

Prevalence and Predictors of Sepsis Death in Patients With Chronic Heart Failure and Reduced Left Ventricular Ejection Fraction

Andrew M. N. Walker, MBChB, PhD;* Michael Drozd, MBChB;* Marlou Hall, PhD;* Peysh A. Patel, MBChB; Maria Paton, BSc; Judith Lowry, BSc; John Gierula, PhD; Rowenna Byrom, BSc; Lorraine Kearney, BSc; Robert J. Sapsford, MBChB, MD; Klaus K. Witte, MBChB, MD; Mark T. Kearney, MBChB, MD; Richard M. Cubbon, MBChB, PhD

Background—Noncardiovascular death is increasingly common in people with chronic heart failure (CHF), yet its causes remain poorly characterized. We aimed to define the prevalence of sepsis death in people with CHF and to ascertain its risk marker profile.

Methods and Results—We conducted a prospective cohort study of 1802 patients with CHF and left ventricular ejection fraction $\leq 45\%$ attending CHF clinics in 4 United Kingdom hospitals between 2006 and 2014. Mode of death was defined over a 10.3-year follow-up period (mean 4 years). Competing risk regression defined mode-specific hazard ratios for sepsis, other noncardiovascular, progressive heart failure, and sudden cardiac death in relation to established heart failure prognostic markers. Of 737 deaths, 173 (23.5%) were due to sepsis; respiratory tract infections accounted for 69.9% ($n=121$) of these events. Those who died from sepsis were older, had higher platelet counts, and had a higher prevalence of chronic obstructive pulmonary disease than those who died from other causes. Sepsis death was independently associated with older age (hazard ratio=1.05; 95% confidence interval 1.03-1.07), greater prevalence of chronic obstructive pulmonary disease (2.43; 1.74-3.40), male sex (1.73; 1.16-2.60), lower log serum vitamin D (0.68; 0.49-0.95), and higher platelet count (1.002; 1.000-1.005) than nonsepsis death. Established heart failure prognostic markers exhibited different patterns of association with sepsis death, other noncardiovascular death, progressive heart failure death, and sudden cardiac death.

Conclusions—Sepsis is a major contributor to death in people with CHF and has a different risk marker profile from other modes of death, suggesting that it may be amenable to targeted preventative strategies. (*J Am Heart Assoc.* 2018;7:e009684. DOI: 10.1161/JAHA.118.009684)

Key Words: heart failure • morbidity/mortality • sepsis

Advances in the management of cardiovascular disease have been associated with a progressive rise in the prevalence of chronic heart failure (CHF).¹ Similar advances in heart failure care have been associated with greater survival of people who develop heart failure.²⁻⁴ Importantly, the reduced mortality of people with heart failure has also been

associated with an increasing contribution of noncardiovascular modes of death.^{2,5,6} Sepsis has been identified as a contributor to noncardiovascular death^{6,7} and hospitalization^{8,9} in mixed heart-failure populations including people with preserved and reduced left ventricular ejection fraction (LVEF). However, which people are at greatest risk of sepsis death and whether a sepsis-specific risk marker profile exists remain uncertain. We aimed to define the prevalence of sepsis death in ambulant CHF patients with reduced LVEF, define risk markers for sepsis death, and then contrast the risk marker profile of sepsis death with those of other competing modes.

From the Leeds Institute of Cardiovascular and Metabolic Medicine, The University of Leeds, United Kingdom (A.M.N.W., M.D., M.H., P.A.P., M.P., J.L., J.G., R.B., L.K., K.K.W., M.T.K., R.M.C.); Department of Cardiology, Leeds General Infirmary, Leeds Teaching Hospitals NHS Trust, Leeds, United Kingdom (R.J.S.).

Accompanying Data S1, Tables S1 through S7, Figures S1, S2 are available at <https://www.ahajournals.org/doi/suppl/10.1161/JAHA.118.009684>

*Dr Walker, Dr Drozd, and Dr Hall are co-first authors.

Correspondence to: Dr Richard M. Cubbon, MBChB, PhD, Leeds Institute of Cardiovascular and Metabolic Medicine, The University of Leeds, Clarendon Way, Leeds LS2 9JT, United Kingdom. E-mail: r.cubbon@leeds.ac.uk

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Methods

Data Availability

The data, analytic methods, and study materials will not be made freely available to other researchers for purposes of reproducing the results or replicating the procedure because the complete study data set contains potentially identifying data; however, data will be made available by the

Clinical Perspective

What Is New?

- Sepsis accounts for almost one quarter of deaths in people with heart failure.
- Advancing age, chronic obstructive pulmonary disease, male sex, lower serum vitamin D, and increasing platelet count are independent risk markers for sepsis death.
- Sepsis death has a risk marker profile that is distinct from progressive heart failure death, sudden cardiac death, and other noncardiovascular death.

What Are the Clinical Implications?

- Strategies to improve outcomes in heart failure populations will need to consider prevention, identification, and management of sepsis.
- Patients at high risk of sepsis death can be identified using simple clinical data.

corresponding author to other researchers who have appropriate ethical approval and data protection arrangements.

Study Design

As described in our earlier publications, we conducted a prospective cohort study with the predefined aim of identifying prognostic markers in patients with CHF and LVEF, receiving contemporary evidence-based therapy^{10,11}; the aim of this analysis of the cohort study was to specifically focus on sepsis-related death. Inclusion in the study required the presence of stable signs and symptoms of CHF for at least 3 months, age ≥ 18 years, and LVEF $\leq 45\%$ on transthoracic echocardiography. Between June 2006 and December 2014, consecutive patients attending specialist cardiology clinics (secondary and tertiary referral) in 4 UK hospitals were approached, and 1802 patients provided written informed consent. The Leeds West Research Ethics Committee gave ethical approval, and the investigation conformed to the principles outlined in the Declaration of Helsinki.

As described previously,¹¹ details of medical history were collected at recruitment, and symptomatic status was defined using the New York Heart Association classification. Venous blood was collected at study recruitment for measurement of electrolyte concentrations and assessment of renal function and hematological parameters; these tests were performed in the local hospital chemical pathology laboratories. Estimated glomerular filtration rate was calculated using the Modification of Diet in Renal Disease method, as detailed in our earlier description of this cohort study.¹¹ Two-dimensional echocardiography was performed according to The American Society

of Echocardiography recommendations. Resting heart rate was measured using 12-lead ECGs. Prescribed doses of loop diuretics, angiotensin-converting enzyme inhibitors, angiotensin receptor blockers, and β -blockers were collected at study recruitment. Total daily doses of β -blocker, angiotensin-converting enzyme inhibitors (or angiotensin receptor blocker if used instead of angiotensin-converting enzyme inhibitors), and loop diuretic were expressed relative to the maximal licensed dose of bisoprolol, ramipril, and furosemide, respectively, as previously published.¹¹ Receipt of cardiac resynchronization therapy or an implantable cardioverter-defibrillator was assessed during the 6-month period after recruitment.

Mortality Classification

All patients were registered with the UK Office of Population Censuses and Surveys, which provided details of time of death, with a final censorship date of May 8, 2016. Classification criteria for the mode of death were defined before the study commenced, as previously reported.² Importantly, mode of death describes the broad mechanism of death but is different from attributing a specific cause of death (for example, a progressive heart failure death could have many different causes, such as dilated cardiomyopathy or ischemic heart disease), aiming to describe how people die and how overall therapeutic strategies may need to evolve. In brief, all deaths were evaluated by at least 2 clinicians who reviewed death certificates, autopsy findings, and medical records, as appropriate; when insufficient information was available, the mode of death was deemed unclassifiable. Mode of death was classified as: (1) sudden cardiac, if occurring within 1 hour of a change in symptoms, during sleep, or while the patient was unobserved; (2) progressive heart failure, if occurring after a documented period of symptomatic or hemodynamic deterioration; (3) other cardiovascular death, if not occurring suddenly or in association with progression of heart failure; and (4) noncardiovascular death. Noncardiovascular death was subclassified by the evaluating clinicians as sepsis death if preceded by deteriorating symptoms, signs (eg, pyrexia, tachycardia, hypotension, tachypnea, confusion) and laboratory indices of sepsis (eg, elevated inflammatory markers, possibly with microbiological, serological, and/or imaging evidence), resulting in treatment with antimicrobial therapy.

Statistical Analysis

All statistical analyses were performed using IBM SPSS statistics version 21 (IBM Corporation, Armonk, NY) and Stata MP64 version 14 (Statacorp LLC, College Station, TX). Continuous data are presented as mean (standard error of the mean) or median (interquartile range) for normal and

nonnormally distributed variables, respectively, and categorical data are shown as percentage (number). Groups were compared using Student *t* tests or ANOVA for normally distributed continuous data, Mann-Whitney *U* tests for nonnormally distributed continuous data, and Pearson χ^2 tests for categorical data. All tests were 2-sided, and statistical significance was defined as $P < 0.05$.

Unadjusted and adjusted cause-specific hazard ratios were estimated using the Fine and Gray competing risk regression model and by treating sepsis death as the event of interest and all other causes of death as competing events.¹² Adjusted models included the following variables: age at study recruitment, male sex, diabetes mellitus, chronic obstructive pulmonary disease (COPD), ischemic CHF etiology, New York Heart Association class, heart rate, QRS interval, hemoglobin, lymphocyte count, neutrophil count, platelet count, sodium, estimated glomerular filtration rate, albumin, vitamin D (natural log transformed), LVEF, ramipril dose, bisoprolol dose, furosemide dose, implantable cardioverter-defibrillator, and cardiac resynchronization therapy (all selected based on prior associations with mortality in people with heart failure). To determine the risk profiles of progressive heart failure and sudden cardiac death, 2 subsequent competing risk models in which each respective mode of death was treated as the event of interest in turn were fitted to the data with the same adjustment variables. Finally, an adjusted Cox proportional hazards model was fitted to determine the risk profile

associated with all-cause mortality. The relative importance of variables associated with each cause of death was compared by ranking the percentage contribution of the variable-specific Wald test out of the global model Wald test and displayed as a heat map.

Multiple imputation by chained equations was used to produce 50 imputed data sets to minimize potential bias due to missing data (Data S1). Pooled estimates and accompanying 95% confidence intervals (CIs) over each imputed data set were generated according to Rubin rules for all unadjusted and adjusted regression models. Notably, there were minimal missing data for most variables, other than vitamin D (30.5% missing), which is due to the late inclusion of this in our prospective data collection protocol as a result of data implicating this in heart failure outcomes.¹³

Results

After a mean follow-up period of 4 years, a total of 737 (40.9%) deaths occurred, the modes of which were progressive heart failure in 30.9%, sudden cardiac in 15.2%, other cardiovascular in 8%, noncardiovascular in 42.6%, and unclassifiable in 3.3% (Figure 1A). Within the noncardiovascular deaths, 55.1% ($n=173$) were due to sepsis, representing 23.5% of all deaths; the remaining noncardiovascular deaths were accounted for by cancer in 24.8% ($n=78$), with no other single disease process accounting for more than 5% of

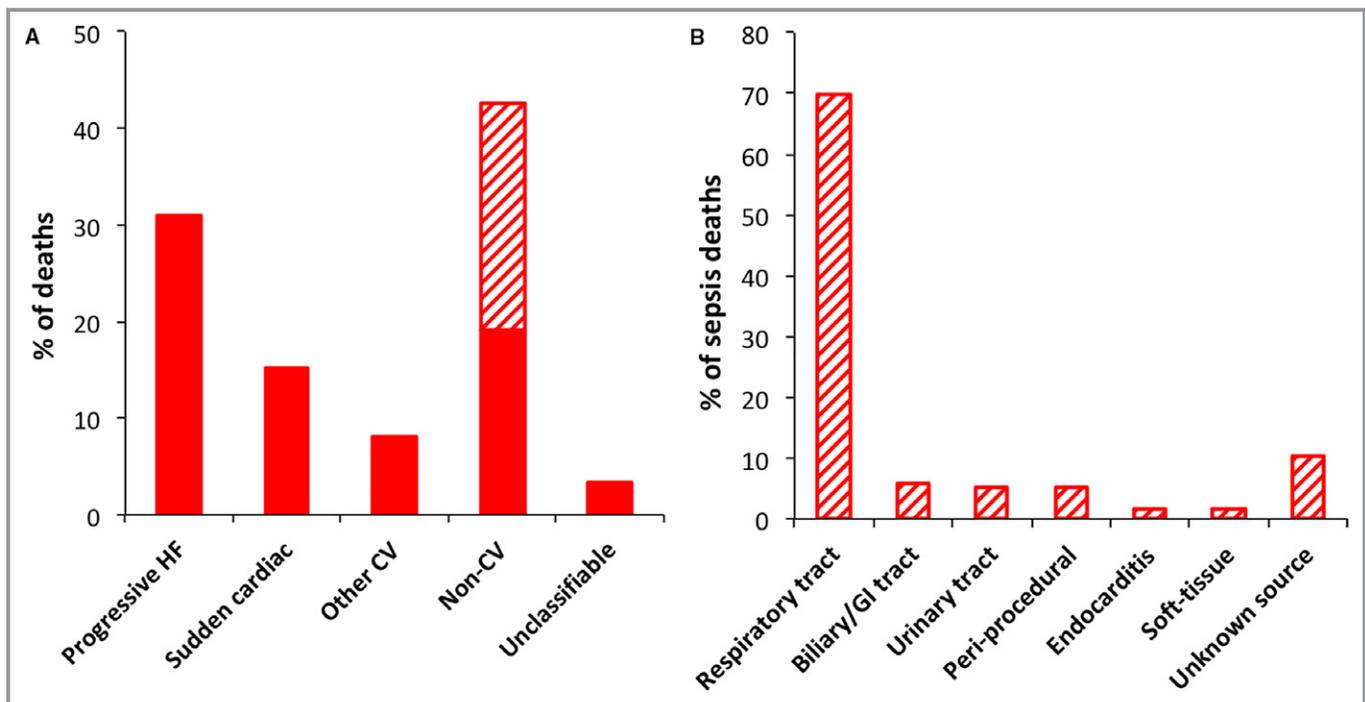


Figure 1. Sepsis death in relation to other common modes of death. A, Relative contribution of modes of death to overall mortality, with sepsis (represented by the hatched region) accounting for over half of noncardiovascular deaths. B, Relative contribution of primary sources of sepsis to overall sepsis deaths. CV indicates cardiovascular; GI, gastrointestinal; HF, heart failure.

noncardiovascular deaths. The primary source of sepsis is illustrated in Figure 1B, with $\approx 70\%$ of sepsis deaths involving the respiratory tract. The cumulative incidence of sepsis and other modes of death is illustrated in Figure 2. Notably, a sensitivity analysis restricted to people with LVEF $<40\%$ ($n=1312$) reached almost identical conclusions, with 22.2% of deaths being due to sepsis (Figure S1A), with $\approx 70\%$ of cases involving the respiratory tract (Figure S1B), following a similar time-course in cumulative incidence curves (Figure S2).

The recruitment characteristics of patients with sepsis- and non-sepsis-related modes of death are shown in Table 1, with characteristics of survivors also presented for reference. Of patients who died, sepsis death was associated with greater age, increased platelet count, increased prevalence of COPD, and borderline lower serum vitamin D concentration ($P=0.06$). Notably, these differences were apparent even when patients with sepsis death were compared with a heterogeneous mix of nonsepsis deaths, including progressive heart failure, sudden cardiac death, and noncardiovascular deaths. We also noted that sepsis death was significantly more likely to occur in a hospital setting (86.1% [$n=149$]) than other deaths (49.4% [$n=267$]; $P<0.001$).

Predictors of Sepsis Death

Unadjusted competing risk regression (sepsis death versus survival or competing causes of death) showed that advancing age, COPD, higher New York Heart Association class, lower hemoglobin, renal dysfunction, lower albumin, lower serum vitamin D, and higher diuretic requirements were each univariately associated with sepsis death (Table 2). After adjustment for all variables concurrently, advancing age (hazard ratio=1.05; 95% CI 1.03-1.07), COPD (2.43, 1.74-3.40), male sex (1.73; 1.16-2.60), lower log serum vitamin D (0.68; 0.49-0.95), and rising platelet count (1.002; 1.000-

1.005) were independently associated with increased risk of sepsis death (Table 2). Notably, a sensitivity analysis restricted to people with LVEF $<40\%$ ($n=1312$) reached very similar conclusions, with advancing age (hazard ratio=1.04; 95% CI 1.02-1.07), COPD (hazard ratio=2.12; 95% CI 1.38-3.25), and lower log serum vitamin D (hazard ratio=0.61; 95% CI 0.41-0.92) remaining the most strongly associated variables (Table S2).

Crucially, the variables most strongly associated with sepsis death showed a distinct profile compared to other noncardiovascular death, progressive heart failure, sudden cardiac death, and all-cause death (Figure 3 and Tables S3 through S7). Moreover, although advancing age, male sex, COPD, and higher platelet count were associated with several modes of death, low serum vitamin D showed a unique association with sepsis death; lower hemoglobin and lower albumin were uniquely associated with other noncardiovascular death; higher New York Heart Association class, lower lymphocyte count, lower LVEF, and higher diuretic dose were uniquely associated with progressive heart failure death; lower sodium and higher neutrophil counts were uniquely associated with sudden cardiac death.

Discussion

Our analysis of a large well-characterized cohort with CHF and reduced LVEF shows that sepsis accounts for almost a quarter of deaths, making this the second most common mode of death after progressive heart failure. We also present the first-ever analysis of sepsis death risk markers and show that this risk marker profile is distinct from other common modes of death. Importantly, our major observations were unchanged in sensitivity analyses restricted to people with heart failure and reduced ejection fraction (HF-REF) defined using the most contemporary definitions (ie, LVEF $<40\%$). This may have major implications for strategies to further improve the outcomes of people with CHF and poses important questions about whether sepsis can be prevented or mitigated to improve net survival. More broadly, our analyses highlight the heterogeneous mortality of people with CHF, further emphasizing that more nuanced risk stratification and intervention may offer a more effective approach to improve overall survival.

Sepsis and Adverse Outcomes in CHF Cohorts

Little is known about sepsis death in strictly defined populations with HF-REF, although some data are available from mixed populations also including heart failure with preserved LVEF. Lee et al described factors underlying 1025 deaths between 1971 and 2004 in Framingham Heart Study participants with heart failure⁶; LVEF was known in 57% of

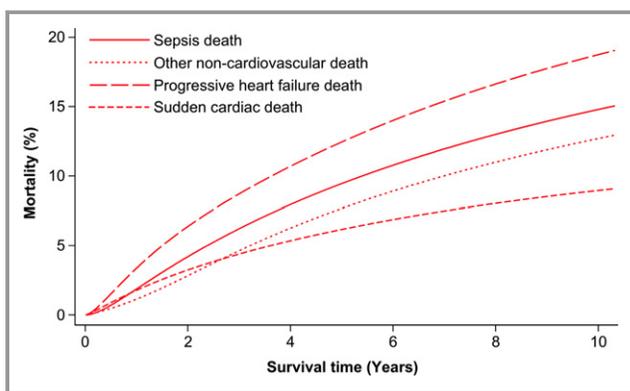


Figure 2. Cumulative incidence of sepsis death in relation to other common modes of death. Cumulative incidence functions illustrating sepsis, other noncardiovascular, progressive heart failure, and sudden cardiac death during follow-up.

Table 1. Patient Characteristics

| | Sepsis Death (n=173) | Nonsepsis Death (n=540) | All-Cause Death (n=737) | Survivors (n=1065) |
|----------------------------------|----------------------|-------------------------|-------------------------------|--------------------|
| Age, y | 75.2 (0.7)* | 73 (0.4) | 73.5 (0.4) [†] | 67 (0.4) |
| Heart rate, bpm | 76.8 (1.4) | 74.1 (0.8) | 74.8 (0.7) | 75.7 (0.6) |
| QRS interval, ms | 127 (3) | 125 (1) | 126 (1) [†] | 121 (1) |
| Hemoglobin, g/dL | 13 (0.1) | 13 (0.1) | 13 (0.1) [†] | 13.8 (0.1) |
| WCC, ×10 ⁹ /L | 8.03 (0.19) | 7.89 (0.14) | 7.94 (0.11) [†] | 7.64 (0.06) |
| Lymphocytes, ×10 ⁹ /L | 1.67 (0.09) | 1.54 (0.03) | 1.58 (0.03) [†] | 1.82 (0.02) |
| Neutrophils, ×10 ⁹ /L | 5.42 (0.15) | 5.37 (0.1) | 5.4 (0.08) [†] | 4.9 (0.05) |
| Platelets, ×10 ⁹ /L | 257 (6)* | 237 (3) | 243 (3) | 248 (2) |
| Sodium, mmol/L | 138.9 (0.3) | 138.8 (0.2) | 138.8 (0.1) [†] | 139.8 (0.1) |
| eGFR, mL/(kg·min) | 51.6 (1.4) | 50.6 (0.8) | 50.9 (0.7) [†] | 62.5 (0.6) |
| Albumin, g/L | 42.1 (0.2) | 42 (0.2) | 42 (0.1) [†] | 43.6 (0.1) |
| Vitamin D, nmol/L | 26 (16-46) | 30 (20-47.7) | 29.5 (19.8-46.8) [†] | 35 (21-54.1) |
| LVEF, % | 32.2 (0.7) | 30.9 (0.4) | 31.3 (0.4) [†] | 32.5 (0.3) |
| Ramipril dose, mg/d | 4.1 (0.3) | 4.7 (0.2) | 4.5 (0.1) [†] | 5.2 (0.1) |
| Bisoprolol dose, mg/d | 3 (0.2) | 3.4 (0.1) | 3.3 (0.1) [†] | 4.3 (0.1) |
| Furosemide dose, mg/d | 59.9 (3.4) | 65.4 (2.3) | 64 (1.9) [†] | 42.4 (1.4) |
| MRA prescription, n (%) | 60 (34.7) | 224 (41.6) | 297 (40.4) | 392 (36.9) |
| Male sex, n (%) | 133 (76.9) | 424 (78.5) | 577 (78.3) [†] | 742 (69.7) |
| Diabetes mellitus, n (%) | 49 (28.3) | 185 (34.3) | 242 (32.8) [†] | 262 (24.6) |
| COPD, n (%) | 59 (34.1)* | 99 (18.3) | 167 (22.7) [†] | 117 (11) |
| Ischemic etiology, n (%) | 111 (64.2) | 373 (69.1) | 503 (68.2) [†] | 564 (53) |
| ICD (n [%]) | 16 (9.2) | 67 (12.4) | 85 (11.5) | 125 (11.7) |
| CRT (n [%]) | 48 (27.7) | 140 (25.9) | 196 (26.6) | 259 (24.3) |
| NYHA class | | | | |
| 1, n (%) | 14 (8.1) | 56 (10.4) | 74 (10.1) [†] | 259 (24.3) |
| 2, n (%) | 79 (45.7) | 260 (48.3) | 353 (48) | 559 (52.5) |
| 3, n (%) | 77 (44.5) | 207 (38.5) | 290 (39.5) | 244 (22.9) |
| 4, n (%) | 3 (1.7) | 15 (2.8) | 18 (2.4) | 3 (0.3) |

Data are presented as mean (standard error of the mean) or median (interquartile range) for continuous variables and as n (%) for categorical variables. COPD indicates chronic obstructive pulmonary disease; CRT, cardiac resynchronization therapy; eGFR, estimated glomerular filtration rate; ICD, implantable cardioverter defibrillator; LVEF, left ventricular ejection fraction; MRA, mineralocorticoid receptor antagonist; NYHA, New York Heart Association; WCC, white cell count.

*Sepsis vs nonsepsis death, $P<0.05$.

[†]All-cause death vs survivors, $P<0.05$.

cases, of which 59% had HF-REF. Overall, 38% of deaths had a noncardiovascular mode, and the largest component of these was respiratory (infectious and noninfectious) disease, although the contribution of sepsis per se was not described. Ueda et al studied 459 people admitted with acute decompensated heart failure to a single center in Japan between 2007 and 2011, 52% of whom had HF-REF.⁷ During a mean 20-month follow-up period, 40% of deaths were noncardiovascular, and 19.5% (n=34) of these were due to sepsis, with this being more common in people aged >75 years. In a recent systematic review of heart failure with preserved

LVEF epidemiological studies, 42% of deaths were noncardiovascular, with almost one third of these being due to sepsis.¹⁴

Regarding hospitalization, Logeart et al found that 27% of all acute decompensated heart failure admissions to 170 French hospitals on a single day in 2009 were precipitated by sepsis.⁹ Moreover, Alon et al found that of over 9000 people admitted to a hospital in Israel with decompensated heart failure, 38% had at least 1 decompensation precipitated by sepsis.⁸ Respiratory tract infections and bacteremia accounted for 77% of these, with risk markers for such

Table 2. Predictors of Sepsis Death

| Variable | Univariate | | Multivariate | |
|--------------------------------------|---------------------|---------|---------------------|---------|
| | HR (95% CI) | P Value | HR (95% CI) | P Value |
| Age (per y) | 1.05 (1.04-1.07) | <0.001 | 1.05 (1.03-1.07) | <0.001 |
| Male sex | 1.20 (0.84-1.72) | 0.31 | 1.73 (1.16-2.60) | 0.008 |
| Diabetes mellitus | 1.09 (0.79-1.52) | 0.6 | 0.91 (0.63-1.31) | 0.611 |
| COPD | 3.07 (2.24-4.20) | <0.001 | 2.43 (1.74-3.40) | <0.001 |
| Ischemic etiology | 1.20 (0.88-1.63) | 0.258 | 0.78 (0.55-1.11) | 0.172 |
| NYHA class (vs 1) | | <0.001 | | 0.079 |
| 2 | 2.27 (1.30-3.98) | | 1.66 (0.92-3.00) | |
| 3 | 3.71 (2.12-6.52) | | 2.18 (1.17-4.07) | |
| 4 | 3.32 (0.92-12.03) | | 1.54 (0.39-6.05) | |
| Heart rate (per bpm) | 1.01 (1.00-1.01) | 0.167 | 1.00 (1.00-1.01) | 0.347 |
| QRS interval (per ms) | 1.00 (1.00-1.01) | 0.062 | 1.00 (1.00-1.01) | 0.333 |
| Hemoglobin (per g/dL) | 0.82 (0.75-0.90) | <0.001 | 0.90 (0.80-1.00) | 0.052 |
| WCC (per 10 ⁹ /L) | 1.03 (0.99-1.06) | 0.174 | ... | ... |
| Lymphocytes (per 10 ⁹ /L) | 0.85 (0.60-1.21) | 0.372 | 1.13 (0.82-1.58) | 0.452 |
| Neutrophils (per 10 ⁹ /L) | 1.06 (1.01-1.12) | 0.018 | 0.99 (0.93-1.06) | 0.784 |
| Platelets (per 10 ⁹ /L) | 1.002 (1.00-1.004) | 0.12 | 1.002 (1.000-1.005) | 0.027 |
| Sodium (per mmol/L) | 0.96 (0.92-1.00) | 0.043 | 0.98 (0.94-1.03) | 0.383 |
| eGFR (per mL/[kg·min]) | 0.98 (0.98-0.99) | <0.001 | 0.99 (0.98-1.00) | 0.248 |
| Albumin (per g/L) | 0.94 (0.91-0.97) | <0.001 | 0.99 (0.95-1.03) | 0.531 |
| Vitamin D (per 2.82-fold increase) | 0.65 (0.48-0.89) | 0.008 | 0.68 (0.49-0.95) | 0.022 |
| LVEF (per %) | 1.000.99-1.02) | 0.691 | 1.00 (0.98-1.02) | 0.921 |
| Diuretic dose (per mg/d) | 1.003 (1.001-1.005) | 0.005 | 1.00 (1.00-1.00) | 0.886 |

CI indicates confidence interval; COPD, chronic obstructive pulmonary disease; eGFR, estimated glomerular filtration rate; HR, hazard ratio; LVEF, left ventricular ejection fraction; NYHA, New York Heart Association; WCC, white cell count.

hospitalizations including female sex, advancing age, COPD, chronic kidney disease, and anemia.

Wider Context of Sepsis Death

Severe sepsis hospitalization rates more than doubled in the United States between 2000 and 2007,¹⁵ and sepsis has been estimated to contribute to between a third and a half of deaths occurring in US hospitals.¹⁶ CHF is an established risk marker for adverse outcomes during hospitalization with sepsis,^{17,18} and so the improving survival of people with CHF is likely to increase the adverse coincidence of sepsis and CHF. Influenza and pneumococcus vaccinations are an important strategy to prevent sepsis in people with CHF,^{19,20} but given the high uptake of these in UK primary care,¹⁹ our data may suggest that additional strategies are needed. Unfortunately, we do not have data on the provision of vaccination and the incidence of (nonfatal) sepsis in our cohort. A further window of opportunity may be during the

management of early sepsis, when recognition of the adverse risk associated with CHF could prompt more intensive monitoring and treatment. However, further research is required to address these issues.

Risk Markers of Sepsis Death

Our study is the first to define risk markers for sepsis death in unselected people with CHF. We found that a wide range of patient factors were associated with sepsis death in univariate analyses, and these broadly reflected age, heart failure severity, and comorbidity. Our multivariate analysis narrowed these to advancing age, COPD, male sex, lower vitamin D, and higher platelet count. Although advancing age is a risk marker for most adverse events, and COPD is unsurprising given that most sepsis deaths were respiratory tract in origin, the other risk markers were potentially less anticipated. Indeed, there are no data linking vitamin D status to sepsis events in people with heart failure; however, low serum vitamin D has been

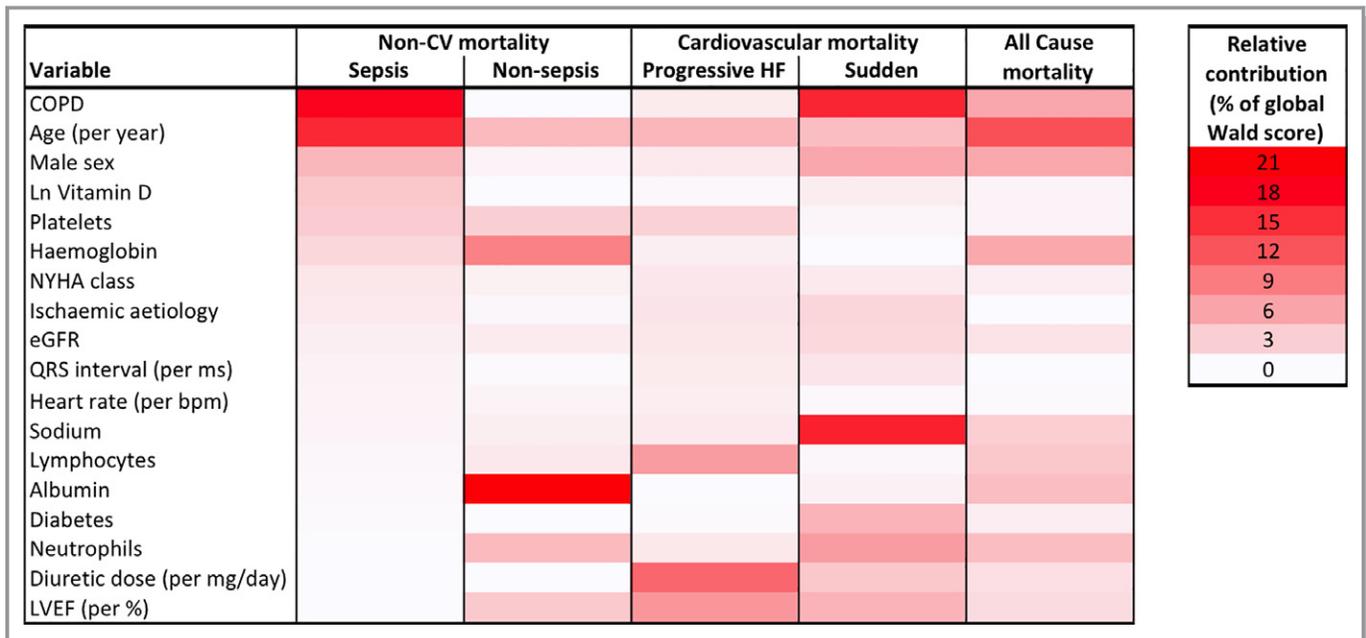


Figure 3. Predictors of sepsis death differ from those of alternate modes of death. Heat map of relative variable contributions to multivariate models predicting mode-specific or all-cause mortality, illustrating the distinct risk marker association with sepsis death. More intense red tones denote a greater percentage contribution of a variable to the global Wald score for prediction of a specific mode of death. COPD indicates chronic obstructive pulmonary disease; CV, cardiovascular; eGFR, estimated glomerular filtration rate; HF, heart failure; Ln, natural logarithm; LVEF, left ventricular ejection fraction; NYHA, New York Heart Association.

associated with sepsis incidence and mortality in other populations,²¹ and a recent meta-analysis suggested that correction of vitamin D deficiency may reduce respiratory infections.²²

Sepsis as a Distinct Mode of Death

A key finding of our study is the distinct contribution of baseline patient characteristics to the prediction of sepsis, other noncardiovascular, progressive heart failure, and sudden cardiac death. This implies that sepsis is a distinct mode of death and not simply a misclassification of other modes of death. Perhaps more importantly, by showing unique/specific risk markers for each mode of death, our observations suggest that more nuanced risk prediction could guide targeted interventions to improve survival. However, the primary aim of these analyses was to define whether mode-specific risk marker profiles exist, so further work will be required to understand whether this knowledge can improve care. Importantly, our data do not imply that heart failure-directed care is unimportant to improving outcomes of people most at risk of sepsis death, or vice versa; indeed, heart failure status is likely to be a critical factor in survival from severe sepsis. Instead, our observations highlight prevention and/or treatment priorities to further improve outcomes of patient groups already established on contemporary evidence-based heart failure therapy. It is also important to clarify that

our data cannot be used to infer specific subtypes of sepsis death, such as those related primarily to respiratory failure versus multiorgan failure, but it is likely that sepsis deaths are heterogeneous.

Strengths and Limitations

Our study provides entirely novel insights regarding the prevalence, nature, and predictors of sepsis in people with CHF, with potentially important implications for how we can continue to improve survival. However, it is important to acknowledge the limitations of our study that will need to be addressed by future research. First, we cannot comment on whether increased sepsis incidence or case fatality underpins the association of our identified risk markers with sepsis death. This differentiation is important because it could inform us about the potential value of better sepsis prevention versus sepsis care. Second, the observational nature of our study prevents us from reaching causal inferences about the identified associations of risk markers. Third, it is possible that our data are not generalizable to all heart failure populations, particularly those with preserved ejection fraction, but the existing data from mixed CHF populations across the world provide some support for the high prevalence of sepsis death. Fourth, we do not have data on specific pathogens in most of our patients, reflecting the challenge in establishing this in many cases of respiratory tract infection.

Finally, it remains unclear how preventing sepsis death would impact on other outcomes important to people with CHF, and valuable insights may be gained by studying changes in the quality of life of people with CHF surviving severe sepsis.

Conclusions

Sepsis accounts for almost a quarter of deaths in people with HF-REF receiving contemporary care, suggesting that ongoing efforts to improve survival will need to carefully consider sepsis prevention and management. Sepsis death is associated with a risk marker profile distinct from other important modes of death, suggesting that it may be amenable to prediction and prevention.

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Disclosures

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Supplemental Material

Data S1.

Supplemental Methods

Imputation methods

Multiple imputation by chained equations under the missing at random assumption was used to impute 50 sets of data to minimise any potential bias caused by missing data.¹⁻² The imputation model specification was such that it included all variables included in the analysis model, including outcome variables (Nelson-Aalen survival estimator for each cause of death and a censoring indicator) as well as auxiliary variables as indicated in the table below. Results presented in the manuscript contain estimates averaged over 50 imputed data sets according to Rubin's rules.

Table S1. Imputation model specification for 20 iterations and 50 imputations.

| Variable | Missing (%) | Type | Specification for imputation |
|-----------------------------------------------------------------------------------------|--------------------|----------------------|---------------------------------------------------------------------|
| Nelson-Aalen survival estimate (included as separate variables for each cause of death) | 0 | Continuous (Outcome) | Complete data - included as an auxiliary variable for survival time |
| Censoring indicator | 0 | Binary (Outcome) | Complete data - included as an auxiliary variable |
| Cause of death | 0 | Categorical | Complete data - included as an auxiliary variable |
| Sex | 0 | Binary | Complete data - included as an auxiliary variable |
| Diabetes | 0 | Binary | Complete data - included as an auxiliary variable |
| COPD | 0 | Binary | Complete data - included as an auxiliary variable |
| Ischaemic HF Aetiology | 0 | Binary | Complete data - included as an auxiliary variable |
| ICD | 0 | Binary | Complete data - included as an auxiliary variable |
| CRT | 0 | Binary | Complete data - included as an auxiliary variable |
| Age | 0 | Continuous | Linear regression |
| NYHA Class | 0.1 | Categorical | Ordinal logistic regression |
| Heart Rate | 8.8 | Continuous | Linear regression |
| QRS interval | 8.8 | Continuous | Log transformed and imputed using linear regression |
| Haemoglobin | 0.3 | Continuous | Linear regression |
| Sodium | 0.2 | Continuous | Predictive mean matching |
| White blood cell count | 0.3 | Continuous | Predictive mean matching |
| Lymphocytes | 1.2 | Continuous | Complete data - included as an auxiliary variable |
| Neutrophils | 1.2 | Continuous | Complete data - included as an auxiliary variable |
| Platelet count | 0.3 | Continuous | Complete data - included as an auxiliary variable |
| eGFR | 0.4 | Continuous | Linear regression |
| VitaminD | 30.5 | Continuous | Log transformed and imputed using linear |

| | | | |
|----------------------|-----|------------|--------------------------|
| | | | regression |
| Albumin | 3.1 | Continuous | Linear regression |
| LV ejection fraction | 2.5 | Continuous | Linear regression |
| Ramipril Dose | 0.3 | Continuous | Predictive mean matching |
| Bisoprolol Dose | 0.3 | Continuous | Predictive mean matching |
| Furosemide Dose | 0.3 | Continuous | Predictive mean matching |

COPD - chronic obstructive pulmonary disease; HF - heart failure; ICD - implantable cardioverter defibrillator; CRT - cardiac resynchronisation therapy; NYHA - New York heart association; eGFR - estimated glomerular filtration rate; LV - left ventricular.

Table S2. Multivariate predictors of sepsis death in people with LVEF<40%.

| Variable | HR | 95% CI of HR | | p value | Wald | % Global Wald |
|--------------------------------------|------|--------------|------|---------|-------|---------------|
| | | Low | High | | | |
| Age (per year) | 1.04 | 1.02 | 1.07 | 0.000 | 12.66 | 11.29 |
| Male sex | 1.21 | 0.75 | 1.97 | 0.439 | 0.60 | 0.54 |
| Diabetes | 0.88 | 0.56 | 1.40 | 0.592 | 0.29 | 0.26 |
| COPD | 2.12 | 1.38 | 3.25 | 0.001 | 11.91 | 10.62 |
| Ischaemic aetiology | 0.92 | 0.59 | 1.45 | 0.722 | 0.13 | 0.12 |
| NYHA class (vs 1) | | | | 0.265 | 1.32 | 1.18 |
| 2 | 1.90 | 0.81 | 4.47 | 0.140 | | |
| 3 | 2.39 | 0.98 | 5.81 | 0.055 | | |
| 4 | 1.81 | 0.40 | 8.16 | 0.440 | | |
| Heart rate (per bpm) | 1.01 | 1.00 | 1.02 | 0.113 | 2.51 | 2.24 |
| QRS interval (per ms) | 1.00 | 1.00 | 1.01 | 0.289 | 1.12 | 1.00 |
| Haemoglobin (per g/dl) | 0.85 | 0.74 | 0.98 | 0.028 | 4.85 | 4.32 |
| Lymphocytes (per 10 ⁹ /L) | 1.15 | 0.70 | 1.88 | 0.580 | 0.31 | 0.28 |
| Neutrophils (per 10 ⁹ /L) | 0.99 | 0.90 | 1.08 | 0.823 | 0.05 | 0.04 |
| Platelets (per 10 ⁹ /L) | 1.00 | 1.00 | 1.00 | 0.231 | 1.43 | 1.28 |
| Sodium (per mmol/L) | 0.97 | 0.92 | 1.02 | 0.247 | 1.34 | 1.19 |
| eGFR (per ml/Kg/min) | 0.99 | 0.98 | 1.01 | 0.342 | 0.90 | 0.80 |
| Albumin (per g/L) | 0.97 | 0.92 | 1.02 | 0.269 | 1.22 | 1.09 |
| Vitamin D (per 2.82-fold increase) | 0.61 | 0.41 | 0.92 | 0.018 | 5.62 | 5.01 |
| LVEF (per %) | 0.99 | 0.97 | 1.02 | 0.640 | 0.22 | 0.20 |
| Diuretic dose (per mg/day) | 1.00 | 1.00 | 1.00 | 0.762 | 0.09 | 0.08 |

eGFR - estimated glomerular filtration rate; LVEF - left ventricular ejection fraction; COPD - chronic obstructive pulmonary disease; ICD - implantable cardioverter defibrillator; CRT - cardiac resynchronisation therapy; NYHA - New York heart association.

Table S3. Multivariate predictors of sepsis death.

| Variable | HR | 95% CI of HR | | p value | Wald | % Global Wald |
|--------------------------------------|-------|--------------|-------|---------|-------|---------------|
| | | Low | High | | | |
| Age (per year) | 1.05 | 1.03 | 1.07 | <0.001 | 23.49 | 15.54 |
| Male sex | 1.73 | 1.16 | 2.60 | 0.008 | 7.06 | 4.67 |
| Diabetes | 0.91 | 0.63 | 1.31 | 0.611 | 0.26 | 0.17 |
| COPD | 2.43 | 1.74 | 3.40 | <0.001 | 26.93 | 17.81 |
| Ischaemic aetiology | 0.78 | 0.55 | 1.11 | 0.172 | 1.86 | 1.23 |
| NYHA class (vs 1) | | | | 0.079 | 2.27 | 1.50 |
| 2 | 1.66 | 0.92 | 3.00 | 0.095 | | |
| 3 | 2.18 | 1.17 | 4.07 | 0.014 | | |
| 4 | 1.54 | 0.39 | 6.05 | 0.535 | | |
| Heart rate (per bpm) | 1.00 | 1.00 | 1.01 | 0.347 | 0.88 | 0.58 |
| QRS interval (per ms) | 1.00 | 1.00 | 1.01 | 0.333 | 0.94 | 0.62 |
| Haemoglobin (per g/dl) | 0.90 | 0.80 | 1.00 | 0.052 | 3.78 | 2.50 |
| Lymphocytes (per 10 ⁹ /L) | 1.13 | 0.82 | 1.58 | 0.452 | 0.56 | 0.37 |
| Neutrophils (per 10 ⁹ /L) | 0.99 | 0.93 | 1.06 | 0.784 | 0.08 | 0.05 |
| Platelets (per 10 ⁹ /L) | 1.002 | 1.000 | 1.005 | 0.027 | 4.86 | 3.21 |
| Sodium (per mmol/L) | 0.98 | 0.94 | 1.03 | 0.383 | 0.76 | 0.50 |
| eGFR (per ml/Kg/min) | 0.99 | 0.98 | 1.00 | 0.248 | 1.33 | 0.88 |
| Albumin (per g/L) | 0.99 | 0.95 | 1.03 | 0.531 | 0.39 | 0.26 |
| Vitamin D (per 2.82-fold increase) | 0.68 | 0.49 | 0.95 | 0.022 | 5.25 | 3.47 |
| LVEF (per %) | 1.00 | 0.98 | 1.02 | 0.921 | 0.01 | 0.01 |
| Diuretic dose (per mg/day) | 1.00 | 1.00 | 1.00 | 0.886 | 0.02 | 0.01 |

eGFR - estimated glomerular filtration rate; LVEF - left ventricular ejection fraction; COPD - chronic obstructive pulmonary disease; ICD - implantable cardioverter defibrillator; CRT - cardiac resynchronisation therapy; NYHA - New York heart association.

Table S4. Multivariate predictors of progressive heart failure death.

| Variable | HR | 95% CI of HR | | p value | Wald | % Global Wald |
|---------------------------------------|------|--------------|------|---------|-------|---------------|
| | | Low | High | | | |
| Age (per year) | 1.03 | 1.01 | 1.05 | 0.001 | 10.23 | 4.69 |
| Male sex | 1.32 | 0.95 | 1.85 | 0.101 | 2.68 | 1.23 |
| Diabetes | 1.11 | 0.81 | 1.53 | 0.508 | 0.44 | 0.20 |
| COPD | 0.74 | 0.51 | 1.07 | 0.111 | 2.54 | 1.16 |
| Ischaemic aetiology | 1.38 | 0.98 | 1.94 | 0.065 | 3.41 | 1.56 |
| NYHA class (vs 1) | | | | 0.031 | 2.97 | 1.36 |
| 2 | 1.77 | 0.99 | 3.18 | 0.055 | | |
| 3 | 2.25 | 1.23 | 4.12 | 0.008 | | |
| 4 | 3.42 | 1.21 | 9.69 | 0.020 | | |
| Heart rate (per bpm) | 0.99 | 0.99 | 1.00 | 0.158 | 1.99 | 0.91 |
| QRS interval (per ms) | 1.00 | 0.99 | 1.00 | 0.112 | 2.53 | 1.16 |
| Haemoglobin (per g/dl) | 0.93 | 0.85 | 1.03 | 0.176 | 1.83 | 0.84 |
| Lymphocytes (per 10 ⁹ /L) | 0.61 | 0.47 | 0.79 | <0.001 | 14.36 | 6.58 |
| Neutrophils (per 10 ⁹ /L) | 1.07 | 0.99 | 1.15 | 0.090 | 2.88 | 1.32 |
| Platelets (per 10 ⁹ /L) | 1.00 | 0.99 | 1.00 | 0.013 | 6.17 | 2.83 |
| Sodium (per mmol/L) | 0.97 | 0.93 | 1.01 | 0.101 | 2.69 | 1.23 |
| eGFR (per ml/Kg/min) | 0.99 | 0.98 | 1.00 | 0.071 | 3.26 | 1.49 |
| Albumin (per g/L) | 1.00 | 0.96 | 1.04 | 0.878 | 0.02 | 0.01 |
| Ln Vitamin D (per 2.82-fold increase) | 0.88 | 0.65 | 1.19 | 0.401 | 0.71 | 0.33 |
| LVEF (per %) | 0.97 | 0.96 | 0.98 | <0.001 | 15.29 | 7.01 |
| Diuretic dose (per mg/day) | 1.01 | 1.00 | 1.01 | <0.001 | 23 | 10.54 |

eGFR - estimated glomerular filtration rate; LVEF - left ventricular ejection fraction; COPD - chronic obstructive pulmonary disease;

ICD - implantable cardioverter defibrillator; CRT - cardiac resynchronisation therapy; NYHA - New York heart association.

Table S5. Multivariate predictors of sudden cardiac death.

| Variable | HR | 95% CI of HR | | p value | Wald | % Global Wald |
|---------------------------------------|------|--------------|------|---------|-------|---------------|
| | | Low | High | | | |
| Age (per year) | 0.98 | 0.97 | 1.00 | 0.085 | 2.96 | 4.13 |
| Male sex | 1.70 | 1.02 | 2.84 | 0.043 | 4.11 | 5.73 |
| Diabetes | 1.46 | 0.98 | 2.18 | 0.064 | 3.44 | 4.80 |
| COPD | 2.19 | 1.39 | 3.46 | 0.001 | 11.35 | 15.83 |
| Ischaemic aetiology | 1.37 | 0.87 | 2.14 | 0.176 | 1.83 | 2.55 |
| NYHA class (vs 1) | | | | 0.452 | 0.88 | 1.23 |
| 2 | 1.51 | 0.83 | 2.77 | 0.181 | | |
| 3 | 1.17 | 0.59 | 2.29 | 0.657 | | |
| 4 | 1.39 | 0.28 | 6.98 | 0.689 | | |
| Heart rate (per bpm) | 1.00 | 0.99 | 1.02 | 0.669 | 0.18 | 0.25 |
| QRS interval (per ms) | 1.00 | 1.00 | 1.01 | 0.312 | 1.02 | 1.42 |
| Haemoglobin (per g/dl) | 1.01 | 0.90 | 1.15 | 0.827 | 0.05 | 0.07 |
| Lymphocytes (per 10 ⁹ /L) | 0.94 | 0.73 | 1.21 | 0.619 | 0.25 | 0.35 |
| Neutrophils (per 10 ⁹ /L) | 1.09 | 1.01 | 1.17 | 0.030 | 4.69 | 6.54 |
| Platelets (per 10 ⁹ /L) | 1.00 | 1.00 | 1.00 | 0.561 | 0.34 | 0.47 |
| Sodium (per mmol/L) | 0.93 | 0.89 | 0.97 | 0.001 | 11.65 | 16.25 |
| eGFR (per ml/Kg/min) | 0.99 | 0.98 | 1.00 | 0.192 | 1.71 | 2.38 |
| Albumin (per g/L) | 0.98 | 0.93 | 1.03 | 0.441 | 0.59 | 0.82 |
| Ln Vitamin D (per 2.82-fold increase) | 0.85 | 0.57 | 1.24 | 0.393 | 0.73 | 1.02 |
| LVEF (per %) | 0.98 | 0.96 | 1.00 | 0.062 | 3.47 | 4.84 |
| Diuretic dose (per mg/day) | 1.00 | 0.99 | 1.00 | 0.112 | 2.53 | 3.53 |

eGFR - estimated glomerular filtration rate; LVEF - left ventricular ejection fraction; COPD - chronic obstructive pulmonary disease; ICD - implantable cardioverter defibrillator; CRT - cardiac resynchronisation therapy; NYHA - New York heart association.

Table S6. Multivariate predictors of other (non-sepsis) non-cardiovascular death.

| Variable | HR | 95% CI of HR | | p value | Wald | % Global Wald |
|---------------------------------------|------|--------------|------|---------|-------|---------------|
| | | Low | High | | | |
| Age (per year) | 1.01 | 1.00 | 1.03 | 0.145 | 2.12 | 4.41 |
| Male sex | 1.11 | 0.73 | 1.69 | 0.613 | 0.26 | 0.54 |
| Diabetes | 1.02 | 0.70 | 1.48 | 0.914 | 0.01 | 0.02 |
| COPD | 1.01 | 0.64 | 1.58 | 0.978 | 0 | 0.00 |
| Ischaemic aetiology | 0.93 | 0.64 | 1.34 | 0.683 | 0.17 | 0.35 |
| NYHA class (vs 1) | | | | 0.750 | 0.4 | 0.83 |
| 2 | 0.77 | 0.47 | 1.26 | 0.299 | | |
| 3 | 0.87 | 0.50 | 1.50 | 0.611 | | |
| 4 | 0.92 | 0.25 | 3.40 | 0.896 | | |
| Heart rate (per bpm) | 1.00 | 0.99 | 1.01 | 0.596 | 0.28 | 0.58 |
| QRS interval (per ms) | 1.00 | 0.99 | 1.01 | 0.758 | 0.1 | 0.21 |
| Haemoglobin (per g/dl) | 0.89 | 0.80 | 1.00 | 0.042 | 4.13 | 8.58 |
| Lymphocytes (per 10 ⁹ /L) | 0.89 | 0.67 | 1.18 | 0.431 | 0.62 | 1.29 |
| Neutrophils (per 10 ⁹ /L) | 1.07 | 0.98 | 1.17 | 0.147 | 2.11 | 4.38 |
| Platelets (per 10 ⁹ /L) | 1.00 | 1.00 | 1.00 | 0.232 | 1.43 | 2.97 |
| Sodium (per mmol/L) | 1.02 | 0.97 | 1.06 | 0.505 | 0.44 | 0.91 |
| eGFR (per ml/Kg/min) | 1.00 | 0.99 | 1.01 | 0.468 | 0.53 | 1.10 |
| Albumin (per g/L) | 0.92 | 0.87 | 0.97 | 0.001 | 10.12 | 21.03 |
| Ln Vitamin D (per 2.82-fold increase) | 0.97 | 0.69 | 1.35 | 0.843 | 0.04 | 0.08 |
| LVEF (per %) | 1.01 | 0.99 | 1.03 | 0.201 | 1.64 | 3.41 |
| Diuretic dose (per mg/day) | 1.00 | 1.00 | 1.00 | 0.979 | 0 | 0.00 |

eGFR - estimated glomerular filtration rate; LVEF - left ventricular ejection fraction; COPD - chronic obstructive pulmonary disease; ICD - implantable cardioverter defibrillator; CRT - cardiac resynchronisation therapy; NYHA - New York heart association.

Table S7. Multivariate predictors of all-cause death.

| Variable | HR | 95% CI of HR | | p value | Wald | % Global Wald |
|---------------------------------------|------|--------------|------|---------|-------|---------------|
| | | Low | High | | | |
| Age (per year) | 1.04 | 1.03 | 1.04 | <0.001 | 62.6 | 12.34 |
| Male sex | 1.67 | 1.38 | 2.01 | <0.001 | 28.6 | 5.64 |
| Diabetes | 1.21 | 1.02 | 1.43 | 0.026 | 4.97 | 0.98 |
| COPD | 1.64 | 1.37 | 1.97 | <0.001 | 29 | 5.72 |
| Ischaemic aetiology | 1.01 | 0.85 | 1.20 | 0.896 | 0.02 | 0.00 |
| NYHA class (vs 1) | | | | 0.002 | 4.86 | 0.96 |
| 2 | 1.44 | 1.11 | 1.88 | 0.006 | | |
| 3 | 1.59 | 1.21 | 2.09 | 0.001 | | |
| 4 | 2.36 | 1.37 | 4.04 | 0.002 | | |
| Heart rate (per bpm) | 1.00 | 1.00 | 1.01 | 0.273 | 1.2 | 0.24 |
| QRS interval (per ms) | 1.00 | 1.00 | 1.00 | 0.988 | 0 | 0.00 |
| Haemoglobin (per g/dl) | 0.87 | 0.83 | 0.92 | <0.001 | 28.56 | 5.63 |
| Lymphocytes (per 10 ⁹ /L) | 0.77 | 0.68 | 0.87 | <0.001 | 17.73 | 3.50 |
| Neutrophils (per 10 ⁹ /L) | 1.09 | 1.05 | 1.13 | <0.001 | 21.51 | 4.24 |
| Platelets (per 10 ⁹ /L) | 1.00 | 1.00 | 1.00 | 0.093 | 2.82 | 0.56 |
| Sodium (per mmol/L) | 0.96 | 0.94 | 0.98 | <0.001 | 15.29 | 3.01 |
| eGFR (per ml/Kg/min) | 0.99 | 0.99 | 1.00 | 0.004 | 8.26 | 1.63 |
| Albumin (per g/L) | 0.95 | 0.93 | 0.97 | <0.001 | 21.24 | 4.19 |
| Ln Vitamin D (per 2.82-fold increase) | 0.88 | 0.76 | 1.02 | 0.100 | 2.72 | 0.54 |
| LVEF (per %) | 0.99 | 0.98 | 0.99 | 0.001 | 10.96 | 2.16 |
| Diuretic dose (per mg/day) | 1.00 | 1.00 | 1.00 | 0.002 | 9.48 | 1.87 |

eGFR - estimated glomerular filtration rate; LVEF - left ventricular ejection fraction; COPD - chronic obstructive pulmonary disease; ICD - implantable cardioverter defibrillator; CRT - cardiac resynchronisation therapy; NYHA - New York heart association.

Figure S1. Sepsis death in relation to other common modes of death in people with LVEF<40%.

A) Relative contribution of modes of death to overall mortality, with sepsis (represented by the hatched region) accounting for over half of non-cardiovascular death. B) Relative contribution of primary sources of sepsis to overall sepsis deaths.

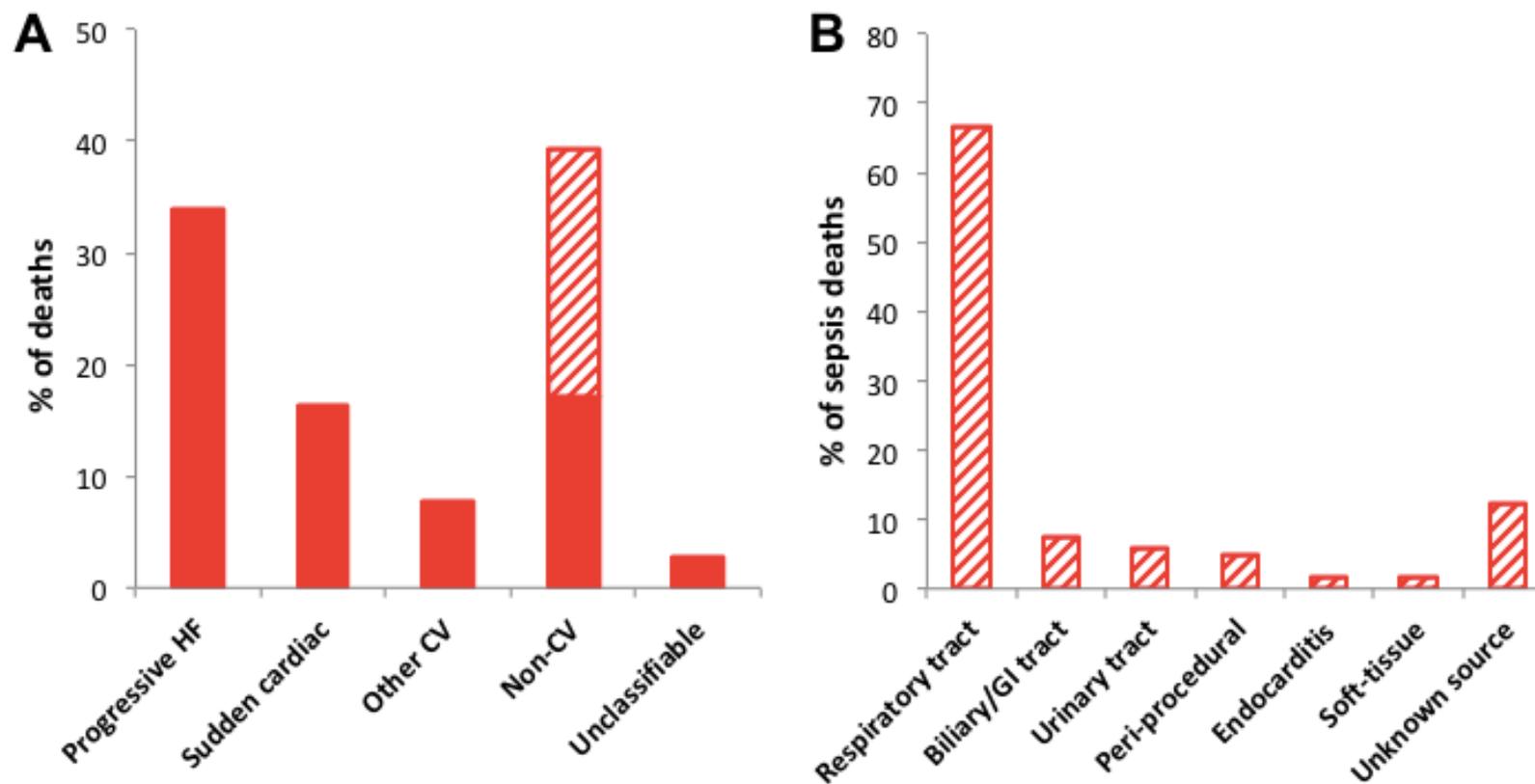
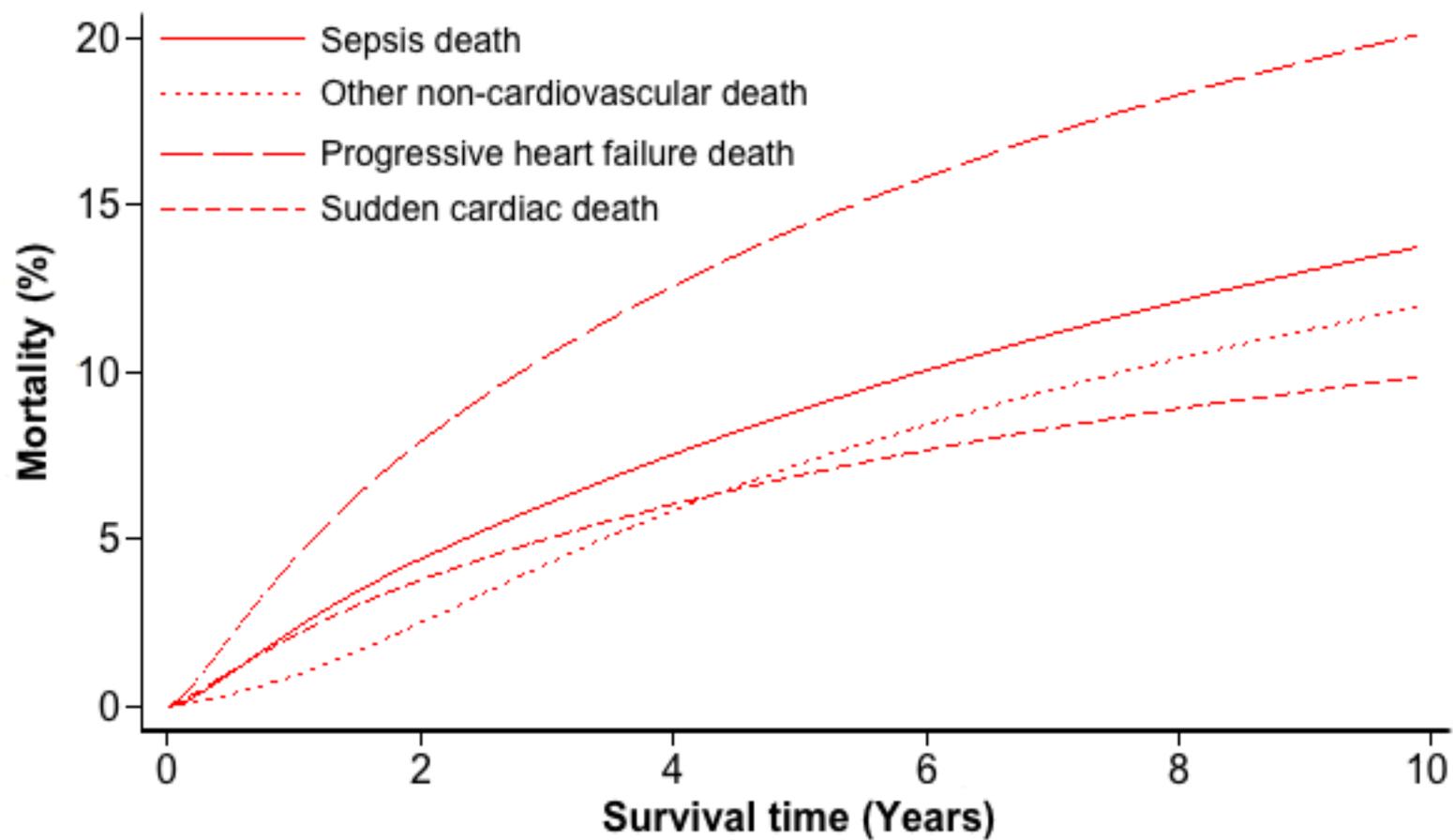


Figure S2. Cumulative incidence of sepsis death in relation to other common modes of death in people with LVEF<40%.

Cumulative incidence functions illustrating sepsis, other non-cardiovascular, progressive heart failure and sudden cardiac death during follow-up of people with LVEF<40%.



Supplemental References:

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