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1        **Water footprint of the energy sector in China's two megalopolises**

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18 **Abstract:** Using a consumption-based Multi-Regional Input-Output (MRIO) model, we  
19 investigate the distinctive characteristics, self-efficiency or external dependency, of  
20 energy demand's water footprint in China's two biggest and fastest developing  
21 megalopolises. We find that energy demand water footprint in the Jing-Jin-Ji and the  
22 Yangtze Delta amounted to 2.41 and 9.59 billion m<sup>3</sup> of water withdrawal respectively  
23 in 2010, of which 848.06 and 973.91 million m<sup>3</sup> was consumed. Among all energy  
24 products, electricity contributed the largest share to the energy sector's water  
25 footprint in both regions. The sectoral distribution of water footprint in the upstream  
26 supply chain differed by region. Most significantly, the agricultural sector accounted  
27 for more than 30% of water consumption footprint. In addition to water used locally,  
28 final energy demands in these two regions induced external water footprint beyond  
29 their administrative boundaries. The Jing-Jin-Ji region's energy sector had a smaller  
30 water footprint compared to the water-abundant Yangtze Delta region. However,  
31 external water footprint occupied a larger proportion in the former. Such divergence  
32 can be attributed to the distinctive water endowments and water-using technologies  
33 utilized in their respective energy sectors. Bespoke urban governance and policies  
34 tailored to local resource and technology portfolios are recommended for different  
35 urban agglomeration energy and water flows.

36 **Key words:** Water-for-energy nexus; Urban; Jing-Jin-Ji; Yangtze Delta; Water footprint

37

## 38 **1. Introduction**

39 Modern energy provision is the cornerstone for development and prosperity of human

40 society. Access to affordable and clean energy is included as one of the 17 Sustainable  
41 Development Goals (SDGs) (*United Nations, 2015*). With economic and population  
42 growth, there is historically high and increasing demand for different types of energy  
43 supply (*International Energy Agency, 2016*), especially in rapidly developing countries  
44 or regions. Energy provision is closely interlinked with the water sector (*Marsh, 2008*).  
45 On the one hand, energy is used to supply water, in processes like desalination and  
46 pumping; on the other hand, water is an indispensable input into virtually every stage  
47 of energy production, from coal mining and oil refining to, predominantly, cooling  
48 water used in electricity generation (*Meldrum et al., 2013*). Such interconnectedness,  
49 the so-called ‘water-energy nexus’, has gained growing appreciation during the last  
50 two decades. In this study, we focus on the water use for energy provisions, which is  
51 often referred to as the ‘water-for-energy nexus’. Water has been recognized as a  
52 limiting factor for wide economic and societal development (*World Economic Forum,*  
53 *2016*). Decreasing water availability or increasing water temperature has already  
54 caused power curtailments in many parts of the world, for example France in 2003,  
55 Mississippi River in 2006, and Southeast U.S. in 2007.

56 Global urbanization has increasingly caused concerns regarding the above mentioned  
57 potential for water-energy conflicts (*Wang and Chen, 2016; Fang and Chen, 2017*). The  
58 formation of megalopolises, which are defined as regions of adjacent heavily  
59 populated metropolitan cities, facilitates and symbolizes the processes of urbanization  
60 (*Briggs, 2015*). China’s two biggest megalopolises, the so-called Jing-Jin-Ji (the Beijing-  
61 Tianjin-Hebei national capital region in the north of China), and the Yangtze Delta

62 (which includes Shanghai, Jiangsu and Zhejiang in the east) (Figure 1) are excellent  
63 examples.

64 Looking at direct water use by the energy sector in China from a production  
65 perspective, both megalopolises have been recognized as vulnerable to water-related  
66 risks or related policy violations (*Qin et al., 2015; Liao et al., 2016*). However,  
67 urbanization typically depends on materials from elsewhere as upstream inputs to  
68 produce goods for final consumption by their own populations, often referred to as  
69 external resource footprints (*Hubacek et al., 2009*), and this principle also applies to  
70 the water-for-energy nexus. Thus, studies from a consumption perspective using the  
71 concept of water footprint may better reveal the true dependence, impacts, and  
72 vulnerabilities to water supplies on urban populations from both within and beyond  
73 geographical boundaries. According to Bai (2016), different urban ecosystems may  
74 exhibit different characteristics in terms of their dependence on external resources i.e.  
75 self-sufficiency or external dependency, which correspond to the energy sectors'  
76 internal and external water footprints. Multi-Regional Input-Output (MRIO) models  
77 have been widely utilized to reveal such patterns. For instance, Duan and Chen (2017)  
78 investigated water use per unit of international energy trade of China; Liao et al. (2018)  
79 analyzed virtual water transfers through China's electric power sector. Also with MRIO  
80 models, much scholarly work has been done on the Jing-Jin-Ji region due to their dire  
81 water scarcity. For example, Zhao et al. (2017) and Zhang et al. (2016) investigated the  
82 region's water footprint and energy flows respectively. Wang and Chen (2016)  
83 inventoried the water-related energy and energy-related water in this region. Fang and

84 Chen (2017) furthered the analysis with a special focus on the capital city Beijing.  
85 However, there are more than one urban agglomerations rising fast in China and they  
86 embrace different patterns of resource utilizations due to natural endowments and  
87 technological differences. Comparative analysis adds new perspectives to the research  
88 questions, sheds light on different potential real-world problems and offers alternative  
89 pathways for development. For example, Haas and Ban (2014) used satellite data to  
90 study urbanization's impacts on ecosystem in China's three largest and most important  
91 urban agglomerations: Jing-Jin-Ji, the Yangtze Delta and the Pearl River Delta. Due to  
92 MRIO data unavailability in the Pearl River Delta, this study focuses on the Jing-Jin-Ji  
93 and Yangtze Delta urban agglomerations and quantifies their energy sector's water  
94 footprint. It then discusses the relationship between their water-for-energy nexus  
95 patterns i.e. self-sufficiency and external dependency, and their own distinctive  
96 growth pathways and resource endowments.

97 Two types of water use have been investigated in the existing literature, water  
98 withdrawal and water consumption. Water withdrawn from the environment but not  
99 discharged back to any water bodies is defined as water consumption, in which water  
100 may be either transformed into final products or evaporated during production  
101 processes (*AQUASTAT, 1998*). A large amount of water withdrawal typifies the energy  
102 sectors' heavy dependence on water supplies, while a large amount of water  
103 consumption means the energy sector has to compete with other sectors for limited  
104 water supply. Here, we consider both water withdrawal and water consumption in our  
105 study.

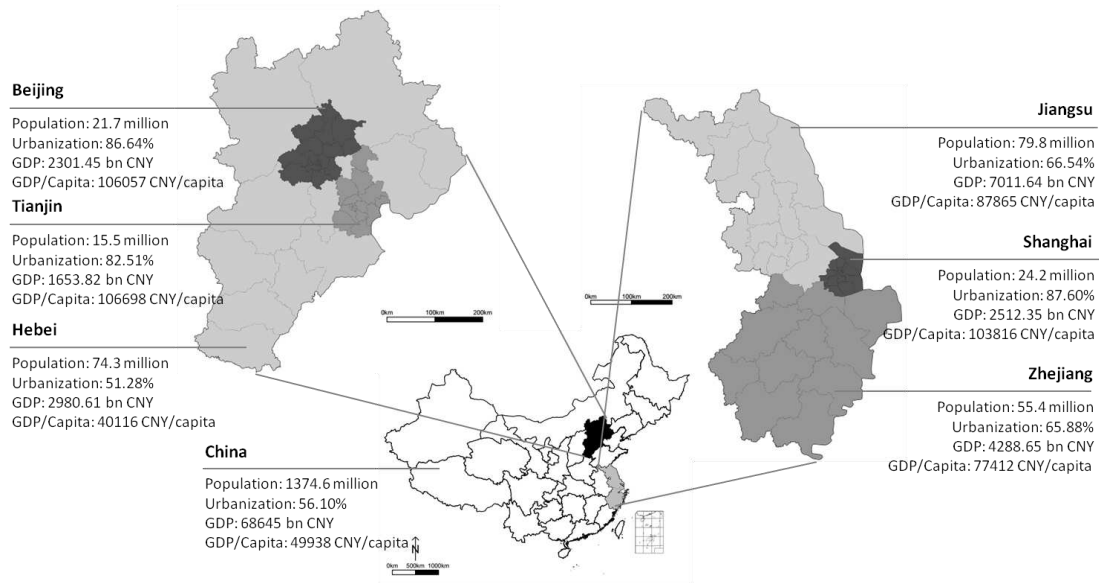
106 Our article is organized as follows: Section 2 describes the models and data used in  
107 this study; Section 3 illustrates our findings from three different aspects: the quantity  
108 of final energy demand water footprints in the two megalopolises in 2010, the sectoral  
109 distribution of the upstream water footprints, and the geographical origins of the  
110 above-quantified water footprints i.e. internally or externally to the megalopolis;  
111 finally Section 4 concludes and discusses the social, economic and policy implications  
112 of our study.

## 113 **2. Models and data**

### 114 **2.1 Description of the study areas**

115 These two study regions, Jing-jin-ji and the Yangtze Delta, are home to 111 and 158  
116 million people respectively, of which the urban population represents 62.5% and 69.8%  
117 of the total population. In 2016, these two regions generated over 10% and 20% of  
118 national GDP (*National Bureau of Statistics, 2016*). The Jing-Jin-Ji region comprises the  
119 capital city Beijing, another municipality direct under the central government, Tianjin,  
120 and province Hebei. The Yangtze Delta region is located at the river mouth of the  
121 mighty Yangtze River and includes China's financial center Shanghai and two provinces  
122 Jiangsu and Zhejiang. Beijing, Shanghai and Tianjian are all megacities with over 10  
123 million inhabitants. Besides, the capital city of Jiangsu and Zhejiang, Nanjing and  
124 Hangzhou respectively, both have over 5 million inhabitants (*China City Development*  
125 *Statistics 2016*).

126



127

128 **Figure 1.** Geographical locations of Jing-Jin-Ji (left) and the Yangtze Delta megalopolises

129

130 From 2006 to 2015, the total energy consumption of these regions increased markedly

131 by 162 and 253 million tons of Standard Coal Equivalent (SCE) respectively, reaching

132 535 and 760 million tons SCE against a total national demand of 8598 million tons SCE.

133 Such huge energy demand requires significant amounts of water input throughout the

134 energy provision life cycle. However, the water endowment for these regions varies

135 significantly. For the Jing-Jin-Ji megalopolis, low precipitation and dense population

136 results in freshwater availability being as low as 125 m<sup>3</sup> per capita (*National Bureau of*

137 *Statistics, 2016*). It is thus facing extreme water scarcity (<500 m<sup>3</sup>) according to the

138 Falkenmark Indicator (Falkenmark et al., 1989). The highly unbalanced energy demand

139 and water availability therefore places the region at high risk from a power supply

140 security perspective (*Sadoff et al., 2015*). For the Yangtze Delta megalopolis, Jiangsu

141 withdraws the largest amount of water for power production amongst all of China's

142 30 provinces (*Liao et al., 2016*), and the regions' power industry relies heavily on its



143 fresh water supplies. Here, increase in water temperature in the Yangtze River under  
 144 future climate change scenarios has been identified as carrying potential risk to  
 145 security of power supply (*Sun et al., 2014*).

## 146 **2.2 Models**

### 147 **Multi-Regional Input-Output (MRIO) model**

148 To study the material flows between different economic regions and sectors we first  
 149 introduce a Multi-Regional Input-Output (MRIO) model (Feng et al. 2011; Zhao et al.,  
 150 2015). In an MRIO model, the total output  $Y^r$  for region  $r$  (in vector form) is given by  
 151 summing over its intermediate and final consumption, as in Eq. (1) below:

$$152 \quad Y^r = X^{rr} + \sum_{s \neq r} X^{rs} + f^{rr} + \sum_{s \neq r} f^{rs} \quad (1)$$

153 where  $X^{rr}$  is the domestic intermediate consumption and  $\sum_{s \neq r} X^{rs}$  is the sum of  
 154 external intermediate consumption from different regions, taking region  $s$  for example  
 155 here (export from region  $r$  to  $s$ ).  $f^{rr}$  is the domestic final consumption, and  $\sum_{s \neq r} f^{rs}$   
 156 is the sum of external final consumption from region  $s$ .

157 We assume that all bilateral trades between regions are directed towards final  
 158 consumption (*Peters and Hertwich, 2008*). In doing so, we combine  $\sum_{s \neq r} f^{rs}$  and  
 159  $\sum_{s \neq r} X^{rs}$  in Eq. (1) as  $\sum_{s \neq r} e^{rs}$ , which represents the external final consumption. Eq.  
 160 (1) can thus be expressed as below:

$$161 \quad Y^r = X^{rr} + f^{rr} + \sum_{s \neq r} e^{rs} \quad (2)$$

163 Then, according to the input-output model, we introduce the technical coefficient  $A$ ,  
 164 which equals to  $X/Y$  to represent the intermediate inputs of each sector per unit of

165 their output. Therefore, Eq. (2) can be solved as follows:

$$166 \quad \mathbf{Y}^r = (\mathbf{I} - \mathbf{A}^{rr})^{-1}(\mathbf{f}^{rr} + \sum_{r \neq s} \mathbf{e}^{rs})$$

167 (3)

168 where  $(\mathbf{I} - \mathbf{A}^{rr})^{-1}$  is a Leontief inverse matrix and  $\mathbf{I}$  is the unit matrix. Eq. (3) can be

169 expanded to show the economic interrelationship among multiple regions,  $\mathbf{p}$  for

170 example here, and the matrix form of the MRIO for  $\mathbf{p}$  regions is shown below:

$$171 \quad \begin{bmatrix} \mathbf{Y}^1 \\ \vdots \\ \mathbf{Y}^r \\ \vdots \\ \mathbf{Y}^p \end{bmatrix} = \begin{bmatrix} \mathbf{A}^{11} & \dots & \mathbf{0} & \dots & \mathbf{0} \\ \vdots & \ddots & \vdots & \dots & \vdots \\ \mathbf{0} & \dots & \mathbf{A}^{rr} & \dots & \mathbf{0} \\ \vdots & \dots & \vdots & \ddots & \vdots \\ \mathbf{0} & \dots & \mathbf{0} & \dots & \mathbf{A}^{pp} \end{bmatrix} \begin{bmatrix} \mathbf{Y}^1 \\ \vdots \\ \mathbf{Y}^r \\ \vdots \\ \mathbf{Y}^p \end{bmatrix} + \begin{bmatrix} \mathbf{f}^{11} + \sum_{s \neq 1} \mathbf{e}^{1s} \\ \vdots \\ \mathbf{f}^{rr} + \sum_{s \neq r} \mathbf{e}^{rs} \\ \vdots \\ \mathbf{f}^{pp} + \sum_{s \neq p} \mathbf{e}^{ps} \end{bmatrix}$$

172 (4)

#### 172 Environmental Extended MRIO model

173 To study the water footprint of the final consumption for all sectors in region  $r$ , we

174 incorporate water inputs to different economic sectors in different regions in the MRIO

175 model and construct an Environmental Extended MRIO model. First we need to

176 calculate the Leontief multipliers of regional water use  $\mathbf{L}^r$ , which are calculated as

177 follows:

$$178 \quad \mathbf{L}^r = \mathbf{d}^r (\mathbf{I} - \mathbf{A}^{rr})^{-1}$$

179 (5)

179 where  $\mathbf{L}^r$  represents the vector of total water footprint, including direct water inputs

180 to the energy sector as well as indirect water inputs throughout the upstream supply

181 chain, to produce a unit of final consumption in region  $r$ .  $\mathbf{d}^r = \mathbf{w}^r / \mathbf{Y}^r$  is the vector

182 of direct water use intensity of region  $r$  that represents the direct water inputs, either

183 withdrawal or consumption, per unit of output in each sector.  $\mathbf{w}^r$  is the vector of

184 water inputs in each sector of region  $r$ .

185 The total water inputs in region  $r$ ,  $\mathbf{wi}^r$ , can be expressed with the Leontief multipliers

186 of regional water use  $L^r$ :

187 
$$wi^r = L^r (f^{rr} + \sum_{r \neq s} e^{rs})$$

188 (6)

189  $wi^r$  can be divided into water inputs to support local consumption,  $L^r f^{rr}$ , and water  
190 inputs to support final consumption in other regions.

191 A region  $r$ 's total water footprint  $wf^r$  can be expressed as:

192 
$$wf^r = iwf^r + ewf^r$$

193 (7)

194 
$$iwf^r = L^r f^{rr}$$

195 (8)

196 
$$ewf^r = \sum_{r \neq s} L^s e^{sr}$$

197 where  $iwf^r$  and  $ewf^r$  are region  $r$ 's internal water footprint and external water  
198 footprint respectively, each representing region  $r$ 's self-sufficiency and external-  
199 dependency of water inputs.

200 Finally, internal and external water footprints of sector  $j$  in region  $r$  can be calculated

201 by Eq. (10) and (11):

202 
$$iwf_j^r = L^r (f_{jj}^{rr} + \sum_{j \neq k} i_{kj}^{rr})$$

203 (10)

204 
$$ewf_j^r = \sum_{r \neq s} L^s e_j^{sr}$$

205 (11)

205 where  $iwf_j^r$  and  $ewf_j^r$  represent region  $r$ 's sector  $j$ 's internal and external water  
206 footprints respectively;  $i_{kj}^{rr}$  is sector  $k$ 's intermediate inputs for final demand in  
207 sector  $j$  ( $k \neq j$ ) in region  $r$ , and  $e_j^{sr}$  is all sectors in other regions' inputs to fulfill

208 final demands in region  $r$ 's sector  $j$ .

### 209 **2.3 Data and treatment**

210 The MRIO table and corresponding sectoral water use data were needed to carry out  
211 this analysis. China's MRIO table for 2010 was obtained from Liu et al. (2014). The  
212 MRIO table contains 30 industrial sectors, among which five are energy-related i.e.  
213 coal mining, oil and gas extraction, oil refining and coking, power and heat provision,  
214 and gas and water provision. We did not disaggregate gas and water provision for two  
215 reasons: (1) water use in this sector is relatively small compared to other energy  
216 related sectors, and (2) data at a higher resolution was not available.

217 Water withdrawal data of other primary and tertiary sectors were taken from the  
218 Water Resource Bulletin in different Provinces (*Provincial Water Resources Bureau*  
219 (*PWRB*), 2007). It should be noted that in the Chinese Water Resources Bulletin, water  
220 withdrawal in service sectors is represented as an aggregate together with domestic  
221 water use. About 50% of national urban domestic water use was for water use in  
222 service sectors. Except the power sector, the water withdrawal data of secondary  
223 industry in different Provinces was taken from the China Economic Census Yearbook  
224 2008 (*The State Council Leading Group Office of Second China Economic Census, 2008*)  
225 and then extrapolated to 2010. Water withdrawal data in each sector was then  
226 converted to water consumption by multiplying the corresponding sectoral water  
227 consumption coefficient, the share water consumption occupies in the water  
228 withdrawn, which was taken from different provincial Water Resource Bulletins (*PWRB*,  
229 2007).

230 Regarding the power sector in the MRIO tables, it includes Thermoelectric Power,  
231 Hydropower, Renewables, and Heat production. According to Zhang and Anadon  
232 (2013), water use for heat production was negligible compared to that of power  
233 production; hence we did not attempt to disaggregate Power and Heat Production. In  
234 terms of direct physical water input to China's power production, and in-keeping with  
235 Zhang and Anadon (2013), we only used data for thermoelectric power generation's  
236 direct physical water use to represent the whole sector for the following reasons: (1)  
237 Thermoelectric power contributes about 80% of China's power generation (*National*  
238 *Bureau of Statistics of China, 2016*), and only its water use is reported in the  
239 abovementioned sources; (2) whilst Hydropower contributes less than 20% of China's  
240 power production (*National Bureau of Statistics of China, 2016*), there are  
241 methodological debates concerning attribution of multi-purpose reservoir water  
242 consumption, usually evaporation, to different purposes e.g. power production  
243 (*Bakken et al., 2016*); (3) on-site water use of other renewable energy sources e.g.  
244 solar PV and wind is negligible (*Meldrum et al., 2013*). Last but not least, we only  
245 include freshwater use in this study. To calculate the power sector's water withdrawal  
246 and consumption in the two megalopolises, we recap on the data and methods used  
247 in Liao et al. (2016) and multiply the regions' electricity production (MWh) and water  
248 use intensity ( $\text{m}^3/\text{MWh}$ ), measured as water use per unit of electricity produced, for  
249 both water withdrawal and consumption.

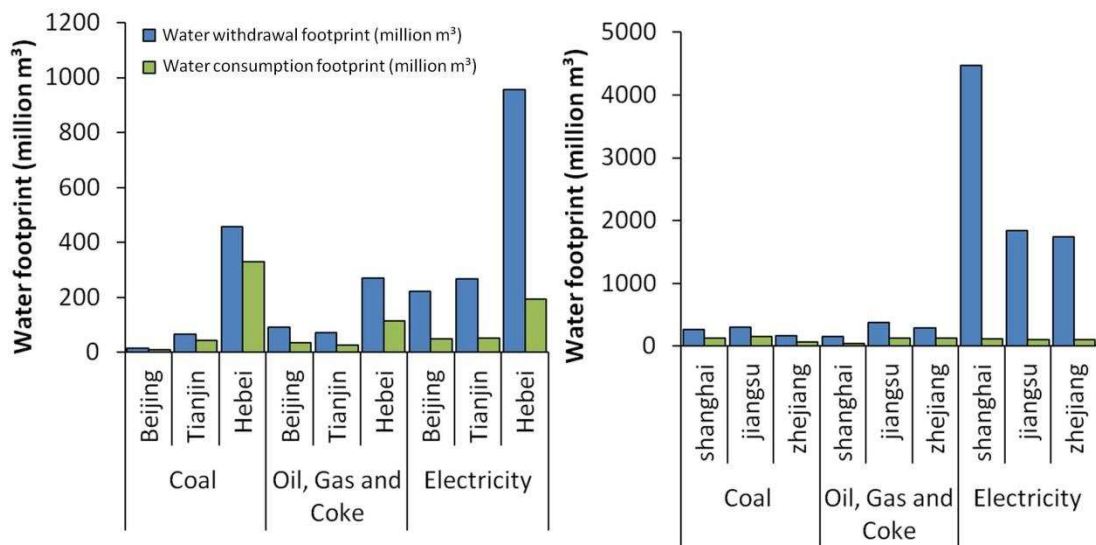
### 250 **3. Results**

#### 251 **3.1 Final energy demand water footprint in the Jing-Jin-Ji and Yangtze Delta**

252 **megalopolises**

253 We calculated that the Jing-Jin-Ji and Yangtze Delta megalopolises final energy demand  
 254 had water withdrawal footprints of 2.41 and 9.59 billion m<sup>3</sup> respectively. It is worth  
 255 noting that due to the particularly high water withdrawal intensity, final demand of  
 256 electricity contributed the largest component of the energy sector’s water withdrawal  
 257 footprint. The water withdrawal footprint of final electricity demand in the Jing-Jin-Ji  
 258 and Yangtze Delta region accounted for 59.9% and 83.9% of the energy sector’s total  
 259 water withdrawal footprint, respectively, in 2010.

260



261

262 **Figure 2.** Final energy demand water footprints in Jing-Jin-Ji (left) and the Yangtze Delta megalopolises

263

264 Water consumption footprint was 848.06 and 973.91 million m<sup>3</sup> in Jing-Jin-Ji and  
 265 Yangtze Delta region respectively. The huge discrepancy between water withdrawal  
 266 and consumption is mainly attributed to the electricity power generation sector. Take  
 267 the Jing-Jin-Ji region for example, only 4% of water withdrawn by its power sector was

268 consumed, while the figure for Coal Mining and Dressing reached 47.1%. Water  
269 withdrawal and consumption for electricity production are primarily determined by  
270 power generation infrastructure cooling technology configurations. The water-  
271 stressed Jing-Jin-Ji megalopolis predominantly deploys closed-loop cooling systems  
272 (Liao et al., 2016) which use recirculated water for cooling and thus have high water  
273 consumption due to evaporative loss but low water withdrawals. In contrast, open-  
274 loop cooling systems that require significantly larger water withdrawals are mostly  
275 used in the water-abundant east, home to the Yangtze Delta megalopolis.

### 276 **3.2 Sectoral distribution of final energy demand life-cycle water uses**

277 In order to produce the energy products for final demand, water is required in the  
278 upstream supply chain of the energy sector throughout the whole economy. As shown  
279 in Table 1, direct water withdrawal by the energy sector made up 78.6% for the Jing-  
280 Jin-Ji region's energy demand water withdrawal footprint, lower than the national  
281 average of 87.3% (*Zhang and Anadon, 2013*), meaning that energy consumption in the  
282 Jing-Jin-Ji region requires bigger proportions of water input from upstream supply  
283 chains. In contrast, the figure of 92.5% for the Yangtze Delta region was slightly above  
284 the national standard indicating less reliance on the upstream supply chain. Regarding  
285 water consumption, energy sector's direct water consumption occupied much smaller  
286 proportions in the energy sector's total water consumption footprints, 57.3% in Jing-  
287 Jin-Ji and 55.4% in the Yangtze Delta.

288

289 **Table 1.** Sectoral distribution of life-cycle water uses for final energy consumption in the Jing-Jin-Ji and  
290 Yangtze Delta megalopolises (million m<sup>3</sup>)

Sector	Water Withdrawal			Water Consumption		
	Beijing	Tianjin	Hebei	Beijing	Tianjin	Hebei
Energy Sectors	275.13	338.12	1282.20	60.41	76.65	348.71
Agriculture	32.00	46.86	302.61	21.67	33.93	231.71
Metal and Nonmetal Mining	1.20	1.25	8.57	0.51	0.74	5.72
Manufacturing	8.79	10.75	55.32	3.60	5.22	32.20
Construction	0.20	0.25	1.83	0.08	0.14	1.21
Services	9.04	6.44	30.35	4.26	3.70	17.57
Total water footprint	326.36	403.67	1680.88	90.53	120.38	637.12

Sector	Water Withdrawal			Water Consumption		
	Shanghai	Jiangsu	Zhejiang	Shanghai	Jiangsu	Zhejiang
Energy Sectors	4664.10	2232.48	1979.28	154.07	208.00	177.08
Agriculture	144.90	194.35	136.87	97.98	141.08	96.13
Metal and Nonmetal Mining	3.14	4.52	2.99	1.40	2.20	1.32
Manufacturing	49.88	52.91	43.77	16.59	18.59	14.42
Construction	1.83	1.15	0.75	0.54	0.48	0.27
Services	31.91	26.07	21.28	18.34	14.13	11.28
Total water footprint	4895.76	2511.48	2184.94	288.92	384.48	300.50

291

292 Among the non-energy upstream sectors, Agriculture accounted for the biggest  
293 proportions of water withdrawal footprints, 15.8% in the Jing-Jin-Ji and 5.0% in the  
294 Yangtze Delta, and was followed by Manufacturing, Services, Mining and Construction. .  
295 In terms of water consumption, the Agriculture sector played an even more significant  
296 role making up more than 30% of the energy sector's water consumption footprint in  
297 all six provinces, which is in line with the national situation and can be explained by  
298 the high water intensity of agricultural products (*Zhang and Anadon, 2013*).

### 299 **3.3 External water footprint of energy demand in the megalopolises**

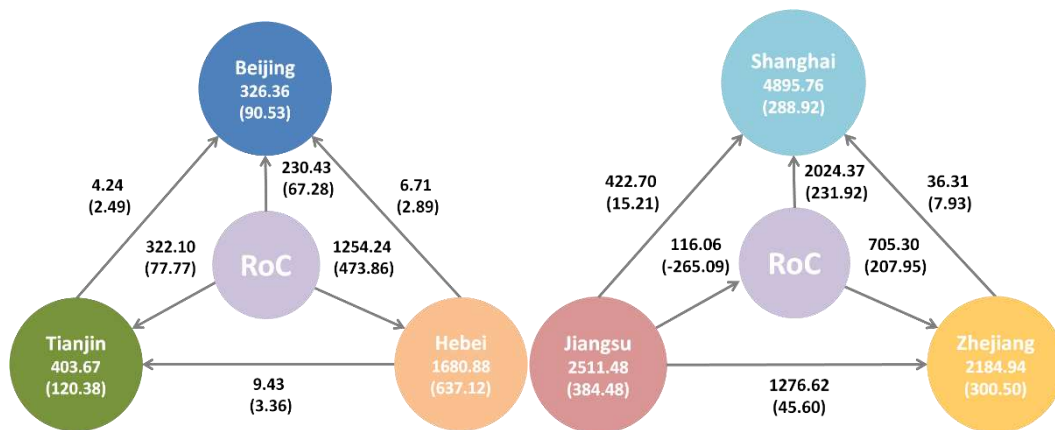
300 In order to support energy demand in the megalopolises water beyond the regional  
301 boundaries is needed to produce either the energy products or their upstream supply  
302 chains, which is often referred to as the external water footprint. If a region's water  
303 footprint of its energy sector is largely induced externally, it is able to meet its final



304 energy demand while conserving local water resources, however its energy demand  
 305 depends and impacts negatively on water resources elsewhere.

306 As shown in Fig 3, both study regions depend heavily on the rest of China (RoC) for  
 307 water supplies, particularly water withdrawal, to produce energy products for their  
 308 own consumption. The external water withdrawal footprint of final energy demand in  
 309 the Jing-Jin-Ji and Yangtze Delta regions amounted to 1.81 and 2.61 billion m<sup>3</sup>  
 310 respectively in 2010. Within the Jing-Jin-Ji region, energy demand in Beijing required  
 311 6.71 (2.89) and 4.24 (2.49) million m<sup>3</sup> of water withdrawal (consumption) footprint in  
 312 Hebei and Tianjin, respectively.

313



314

315 **Figure 3.** Energy demand life-cycle water withdrawal (water consumption values are in the parenthesis)  
 316 in the Jing-Jin-Ji and Yangtze Delta megalopolises, and corresponding virtual water transfers (along the  
 317 arrows) (million m<sup>3</sup>)

318 Note: the amount and geographical origins of the external water footprints are indicated by the arrows

319

320 In terms of the Yangtze Delta region, 1.28, 0.42 and 0.12 billion m<sup>3</sup> of water was  
 321 withdrawn in Jiangsu to meet the energy consumption of Zhejiang, Shanghai and the  
 322 RoC, respectively. Final energy demand in Shanghai depended heavily on external  
 323 water supplies by requiring 2.38 billion m<sup>3</sup> of water withdrawal and 255 million m<sup>3</sup>

324 of water consumption from outside the city.

325 With the exception of Jiangsu, whose energy demand was almost satisfied by water

326 from internal sources, the other five provinces in the two megalopolises relied heavily

327 on external water supplies to meet their final energy demands. For example, almost

328 90% of Zhejiang's energy demand water footprint was induced elsewhere, amongst

329 which 36% was from outside the Yangtze Delta region and 64% was from within, i.e.

330 Jiangsu. Although Shanghai's energy demands required a large amount of water

331 footprint, its dependence on external water resources was lower at 50.7%. In contrast,

332 although the Jing-Jin-Ji's energy demands have a smaller overall water footprint, a

333 significantly larger proportion (around 80%) was induced from outside of the region.

334 A summary of the Jing-Jin-Ji and the Yangtze Delta megalopolises energy sector and

335 water footprint characteristics, including their sectoral distributions and geographical

336 origins, is shown in Table 2.

337

338 **Table 2:** Summary of the Jing-Jin-Ji and Yangtze Delta megalopolises water-for-energy nexus and  
 339 dependence on external water resources

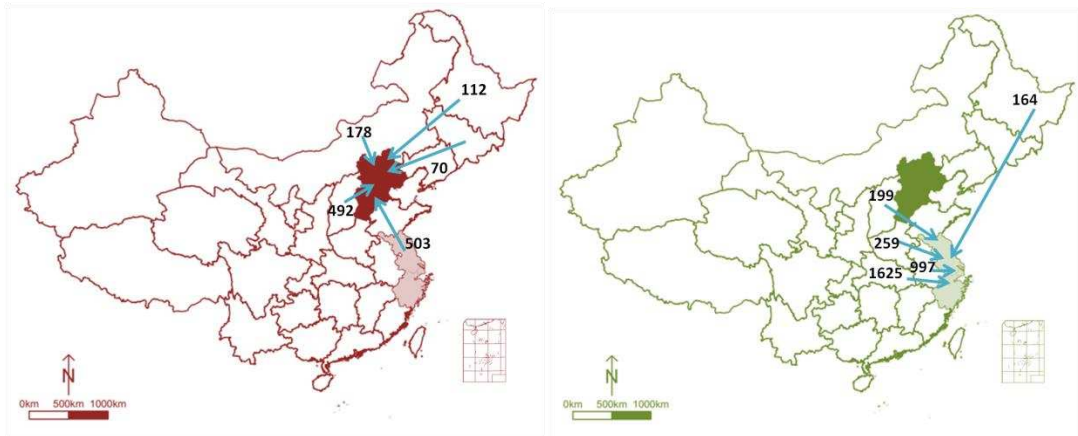
	Jing-Jin-Ji	Yangtze Delta
Population (million)	111	158
Percentage of urban population (%)	62.5	69.8
Energy consumption, 2015 (SCE, million tons)	535	760
Water availability per person (m <sup>3</sup> )	156.78	1288.95
<b>Water withdrawal footprint of final energy demands</b>		
Total water footprint (billion m <sup>3</sup> )	2.41	9.59
External water footprint (billion m <sup>3</sup> )	1.81	2.61
Dependence on external water resources (%)	75.1	27.2
Percentage of energy sector's direct water use	79.03	92.53
<b>Water consumption footprint of final energy demands</b>		
Total water footprint (million m <sup>3</sup> )	848.06	973.91

External water footprint (million m <sup>3</sup> )	618.91	174.80
Dependence on external water resources (%)	73.0	17.9
Percentage of energy sector's direct water use	57.28	55.36

340

341 Taking the water withdrawal footprint as an example, figure 4 further illustrates the  
 342 top 5 geographical origins of the Jing-Jin-Ji and Yangtze Delta megalopolises energy  
 343 sector external water footprints. Both megalopolises outsourced their water footprint,  
 344 primarily from neighboring provinces.

345



346

347 **Figure 4.** Top 5 geographical origins and amounts of the Jing-Jin-Ji (left) and Yangtze Delta  
 348 megalopolises energy demand external water withdrawal footprints (million m<sup>3</sup>)

349

350 Shanxi and Heilongjiang were primary origins for both megalopolises energy sector  
 351 external water footprints, which can be attributed to their substantial coal exports  
 352 (Shanxi) and crude oil exports (Heilongjiang). Shanxi is China's largest coal base and  
 353 Heilongjiang is home to China's largest oilfield, Daqing.

354 **4. Discussion and conclusion**

355 **Discussion**

356 The final energy demand and corresponding water footprint from a consumption  
357 perspective can be understood in two ways. First, these demands rely on local energy  
358 production and local water use. Both megalopolises have significantly higher energy  
359 consumption per capita than the national average. To meet their energy demands,  
360 energy production processes in both regions exacerbate local water scarcity issues,  
361 especially in the Jing-Jin-Ji. Since China issued its 'strategic integration and coordinated  
362 development plan' for the Jing-Jin-Ji region in 2015 (*Politburo of the Communist Party  
363 of China, 2015*) and its 'Yangtze Delta agglomeration development plan' in 2016  
364 (*National Development and Reform Commission, 2016*), local energy production will  
365 be increased in the future. Energy demand is therefore expected to continue growing  
366 in both regions, and their water scarcity is projected to worsen due to climate change,  
367 especially in Jing-Jin-Ji. In order to reduce the energy sectors reliance on water supplies  
368 and thus avoid potential future water-induced energy outages, other than outsourcing  
369 water use by enlarging external water footprints, diversifying the energy mix can also  
370 be effective. For example, due to higher conversion efficiency, Combined Cycle Gas  
371 Turbines (CCGT) requires less cooling water to dissipate residual heat compared to  
372 coal-fired power plants (*Byers et al., 2015*). Solar power and wind power also require  
373 negligible on-site water.

374 Moreover, apart from the energy sectors' direct water use occupying the dominant  
375 share, the agricultural sector is the largest when it comes to upstream water use. This  
376 underlines the importance of cross-sectoral planning in terms of sustainable  
377 development. Due to the intermittence issues of renewable energy supplies such as

378 solar and wind, bio-energy and hydropower are often regarded as viable alternatives  
379 to conventional fossil fuels (*Liu et al., 2016*). However, water use in the bioenergy  
380 upstream supply chain needs accounting for, and this requires the greatest input in  
381 terms of water footprint (*Meldrum et al., 2013*). Although hydropower is not included  
382 in this study, its water consumption in terms of evaporation from dammed water  
383 bodies is significant and needs closer examination.

384 With respect to the biggest water user among the five energy production sectors, the  
385 electric power sector, the Yangtze Delta mostly utilises open-loop cooling systems and  
386 is prone to capacity decreases brought about by potential climate change-induced  
387 water temperature increases (*van Vliet et al., 2016b*). Changing power plant cooling  
388 systems to closed-loop alternatives may help reduce such risks. In the Jing-Jin-Ji  
389 megalopolis, changing wet cooling systems to air cooling systems may significantly cut  
390 the sector's water use. However, trade-offs need to be made as power plants equipped  
391 with air cooling systems normally occupy more land and have lower efficiencies, hence  
392 higher coal consumption and greenhouse gas emission intensities (*Department of  
393 Energy, 2006*).

394 In addition to local water resources, energy consumption in the two megalopolises  
395 depend on, and also induce, water use in other regions as external water footprint.  
396 For example, about 75% of the Jing-Jin-Ji megalopolis water-for-energy nexus is  
397 sourced from beyond the region's boundary. While the Yangtze Delta region requires  
398 much more water for its final energy demand, a larger proportion is induced within  
399 the region, primarily from Jiangsu province. Utilizing external water and importing

400 water-intensive products is an effective way to alleviate water stress in water-scarce  
401 regions without compromising the final demand of various products, e.g. energy.  
402 However, it should be noted that as the exporting regions are often water-abundant  
403 and tend to adopt less water efficient technologies, trading activities of water-  
404 intensive products may increase water footprints on a national scale (*Feng and Chen,*  
405 *2016*). Overall, the findings in this research highlight that urbanization in different  
406 regions with different natural resource endowments and production technologies  
407 generate different impacts on the environment, both internally and externally. We  
408 recommend urban planning and development takes these geographical and  
409 technological differences as well as inter-sectoral and inter-regional relationships into  
410 account.

#### 411 **Conclusion**

412 Urbanization drives and is driven by energy consumption that relies on water supplies  
413 from within and outside the urban boundary. Using an Environmental Extended MRIO  
414 model, this study sheds light on the distinctive features of the energy sector water  
415 footprint in two of China's major urban megalopolises, the Jing-Jin-Ji and Yangtze Delta.  
416 The high population density and rapid urbanization typified by these regions drive  
417 large energy consumption, hence corresponding water footprint with either self-  
418 sufficiency or external-dependency. Our findings are of significance in terms of helping  
419 policy and decision makers to better harness policy instruments such as demand side  
420 management, cross-sectoral concerted efforts, and outsourcing resource burdens,  
421 while taking regional social, economic and environmental characteristics into account.

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