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1 Real driving particle number (PN) emissions from China-6 compliant PFI and GDI hybrid electrical vehicles

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11 Abstract:

12 In this paper, the real driving particle number (PN) emissions from two China-6 compliant hybrid electrical vehicles
13 (HEVs) were measured using a certification-level portable emission measurement system (PEMS) and strict
14 adherence to the regulatory procedures for real driving emission (RDE) testing. The results show that the trip-
15 averaged PN emission factors for the port fuel injection (PFI) HEV and the gasoline direct injection (GDI) HEV were
16 $1.15E+12$ and $1.71E+12\#/km$ respectively, much higher than the engine-only counterparts and failing the existing
17 RDE limits. Enriched air-fuel mixtures and probably lowered catalyst conversion efficiencies as a result of more
18 frequent engine stop-and-goes of the HEVs are found to be the underlying reasons for the extra PN emissions. The
19 test results also suggest the necessity to amend the power management strategy currently widely used in HEVs to
20 deal with the PN issue.

21 **Key words:** particle number; real driving emission; hybrid vehicles; port fuel injection; gasoline direct injection

23 1. Introduction

24 Globally, ground vehicle electrification is becoming ever more popular, due to several unprecedented CO₂ abatement
25 goals set for the transport sector. In regard to the comparably short range and high cost of battery electric vehicles
26 (BEVs), partially electrified vehicles, namely hybrid electric vehicles (HEVs) and plug-in HEVs, seem more feasible
27 and practical in the short-term. Undoubtedly, HEVs exhibit remarkable fuel economies and therefore CO₂ reduction
28 advantages over ICE-only vehicles, particularly in combination with plug-in technology and bio-fuels (Graver et al.
29 2011)(Sioshansi and Denholm 2009). A previous study reported a greater than 80% decrease in life-cycle
30 greenhouse gas emissions from a bio-methane fueled, engine optimized 2nd Gen Toyota Prius (Bordelanne et al.
31 2011). Several laboratory and real-world emission tests as well as case studies also demonstrated lower regulated
32 emissions from HEVs compared to ICE-only counterparts (Sioshansi and Denholm 2009)(Wu et al. 2015)(Al-Samari
33 2017)(O'Driscoll et al. 2018). However, little attention has been paid to the particle number (PN) emissions from
34 HEVs so far, to some degrees, due to the absence of certification and in-service compliance requirements.

35 Currently, PN is mandatory during the certification of new diesel and gasoline direct injection (GDI) vehicles in the
36 EU. Whilst in China, along with the promulgation of light-duty China-6, a fuel-neutral and technology-neutral
37 regulation has been effective in Shenzhen since Nov, 2018, all new vehicles, even port fuel injection (PFI) gasoline
38 and natural gas (NG) vehicles, must comply with the not-to-exceed (NTE) PN limit of $6E+11$ particles per kilometer
39 traveled ($\#/km$) for a laboratory test over the WLTC and the NTE limit of $1.26E+12\#/km$ for RDE.

40 Although the measurement of PN could have higher variations than PM, PN is of equal importance to particulate
41 mass (PM) given its significance as a complementary metric to regulate ultrafine particles and their related adverse
42 environmental and health impacts (David B Kittelson 1998)(Hesterberg et al. 2012).

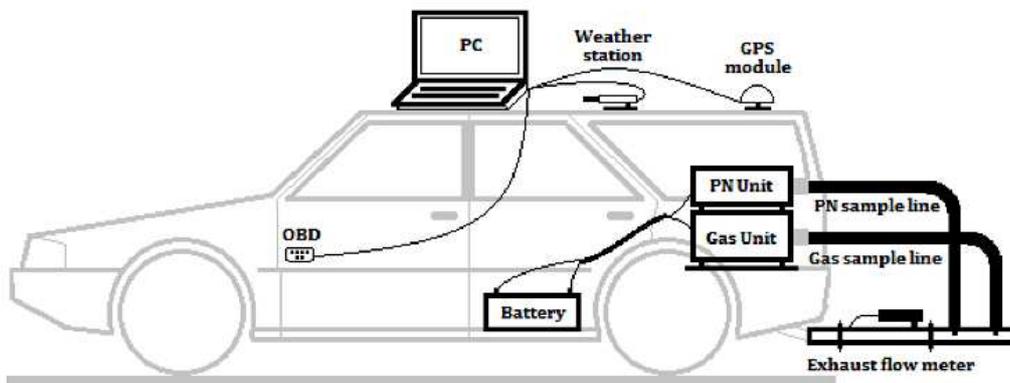
43 A number of studies have been conducted to disclose the PN emissions and their size-resolved distributions of
44 engines and vehicles since 2010 (Yin et al., 2013) (Liu et al., 2017). The most recent ones are reported by Wang
45 (2018) and Mendoza-Villafuerte et al. (2017), but these studies were primarily performed on non-hybrid vehicles.
46 In terms of the PN emissions from HEVs, the majority of existing research focused on heavy-duty vehicles. (Soylu,
47 2015)(Wang, 2017)(Kean et al. 2000)(Xu, 2017).

48 Regarding light-duty vehicles, Robinson and Holmén (2011) compared the PN emissions from a conventional Toyota
49 Camry and a hybrid one using a TSI EEPS spectrometer. Much higher real-world PN emissions were found with the
50 hybrid test vehicle, which was attributed to the extremely high PN emissions at each engine restart. This conclusion
51 accorded well with Christenson et al.(2007), who used an ELPI for the testing of four market-available hybrid cars
52 under various real-driving conditions and concluded orders of magnitude higher PN emissions from the hybrid
53 vehicles relative to the conventional car. A limitation of the prior studies is the use of non-regulatory method for the
54 on-board measurement of PN, primarily due to the unavailability of such equipment at that time, which may increase
55 the difficulty of cross-reference evaluation since as summarized by Kittelson et al. (2006), the results yielded from
56 these impactors could be to various degree lower than a regulatory condensed particle counter (CPC).

57 In view of the current scarcity of real driving PN studies for light-duty hybrid vehicles, especially GDI-powered ones,
58 and to check whether the previously reported PN issue with hybrid vehicles still exists on modern models, this paper
59 tested two hybrid vehicles (PFI- and GDI-powered each) according to the legislative RDE procedures, to investigate
60 how hybrid technologies impact the real driving PN emissions from in-use cars and call attention to hybrid PN issue.

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62 2. Test equipment and procedure



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Fig.1 PEMS configuration for real driving emissions testing

65 As shown in Fig.1, the real-world PN emissions of the two test vehicles were measured using a state-of-the-art PEMS
66 (HORIBA OBS-ONE, Japan) and according to the EU Real Driving Emission (RDE) test protocol Package 1-3. The
67 PEMS mainly consists of a gas module, a PN module, and a pitot exhaust flow meter. The gas module can measure
68 CO, CO₂, and NO_x while the PN module measures total PN using a PMP-compliant CPC with a thermal denuder
69 mounted prior to its sample inlet. As regulated, only the particles with aerodynamic diameters larger than 23nm
70 will be counted by the system. When testing, the thermal denuder was heated to 300°C to remove any light-
71 molecular-weight volatile material or water vapor that may result in measurement artifacts via condensation
72 mechanisms. The PN sampling probe was placed next to the exit of the exhaust flow meter, where a thermocouple
73 for exhaust temperature was also fitted, but prior to the sampling probe of the gaseous pollutants to minimize the
74 influence of turbulence on PN measurement.

75 Two 12V batteries were employed to power the testing system. Real-time information including the concentrations
 76 of PN and gaseous pollutants, location, altitude, ambient temperature, and humidity, were outputted per second and
 77 saved to a laptop via wire connections. The laptop also acquired some real-time engine operating parameters of the
 78 test vehicles from the OBD over the entire test trip. Time-alignment of the OBD data, exhaust flow rates and pollutant
 79 concentrations were automatically accomplished by a self-programmed program for data post-processing. The trip-
 80 averaged PN emissions of the two test vehicles were calculated using the Moving Average Window method, also
 81 called EMROAD elsewhere. The stepwise description of EMROAD calculation can be found in the document of Euro-
 82 6. It should be also clarified that the tailpipe emissions measured within the engine-start, warm-up and idle were
 83 all included in the calculation of trip-averaged emission factors, in line with the draft of the 4th RDE Package.

84 **Table.1 Specifications of the test vehicles**

Car No.	Engine type	Model year	After-treatment	Mileage	Emission category
1	In-line, 4 cyl, Atkinson-cycle, 1.8L NA PFI, 73kW	2017/18	Stoichiometric TWC	6065	China-6
2	In-line, 4 cyl, Atkinson-cycle, 2.0L NA GDI, 115kW,	2017/18	Stoichiometric TWC	13722	China-6

85 Table.1 lists the key specifications of the two hybrid vehicles tested in this paper. Both models are among the best-
 86 selling hybrid vehicles in China so considered to have good representativeness. Both test vehicles were China-6
 87 certified and well-maintained. Before the RDE testing, both vehicles were tested in a certification laboratory based
 88 in Beijing to ensure their status was suitable for RDE test and acquire the CO₂ emissions for the use as the reference
 89 of trip normality verification.

90 Both vehicles were fed with RON92, China-6 compliant gasoline purchased from a certified supplier. The
 91 requirement of China-6 gasoline standard includes but is not confined to sulfur≤10ppm, benzene≤0.8vol%,
 92 aromatics≤35vol%, olefins≤18vol% and prohibits the use of Mn- or Fe-containing additives.

93 As mandated, the RDE test route used in this paper comprised urban, rural and highway driving stages. In the RDE
 94 regulation, urban driving is defined as driving under 60km/h. Driving faster than 60km/h but not exceeding
 95 90km/h belongs to rural driving, while driving above 90km/h is categorized as highway driving. The distance-based
 96 portions of urban, rural and highway driving in a valid RDE trip shall be 34%, 33%, and 33% respectively with a
 97 ±10% allowance (urban must be above 29%). To ensure the driving style of the tests was fair enough so as to
 98 represent cars' normal operations, two metrics, namely $v_{a_{pos}}$ -[95] and RPA, were introduced for evaluation purpose.
 99 Both test vehicles passed the verifications. The definitions of $v_{a_{pos}}$ -[95] and RPA, and more detailed requirements
 100 of the Euro-6 RDE testing can be found on the official website of the European Commission.

101 **Table.2 Summary of the test conditions and PN emissions from the tested HEVs in real-world driving**

Test car	Trip-averaged PN (#/km)	Urban						Rural+highway					
		Trip distance	Ave. speed	Time	No. of engine-start	PN emission factor	PN share	Trip distance	Ave. speed	Time	No. of engine-start	PN emission factor	PN share
		(km)	(km/h)	(s)	(1)	(#/km)	(%)	(km)	(km/h)	(s)	(1)	(#/km)	(%)
PFI	1.15E+12	21.82	24.4	3220	107	3.3E+12	82	54.59	78.29	2510	48	2.94E+11	18
GDI	1.71E+12	20.4	23.17	3170	23	1.91E+12	33	48.76	76.18	2305	39	1.63E+12	67

102 The PEMS was calibrated with pure nitrogen and CO, CO₂, NO-blended span gas of known concentrations before and
 103 after the RDE trips to guarantee that zero-drift and pollutant readings were always within the regulated ranges. For
 104 each vehicle, RDE tests were performed strictly in accordance with the regulatory procedures and repeated until all
 105 the boundary conditions, including the normality, completeness and dynamic parameters, were met. The "standard"
 106 drive mode of the test vehicles was used in testing, as mandated by the regulation. Typically, an RDE trip lasted for

107 90 to 120 minutes and ran 60 to 80km, more details and the test results are summarized in Table.2. All the tests
 108 were performed in September, 2017 in Beijing, where the altitude is about 70m and the ambient temperature and
 109 relative humidity were 23-28°C and 25-35% respectively.

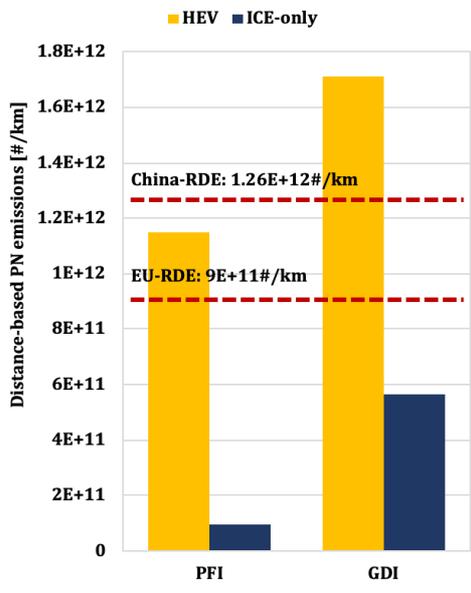
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111 **3. Result and discussion**

112 **3.1 Comparably higher RDE PN from HEVs**

113 Fig.2 compares the real driving PN emissions from the two HEVs and their ICE-only counterparts. Both counterparts
 114 were from the same manufacturers as the HEVs tested in this paper. To secure persuadable comparability in between,
 115 the engine displacements and technical levels, vehicle sizes and curb weights were kept as similar as possible when
 116 selecting the counterparts.

117 It can be seen in Fig.2 that the real driving PN emissions from the HEVs are far higher than those from the ICE-only
 118 counterparts, especially for the PFI group, the gap has been up to an order of magnitude. A comparison with the EU
 119 and China limit values also demonstrates that only the HEVs fail the future RDE-PN requirements. In order to
 120 investigate the reasons underlying such high real driving PN emissions from the HEVs, the second-by-second PN
 121 emissions of the two tested HEVs are analyzed against a series of OBD available parameters and discussed below.

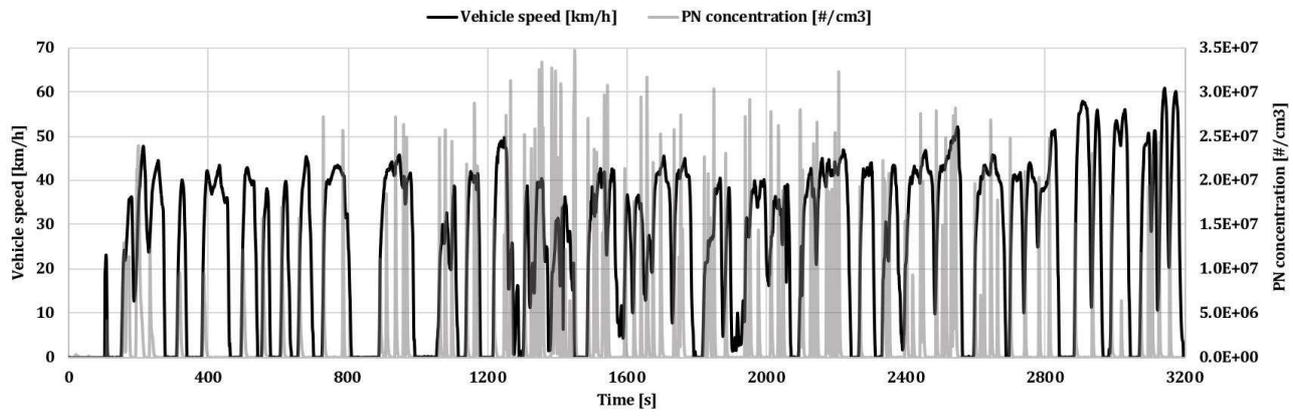


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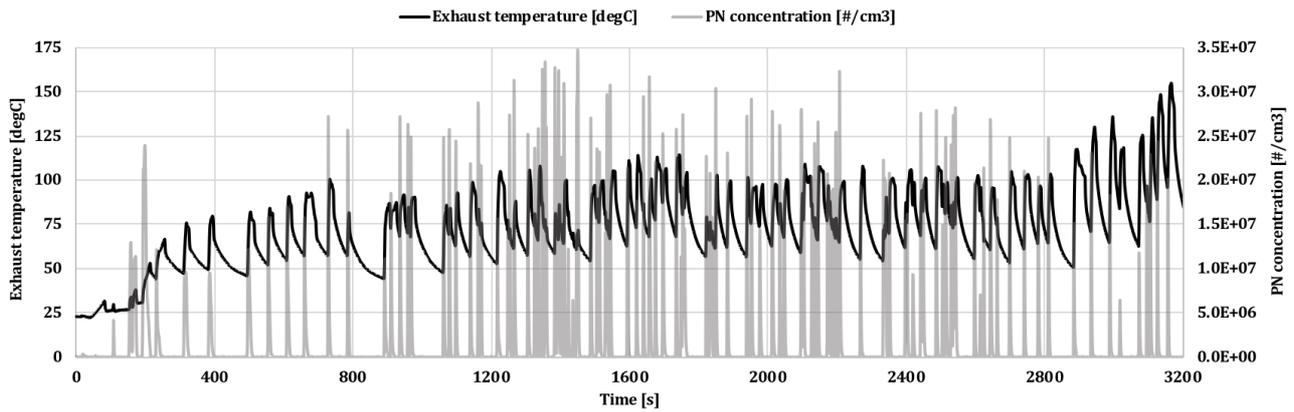
123 **Fig.2 Real driving PN emissions from hybrid vehicles and their gasoline counterparts**

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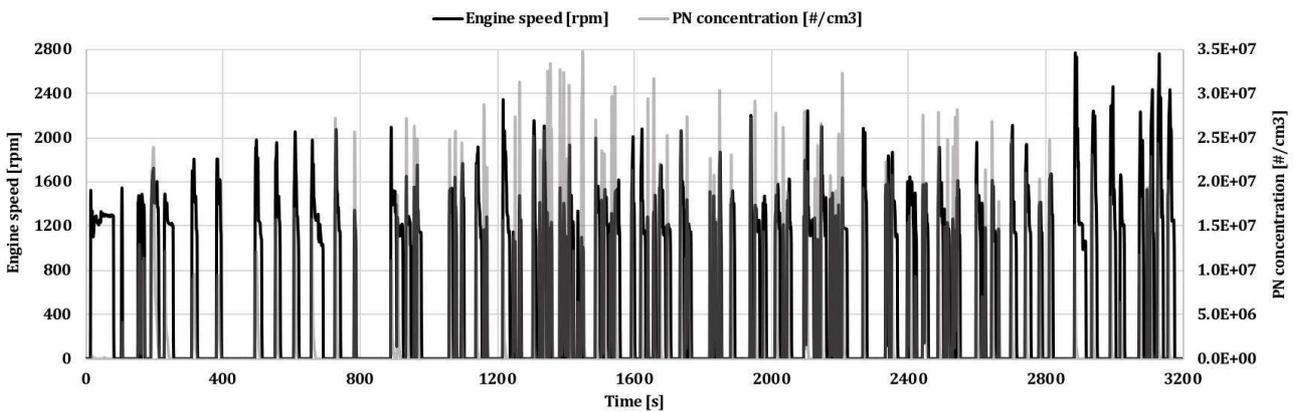
125 **3.2 PN emissions in urban driving**



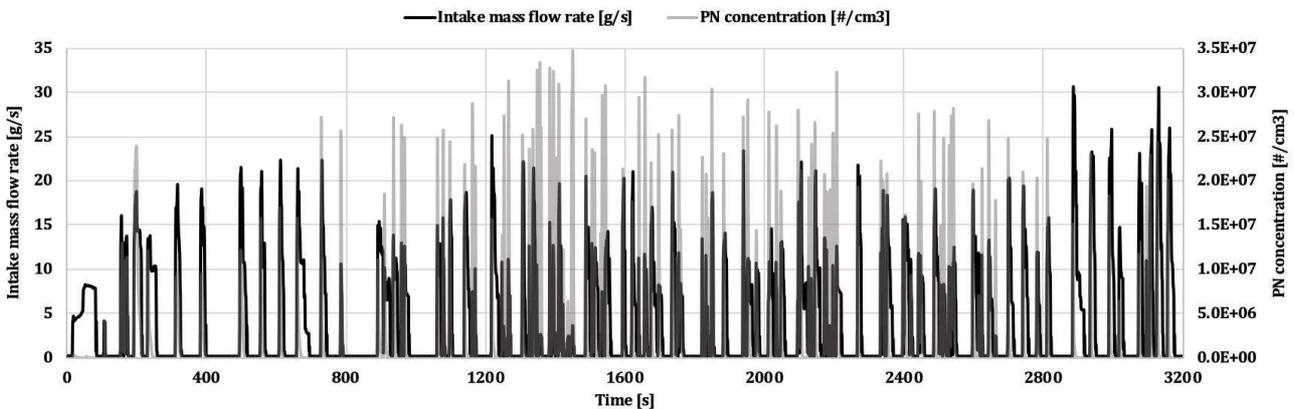
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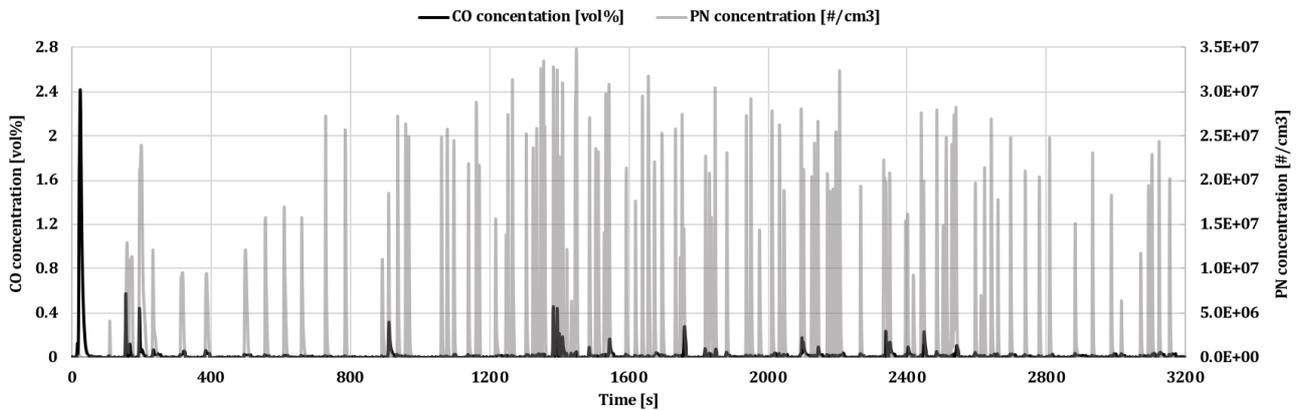
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130 **Fig.3 Vehicle speed, engine speed, exhaust temperature, and intake mass flow rate vs. PN concentration of**
 131 **the PFI-HEV within the urban driving stage**

132 In Fig.3, the modal data of vehicle speeds, engine speeds, exhaust temperatures, and intake mass flow rates of the
 133 PFI-hybrid test vehicle are plotted versus PN concentrations. Plenty of PN spikes can be seen in the figure
 134 throughout the urban driving period. Many of these PN spikes linking to engine-starts show very high amplitudes,
 135 some of them being even higher than 3.0E+7 particles per cubic centimeter (#/cm³), which is roughly 1000 times
 136 dirtier than the concentrations seen when the engine was on.

137 The high-PN events in Fig.3 are perfectly consistent with engine-starts (see engine speed and intake mass flow rate
 138 vs. PN), inferring that the formation of extra PN emissions may be a consequence of transient operating of the engine,
 139 which agrees with Robinson and Holmén (2011). Fig.4 supports this hypothesis by illustrating the second-by-
 140 second CO emissions in context to PN. It can be clearly seen in Fig.4 that almost every PN spike corresponds to a CO
 141 peak, no matter the CO concentration was high or comparably low. In theory, extra CO generated during the normal

142 operation of an engine is an indicator of enriched combustion in-cylinder, and the overall insufficiency of oxygen in-
143 cylinder can result in an increase in the particulate emissions. Hence, it is reasonable to conclude that enriched
144 combustion occurred within frequent engine-start events was a reason for the extreme PN emissions from this PFI-
145 hybrid vehicle.



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Fig.4 CO concentration vs. PN concentration of the PFI-HEV within the urban driving stage

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In addition to fuel enrichment, the relatively low temperatures of the exhaust system when engine restarted can be another reason for the extra PN emissions from this PFI-hybrid vehicle. As shown in Fig.3, the exhaust temperatures measured at the tailpipe exit dropped some 20-70°C when engine restarted after a pause with the exhaust temperature being between 50°C and 125°C during the majority of the urban trip. Although exhaust temperature is less explicit than catalyst temperature at demonstrating catalyst efficiency, it is still a good metric showing how the temperature changed in the exhaust system for the nice agreement between the two temperatures has been shown in previous papers (Petkovic, Pesic, and Lukic 2011; Obodeh and Ogbor 2009). Generally, a substantial decrease in the temperature of the exhaust system jeopardized PN. It is plausible that the catalyst temperature of this PFI-hybrid had dropped below the light-off temperature within some engine-start events, causing the restricted conversion efficiency of the TWC. Untreated exhaust emissions were piped out in the initial few seconds after engine-start and contributed a lot to the extra PN emissions.

Unlike on ICE-only cars, the engine of this PFI-HEV worked in a generator-like strategy within the urban driving stage, where the engine typically ran only 10 to 20 seconds to charge the battery to a pre-determined battery SOC level and then switched off. Such a power management strategy guarantees very good fuel economy but may cause emission issues since it cools down the TWC quite frequently with additional air cooling outside.

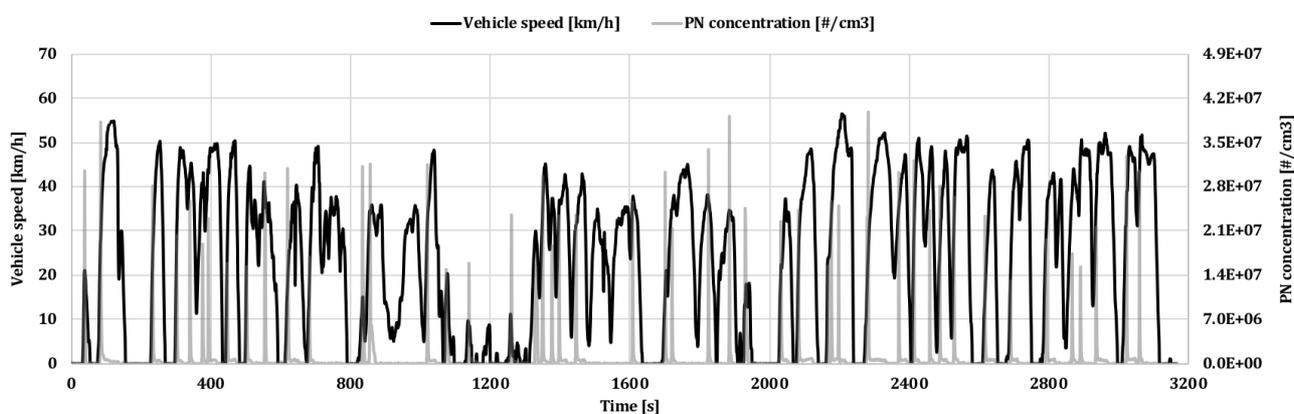
This problem may not be unique for hybrid vehicles, it could happen on some modern models with idle stop-and-go (ISG) functions as well. Nevertheless, this problem for the vehicles with ISG systems will be less tricky compared to HEVs, since ISG systems only function at idle but the catalysts of HEVs receive extra air-cooling when the engine turns off while cruising, decelerating or traveling downhill. For HEVs, either decreasing the frequency of engine-stop or increasing the TWC temperature via external heating and/or thermal isolation may be helpful to mitigate this issue, but both measures will slightly increase fuel consumption. The “trade-off” relationship between fuel economy and particulate emissions must be carefully balanced.

Fig.5 illustrates the second-by-second vehicle speeds, engine speeds, exhaust temperatures, battery SOC levels, and throttle positions versus PN concentrations of the GDI-hybrid test vehicle within the urban driving stage. Similar to the PFI-hybrid case, a large number of PN spikes can be observed throughout the urban trip.

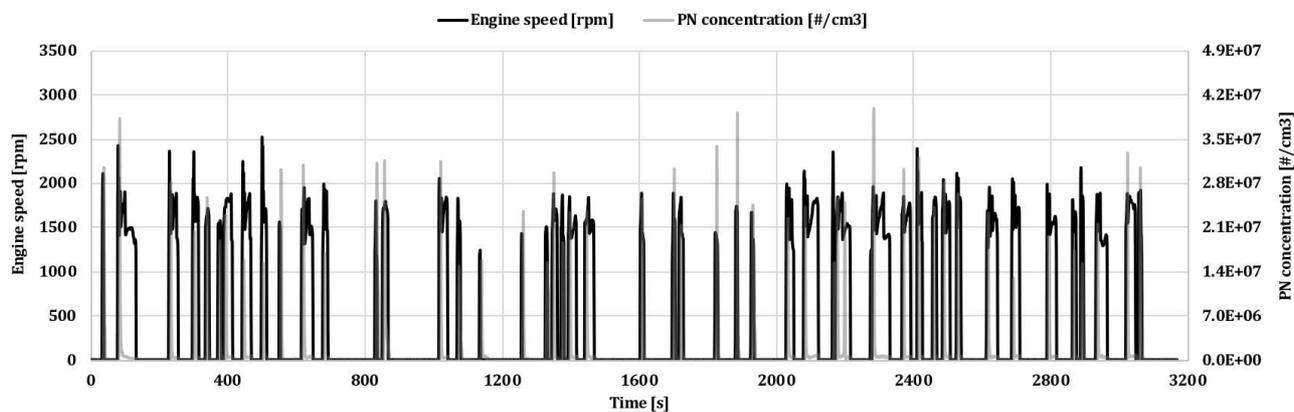
Since good correspondences among the PN spikes, engine-start events and decreases in the temperature of TWC are also found with this GDI-hybrid vehicle, it is reasonable to deduce that the formation of extra PN emissions is also

175 induced by 1) enriched air-fuel mixture combusted within engine-starts, and 2) restricted TWC conversion
176 efficiency due to decreased temperature of the exhaust system. Compared to the maximum PN readings of the PFI-
177 HEV, PN emitted from this GDI-HEV was about 1/3 higher and reached $4.0E+7$ #/cm³. Though the PN concentration
178 of this GDI-HEV in each single high-PN event was to various degrees higher than that of the PFI-HEV, the occurrence
179 of high-PN events with this GDI-HEV was less frequent due to fewer engine-starts, underlying the comparably lower
180 distance-based PN emission of this GDI-HEV within the urban driving stage. This comparison could also highlight
181 the contribution of frequent engine-start to high real driving PN emissions.

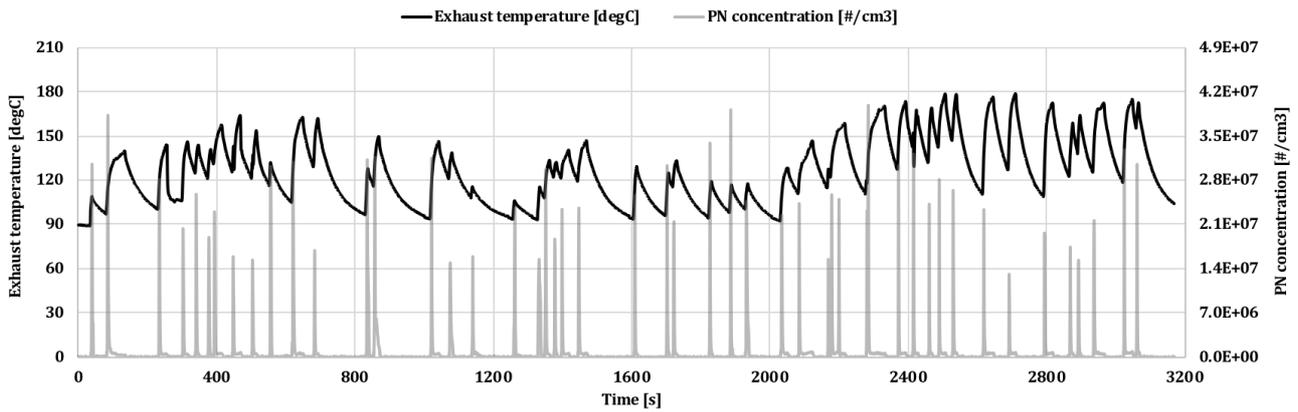
182 The picture showing battery SOC level in Fig.5 is a good example of how the power management strategy decided
183 the timings of engine-on and off and impacted the PN emissions of this GDI-HEV. In general, the control unit of the
184 car endeavored to maintain the SOC level between 40% and 65% during the urban trip, the same as described
185 previously in the discussion of the PFI-HEV. The timings to start or stop the engine show strong reliance on the
186 vehicle speed, acceleration and current SOC level instead of depending upon emission control needs.



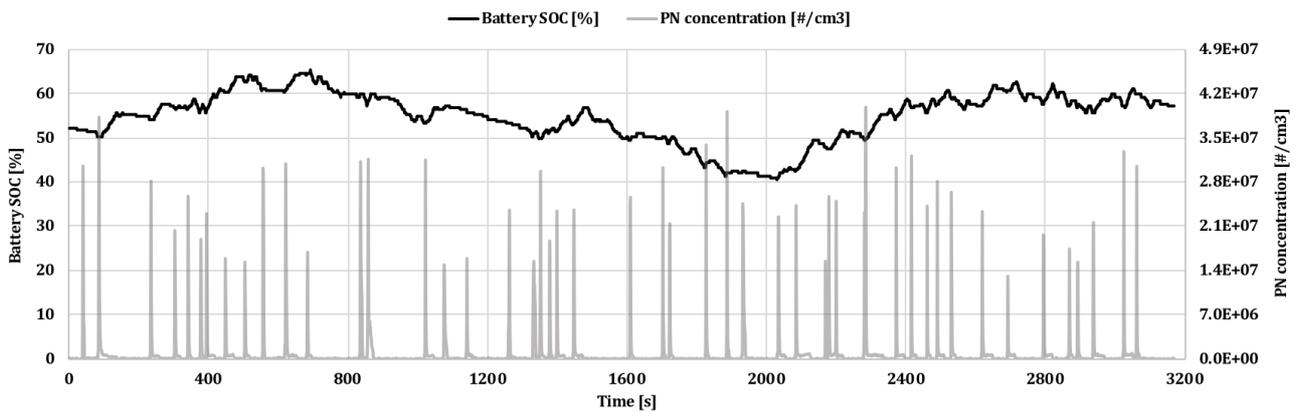
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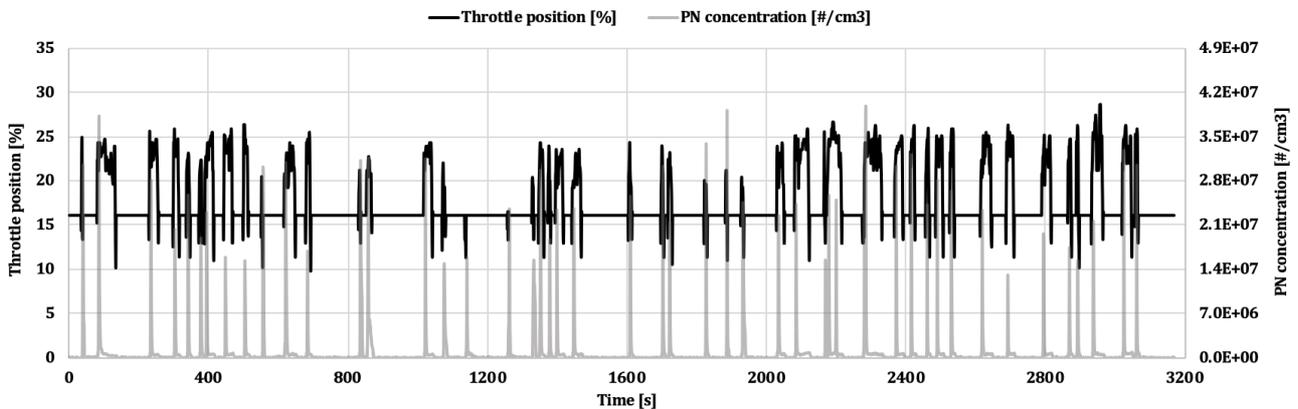
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Fig.5 Vehicle speed, engine speed, exhaust temperature, battery SOC level, and throttle position versus PN concentration of the GDI-HEV within the urban driving stage

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The higher PN emissions can be also attributed to the nature of GDI engines. Different from PFI engines, GDI engines inject fuel directly into the combustion chambers only a few crank angles before the top dead center, so there is very limited time to finish the air-fuel blending process before ignition. Stronger heterogeneity in GDI engines gives rise to heavier PM and PN emissions in comparison to PFI counterparts. Recent research also demonstrated that even a GDI engine starting with homogenous air-fuel mixtures could yield an excessive amount of solid PN emissions with non-measurable soot weight (Bock et al. 2018), let alone one with enriched air-fuel mixtures. Hence, there is an argument against the integration of a GDI engine into a hybrid propulsion system. Although extra fuel economy benefit could be gained with GDI engines, their particulate emissions – not only PN but also PM – could be quite problematic. Again, for HEVs, the “trade-off” relationship between fuel economy and particulate emissions requires more considerations.

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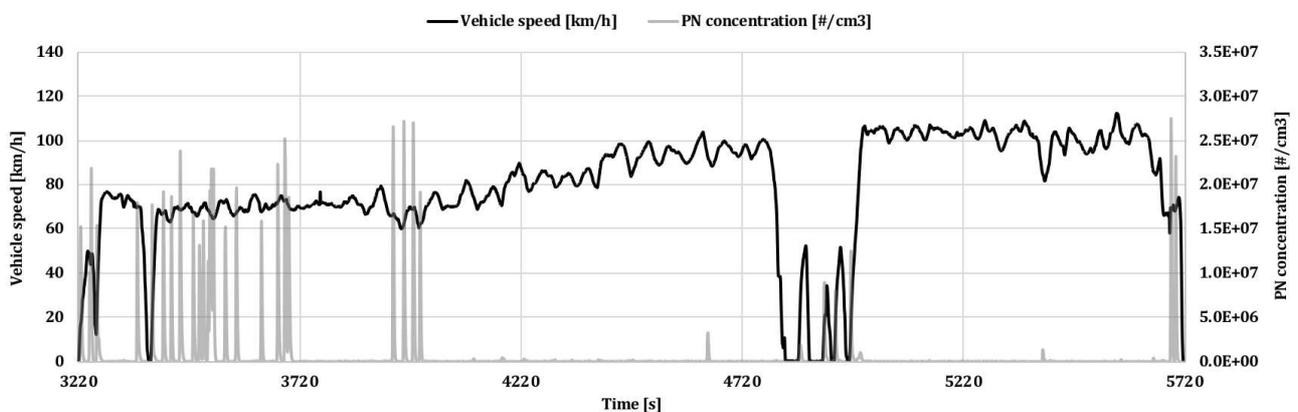
205 3.2 PN emissions in rural and highway driving

206 For conciseness, rural driving and highway driving are discussed together in this paper, mainly because in China,
207 the driving behaviors on rural roads and highways share lots of similarities.

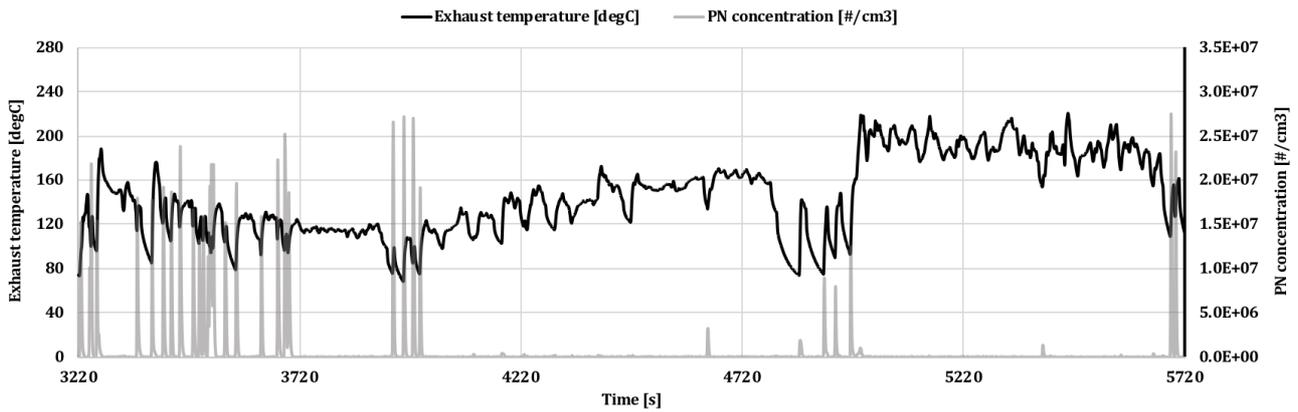
208 Fig.6 illustrates the vehicle speeds, engine speeds, exhaust temperatures, and intake mass flow rates versus PN
209 concentrations of the PFI-hybrid test vehicle within rural and highway driving stages. It is easy to recognize that this
210 vehicle implemented different power management strategies in rural and highway modes. The strategy for rural
211 driving was more or less similar to the strategy in urban, where the engine was frequently switched on and off to
212 maximize fuel saving, but vast PN emissions were produced. An exception was the duration from 3730s to 3950s,
213 the engine kept running within this period so that the PN emissions were only $1.8E+3$ to $4.2E+4$ $\#/cm^3$.

214 Compared to the urban and rural driving stages, the power management strategy during highway driving was more
215 traditional and ICE-only-like. When this PFI-HEV was accelerated to 80km/h and faster, the engine only stopped as
216 a consequence of a U-turn operation done by the man driver near $\sim 4900s$, which was undoubtedly not highway
217 driving behavior. A plausible reason for this more traditional power management strategy used at high speeds is
218 that it was no longer economic or possible to propel the car solely with the motor, then engine intervention is needed.
219 The power consumed by a running vehicle is theoretically the sum of its aerodynamic drag, tire friction, acceleration,
220 road-grade resistance and the inertia of rotating parts. Since it is proportional to the third power of vehicle speed,
221 the aerodynamic drag is the dominant resistance and increases markedly when a vehicle cruises at high speeds. It
222 can be estimated that the road load of this PFI-HEV had been roughly 40kW when it ran at a constant speed above
223 100km/h. Given that the rated power of the electric motors in hybrid systems is typically not high, the car would
224 not be able to accelerate if the engine was still out of work. Another important reason for engine intervention during
225 highway driving was that the engine had to keep charging the power battery since the energy consumption at high
226 speeds was very fast. This behavior can be more clearly elaborated by the GDI-hybrid vehicle in Fig.7.

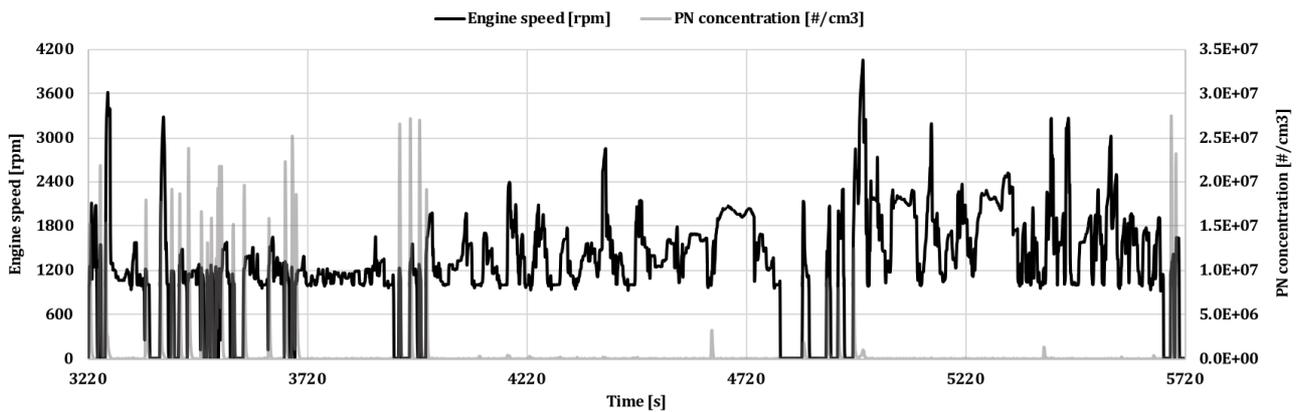
227 It can be seen in Fig.6 that the intervention of the engine benefited PN reduction due to the hotter engine and TWC.
228 Within the highway driving stage, a few PN spikes can be only found at the end of the test where the engine became
229 frequently stop-and-go again. In most of the highway driving, the concentrations of PN were $1.0E+3$ to $5E+4\#/cm^3$,
230 much lower than those seen within engine-start events. The fully warmed-up engine increased the temperature of
231 its intake charge, promoting the homogeneity of the air-fuel mixture so reducing PN formation resulting from pool-
232 fire and local fuel-rich combustion by a substantial scale (Kayes et al. 2000). In addition, an increase in the TWC
233 temperature also promoted the conversion efficiency, helping remove a big part of the engine-out PN emissions.



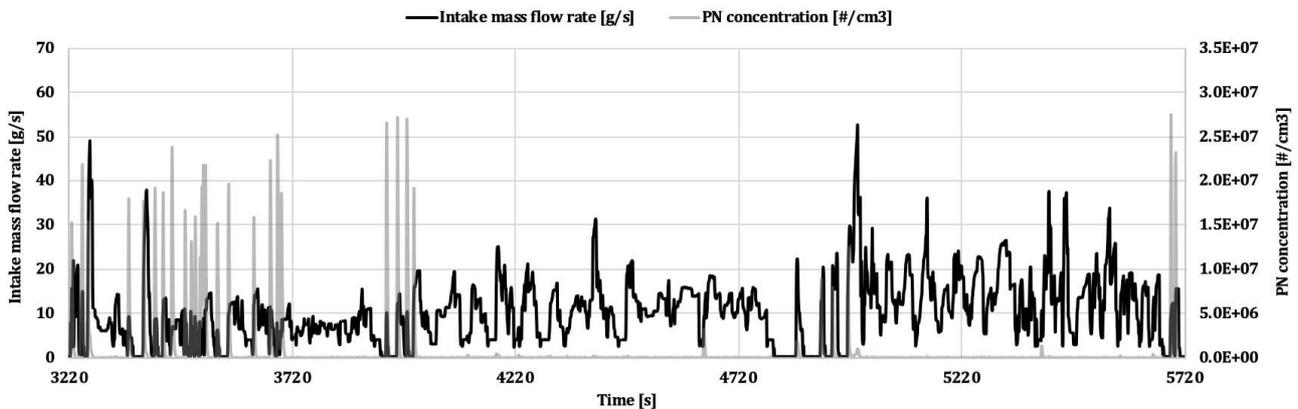
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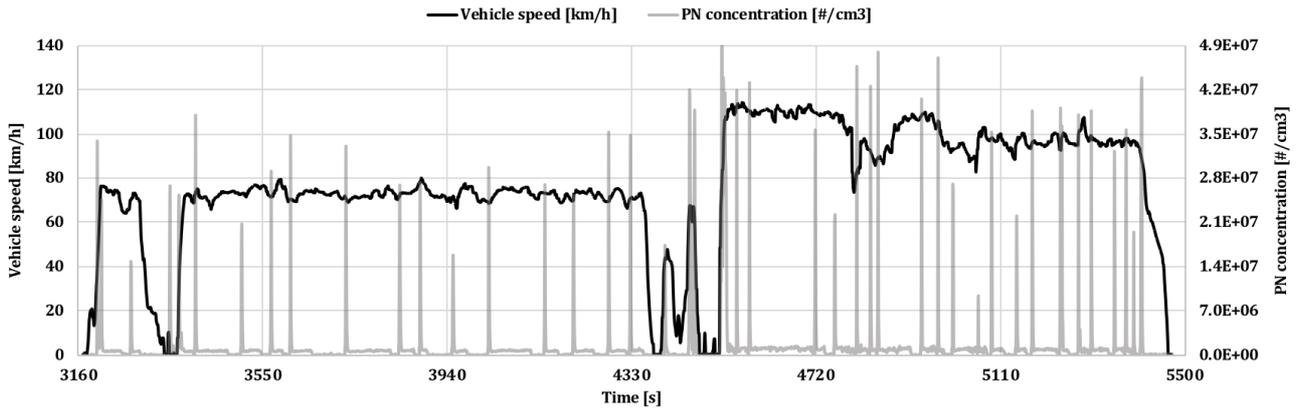
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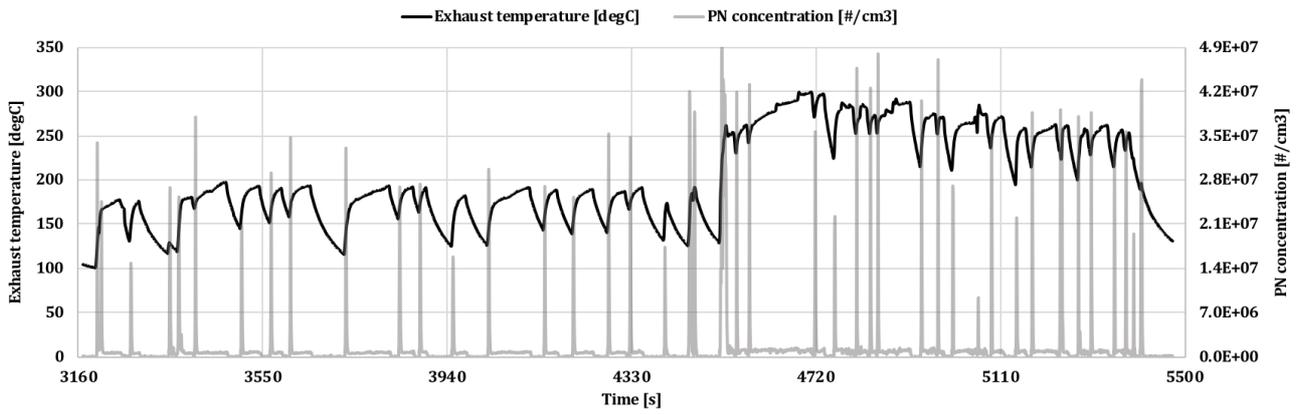
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238 **Fig.6 Vehicle speed, engine speed, exhaust temperature, and intake mass flow rate vs. PN concentration of**
 239 **the PFI-HEV within the rural and highway driving stages**

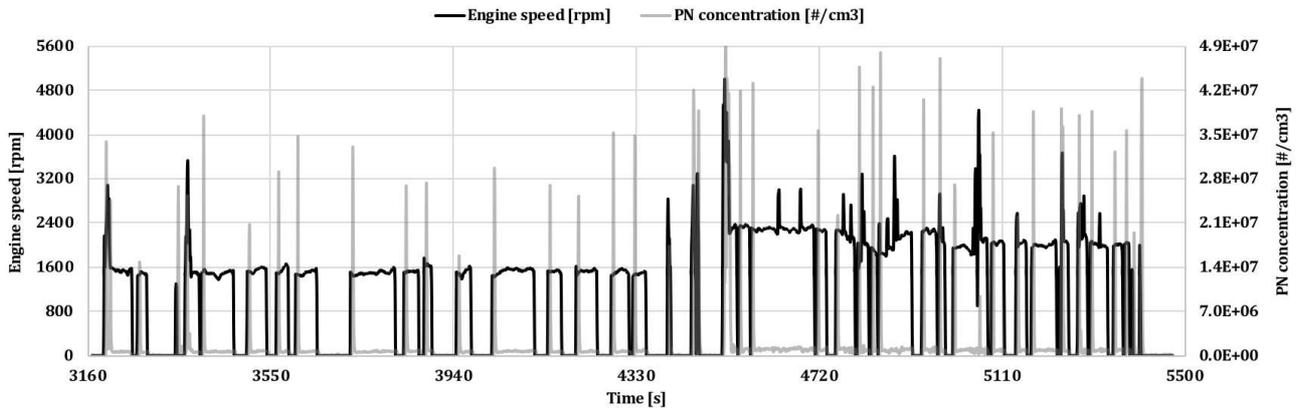
240 It is also worth noting that the ranges and profiles of the engine speed and exhaust temperature from 3220s to
 241 ~3970s were generally comparable to those from ~3970s to 4720s, however, the PN emissions within these two
 242 time-windows were quite distinguished. With fewer engine-stops, the PN emissions within the latter window were
 243 much smaller. This contrast highlights the contribution of engine stop-and-go to extra PN emissions.



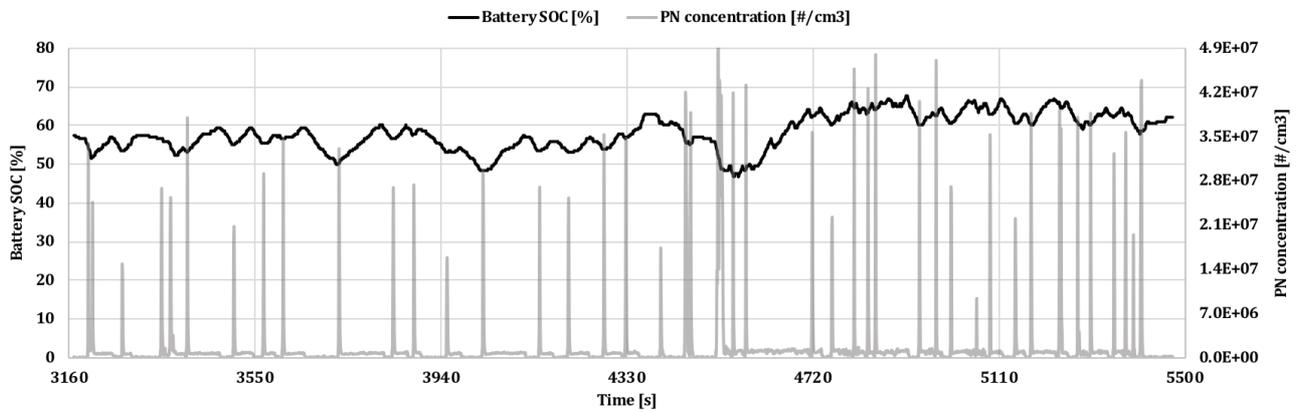
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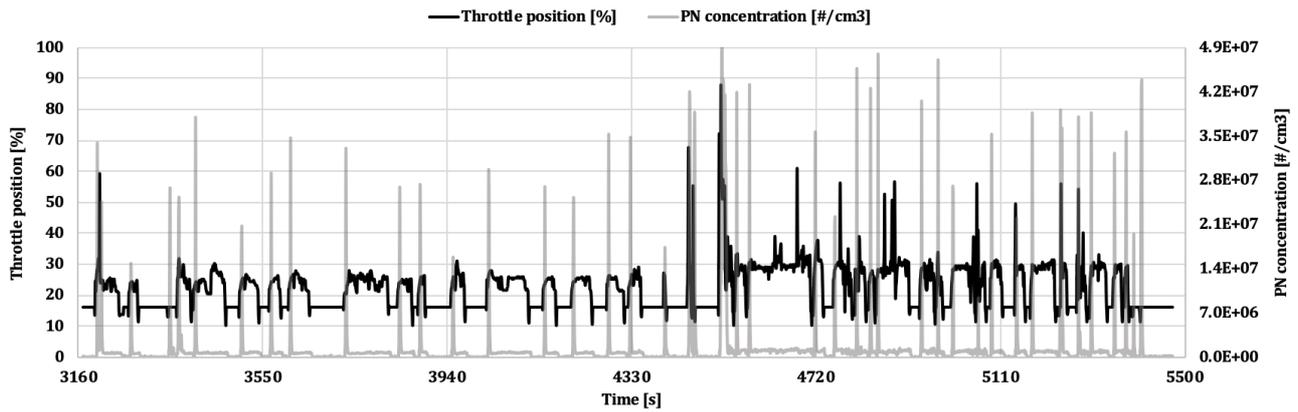
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Fig.7 Vehicle speed, engine speed, exhaust temperature, battery SOC level, and throttle position versus PN concentration of the GDI-HEV within the rural and highway driving stages

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Fig.7 displays the vehicle speeds, engine speeds, exhaust temperatures, battery SOC levels, and throttle positions versus real-time PN concentrations of the GDI-HEV. Unlike the PFI-HEV, within the rural and highway driving stages, this GDI-HEV used the same power management strategy as during urban driving. Within the rural driving period, the time between two engine pauses seems slightly lengthened, but several PN spikes corresponding to engine-starts can be still found in Fig.7. In the highway driving stage, the occurrence of high-PN events became dense again, because higher vehicle speed led to faster battery consumption and increased the frequency of engine-start for battery charging. It can be seen in the pictures illustrating engine speed and throttle position that the engine speed was raised from 1600r/min to 2400r/min and the throttle openness was also enlarged so as to realize faster charging under highway operating conditions.

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The SOC profile depicted in Fig.7 well presents the power management strategy currently prevalent in market-available HEVs, which can be called “solely SOC-targeting strategy”. The aim of this strategy is to achieve the best fuel economy, and the measure is to use the electric motor as much as possible with the engine running in generator-like, steady-state conditions, and the SOC level of the power battery is maintained within preset ranges which co-determine the engine operating conditions and PN emissions. Once the present SOC level goes below the preset threshold, the engine will be turned on to charge the battery and then shut off immediately after reaching the target value. It can be seen in Fig.7 that in this principle, the SOC level was well maintained between 45% and 70% during the rural and highway driving of the GDI-HEV tested.

Via the SOC level, energy consumption rate of the battery indirectly influences engine operating conditions and PN. Within the urban driving stage, as shown in Fig.5, the engine of the GDI-HEV only operated for a few seconds since the energy consumption at low speeds was small. Battery consumption became faster while the vehicle speeded up, hence it can be seen in Fig.7 that the engine ran for a much longer time at constant speeds after each start in the rural and highway modes, which helped reduce the frequency of engine-start and PN emissions.

Although this “solely SOC-targeting strategy” has been proven effective at delivering splendid fuel economies, it may unintendedly overlook the issue with extra PN emissions due to the absence of RDE requirements before. Since the new regulation will be soon implemented more widely, this drawback associated with over-frequent engine stop-and-goes seems quite problematic and calls more attention.

Fig.7 also offers a comparison between the PN emissions emitted within engine-start events and steady-state engine operations. It can be observed that the PN emissions were roughly $2E+5$ to $1.2E+6$ #/cm³ when the engine operated at 1600 and 2400r/min. By contrast, the engine-starts resulted in much greater PN emissions, which were one to two orders of magnitude larger and up to $4.9E+7$ #/cm³. Such big differences could also explain why some HEVs can

281 have much higher PN emissions than the ICE-only counterparts as compared in Fig.2.

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283 **3.3 Discussion on the emission factors**

284 Since both the test vehicles are China-6 compliant, their real driving PN emissions can be compared to the China-6
285 and Euro-6 limits, which is currently regulated at $1.26\text{E}+12\#/\text{km}$ (CF=2.1) and $9\text{E}+11\#/\text{km}$ (CF=1.5) respectively.
286 It can be seen in Table.2 that both the HEVs tested failed the Euro-6 limit by exceedances of 34% and 99.3%. The PN
287 emissions from the GDI-HEV also exceeded the relatively tolerative China-6 limit by a factor of 35.7%. Though the
288 PFI-HEV managed to meet the China-6 limit, it was approaching the limit. Also, it should not be ignored that 82% of
289 the PN emissions from this PFI-HEV were emitted within the urban driving stage. Considering the much higher
290 population density in urban areas, it is very disconcerting to see such emission characteristics, since the extra PN
291 emissions could substantially increase the exposure risk and induce adverse impacts on public health.

292 To tackle this PN issue, the following changes of HEV design may serve as possible solutions but still, encounter
293 several technical challenges.

294 1) Power management strategy: in addition to fuel economy, more considerations for exhaust emissions shall apply,
295 engine intervention is expected to be lengthened but this undoubtedly, to various degrees, sacrifices fuel economy.

296 2) External heating and/or thermal isolation of TWC: it may be difficult to determine when to start engine and heater
297 without a reliable access to predict the motivations of drivers, and adding heaters will result in fuel economy losses.

298 3) Gasoline particulate filter: GPF may be a solution but also requires good thermal management as a prerequisite,
299 because long-time low-temperature operations may render the regeneration process impractical and cause
300 blockage of the monolith.

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302 **Conclusions**

303 In view of the limited knowledge about the real-world PN emission characteristics of HEVs, two China-6 compliant
304 vehicles – a PFI-HEV and a GDI-HEV – were tested in this paper using a certification-level PEMS and strictly following
305 the regulatory procedures for RDE testing. The results demonstrate that the PN emission factors for the PFI- and
306 GDI-HEVs were $1.15\text{E}+12$ and $1.71\text{E}+12\#/\text{km}$ respectively, to various degrees exceeding those from the ICE-only
307 counterparts and the upcoming regulatory RDE limits. More than 80% of the PN emissions from the PFI-HEV in the
308 RDE trip were found in the urban driving stage, which may increase the exposure level of the public to ultrafine
309 particles and pose health concerns. By analyzing the modal PN concentrations in contrast to the several parameters
310 obtained from the OBD, one can conclude that enriched air-fuel mixtures and restricted TWC efficiencies associated
311 with the more frequent stop-and-goes of the HEV engines are likely the underlying reasons for the extra PN
312 emissions. To deal with the PN issue, amendments to the currently prevalent “SOC-oriented” power management
313 strategy are required, despite challenges.

314 It is also understood that with only two test vehicles, it would be presumptive to conclude that the in-service HEVs
315 are high PN emitters. However, the results of this paper highlight that some HEVs can encounter PN issues that might
316 have been unintendedly overlooked in the past, and call on more attention to this problem.

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323
324 **Abbreviations**
325 BEV: Battery Electrical Vehicle
326 CF: Conformity Factor
327 CPC: Condensed Particle Counter
328 EEPS: Engine Exhaust Particle Sizer
329 ELPI: Electrical Low Pressure Impactor
330 GDI: Gasoline Direct Injection
331 GPF: Gasoline Particulate Filter
332 GPS: Global Positioning System
333 HEV: Hybrid Electrical Vehicle
334 ICE: Internal Combustion Engine
335 ISG: Idle Stop-and-Go
336 NA: Naturally Aspirated
337 NG: Natural Gas
338 NTE: Not-to-exceed
339 OBD: On-board Diagnostic
340 PEMS: Portable Emission Measurement System
341 PFI: Port Fuel Injection
342 PM: Particulate Matter
343 PMP: Particulate Measurement Program
344 PN: Particulate Number
345 RDE: Real Driving Emission
346 RPA: Relative Positive Acceleration
347 SOC: State of Charge
348 TWC: Three-way Catalyst
349 $v_{a_{pos}}-[95]$: 95 percentile of the positive values of velocity times acceleration

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