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# 1 Golden Single-atomic-site Platinum Electrocatalysts

2 3 4 5	Paul N. Duchesne, <sup>†</sup> Z.Y. Li, <sup>‡,#</sup> Christopher P. Deming, <sup>§,#</sup> Victor Fung, <sup>⊥,#</sup> Xiaojing Zhao, <sup>∥</sup> Jun Yuan, <sup>v</sup> Tom Regier, <sup>O</sup> Ali Aldalbahi, <sup>¶</sup> Zainab Almarhoon, <sup>¶</sup> Shaowei Chen, <sup>§</sup> De-en Jiang, <sup>⊥</sup> Nanfeng Zheng, <sup>∥</sup> and Peng Zhang <sup>*,†</sup>						
6	<sup>†</sup> Department of Chemistry, Dalhousie University, 6274 Coburg Road, Halifax, NS, Canada						
7 8	<sup>‡</sup> Nanoscale Physics Research Laboratory, School of Physics & Astronomy, University of Birmingham, Birmingham, B15 2TT, UK						
9	<sup>§</sup> Department of Chemistry and Biochemistry, University of California, Santa Cruz, CA 95064, USA						
10	<sup>1</sup> Department of Chemistry, University of California, Riverside, CA 92521, USA						
11 12	State Key Laboratory for Physical Chemistry of Solid Surfaces, and Collaborative Innovation Center of Chemistry for Energy Materials, College of Chemistry and Chemical Engineering, Xiamen University, Xiamen 361005, China						
13	<sup>v</sup> Department of Physics, University of York, York, YO10 5DD, UK						
14	<sup>O</sup> Canadian Light Source, Saskatoon, SK, Canada						
15 16	<sup>¶</sup> Department of Chemistry, College of Science, King Saud University, P.O. Box 2455, Riyadh 11451, Kingdom of Saudi Arabia						
17 18 19	* Corresponding author, Email: peng.zhang@dal.ca						
20 21	<sup>#</sup> Equally contributing second authors						
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23	formic acid oxidation						
24							

25	Abstract
26	Bimetallic nanoparticles with tailored structure constitute a desirable model system for catalysts,
27	as crucial factors such as geometric and electronic effects can be readily controlled by tailoring
28	the structure and alloy bonding of the catalytic site. Herein, we report a facile colloidal method to
29	prepare a series of platinum-gold (PtAu) nanoparticles with tailored surface structures and
30	particle diameters on the order of 7 nm. Samples with low Pt content, particularly Pt <sub>4</sub> Au <sub>96</sub> ,
31	exhibited unprecedented electrocatalytic activity for the oxidation of formic acid. A high forward
32	current density of 3.77 $A \cdot mg_{Pt}^{-1}$ was observed for Pt <sub>4</sub> Au <sub>96</sub> , a value two orders of magnitude
33	greater than those observed for core-shell-structured $Pt_{78}Au_{22}$ and a commercial Pt nanocatalyst.
34	Extensive structural characterization and theoretical DFT simulations of the best-performing
35	catalysts revealed densely packed single-atom Pt surface sites surrounded by Au atoms,
36	suggesting that their superior catalytic activity and selectivity could be attributed to the unique
37	structural and alloy bonding properties of these single-atomic-site catalysts.
38	

40 Platinum (Pt) has a long history of use in catalysis, due primarily to its high activity in a wide range of chemical reactions.<sup>1</sup> However, it faces major obstacles in some reactions, 41 42 including the problem of carbon monoxide (CO) poisoning in the formic acid oxidation (FAO) reaction.<sup>2</sup> Due to the very strong binding affinity of CO at Pt surfaces, exposure to even small 43 quantities of CO can gradually poison (*i.e.*, deactivate) a Pt catalyst.<sup>3</sup> In recent years, it has 44 become common to allow Pt with other metals in order to modify its catalytic properties:<sup>4–7</sup> thus, 45 it seems reasonable this approach could also be used to address the issue of CO poisoning and 46 enhance the performance of such Pt catalysts.<sup>8,9</sup> Recent advances in nanoparticle synthesis have 47 allowed for the preparation of bimetallic nanocatalysts with increasingly well-defined alloy 48 structures.<sup>10–13</sup> An important quality of these bimetallic nanoparticles is that the interaction 49 between atoms of different metals at the nanoparticle surface can result in significantly enhanced 50 catalytic activity due to both geometric and electronic effects.<sup>14–16</sup> By definition, these alloy 51 52 interactions occur at the boundaries between domains of the two metals; thus, any catalytic 53 enhancement effect should also be maximized by increasing the degree of intermetallic mixing at the nanoparticle surface.<sup>16</sup> A very interesting and little-researched limit to this mixing is found in 54 55 single-Pt-site catalysis in an alloy nanoparticle system, wherein distinct geometric and electronic 56 effects set it apart from other phase-segregated surface nanostructures via the so-called "ensemble effect" theory.<sup>17–21</sup> 57

58 Herein is presented a series of colloidal bimetallic PtAu nanoparticles, obtained via a 59 facile solution-phase synthesis, that feature stable single-atomic Pt catalytic sites with high FAO 60 activity. Electrocatalytic measurements reveal a remarkable enhancement in activity that is 61 highly dependent on the PtAu composition of the sample. Characterization of such materials is 62 very challenging, as Pt and Au have nearly identical atomic numbers. Nonetheless, we 63 demonstrate that convincing conclusions can be achieved through the use of several 64 complementary techniques, including X-ray absorption spectroscopy (XAS), X-ray 65 photoelectron spectroscopy (XPS), energy dispersive X-ray spectroscopy (EDX), high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM), and 66 67 electrochemical measurements, with each offering its own insights into the structure of the 68 subject material. The results of this structural characterization, together with density functional 69 theory (DFT) modeling, demonstrate that it is not only elemental composition that dictates the

electrocatalytic activity of the PtAu nanoparticles, but that the local bonding environment of
single-atomic-site Pt surface atoms plays the most critical role.

72 The bimetallic PtAu nanoparticles used in this study were prepared from stock solutions 73 of Pt and Au chloride precursor salts using a colloidal synthetic method adapted from that of Chen et al.<sup>16</sup> This synthetic procedure and a complete set of the PtAu samples with 74 75 systematically varied compositions from 4% Pt to 96% Pt are provided in Supplementary Fig. 1. 76 An illustration of nanoparticle formation from ions in solution is shown in Fig. 1a. Of particular 77 importance was the replacement of the CO reducing agent/co-ligand (used in the original 78 synthesis) with ethylene glycol (EG), such that the PtAu nanoparticles were prepared in a CO-79 free environment. Following synthesis, these nanoparticles were deposited onto a carbon powder 80 support material in preparation for catalytic activity testing. Transmission electron microscopy 81 (TEM) was employed to confirm successful sample preparation (see Supplementary Fig. 2), and 82 inductively coupled plasma optical emission spectroscopy (ICP-OES) was used to measure 83 relative elemental compositions and mass loadings (see Supplementary Table 1). TEM results 84 confirmed a high degree of nanoparticle dispersion and uniformity, with observed mean particle 85 diameters of *ca*. 7 nm for all samples, and ICP-OES measurements revealed an average mass 86 loading of  $11 \pm 2$  wt% for the carbon-supported samples. The measured elemental compositions 87 from ICP-OES (i.e., Pt<sub>78</sub>Au<sub>22</sub>, Pt<sub>53</sub>Au<sub>47</sub>, Pt<sub>17</sub>Au<sub>83</sub>, Pt<sub>7</sub>Au<sub>93</sub>, and Pt<sub>4</sub>Au<sub>96</sub>) corresponded closely to 88 the nominal concentrations of Pt and Au used during synthesis, and are hereafter used to 89 distinguish between PtAu samples.

90 CV analysis yielded a very interesting result regarding the specific electrocatalytic 91 activities of the PtAu nanoparticles. As can be seen from the magnitude of the Pt-mass-92 normalized current peaks at ca. 0.6 V<sub>RHE</sub> in the anodic sweeps (see Fig. 1b), the highest activities 93 were observed for those samples containing the least Pt. The most active sample, Pt<sub>4</sub>Au<sub>96</sub>, 94 exhibited activity greater than that of the commercial Pt/C catalyst and least-active PtAu 95 nanoparticle sample by up to two orders of magnitude (*i.e.*, 126 times greater than  $Pt_{78}Au_{22}$ , and 96 90 times greater than Pt/C). A strong correlation was observed between low FAO peak currents 97 and the presence of a significant peak at ca. 0.85 V<sub>RHE</sub> in the CV plot. This secondary peak arises 98 from the electrochemical oxidation of adsorbed CO molecules, which are well known to poison the surface of Pt and suppress its catalytic activity.<sup>22</sup> The absence of such a peak in the CV plots 99 100 of those PtAu nanoparticles containing the least Pt suggests that resistance to CO poisoning may

101 play a significant role in their enhanced catalytic activity. It should be noted that characterization

- 102 of pure Au nanoparticles, prepared according to the same protocol, revealed no measureable
- 103 activity in the FAO reaction (see Supplementary Fig. 3).
- 104





Fig. 1 | Synthesis, reactivity and EXAFS of catalysts. a, Illustration of nanoparticle formation via reduction of
 solvated ions. b, Pt mass-normalized anodic sweeps obtained from PtAu nanoparticle catalysts in electrolyte
 containing 0.1 M concentrations of both HClO<sub>4</sub> and HCOOH, with peak currents graphed for comparison. The data
 acquired from a commercial Pt/C catalyst is included to provide additional context. c, Plotted FT-EXAFS spectra
 obtained from Pt and Au L<sub>3</sub>-edge absorption spectra of PtAu nanoparticles, illustrating the drastic under coordination of Pt atoms in low-Pt-content samples such as Pt<sub>7</sub>Au<sub>93</sub> and Pt<sub>4</sub>Au<sub>96</sub>.

112 113

In order to gain a structural perspective on this activity enhancement, FT-EXAFS

analysis was performed on the PtAu nanoparticles at the Pt and Au L<sub>3</sub> absorption edges, shown

- in Fig. 1c. Detailed information regarding the local Pt and Au bonding environments in each
- sample was obtained by fitting these spectra (see Supplementary Fig. 4), resulting in the
- 117 structural parameter values shown in Table 1. Observed trends in coordination number and bond
- 118 length values are also presented graphically in Supplementary Fig. 5. While the scattering paths

119	involving Pt and Au atoms were too similar to produce separable peaks in the FT-EXAFS
120	spectrum (see Supplementary Fig. 6 for a topographical illustration), this similarity made it
121	possible to treat scattering from both Pt and Au as a single path (denoted Pt-M and Au-M for the
122	Pt and Au L3-edges, respectively). Analysis of the resulting bond lengths suggested that Pt atoms
123	in Pt <sub>7</sub> Au <sub>93</sub> and Pt <sub>4</sub> Au <sub>96</sub> , the most highly active PtAu samples, were present almost exclusively as
124	single-atom sites surrounded by atoms of Au. This observation is based on the close agreement
125	between the measured Pt-M bond lengths (2.82 Å) and the expected bimetallic bond length of
126	2.822 Å for a homogenous PtAu alloy, as calculated from experimental Pt-Pt and Au-Au bond
127	lengths in pure Pt and Au nanoparticles (see Supplementary Table 2). Further evidence for the
128	single-atom structure of Pt in these two samples is provided via HAADF-STEM,
129	electrochemistry and XPS valence band analyses. It is notable that a similar Pt-M bond distance
130	was also observed for Pt <sub>17</sub> Au <sub>83</sub> ; however, its Pt-M coordination number (CN) is identical to that
131	of the core-shell structured nanoparticles ( $Pt_{53}Au_{47}$ and $Pt_{78}Au_{22}$ ) and considerably higher than
132	the single-atom Pt samples. These results indicate that Pt <sub>17</sub> Au <sub>83</sub> exhibits a mixed structure
133	containing both single-atom and few-atom Pt cluster regions, with more of them located within
134	the core of the nanoparticle. Additional support for this conclusion is provided by the particularly
135	large Debye-Waller coefficient ( $\sigma^2$ ) value obtained for Pt <sub>17</sub> Au <sub>83</sub> , indicating that there is greater
136	variation among the Pt-M bond lengths due to significant contributions from both Pt-Pt and Pt-
137	Au bonds. Further evidence will also be provided in the electrochemical and valence band
138	studies.

	Pt-M				Au–M				
Sample	<b>CN</b> (atoms)	<b>R</b> (Å)	<b>σ<sup>2</sup></b> (10 <sup>-3</sup> Å <sup>2</sup> )	ΔE <sub>o</sub> (eV)	<b>CN</b> (atoms)	<b>R</b> (Å)	<b>σ<sup>2</sup></b> (10 <sup>-3</sup> Å <sup>2</sup> )	<mark>ΔE</mark> ₀ (eV)	
Pt <sub>100</sub> Au <sub>0</sub>	11.1(5)	2.772(1)	2.1(2)	3.9(4)					
Pt <sub>78</sub> Au <sub>22</sub>	9(1)	2.776(4)	2.6(6)	3(1)	12(1)	2.839(4)	4.3(6)	0(1)	
Pt <sub>53</sub> Au <sub>47</sub>	9(2)	2.776(8)	3(2)	2(1)	12.1(9)	2.851(3)	4.2(4)	2.3(7)	
Pt <sub>17</sub> Au <sub>83</sub>	9(1)	2.818(5)	8(1)	2.8(8)	11.6(9)	2.861(3)	3.6(4)	2.6(7)	
Pt <sub>7</sub> Au <sub>93</sub>	7.4(9)	2.813(5)	6(1)	2.6(8)	12(1)	2.862(4)	3.4(5)	2(1)	
Pt <sub>4</sub> Au <sub>96</sub>	5(2)	2.82(2)	4(3)	3(3)	11.0(8)	2.871(2)	3.1(3)	3.4(6)	
Pt <sub>0</sub> Au <sub>100</sub>					12.0(4)	2.872(1)	3.4(2)	2.7(3)	

139 Table 1 | Structural parameter values for PtAu nanoparticles.

These parameter values were obtained by fitting the respective Pt and Au  $L_3$ -edge FT-EXAFS spectra of each nanoparticle sample. Numbers in parenthesis indicate the uncertainty (1 $\sigma$ ) in the last digit of the corresponding value.

140 EXAFS analysis of local CNs revealed that Pt atoms were under-coordinated in the PtAu 141 nanoparticles, especially those bearing highly active single-atom sites. CNs for the Pt–M 142 scattering path ranged from 9 nearest neighbours in Pt<sub>78</sub>Au<sub>22</sub> to just 5 in Pt<sub>4</sub>Au<sub>96</sub>, standing in 143 stark contrast with the CN of 11 observed for pure Pt nanoparticles synthesized using the same 144 method. Combined with Au-M CNs on the order of 11 to 12 nearest neighbours, this evidence 145 strongly suggests an Au-core/PtAu shell nanoparticle structure, with Pt predominantly located at 146 surface sites. Furthermore, Pt concentrations measured using surface-sensitive X-ray 147 photoelectron spectroscopy (XPS) were consistently greater than those obtained using bulk-148 sensitive ICP-OES (see Supplementary Fig. 7), providing convincing evidence of Pt surface-149 enrichment in all PtAu nanoparticle samples. The very low CNs observed for Pt<sub>7</sub>Au<sub>93</sub> and 150 Pt<sub>4</sub>Au<sub>96</sub> (7 and 5, respectively) further indicate that Pt should be predominantly found on the particle surface with high-level surface roughness, including edge and corner sites.<sup>12</sup> X-ray 151 152 absorption near edge structure (XANES) analysis of samples (see Supplementary Fig. 8) further 153 confirmed the metallic nature of both Pt and Au in these nanoparticles, and provided support for 154 the presence of single-atom Pt sites via a positive binding energy shift and increased white line breadth (both indicative of increasingly isolated Pt atoms<sup>23</sup>). This high degree of direct Pt–Au 155 156 interaction was also observed in the XPS data, which featured a negative shift of the Pt 4f 157 electron binding energies of  $Pt_{17}Au_{83}$  and  $Pt_4Au_{96}$  (as was shown in Supplementary Fig. 7). In 158 contrast to the extensive Pt-Au mixing in those samples with a low Pt content, Pt<sub>53</sub>Au<sub>47</sub> and 159 Pt<sub>78</sub>Au<sub>22</sub> exhibited predominantly Pt-Pt bonding, reflecting their more complete Au-core/Pt-shell 160 structures.

161 The emerging picture of these nanoparticles as containing Pt preferentially located at the 162 surface of Au cores is consistent with real space imaging of these nanoparticles using atomic 163 resolution high angle annular dark field scanning transmission electron microscopy (HAADF-164 STEM) and elemental imaging using spatially resolved energy dispersive X-ray (EDX) 165 spectroscopy (see Fig. 2a-c). In order to prevent beam damage to these nanoparticles, electron 166 beam intensity was minimized during EDX spectroscopy measurements, which resulted in low 167 X-ray counting statistics; nonetheless, there is strong evidence for Au signal originating 168



Fig. 2 | HAADF-STEM images and structural models. a, b, c, STEM/EDX mapping images of individual particles
from Pt<sub>4</sub>Au<sub>96</sub> and Pt<sub>7</sub>Au<sub>93</sub> single-atom Pt, and Pt<sub>78</sub>Au<sub>22</sub> core-shell samples, respectively. d, Structural model of an
ideal, truncated octahedral nanoparticle with optimal single-atomic site coverage. Though strictly not
representative of the nanoparticles synthesized herein, this model provides a reasonable estimate of the upper
concentration limit for such single-atom Pt sites. e, f, g, Models depicting the proposed evolution of PtAu surface
structures from single-atom Pt sites to few-atom Pt clusters to a complete Pt shell, respectively, as a result of
increased Pt content.

- 178
- 179 mainly from the cores of nanoparticles, with the Pt signal being more diffuse and slightly
- 180 enhanced at the particle edges. This effect can also be illustrated by comparing the overlay EDX
- 181 maps of representative particles shown in Fig.2a-c. It is noteworthy that similar control over
- 182 surface structure generally requires two or more sequential steps during synthesis, as Pt and Au
- are capable of forming homogeneous alloys.<sup>23–26</sup> The ability to achieve these complex surface

morphologies in a single reaction step likely stems from a combination of factors, including
sequential reduction (due to the more negative reduction potential of Au relative to Pt) and
preferential coordination of stabilizing amine ligands to surface Pt sites rather than Au sites.<sup>27,28</sup>
The significantly greater adsorption energy of the oleylamine ligand molecules at surface Pt sites
can contribute to the preferential Au-core/Pt-shell structure of the PtAu nanoparticles, as it
results in greater stabilization and limits further deposition of Au atoms, thereby resulting in a Ptenriched surface.

191 The observation of a Pt-rich surface is consistent with a single-atom Pt surface structure. 192 For an ideal truncated octahedral nanoparticle (see Fig. 2d), maximum surface coverage by 193 single-atomic sites (*i.e.*, with no co-adjacent Pt atoms) is achieved with a Pt content of 5.5%. 194 While atomic-scale HAADF-STEM images suggest that the PtAu nanoparticles synthesized 195 herein are predominantly polycrystalline, this single-crystalline estimate serves as a reasonable 196 upper concentration limit at which exclusively single-atom Pt sites are able to exist, and suggests 197 that Pt<sub>4</sub>Au<sub>96</sub> and Pt<sub>7</sub>Au<sub>93</sub> could reasonably exhibit such a surface morphology.

198 Based on the available information, the PtAu samples can now be categorized in terms of 199 their surface structure: Au with single-atom Pt sites (Pt<sub>7</sub>Au<sub>93</sub> and Pt<sub>4</sub>Au<sub>96</sub>), Au with single-atom 200 and few-atom Pt sites (Pt<sub>17</sub>Au<sub>83</sub>), and Au-core/Pt-shell (Pt<sub>78</sub>Au<sub>22</sub> and Pt<sub>53</sub>Au<sub>47</sub>). This gradual 201 change from single-atomic to near-complete shell coverage in these PtAu/C nanocatalysts is 202 illustrated in Fig. 2e-g. Additional support for the proposed surface structures of the 203 nanoparticles can be found in the detailed analysis of their electrocatalytic performance 204 presented in Fig. 3. The disappearing peak at *ca*. 0.85 V<sub>RHE</sub> in Fig. 1b is more clearly shown in 205 the first-derivative plots of Pt mass activity in Fig. 3a. Such peak intensity was completely absent 206 in both the Pt<sub>7</sub>Au<sub>93</sub> and Pt<sub>4</sub>Au<sub>96</sub> samples (as is more clearly illustrated in Supplementary Fig. 9), 207 and was markedly reduced in Pt<sub>17</sub>Au<sub>83</sub>. These electrochemical results offer another piece of 208 evidence for the Pt single-atom structure in Pt<sub>7</sub>Au<sub>93</sub> and Pt<sub>4</sub>Au<sub>96</sub>. As is illustrated in Fig. 3c, the 209 existence of adjacent Pt surface sites will produce a CO poisoning peak in the first derivative 210 voltammogram, whereas the single-atom Pt geometry of the catalyst surface will encourage the 211 CO<sub>2</sub> formation via the dehydrogenation mechanism. Moreover, the small CO first derivative 212 peak for  $Pt_{17}Au_{83}$  in Fig. 3a illustrates the existence of some smaller, cluster-like Pt domains in 213 this sample. Fig. 3b shows that a similar trend in specific activity is observed when normalizing 214 FAO anodic sweep voltammograms by the electrochemically active surface area (ECSA) of Pt,

215 indicating again that the observed increase in activity was not simply due to an increased

- 216 proportion of Pt surface sites in those samples with lower Pt content. Both Pt<sub>7</sub>Au<sub>93</sub> and Pt<sub>4</sub>Au<sub>96</sub>
- 217 exhibited very similar peak intensities when the anodic sweeps were normalized by the
- 218 combined ECSAs of Pt and Au, suggesting that they shared a similar surface structure in addition
- 219 to their mutual resistance to CO poisoning. Finally, normalization of electrocatalytic
- 220 performance by total metal catalyst mass resulted in a trade-off between reduced surface
- poisoning by CO and the number of available Pt catalytic sites (see Supplementary Fig. 10).



223 Fig. 3 | Further electrochemical analysis. a, First-derivative of Pt mass-normalized FAO voltammograms 224 presented in Fig. 1a. The blue box highlights the sensitivity of the first-derivative peak at ca. 0.85 V<sub>RHF</sub> to the Pt 225 surface structure. b, FAO anodic sweep voltammograms obtained from PtAu nanoparticle and commercial Pt/C 226 catalyst samples, as normalized by the electrochemically active surface area of Pt. These voltammograms were also obtained using a sweep rate of 10 mV s<sup>-1</sup> and an electrolyte containing 0.1 M concentrations of both HClO<sub>4</sub> 227 228 and HCOOH. c, Schematic illustration of the dehydrogenation and dehydration mechanisms of FAO reactions on 229 PtAu surfaces. d, Cyclic voltammograms from PtAu nanocatalysts (shown at full amplitude) and commercial Pt 230 nanocatalysts (shown at half amplitude to facilitate comparison) acquired in 0.1 M HClO<sub>4</sub> with a sweep rate of 100  $mV \cdot s^{-1}$ . 231

232

233 This structure is experimentally supported by the behaviour of distinct Au–O adlaver 234 formation on the exposed Au surfaces, as revealed by CV measurements under non-catalytic 235 conditions (see Fig. 3d). Regions of interest include the positive Pt-H desorption peaks 236 highlighted from ca. 0.1 to 0.4 V<sub>RHE</sub>, and the negative Pt–O and Au–O adlayer removal peaks 237 highlighted from 0.55 to 0.95 V<sub>RHE</sub> and from 1.15 to 1.35 V<sub>RHE</sub>, respectively. As expected from 238 the relative compositions, the areas of the Pt-O and Au-O adlayer removal peaks revealed a 239 gradual transition from a pure Pt surface in Pt<sub>78</sub>Au<sub>22</sub> (indicative of its Au-core/Pt-shell structure) 240 to the predominantly Au surface decorated with Pt in Pt<sub>4</sub>Au<sub>96</sub>. In addition to a steady reduction 241 of Pt-H peak area, a significant negative shift of the Pt-O adlayer removal peak was observed 242 with decreasing Pt content; this shift reached a maximum of ca. 0.1 V<sub>RHE</sub> in Pt<sub>4</sub>Au<sub>96</sub>, revealing 243 markedly strengthened Pt-O adsorption, likely due to the increasingly lowered coordination 244 numbers of Pt atoms in these samples. This observation provides strong evidence for the 245 thorough mixing of Pt and Au at the surface of samples with low Pt content, resulting in a 246 relatively even distribution of Pt across the predominantly Au surface. The less-drastic shift of 247 the Au–O adlayer desorption peak relative to that of Pt–O can be explained by the fact that any 248 charge transfer effects involve up to 9 Au atoms per Pt atom, depending on the relative 249 composition of the sample.

250 Given that CO poisoning has a drastic impact on the measured activity of Pt-based FAO 251 catalysts, DFT calculations were performed to study the adsorption properties of CO at single-252 atom, few-atom, and pure Pt surfaces (see Fig. 4a-c). The (111) surface is selected in our DFT 253 calculations since the (111) sites are the most abundant surface sites in regular colloidal Au and 254 Pt nanoparticles. Multiple adsorption sites for CO exist at pure and few-atom Pt surfaces (in 255 order of increasing adsorption energy: apical, bridging, hcp hollow, and fcc hollow), whereas 256 only a single site (apical) was found to be significant for the single-atom Pt surface. The results 257 of these calculations indicate that CO adsorption is weakened at all adsorption sites on both few-258 atom and single-atom Pt surfaces relative to bulk Pt (see Fig. 4d and Supplementary Table 3). 259 Adsorption energies of CO at apical sites, for example, are reduced from -1.268 eV on bulk Pt to 260 -1.063 and -1.032 eV on few-atom and single-atom surfaces, respectively. This finding is in line 261 with the observed enhancement in FAO activity, rationalized via a weakening of the typically too-strong CO adsorption on Pt (as per the Sabatier Principal).<sup>29,30</sup> While this weakened CO 262

263 adsorption is consistent with the observed activity enhancement, however, it is generally 264 recognized that the availability of adjacent adsorption sites also makes a significant contribution to FAO activity.<sup>7</sup> It is worthwhile to note that the PtAu nanoparticles also show significant 265 retention of activity as shown by both chronoamperometric measurements performed at 0.55 266 267  $V_{RHE}$  and repeated cycling between 0.1 and 1.1  $V_{RHE}$  (Supplementary Fig. 11). These results 268 further indicate the fairly good stability of the alloy-based single-atom catalysts, with the latter 269 demonstrating that high activity (> 70% of the initial value) can be retained even after 1,500 270 successive rounds of potential cycling.



271

Fig. 4 | DFT-calculated binding of CO at PtAu surfaces. a, b, c, Illustration of CO adsorption modes on model (111)
 lattices of pure, few-atom, and single-atomic Pt surfaces, respectively, including apical (*i*), bridging (*ii*), hcp hollow
 (*iii*), and fcc hollow (*iv*) coordination sites. d, Calculated adsorption energies for the indicated CO adsorption sites.
 e, f, Predominant FAO reaction pathways on few-atom (or greater) and single-atom Pt surfaces, highlighting the
 selectivity achieved via the ensemble effect.

278 While a number of possible pathways exist for the oxidation of formic acid at Pt surfaces, the dehydrogenation and dehydration pathways tend to predominate, overall (see Fig. 4e).<sup>30</sup> The 279 280 former pathway is responsible for the majority of the observed FAO activity of Pt, the latter is a 281 major concern, as it leads to the formation of adsorbed CO species at Pt sites and blocks them 282 from participating in future reaction steps. Due to its large impact on catalytic activity, surface 283 morphology also plays a large role in determining which of these two reaction pathways will predominate. Both DFT calculations<sup>31</sup> and experimental evidence<sup>32</sup> support this observation, 284 285 indicating that only one Pt adsorption site is required for formic acid dehydrogenation, whereas 286 the indirect dehydration pathway requires a greater number of adjacent atoms. This so-called 287 "ensemble effect" strongly discourages the detrimental indirect reaction, leaving the direct 288 dehydrogenation reaction as the primary reaction pathway (see Fig. Error! Reference source 289 not found.4f). Thus, the formation of isolated single-atom catalytic sites can be linked to the 290 remarkable activity increases observed in these PtAu nanocatalysts.

291 Valence band analysis was also performed on the PtAu/C nanocatalyst using both DFT 292 density of states (DOS) calculations and XPS measurements to better understand the electronic 293 effect of alloy formation on catalytic activity. The DFT DOS results in Fig. 5a predict a drastic 294 reduction of intensity near the Fermi level (*i.e.*, 0 eV) for the single-atomic PtAu surface relative 295 to the pure Pt surface. Tellingly, in the XPS valence band measurements shown in Fig. 5b-e, the 296 near-Fermi level DOS (i.e., -2 to 0 eV) is also found to be very sensitive to the local structural 297 environment of Pt. The two samples bearing single-atom Pt sites, Pt<sub>4</sub>Au<sub>96</sub> and Pt<sub>7</sub>Au<sub>93</sub>, show the 298 lowest DOS intensity in the near-Fermi level region, being virtually identical to the pure Au 299 surface. In contrast, a pronounced increase in DOS intensity near the Fermi level is observed for 300 the few-atom-cluster Pt sample, Pt<sub>17</sub>Au<sub>83</sub>. Finally, when a more complete Pt shell is formed at the 301 Au nanoparticle surface in Pt<sub>53</sub>Au<sub>47</sub>, the near-Fermi level DOS becomes higher still and its 302 intensity begins to approach that of the pure Pt surface.

The aforementioned results indicate that samples containing larger amounts of Pt demonstrate much more pronounced Pt character in their valence bands, resulting in increased DOS intensities near the Fermi level. For single-atom Pt surfaces, however, no such Pt–Pt bonding exists and the overall DOS is determined by the predominant Au–Au bonding. Thus, the low DOS intensities observed near the Fermi level of single-atom Pt surfaces arises from the large number of Au atoms relative to Pt. When Pt–Pt bonds begin to appear, as occurs in the few-



310

Fig. 5 | Calculated and experimental DOS. a, Calculated DFT density of states (DOS) plots corresponding to the
 pure and single-atom Pt surfaces used to calculate CO adsorption energies in Fig. 4a-d. b, c, d, e, XPS valence band

313 spectra of Pt<sub>4</sub>Au<sub>96</sub>, Pt<sub>7</sub>Au<sub>93</sub>, Pt<sub>17</sub>Au<sub>83</sub>, and, Pt<sub>53</sub>Au<sub>47</sub> samples compared against those of Pt and Au foil references.

314 Red arrows indicate the near-Fermi level region, wherein samples containing single-atom Pt sites show

- 315 considerable difference from those containing few-atom cluster and core-shell Pt surfaces.
- 316

317 atom Pt clusters of Pt<sub>17</sub>Au<sub>83</sub>, the DOS intensity of the near-Fermi level increases 318 considerably. These results are in good agreement with previous observations that DOS intensity 319 near the Fermi level is determined primarily by chemical bonding between like nearest neighbor 320 atoms.<sup>33</sup> This means that the identical near-Fermi level DOS intensities observed for Pt<sub>4</sub>Au<sub>96</sub>, Pt<sub>7</sub>Au<sub>93</sub>, and the bulk Au reference prove, again, the single-atomic Pt structure for these two 321 322 PtAu nanoparticle samples. Furthermore, the valence band structure of PtAu nanoparticle 323 samples is also closely linked to their catalytic performance. Due to relativistic effects, the d-324 orbitals of Au are higher in energy, and the valence s-orbital lower, than would typically be 325 expected, resulting in a shift of electron density from the former to the latter. As a result, Au 326 atoms are less able to accept  $\sigma$ -donated electrons and engage in  $\pi$  back-donation to adsorbed CO 327 molecules, weakening CO adsorption energies on these surfaces as was shown previously in Fig. 328 4.

329 In conclusion, bimetallic PtAu nanoparticles with extraordinary electrocatalytic FAO 330 activity have been synthesized using a facile and easily tuneable colloidal method. By 331 employing a comprehensive suite of structural characterization techniques, these PtAu 332 nanocatalysts were identified as having distinct Au-core/Pt-shell, few-atom Pt cluster, or single-333 atom Pt surface structures, dictated by their relative elemental compositions. Structural 334 characterization revealed a high surface density of low-coordinate, single-atom Pt sites in 335 Pt<sub>7</sub>Au<sub>93</sub> and Pt<sub>4</sub>Au<sub>96</sub>, which, according to the ensemble effect theory, prevented these catalysts 336 from self-poisoning via CO generation at their surfaces. Thus, the observed orders-of-magnitude 337 increase in Pt mass-normalized FAO activity for the best-performing PtAu nanocatalyst (single-338 atomic Pt<sub>4</sub>Au<sub>96</sub>) relative to the poorest (core-shell Pt<sub>78</sub>Au<sub>22</sub> and commercial Pt) is readily 339 explained in terms of surface structure and alloy bonding. DFT calculations further supported 340 these results by revealing weakened adsorption of CO to few-atom and single-atom Pt surfaces 341 as a result of both electronic effects arising from Pt-Au bonding interactions and the formation of 342 discrete single-atom Pt catalytic sites (*i.e.*, the ensemble effect). Unlike many other published 343 syntheses, only a single-step colloidal method was required to achieve a high degree of control 344 over surface structure and alloy bonding, and a very high surface packing density of single-atom 345 catalytic sites up to 7% can be achieved. As a result, the excellent electrocatalytic activity of 346 these PtAu nanoparticles represents remarkable progress in the development of single-atomic347 site Pt catalysis, with their exceptional selectivity enabling the use of highly active Pt to perform

348 reactions typically prohibited by CO poisoning.

349

# 350 Methods

- 351 Methods, including statements of data availability and any associated accession codes and references, are available
- 352 at https://doi.org/10.1038
- 353

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- 461 corrected (S)TEM operating at 200kEV.
- 462

## 463 Author contributions

- 464 P.N.D synthesized all samples, conducted the XAS experiments and analysis, performed some of
- the electrochemical and TEM studies, and wrote the manuscript. P.Z. designed the project,
- 466 coordinated the whole process of the work, and supervised P.N.D. to conduct this research.
- 467 Z.Y.L. and J.Y. performed the HAADF-STEM measurements and image analysis. C.P.D.
- 468 performed the electrochemical experiments under the supervision of S.C. V.F. conducted the
- 469 DFT calculations under the supervision of D.J. X.Z contributed to the TEM measurements under
- 470 the supervision of N.Z. A.A. and Z.A. also contributed to part of the TEM measurements. T.R.
- 471 performed some of the XPS measurements at the Canadian Light Source.
- 472

## 473 **Competing Financial Interests**

- 474 The authors declare no competing financial interests.
- 475

## 476 Additional information

477 Supplementary information is available for this paper at https://doi.org/10.1038

478

## 479 Methods

- 480 **Materials.** Dihydrogen hexachloroplatinate (H<sub>2</sub>PtCl<sub>6</sub>·6H<sub>2</sub>O, 99.9 %), hydrogen tetrachloroaurate
- 481 (HAuCl<sub>4</sub>·3H<sub>2</sub>O, 99.9 %), ethylene glycol (EG, 99+ %), and HiSPEC 3000 carbon-supported Pt
- 482 catalyst (Pt/C,  $\leq$  500 ppm impurities) were purchased from Alfa Aesar. Oleylamine (OAm, C18-
- 483 content 80-90 %) and formic acid (HCOOH, 99 %) were purchased from Acros Organics.
- 484 Vulcan XC-72 carbon powder was purchased from Cabot. Nafion® 117 Solution (Nafion, 5 %)
- 485 and *n*-butylamine (BuNH<sub>2</sub>, 99.5 %) were purchased from Sigma Aldrich. Perchloric acid
- 486 (HClO<sub>4</sub>, 70 %) was purchased from Fisher Scientific. Ethanol used in cyclic voltammetry

487 experiments (EtOH, 96 %) was purchased from J.T. Baker. All reagents and solvents were used488 as received, without further purification.

489 Synthesis of PtAu Nanoparticles. PtAu nanoparticles were prepared from stock solutions of Pt 490 and Au chloride precursor salts, with nominal compositions of 10, 25, 50, and 75 atom% Pt 491 being selected by controlling the ratio of precursors used. In a typical synthesis, 72.5 mg 492 H<sub>2</sub>PtCl<sub>6</sub>·6H<sub>2</sub>O and 55.1 mg HAuCl<sub>4</sub>·3H<sub>2</sub>O were each dissolved in 70 mL of OAm through a 493 combination of ultrasonication and manual mixing to obtain metal precursor stock solutions. 494 Appropriate volumes of these Pt and Au stock solutions (prepared in OAm) were then added to a 495 100 mL round-bottom flask to give the desired Pt-to-Au ratio with a total volume of 20 mL. An 496 additional 20 mL of EG was then added while mixing vigorously using a magnetic stirrer. The 497 resulting mixture was subsequently bubbled with  $N_{2(g)}$  for several minutes in order to remove dissolved O<sub>2(g)</sub> from solution. Each mixture was then placed in a 180 °C oil bath, sealed, and 498 499 allowed to react for 1 h. Once the reaction was complete, flask was removed from heat and 500 allowed to cool to room temperature while still sealed under a N<sub>2(g)</sub> atmosphere. Due to the large 501 excess of reducing agents employed in this reaction (OAm and EG, also functioning as solvents), all Pt<sup>4+</sup> and Au<sup>3+</sup> species can reasonably be assumed to have been reduced to a zero-valent state. 502 503 In order to purify the product nanoparticles, each sample was divided amongst several 30 mL 504 polypropylene centrifuge tubes. The nanoparticles were precipitated via addition of two to three 505 volume equivalents of EtOH, and then isolated by centrifuging the resulting suspension (at 6,000 506 RPM for 5 min) and decanting the supernatant. The precipitated nanoparticles were then 507 redispersed in hexanes via brief ultrasonication and shaking before being again precipitated and 508 centrifuged. After this second centrifugation cycle, the purified nanoparticles were redispersed in 509 hexanes and centrifuged once more to remove any insoluble material. 510 Deposition of PtAu Nanoparticles onto XC-72 Carbon Powder. Following purification, the

nanoparticle samples were deposited onto an XC-72 carbon powder support material via ligand exchange-induced destabilization. A nanoparticle loading of 20 wt% (by total mass of Pt and Au) was selected in order to allow for better comparison with the commercial Pt/C catalyst (HiSPEC 3000, 20 wt% Pt) used as a reference material for electrocatalytic testing. For each sample, four mass equivalents of XC-72 powder (assuming a theoretical yield of 100 % for each nanoparticle sample) were added to 15 mL of BuNH<sub>2</sub> and thoroughly dispersed via ultrasonication. Each purified nanoparticle sample was then precipitated using EtOH and isolated via centrifugation

- and decantation. The isolated nanoparticle material was redispersed and extracted from the
- 519 centrifuge tube using ultrasonication and successive aliquots of the previously prepared XC-
- 520 72/BuNH<sub>2</sub> suspension. This final mixture was then allowed to stir magnetically for 24 h in order
- 521 to allow the ligand exchange and nanoparticle deposition to occur gradually. Finally, the post-
- 522 deposition PtAu nanoparticle materials were isolated once more via EtOH addition and
- 523 centrifugation (at 12,000 RPM for 20 min) and allowed to dry in air.
- 524 Transmission Electron Microscopy. Bright field TEM imaging of the samples was performed
  525 both prior to and following deposition onto the XC-72 carbon support. In preparation for
- 526 analysis, each sample was dispersed in *ca*. 200 µL EtOH via sonication and drop-cast onto
- 527 Formvar-coated copper TEM grids. Unsupported PtAu nanoparticle samples were imaged using
- 528 a JEOL JEM-2100F transmission electron microscope operated at a 200 kV accelerating voltage.
- 529 PtAu nanoparticle samples supported on XC-72 carbon powder were imaged using a Tecnai F-30
- transmission electron microscope operated at a 300 kV accelerating voltage. Size distributions
- and mean particle diameters of the nanoparticles were measured and calculated using
- 532 MacBiophotonics ImageJ software<sup>34</sup> using several representative images from each sample.
- 533 HAADF and EDX mapping measurements were performed at the University of Birmingham
- 534 (UK) using a JEOL 2100F scanning transmission electron microscope with a CEOS aberration-
- 535 corrector. A JEOL annular dark field detector was used for HAADF imaging and a Bruker
- 536 XFlash 4030 silicon drift detector was used for EDX mapping of the PtAu nanoparticle samples.
- 537 During the EDX mapping, the HAADF-STEM images were monitored to check for possible
- beam-induced structure changes of the atomic structure. EDX data presented are from only those
- 539 nanoparticles that did not show any visible structural changes.
- 540 X-ray Absorption Spectroscopy. XAS measurements were performed using the Sector 20-BM
  541 beamline of the Advanced Photon Source at Argonne National Laboratory in Argonne, IL. The
  542 end-station was equipped with a double-crystal Si(111) monochromator for wavelength
  543 selection, which was detuned to 80 % in order to help reject higher harmonics of the desired
- energy; a toroidal focusing mirror was also employed to further enhance harmonic rejection.
- 545 Gas-ionization detectors were used to measure the absorption spectra of Pt and Au foil reference
- 546 materials; however, data acquisition from the bimetallic nanoparticles was complicated by
- 547 overlap between the spectra obtained at the Pt and Au L<sub>3</sub>-edges (occurring at 11,562.76 eV and
- 548 11,919.70 eV, respectively<sup>35</sup>). This challenge was addressed by employing a 12-element Ge

549 fluorescence detector to collect data from the bimetallic PtAu nanoparticles. Although there also 550 exists overlap between the Pt and Au  $L_{\alpha}$  emission peaks centered at 9,442.3 eV and 9,713.3 eV, repectively<sup>36</sup>), nearly all of the interfering signal could be filtered out by excluding the 551 552 overlapping energy region between the two (as depicted in Supplementary Fig. 12). While 553 excluding this region also resulted in a reduction of fluorescence intensity, the loss was largely 554 offset by the greater sensitivity of the fluorescence detector relative to standard absorption 555 detectors. As a result, useable spectra were obtained for all samples through the use of this 556 method. In order to compensate for the greater amount of noise present in the fluorescence data, 557 PtAu nanoparticle samples were held at  $90 \pm 1$  K during measurement in order to enhance 558 EXAFS signal intensity by supressing thermal vibrations in the material. Full details regarding 559 the data ranges ("k-range" and "R-range") used to obtain and fit the FT-EXAFS spectra are 560 presented in the Supporting Information (Supplementary Table 4). Data processing and fitting were performed using WinXAS<sup>37</sup> and Analyzer v0.1 software, with scattering paths generated by 561 FEFF8<sup>38</sup>.  $S_0^2$  values for Pt and Au (both equal to 0.93) were obtained by fitting a metallic 562 563 reference foil of same element. A bulk, homogeneous PtAu alloy structural model was used to 564 calculate the Pt-M and Au-M scattering paths used in FT-EXAFS fitting. A single metal-metal 565 scattering path was used in fitting the FT-EXAFS data because insufficient degrees of freedom 566 were available to include separate Pt and Au scattering paths; the Pt–M and Au–M fitting values 567 reported herein thus represent averages of the Pt-Pt/Pt-Au and Au-Au/Au-Pt contributions. 568 **X-ray Photoelectron Spectroscopy.** X-ray photoelectron spectroscopy (XPS) measurements 569 were performed at Canadian Light Source in Saskatoon, Canada, using an excitation energy of 570 3,000 eV at the SXRMB beamline and an excitation energy of 300 eV at the SGM beamline. 571 PtAu/C nanocatalyst samples were prepared by spreading the powder onto conductive, double-572 sided carbon tape, which was then affixed to a copper sample holder. Many scans of each sample 573 were averaged together in order to obtain higher signal-to-noise ratios. Relative PtAu 574 compositions were determined from peak areas obtained by fitting the Pt and Au 4f peaks using  $Au^{0}/Au^{+}$  and  $Pt^{0}/Pt^{2+}$  contributions in conjunction with empirically derived atomic sensitivity 575 factors.<sup>39</sup> Splitting between  $4f_{5/2}$  and  $4f_{7/2}$  peaks was set based upon data obtained from the 576 NIST X-ray Photoelectron Spectroscopy Database.<sup>40</sup> Full-width at half-maximum values for the 577  $4f_{5/2}$  and  $4f_{7/2}$  peaks within each contribution were correlated to be equal, and relative area ratios 578 were correlated such that area(4f  $_{7/2}$ ):area(4f  $_{5/2}$ ) = 4:3. XPS valence band spectra were collected 579

using an excitation energy of 3,000 eV and normalized by setting the valence band maximumequal to unity.

582 Cyclic Voltammetry. Cyclic voltammetry was performed using a CHI 710 electrochemical 583 work station with a three-electrode setup, including a polished glassy carbon working electrode 584 (rotating disc electrode), a Ag/AgCl reference electrode, and a Pt sheet counter electrode. A 585 solution of 0.1 M perchloric acid was used as the supporting electrolyte, with the addition of 0.1 586 M formic acid during FAO activity measurements. Catalyst "ink" suspensions were prepared by 587 dispersing the carbon-supported PtAu material in EtOH via ultrasonication to obtain a final catalyst concentration of 1  $\mu g \cdot \mu L^{-1}$ . A volume of the Nafion solution equal to 1 % the volume of 588 589 added EtOH was then added and sonicated for a further 15 min to complete the preparation. For 590 ORR testing, 20 uL of the catalyst ink were drop-cast onto the glassy carbon electrode surface 591 and allowed to dry completely. A 3 µL volume of a diluted Nafion solution (20 % v/v in EtOH) 592 was then deposited onto the dried catalyst layer in order to help improve its physical stability and 593 electrical conductivity. The electrode surface was allowed to dry completely prior to 594 electrochemical testing. After purging the electrolyte solution with ultrahigh purity  $N_{2(\sigma)}$ , CV 595 measurements were performed. Pt electrochemically active surface areas (ECSAs) were 596 calculated from the integrated region beneath the H-desorption peaks in each voltammograms, 597 whereas Au ECSAs were estimated from the integrated area of the Au–O adlayer removal peak. 598 **DFT Calculations.** DFT calculations were performed using Vienna *ab initio* package (VASP) 599 software. The revised Perdew-Burke-Erzerhof (RPBE) form of the generalized-gradient 600 approximation (GGA) was chosen for electron exchange and correlation in order to correct for 601 the over-binding of small molecules at transition metal surfaces observed using the standard 602 Perdew-Burke-Erzerhof approach. Although DFT-RPBE tends to overstabilize the hollow and 603 bridge sites over the atop site for CO adsorption on Pt(111), the adsorption energies on these sites are quite close (within 0.10 eV) and in good agreement with the experimental value.<sup>41</sup> The 604 605 electron-core interaction was described using the projector-augmented wave method (PAW). A 606 kinetic energy cutoff of 450 eV was used for the planewaves, and the Brillouin zone was 607 sampled using a  $3 \times 3 \times 1$  Monkhorst-Pack scheme. The surface model slab was created using a 4×4 supercell of the Pt/Au unit cell, with four atomic layers and a 15 Å unit cell. Adsorption 608 609 energies were calculated using the following equation:

610

$$E_{ads} = E_{surface+adsorbate} - (E_{surface} + E_{adsorbate})$$

- 611 wherein the energy of the adsorbate, *E<sub>adsorbate</sub>*, was computed by placing the CO adsorbate
- 612 molecule in a 10 Å wide cubic cell vacuum to prevent intermolecular interactions resulting from
- 613 the periodic boundary conditions.
- 614

# 615 **Data availability.**

- 616 The data supporting the results of this work are available from the authors on reasonable
- 617 requests.
- 618