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1 **Conodonts and carbon isotopes during the Permian-Triassic**  
2 **transition on the Napo Platform, South China**

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13 **Abstract**

14 Two Permian–Triassic boundary (PTB) sections (Poju and Dala) are well exposed  
15 in an isolated carbonate platform (the Napo Platform) on the southwestern margin of  
16 the Nanpanjiang Basin, South China. These sections provide an insight into the  
17 transition across the PTB and a detailed investigation of the conodont biostratigraphy  
18 and inorganic carbon isotopes is presented. The PTB at the Poju Section is placed at  
19 the base of Bed 10B (a dolomitized mudstone found below a microbialite horizon),  
20 defined by the first occurrence of *Hindeodus parvus*. At the Dala Section, four  
21 conodont zones occur. They are, in ascending order, the *Hindeodus parvus* Zone,  
22 *Isarcicella staeschei* Zone, *Isarcicella isarcica* Zone and *Clarkina planata* Zone.  
23 Comparison with the Poju Section suggests the PTB at Dala also occurs at the base of  
24 dolomitized mudstone below a microbialite horizon, although the first occurrence

25 of *Hindeodus parvus* is towards the top of a microbialite bed: an occurrence that is also  
26 seen in other platform sections. The succeeding microbialite beds developed during the  
27 ongoing PTB mass extinction phase. This time was characterized by low carbon isotope  
28 values, and a microbialite ecosystem that provided a refuge for select groups (bivalves,  
29 ostracods and microgastropods) that were likely tolerant of extremely high  
30 temperatures.

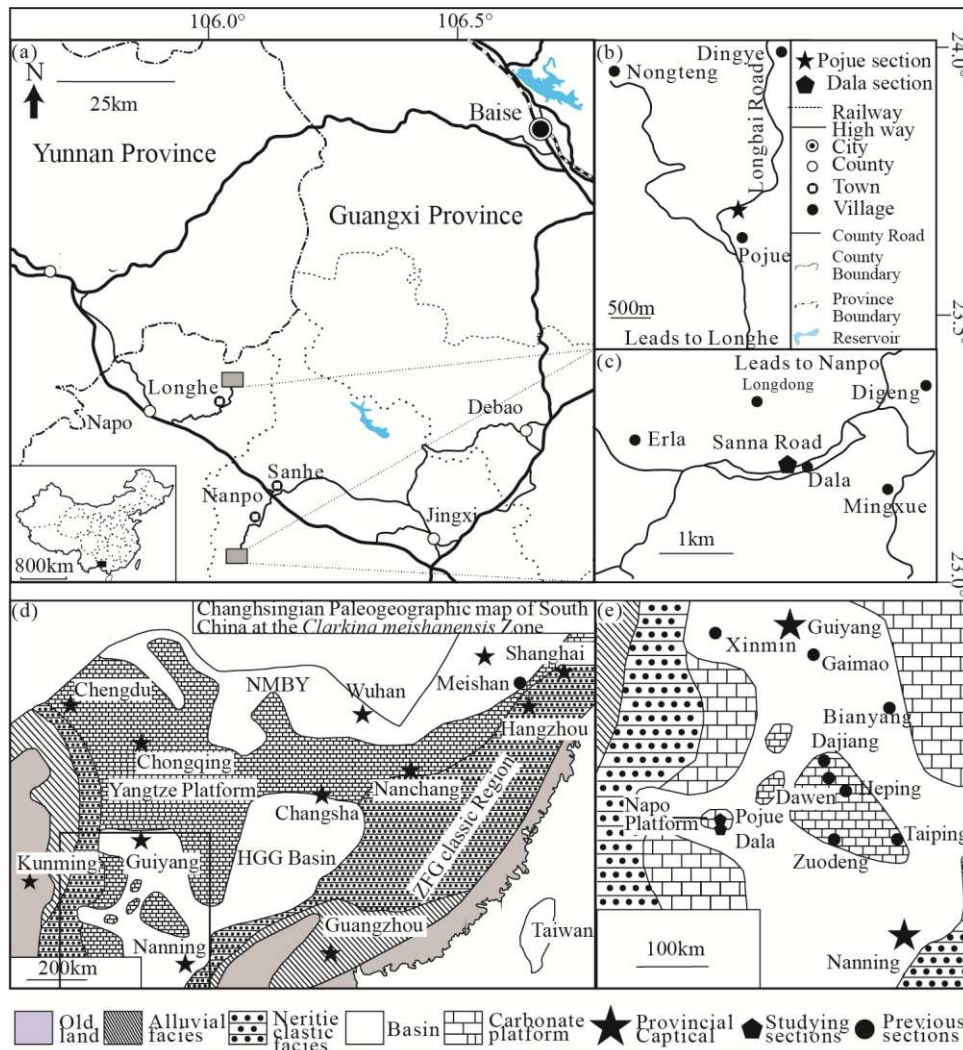
31 **Key words:** Permian-Triassic; Napo Platform; conodont biostratigraphy; carbon  
32 isotope

### 33 **1. Introduction**

34 The largest mass extinction in geological history occurred around the Permian-  
35 Triassic boundary (PTB). It has been studied for several decades and various extinction  
36 patterns (e.g., Shen et al., 2018, 2011; Jiang et al., 2015; Wignall, 2015; Wang et al.,  
37 2014; Song et al., 2013; Yin et al., 2012) noted with numerous causes (e.g., Sun et al.,  
38 2018; Baresel et al., 2017; Ernst and Youbi, 2017; Foster et al., 2017; Brand et al., 2016;  
39 Chen B et al., 2016; Grasby et al., 2016; Xiang et al., 2016; Chen Z-Q et al., 2015;  
40 Clarkson et al., 2015; Jiang et al., 2015; Song et al., 2014; Yin et al., 2014; Joachimski  
41 et al., 2012; Sun et al., 2012) proposed, but debate on the timing and nature of the crisis  
42 continues. Much of this discussion has been focused on sections in South China, notably  
43 the Global Stratotype Section and Point (GSSP) at Meishan and the auxiliary GSSP at  
44 Shangsi. Many sections in the Nanpanjiang Basin area have also provided important  
45 insights into PTB events, e.g., the persistence of siliceous deposition into the Early  
46 Triassic at Gaimao (Yang et al., 2012), the occurrence of delayed extinction in the deep-

47 water location of Bianyang (Jiang et al., 2015) and the age of post-extinction  
 48 microbialite facies following a hiatus in shallow, carbonate settings (Baresel et al., 2017;  
 49 Jiang et al., 2014) at the Great Bank of Guizhou (GBG).

50 Like the GBG, the Napo Platform is also found within the Nanpanjiang Basin, being  
 51 located near the southwestern margin (Fig. 1). We have undertaken the first study of  
 52 conodont biostratigraphy and  $\delta^{13}\text{C}_{\text{carb}}$  fluctuations at the Pojue and Dala sections (Fig.  
 53 1), in order to better constrain the mass extinction and environmental changes during  
 54 the PTB on this little studied Platform.



56 **Figure. 1** Location of the study sections and Changhsingian paleogeographic map of South China during the latest  
57 Permian *Clarkina meishanensis* Zone. Revised after Yin et al. (2014), NMBY, North marginal basin of Yangtze  
58 Platform; HGG Basin, Hunan–Guizhou–Guangxi basin; ZFG clastic Region, Zhejiang–Fujian–Guangdong clastic  
59 Region.

60

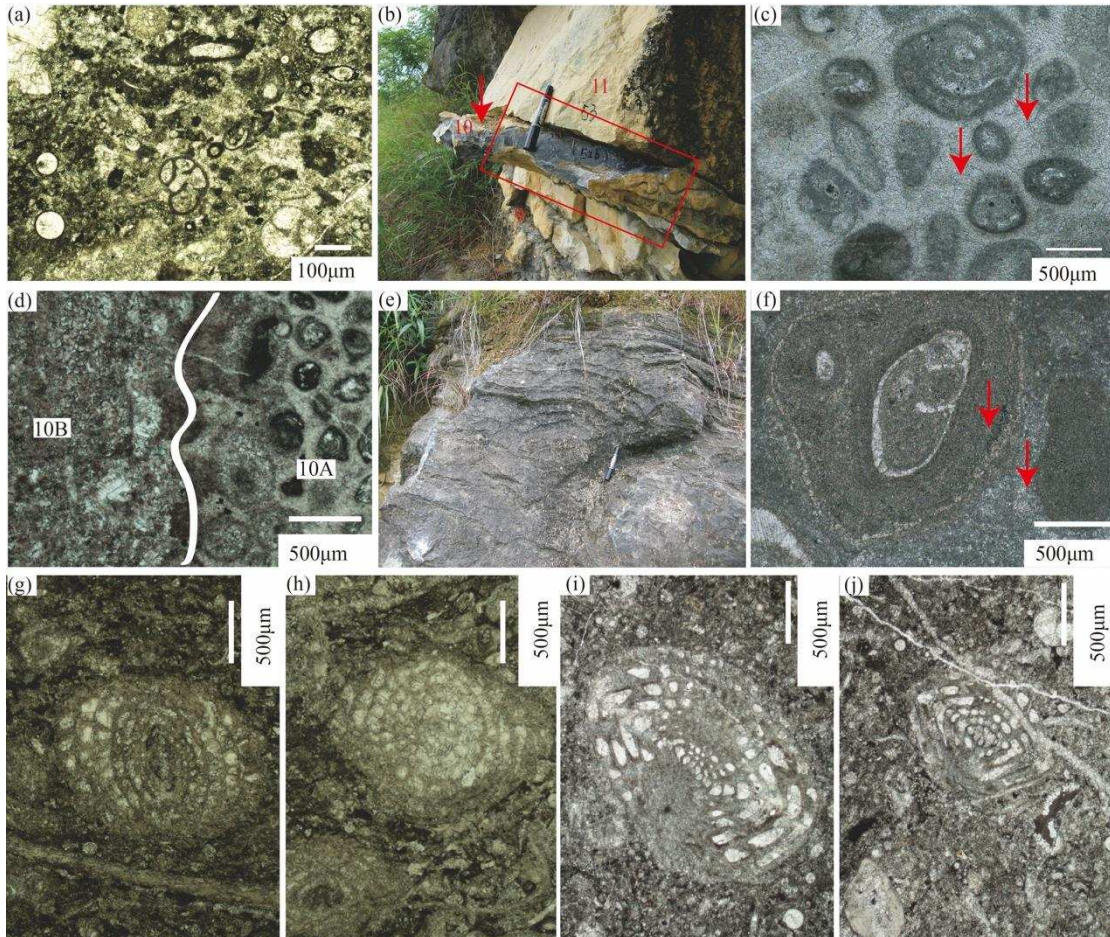
## 61 **2. Geological settings and facies description**

62 The Pojue Section is located at Pojue village, Longhe town, Napo County (Fig. 1).  
63 The Dala section occurs about 37 km south of Pojue and is located at Dala village,  
64 Nanpo town, Jingxi County (Fig. 1). These two sections record carbonate-dominated  
65 marine sedimentation during the PTB interval and are well exposed and easily  
66 accessible.

67 The Upper Permian strata at Pojue are dominated by bioclastic packstone and algal-  
68 laminated bindstone of the Wuchiaping Formation. The former lithology has a rich biota  
69 that includes fusulinids, foraminifers, calcareous algae and ostracods (Fig. 2 a). Only  
70 calcareous algae and foraminifers occur in the algal-laminated bindstone. The diverse  
71 biota indicates an open shallow-marine setting and a low to moderately high-energy  
72 environment in the Late Permian.

73 The Permian-Triassic transition strata of Pojue consist of an 8 cm-thick limestone  
74 bed (Fig. 2 a), which occurs above the algal-laminated bindstone and is overlain by  
75 microbialite. It consists of dolomitized mudstone (Bed 10B, Fig. 2d), foraminiferal  
76 cortoid grainstone (Bed 10A, Fig. 2d) and stromatolites with wavy laminae. These  
77 lithologies occur as multiple distinct units bounded by uneven or irregular truncation

78 surface shown in Fig. 2b. The lowest Triassic bed is a dolomitized mudstone that only  
 79 yields *H. parvus*. It is bounded by truncation surfaces and is overlain by microbialite  
 80 (Fig. 2b). The dolomite crystals are dirty with inclusions (Fig. 2d, Bed 10B).



81  
 82 **Figure. 2** Outcrop and thin section photographs of Permian-Triassic Boundary strata at the Pojue section and  
 83 foraminifer at the Pojue and Dala sections. (a): Bioclastic packstone at Pojue, Bed 1; (b): Outcrop view of PTB at  
 84 the Pojue section, red arrow shows the dolomitized mudstone, a 0.15m marker pen provides scale; (c): The cortoid  
 85 grainstone of Bed 10A at Pojue, the red arrows show isopachous fibrous rims around micrite envelopes; (d): Thin  
 86 section photo of the uneven surface (vertical in this view) between the Beds 10B and 10A at Pojue; (e): Outcrop  
 87 photo of calcimicrobial framestone with microbialite mound at Pojue, Bed 12, a 0.15m marker pen provides scale;  
 88 (f): Thin section photo of an ooid in Bed 13 at Dala. The red arrows show both sides of the ooid have same

89 characteristic and its inconspicuous laminate; (g)-(j): fusulinids at the Pojue and Dala sections, (g), (i), (j):  
90 *Nankinella* sp.; (h): *Pisoina* sp.; (g), (h): 2.76m below the base of Bed 10 B at Pojue; (i), (j): from Bed 7 at Dala.

91 Lower Triassic limestones sit on a truncation surface (Fig. 2 e) at the top of the  
92 dolomitized mudstone (Bed 10B) mentioned above or stromatolites (Bed 10C) of the  
93 Permian-Triassic transition Bed. The 6 m-thick, calcimicrobial framestone is composed  
94 of thrombolites (characterized by clotted structures), showing occasional domal  
95 structures (Fig. 2 e). This is in turn overlain by thin-bedded micritic mudstone with  
96 ostracods and conodonts. Horizontal bioturbation and thin-shelled fossils are seen in  
97 other earliest Triassic thin-bedded strata around this level (e.g. Zhao et al., 2008; Baud  
98 et al., 2007; Wignall and Hallam, 1996) but these features are absent from the Pojue  
99 strata.

100 The lithology of the Dala Section is similar to that of the Pojue Section, but with  
101 some minor differences. The upper Permian Wuchiaping Formation bioclastic  
102 packstone contain fusulinids, foraminifers, calcareous algae, ostracods and  
103 echinoderms, but the absence of algal-laminated bindstone suggests a slightly deeper  
104 water setting. The Wuchiaping Formation is once again capped by a truncation surface.  
105 Thus, the Dala section consists, in ascending order, of cortoid grainstone with fusulinids  
106 and foraminifers, dolomitized mudstone with the conodont *H. praeparvus*, a  
107 stromatolite bed and thrombolites. The thrombolites bed in turn is overlain by thin-  
108 bedded micritic mudstones that contain ostracods or some thin-shelled fossils. Thin  
109 oolitic wackstone beds, 5 cm-10 cm thick, are interleaved with the mudstones. The  
110 ooids, 1-2 mm in diameter, are partly recrystallized (Fig. 2 f), although some show more

111 than one nucleus and a thick cortex with irregular overlapping micritic laminae  
112 reminiscent of oncoids (Fig. 2 f). Ooids also occur in GBG sections but as thicker beds  
113 of oolitic grainstone (e.g. Li et al., 2015; Tian et al., 2015; Li et al., 2013; Lehrmann et  
114 al., 2012). The co-occurrence of ooids, typically produced in agitated conditions and  
115 micrite, suggesting quiet energy, at Dala implies the ooids may have formed in  
116 shallower waters and then been transported into the depositional setting.

### 117 **3. Materials and methods**

118 In order to investigate the conodont biostratigraphy, 17 samples (each > 4 kg in  
119 weight) were collected from the Pojue section and 21 samples (each > 4 kg) were  
120 collected from the Dala section (Figs. 3 and 5). All samples were broken into fragments  
121 then dissolved in dilute acetic acid (10%) and a 2.80 - 2.82 g/ml heavy liquid solution  
122 (solution of lithium heteropolytungstates in water) was used to separate the conodonts  
123 from the residues (method see to Yuan et al. 2015). In total 2 626 conodont elements  
124 belonging to *Hindeodus*, *Isarcicella* and *Clarkina* were obtained. Among them were 29  
125 well preserved P<sub>1</sub> elements from Pojue and 322 well-preserved P<sub>1</sub> elements from Dala  
126 (Fig. 3). These materials (Plate. 1-4) enabled the biostratigraphy of the two sections to  
127 be evaluated.



Sections	Beds No	Samples No	Lithologic informations	<i>H. parvus</i>	<i>H.cf. parvus</i>	<i>H. praeparvus</i>	<i>H. inflatus</i>	<i>H. peculiaris</i>	<i>I. inflata</i>	<i>I. staeschei</i>	<i>I. isarcica</i>	<i>I. prisca</i>	<i>I. turgida</i>	<i>C. carinata</i>	<i>C. lehrmanni</i>	<i>C. taylorae</i>	<i>C. planata</i>
Pojuie	10b	PJC-09	DM	3	3	6											
	10c	PJC-10	DM	2	2	3											
	13	PJC-14	TBM	2		2											
	13	PJC-15	TBM	1													
	13	PJC-16	TBM	2		3											
Dala	9	DAL-2B	DM			3											
	10	DAL-6	CF		1	2											
	11	DAL-11	CF	2		2											
	11	DAL-12	CF	3		2				1			1				
	12	DAL-13	TBM	14		13		5		26	1						
	15	DAL-14	TBM	24		14			4	11							
	17	DAL-15	TBM	50		66	3	11				8	11	1	2	1	1
	17	DAL-16	TBM	9		14											
	17	DAL-17	TBM	2		3							3				
	17	DAL-18	TBM	1		2						2					
17	DAL-19	TBM	1														

129 **Figure. 3** Numerical distribution of conodont species at Dala and Pojuie Sections, Napo and Jingxi County, Guangxi  
130 Province. Abbreviations of the genus of conodont and lithologic information: *H.*, *Hindeodus*, *C.*, *Clarkina*, *I.*,  
131 *Isarcicella*, P1 conodont element, DM, dolomitized mudstone; TBM, thin-bedded mudstone; CF, calcimicrobial  
132 framestone.

133 Both the Pojuie and the Dala sections of the Napo Platform in Guangxi Province have  
134 been sampled for carbon and oxygen stable isotope investigation. Bulk rock carbonates  
135 were sampled by micro drill to produce 2 – 4 mg powders and  $^{13}\text{C}/^{12}\text{C}$  and  $^{18}\text{O}/^{16}\text{O}$   
136 ratios of  $\text{CO}_2$  were generated by the acid reaction and measurement on a Finnigan MAT-  
137 253 at the State Key Laboratory of Biogeology and Environment Geology in Wuhan  
138 and converted to  $\delta^{13}\text{C}_{\text{carb}}$  (VPDB) and  $\delta^{18}\text{O}$  (VPDB) (Fig. 4).

Samples No.	$\delta^{13}\text{C}$ (‰)	$\delta^{18}\text{O}$ (‰)	Samples No.	$\delta^{13}\text{C}$ (‰)	$\delta^{18}\text{O}$ (‰)	Samples No.	$\delta^{13}\text{C}$ (‰)	$\delta^{18}\text{O}$ (‰)
	VPDB	VPDB		VPDB	VPDB		VPDB	VPDB
Pojue			PJ15-12	0.15	-8.40	DALS-6	4.04	-5.96
PJ-1	4.95	-7.37	PJ15-13	0.20	-8.11	DALS-7	3.29	-8.42
PJ-2	4.68	-6.61	PJ15-15	-0.52	-9.10	DALS-8	1.01	-7.77
PJ-3	3.14	-8.28	PJ15-17	-0.33	-7.44	DALS-10	3.95	-6.65
PJ-4	4.87	-5.83	PJ15-19	-0.04	-6.37	DALS-11	4.10	-7.25
PJ-5	5.10	-9.29	PJ15-22	-0.45	-7.39	DALS-12	1.06	-5.64
PJ-6	4.52	-7.38	PJ15-24	-0.42	-7.65	DALS-13	0.51	-5.58
PJ-7	4.74	-6.84	PJ15-26	-0.44	-6.77	DALS-14	0.20	-5.68
PJ-8	5.10	-8.21	PJ15-28	-0.29	-6.75	DALS-15	0.03	-5.29
PJ-12	4.49	-6.69	PJ-24	-0.03	-6.08	DALS-16	-0.32	-5.62
PJ-13	2.97	-6.65	PJ15-30	-0.56	-8.19	DALS-17	-0.01	-4.98
PJ-14	3.19	-5.76	PJ15-32	-0.53	-6.51	DALS-18	0.34	-4.95
PJ-15	3.19	-7.37	PJS-1	1.77	-6.81	DALS-19	-0.39	-5.52
PJ-18	2.98	-6.51	PJS-2	2.06	-7.01	DALS-20	-0.34	-6.19
PJ-19	2.98	-5.50	PJS-3	1.96	-6.15	DALS-21	-0.54	-5.33
PJ-20	1.75	-5.91	PJS-4	1.93	-6.69	DALS-22	0.00	-6.75
PJ15-1	1.42	-6.98	PJS-5	1.91	-5.99	DALS-23	0.13	-5.9
PJ15-2	1.73	-6.09	PJS-6	2.00	-5.44	DALS-24	-0.19	-7.35
PJ-21	0.05	-8.40	PJS-7	2.16	-5.98	DALS-26	-0.23	-6.3
PJ15-3	2.24	-3.08	Dala			DALS-27	1.03	-5.59
PJ15-4	1.72	-5.98	DALS-1	4.04	-8.45	DALS-28	1.23	-6.49
PJ15-6	0.96	-6.13	DALS-2	3.35	-6.94	DALS-29	1.38	-5.49
PJ15-8	-0.09	-7.92	DALS-3	3.48	-5.35	DALS-30	1.19	-5.71
PJ15-10	-1.02	-7.70	DALS-4	3.48	-5.3	DALS-31	1.67	-7.3
PJ-23	-0.41	-7.12	DALS-5	3.76	-6.1			

139 **Figure. 4** Carbon and oxygen ratios of carbonate from Pojue and Dala section, analyzed using MAT 253 in State

140 Key Laboratory of Biogeology and Environmental Geology (China).

141

## 142 **4. Results**

### 143 **4.1 Conodont biostratigraphy**

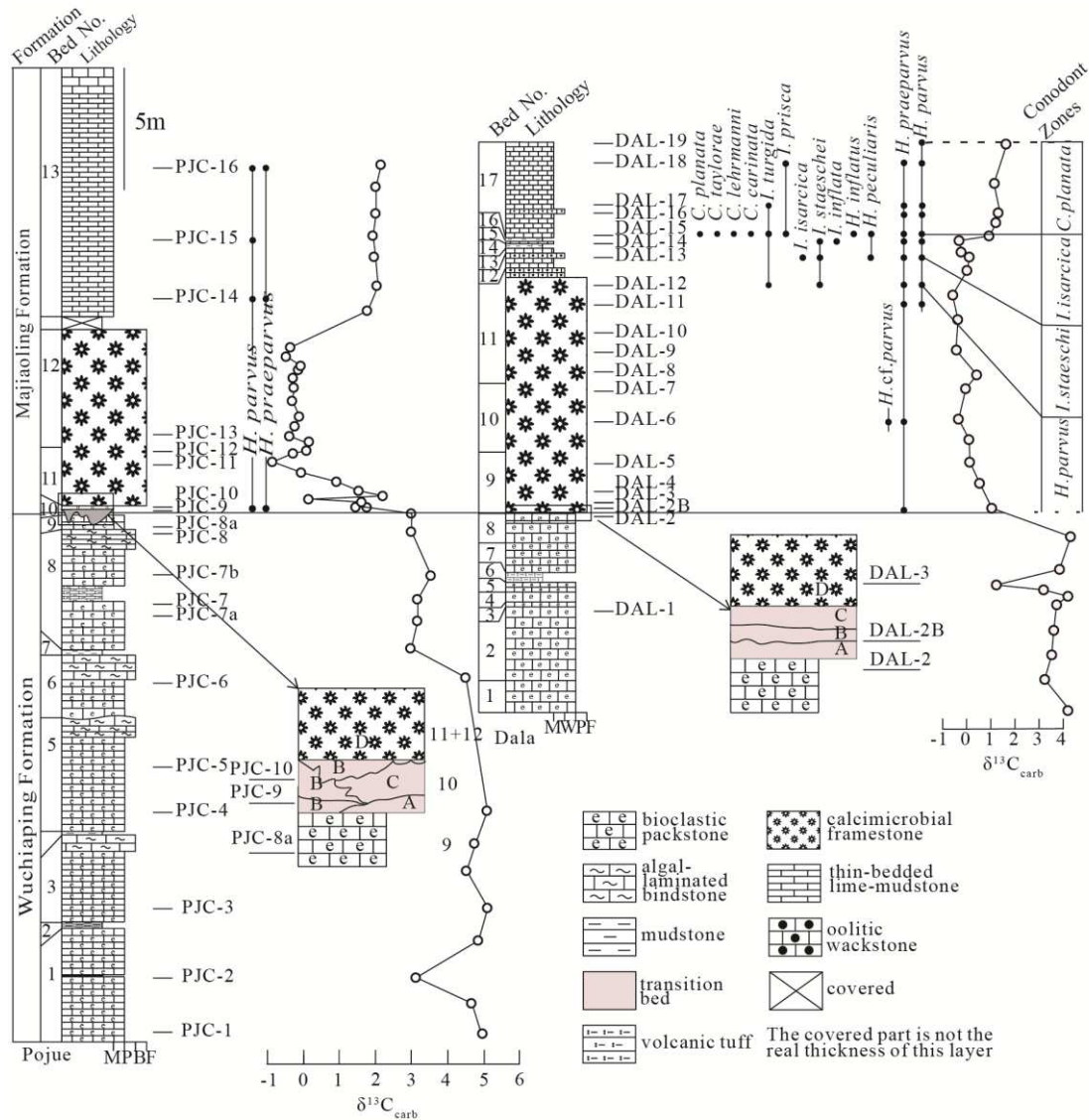
#### 144 *4.1.1 The Pojue Section*

145 We failed to obtain any conodonts from Bed 1 to Bed 10A in our collections.

146 However, abundant fusulinids and foraminifera are seen in thin section such as the

147 fusulinids *Nankinella* sp. and *Pisoia* sp. (Fig. 2 g and h). In addition, the fusulinids,  
148 *Sphaerulina* and the coral *Liangshanophyllum* have also been reported from these beds  
149 at Pojue, and *Palaeofusulina* and *Codonofusiella* are known from the same level  
150 regionally and indicate a Late Permian (Changhsingian) age (Regional Geological  
151 Survey Team of the Guangxi Zhuang Autonomous Region Geological Bureau, 1974).

152 Two species, *Hindeodus parvus* and *Hindeodus praeparvus* are found at Bed 10B  
153 (Fig. 5 and Plate. 1). Although this is not enough to precisely identify a conodont zone,  
154 *H. parvus* marks the base of Triassic (Yin et al., 2001), and therefore the beds above  
155 Bed 10B, including the microbialite bed, at Pojue can be assigned an Early Triassic  
156 (Griesbachian) age.



157

158 **Figure. 5** Lithostratigraphic logs, conodont distributions and carbon isotopes at the Pojue and Dala PTB sections.

159 The arrows show sketch illusions of interpreted contact relationship at transition strata from Permian bioclastic

160 packstone to Triassic microbialite at Pojue and Dala sections. A: cortoid grainstone with foraminifer; B: dolomitized

161 mudstone; C: calcimicrobial framestone with stromatolite; D: calcimicrobial framestone with thrombolite.

162 Abbreviations of the genus of conodont: *H. Hindeodus*, *C. Clarkina*, *I. Isarcicella*.

163 *4.1.2 The Dala Section*

164 Beds 1-8 also failed to yield conodonts at the Dala Section. However, the

165 lithostratigraphy of this interval is very similar to that at Pojue and so we also assign a

166 latest Permian age to these strata at Dala. The lithostratigraphic succession from the  
167 base of Bed 9 is the almost same as that from Bed 10 A to C to Bed 11 at Pojue.  
168 Fortunately, more conodonts were collected from Bed 9 - 17 at the Dala section,  
169 enabling the identification of four conodont zones (Plate. 1-4). In ascending order, they  
170 are: *Hindeodus parvus* Zone, *Isarcicella staeschei* Zone, *Isarcicella isarcica* Zone and  
171 *Clarkina planata* Zone.

172 *Hindeodus parvus* Zone: *Hindeodus parvus* first occurred in sample DAL-11 (Fig.  
173 5). While a specimen of *Hindeodus* cf. *parvus* is found from Bed 10 and *Hindeodus*  
174 *praeparvus* is found from the base of Bed 9 (Fig. 5), based on comparison with the  
175 Pojue section, *H. parvus* could appear in base of Bed 9 (Fig. 5) at Dala. Thus, we  
176 tentatively place the lower limit of this zone at the base of Bed 9. The upper limit is  
177 defined by the first occurrence of *Isarcicella staeschei*. Associated taxa include  
178 *Hindeodus praeparvus* and *Hindeodus* cf. *parvus*. This zone is widely reported in  
179 Nanpanjiang Basin area (e.g. Bianyang (Yan et al., 2013), Dajiang (Jiang et al., 2014),  
180 Xinmin (Zhang et al., 2014), Dawen (Chen et al., 2009; Liu et al., 2007) and Langpai  
181 (Ezaki et al., 2008)).

182 *Isarcicella staeschei* Zone: Lower limit: first occurrence of *Isarcicella staeschei*;  
183 upper limit: first occurrence of *Isarcicella isarcica*. This zone ranges from the base of  
184 sample DAL-12 to the base of sample DAL-13 (Fig. 5). Associated taxa: *Hindeodus*  
185 *parvus*, *Hindeodus praeparvus* and *Isarcicella turgida*. The *I. staeschei* Zone also has  
186 been widely reported in Nanpanjiang Basin (e.g. Dawen (Chen et al., 2009; Liu et al.,

187 2007), Langpai (Ezaki et al., 2008) and Heping (Krull et al., 2004; Lehrmann et al.,  
188 2003)).

189 Isarcicella isarcica Zone: Lower limit: first occurrence of Isarcicella isarcica; upper  
190 limit: first occurrence of Clarkina planata. Associated taxa: H. parvus, H. praeparvus,  
191 H. peculiaris, I. staeschei, I. inflata and I. turgida. This zone ranges from the base of  
192 sample DAL-13 to the base of sample DAL-15 in the Dala section (Fig. 5). The zone is  
193 widely reported from the Nanpanjiang Basin (e.g. Langpai (Ezaki et al., 2008), Dawen  
194 (Chen et al., 2009; Liu et al., 2007), Gaimao (Yang et al., 2012) and Dajiang (Jiang et  
195 al., 2014)).

196 Clarkina planata Zone: Lower limit: first occurrence of Clarkina planata. The upper  
197 limit is undefined. Associated taxa: H. parvus, H. praeparvus, H. peculiaris, I. inflatus,  
198 I. prisca, I. turgida, C. lehrmanni, C. carinata and C. taylorae. This zone starts from  
199 the base of sample DAL-15 (Fig. 5). Wang (1996) first established the Clarkina planata  
200 Zone at Meishan, where it occurs in deep-water facies, and considered that it could  
201 correspond to the upper Isarcicella staeschei to Hindeodus postparvus zones in  
202 shallower water sections. Zhang et al. (2009) defined a Clarkina tulongensis - Clarkina  
203 planata assemblage zone, based on the disappearance of I. isarcica and the first  
204 occurrence of Sweetospathodus kummeli from Bed 52-Bed 72 at Meishan. Yang et al.  
205 (2012) also reported Clarkina planata Zone at Gaimao in the Nanpanjiang Basin and  
206 defined the first occurrence of Clarkina planata and Clarkina krystyni as its base and  
207 top, respectively. The Clarkina planata Zone at the Dala section is equivalent to that at  
208 Gaimao (Yang et al., 2012).

209 These four conodonts zones of the Napo Platform can be readily correlated with  
 210 sections in the Nanpanjiang Basin area and also with the GSSP at Meishan (Fig. 6).  
 211

System	Stages	Meishan	Nanpanjiang Basin area			
		Chen Z-Q et al. 2015 (After Jiang et al., 2011,2007; Zhang et al., 2009)	Dawen	Gaimao	Dajiang	Napo platform
Early Triassic	Induan	<i>Nc. discreta</i>		<i>Ns. dieneri</i>		
				<i>C. krystyni</i>		
		<i>C. planata</i>		<i>C. planata</i>	<i>H. sosioensis</i>	<i>C. planata</i>
		<i>I. isarcica</i>	<i>I. isarcica</i>	<i>I. isarcica</i>	<i>I. isarcica</i>	<i>I. isarcica</i>
		<i>I. staeschei</i>	<i>I. staeschei</i>		<i>I. lobata</i>	<i>I. staeschei</i>
		<i>H. parvus</i>	<i>H. parvus</i>		<i>H. parvus</i>	<i>H. parvus</i>
Late Permian	Chanhshingian	<i>C. taylorae</i>				
		<i>H. changxingensis</i>				
		<i>C. meishanensis</i>				

212 **Figure. 6** Correlation of the Permian-Triassic conodont zones in selected sections from South China. Abbreviations  
 213 of the genus of conodont: *H. Hindeodus*, *C. Clarkina*, *I. Isarcicella*, *Nc. Neoclarkina*, *Ns. Neospathodus*.

## 214 4.2 Carbon isotopes

215 The oldest  $\delta^{13}\text{C}_{\text{carb}}$  values are around +5 ‰ at the base of the Pojue section and  
 216 decline to +3 ‰ in the uppermost 5 m of the Upper Permian strata. Within the lowest  
 217 Triassic microbialite bed  $\delta^{13}\text{C}_{\text{carb}}$  values decline rapidly and then reach stable values  
 218 between -1 ‰ to 0 ‰ in Bed 11 and Bed 12. From Bed 13 upwards the  $\delta^{13}\text{C}_{\text{carb}}$  values  
 219 recover to higher values around +2 ‰ (Fig. 5).

220 The  $\delta^{13}\text{C}_{\text{carb}}$  values at Dala show a very similar trend to the Pojue section. Beginning  
 221 around +4 ‰ in the Upper Permian of the Dala section these values remain stable,

222 except for two lower values around 2 m from the top, before a rapid shift to light values  
223 that range from  $-0.5\text{‰}$  to  $0\text{‰}$  in the microbialite bed (Bed 9 to 16). From the base of  
224 Bed 17, the  $\delta^{13}\text{C}_{\text{carb}}$  values return to higher values (around  $+1\text{‰}$ ) again (Fig. 5).

## 225 **5. Discussion**

### 226 5.1 The Permian-Triassic Boundary

227 Defined by the first occurrence of *H. parvus* from Bed 10B at the Pojue Section, the  
228 PTB of this section is placed at the uneven surface between Bed 10B (Early Triassic  
229 dolomitized mudstone) and Bed 10A (Late Permian cortoid foraminifera grainstone).  
230 This situation is closely similar to that of the GBG sections such as Dajiang where the  
231 PTB is placed at the uneven surface between Permian bioclastic packstone and Lower  
232 Triassic wackstone (Jiang et al., 2014), and Yangtze platform section like Gaohua  
233 (Wang et al., 2016) where the PTB is also placed at the uneven surface between the  
234 upper Permian limestone to lower Triassic microbialite. The cause of truncation has  
235 been debated with both intense ocean acidification (Payne et al., 2007) and emergence  
236 followed by karstification being proposed (Wignall et al., 2009). Lehrmann et al. (2015)  
237 concluded that the thickness for anisopachous fibrous cements below the truncation  
238 surface are random without a downward orientation which suggested the truncation  
239 surface have formed by submarine dissolution and it caused 30~100kyr hiatus during  
240 the *C. meishanensis*, *H. changxingensis* and *C. taylorae* zones at Meishan (21kyr Wu  
241 et al., 2013). However, their evidence was refuted by Kershaw et al. (2016) who  
242 favoured physical erosion as the cause of truncation. Given the shallow-water setting



243 of the Napo Platform sections, we consider it likely that a minor sequence boundary  
244 due to regression is seen in the studied sections.

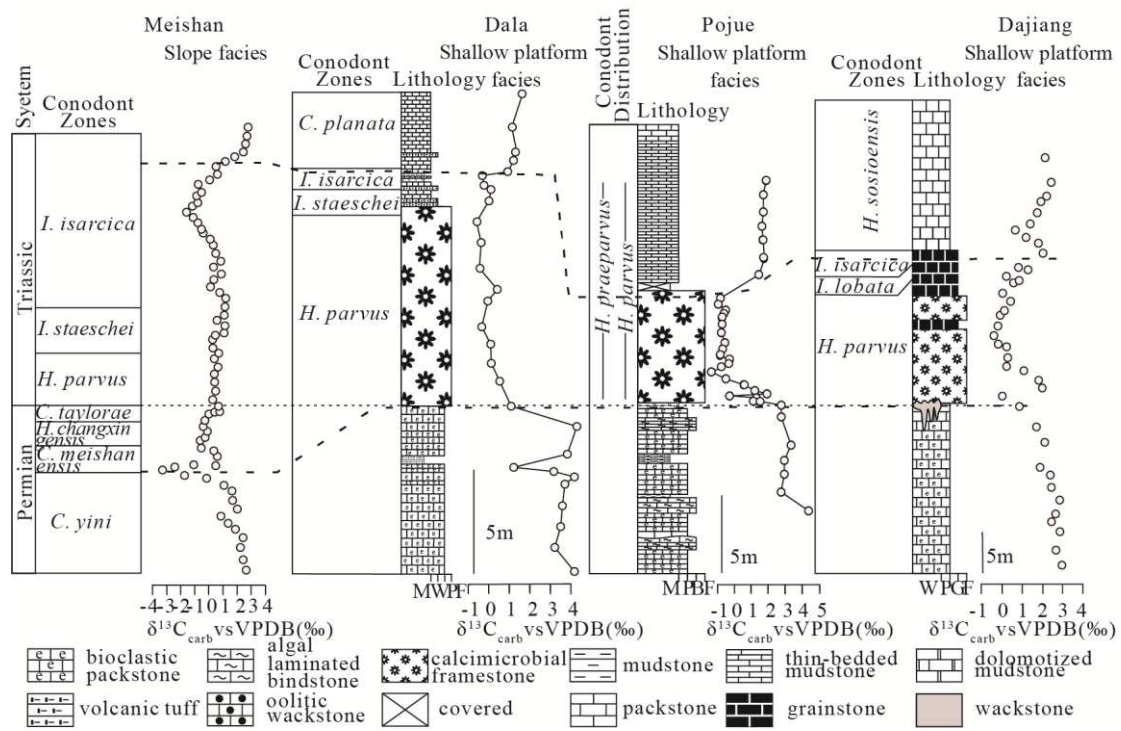
245 *H. parvus* is absent from Bed 9 at the Dala Section, but comparison with the nearby  
246 Pojue section (only 37 km away) and considering the similar lithological sequence and  
247 the fact that *H. praeparvus* was found at the base of dolomitized mudstone below the  
248 microbialite, we surmise that the PTB is likely to occur at the base Bed 9 at Dala. Also,  
249 as noted above, the Permian-Triassic boundary at Dajiang has also been placed at the  
250 base of a wackstone below the microbialite, similar to Gaohua (Wang et al., 2016) and  
251 Jianzishan (Bai et al., 2018) sections. This inference is supported by the similar negative  
252 shifts seen in the  $\delta^{13}\text{C}_{\text{carb}}$  curve at these two sections. The *Hindeodus changxingensis*  
253 Zone is also probably missing in these two sections (cf. Jiang et al., 2014). This was  
254 followed by the development of the dolomitized mudstone bed and subsequently the  
255 microbialite bed during the *H. parvus* Zone. The first occurrence of *H. parvus* in some  
256 microbialite sections lead Brosse et al. (2015) to argue that this species is an unreliable  
257 marker for the base of the Triassic at the Meishan GSSP. In detail, they found that *H.*  
258 *parvus* first appears below *H. eurypyge* and *I. turgida* in their microbialite-bearing study  
259 section at Wuzhuan whereas *H. parvus* first appears above these species at Meishan  
260 (Jiang et al., 2007). These observations lead Brosse et al. to conclude that *H. parvus*  
261 appears “late” at Meishan. Alternatively, we note that both *H. eurypyge* and *I. turgida*  
262 are very rare at Wuzhuan – the former species is only known from a single element –  
263 indicating that they are unlikely to show a range comparable with that at Meishan. Both  
264 these species are also rare conodonts in our samples from Dala and they are absented

265 from Pojue. Collection failure of *H. eurypyge* and *I. turgida* from microbialites is a  
266 more parsimonious interpretation of conodont distributions reported by Brosse et al.  
267 (2015).

## 268 5.2 Implications for the mass extinction

269 Shen et al. (2011) estimated that the mass extinction during the PTB lasted for almost  
270 200 kyr and was accompanied by a negative C isotope excursion. This interval was  
271 revised down to about  $60\pm 48$  kyr by Burgess et al. (2014), and shortened further to  
272  $\sim 31\pm 31$  kyr at Penglaitan section (Shen et al., 2018). Song et al. (2013) demonstrated  
273 that there were two pulses of extinction straddling the PTB. Wang et al. (2014)  
274 attributed Song et al.'s finding to the Signor-Lipps effect and facies-dependent  
275 occurrences and concluded that there was a single extinction phase. However, Wang et  
276 al. (2014) failed to appreciate that the Song et al. study placed the second phase of  
277 extinction in the Early Triassic whereas the Signor-Lipps effect is only capable of  
278 making a mass extinction appear older not younger in time. In other words, implicit in  
279 the conclusion of Wang and colleagues is that the PTB mass extinction is an earliest  
280 Triassic event even though they favored a single, latest Permian extinction event. Jiang  
281 et al. (2015) reported an end-Permian foraminifera extinction at Bianyang, a deep-water  
282 location, which was later than that seen at Meishan. In contrast, He et al. (2015)  
283 suggested the deep-water brachiopods disappeared earlier than shallow-water  
284 brachiopods. Thus, extinction patterns clearly vary in different depositional settings in  
285 South China and span from the latest Permian to the earliest Triassic (see also Song et  
286 al., 2014). Biostratigraphically, the maximum extinction interval ranges from Bed 24e

287 to Bed 28 at Meishan (Shen et al., 2011) and thus started in the *C. meishanensis* Zone  
288 and ended in the *I. staeschei* Zone (Jiang et al., 2007, Chen Z.Q et al., 2015). Although  
289 the *H. changxingensis* Zone is likely absent at Dala and Pojue, these sections still record  
290 most of the PTB interval. It is interesting that the  $\delta^{13}\text{C}_{\text{carb}}$  record at the two sections also  
291 shows lower values during the extinction interval (Fig. 7). This correspondence is also  
292 seen at the Dajiang section in GBG area (Luo et al., 2010) and at many other sections  
293 such as the Meishan GSSP (Shen et al., 2013; Fig. 7). Pojue and Dajiang sections both  
294 show a single negative shift beginning at the end of the Permian and culminating in an  
295 Earliest Triassic low of about 0 to -1‰, (Yin et al. 2014). Considering the *C.*  
296 *meishanensis* ( $\pm$ *H. changxingensis*) missing zone(s) (Yin et al., 2014), the shallow  
297 platform facies sections also can be correlated with the Meishan section through the  
298  $\delta^{13}\text{C}_{\text{carb}}$  excursion, despite only one negative excursion observed at shallow water  
299 sections. Pojue, Dala, and Dajiang (shallow water) sections have higher carbon isotope  
300 values in the extinction interval, of about 0 to -1‰, than deeper water sections like  
301 Meishan which have negative values of about -2 to -5‰ (Song et al., 2012).



302

303 **Figure. 7** Correlation of  $\delta^{13}C_{carb}$  carbon curve and conodont zones for Pojue, Dala, Dajiang (Jiang et al., 2014; Luo  
 304 et al., 2010), and Meishan GSSP (Shen et al., 2013). Abbreviations of the genus of conodont: *H. Hindeodus*, *C.*  
 305 *Clarkina*, *I. Isarcicella*.

306 The Permian-Triassic mass extinction was not a sudden event in South China, but a  
 307 phase of progressive environmental deterioration, spanning a few hundred thousand  
 308 years, linked to rapid global warming and the widespread development of marine  
 309 anoxia (e.g. Jiang et al., 2015; Song et al., 2014). The microbialites of the Napo  
 310 Platform developed on carbonate platforms during the PTB extinction and represent a  
 311 simple microbial ecosystem (Wang et al., 2011). The abundant microbes could have  
 312 served as a major food source and also provided a local oxygen supply, thereby  
 313 enhancing the hospitality of the ecosystem and providing a refuge for ostracods and  
 314 other organisms, although the community lacked a well-developed trophic hierarchy  
 315 (Forel et al., 2013). The organisms present in the microbialite ecosystems (bivalves,

316 ostracods and microgastropods) belong to groups with exceptional tolerance to high  
317 temperatures (Song et al., 2014), a factor that may also have been important in their  
318 occurrence. Unusually diverse bivalve and gastropod assemblages have also been  
319 reported from the contemporary microbialites at the Shanggan section, Leye County,  
320 Guangxi, South China (Hautmann et al., 2011). Thus, like deep-water settings,  
321 microbialites offered a temporary refuge during the PTB mass extinction.

322

## 323 **6. Conclusions**

324 The conodont biostratigraphy and carbon isotope record across the PTB at Pojue and  
325 Dala on the Napo Platform have been documented. Four conodont zones: *H. parvus*  
326 Zone, *I. staeschei* Zone, *I. isarcica* Zone and *C. planata* Zone are identified at Dala.  
327 Defined by the first occurrence of *H. parvus*, the PTB is placed at the base of a  
328 dolomitized bed (Bed 10 B) at Pojue. Correlating, using lithofacies development and  
329 carbon isotope stratigraphy, the PTB at Dala is also placed at the base of a similar  
330 dolomitized bed (base of Bed 9 Fig.5 B). The idea that *H. parvus* appears at a lower  
331 level in microbialite sections than in the Meishan Stratotype are based on the late  
332 occurrence of the rare species *H. eurypyge* and *I. turgida* in the microbialites and so we  
333 suggest that this notion is unsafe.

334 Both carbon isotope curves at the two sections show lower values during the mass  
335 extinction interval implying that the mass extinction was not a sudden event. The Napo  
336 Platform sections show a sequence of events that provide much detail on the cause of  
337 the mass extinction. Thus, latest Permian times saw the development of shallow-water

338 packstone facies with a high-diversity fauna. These strata are truncated and a hiatus  
339 spanning the *C. meishanensis* and *H. changxingensis* Zone is developed. The mass  
340 extinction began during this unrecorded interval on the Napo Platform.

341

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351

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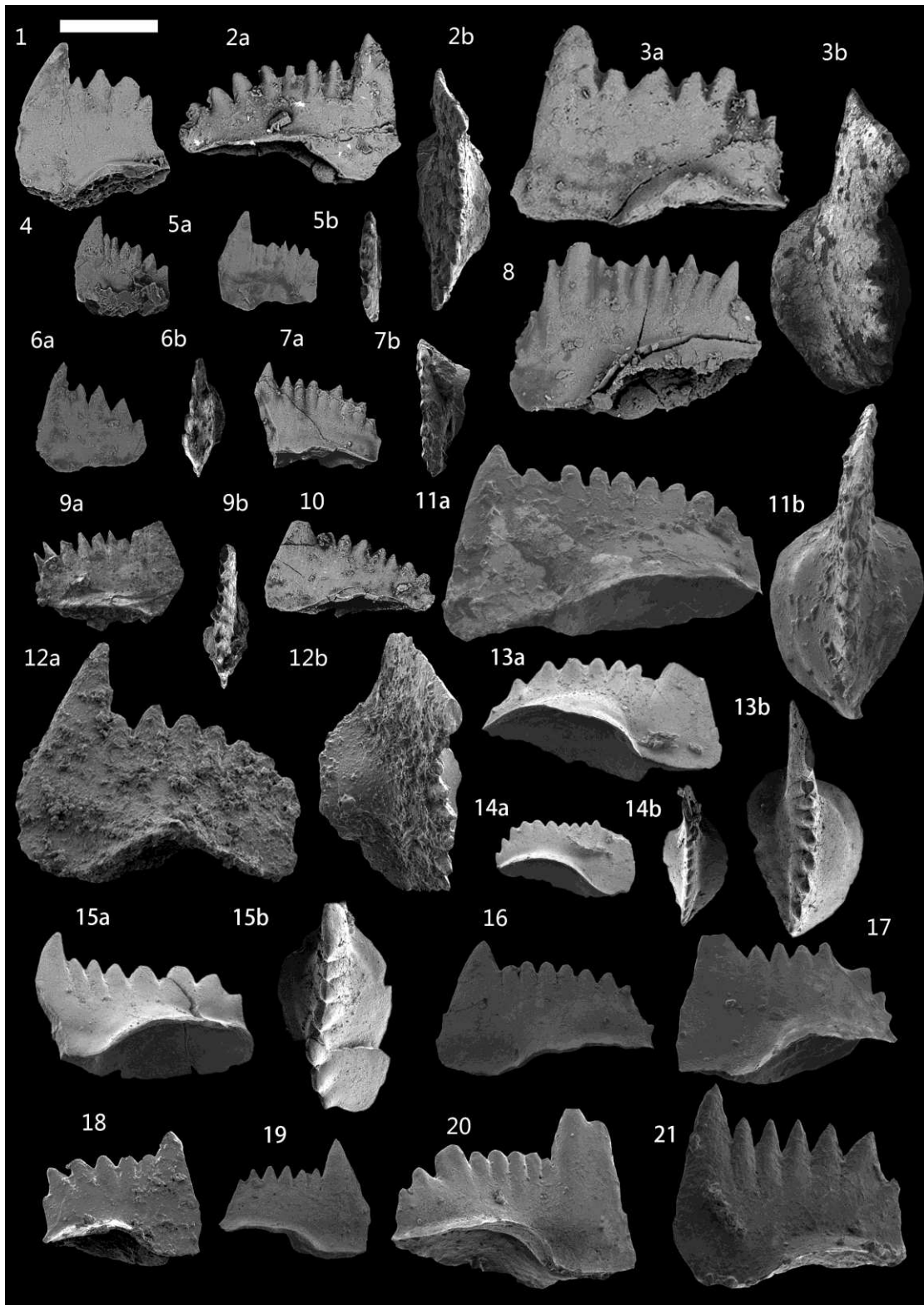
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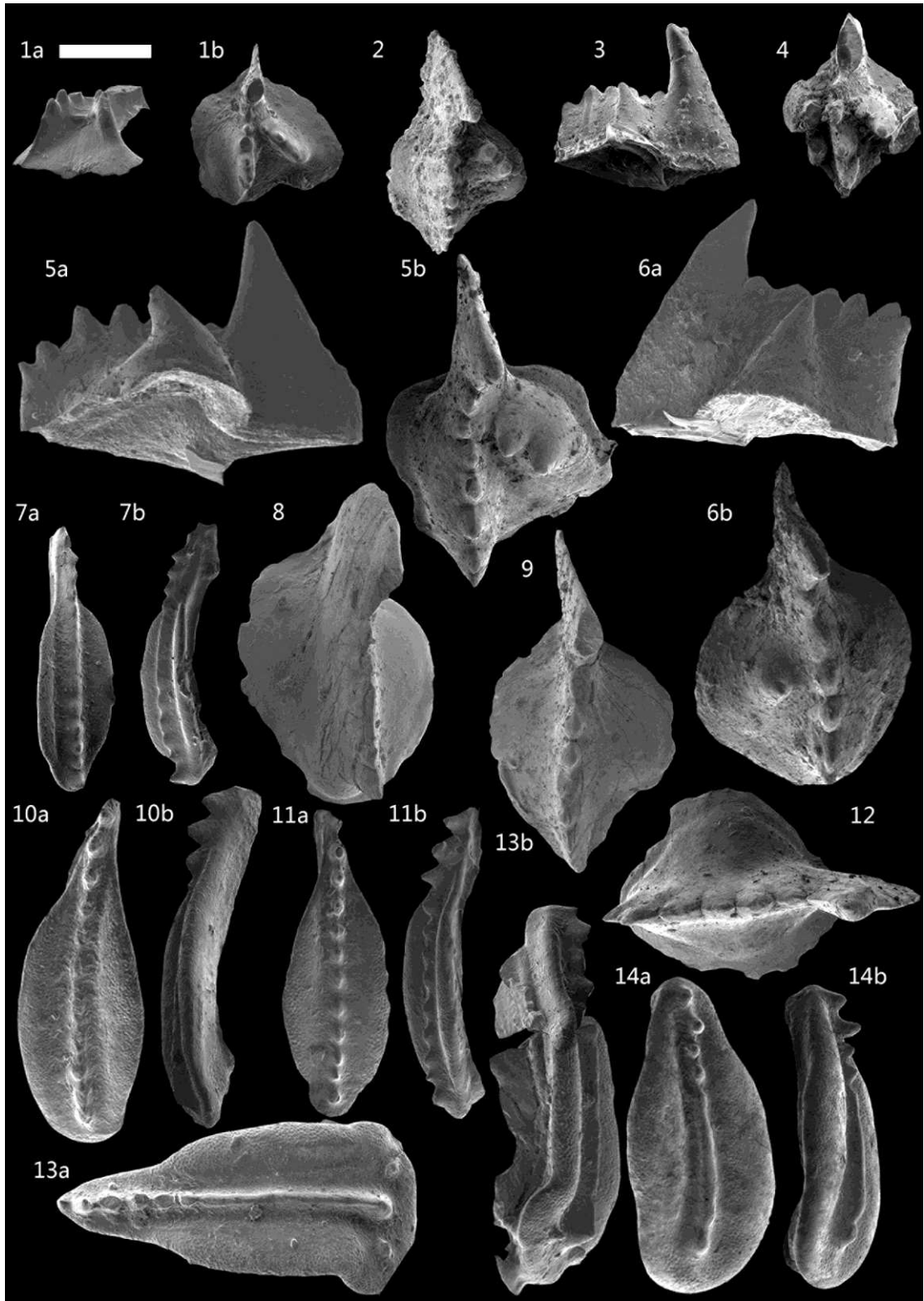


594

595 **Plate.1** P<sub>1</sub> elements from Pojue and Dala sections. Scale bar equals 200µm. 1-11, obtained from Pojue section.

596 1,3,5,9. *Hindeodus parvus* (Kozur and Pjatakova, 1976); 1. Bed 10b, PJC-09, lateral view; 3. Bed 10b, PJC-09,

597 lateral view and upper view; 5. Bed 13, PJC-15, lateral view and upper view; 9. Bed 10b, PJC-09, lateral view and  
598 upper view. 2,4,6,7,8,10,11. *Hindeodus praeparvus* Kozur,1996; 2. Bed 10c, PJC-10, lateral view and upper view; 4.  
599 Bed 13, PJC-14, lateral view; 6. Bed 10b, PJC-09, lateral view and upper view; 7. Bed 13, PJC-13, lateral view and  
600 upper view; 8; Bed 13, PJC-16, lateral view; 10. Bed 10c, PJC-10, lateral view; 11. Bed 10b, PJC-09, lateral view  
601 and upper view. 12-21, obtained from Dala section. 12,19,20. *Hindeodus parvus* (Kozur and Pjatakova,1976); 12.  
602 Bed 15, DAL-14, lateral view and upper view; 19. Bed 17, DAL-16, lateral view; 20. Bed 15, DAL-14, lateral  
603 view.13,14,15,16,18, 21. *Hindeodus praeparvus* Kozur,1996; 13,14,15. Bed 9, DAL-2B, lateral view and upper view;  
604 16. Bed 17, DAL-15, lateral view; 18, 21. Bed 17, DAL-16, lateral view. 17. *Hindeodus inflatus* Nicoll et al., 2002;  
605 Bed 17, DAL-15, lateral view.



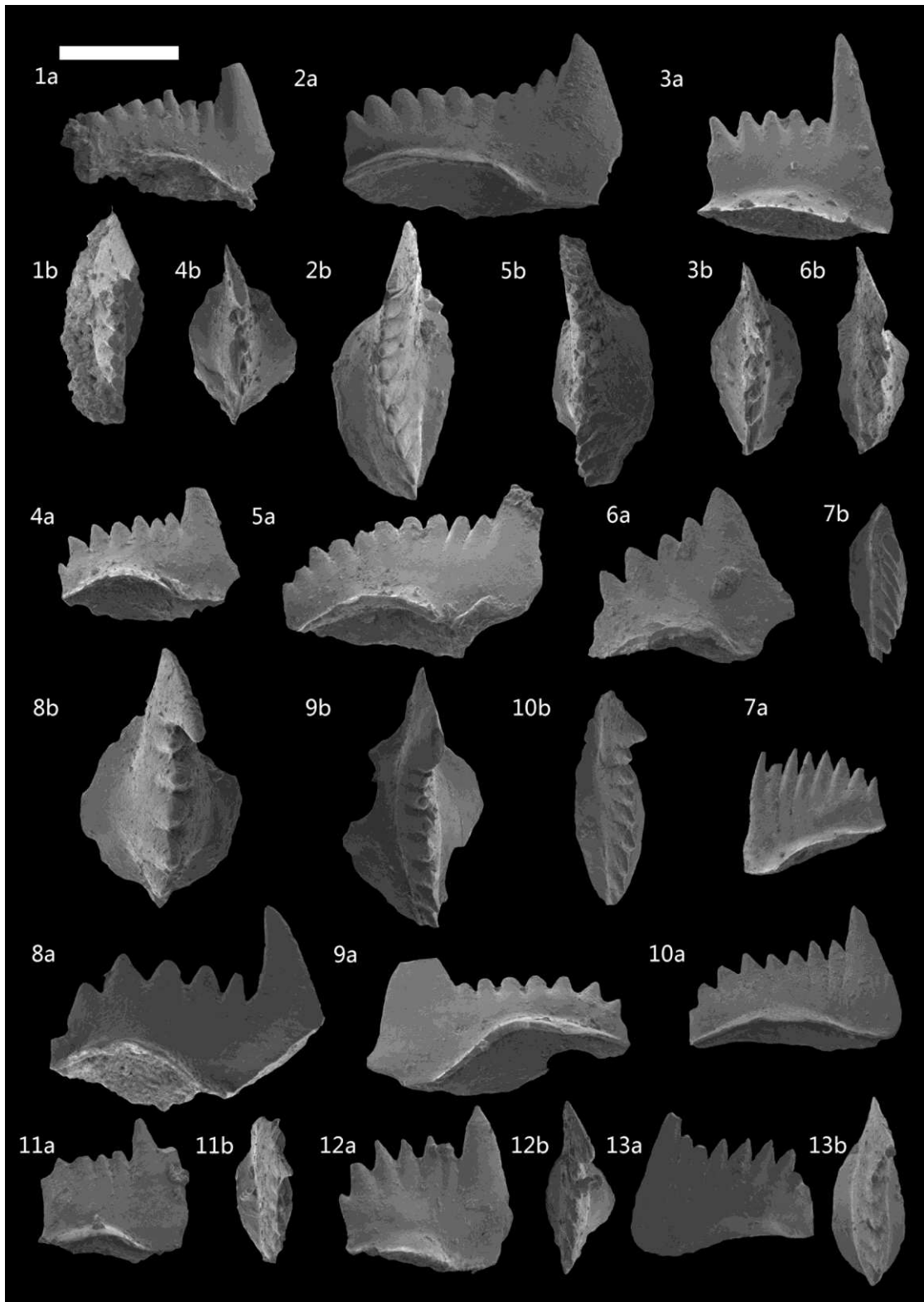
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607 **Plate. 2** P<sub>1</sub> elements from the Dala section. Scale bar equals 200µm. 1,2,3,5,6. *Isarcicella staeschei* Dai and Zhang,

608 1989; Bed 17, DAL-15, 1. lateral view and upper view; 2. upper view; 3. lateral view; 5,6. lateral view and upper

609 view. 4. *Isarcicella isarcica* (Huckriede, 1958); Bed 12, DAL-13, upper view. 7. *Clarkina* cf. *carinata*; Bed 17,

610 DAL-15, lateral view and upper view. 8,9,12. *Isarcicella inflata* Perri and Farabegoli, 2003; Bed 15, DAL-14, upper  
611 view. 10. *Clarkina lehrmanni* Chen et al., 2009; Bed 17, DAL-15, lateral view and upper view. 11. *Clarkina carinata*  
612 (Clark, 1959); Bed 17, DAL-15, lateral view and upper view. 13. *Clarkina* sp.; Bed 17, DAL-15, lateral view and  
613 upper view. 14. *Clarkina planata* (Clark, 1959); Bed 17, DAL-15, lateral view and upper view.



614

615 **Plate.3** P<sub>1</sub> elements from the Dala section. Scale bar equals 200µm. 1. *Hindeodus* cf. *parvus*; Bed 10, DAL-6, lateral

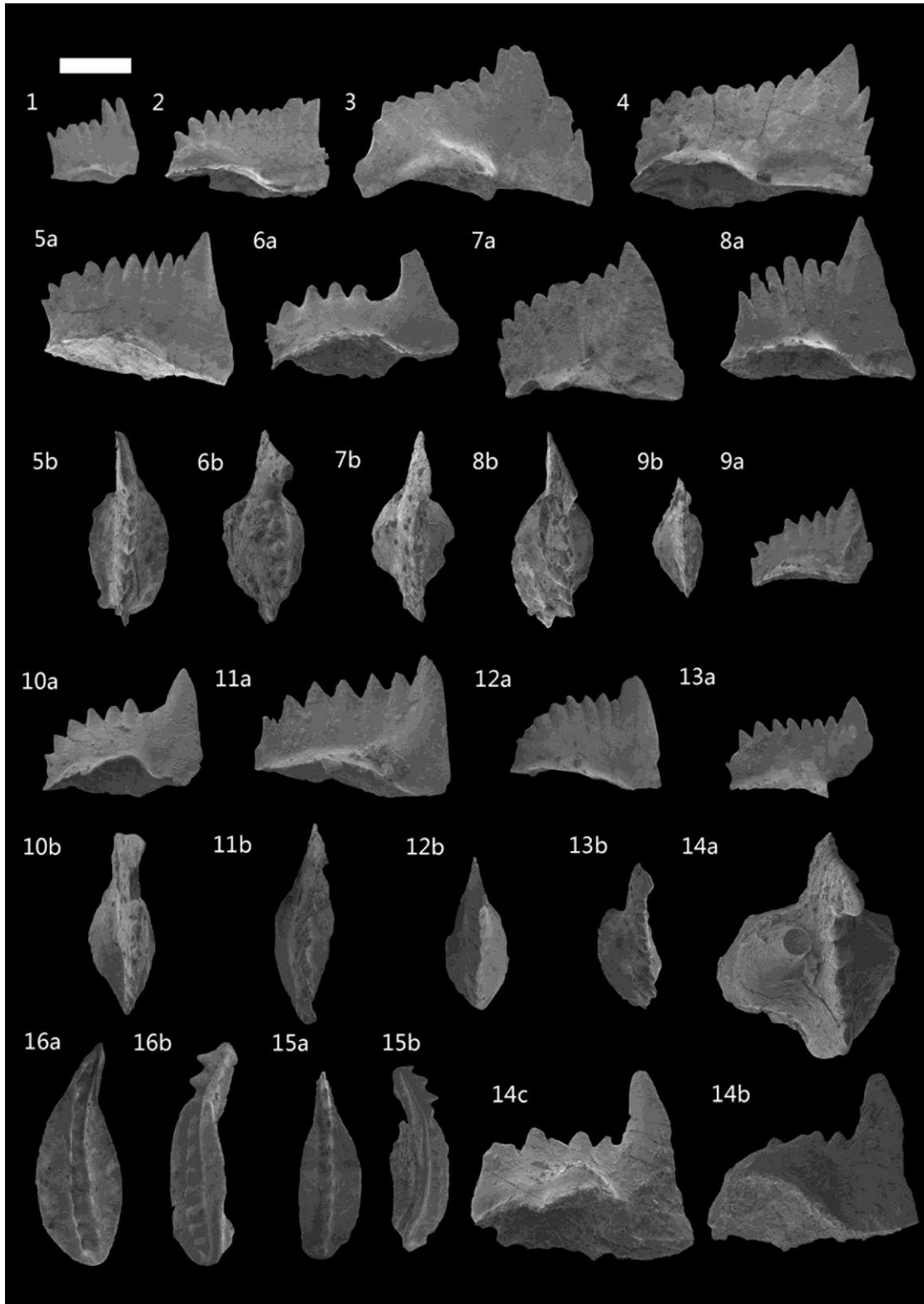
616 view and upper view. 2,4,5,6,7,10. *Hindeodus praeparvus* Kozur,1996; lateral view and upper view; 2. Bed 10, DAL-

617 6; 4,5. Bed 11, DAL-11; 6,7. Bed 11, DAL-12; 10. Bed 12, DAL-15. 3,11,12. *Hindeodus parvus* (Kozur and

618 Pjatakova, 1976); lateral view and upper view; 3. Bed 11, DAL-11; 11. Bed 11, DAL-12; 12. Bed 17, DAL-15. 8,9.

619 *Isarcicella turgida* (Kozur et al., 1975); lateral view and upper view; 8. Bed 11, DAL-12; 9. Bed 17, DAL-15. 13.

620 *Isarcicella prisca* Kozur, 1995; lateral view and upper view; Bed 17, DAL-15.



621

622 **Plate. 4** P<sub>1</sub> elements from the Dala section. Scale bar equals 200µm.1,4,8,13. *Hindeodus parvus* (Kozur and  
623 Pjatakova, 1976); 1,4. Bed 17, DAL-15, lateral view; 8. Bed 17, DAL-17, lateral view and upper view; 13. Bed 17,  
624 DAL-18, lateral view and upper view. 2,3. *Hindeodus peculiaris* (Perri and Farabegoli, 2003); Bed 17, DAL-15,  
625 lateral view. 5,7,9,10,11,12. *Hindeodus praeparvus* Kozur,1996; 5,7,9. Bed 17, DAL-17, lateral view and upper view;  
626 10,11,12. Bed 17, DAL-18, lateral view and upper view. 6. *Isarcicella turgida* (Kozur et al., 1975); Bed 17, DAL-  
627 15, upper view and lateral view. 14. *Isarcicella staeschei* Dai and Zhang, 1989; Bed 17, DAL-15, upper view and  
628 lateral view. 15. *Clarkina taylorae* (Orchard et al., 1994); Bed 17, DAL-15, upper view and lateral view. 16. *Clarkina*  
629 *lehrmanni* Chen et al., 2009; Bed 17, DAL-15, upper view and lateral view.

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