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# Air Pollution and Dementia: A Systematic Review

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## Abstract.

**Background:** Both air pollution and dementia are current and growing global issues. There are plausible links between exposure to specific air pollutants and dementia.

**Objective:** To systematically review the evidence base with respect to the relationship between air pollution and later cognitive decline and dementia.

**Methods:** Medline, Embase, and PsychINFO<sup>®</sup> were searched from their inception to September 2018, for publications reporting on longitudinal studies of exposure to air pollution and incident dementia or cognitive decline in adults. Studies reporting on exposure to tobacco smoke including passive smoking or on occupational exposure to pollutants were excluded. Using standard Cochrane methodology, two readers identified relevant abstracts, read full text publications, and extracted data into structured tables from relevant papers, as defined by inclusion and exclusion criteria. Papers were also assessed for validity. CRD42018094299

**Results:** From 3,720 records, 13 papers were found to be relevant, with studies from the USA, Canada, Taiwan, Sweden, and the UK. Study follow-up ranged from one to 15 years. Pollutants examined included particulate matter  $\leq 2.5 \mu$  (PM<sub>2.5</sub>), nitrogen dioxide (NO<sub>2</sub>), nitrous oxides (NO<sub>x</sub>), carbon monoxide (CO), and ozone. Studies varied in their methodology, population selection, assessment of exposure to pollution, and method of cognitive testing. Greater exposure to PM<sub>2.5</sub>, NO<sub>2</sub>/NO<sub>x</sub>, and CO were all associated with increased risk of dementia. The evidence for air pollutant exposure and cognitive decline was more equivocal.

**Conclusion:** Evidence is emerging that greater exposure to airborne pollutants is associated with increased risk of dementia.

Keywords: Air pollutants, cognitive decline, dementia, particulate matter

## INTRODUCTION

Air pollution is a current and growing global problem [1]. It is a recognized causative factor in several non-communicable diseases (NCD) including heart disease, stroke, and cancer [1]. Dementia (a disabling, degenerative NCD) is also a growing global issue [1, 2]. There are plausible links between air pollution

and increased risk of dementia [3–7]. Recent interest in this area has resulted in several publications examining the association between air pollution and subsequent dementia or cognitive decline [6, 8–11]. We provide a systematic overview of the current evidence base.

### *Air pollution*

According to a recent Lancet commission on pollution and health, pollution is the largest environmental

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cause of disease and premature death in the world today, responsible for an estimated 16% of all deaths worldwide and associated with a much wider range of disease than was previously thought [1]. Air pollution in particular is at highest concentration in Low and Middle-Income Countries (LMIC) but can disperse globally and has a disproportionately greater effect on the vulnerable, children and older adults [1].

### Dementia

The risk of dementia, and the cognitive decline that precedes it, rise with increasing age. The globally ageing population means that the absolute numbers of those living with dementia continue to increase with an estimated new case every three seconds [12]. The rise in dementia cases is global but due to differing patterns in risk factor exposure and healthcare access, the rise is greater in LMIC [12].

### Air pollution and dementia

Exposure to air pollution, especially fine particulate matter, is thought to increase risk of hypertension, raised lipids, atherosclerosis, oxidative stress, insulin resistance, endothelial dysfunction, enhanced propensity toward coagulation, inflammation, and stroke, all of which also raise risk of cognitive decline and dementia [1–4, 13–17].

The 2017 Lancet commission on dementia prevention, intervention and care included air pollution in a list of potential risk factors for dementia [18]; the 2018 Lancet commission on pollution states that the evidence of causation is building, in particular for fine particulate matter and dementia in the elderly, and it calls for research to explore emerging causal links [1]. Given that air pollution is known to have a negative effect on human health, a clinical trial of the length needed to evaluate effect on cognitive function is unlikely and the best evidence to demonstrate a causal link will come from longitudinal observational studies. Recent interest in this area has led to the publication of several such studies examining air pollution exposure and incident cognitive decline or dementia [6, 11].

Our aim was to systematically review the evidence base with respect to the relationship between air pollution and incident cognitive decline and dementia in adult populations and to update our earlier review in this area [11]. The protocol for this review is registered with the International prospective register of systematic

reviews (<http://www.crd.york.ac.uk/prospero/>) no. CRD42018094299 and is an update of an earlier review CRD42014007582 [12]

## MATERIALS AND METHODS

Standard systematic review methodology was followed [19]. As this was an update of an earlier systematic review the same search terms were used [11] and the databases MEDLINE, Embase, and PsychINFO® were searched from inception to the 20 September 2018. Reference lists of all papers identified were screened for other published papers. Details of the search strategy are given in the Supplementary Material.

There were three independent analysts (RP, JP, NE). The lead analyst carried out the literature searches. All identified abstracts, or titles where abstracts were unavailable, were double read and a list of potentially relevant references compiled independently by at least two analysts. These lists were compared and differences were resolved by discussion. Once the list of possible references was agreed, full text articles were obtained, double read, and assessed for relevance independently by at least two analysts. Any differences in agreement were resolved by discussion. Inclusion was assessed in accordance with the inclusion and exclusion criteria below

### Inclusion criteria

- Longitudinal studies with evidence of some assessment of exposure to air pollution (aggregate assessment or constituent parts);
- Use of formal assessment of cognitive function;
- Report of incident cognitive decline or dementia outcomes;
- Data from adults (age  $\geq 18$ );
- Minimum follow up 6 months.

### Exclusion criteria

- Studies reporting only occupational exposure to pollutants;
- Studies reporting exposure to other pollutants, e.g., organophosphates;
- Studies reporting only exposure to smoking (including passive smoking);
- Non-English publications (in the absence of resources available for translation).

The selection of longitudinal studies with assessment of exposure to air pollution, formal assessment of cognitive function and reports of cognitive decline (i.e., a change in cognitive function) or incident dementia were used to ensure the inclusion of the most robust data with regard to evaluation of causality. Data were extracted using standard extraction tables and information was collected on: the region where the study took place, the size and composition of the study population, the duration of follow up, the assessment of cognitive function or incident dementia, the measurement of exposure to air pollutants, types of pollutant, the analyses (principle summary measures include hazard ratios and odds ratios), results, and reported co-variables. In order to be as conservative as possible, results following adjustment for confounding were preferred for inclusion in the table.

Each included paper was also assessed for validity. Formal scoring was not used as existing instruments have poor discriminative ability when assessing quality. Instead each paper was assessed against key criteria based on the Critical Appraisal Skills Programme (CASP<sup>®</sup>) checklists [20]. Potential sources of bias in each study were tabulated.

## RESULTS

There were 3,720 records identified by searches and where abstracts were double screened. Of those, 45 articles were assessed at full text stage and 13 were included [8, 9, 21–31]. Two articles reported on the association between NO<sub>x</sub> and incident dementia in the same sample from the Swedish Betula study [31, 32]; the one that reported numerical results was selected for inclusion [31]. The remaining article had a focus on noise exposure and was excluded [32]. Further exclusion at full text stage was due to study design (lacking appropriate longitudinal data [10, 33–54]), where exposure measures were not clearly related to air pollution [5, 55–58], or where the article was a review only [59]. Several studies were ineligible for more than one reason. Figure 1 shows the flow chart for study inclusion.

### *Study characteristics*

Four studies reported results from populations in the United States of America [21, 22, 25, 29], two from Canada [8, 9], two from Taiwan [27, 28], one from Sweden [26, 30, 31], and two from the United Kingdom [23, 24]. The samples from the

UK both included populations from London but one reported on cognitive function [23] and the other on incident dementia [24]. The samples from Taiwan both selected participants from the National Health Insurance Research Database but selected differing subgroups of the population and presented results for different pollutants: for Jung et al. [27], particulate matter 2.5 (PM<sub>2.5</sub>); and for Chang et al. [28], nitrogen dioxide (NO<sub>2</sub>) and carbon monoxide (CO). The samples from Canada both selected residents of Ontario but also selected differing subgroups and reported on different measures of pollution; for Chen et al. [8], PM<sub>2.5</sub>; and for Chen et al. [9], residential proximity to a major roadway. There were three articles reporting on the Swedish Betula study, one on NO<sub>x</sub> and incident dementia [26], one on NO<sub>x</sub> and episodic memory [30], and one on PM<sub>2.5</sub> and incident dementia [31]. Sample size ranged from 1,469 [30] to over two million [9], and two studies recruited only women (participants in the Nurses Health Study) [21] and the Women's Health Initiative Memory Study (WHIMS) [29]. All studies were longitudinal but follow up was reported inconsistently. It varied from one year [22] to ~5–10 years [23, 30] in studies with cognitive outcomes, and from ~7 [8, 9, 24, 27, 28] to ~15 years [26] in studies with incident dementia outcomes. See Table 1 for study characteristics.

### *Exposure assessment*

The most commonly examined pollutant was PM<sub>2.5</sub>, reported in nine articles [8, 21–25, 27, 29, 31]. One study used distance to a major roadway as the main outcome with additional adjustment for PM<sub>2.5</sub> and NO<sub>2</sub> exposure in sensitivity analyses [9]. Four studies reported on NO<sub>2</sub> [8, 24, 26, 28] and one on NO<sub>x</sub> [30]. See Supplementary Table 1.

The selected studies adopted a variety of modelling approaches, to obtain high resolution (to residential address level) exposure estimates for their populations. The methodologies varied from relatively simply interpolation approaches from selected monitoring sites within the study domain [28], to more refined approaches, exploiting Land Use Regression approaches and satellite data to improve predictions at locations remote from air pollution monitoring sites [8, 22]. Three studies employed an emissions approach with dispersion modelling, incorporating annual meteorology [23, 25], and atmospheric chemistry [25]. Two studies attempted to split the PM modelled estimates in those derived from vehicle tailpipes [23, 31] and PM derived from residential

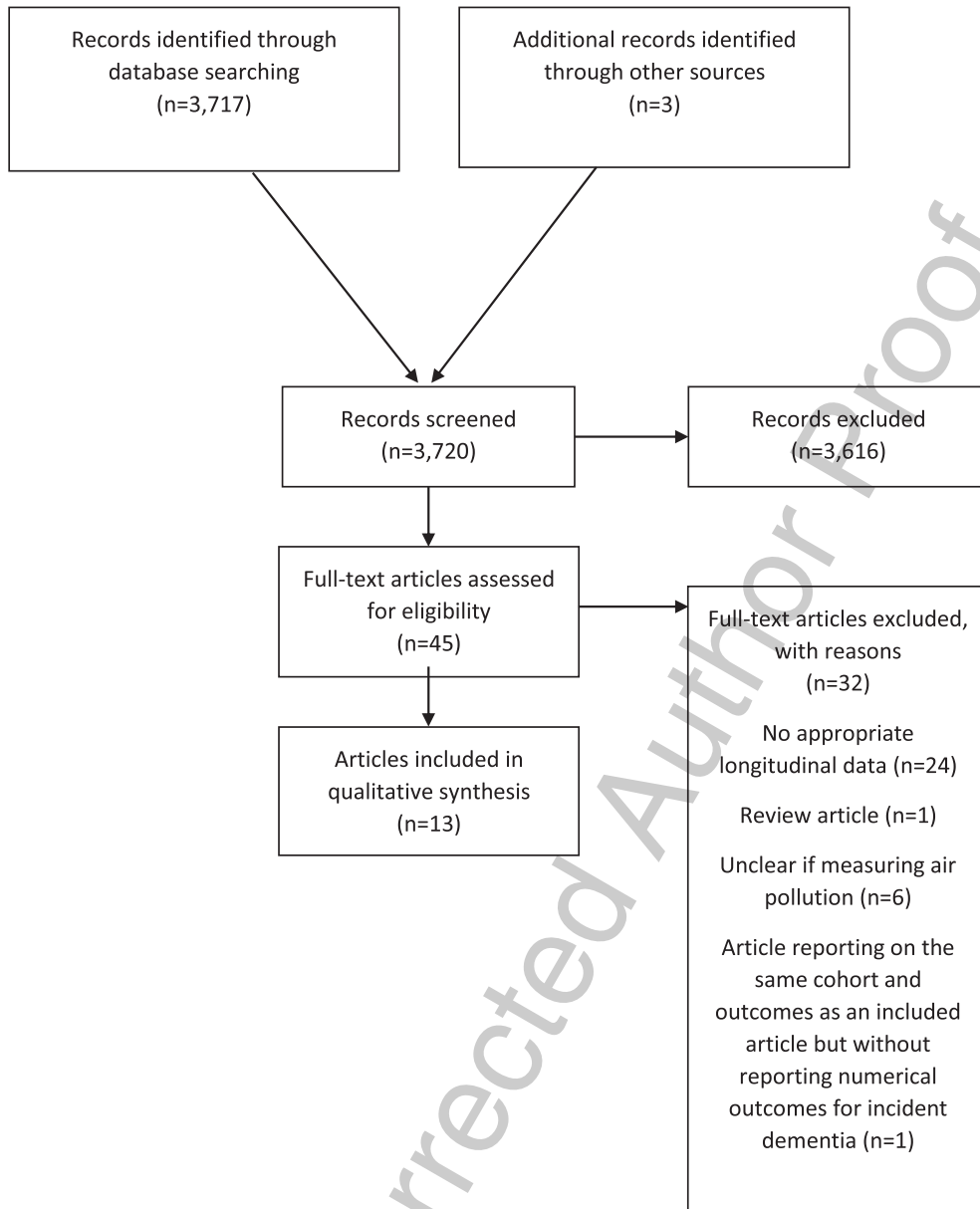


Fig. 1. Flow chart.

wood burning [31]. While most studies employed single models to estimate exposures to a range of pollutants, several studies employed different approaches for different pollutants, such as O<sub>3</sub> and PM<sub>2.5</sub> [8]. In most cases, studies presented some form of model evaluation or provided reference to an external source relating to model performance. Only one study employed road distance as their primary (proxy) measure for exposure to traffic related air pollutants [9], but this employed modelled pollutant estimates in their subsequent sensitivity analysis. For

one study [28], the exposure measures used in the analyses were unclear. The period for evaluating associations between exposure to pollution and cognitive decline or incident dementia, ranged from days to weeks for the cognitive assessments to months to years for dementia. For dementia in particular, various lag or aggregated exposure periods were also used. It should be stated that there is no clear consensus as to what the most informative exposure period is to assess the neurological impacts of air pollution, but as modelling approaches look further back in time

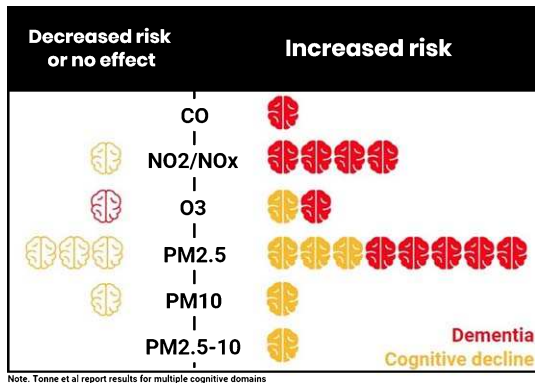


Fig. 2. Number of studies investigating relationship between exposure to pollutants and cognitive function or dementia.

within the available cohorts the modelling uncertainty increases as the available measurement data become sparser. As with all modelling approaches, there is likely to be significant exposure misclassification, as modelling estimates at a point are unlikely to represent the true exposure of a mobile population. Here the view is that this degree of misclassification will be greater for pollutants with a high degree of spatial variation, such as  $\text{NO}_2$ , and less marked with pollutants with a more uniform distribution such as  $\text{PM}_{2.5}$ . These issues were reflected in the discussion of most of the papers cited, as was the difficulty in disaggregating the effect of independent pollutants that were highly correlated within the models.

#### Assessment of outcomes, dementia, and cognitive decline

Seven articles reported incident dementia cases [8, 9, 24, 26, 28, 29, 31], one focused only on incident Alzheimer's disease (AD) [27]. Six articles used varied measures of cognitive change [21–23, 25, 29, 30]. See Supplementary Table 1.

#### Association between air pollution exposure and cognitive outcomes

Overall, the evidence pointed to an association between greater pollution exposure and increased risk of dementia regardless of pollutant measure (see Fig. 2). The evidence relating to cognitive decline was equivocal. There was no clear pattern by region of recruitment or concentration of pollutant. Variation in statistical methods and the frequent use of quantiles for pollutant exposure prevented meta-analysis. See Table 2 for main results.

For  $\text{PM}_{2.5}$ , three studies [21, 23, 29] reported an association between  $\text{PM}_{2.5}$  and decline in cognitive performance (i.e., higher exposure associated with higher risk), with the WHIMS study additionally reporting a dose dependent relationship between apolipoprotein E4 (*APOE4*) allele and  $\text{PM}_{2.5}$ , such that the lowest decline was in those with lowest exposure and without an *APOE4* allele [29]. In the Whitehall study, the association between  $\text{PM}_{2.5}$  and decline in cognitive performance was seen only for memory performance with a four-year time lag (average exposure over four years prior to second cognitive assessment) but not in other cognitive domains or with other time lag periods [23]. Two further studies found no relationship between  $\text{PM}_{2.5}$  and decline in cognitive performance [22, 25], although one reported a dose response relationship for the interaction between presence of *APOE4*,  $\text{PM}_{2.5}$ , and cognitive decline [25]. Greater exposure to  $\text{PM}_{2.5}$  was also associated with an increased risk of dementia [8, 24, 31] and AD [24, 27], in UK, Canadian, Swedish, United States, and Taiwanese populations with the WHIMS study also reporting a dose dependent relationship for *APOE4*,  $\text{PM}_{2.5}$ , and dementia risk [29]. For  $\text{NO}_2/\text{NO}_x$ , greater exposure was consistently associated with an increased risk of dementia [8, 24, 26, 28] and AD [24]. There was one analysis of  $\text{NO}_x$  and cognitive decline that reported no relationship between  $\text{NO}_x$  and decline in episodic memory [30]. Four studies also examined ozone as a pollutant [8, 24, 25, 27]. One found no relationship with incident dementia [8], one reported a decreased risk of dementia and AD [24], one found greater ozone exposure to be associated with increased risk of incident AD [27], and one reported only a dose dependent relationship between *APOE4*, ozone interaction, and cognitive decline [25], i.e., the lowest decline in those with lowest exposure and without an *APOE4* allele [25]. A single study looked at carbon monoxide and found an association between greater exposure and increased risk of dementia [28].

Taking a different approach, Chen et al. and Carey et al. used a proxy measure of pollution exposure looking at the association between place of residence and distance to the nearest major roadway [9, 24]. This has been shown to have a cross sectional association with poorer cognitive scores in a population in Germany [47], but has not been examined with incident dementia. The results for Chen et al. showed a statistically significant dose response such that the closer the residence to a major roadway the greater

Table 1  
Study characteristics

Authors	Study name	Study design	Population				Baseline age	% Male	Baseline date	Follow-up date	Follow-up duration
			Location	<i>n</i>	details						
Weuve et al., 2012 [21]	NHS	cohort	USA (11 states)	19409, BL 17089, FU-I 14204, FU-II	Registered nurses, 30–35 y at enrolment; no history of stroke in 1995–2001	≥70	–	1995–2001	1997–2004 2002–2008	1.9 y (SD = 0.4) 4.3 y (SD = 0.8)	
Loop et al., 2013 [22]	REGARDS	Cohort	USA (48 states)	20150 (18180 with >12 months exposure data)	Cognitive impairment excluded at baseline	64 (SD = 9.2)	45.0%	2003–2007	Annual assessments	–	
Tonne et al., 2014 [23]	Whitehall II longitudinal study	Cohort	London, UK (greater Britain)	2867 (2654 did not move away between waves)	London-based civil servants working in Whitehall	~61	100.0%	2002–2004	2007–2009	~5 y	
Carey et al., 2018 [24]	Sample from the CPRD database	population-based cohort	UK	130978	Individuals aged 50–79 and registered for more than a year with one of 75 general practices sited within the London orbital motorway (M25) and part of the CPRD database	50–79	50%	2005	2013	6.9 mean y	
Chen et al., 2017 [8]	ONPHEC	population-based cohort	Ontario, Canada	2066639	Ontario residents, free of dementia	66.8 (SD = 8.2)	46.7%	2001	2012 or date of dementia diagnosis, ineligibility for health insurance, death	~11 y	
Cleary et al., 2018 [25]	Longitudinal study of ADC participants	cohort	USA (nation-wide)	5116	34 ADC centers consolidated by NACC	76.8 (SD = 7.7)	46.9%	2005–2008	–	4.4 y (SD = 0.6); maximum follow-up 7.5 y (those with >3 clinic visits excluded)	

(Continued)

Table 1  
(Continued)

Authors	Study name	Study design	Population			Baseline age	% Male	Baseline date	Follow-up date	Follow-up duration
			Location	<i>n</i>	details					
Chen et al., 2017 [9]	Sample from Ontario's registered persons database	population-based cohort	Ontario, Canada	243611	Registry of Ontario residents with health insurance, Canadian-born, Ontario resident for $\geq 5$ y, no BL Parkinson's disease/dementia/multiple sclerosis	66.8 ( <i>SD</i> = 78.2)	46.8%	2001	2012 or date of dementia diagnosis, ineligibility for health insurance, death	~11 y
Oudin et al., 2016 [26]	Sample from the Betula study	population-based cohort	Umea, Sweden	2803	Participants with dementia, lost to follow up, who left study prior to T2, or <55 y at T2 excluded	>55	57.2%	1988–1990, T1 1993–1995, T2	Every 5 y through to 2008–2010	~15 y
Jung et al., 2015 [27]	Individuals from LHID 2000	population-based cohort	Taiwan	95690	Randomly selected from the year 2000 registry of beneficiaries from the NHIRD	>65 at FU	53.9%	2001	2010 or date of dementia of AD, insurance termination	~10 y
Chang et al 2014 [28]	Sample from NHIRD	cohort	Taiwan	29547	50 y or older, no history of head injury, stroke, or dementia before 2000	61.4 ( <i>SD</i> = 8.5)	46.0%	2000	End of follow-up or date of dementia diagnosis, leaving the insurance database	–
Cacciottolo et al., 2017 [29]	WHIMS	cohort	USA	3647	Excluded those with $\epsilon 2/2$ , $\epsilon 2/3$ , $\epsilon 2/4$ alleles	65–79	100%	1995–1999	Annually beginning in 1999–2010	8.3 y/9.9 y
Oudin et al., 2017 [30]	Sample from the Betula Study	population-based cohort	Umea, Sweden	1469	Participants 55 or younger at baseline excluded	60 or older	45%	1988–1990	Every 5 y between 1988–2010	8.6 mean y ( <i>SD</i> = 4.4)
Oudin et al., 2018 [31]	Sample from the Betula Study	population-based cohort	Umea, Sweden	1806	Participants 55 or younger at baseline excluded because of low risk of developing dementia within 15 y	55 or older	57.0%	43.0%	1993–1995	every 5 y between baseline and 2010

AD, Alzheimer's disease; ADC, Alzheimer's Disease Centre; BL, baseline; FU, follow-up; LHID, Longitudinal Health Insurance Database; NACC, National Alzheimer's Coordinating Centre; NHIRD, National Health Insurance Research Database; NHS, Nurses Health Study; ONPHEC, Ontario Population Health and Environment Cohort; REGARDS, Reasons for Geographic and Racial Differences in Stroke Study; T1, time-1; T1, time-2; WHIMS, Women's Health Initiative Memory Study; y, year.



Table 2  
Key findings and results

Authors	Pollutants	Results	Main findings
Weuve et al., 2012 [21]	PM <sub>2.5</sub>	Adjusted difference in 2-y change in global cognitive z-scores per quintile of exposure highest versus lowest: -0.018 (-0.034, -0.002)	Rate of cognitive decline was significantly larger in women with highest level of exposure to PM <sub>2.5</sub> as compared to lowest level. Rate of decline in global cognition per 10 µg/m <sup>3</sup> increment in long-term exposure was significant for long-term exposure, but no associations were seen for exposures of 1 month, 1, 2, or 5-y preceding baseline cognitive assessment.
		Adjusted difference in 2-y change in global cognitive score z-scores per 10 µg/m <sup>3</sup> increase long-term (since 1988): -0.018 (-0.035, -0.002)*	
		Sensitivity and secondary analyses did not materially affect results.	
	PM <sub>2.5-10</sub>	Adjusted difference in 2-r change in global cognitive z-scores per quintile of exposure highest versus lowest: -0.024 (-0.040, -0.008)*	Trend-level associations ( $p=0.01$ ) were observed between higher levels (Q2–4) of long-term exposure and accelerated cognitive decline. Rate of cognitive decline was significantly faster for highest as compared to lowest PM <sub>2.5-10</sub> exposure quintiles. Exposures in the 1, 2, and 5 y before the baseline cognitive assessment were significantly associated with increased rate of cognitive decline, but this effect was not seen for 1-month PM <sub>2.5-10</sub> exposure.
		Adjusted difference in 2-y change in global cognitive score z-scores per 10 µg/m <sup>3</sup> increase 1-month: -0.007 (-0.017, 0.003) 1-y: -0.017 (-0.029, -0.005)* 2-y: -0.016 (-0.029, -0.003)* 5 y: -0.019 (-0.032, -0.006)* Long-term (since 1988): -0.020 (-0.032, -0.008)*	
		Sensitivity and secondary analyses did not materially affect results.	
Loop et al., 2013 [22]	PM <sub>2.5</sub>	Effect of 10 µg/m <sup>3</sup> increase in PM <sub>2.5</sub> Fully adjusted model: OR = 0.98 (0.72, 1.34)	Exposure to PM <sub>2.5</sub> was not associated with incident cognitive impairment, even when analysis was run in participants with more than 12 months of exposure data.
		Sensitivity analysis – exposure >12 months, $n = 18180$ Fully adjusted model: OR = 0.71 (0.38, 1.32)	

(Continued)

Table 2  
Continued

Authors	Pollutants	Results	Main findings
Tonne et al., 2014 [23]	PM <sub>2.5</sub>	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests  Re-analyses excluding participants who relocated: Mean change in memory per IQR increase 5-y average: ns 4-y lag: -0.041 (-0.079, -0.003)* Mean change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests	Exposure to PM <sub>2.5</sub> with 4-y lag was associated with memory decline in participants who did not move outside of greater London during the study.
	PM <sub>2.5</sub> from traffic exhaust only	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests	PM <sub>2.5</sub> exposure was not associated with cognitive change over 5 y.
	PM <sub>10</sub>	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-r lag: ns for all tests  Re-analyses excluding participants who relocated: Mean change in memory per IQR increase 5-y average: ns 4-y lag: -0.039 (-0.073, -0.005)* Mean change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests	Exposure to PM <sub>10</sub> with 4-y lag was associated with memory decline in participants who did not move outside of greater London during the study.
	PM <sub>10</sub> from traffic exhaust only	Cognitive change on reasoning, memory, semantic and phonemic fluency per IQR increase 5-y average: ns for all tests 4-y lag: ns for all tests	PM <sub>10</sub> -exhaust was not associated with cognitive change over 5 y.

Table 2  
Continued

Authors	Pollutants	Results	Main findings	
Carey et al., 2018 [24]	PM2.5	Model 1 (adjusted demographics and behavioral risk factors)	Increased risk of dementia with increased exposure to PM2.5 and NO2. Decreased risk with greater exposure to O3. Results for distance to major roadway were non-significant after full adjustment.	
	NO2	NO2 per 7.471 µg/m3 increase HR1.17 (1.06, 1.28)		
Chen et al., 2017 [8]	Distance from a major roadway	PM2.5 per 0.95 µg/m3 increase HR1.07 (1.02, 1.12)	PM2.5 is associated increased risk of dementia. Findings were robust to adjustments for other pollutants, sensitivity analysis including lagging exposure of 5 and 10 y.	
		O3 per 5.56 µg/m3 increase HR0.84 (0.75, 0.93)		
	O3	Distance to major roadway per 310 m closer HR1.02 (0.97, 1.08)		Interquartile increase NO2 is associated elevated increased risk of dementia. Findings were robust to adjustments for other pollutants, sensitivity analysis including lagging exposure of 5 and 10 y.
		Model 4 (additional adjustment for socioeconomic status, clinical risk factors, pollutants other than the one reported, night-time noise)		
	PM2.5	NO2 per 7.471 µg/m3 increase HR1.15 (1.04, 1.28)		Increased exposure to O3 was not associated with incident dementia.
		PM2.5 per 0.95 µg/m3 increase HR1.06 (1.01, 1.13)		
		O3 per 5.56 µg/m3 increase HR0.85 (0.76, 0.96)		
		Distance to major roadway per 310 m closer HR1.00 (0.95, 1.05)		
		Similar patterns for Alzheimer's disease and vascular dementia		
		Adjusted individual pollutant model: HR <sub>IQR</sub> = 1.04 (1.03, 1.05)*		
Three pollutant model: HR <sub>IQR</sub> = 1.02 (1.01, 1.03)*				
5-y lag: HR <sub>IQR</sub> = 1.03 (1.02, 1.05)*				
10-y lag: HR <sub>IQR</sub> = 1.03 (1.01, 1.06)*				
NO2	Adjusted individual pollutant model: HR <sub>IQR</sub> = 1.10 (1.08, 1.12)*	Increased exposure to O3 was not associated with incident dementia.		
	Three pollutant model: HR <sub>IQR</sub> = 1.09 (1.07, 1.11)*			
	5-y lag: HR <sub>IQR</sub> = 1.08 (1.06, 1.09)*			
	10-y lag: 1.06 (1.03, 1.08)*			
O3	Adjusted individual pollutant model: HR <sub>IQR</sub> = 0.98 (0.96, 1.00)	Increased exposure to O3 was not associated with incident dementia.		
	Three pollutant model: HR <sub>IQR</sub> = 0.99 (0.97, 1.01)			
	5-y lag: HR <sub>IQR</sub> = 0.99 (0.96, 1.02)			
	10-y lag: HR <sub>IQR</sub> = 0.99 (0.95, 1.03)			

(Continued)

Table 2  
Continued

Authors	Pollutants	Results	Main findings
Cleary et al., 2018 [25]	PM <sub>2.5</sub>	All comparisons ns at $p < 0.5$ Dose-dependent relationship between <i>APOE4</i> *PM <sub>2.5</sub> interaction and cognitive decline. Lowest decline in those without <i>APOE4</i> allele and lowest exposure.	PM <sub>2.5</sub> was not associated with cognitive decline on the MMSE or CDR-SB, in total and baseline cognitively-normal populations. Presence of at least one <i>APOE4</i> allele was associated with a faster decline for all exposure tertiles.
	O <sub>3</sub>	MMSE: low versus highest tertile, $\beta = 0.83$ (0.5, 1.2)* low $\times$ time versus highest tertile, $\beta = 0.35$ (0.2, 0.5)*  CDR-SB: low versus highest tertile, $\beta = -0.60$ (-0.8, -0.3)* low $\times$ time versus highest tertile, $\beta = -0.40$ (-0.5, -0.3)* medium $\times$ time versus highest tertile, $\beta = -0.14$ (-0.2, -0.1)*  Cognitively impaired subgroup Dose-dependent relationship between <i>APOE4</i> *O <sub>3</sub> interaction and cognitive decline. Lowest decline in those without <i>APOE4</i> allele and lowest exposure.	Highest and medium ozone exposure were associated with accelerated cognitive decline on both MMSE and CDR-SB assessments ( $p < 0.05$ ), with highest ozone regions having steepest decline. Ozone exposure effects were not significant in cognitively impaired subpopulation (baseline MMSE < 24). <i>APOE4</i> was associated with a faster decline for all exposure tertiles.
Chen et al., 2017 [9]	Residential distance from roadway (sensitivity analyses with PM <sub>2.5</sub> and NO <sub>2</sub> )	243611 cases of incident dementia cases between 2001–2012; ~50% lived within 200 m, 95% lived within 1000 m.  Risk of incident of dementia for distance from roadways, fully adjusted model <50 m: HR = 1.07 (1.06, 1.08)* 50–100 m: HR = 1.04 (1.02, 1.05)* 101–200 m: HR = 1.02 (1.01, 1.03)* 201–300 m: HR = 1.00 (0.99, 1.01) >300 m: reference Log (distance): 0.91 (0.89, 0.92)*  Sensitivity analyses: PM <sub>2.5</sub> and NO <sub>2</sub> exposure modestly attenuated the association for categories of <50 m and 51–100 m <50 m: HR = 1.05 (CI not reported) 50–100 m: HR = 1.02 Risk of incident dementia and exposure to pollutants PM <sub>2.5</sub> : HR = 1.07 (1.06, 1.08)* NO <sub>2</sub> : HR = 1.04 (1.03, 1.05)* Associations insensitive to additional controls; excluding first 2 and 5 y of follow up or restricting participants to >65 y old did not materially affect results.	Living closer to a roadway was associated with increased risk of dementia for continuous and all categories of distance, except for the distance category of 201–200 m (trend-level significance, $p = 0.0349$ ). Adjustment for PM <sub>2.5</sub> and NO <sub>2</sub> exposure modestly attenuated the association for categories of <50 m and 51–100 m, and father adjustments did not materially affect associations.

(Continued)

Table 2  
Continued

Authors	Pollutants	Results	Main findings
Oudin et al., 2016 [26]	NO <sub>x</sub>	<p>Incident dementia: <math>n = 301</math> (AD: <math>n = 191</math>, VaD: <math>n = 111</math>)</p> <p>Risk of incident dementia</p> <p>Model 1 (age-adjusted)</p> <p>Q4: HR = 1.57 (1.12, 2.19)*</p> <p>Q3: HR = 1.49 (1.07, 2.09)*</p> <p>Q2: HR = 1.10 (0.77, 1.58)</p> <p>Q1: reference</p> <p>per 10<math>\mu</math>g/m<sup>3</sup> increase: HR = 1.04 (0.98, 1.11)</p> <p>Model 2 (adjusted for genetics and behavioral factors)</p> <p>Q4: HR = 1.43 (0.998, 2.05)</p> <p>Q3: HR = 1.48 (1.03, 2.11)*</p> <p>Q2: HR = 1.11 (0.76, 1.63)</p> <p>Q1: reference</p> <p>per 10 <math>\mu</math>g/m<sup>3</sup> increase: HR = 1.05 (0.98, 1.12)</p> <p>Model 3 (fully adjusted)</p> <p>Q4: HR = 1.60 (1.02, 2.10)*</p> <p>Q3: HR = 1.49 (1.04, 2.14)*</p> <p>Q2: HR = 1.48 (1.13, 1.66)*</p> <p>Q1: reference</p> <p>per 10 <math>\mu</math>g/m<sup>3</sup> increase: HR = 1.05 (0.98, 1.12)</p>	Dose-response observed between higher concentrations of NO <sub>x</sub> and increased rates of incident dementia. Significant associations observed for all quartiles when compared to the reference in the fully adjusted model. Continuous measures of NO <sub>x</sub> were not associated with increased rates of incident dementia.
Jung et al., 2015 [27]	PM <sub>2.5</sub>	<p>Risk of incident AD per IQR (13.21 <math>\mu</math>g/m<sup>3</sup>) increment of PM<sub>2.5</sub></p> <p>Baseline: HR<sub>IQR</sub> = 1.01 (0.93, 1.09)</p> <p>Follow-up: HR<sub>IQR</sub> = 2.41 (2.24, 2.59)*</p> <p>Adjusted model</p> <p>Risk of incident AD per IQR (13.21 <math>\mu</math>g/m<sup>3</sup>) increment of PM<sub>2.5</sub></p> <p>Baseline: HR<sub>IQR</sub> = 1.03 (0.95, 1.11)</p> <p>Baseline, adjustments for SO<sub>2</sub>, CO, NO<sub>2</sub>, or PM<sub>10</sub>: HR<sub>IQR</sub> remained ns</p> <p>Follow-up: HR<sub>IQR</sub> = 2.38 (2.21, 2.56)*</p> <p>Follow-up, adjustments for SO<sub>2</sub>, CO, NO<sub>2</sub>, or PM<sub>10</sub>: HR<sub>IQR</sub> increased to 2.17 to 2.43*</p>	13.21 $\mu$ g/m <sup>3</sup> increment in PM <sub>2.5</sub> was not associated with incident AD at baseline. But significantly increased risk of incident AD over follow-up in adjusted models.

(Continued)

Table 2  
Continued

Authors	Pollutants	Results	Main findings
	O <sub>3</sub>	<p>Risk of incident AD per IQR (9.63 ppb) increment of O<sub>3</sub>                      Baseline: HR<sub>IQR</sub> = 1.06 (1.01, 1.13)*                      Follow-up: HR<sub>IQR</sub> = 3.12 (2.91, 3.32)*</p> <p>Adjusted models:                      Risk of incident AD per IQR (9.63 ppb) increment of O<sub>3</sub>                      Baseline: HR<sub>IQR</sub> = 1.06 (1.00, 1.12)*                      Baseline, SO<sub>2</sub> adjusted: HR<sub>IQR</sub> = 1.04 (0.98, 1.11)                      Baseline, CO adjusted: HR<sub>IQR</sub> = 1.10 (1.03, 1.17)*                      Baseline, NO<sub>2</sub> adjusted: HR<sub>IQR</sub> = 1.06 (0.99, 1.13)                      Follow-up: HR<sub>IQR</sub> = 3.12 (2.92, 3.33)*                      Follow-up, adjustments for SO<sub>2</sub>, CO, NO<sub>2</sub>, or PM<sub>10</sub>: HR<sub>IQR</sub> increased to 3.23 to 3.52*</p>	<p>After adjusting for covariates, a 9.63 ppb increase in ozone exposure was weakly associated with incident AD at baseline, which was slightly magnified when adjusted for carbon monoxide. Significant and large (~211%) increased risk of incident AD was seen for per 9.63 ppb increase in ozone concentration over follow-up, which was slightly larger when adjusted for second pollutants.</p>
Chang et al., 2014 [28]	NO <sub>2</sub>	<p>Risk of incident dementia                      highest versus lowest quartile: HR = 1.54 (1.34, 1.77)*</p> <p>Similar patterns when they repeated the analyses by sex.</p>	<p>Highest levels of NO<sub>2</sub> exposure was significantly associated with increased risk of dementia when compared to lowest levels of exposure.</p> <p>Similar patterns seen when analyses was repeated stratified by sex.</p>
	CO	<p>Risk of incident dementia                      highest versus lowest quartile: HR = 1.61 (1.39, 1.85)*                      second highest versus lowest quartile: HR = 11.37 (1.19, 1.58)*</p>	<p>Higher levels of CO exposure were significantly associated with increased risk of dementia when compared to lowest levels of exposure. Similar patterns seen when analyses was repeated stratified by sex.</p>
Cacciottolo et al., 2017 [29]	PM <sub>2.5</sub>	<p>Accelerated global cognitive decline                      Model 3 (fully adjusted): 1.81 (1.42, 2.32)*</p>	<p>High PM<sub>2.5</sub> levels were associated with accelerated global cognitive decline in all models.</p>
	APOE × PM <sub>2.5</sub>	<p>Accelerated global cognitive decline by APOE status                      Model 1 (APOE-adjusted)                      interaction <i>p</i> = 0.52                      Model 2 (adjusted APOE, age, geography, SES, lifestyle)                      interaction <i>p</i> = 0.54                      Model 3 (fully adjusted)                      interaction <i>p</i> = 0.29</p>	<p>There was no interaction effect present.</p>

(Continued)

Table 2  
Continued

Authors	Pollutants	Results	Main findings
	PM <sub>2.5</sub>	Risk for all-cause dementia Model 3 (fully adjusted): 1.92 (1.31, 2.80)*	High PM <sub>2.5</sub> levels were associated with increased risk of all-cause dementia in all models.
	APOE × PM <sub>2.5</sub>	Model 1 (APOE-adjusted) by APOE status interaction $p=0.16$ Model 2 (adjusted APOE, age, geography, SES, lifestyle) interaction $p=0.31$ Model 3 (fully adjusted) interaction $p=0.43$	There was no interaction effect present.
Oudin et al., 2017 [30]	NO <sub>x</sub>	Crude model: highest versus lowest quartile: -0.91 (-1.54, -0.27)* Per 1 µg/m <sup>3</sup> increase in NO <sub>x</sub> : -0.18 (-0.32, -0.004)*	Small association between NO <sub>x</sub> and decline in episodic memory in the crude model, but effect disappeared after adjustments.
Oudin et al., 2018 [31]	PM <sub>2.5</sub> from traffic exhaust	Crude model: highest versus lowest: 1.65 (1.17, 2.34)* third versus lowest: 1.70 (1.21, 2.39)*  Adjusted model: third versus lowest: 1.66 (1.16, 2.39)*	Association was seen between higher levels of PM <sub>2.5</sub> from traffic exhaust and incident dementia. Linear model was not significant.
	PM <sub>2.5</sub> from residential wood burning	Crude model: all comparisons ns  Adjusted model: third versus lowest: 1.66 (1.16, 2.39)* highest with wood stove versus lowest without wood stove: 1.74 (1.10, 2.75)*	No association seen between wood burning exposure and incident dementia except in those in highest quartile of exposure who also have wood stoves.

AD, Alzheimer's disease; VaD, vascular dementia; CDR-SB, Cognitive Dementia Rating Sum of Boxes; EEM; Episodic Memory Measure; MMSE, Mini-Mental Status Examination; CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>2.5</sub> particulate matter ≤2.5 µm in diameter; PM<sub>10</sub>, particulate matter ≤10 µm in diameter; SO<sub>2</sub>, sulphur dioxide; ppb, parts per billion, y; year; \*, statistically significant; (a, b), 95% confidence interval; HR, hazard ratio; HRIQR, hazard ratio per interquartile range increase; IQR, interquartile range; ns, non-significant; OR, Odds ratio, Q, quintile; SD, standard deviation; SES, socio-economic status.

the risk of incident dementia [9]. See Supplementary Table 2.

### Study quality

Overall, all studies had reasonable clarity in their research questions, used adequate methodology and standard clinical assessments (although not always the gold standard) for cognitive outcomes, and employed a range of modelling approaches to estimate exposures that employed some form of statistical or dispersion modeling, with some form of prior evaluation. Further caution is required regarding interpreting the data relating to dementia risk and residential distance from a major roadway [9, 24] as this was not additionally adjusted for regional impact of wind conditions. Five studies had a greater potential for bias in measurement of outcome in the form of incident dementia, primarily due to the use of health records for the identification of cases [8, 9, 24, 27, 28]. The use of health records rather than a rigorous assessment of all study participants is pragmatic for large sample sizes but may bring bias. Health records often rely on a level of self-referral for assessment and have the potential for missed cases, diagnoses made later in the disease course, and higher rates of case finding in those with comorbid conditions and are likely to have less systematic recording of potential confounders. Four studies used populations that restrict generalizability; the Nurses Health Study recruited only female nurses [21], the WHIMS included only women [29], the Whitehall study recruited predominantly male civil servants [23], and Cleary et al selected participants from an ongoing University of Washington National Alzheimer Coordinating Center [25]. All studies adjusted for a series of relevant confounders (see Supplementary Table 2). Overall, the majority of the studies were at low or low to moderate risk of bias (Supplementary Table 3).

## DISCUSSION

Overall, the evidence from longitudinal cohort studies pointed towards an association between greater exposure to pollutants, in particular PM<sub>2.5</sub>, NO<sub>2</sub>/NO<sub>x</sub> and increased risk of dementia. The evidence for cognitive decline was more equivocal than that for the dementia outcomes. The pattern was mixed for O<sub>3</sub> with studies reporting positive and negative associations with exposure and increased risk and one reporting no association. Results for

CO, PM<sub>2.5-10</sub>, and PM<sub>10</sub> were too few to allow strong conclusions. These results support a possible role for exposure to air pollution, especially pollutants PM<sub>2.5</sub>, NO<sub>2</sub>/NO<sub>x</sub>, and O<sub>3</sub> and an increased risk of dementia and the decline in cognitive function that precedes it. Plausible pathways exist to support this. It is hypothesized that, when inhaled, the gas, particles, or material desorbed from the particle surface act to induce inflammatory responses, microglial activation, production of reactive oxygen species, and increased production and deposition of Aβ peptides [3, 4, 16, 17, 60–65]. Furthermore, plausible mechanisms support the potential for inhaled PM<sub>2.5</sub> or the even smaller UltraFine Particulate Matter <0.1 μm (UFPM) reaching the brain directly via the olfactory bulb with animal studies finding ultrafine particle penetration into the olfactory bulb, the frontal cortical, and subcortical areas of the brain [3, 4, 17, 66–70]. Although our review focused mainly on later life decline and incident dementia, exposure likely builds over the lifetime. Autopsy studies from children and young adults living in Mexico City have found associations between exposure to urban air pollution, particulate deposition and inflammation already present within the brain [71, 72], and population-based longitudinal studies are beginning to report associations between prior air pollution exposure and imaging outcomes; for example, the Atherosclerosis Risk In Communities study found higher long term PM exposure to be associated with smaller deep-grey matter volume [73].

### Strengths and limitations

The systematic nature of our updated review and selected inclusion of only longitudinal studies with incident dementia or cognitive change provides the most rigorous filter with which to examine the evidence relating to the association between air pollution and incident cognitive decline or dementia. Furthermore, the risk of bias in the included studies was low to moderate. However, there are limitations. Studies were drawn from just five countries. The assessment of pollution, although geocoded, may not reflect the true local variation or exposure in a mobile population; for example, if, as shown, risk varies within 300 m of a major roadway, there is the potential for a huge variety of risk within even a small geographical area, potentially even more so when taking account of prevailing wind patterns [9]. This is further limited by the use of varied methods for the assessment of exposure to air pollution in the included articles and



439 the data were too disparate to be combined in a meta-  
440 analysis. The use of incident dementia is robust but  
441 relies on health records where diagnostic rigor may  
442 be weaker and cases may be missed. Conversely, case  
443 finding bias may be prompted by other health con-  
444 cerns also stemming from exposure to air pollution.  
445 Furthermore, although this is in contrast to studies  
446 where specific assessment of cognitive function is  
447 required for all participants as part of the study proce-  
448 dures a measure of cognitive decline by itself does not  
449 necessarily indicate an ongoing degenerative process.  
450 As in all dementia risk factor evidence, there is also  
451 the question of adequate assessment of confounding,  
452 in particular where there may be an interaction with  
453 presence of *APOE4*. Furthermore, although many co-  
454 variates have been accounted for there remains, for  
455 air pollution in particular, the possibility of a role  
456 for both individual and parental socioeconomic sta-  
457 tus, living conditions, and pollution exposure through  
458 the life-course. This is particularly relevant consider-  
459 ing that associations between air pollution and poorer  
460 cognitive performance have been shown in childhood  
461 [6, 7]. Finally, of course, there may be an emerging  
462 publication bias as this area expands and we could not  
463 assess this, we did not review the grey literature, nor  
464 could we combine the evidence we have in a useful  
465 meta-analysis.

466 Although the evidence base examining the asso-  
467 ciation between air pollution and cognitive decline  
468 or dementia is smaller and less convincing than the  
469 equivalent evidence linking air pollution to increased  
470 risk of cardiovascular disease [1, 2], it is growing  
471 quickly. All of the articles that we identified had  
472 been published in the last five years, and 11 of the  
473 13 we identified had been published since our last  
474 systematic review which searched until 1 November  
475 2013 [11]. Our updated review, examining longitudi-  
476 nal evidence with incident decline, adds confirmatory  
477 evidence reducing uncertainty as to the likelihood of  
478 an association. Furthermore, the growing evidence  
479 base is reporting increasingly consistent results (at  
480 least for dementia outcomes), dose response rela-  
481 tionships, and biological plausibility particularly for  
482 exposure to  $PM_{2.5}$ . A detailed examination of the  
483 growing literature on potential mechanisms is beyond  
484 the scope of this review; however, for example, see  
485 Heusinkveld et al., Mumaw et al., Aragon et al., and  
486 Thompson [62–65] for more details.

487 Our review has drawn together and presented the  
488 existing evidence for exposure to air pollution and  
489 incident cognitive decline or dementia. Our goal now  
490 should be to strengthen the rigor and extent of the

491 research in this area to allow specific recommenda-  
492 tions to be made. This could be achieved by the use of  
493 an individual participant data meta-analysis but to do  
494 this, we need to examine a number of factors in more  
495 depth. These include: 1) the role of exposure dura-  
496 tion; 2) the role of different pollutants and different  
497 combinations of pollutants using more sophisticated  
498 adjustment and modelling of exposure, e.g., including  
499 adjustment for presence of multiple pollutants, tak-  
500 ing account of current and prior residential and other  
501 exposures such as school yards or workplaces; 3) the  
502 role of exposure in different populations in different  
503 geographical regions, such as low and middle income  
504 countries; 4) the role of modifying factors such as  
505 *APOE4*; 5) the potential variation in the association  
506 of air pollution with different cognitive domains; 6)  
507 the need to collect repeat imaging measures to allow  
508 insight into pathways and mechanisms; and 7) the  
509 potential for ameliorating the effects of exposure.

### 510 Conclusion

511 Air pollution, in common with the majority of  
512 established risk factors for dementia, does not influ-  
513 ence cognition alone. Rather, it increases the risk of  
514 multiple non-communicable diseases, one of which  
515 is dementia. However, unlike the majority of the  
516 established dementia risk factors, the opportunity  
517 for personal control over exposure to risk from air  
518 pollution is low. Air pollution is pervasive, global,  
519 life-long, and bad for health. Further regulation and  
520 reduction of exposure has huge potential for health  
521 benefit and cost saving including potentially reducing  
522 dementia risk. At present, the evidence suggests that  
523 greater exposure to air pollution may increase risk of  
524 cognitive decline and dementia, and further research  
525 is needed to support robust recommendations.

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## SUPPLEMENTARY MATERIAL

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