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# Optimal Number of Magnet Pieces of Flux Reversal Permanent Magnet Machines

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**Abstract**—This paper aims to comprehensively analyze the influence of number of permanent magnet (PM) pieces on electromagnetic performance of flux reversal permanent magnet (FRPM) machines. Firstly, the unified analytical model of FRPM machines having different numbers of PM pieces is established, from which the optimal number of PM pieces and the corresponding rotor pole number can be identified. It shows that by employing the optimal number of PM pieces instead of the conventional two on each stator tooth, additional back-EMF component can be generated which is beneficial to boost the machine performance. Then, the influence of critical design parameters including stator slot opening ratio, split ratio and stator slot number is investigated, providing a guidance to the design of FRPM machines aiming at maximum output torque. In addition, both finite element analyses and experimental tests are conducted to verify the analytical analyses. For 6-slot-stator FRPM machines, experimental results show that more than 40% higher output torque can be achieved in the machine with optimal number of PM pieces when compared to the conventional one.

**Index Terms**—Analytical model, flux reversal, permanent magnet (PM), working harmonic

## I. INTRODUCTION

WITH the help of high-energy permanent magnet (PM) materials, the PM machines have now been widely used in various industrial applications, thanks to their superior torque density, efficiency, and topology diversity [1-3]. For low-speed and high-torque applications such as wind power, marine propulsion and rail traction etc., the direct-drive PM machines are regarded as promising candidates due to their simplified mechanical structure, high reliability, and less vibration and noise. To reduce the overall volume of the direct-drive system, numerous PM machine topologies toward high torque density have been proposed and can be divided into several categories based on their configuration or working principle, such as stator-PM machines [4], transverse flux machines [5], magnetic gear integrated machines [6], Vernier machines [7], partitioned stator machines [8], and so on. Among these machines, stator-PM machines exhibit inherent merits of efficient heat management and robust rotor structure, thus attracting much attention nowadays.

According to different placements of PMs, there are mainly three kinds of stator-PM machines which are doubly salient PM

(DSPM) machine [9], switched flux PM (SFPM) machine [10] and flux reversal PM (FRPM) machine [11], respectively. In comparison with other two kinds of machines, the FRPM machine is of surface-mounted PM (SPM) structure, making its stator structure less complex. However, the torque density of the FRPM machine tends to be smaller than the SFPM machine because of the larger equivalent air-gap length for rotor tooth modulation [4]. Therefore, the torque improvement of the FRPM machine is of great significance to boost its competitiveness against other machines and broaden its application prospect.

Up to now, a few papers have provided some approaches to improve the torque of the FRPM machine. In [12], different winding configurations of the FRPM machine are analyzed. It states that the 6/14 stator slot/rotor pole FRPM machine with full-pitch distributed winding has 50% higher torque than its counterpart with concentrated winding. In [13], the optimal rotor pole number of the FRPM machine is revealed based on analytical equations. It shows that the 14-pole-rotor is preferred for the 12-slot-stator. In [14], the stator of the FRPM machine is split into two stators to separate the PM and armature winding. It is proven that the proposed 12/10 machine enlarges the torque by 56% due to its better utilization of inner space.

Besides, the PM configuration of the FRPM machine has also been investigated from the following several aspects: 1) the SPM structure can be replaced by inset-PM structure [15] or consequent-pole PM (CPM) structure, e.g. the torque of a 12/16 FRPM machine with CPM structure is improved by 25% in [16]; 2) the PMs can be evenly arranged along the entire inner surface of the stator instead of mounting on the inner surface of each stator tooth only, e.g. by evenly arranging 36-pole PMs on the inner stator surface of a 12/17 FRPM machine, its torque can be improved by 33% [17]; 3) the polarities of two adjacent magnets on different stator teeth can be either identical or opposite, e.g. in [18], 17% higher torque of a 12/14 FRPM machine is obtained by simply adjusting the two adjacent magnets belonging to two stator teeth from identical poles to opposite poles.

In addition to the three aspects aforementioned, the FRPM machines with increased number of PM pieces have also been proposed and analyzed. Most typically, two PM pieces are mounted on each stator tooth of the FRPM machine [19], as shown in Fig. 1(a) (taking the 6-slot-stator FRPM machine for instance). In [20], the FRPM machine with increased PM pieces is firstly proposed, viz., four PM pieces instead of two, are mounted on each stator tooth, as shown in Fig. 1(b). Ideally,

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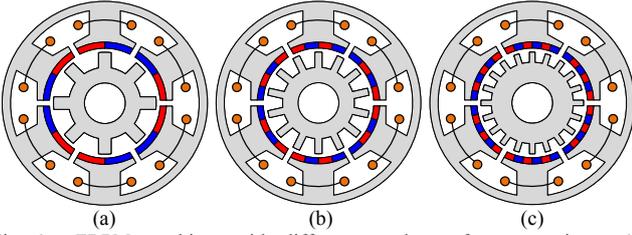


Fig. 1. FRPM machines with different numbers of magnet pieces. (a) Conventional FRPM machine with  $npp=1$ . (b)  $npp=2$ . (c)  $npp=3$ .

$2npp$  PM pieces can be mounted on each stator tooth, where  $npp$  is the number of PM pairs with minimum value being 1. Aiming at low-speed servo applications, a 12/28 FRPM machine with  $npp=2$  is optimized and analyzed in [20]. Although the working principle and winding configuration of this kind of FRPM machine are then investigated in some other papers [12] [21], its performance difference against the conventional FRPM machine with  $npp=1$  has never been addressed. In addition, the analysis of the FRPM machine with  $npp>2$  has not been found in existing papers either. For instance, Fig. 1(c) shows the FRPM machines with six PM pieces on each stator tooth ( $npp=3$ ).

Therefore, this paper aims to provide a unified analysis and comparison of FRPM machines having different numbers of PM pieces on each stator tooth. More importantly, the optimal number of PM pieces can be identified, which is beneficial to improve the machine performance. To obtain a generalized conclusion, the determination of the optimal number of PM pieces, and the influence of design parameters including stator slot opening ratio, split ratio, and stator slot number will be investigated by means of analytical method. Both finite element analyses (FEA) and experiments are used to validate the conclusions and findings.

## II. MACHINE CONFIGURATION AND OPERATION PRINCIPLE

### A. Machine Configuration

The most typical configuration of a three-phase FRPM machine is shown in Fig. 1(a). As can be seen, the rotor of the FRPM machine is of pure reluctance structure, which has improved mechanical strength and is easy for manufacturing even if with high pole number. The non-overlapping concentrated armature windings are always wound around the stator teeth, resulting in short end-winding. Also, a pair of PMs is mounted on the inner surface of each stator tooth. With rotor rotating, the flux through the armature winding varies and the PM induced back-electromotive force (EMF) interacts with the injected armature current to produce torque. It should be noted that the polarities of two adjacent PM pieces belonging to two stator teeth can be either identical or opposite. In the case of identical polarities, the number of stator slot can be only even while that can be either even or odd in the case of opposite polarities [18].

### B. Analytical Derivation of Machine Performance

To investigate the operation principle of a FRPM machine and the influence of different numbers of PM pieces, the analytical derivation of machine performance is conducted. To simplify the analysis, some assumptions are made as: 1) the

saturation of the stator and rotor core is neglected; 2) the end-effect and fringing effect of the machine are neglected; 3) the magnets are radially-magnetized; 4) the dimensions of all PM pieces are the same.

For simplicity, the analytical expressions are deduced based on the FRPM machine with polarities of two adjacent PM pieces belonging to two stator teeth being opposite, and its schematic is shown in Fig. 2. Some critical dimensional parameters including overall diameter ( $D$ ), inner radius of stator ( $R_{si}$ ), stator slot pitch ( $\tau_s$ ), width of stator slot opening ( $w_{so}$ ), PM height ( $h_m$ ), air-gap length ( $g$ ), rotor pole pitch ( $\tau_r$ ), and width of rotor slot opening ( $w_{ro}$ ) are labeled.

By using simple magnetomotive force (MMF)-permeance model [22] [23], the no-load air-gap flux density can be given as

$$B(\theta, t) = F_{PM}(\theta) \Lambda_r(\theta, t) \quad (1)$$

where  $F_{PM}(\theta)$  is the PM MMF which is static under the stator reference frame, and  $\Lambda_r(\theta, t)$  is the specific air-gap permeance produced by the salient rotor which is dynamic due to the rotor rotation.

Considering the PM MMF of the machine, it is directly determined by the number of PM pairs ( $npp$ ) on each stator tooth and the corresponding magnetization directions. As shown in Fig. 2, since the PM arrangements of any two stator teeth are exactly the same, the PM MMFs are periodically distributed in the air-gap with a period  $T$  of  $\tau_s$ . By assuming the magnetization direction of the 1<sup>st</sup> magnet piece outward, the PM MMF waveforms of different  $npp$  (1 to 3) are shown in Fig. 3.

The PM MMF can then be expressed in Fourier series, as

$$F_{PM}(\theta) = \sum_{i=1,2,3,\dots}^{\infty} F_i \sin(iN_s \theta) \quad (2)$$

where  $N_s$  is the number of stator slots,  $i$  is the order of Fourier series,  $F_i$  is the corresponding Fourier coefficient and is

$$F_i = \frac{4F}{TiN_s} \left[ -1 - (-1)^{npp} \cos(iN_s k \frac{T}{2}) + \sum_{j=1,2,\dots}^{npp+1} 2(-1)^{j-1} \cos(iN_s \frac{j-1}{npp} k \frac{T}{2}) \right] \quad (3)$$

where  $T = \tau_s = 2\pi/N_s$ ,  $k = (1 - w_{so}/\tau_s)$ ,  $F$  is related to the remanence ( $B_r$ ), height ( $h_m$ ), and relative permeability ( $\mu_r$ ) of the PM material, and  $F = B_r h_m / \mu_r \mu_0$ .

As for air-gap permeance, it can also be expressed in Fourier series, as

$$\Lambda_r(\theta, t) = \sum_{q=0,1,2,\dots}^{\infty} \Lambda_q \cos[qN_r(\theta - \theta_0 - \Omega_r t)] \quad (4)$$

and the Fourier coefficients can be obtained as [24]

$$\Lambda_0 = \frac{\mu_0}{g'} (1 - 1.6\beta \frac{w_{ro}}{\tau_r}) \quad (5)$$

$$g' = g + \frac{h_m}{\mu_r} \quad (6)$$

$$\Lambda_q = -\beta \frac{4}{\pi q} \frac{\mu_0}{g'} \left[ \frac{1}{2} + \frac{(q w_{ro} / \tau_r)^2}{0.78125 - 2(q w_{ro} / \tau_r)^2} \right] \sin(1.6\pi q \frac{w_{ro}}{\tau_r}) \quad (7)$$

$$\beta = \frac{1}{2} \left[ 1 - \frac{1}{\sqrt{1 + (w_{ro} / 2g')^2}} \right] \quad (8)$$

where  $\Omega_r$  is the angular speed of the rotor,  $N_r$  is the rotor pole

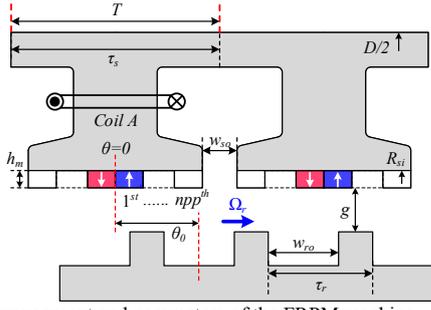
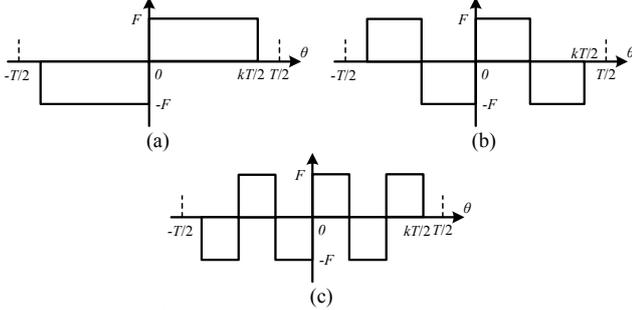


Fig. 2. PM arrangement and parameters of the FRPM machine.

Fig. 3. PM MMF of FRPM machines. (a)  $npp=1$ . (b)  $npp=2$ . (c)  $npp=3$ .

number,  $q$  is the order of Fourier series, and  $\Lambda_q$  is the corresponding Fourier coefficient.

The no-load air-gap flux density can then be expressed as

$$B(\theta, t) = \Lambda_0 \sum_{i=1,2,3,\dots} F_i \sin(iN_s \theta) + \sum_{i=1,2,3,\dots} \sum_{q=1,2,3,\dots} \frac{1}{2} F_i \Lambda_q \sin[(iN_s \pm qN_r) \theta \mp qN_r (\theta_0 + \Omega_r t)] \quad (9)$$

From (1)-(9), it can be seen that abundant air-gap flux density harmonics exist due to the rotor tooth modulation and the magnitudes of these harmonics highly depend on  $npp$ .

Considering the cogging torque of the machine, by using the virtual work method, it can be given as

$$T_c = -\frac{\partial W_c}{\partial \alpha} = -\frac{\partial W_c}{\partial (\Omega_r t)} \quad (10)$$

where  $\alpha$  is the rotor rotational angle, and  $W_c$  is the magnetic field energy which is equal to the co-energy stored in the air-gap and PMs of the machine under the assumption of infinite permeability of stator and rotor core, as

$$W_c(t) = \int \frac{1}{2\mu_0} B(\theta, t)^2 dV = \int \frac{1}{2\mu_0} F_{PM}(\theta)^2 \Lambda_r(\theta, t)^2 dV = \frac{l(R_{si}^2 - R_{ro}^2)}{2\mu_0} \int_0^{2\pi} F_{PM}(\theta)^2 \Lambda_r(\theta, t)^2 d\theta = \frac{\pi l(R_{si}^2 - R_{ro}^2)}{4\mu_0} \sum F_{\frac{nN_r}{N_s}}' \Lambda_n' \cos[nN_r(\theta_0 + \Omega_r t)] \quad (11)$$

where  $l$  is axial length of the machine,  $R_{ro}$  is the outer radius of rotor,  $F'$  is the Fourier coefficient of  $F_{PM}(\theta)^2$ ,  $\Lambda_n'$  is the Fourier coefficient of  $\Lambda_r(\theta, t)^2$ , and  $n$  is the integer which makes  $(nN_r/N_s)$  an integer as well.

Then the cogging torque can be deduced as

$$T_c(t) = \frac{nN_r \pi l (R_{si}^2 - R_{ro}^2)}{4\mu_0} \sum F_{\frac{nN_r}{N_s}}' \Lambda_n' \sin[nN_r(\theta_0 + \Omega_r t)] \quad (12)$$

The fundamental period of the cogging torque,  $N_c$ , is equal to the minimum  $n$ , and can be expressed as

$$N_c = n_{\min} = \frac{N_s}{\text{GCD}(N_r, N_s)} \quad (13)$$

From (13), it can be found that  $N_c$  is only related to  $N_s$  and the greatest common divisor (GCD) between  $N_r$  and  $N_s$ , and is irrelevant to  $npp$ . This is because that the waveforms of  $F_{PM}(\theta)^2$  are all the same regardless of  $npp$ , as shown in Fig. 3.

As shown in Fig. 2, considering the flux through Coil A,

$$\lambda_A(t) = n_c \int B(\theta, t) ds = n_c l R_{si} \int_{-k\pi/N_s}^{k\pi/N_s} B(\theta, t) d\theta = \sum_{i=1,2,3,\dots} \sum_{q=1,2,3,\dots} \frac{n_c l R_{si} F_i \Lambda_q}{(iN_s \pm qN_r)} \sin\left[\left(\frac{iN_s \pm qN_r}{N_s}\right) k\pi\right] \sin[\mp qN_r(\theta_0 + \Omega_r t)] \quad (14)$$

where  $n_c$  is the number of series-connected turns of Coil A.

Correspondingly, the back-EMF of Coil A can be obtained as

$$e_A(t) = -\frac{d\lambda_A(t)}{dt} = \sum_{i=1,2,3,\dots} \sum_{q=1,2,3,\dots} \frac{\pm n_c l R_{si} F_i \Lambda_q q N_r \Omega_r}{(iN_s \pm qN_r)} \sin\left[\left(\frac{iN_s \pm qN_r}{N_s}\right) k\pi\right] \cos[qN_r(\theta_0 + \Omega_r t)] \quad (15)$$

From (15), it can be seen that the air-gap flux density harmonics with the same  $q$  contribute to the back-EMF of the same frequency. Since  $\Lambda_1$  is much larger than the magnitudes of other permeance harmonics, the flux density harmonics with order being  $(iN_s \pm N_r)$  are all possible to produce the fundamental back-EMF, of which the magnitude can be expressed as

$$E_A = \sum_{i=1,2,3,\dots} \frac{\pm n_c l R_{si} F_i \Lambda_1 N_r \Omega_r}{(iN_s \pm N_r)} \sin\left[\left(\frac{iN_s \pm N_r}{N_s}\right) k\pi\right] \quad (16)$$

Obviously, the back-EMF is greatly influenced by  $npp$  because the magnitudes of the air-gap flux density harmonics are related to  $npp$ , as seen from (3) and (9).

Since the reluctance torque of FRPM machine is negligible [3], the average torque of the machine can be derived as

$$T = \frac{3}{2} n_{coil} k_d I_A E_A = \frac{3}{2} n_{ph} k_d I_A \sum_{i=1,2,3,\dots} \frac{\pm l R_{si} F_i \Lambda_1 N_r \Omega_r}{(iN_s \pm N_r)} \sin\left[\left(\frac{iN_s \pm N_r}{N_s}\right) k\pi\right] \quad (17)$$

where  $n_{coil}$  is the number of series-connected coils per phase,  $n_{ph}$  is the number of series-connected turns per phase,  $k_d$  is the distribution factor of the armature winding, and  $I_A$  is the peak value of the phase current.

### III. ANALYSIS OF FRPM MACHINES WITH DIFFERENT NUMBERS OF PM PIECES

#### A. Optimal Rotor Pole Number

It is clear that the rotor pole number has a big influence on the performance of FRPM machines. In terms of torque density, it has been proven that the 14-pole-rotor is suitable for the

TABLE I  
FIXED PARAMETERS OF THE MACHINES

| Parameters                                 | Value  | Parameters                                | Value |
|--|--------|---|-------|
| Overall diameter ( $D$ )                   | 90mm   | Axial length ( $l$ )                      | 25mm  |
| Inner radius of stator ( $R_{si}$ )        | 31.5mm | Width of stator slot opening ( $w_{so}$ ) | 2.5mm |
| PM height ( $h_{mi}$ )                     | 2mm    | Air-gap length ( $g$ )                    | 0.5mm |
| Width ratio of rotor pole ( $w_r/\tau_r$ ) | 0.7    | Number of turns per coil ( $n_c$ )        | 1     |
| Remanence of PM ( $B_r$ )                  | 1.2T   | Relative permeability of PM ( $\mu_r$ )   | 1.05  |

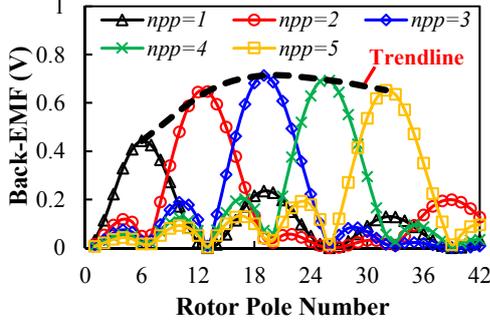


Fig. 4. Magnitudes of the fundamental back-EMFs with different rotor pole numbers. ( $\Omega_r = 2\pi \cdot 4000/60$  rad/s)

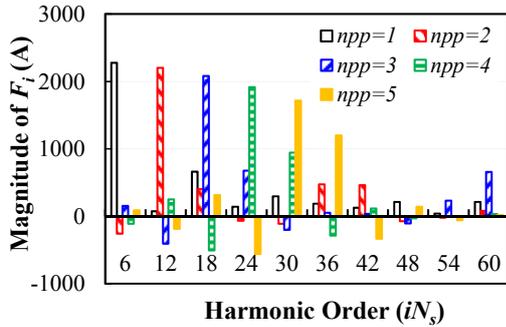


Fig. 5. Harmonic spectra of the PM MMFs.

12-slot-stator FRPM machine when  $npp=1$  [13] [18]. However, for different  $npp$ , the most suitable rotor pole number varies, which will be illustrated in the following.

Based on the analytical expressions and the fixed parameters listed in TABLE I, the magnitude of the fundamental back-EMF is used to evaluate the torque performance of the FRPM machines with different stator slot/rotor pole combinations. Fig. 4 shows the variation of the magnitude of the fundamental back-EMF against rotor pole number when  $N_s=6$ . When  $npp$  ranges from 1 to 5, the optimal  $N_r$  are 6, 13, 19, 26, and 32, respectively. This is because that the variation of flux is caused by the relative movement between rotor poles and PM pieces, a similar number of rotor pole and fundamental pole-pair of PM MMF is beneficial to fully utilize the PM field. Fig. 5 shows the harmonic spectra of the PM MMF. As can be seen, the harmonic order of the largest magnitude is related to  $npp$ , which is  $nppN_s$ . In addition, from (16), the back-EMF of the machine with a large  $N_r$  tends to be high when the rotor speed is fixed. Therefore, the optimal rotor pole number  $N_r$  should be  $(nppN_s+m)$ , where  $m=0, 1$  or  $2$ . Considering the fact that the unbalanced magnetic force exists if  $N_r$  is odd, the suggested rotor pole number for a three-phase FRPM machine can be given as

$$N_r = nppN_s + 2 \quad (18)$$

### B. Identification of Working Harmonics of PM MMF

Considering the maximum back-EMF value of the FRPM machines with different  $npp$  (see the trend line in Fig. 4), when  $npp$  increases from 1, the back-EMF firstly increases and then reaches a maximum with  $npp=3$ , and it starts to decrease by further increasing  $npp$ . In comparison with the conventional FRPM machine with  $npp=1$ , the back-EMF of the FRPM machine with  $npp=3$  is improved by 61%.

To identify the best  $npp$  for the FRPM machine, and also explain the trend line of the performance variation against  $npp$ , it is necessary to quantify the contribution of each harmonic of the PM MMF. From (16), it is shown that the fundamental back-EMF is contributed by several PM MMF harmonics but with different weight factors, as

$$E_A \propto \sum_{i=1,2,3,\dots}^{\infty} \frac{\pm N_r \Lambda_1}{(iN_s \pm N_r)} \sin \left[ \left( \frac{iN_s \pm N_r}{N_s} \right) k\pi \right] F_i \propto \sum_{i=1,2,3,\dots}^{\infty} f_w^i F_i \quad (19)$$

The weight factor  $f_w^i$  of the  $iN_s^{\text{th}}$  MMF harmonic can be defined as

$$f_w^i = \frac{N_r \Lambda_1}{(iN_s + N_r)} \sin \left[ \left( \frac{iN_s + N_r}{N_s} \right) k\pi \right] - \frac{N_r \Lambda_1}{(iN_s - N_r)} \sin \left[ \left( \frac{iN_s - N_r}{N_s} \right) k\pi \right] \quad (20)$$

Under the fixed parameters listed in TABLE I and the suggested rotor pole number in (18), the weight factor  $f_w^i$  of the 6-slot-stator FRPM machines with different  $npp$  can be calculated, as shown in Fig. 6. Not surprisingly, for each  $npp$ , the weight factor of the  $nppN_s^{\text{th}}$  harmonic is the highest. Therefore, the fundamental back-EMF of the machine is largely resulted from the  $nppN_s^{\text{th}}$  PM MMF since its magnitude is also the highest, as shown in Fig. 5. The  $nppN_s^{\text{th}}$  PM MMF is then defined as *Principal* MMF in this paper. In addition to *Principal* MMF, it is found that both weight factor and magnitude of the  $(npp+1)N_s^{\text{th}}$  PM MMF are considerable especially when  $npp$  is large. The  $(npp+1)N_s^{\text{th}}$  PM MMF is then defined as *Auxiliary* MMF. TABLE II shows the back-EMF contribution from both *Principal* and *Auxiliary* MMFs. For each  $npp$ , by setting the back-EMF produced by *Principal* MMF as benchmark, the normalized back-EMF produced by *Auxiliary* MMF is listed as well. It shows that the back-EMF contribution of *Auxiliary* MMF increases with  $npp$ , e.g. when  $npp=1$ , it is only 2% of the back-EMF produced by *Principal* MMF while it grows to 36% for  $npp=5$ . More importantly, the back-EMF resulted from these two MMF components accounts for more than 90% of the overall back-EMF. Therefore, it can be regarded that the back-EMF as well as the torque of the studied FRPM machines are mainly contributed by two working harmonics of the PM MMF, i.e. the  $nppN_s^{\text{th}}$  and the  $(npp+1)N_s^{\text{th}}$ .

The back-EMFs produced by these two working harmonics are shown in Fig. 7, and can be used to explain the trend of the performance variation against  $npp$ . It can be seen that the back-EMF produced by *Principal* MMF firstly increases with  $npp$  thanks to the increased weight factor shown in Fig. 6, and then it decreases due to the decreased magnitude as shown in Fig. 5. It achieves a maximum when  $npp=3$ . In terms of the back-EMF produced by *Auxiliary* MMF, it always increases

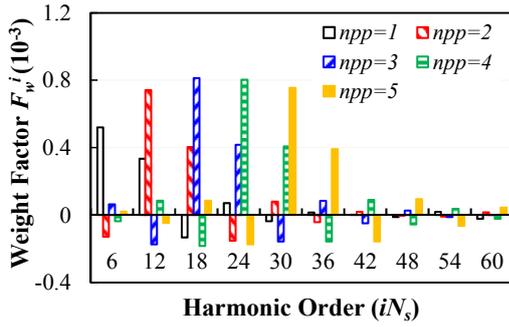


Fig. 6. Weight factors of the PM MMF harmonics.

TABLE II  
BACK-EMF CONTRIBUTION OF PRINCIPAL AND AUXILIARY MMFS

| $npp$ | Overall Back-EMF | Produced by Principal MMF | Produced by Auxiliary MMF | Produced by other MMF harmonics |
|-------|------------------|---------------------------|---------------------------|---------------------------------|
| 1     | 0.36V            | 0.38V (100%)              | 0.01V (2%)                | -0.03V                          |
| 2     | 0.59V            | 0.54V (100%)              | 0.05V (10%)               | 0                               |
| 3     | 0.69V            | 0.56V (100%)              | 0.09V (17%)               | 0.04V                           |
| 4     | 0.69V            | 0.51V (100%)              | 0.13V (25%)               | 0.05V                           |
| 5     | 0.65V            | 0.43V (100%)              | 0.16V (36%)               | 0.06V                           |

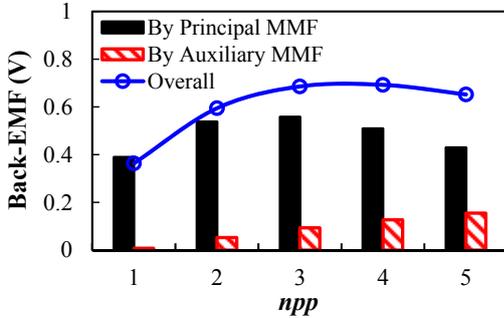


Fig. 7. Back-EMF produced by Principal MMF and Auxiliary MMF.

with  $npp$  since the magnitude of Auxiliary MMF largely increases with  $npp$ , as shown in Fig. 5. On the whole, when  $npp$  increases from 1, the performance of the FRPM machine is firstly improved due to the additional contribution of Auxiliary MMF. With the further increase of  $npp$ , the machine performance deteriorates due to the magnitude reduction of Principal MMF. Therefore, there exists an optimal  $npp$  for FRPM machine, and it is 3 when  $N_s=6$ .

### C. Influence of $npp$ on Cogging Torque

From (13), it is found that the fundamental period of cogging torque is determined by  $N_s$  and  $N_r$ . Although the optimal  $N_r$  varies with  $npp$  based on (18), the GCD ( $N_s$ ,  $N_r$ ) remains unchanged when  $N_s=6$ . Therefore,  $npp$  only affects the peak to peak value of the cogging torque, as shown in Fig. 8. The cogging torque decreases when  $npp$  increases from 1 to 4. In comparison with  $npp=4$ , the cogging torque with  $npp=5$  is larger. As shown in Fig. 3, the waveforms of  $F_{PM}(\theta)^2$  are exactly the same for different  $npp$ . However, for different  $npp$ , the cogging torque is related to different harmonics of  $F_{PM}(\theta)^2$ , of which the order can be obtained from (12) and is  $(3npp+1)$  when  $N_s=6$ . Fig. 9 shows the absolute value of harmonic magnitude of  $F_{PM}(\theta)^2$ , and the harmonics contributing to the fundamental cogging torques of different  $npp$  are also labeled. As can be seen, the magnitude variation of these harmonics

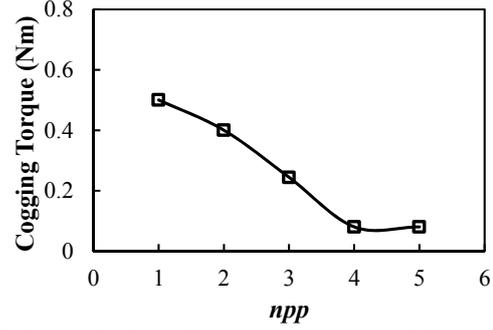
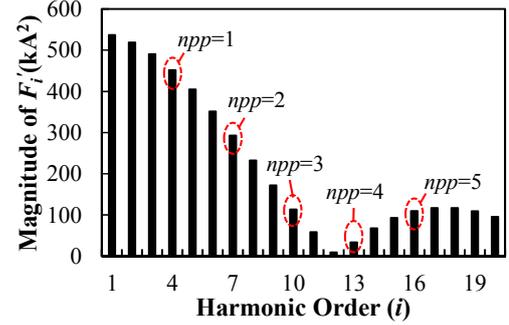
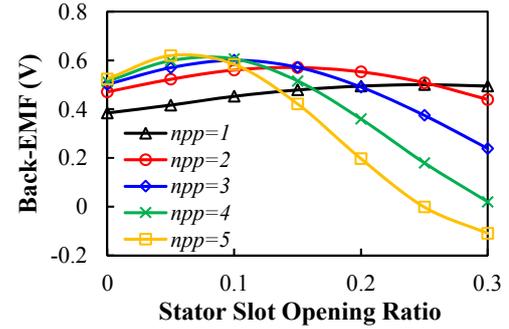
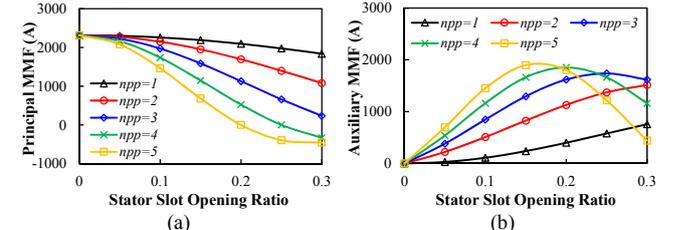
Fig. 8. Peak to peak values of the cogging torques with different  $npp$ .Fig. 9. Magnitudes of harmonics of  $F_{PM}(\theta)^2$ .Fig. 10. Magnitudes of the fundamental Back-EMFs with different  $w_{sol}/\tau_s$ .

Fig. 11. Magnitude variation of PM MMF against stator slot opening ratio. (a) Principal MMF. (b) Auxiliary MMF.

matches well with the cogging torque variation in Fig. 8.

## IV. INFLUENCE OF DESIGN PARAMETERS

To provide a simple design guidance of the FRPM machines analyzed above, the influence of some key design parameters on the machine performance is investigated.

### A. Stator Slot Opening Ratio

Since the ratio of stator slot opening to stator slot pitch ( $w_{sol}/\tau_s$ ) (designated as stator slot opening ratio) has a big influence on the distribution of the PM MMF, its influence on the machine performance is firstly investigated while other

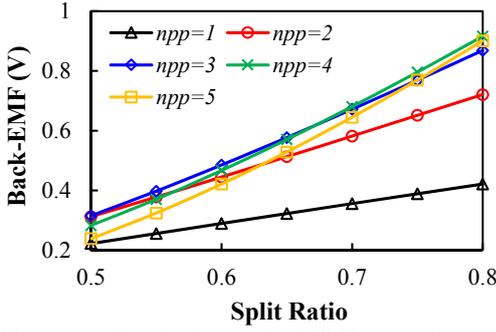


Fig. 12. Magnitudes of the fundamental back-EMFs with different split ratios.

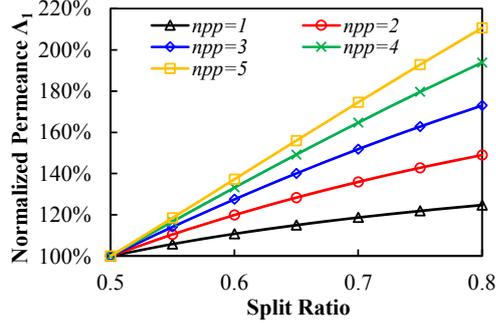


Fig. 13. Variation of normalized permeance  $\Lambda_1$  against split ratio.

parameters are kept the same as TABLE I. Fig. 10 shows the variation of the magnitude of the fundamental back-EMF against  $w_{so}/\tau_s$ . As can be seen, for each  $npp$ , there exists an optimal stator slot opening ratio and it decreases with  $npp$ . For instance, the optimal width ratio is 0.25 when  $npp=1$  while that is 0.1 when  $npp=3$ . To further explain this phenomenon, Fig. 11 shows the magnitude variation of both *Principal* MMF and *Auxiliary* MMF. When the ratio ( $w_{so}/\tau_s$ ) increases from 0 to 0.3, the magnitude of *Principal* MMF decreases regardless of  $npp$ . It means that the back-EMF produced by *Principal* MMF decreases with the ratio. In contrast, the magnitude of *Auxiliary* MMF as well as the corresponding back-EMF component tend to increase with the ratio, but there exists an optimal value for  $npp>2$ . Considering different  $npp$ , the sensitivity of the PM MMF to the ratio is the lowest for  $npp=1$  and it increases with  $npp$ . Therefore, the optimal stator slot opening ratio is relatively large for  $npp=1$  since it tends to utilize more *Auxiliary* MMF due to the high weight factor shown in Fig. 6. When  $npp$  increases, the optimal ratio becomes smaller since the *Principal* MMF rapidly decreases with the ratio and the optimal ratio for *Auxiliary* MMF also decreases.

### B. Split Ratio

It is well-known that there should be an optimal split ratio for PM machines due to the tradeoff between the magnetic loading and electric loading. To simplify the analysis of the influence of  $npp$  on the optimal value of split ratio, only the variation of back-EMF, viz. the equivalent electric loading against split ratio is calculated by assuming the number of turns per coil  $n_c$  and other parameters unchanged as TABLE I. As shown in Fig. 12, for all  $npp$ , the fundamental back-EMFs increase against split ratio but with different rates of increase, and the rate of increase is larger for a larger  $npp$ . Therefore, in comparison

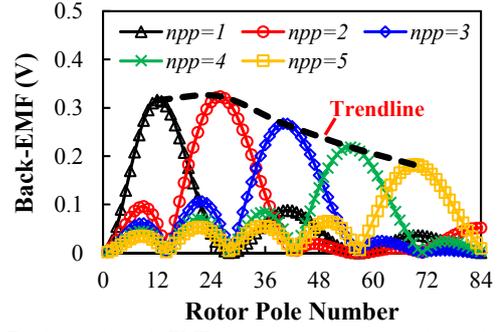


Fig. 14. Fundamental back-EMF variation against rotor pole number ( $N_s=12$ ).

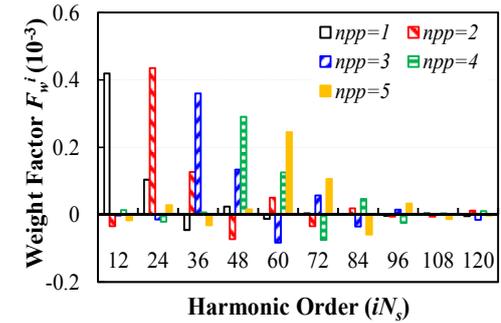


Fig. 15. Weight factors of PM MMF for  $N_s=12$ .

TABLE III  
OPTIMAL  $npp$  FOR DIFFERENT NUMBERS OF STATOR SLOT

| $N_s$         | 6 | 12 | 18 | 24 |
|---------------|---|----|----|----|
| Optimal $npp$ | 3 | 2  | 1  | 1  |

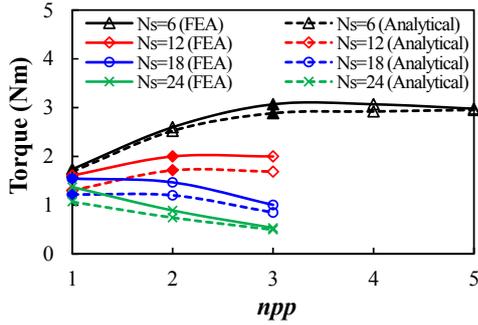
with the small  $npp$ , the optimal split ratio should be larger for the large  $npp$ . This can be further explained by the variation of the fundamental permeance  $\Lambda_1$  from (20), since the back-EMF is proportional to  $\Lambda_1$  which is related to  $N_r$ . For each  $npp$ , taking  $\Lambda_1$  with split ratio being 0.5 as benchmark, the variation of the normalized  $\Lambda_1$  against split ratio is shown in Fig. 13. As can be seen, the increase rate is larger for a larger  $npp$ , and is consistent with the variation of fundamental back-EMF shown in Fig. 12.

### C. Stator Slot Number

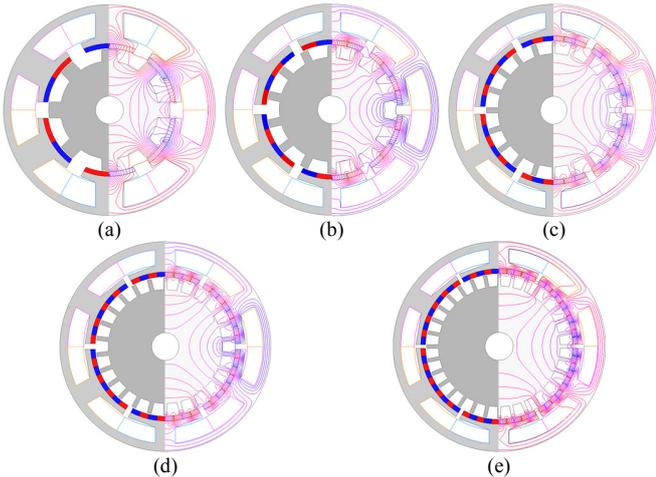
For FRPM machines with different numbers of stator slot, the influence of  $npp$  is also investigated. Fig. 14 shows the variation of the magnitude of the fundamental back-EMF against rotor pole number when  $N_s=12$ . Similar to Fig. 4, there is an optimal rotor pole number for each  $npp$ , and it is basically consistent with (18).

For  $N_s=6$ , it has been proven that by increasing  $npp$  from 1 to the optimal value of 3, the back-EMF can be effectively improved mainly due to the increased weight factor of *Principal* MMF in Fig. 6, and increased magnitude of *Auxiliary* MMF in Fig. 5. However, for  $N_s=12$ , the optimal  $npp$  is 2 instead of 3, which can be observed from Fig. 14. This can be explained by the weight factor shown in Fig. 15. Compared with that shown in Fig. 6,  $npp=2$  has the highest weight factor of *Principal* MMF, and it drops rapidly with  $npp$ .

Similarly, the optimal  $npp$  for other  $N_s$  is identified and listed in TABLE III. As can be seen, the optimal  $npp$  becomes 1 when  $N_s>12$ , i.e. the torque density of the machines cannot be

Fig. 16. Torque variation against  $npp$ .TABLE IV  
PARAMETERS OF OPTIMUM MODELS AND PROTOTYPES ( $N_s=6$ )

| Parameters   | FEA models |      |      |      |      | Prototypes |    |      |     |
|--|------------|------|------|------|------|------------|----|------|-----|
|  | $npp$      | 1    | 2    | 3    | 4    | 5          | 1  | 2    | 3   |
| Overall diameter ( $D$ , mm)                           |            |      |      |      |      |            | 90 |      |     |
| Axial length ( $l$ , mm)                               |            |      |      |      |      |            | 25 |      |     |
| Split ratio ( $2R_{st}/D$ )                            |            | 0.63 | 0.66 | 0.7  | 0.71 | 0.74       |    | 0.65 |     |
| Width ratio of stator slot opening ( $w_{so}/\tau_s$ ) |            | 0.23 | 0.13 | 0.1  | 0.07 | 0.05       |    | 0.08 |     |
| Stator yoke thickness ( $h_{sy}$ , mm)                 |            | 3.1  | 3.3  | 3.3  | 3.4  | 3.2        |    | 4.2  |     |
| Width of stator tooth ( $w_{st}$ , mm)                 |            | 12.3 | 7.4  | 7.5  | 8.9  | 8.5        |    | 8.4  |     |
| PM height ( $h_{mi}$ , mm)                             |            | 2    | 2    | 2    | 2    | 2          |    | 2    |     |
| Width ratio of rotor pole ( $w_{ro}/\tau_r$ )          |            | 0.67 | 0.72 | 0.76 | 0.75 | 0.75       |    | 0.75 | 0.7 |

Fig. 17. Cross-sections and flux distributions. (a)  $npp=1$ . (b)  $npp=2$ . (c)  $npp=3$ . (d)  $npp=4$ . (e)  $npp=5$ .

improved by increasing the number of PM pieces on each stator tooth. This can be explained by the limited stator slot pitch under the fixed stator outer diameter  $D$  (90mm in this study). It should be noted that the optimal  $npp$  may vary with  $D$ . However, for different  $D$ , the optimal  $npp$  can still be determined and analyzed by using the analytical model in this paper.

## V. PERFORMANCE VALIDATION BY FEA

To verify the findings obtained by analytical model, the genetic-algorithm-based global optimization by FEA is implemented for all FRPM machines with different  $npp$ . To achieve a fair comparison, all machines are optimized under the same effective space envelop ( $D=90$ mm and  $l=25$ mm) and copper loss ( $p_{cu}=20$ W). Fig. 16 shows the torque variation against  $npp$ . Apart from the results obtained by FEA, the

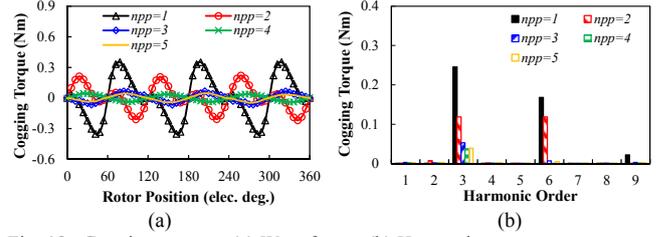


Fig. 18. Cogging torques. (a) Waveforms. (b) Harmonic spectra.

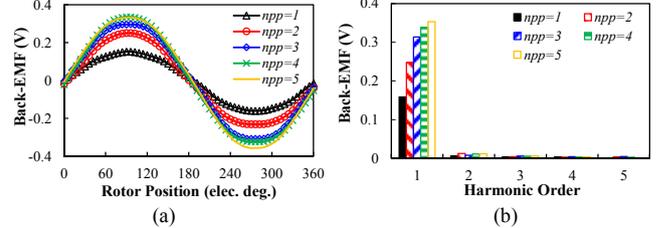


Fig. 19. Back-EMFs. (a) Waveforms. (b) Harmonic spectra.

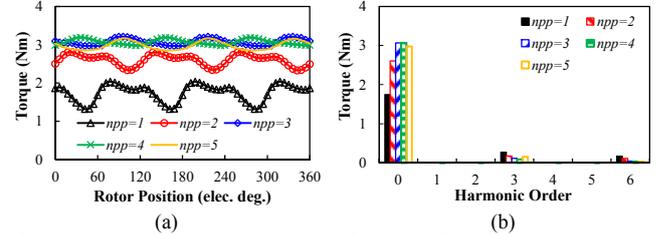


Fig. 20. Rated torques. (a) Waveforms. (b) Harmonic spectra.

analytically predicted torque values are also calculated based on the parameters of the optimum FEA models. As can be seen, for  $N_s=6$ , the FEA and analytical results match well with each other while for other  $N_s$ , the analytical value is slightly smaller than the FEA value, which is mainly attributed to the assumptions made in the analytical model. More importantly, for both FEA and analytical results, the optimal  $npp$  is 3 for  $N_s=6$ , while it is 2 for  $N_s=12$  and 1 for  $N_s=18$  and 24. Therefore, the previous analysis of the optimal  $npp$  is verified by FEA.

For  $N_s=6$ , the detailed parameters of the optimum FEA models are shown in TABLE IV. It should be noted that although the optimal PM heights for all  $npp$  are smaller than 2mm so as to reduce the equivalent air-gap length, they all selected as 2mm to guarantee the mechanical strength and anti-demagnetization capability of PMs. Besides, the optimal split ratio increases with  $npp$  while the width ratio of stator slot opening decreases with  $npp$ . Again, these phenomena match well with the previous analysis.

Fig. 17 shows the cross-sections and flux distributions of these optimum machine models. Despite different  $npp$ , the flux distributions are similar for the five models especially in stator and rotor yoke. Fig. 18 shows the cogging torques of the five machines. As can be seen, the fundamental periods of cogging torques are all 3, but the magnitude firstly decreases with  $npp$  and achieves the minimum value when  $npp=4$ , which is consistent with Fig. 8. Fig. 19 shows the back-EMFs of the machines at  $n=400$ rpm (the winding turns per phase  $N_{ph}$  are 4). It shows that the fundamental back-EMF is largely improved by 98% when  $npp$  increases from 1 to 3. By further increasing  $npp$ , the fundamental back-EMF still slightly increases because of the increased split ratio (see TABLE IV). Fig. 20 shows the rated torques of the machines when the copper loss  $p_{cu}=20$ W.

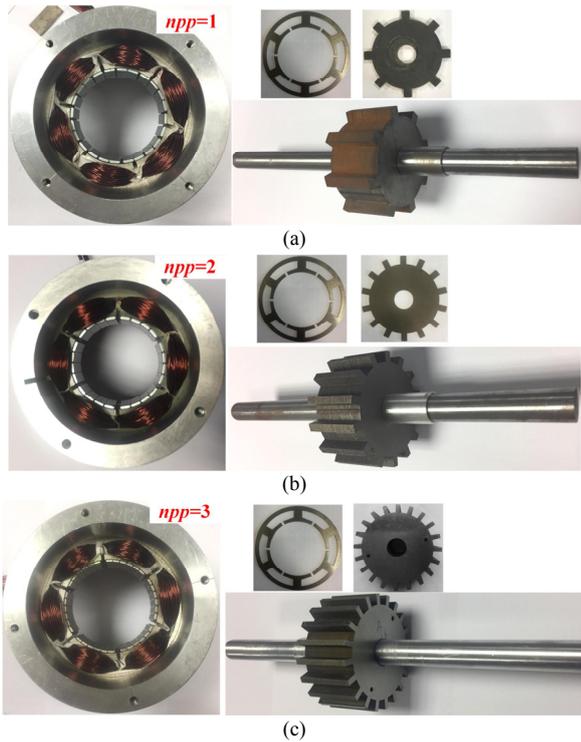


Fig. 21. Prototype machines ( $N_s=6$ ). (a)  $npp=1$ . (b)  $npp=2$ . (c)  $npp=3$ .

As can be seen, the average torque firstly increases with  $npp$ , and can be improved by 76% when  $npp$  changes from 1 to 3. Meanwhile, the torque ripple reduces from 41% to 8%, thanks to the reduced cogging torque. When  $npp$  is further increased from 3, the average torque starts to decrease. Therefore, the optimal  $npp$  of 3 for  $N_s=6$  is verified.

## VI. EXPERIMENTAL VALIDATION

To further verify the conclusions aforementioned, 6-slot-stator prototype machines with different  $npp$  are manufactured, and their parameters are listed in TABLE IV. Since the machine overall diameter is relatively small ( $D=90\text{mm}$ ), only three prototypes ( $npp=1, 2$  and  $3$ ) are made to ease manufacturing and assembling of PMs and salient rotor. For simplicity, three machines share the same stator lamination, and for  $npp=1, 2$ , four PM pieces are mounted on each stator tooth. However, for  $npp=1$ , the polarities of four PM pieces are arranged as N-N-S-S, while that are arranged as N-S-N-S for  $npp=2$ , as shown in Fig. 21 (a), (b). The number of turns per coil is 115 for all the machines. In addition, for  $npp=1$ , the rotor pole number  $N_r$  is 8, as shown in Fig. 21 (a); for  $npp=2$ ,  $N_r=14$ , as shown in Fig. 21 (b); for  $npp=3$ ,  $N_r=20$ , as shown in Fig. 21(c).

Fig. 22 (a) shows the measured and FE-predicted back-EMF waveforms of the machines when  $n=400\text{rpm}$ , while their harmonic spectra are shown in Fig. 22(b). As can be seen, good agreement is achieved between the results especially for small  $npp$ , and the relatively large difference between measured and FE-predicted results for  $npp=3$  (with the magnitude difference of fundamental back-EMFs being 14%) is attributed to the end-effect and manufacturing tolerance since the numbers of

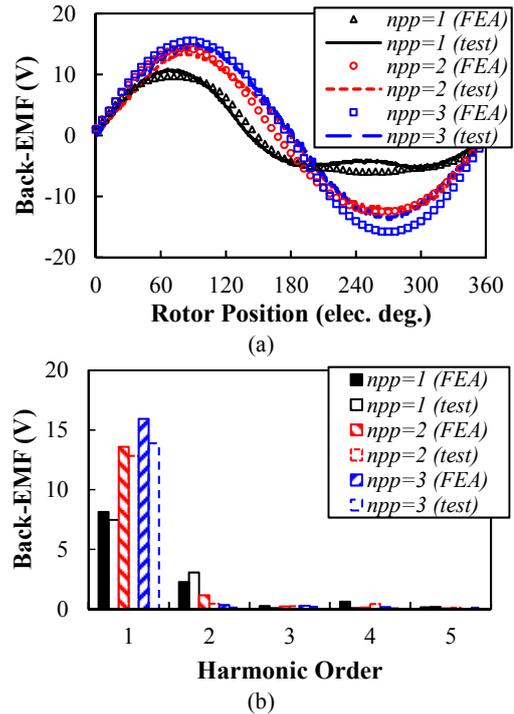


Fig. 22. Measured and FE-predicted back-EMFs ( $n=400\text{rpm}$ ). (a) Waveforms. (b) Harmonic spectra.

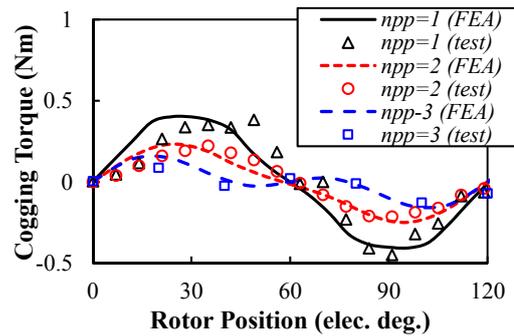


Fig. 23. Measured and FE-predicted cogging torques.

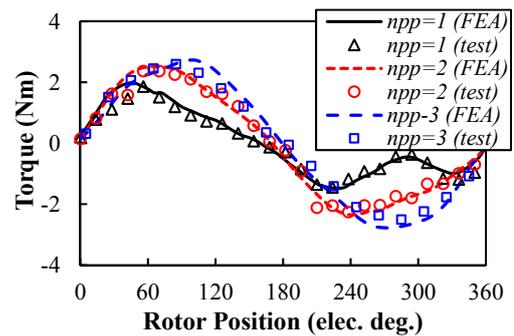


Fig. 24. Measured and FE-predicted static torques ( $I_a=-2I_b=-2I_c$ ).

PM pieces and rotor pole are high. In addition, for  $npp=1$ , the back-EMF waveforms are asymmetric due to the large even harmonics, and  $npp=3$  has the maximum measured fundamental back-EMF (improved by 82% compared to  $npp=1$ ).

By using the simple cogging torque measurement method introduced in [25], Fig. 23 shows the measured and

FE-predicted cogging torques of the machines. Due to the high rotor pole number and corresponding limited torque measurement point, only cogging torque waveforms are given. However, it can be clearly seen that the fundamental periods of the cogging torque of the machines are same but the peak to peak value decreases with  $npp$ , which is consistent with the previous conclusions.

The variation of static torque with rotor position is measured by supplying three-phase windings with fixed dc current ( $I_a = -2I_b = -2I_c = I_{dc} = I_{rated}$ , and the rated current  $I_{rated}$  is corresponded to  $p_{cu} = 20W$ ) [22]. Fig. 24 shows the measured and FE-predicted static torques of the machines. As can be seen, the measured static torque waveforms match well with the FE-predicted waveforms. With rated current injected, the maximum measured torque of  $npp = 3$  is the largest, which is 40% larger than that of  $npp = 1$ .

## VII. CONCLUSION

In this paper, the influence of number of PM pieces on the electromagnetic performance of FRPM machines is analyzed, from which the optimal number of PM pieces is identified. The analytical expressions of machine performance are firstly derived, revealing that there exists an optimal  $npp$  value to maximize the output torque. When  $N_s = 6$ , compared with the conventional machine with  $npp$  being 1, the machine with the optimal  $npp$  of 3 has 82% higher back-EMF. It is revealed that the improved performance is mainly because of the additional contribution by *Auxiliary* PM MMF. Besides, the influence and design guidance of some key design parameters including stator slot opening ratio, split ratio and stator slot number are analyzed. Results show that the FRPM machines with optimal number of PM pieces exhibits advantage especially for small stator slot numbers. In addition, both FEA and experimental results are used to verify the analytical findings.

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