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1 **Quality of resources: a typology for supporting transitions towards resource efficiency**  
2 **using the single-use plastic bottle as an example**

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6 **Abstract**

7 The growing British waste management sector has consistently voiced the need to improve the quality  
8 of waste-streams and thus the value of secondary resources produced, in order to achieve higher  
9 reprocessing rates. Mismanagement of wastes that may lead to contamination and degradation of the  
10 recycle feedstock constitutes one of the main barriers in the pathway to a circular economy. The sector  
11 has also repeatedly called upon manufacturers to collaborate in designing materials, components and  
12 products (MCPs) with properties that aid recovery, refurbishing, repair and recycling (e.g. separability  
13 of materials, clear labelling), as waste managers recognise the value of early engagement well before  
14 MCPs enter the supply chain (i.e. before MCPs are produced and distributed to the end user).  
15 Nonetheless, progress has been slow with regard to improved design for promoting components and  
16 products longevity and segregation at source when they reach their end of use or life stage in order to  
17 promote circularity. China's ban on imports of low quality recyclates at the end of 2017 marked the  
18 beginning of a new era in waste management, drawing attention to UK's dependence on export of low-  
19 value secondary resources and thus placing 'quality' in the spotlight. This article delves into the notion  
20 of quality, the way it is understood and assessed at different parts of the MCPs lifecycle, and makes  
21 recommendations on how it might be systematically measured. A typology to distinguish avoidable and  
22 unavoidable designed and created characteristics at all stages of MCPs lifecycle is proposed to provide  
23 industry with a tool to design wastes out of the economy. The typology's application is demonstrated  
24 using the single-use plastic bottles as an example.

25

26 **Keywords:** materials, components and products characteristics; waste management; circular economy;  
27 single-use plastic bottles; sustainability; interventions; multi-dimensional value

28

## 29 **1. Introduction**

30 Quality of wastes and secondary materials is perceived to be one of the main barriers to the greater  
31 recovery of resources from waste, including municipal solid waste, construction and demolition, and  
32 commercial and industrial wastes. Yet, quality remains an elusive notion. Traditional definitions such  
33 as “the standard of something as measured against other things of a similar kind; the degree of  
34 *excellence of something*” or “*a distinctive attribute or characteristic possessed by something*” (Oxford  
35 Dictionary of English (3 ed.)) do not reflect that in reality, the quality of materials, components, and  
36 products (MCPs) produced, and those recovered from wastes, is defined differently by each stakeholder  
37 in the system. This is driven by a number of factors: the intended use of MCPs, which depends on the  
38 properties/characteristics and original purpose (for a designer/manufacturer); existing  
39 regulations/specifications (for a specifier); cultural mind-sets and attitudes towards resources recovered  
40 from wastes such as resistance to repairing, remanufacturing, reuse, recovery and recycling (for  
41 recyclers, reprocessors and manufacturers, but also end-users); and marketability and aesthetic aspects  
42 (for manufacturers, retailers, end-users and clients).

43 Quality measurements vary across different sectors and MCPs. These are often imposed by existing  
44 regulations, legislation and standards, other quality assurance and testing protocols, or are arbitrarily  
45 defined based on a combination of stakeholder expectations regarding what properties quality should  
46 reflect. Quality in the latter category is often determined qualitatively “on-sight”, for example based on  
47 the visual appearance of MCPs (upstream the supply chain), or by interpreting the way different MCPs  
48 are separated at source (downstream). Large amounts of fruits and vegetables that are not the ‘right’  
49 shape or size are thrown away because retailers do not consider these to be up to the ‘high-quality’

50 standard demanded by consumers, leading to perfectly edible food being wasted (The Guardian, 2013);  
51 large amounts of non-target (often unrecyclable) MCPs being placed in the wrong recycling receptacles  
52 can cause entire loads of recyclable MCPs to be rejected because the overall quality might be  
53 compromised due to contamination (edie.NET, 2016). Rejection of this type can also occur at material  
54 recovery facilities (MRFs); but when materials such as paper, glass, metals and plastics are eventually  
55 sorted for further processing the quality definition changes. For example, plastic recyclate quality is  
56 often categorised by colour (e.g. translucent and clear plastics are considered of better quality) or by  
57 type (e.g. polyethylene terephthalate (PET) and high-density polyethylene (HDPE) are considered to be  
58 high-value streams and thus, are always targeted for sorting); other plastic materials may only be  
59 considered as contaminants even though it may be technically possible for them to be recycled.

60 Quality measurements for MCPs, especially in Europe, are based on specific regulations, specifications  
61 and testing protocols. For example, the production of packaging intended to come in contact with food  
62 and drink (known as food contact materials, FCMs) needs to comply with the EU food contact  
63 legislation (Regulation (EC) No 1935/2004; Regulation (EU) No 10/2011 for plastics); whereas textiles  
64 production must be aligned with the EU Textile Regulation (EU) No 1007/2011 on fibre names and  
65 related labelling and marking of the fibre composition of textile products. Some quality measurements  
66 for MCPs recovered from waste follow the same principle, with various regulations, quality protocols  
67 and standards supporting their use up to the necessary levels of environmental and human health  
68 protection, safety and hygiene. In the case of solid recovered fuel (SRF), a product derived from waste,  
69 quality is measured and regulated via a set of technical criteria outlined in the EN 15359 standard with  
70 the (i) the net calorific value (NCV) (also known as lower heating value), (ii) the total chlorine (Cl)  
71 content, and (iii) the mercury (Hg) content, being the most critical based on the end use (Iacovidou et  
72 al., 2017a). Another product derived from waste is compost, of which quality is measured via a range  
73 of physical and chemical indicators including solids (e.g. glass and non-biodegradable fragments),  
74 heavy metals (e.g. Cd, Cr, Cu, Pb, Ni and Zn), humic substances, pH and other organic contaminants  
75 (e.g. polycyclic aromatic hydrocarbons (PAHs), polychlorinated dibenzo-p-dioxins/polychlorinated  
76 dibenzofurans (PCDD/Fs)), the concentrations of which are outlined in the Compost Quality Protocol

77 and PAS100 (developed as a requirements of end-of-waste criteria set in the Waste Framework  
78 Directive 08) as well as those that can be used in different applications (Farrell and Jones, 2009). For  
79 recyclable materials such as plastic, quality at the reprocessing stage is measured by following a testing  
80 protocol that measures additives concentration, viscosity and moisture content, amongst others.  
81 Variations in quality measurements may create complexity and/or uncertainty in the system as a result  
82 of variations in the way regulations, standards and/or protocols are applied to different places. However,  
83 their purpose is to ensure that the MCPs recovered from waste meet the MCP specifications required at  
84 the production/application level in which they are going to be used; assuring high-level performance  
85 and public safety.

86 Based on the above, we concluded that if quality is to be measured according to the suitability of the  
87 MCPs to continue to be used for the same function or an alternative use, a better definition is needed.  
88 Therefore, quality of MCPs is defined here as: the remaining functionality described via the inherent,  
89 designed and created characteristics of a recovered MCP that make it suitable for the same or a  
90 different application measured against the properties required for assuring good performance and  
91 public safety in the specific application. Based on this definition, the quality of MCPs can be determined  
92 and affected by actions at any point in their lifecycle, from their initial design through to their disposal  
93 and end-of-life (EoL) management (Hahladakis and Iacovidou, 2018). The objectives of this article are:  
94 1) to provide a description of how each step of the MCPs lifecycle might affect their quality and generate  
95 insights into the key attributes that must be taken into account when assessing interventions made  
96 upstream or downstream of the point where wastes are generated, as shown in Figure 1, aimed at  
97 improving the quality of MCPs recovered from waste (Iacovidou et al., 2017c) (Section 2); 2) to propose  
98 a typology for assessing the type of improvements that could potentially be made for increasing the  
99 quality of MCPs recovered from waste (Section 3); and 3) provide a simple illustrative example of how  
100 the typology developed could be used (Section 4). The final section of the article concludes with  
101 recommendations for furthering this research.

102

103 **Figure 1** The point where materials, components and products (MCPs) are discarded as wastes marks  
104 the transition from the upstream to the downstream part of the system. Reuse, remanufacture, and  
105 secondary material produced via recycling processes are key stages in closing the loop between  
106 downstream and upstream parts of the system.

107

## 108 **2. Impact of all stages of materials, components and products (MCPs) lifecycle on their quality**

109 The composition of MCPs is defined here as the complex suite of interacting inherent and designed  
110 characteristics (e.g. colour, density, hardness, electrical conductivity, corrosion/oxidation resistance).

111 The inherent characteristics of MCPs are those that either:

- 112 • occur naturally (e.g. those of wood, raw foodstuffs, metallic elements, dimensional stone,  
113 cotton, gemstones or crude oil); or
- 114 • are produced by chemical, thermal and mechanical processes that offer a particular  
115 combination of technical properties (corrosion resistance, mechanical properties and service  
116 life) relevant to a particular use, and which cannot be changed (e.g. those of polymers,  
117 processed foodstuffs, engineered composites or metal alloys); called herein as ‘chemically  
118 produced’ characteristics.

119 The designed characteristics are those that occur during the fabrication and/or amalgamation of different  
120 materials to elicit a particular appearance and ‘feel’ (e.g. colour in plastics and paper, seasoning in  
121 foodstuff, aroma in personal care products, coating in glass and ceramic components, surface finishes  
122 in cars), as well as to enhance MCPs performance and reliability (e.g. preservatives in foodstuffs,  
123 additives in polymers, paint coating in steel components, multi-layered crisp bags and pill packets) and  
124 function (e.g. design for disassembly, ability to be repaired and serviced) (Garvin, 1987). Designed  
125 characteristics supplement inherent features and are intrinsic to the final MCPs that reach the end user.

126 Understanding composition is critical in assessing the performance and EoL management of MCPs. For  
127 instance the aluminium-lithium (Al-Li) and aluminium-magnesium-lithium (Al-Mg-Li) alloys used in  
128 aircraft metal production, although separated from other components and materials, cannot be recycled  
129 using normal facilities. This is because lithium creates an explosion hazard in the aluminium remelting  
130 phase; a consequence of ‘chemically produced’ inherent properties (Suomalainen et al., 2017).  
131 However, the extra technical value imparted by the aluminium-lithium alloys, such as low density, high  
132 elastic modulus, high strength and superior fatigue crack growth resistance, is currently an efficient way  
133 of reducing material weight and improving longevity, potentially outweighing the environmental cost  
134 of preventing recyclability (Wanhill, 1994). In the anaerobic digestion of agricultural wastes, feedstocks  
135 with a high degradability, such as cereal grains, poultry and pig manures give a higher ammonium to  
136 total nitrogen ratio than feedstocks of low biodegradability (e.g. cattle manure and silage maize), leading  
137 to varying qualities of digestate produced that is used as a fertiliser; a result of ‘naturally occurring’  
138 inherent characteristics (Möller and Müller, 2012). Biomass residues used as co-fuels in coal power  
139 plants contribute to an increase in the chlorine content of pulverised fly ash rendering it unsuitable for  
140 use as cement replacement in the concrete production industry; another example as a result of inherent  
141 characteristics (Iacovidou et al., 2017a).

142 In current practice, MCP manufacturers often bear little or no direct responsibility for the fate of the  
143 materials and components they use and products they make once they have left the factory gates. As  
144 such, MCPs are usually designed to prioritise efficiency of manufacture, consumer demands,  
145 attractiveness and competition against rival MCPs, but also use and ease of distribution over ease of  
146 recyclability. Common practices, such as the use of mixed materials (e.g. in crisp bags, coffee cups,  
147 juice boxes) make it very difficult for them to be separated and recovered at their EoL stage; hence the  
148 quality of these mixed materials is severely diminished by actions upstream (i.e. the  
149 manufacturing/application process) in the system. At the same time MCPs manufacturers are reluctant  
150 to repair products, use recovered components and/or recycled materials, ostensibly because of their  
151 perceived lower quality as opposed to new materials and components; additionally because it might  
152 impinge on the typical business models dependent on the sale of new, replacement products.

153 Traditionally in the UK, the quality of MCPs recovered from waste has been perceived as inferior,  
154 described in terms such as ‘dirty’ and ‘contaminated’ (Wrap, 2012). This is largely attributed to the  
155 practices followed downstream in the system, with disposal, collection and management practices  
156 affecting the quality of MCPs due to contamination of separately collected waste streams (e.g.  
157 recyclates) with other types of waste (e.g. food, textiles or even different types of the same material).  
158 Contamination is critical in determining the quality and fate of MCPs at their EoL stage. Some designed  
159 characteristics of MCPs can also be manifested as contamination during their EoL management (defined  
160 here as chemically induced contamination). For example, additives (e.g. antioxidants, stabilisers,  
161 plasticisers, and flame retardants) used to improve the performance of plastic products may be carried  
162 over to the new products made out of the recycled plastic; a designed feature that leads to contamination  
163 (Hahladakis et al., 2018); whereas the presence of plastic-coated food packaging, cartons, carrier bags  
164 and other items that are not certified ‘compostable’ in the biodegradable waste stream, can contaminate  
165 the compost produced; an externally induced contamination (Stangenberg et al., 2004; Vilaplana and  
166 Karlsson, 2008). Contamination of separately collected waste streams such as organics, paper, glass,  
167 plastics with other recyclable or non-recyclable materials is the most profound cause of physical  
168 contamination. For example, paper contaminated with glass fragments and/or is heavily soiled with  
169 organic material, might lead to machinery breakdowns, and/or the contamination of the entire batch  
170 respectively, leading to its diversion to incineration facilities or even landfill.

171 Another fundamental quality factor for consideration when assessing MCPs remaining functionality  
172 and recovery possibilities, is degradation, i.e. chemical and morphological alterations that change the  
173 mechanical and rheological properties (e.g. for polymers, chain conformation, molecular weight  
174 distribution, crystallinity, chain flexibility, cross-linking and branching) (Venkatachalam et al., 2012).  
175 Degradation occurs mainly during the use phase of MCPs as a result of their interaction with the  
176 environment and/or remedial measures taken to prolong lifetime and remediate damage. During the use  
177 phase, the characteristics and properties of MCPs may deteriorate due to exposure to environmental  
178 conditions (e.g. corrosion, oxidation, photo-degradation, biodegradation), and cumulative damage  
179 caused by physical loading, i.e. stress/strain, impact, abrasion and resultant deformation. For example,



180 high moisture environments can cause wood to lose its strength and stiffness, corrode metals, and cause  
181 mould to grow on plastics – an environmentally (physically) induced degradation. Physically-induced  
182 changes may introduce structural heterogeneities in the MCPs, reducing their long-term stability and  
183 performance.

184 However, degradation during the handling/sorting stages may also be quite possible due to the  
185 technologies used, causing chemically induced changes that deteriorate the properties of MCPs during  
186 their collection, sorting and reprocessing. For instance, plastic materials exposed to thermo-mechanical  
187 degradation during processing may undergo internal chemical reactions caused by high shear forces  
188 and high temperatures in an oxygen-deficient atmosphere, which may affect the mechanical properties  
189 and stability of the recycled material (Hahladakis and Iacovidou, 2018; Vilaplana and Karlsson, 2008).  
190 This may lead to the production of lower quality resources suitable only for lower value products  
191 (cascading) – a chemically induced degradation.

192 Assessing factors such as contamination, degradation, and mixing of different materials can provide  
193 insights into the likelihood and scale of MCPs to retain good quality at EoL, and the way this may vary  
194 based on the use (e.g. exposure to environmental conditions, degradation state, and intensity of use),  
195 recovery (e.g. deconstruction, disassembly, collection method, presence of impurities), reprocessing  
196 methods and their technological advancement, the existing regulatory standards, and the logistic  
197 challenges associated with MCPs EoL management. For example, in the UK, glass contamination of  
198 the paper stream in material recovery facilities (MRFs) is considered to be a significant business risk  
199 for small and medium-scale plants where sorting technology is not advanced, whereas for bigger, more  
200 sophisticated plants this is not seen as an issue. Fifty different metals are used to produce a smartphone,  
201 only a small amount of which are presently recovered and recycled (Benton and Hazell, 2014); bricks  
202 bound together with cement-based mortar are difficult to recycle (Iacovidou and Purnell, 2016). The  
203 new generation of Near Infrared (NIR) detection technologies enables better sorting of plastic waste,  
204 ensuring that the plastic offered for reprocessing is correctly separated and that physical contamination  
205 is reduced. Plastic bottles such as those used to contain beverages, although theoretically reusable, have  
206 a threshold (which varies based on type of plastic) up to which they can be safely reused before they

207 start leaking chemical substances such as DEHP and BPA into the liquid they hold, posing serious  
208 health hazards exacerbated by the intensity of their use.

209

### 210 **3. Improving the quality of MCPs recovered from waste: a typology**

211 It is interesting to note that while the inherent characteristics of materials are fixed and changes can  
212 only be inflicted by selecting different materials that have different inherent characteristics better suited  
213 to support their recovery at their EoL stage, the designed characteristics of MCPs, and those ‘created’  
214 via the application, use, disposal and management practices (often closely linked to designed  
215 characteristics and technological methods used) are most likely to affect MCPs quality, and therefore  
216 the way these are managed at their EoL stage. Although in this article we focused specifically on  
217 contamination and degradation of MCPs, other factors may also give rise to created features that may  
218 impede MCPs recovery, reuse and recycling.

219 From our rather limited list of impeding factors and based on the designed attributes of MCPs, it can be  
220 suggested that changes in the quality of MCPs recovered from waste can in some cases be avoidable  
221 (e.g. contamination of construction components with asbestos or glass commingled collection with  
222 other recyclables) or unavoidable (e.g. contamination of recycled plastic materials by their additives).  
223 The notion of “unavoidable” waste has gained policy momentum in the UK over the past years, with  
224 government aiming for zero avoidable waste by 2050 (Velenturf et al., 2018). But what exactly is  
225 avoidable waste? The distinction between avoidable and unavoidable necessitates an understanding of  
226 the characteristics required for a specific function, and those intended for serving a purpose that goes  
227 beyond the functionality of MCPs, such as marketability, brand image or even businesses and individual  
228 values, agendas, needs and preferences. Quality in the latter case can be subjective because it involves  
229 perspectives on quality that come from the people involved at the various stages of the system (e.g.  
230 manufacturers, consumers and reprocessors alike).

231 Focusing strictly on an objective way of measuring quality that is based on the properties, characteristics  
232 and functionality of MCPs, we made the assumption that inherent characteristics are unavoidable; hence  
233 the distinction between avoidable and unavoidable characteristics is mostly associated with the designed  
234 and created features of MCPs. As shown in Table 1 it can be suggested that designed characteristics  
235 can be: i) necessary and unavoidable, ii) necessary but avoidable, and iii) unnecessary and avoidable;  
236 whereas created characteristics can be i) physically induced and unavoidable, ii) physically induced but  
237 avoidable, iv) chemically induced and unavoidable, and iv) chemically induced but avoidable.

238 The distinction between necessary and unnecessary designed characteristics may appear subjective.  
239 Designed characteristics can often be intentional due to marketability, attractiveness to MCPs, customer  
240 satisfaction and acceptability, and brand image (Garvin, 1987), but some are mandatory for the  
241 manufacture of MCPs that serve a specific function (e.g. crisp bags, coffee cups), or enhance MCPs  
242 properties and promote their quality preservation for longer. Designed characteristics in the latter  
243 category focus on the nature of MCPs and ways to prolong their life and as such are an objective  
244 measure of quality, whilst the other characteristics focus mostly on secondary factors (e.g. price, brand  
245 image, marketability and cultural values) which are critical for other purposes (Garvin, 1987), but  
246 unnecessary when it comes to promoting the longevity of MCPs.

247 Similarly, the created characteristics refer to the wear and tear of MCPs during their use and EoL  
248 management as a result of their exposure to uncontrolled environmental conditions (e.g. temperature,  
249 UV radiation, wind, acidification, etc.), and changes in their characteristics during their handling  
250 processes. These characteristics are dynamic in nature, and are often dependent on the repair and  
251 maintenance activities, the technologies used, the experiences and specific processes put in place in  
252 different contexts for the management of MCPs; thus the distinction between avoidable and  
253 unavoidable.

254

255 **Table 1** Distinction between avoidable and unavoidable designed and created MCPs characteristics and  
256 their type. Designed attributes are classed into necessary and unnecessary; created attributes are classed  
257 into physically and chemically induced

258

259 Based on the above clarifications, a useful typology of quality properties to support changes in the way  
260 MCPs are designed, used and managed during their entire lifecycle, can be developed. This typology  
261 distinguishes MCPs quality into three dimensions:

- 262 1. Compositional - refers to the inherent characteristics, physical and those produced by the  
263 chemical, thermal and mechanical processes (referred here as chemically produced) that offer  
264 a particular combination of technical properties relevant to a particular use that cannot be  
265 changed;
- 266 2. Contextual – refers to the designed characteristics required for mixing different materials to  
267 create the properties relevant to a particular use and to enhance MPCs performance and  
268 reliability, as well as additional attributes that make it attractive, acceptable, marketable, etc.;
- 269 3. Dynamic – refers to the created characteristics based on area-specific environmental conditions  
270 and practices, cultural patterns, geo-political and economic situation and education.

271 Figure 1 illustrates the way this typology works. The distinction between avoidable and unavoidable  
272 characteristics is important for sustainable interventions in component and product design to be made,  
273 and/or the management thereof. The use of this typology must be mostly based on an objective quality  
274 assessment that focuses on properties and functionality of MCPs during their lifecycle. Whilst in reality  
275 this can be challenging due to the subjective way quality is understood at various stages in the system,  
276 it is a critical and necessary step in raising awareness; awareness that is not focused on the intended and  
277 desired elements of quality that serve purposes that go beyond the functionality of MCPs, but is instead  
278 focused on the conditions required for promoting changes and interventions to ensure the lifecycle  
279 quality and circularity of MCPs, and ways to implement them.

280

281 **Figure 2** Typology of quality properties of materials, components and products (MCPs), based on  
282 compositional, contextual and dynamic quality dimensions as described in Section 2.

283

284 The typology presented in Figure 2 can only be used as a way of gauging potential interventions in the  
285 system for promoting enhancement and preservation of MCPs quality. It can be a preliminary step  
286 towards producing a framework that enables practitioners to gain an in-depth understanding of the  
287 properties of materials, their mixes, and additives used to improve their performance and the  
288 implications of these during their lifecycle, based on a whole systems perspective. Findings from this  
289 step must be combined with the manufacturing industry's needs to develop MCPs that are marketable,  
290 acceptable and attractive to consumer within limits that allow for multi-dimensional value-based  
291 decisions to be made. Only then decision-makers can identify feasible and viable changes and  
292 interventions required for supporting the prevention, reuse and recycling of MCPs.

293 It might be the case that action is needed at one or various stages of the value chain in order to enable  
294 such changes to promote the longevity and/or circularity of MCPs in the economy, whilst providing  
295 safety, performance, comfort and aesthetic value to the end-users (Hahladakis and Iacovidou, 2018). It  
296 is important however, for any identified changes to be subjected to a multi-dimensional assessment and  
297 valuation process to uncover potentially hidden implications of these adaptations in both space (e.g.  
298 regional, national, global scale) and time (e.g. short-, medium- and long-term) (Iacovidou et al., 2017b;  
299 Millward-Hopkins et al., 2018).

300

#### 301 **4. Application of the typology using the single-use plastic bottle example**

302 Plastic bottles made from polyethylene terephthalate (PET) are highly engineered materials made from  
303 petrochemicals (chemically produced) that possess a number of unique properties that enable them to

304 perform well as a beverage packaging. PET bottles are often designed for single use only, which means  
305 that they are discarded soon after their use. Once they become waste they go through collection and  
306 management, with recycling being the optimal value recovery process. Across these stages PET bottle's  
307 quality is degraded often to such an extent that closed-, or even open-loop recycling (for definitions  
308 look at (Iacovidou et al., 2017c)) is not possible; hindering its looping back into the economy. Using  
309 the typology developed herein, we scrutinise how PET bottle's specific characteristics affect its  
310 potential circularity.

311 The inherent properties of PET bottle, shown in Figure 3, are those attributed to the high molecular  
312 weight polymeric structure (e.g. mechanical strength, toughness, resistance and flexibility) (Al-Sabagh  
313 et al., 2016) and are considered to be unavoidable. As a result, our quest to understanding how PET  
314 bottles quality degrades across its lifecycle, depends on gaining an insight into how the designed and  
315 created characteristics shown in Figure 3, can affect its recyclability. This is by no means an exhaustive  
316 list of designed and created characteristics, but it gives an indication of some common issues associated  
317 with PET single-use bottles quality and recyclability.

318

319 **Figure 3** Use of the quality properties typology to uncover potential interventions that can be made for  
320 improving the quality of recovered PET bottles.

321

322 Beginning from the designed characteristics, our approach to understanding avoidable and unavoidable  
323 characteristics is based on the current advances and technologies available in designing single-use PET  
324 bottles. Nowadays, PET bottles come at various shapes and sizes and are made of thin walls that make  
325 them more than 30% lighter than 15 years ago (BPF, 2018; Deligio, 2009). The stretch blow molding  
326 process (F. et al., 2004) employed for the manufacturing of PET bottles has been advanced at such level  
327 that promotes the production of thin walls with a molecular orientation and crystallisation level, which  
328 give the bottle the desired mechanical, optical and barrier properties (Subramanian, 2000); an

329 unavoidable characteristic (Fig. 3). Despite the belief that PET bottles are made entirely from PET,  
330 bottles are often composed of a polypropylene (PP) cap and a label made from polyvinyl chloride  
331 (PVC); two different types of plastics. These components have the potential to contaminate PET bottles  
332 during recycling, and as such their removal is considered to be critical.

333 Contamination is considered to be the major cause of deterioration of PET's physical and chemical  
334 properties during reprocessing, and hence of its recyclability potential (Al-Sabagh et al., 2016; Awaja  
335 and Pavel, 2005; Giorgio et al., 1994). The PP and PVC components need to be removed and although  
336 sorting processes are beneficial in removing a significant fraction of these, some may still remain  
337 creating problems during the reprocessing stage. Replacing the PP cap with a cap that is made of PET  
338 to decrease the risk of contamination is a characteristic that is currently not considered to be avoidable.  
339 This is based on the premise that PP cap provides a tighter seal, whilst investment in technologies that  
340 are currently used to get this separated from the bottle in the sorting systems creates a perverse incentive  
341 to not promote any changes. This prevents new designs for substituting PP caps with PET to be  
342 developed, however, closure systems that contain no liners and leave no residual rings are promoted for  
343 ensuring easier removal and lower risk of contamination (APR, 2012; WRAP, 2009).

344 The presence of PVC, even as little as 100 ppm, in the PET reprocessing stage can lead to the generation  
345 of hydrochloric acid, which acts as a catalyst for the chain scission reactions during the melt phase and  
346 discolours the recycled PET during processing (Awaja and Pavel, 2005). Therefore, the use of PVC  
347 labels should be avoided and new labelling systems are increasingly being promoted; slowly phasing  
348 out PVC labels which is evidently considered to be an avoidable characteristic. For example new sleeve  
349 labels and coloured coatings with removable inks have been trialled in the UK and have shown to be  
350 successfully removed during PET bottles reprocessing (WRAP, 2010).

351 The adhesives and additives used in the manufacturing of single-use PET bottles (e.g. plasticisers,  
352 colour coatings, oxygen scavengers and ultraviolet light absorbers) (Chilton et al., 2010). Adhesives  
353 can for example prevent the separation of labels from the PET bottles during the washing stage (APR,  
354 unknown; WRAP, 2010). Additives can cause undesirable effects during reprocessing (e.g.

355 discolouration, degradation and pollutants release) (APR, 2012; Hahladakis et al., 2018), affecting as  
356 such the successful sorting and reprocessing of bottles into secondary raw material of good quality  
357 characteristics (Awaja and Pavel, 2005; Subramanian, 2000). Similarly, the use of degradable additives  
358 can shorten the useful life of the bottles and therefore affect their ability to be recycled (APR, 2012).  
359 The impact of degradable additives in the reprocessing stage of PET bottles is currently unclear and  
360 therefore should be avoided. With increasing awareness on the need for promoting recyclability, the  
361 use of alternative additives are being promoted. Design for Recycling Guidelines for PET bottles have  
362 also been introduced as a way to control the additives and the type of labels used in PET bottles in order  
363 to allow their recyclability (European PET Bottle Platform (EPBP), 2018).

364 In regards to the created characteristics, exposure of PET bottles to environmental factors (e.g.  
365 temperature, UV, moisture) over a period of time such as from disposal to collection and transport and  
366 sorting, can potentially lead to unavoidable deterioration of their physical and chemical properties  
367 (Figure 3) (Venkatachalam et al., 2012). Polymers undergo degradation at every stage of their lifecycle  
368 (Vilaplana and Karlsson, 2008). Specifically, oxidative reactions lead to the formation of new oxidative  
369 functional groups that consume the stabilisers originally added to the plastic, decreasing the stability of  
370 the polymer and leading to deterioration of its mechanical properties. This may then enhance the  
371 sensitivity of the recyclates to further thermal- and photo-degradation, affecting the recycled material's  
372 future performance (Vilaplana and Karlsson, 2008). Thermal degradation may also be favoured by the  
373 synergistic effect of contaminants (e.g. PVC, additives) and moisture that may be present in the PET  
374 bottle scraps (Torres et al., 2000), during melting and mechanical injection molding phases. Acids  
375 produced due to the presence of contaminants (i.e. PVC, adhesives and additives) and residual moisture  
376 from the surface of PET plastic flakes after their washing stage, can decrease the intrinsic viscosity and  
377 molecular weight of the polymer during reprocessing due to the hydrolytic chain scission of the co-  
378 polyesters at high temperatures (Al-Sabagh et al., 2016; La Mantia and Vinci, 1994; Subramanian,  
379 2000; Torres et al., 2000). This can facilitate the crystallization of recycled PET, which reduces its  
380 elongation at break (i.e. makes it more brittle compared to its virgin counterpart) and impact strength  
381 (Torres et al., 2000; Venkatachalam et al., 2012). Discolouration may also result due to the formation



382 of various chromophoric systems following prolonged thermal treatment at high temperatures  
383 (Venkatachalam et al., 2012).

384 Removing impurities created during the disposal, collection and sorting stages is important in ensuring  
385 that most of the PET bottles can be effectively recycled. Contamination at any of these stages can be  
386 avoided if the ability of consumers to separate their plastic bottles effectively at source increases and if  
387 the collection practices align with the practices (and maturity of technologies used) at the waste and  
388 reprocessing industries. Often using compatibilisers, can enable otherwise incompatible polymers such  
389 as PET and PP or HDPE to be mixed together to create new materials with desirable physical and  
390 mechanical properties (Genjie et al., 2010; Hahladakis et al., 2018; SPI, 2015). Compatibilisation makes  
391 otherwise immiscible polymers to be finely dispersed in the other creating a macroscopically  
392 homogeneous mixture with strong resistance to coalescence, through the addition or in situ generation  
393 of a macromolecular species that exhibits interfacial activity in heterogeneous polymer blends (Kaiser  
394 et al., 2018). Despite the potential benefits of compatibilisation in improving the overall performance  
395 of the blend and in creating an advantageous combination of properties and/or the generation of new  
396 ones, this technique only enables one additional life cycle to the polymer (Kaiser et al., 2018). Burning  
397 materials recycled by compatibilisation in an energy-from-waste plant is considered to be the optimal  
398 route; therefore this technique should be avoided in a circular economy whenever possible.

399 Although trivial, the single-use plastic bottle example demonstrates the applicability of the typology  
400 developed in providing a structured way of understanding quality aspects associated with MCPs  
401 lifecycle. In addition, it highlights the typology's usefulness in generating insights into potential  
402 interventions that could be introduced in practice for designing out different types of wastes.

403

## 404 **5. Concluding remarks**

405 The perceived low quality of MCPs recovered from waste has prevented them from competing with  
406 their virgin counterparts, hindering the formation of strong partnerships between the resource  
407 reprocessing industry and the manufacturing sector. At the same time, insufficient partnerships between

408 resource reprocessors and manufacturers has been driving resource inefficiency at both ends of the  
409 system. Any attempt to become more resource efficient and close the material loops via retaining the  
410 quality of MCPs in the system requires forging of strong collaborations and innovative partnerships  
411 between these stakeholders, that must be constructed based on shared values, perceptions and interests.

412 The quality assessment of MCPs at both upstream and downstream of the point where they are disposed  
413 of as wastes is paramount in the transition towards a circular economy, and can be both intrinsically  
414 objective and subjective. The degree to which the subjective factors prevail over the objective ones  
415 must be regulated for viable and meaningful interventions to be made. The typology developed for  
416 assessing MCPs quality based on their inherent, designed and created characteristics and the  
417 technologies/conditions/processes/motives used at, and/or associated with each stage of the supply  
418 chain can be a useful preliminary step in guiding this process. While in this article we used the single-  
419 use plastic bottle as an example, the typology developed can be applied to any type of MCP. It is  
420 important to emphasise, however, that the typology can only be used as a screening tool; a multi-  
421 dimensional value assessment of the positive and negative impacts associated with systemic  
422 interventions must be carried out for sound decision-making.

423 Gaining objective insights into MCPs remaining functionality and value, and identifying changes that  
424 can be made on product design, manufacture, use and management, can unveil and inform well-targeted,  
425 strategic ways of promoting circularity. To support this typology, we need a method that looks at each  
426 MCP individually and assesses how its redistribution back to the supply chain is affected by its very  
427 own design and lifecycle, and by those who control it. This is in line with new economic analysis  
428 approaches that focus equally on production and consumption of MCPs. These approaches advocate  
429 that perspectives on the production-consumption of MCPs should not be collated to derive a general  
430 theory applicable to groups of MCPs, but should be individual and specific. This type of assessment  
431 can provide an indication of what is practicable and reasonable to be changed based on forward and  
432 reverse logistics set-ups for a specific MCP, as well as on area-specific conditions, cultures and  
433 practices.

434

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