts included the potential for family involvement to result in the  
492 involvement of the person living with dementia being overlooked:

493 *Fieldnotes Site 2: A staff member talks for 5 minutes at the end of Emmett's bed with his wife*  
494 *and daughter about what care he will need when he goes home, including help with washing*  
495 *and dressing. Emmett, with his hearing difficulties, cannot hear any of it. After a while,*  
496 *Emmett says to me 'Can you tell what they're saying?' The staff member and his family do*  
497 *not include him in the conversation at any point.*

498 There was also concern about negative relationships between some people living with dementia and  
499 their families. For example, carer strain, or a lack of understanding of how to respond to a confused  
500 relative, could result in negative interactions:

501 *Interview Site 1, Staff 11: "If they have reached crisis point... you can see their irritation levels*  
502 *with that person are obviously very high... it's not beneficial for anybody when they are irate*  
503 *with each other in the day room."*

504 Families did not invariably know more than staff about how best to connect with their relative.  
505 Negative interactions between family members, at an understandably difficult time, were not  
506 beneficial for the well-being of either party. Stress, negative relationships, and limited care-giving  
507 and dementia expertise are, however, not reasons to discourage engagement with families. These  
508 families could benefit from engagement with staff to establish their needs, provide support and, if  
509 necessary, upskill them for any current or future care-giving roles. However, the findings clearly  
510 show that careful attention is also required to the needs and wishes of each person living with  
511 dementia when considering the involvement of their families in care.

512

## 513 Discussion and Implications

514 The findings of this study highlight the highly variable nature of connections between hospital staff  
515 and people living with dementia, and with their families, and illustrate how families' knowledge and  
516 expertise can create more person-centred connections and care. Providing the most in-depth  
517 exploration of this issue to date, the findings set out a range of ways in which families can contribute  
518 to improving hospital care for people living with dementia. For example, families can provide  
519 valuable information about the person, aid communication between staff and people living with  
520 dementia, encourage engagement with care, assessments and rehabilitation, provide social  
521 interaction, personalise ward environments and conversations by providing personal items from  
522 home, and identify important changes in the person's health or well-being. But an inconsistent  
523 approach to engaging with people living with dementia and their families results in missed  
524 opportunities to improve hospital care for people living with dementia.

525 The elements of disconnection experienced by people living with dementia in this study expand  
526 upon the findings of Porock et al (2015) who identified *disruption* from pre-hospital relationships  
527 and life as a key consequence of hospital admissions for people living with dementia. Some of these  
528 disruptions preceded hospitalisation, including general deterioration, accidents or the onset of  
529 illness (Porock et al, 2015). In this study, the overlapping term *disconnection* is used to incorporate  
530 disconnections experienced during hospital admissions which do not involve disruption to prior lives,  
531 such as disconnections from busy staff and unfamiliar environments. Porock et al extend the concept  
532 of disruption to consider how other stakeholders in the care-giving triad (families, staff and co-  
533 patients) are also disrupted by the admission of the person with dementia. Some studies also  
534 identify sources of disruption and stress for families of hospitalised people living with dementia,  
535 including uncertainty and anxiety around their ability to continue care-giving roles and prior ways of  
536 managing post-discharge (Boltz et al., 2015; Bloomer et al., 2014; Bauer et al., 2011b; Douglas-  
537 Dunbar & Gardiner, 2007).

538 Many previous studies present a largely negative picture of hospital care for people living with  
539 dementia. The findings presented here suggest that disconnection is neither inevitable or  
540 unremitting, illustrating how meaningful connections can be created with people living with  
541 dementia in acute hospital settings and the crucial roles families play in creating these. These  
542 findings support those from other studies reporting variability in the degree to which people living  
543 with dementia (e.g. Featherstone et al., 2019; Clissett et al., 2013; Norman, 2006) and their families  
544 (de Vries et al., 2016; Boltz et al., 2015; Bauer et al., 2011b) are engaged with by staff. It is, however,  
545 necessary to read across previous studies to find prior recognition of the factors collectively  
546 identified here as affecting connections with families and people living with dementia; for example,  
547 the influence of dementia training (Nolan, 2007; Norman, 2006), task-orientated care routines  
548 (Featherstone et al., 2019; Clissett et al., 2013; Cowdell, 2010), and pressurised workloads (Doherty  
549 & Collier, 2009; Borbasi et al., 2006). The suggestion that hospital staff may not grasp all available  
550 opportunities to create more person-centred connections and care has recently been suggested  
551 elsewhere (Featherstone et al., 2019; Clissett et al., 2013), but the use of families' knowledge and  
552 expertise as a crucial means of creating meaningful connections has not been a focus of previous  
553 studies. Whilst families are suggested to ease some of the distress and gaps in care experienced by  
554 people living with dementia (de Vries et al., 2016; Gladman et al., 2012), how families might create  
555 changes in practice and care has not previously been explored in any depth. The findings of this  
556 study suggest that many problematic points for people living with dementia in standard acute care

557 routines, such as mealtimes, medication rounds and personal care (Featherstone et al, 2019), have  
558 the potential to be improved through liaising with, and involving, family members.

559 Finally, an important but typically overlooked finding is recognition that family involvement is not  
560 uniformly beneficial for people living with dementia. Care-giving research has been criticised for  
561 ideological views which presume relationships between families and people living with dementia are  
562 unproblematic, denying the possibility of dysfunctional family relationships or family involvement  
563 that is detrimental to the cared-for person's well-being (Dupuis & Norris, 1997). Despite this, two  
564 recent reviews on acute care for people with dementia identify problematic relationships between  
565 staff and families or people living with dementia, but not the potential for relationship difficulties  
566 between people living with dementia and their families (Beardon et al., 2018; Dewing & Dijk, 2016).  
567 In the current study, we found some examples of family involvement that did not appear to benefit  
568 the person with dementia or their family, such as negative interactions or talking over the person.  
569 These findings suggest that care is needed to ensure that family involvement is enacted in ways that  
570 meet the needs of each person living with dementia and their family.

571

## 572 **Strengths and limitations**

573 Limitations include a predominantly white British sample despite efforts to recruit a diverse sample,  
574 and the possibility that participants' experiences were not 'typical' of usual practice. Some senior  
575 staff on both wards had dementia expertise, and reports of family involvement practices on other  
576 wards were more negative (including queues outside wards prior to visiting times, bell-ringing to  
577 signal visitors should leave, and refusals to speak with families). Since these data were collected, UK-  
578 based campaigns for open visiting (Jones & Gerrard, 2014; National Federation of Women's  
579 Institutes, 2016) have led to changes in approaches to family involvement on some wards. However,  
580 recent reports indicate that family involvement remains patchy and dependant on individual ward  
581 practices (National Federation of Women's Institutes, 2018; NHS England, 2016; Imperial College  
582 Healthcare NHS Trust, 2016) suggesting the findings of this study remain current.

583 To the best of our knowledge, this study provides the most in-depth exploration available of family  
584 involvement practices in the hospital care of people living with dementia. Strengths include the  
585 length, depth and multiple methods of data collection, which were vital to capturing the experiences  
586 of people living with dementia missing from many previous studies. In addition, data collection from  
587 two wards in different hospitals enabled exploration of different family involvement policies and  
588 practices, patient groups, environments, and cultures. However, the focus on two different types of  
589 ward from different NHS Trusts meant it was difficult to disentangle whether some differences  
590 between the wards occurred at a ward or Trust level. Future research would benefit from exploring  
591 differences in family involvement practices within as well as across Trusts, to establish why  
592 involvement practices vary and how barriers to effective involvement could be overcome.

593

## 594 **Conclusions**

595 As no guidelines currently exist for involving family caregivers in hospital care and research in this  
596 area is limited and (Boltz et al., 2014; Boltz et al., 2015; Morrow & Nicholson, 2016), this study  
597 provides much needed evidence to inform family involvement practices in the acute care of people  
598 living with dementia. The findings demonstrate how families' knowledge and expertise can help to

599 effect connections and improve hospital care for people living with dementia. The variable nature of  
600 engagement with families suggests a need for more proactive planning and discussion around the  
601 involvement of families in care. In particular, there is a need for: ward environments and cultures  
602 that encourage families input; supportive senior staff; a review of policies which may conflict with  
603 family involvement; clarity and information around the roles families can undertake; methods for  
604 effectively sharing and using personal knowledge from families; a workforce educated on dementia  
605 and the importance of proactive family involvement; and approaches for inserting greater  
606 personalisation and interaction into ward routines. Finally, it is vital to ensure that family  
607 involvement does not lead to the needs or wishes of people living with dementia being overlooked.

608

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739 **Figure 1: Characteristics of case study participants**

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741 **Characteristics of case study participants living with dementia (n=12)**

742 Purposeful sampling ensured case study participants with a range of characteristics, as follows:

743 **Gender:** A mixture of men (n=5) and women (n=7)

744 **Stage of dementia:** suspected but unconfirmed earlier stages through to diagnosed or  
745 advanced dementia

746 **Reasons for admission:** included increased confusion/delirium, infections, falls, fractures, and  
747 suspected stroke

748 **Pre-admission living arrangements:** mainly living at home or sheltered housing (n=11), 1 person  
749 was living in a care home. Half lived with one or more family member, the rest living alone

750 **Length of stay:** ranged from 13 to 78 days (median 24 days)\*

751 **Discharge destination:** 4 people returned home with new/increased support, 7 were discharged  
752 to a care home (6 were new admissions), and 1 person died before discharge

753 \*length of stay data for the rehabilitation ward excluded time spend at the associated general hospital prior to  
754 transfer to the rehabilitation ward  
755  
756

757

758 **Characteristics of relative/friend case study participants**

759 Characteristics of relatives and friends, and the they support offered, were as follows:

760 **Types of pre-hospital support:** Varied from 2-3 times weekly support with activities including  
761 shopping, meals, cleaning, companionship and care management to more intensive daily support  
762 including assistance to mobilise, wash, dress, eat and drink, and daily companionship

763 **Care networks:** Support was often provided by a 'network' of family (and occasionally friends).  
764 Less commonly support was primarily provided by one person

765 **Relationship to person living with dementia:** Daughters were the commonest participant (n=8).  
766 Other care-giving relationships included husbands (n=2), sons (n=2), granddaughters (n=2), wives  
767 (n=1) or friends (n=1), care networks leading these numbers to total more than 12

768 **Hospital visiting:** The majority of relatives/friends were regular ward visitors, typically visiting  
769 between a few times a week and daily. Visits were less frequent when relatives/friends had their  
770 own health issues, competing roles (e.g. work or childcare) or lived a distance away

771