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# Accepted Manuscript

Title: The effects of milk-based phospholipids on cognitive performance and subjective responses to psychosocial stress: a randomised, double-blind, placebo controlled trial in high-perfectionist men

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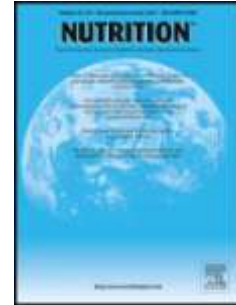
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1 **The Effects of Milk-Based Phospholipids on Cognitive Performance and Subjective Responses**  
2 **to Psychosocial Stress: A Randomised, Double-Blind, Placebo Controlled Trial in High-**  
3 **Perfectionist Men**

4  
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## 31 Highlights

- 32 • A phospholipid drink conferred a small benefit to RT performance under stress
- 33 conditions
- 34 • Phospholipid intake significantly increased subjective energetic arousal during
- 35 stress
- 36 • Blood pressure responses to stress was significantly raised after phospholipid
- 37 intake
- 38 • Phospholipid intake had no significant effect on working memory or cortisol
- 39 response
- 40

## 41 Abstract

## 42 Objective

43 The stress buffering potential of phospholipid (PL) intake on cognitive performance and  
44 neuroendocrine and psychological responses under conditions of psychosocial stress were examined  
45 in a high stress vulnerable (perfectionist) sample.

46

## 47 Methods

48 Fifty four high perfectionist males consumed a six week daily intake of a bovine milk-derived PL  
49 (2.7g/day) or placebo drink in a randomised, double-blind, placebo controlled, parallel groups design.  
50 Working memory, executive control function and acute physiological/subjective responses to an acute  
51 psychosocial stressor were examined before and after the six weeks PL or placebo intake.

52

## 53 Results

54 PL intake improved post-stress RT performance on an attention switching task ( $p = .01$ ). No  
55 significant attenuation of the salivary cortisol stress response was shown. PL intake significantly  
56 increased mid-stress induction energetic arousal ( $p = .03$ ). A non-significant reduction in anticipatory  
57 subjective stress was reported after PL intake ( $p = .06$ ). Systolic ( $p < .04$ ) and diastolic blood ( $p = .01$ )  
58 pressures were significantly augmented in the PL condition.

59

## 60 Conclusions

61 Dietary intake of bovine milk phospholipids conferred cognitive performance benefits under conditions  
62 of psychosocial stress, but failed to moderate cortisol response. Moderation of subjective response to  
63 stress exposure may have underpinned this performance protection.

64

65

66

67 **Keywords:** Phospholipid; psychosocial stress; cognitive performance; cortisol; subjective stress

68

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73 Phospholipids (PLs) perform a variety of cell membrane structural and regulatory functions.  
74 Phosphatidylserine (PS) is crucial in the determination of the surface potential of neuronal  
75 membranes essential for intercellular communication [1-3]. Sphingomyelin (SPH) is found in high  
76 quantities in the brain and neural tissues [4] and phosphatidylcholine (PC) is the major dietary source  
77 of choline (a precursor of acetylcholine synthesis) and also plays a vital role in neuronal membranes  
78 [3,5]. Such physiological properties underpin interest in the potential functional benefits of dietary PL  
79 intake.

80

81 The stress-buffering effects of PLs have been demonstrated via the attenuation of HPA axis-mediated  
82 responses to stress. Early research examining PLs extracted from the bovine cortex reported that PS  
83 reduced exhaustive exercise induced cortisol activation in well-trained males [6,7]. The transfer of  
84 bovine spongiform encephalopathy associated with extraction of PS from bovine cortex prompted  
85 examination of the functional properties of PLs extracted from alternative sources; predominantly soy  
86 PS (S-PS) and bovine milk PLs (BM-PL). Attenuated cortisol responses to exhaustive exercise [8,9],  
87 and psychosocial stress [10] have been demonstrated after S-PS intake. Reduced subjective stress  
88 responses to psychosocial challenge have also been reported in those supplemented with S-PS [10]  
89 and BM-PLs [11].

90

91 The moderation of cognitive performance by stress is well established. Acute stress can have both  
92 enhancing and impairing effects on performance. The direction of effect is mediated by a number of  
93 variables, including proximity of the stressor to specific cognitive processes (e.g. memory  
94 consolidation or retrieval), individual stress responsivity, and cognitive domain [12]. Cognitive  
95 processes that are not directly relevant to the stressor faced tend to be impaired. For example,  
96 cognitive processes extraneous to the immediate threat (e.g. peripheral attention, retrieval of non-  
97 stress relevant information) may be negatively affected. Conversely, enhancement of attentional  
98 resources needed to process the threat, and memory consolidation of stress-related information likely  
99 to permit future adaptive coping may be shown [13]. Glucocorticoids (primarily cortisol in humans)  
100 have been identified as the primary moderator of the acute effects of stress on cognitive function  
101 [14,15]. The moderation of cognitive performance under stress is often only demonstrated when  
102 significant cortisol elevations are elicited [16,17]. This often encourages comparison of cognitive  
103 performance across a post-hoc median split of cortisol responder types (i.e., high vs. low).

104

105 Evidence of the stress-buffering effects of PLs raises the hypothesis that supplementation may offer  
106 protective effects on cognitive performance that is vulnerable to being impaired under conditions of  
107 stress. Evidence to date for the protective effects of PL intake on cognitive performance under stress  
108 has been modest and inconsistent. Hellhammer et al. [11] found a trend for improved working  
109 memory (WM) reaction time (RT) after BM-PL intake. Additionally, Schubert, Contreras, Franz, and  
110 Hellhammer [18] reported improved visuospatial memory in a post-hoc split of high stress load older  
111 adults after intake of a similar PL drink. Furthermore, supplementation with S-PS has been shown to

112 improve serial subtraction test accuracy and completion time in young males [19]. However, these  
113 effects were independent of cortisol or subjective stress response. Other studies have found no  
114 effects of PLs on cognitive performance (e.g., [20]).

115

116 The effects of PL supplementation may be limited to individuals characterised by some form of  
117 increased 'stress vulnerability'. Benton, Donohoe, Sillance, & Nabb [21] demonstrated that S-PS  
118 reduced subjective stress responses and improved mood in participants scoring highly on a  
119 neuroticism scale. The action of PLs may also be characterised by a normalisation of the cortisol  
120 response dependent upon responder type. For example, an omega-3 PL-rich capsule resulted in a  
121 trend for attenuated cortisol in high cortisol responders and increased cortisol in low cortisol  
122 responders [22].

123 This study aimed to address some of the inconsistencies in the existing evidence for effects of PLs on  
124 cognitive performance under stress. Considering the impairment of cognitive performance specifically  
125 during high cortisol elevations, and evidence of the stress buffering effects of PLs being moderated by  
126 some form of stress vulnerability, a proxy indicator of increased cortisol responsivity was adopted to  
127 identify a stress vulnerable sample. Perfectionism, the cognitive pattern of excessive standards, self-  
128 criticism, and need for order, has been associated with increased fear of failure and social-evaluative  
129 threat [23,24]. It has also been associated with increased cortisol responsivity [25], when faced with  
130 performing a task in a social context. Previous studies conducted in our laboratory (Boyle et al., in  
131 preparation) have also demonstrated a consistent positive association between salivary cortisol  
132 responsivity and a sub-dimension of the Frost Multidimensional Perfectionism Scale (FMPS; [26]).

133 Inconsistency in the impairing effect of stress on cognitive performance may also be influenced by the  
134 divergent sensitivities of the tests of cognitive performance employed. Working memory has been  
135 shown to be sensitive to the impairing effects of cortisol [17,27-30], and tests engaging multiple WM  
136 components (e.g., *n*-back) may be particularly sensitive [17,29]. Emerging evidence suggests  
137 executive function is another prefrontal cortex-associated domain of cognitive performance vulnerable  
138 to stress [31-33]. Therefore, performance on the *n*-back and an attention switching paradigm were  
139 considered appropriate tests to examine the effects of stress and PL intake.

140 This study examines the effect of six-weeks of daily PL intake on neuroendocrine and subjective  
141 stress responses to an acute psychosocial stressor and subsequent cognitive performance in  
142 individuals with an increased tendency towards high cortisol responsivity. Supplementation with PLs  
143 was expected to dampen the stress response and confer protective effects on cognitive performance  
144 sensitive to stress induction compared to the intake of a placebo.

145

146

## Methods

### Design

148 The study conformed to a randomised, double-blind, placebo controlled, parallel groups design  
149 examining cognitive performance after, and acute physiological/subjective responses to, an acute

150 psychosocial stressor pre- (stress visit 1) and post- (stress visit 2) completion of a six week daily  
151 intake of a BM-PL or a matched placebo drink. The study was registered on ClinicalTrials.gov prior to  
152 study commencement (ClinicalTrials.gov Identifier: NCT01879813). The study was approved by the  
153 University of Leeds' School of Psychology Research Ethics Committee (Ref: 12-0163) and  
154 undertaken in accordance with the principles expressed in the Declaration of Helsinki. An honorarium  
155 of £120 was paid upon completion of the study.

156  
157

## 158 **Participants**

159 Fifty-four healthy, non-smoking, non-obese (BMI < 30 kg/m<sup>2</sup>; WHO, 2013), medication-free, adult  
160 males were included in the study. Participants were recruited via the University of Leeds participant  
161 database and recruitment posters displayed on campus and around the local community. After  
162 eligibility screening, participants were randomly assigned to 6 weeks supplementation with the PL or  
163 placebo drink. The CONSORT diagram of study recruitment is shown in Figure 1.

164

165 <FIGURE 1>

166

167 An initial online screening questionnaire was employed to exclude individuals reporting current  
168 psychological affective/mood disorders (defined as a Hospital Anxiety and Depression [HADS; [34]  
169 subscale score > 8; [35]) and endocrine, cardiovascular, or other chronic diseases. Participation in a  
170 clinical study within a month prior to screening and previous participation in a stress induction study  
171 were also included as exclusion criteria. The FMPS [26] was administered at screening and scores on  
172 the Perfectionism: Organisation subscale were employed to permit selection of individuals with  
173 potential for increased cortisol responsivity to acute stress. A median split of Perfectionism:  
174 Organisation scores collected over previous studies undertaken in our laboratory, with an analogous  
175 sample population ( $N = 57$ ), was used to identify the Organisation score for the top 50<sup>th</sup> percentile of  
176 participants. Accordingly, only individuals scoring  $\geq 13$  on the Perfectionism: Organisation subscale  
177 were considered eligible for participation.

178

## 179 **Measures**

180 **Stress protocol.** The stress induction protocol combined the speech task of the Trier Social  
181 Stress Test (TSST; [36]) and the socially evaluated cold-pressor test (SECPT; [37]). The protocols for  
182 both stress protocols have been outlined in detail in the respective original papers. Briefly, participants  
183 were required to give an unexpected 5 minute speech presenting themselves as a job candidate  
184 (stress visit 1) or describe their personality (stress visit 2) to an unresponsive, social-evaluative,  
185 opposite sex panel. Upon completion of the speech participants completed a cold pressor test in front  
186 of the social-evaluative panel. The SECPT requires the submersion of the hand above the wrist in ice  
187 cold water (0 – 4 °C) for as long as possible (a maximum of three minutes) whilst maintaining eye  
188 contact with the panel. Participants were falsely informed that performance on both tasks would be  
189 video and audio recorded for further analysis

190 To reduce the level of habituation in stress responses across repeated stress exposures a number of  
191 contextual changes were made to the stress induction protocol across stress visits 1 and 2. The  
192 primary researcher, panel members, stress induction room and speech tasks were changed across  
193 visits. Prior to this visit, participants were not explicitly told what stress visit 2 would entail, only that  
194 they would complete two challenging tasks. Our laboratory has previously demonstrated that this  
195 combined psychosocial stressor can be employed over repeated exposures without significant  
196 habituation in salivary cortisol or cardiovascular response [38].

197 **Physiological measures.** Salivary cortisol samples were collected using a Salivette® device  
198 (Sarstedt, Nümbrecht, Germany). Participants were instructed to chew the cotton wool swab for one  
199 minute to ensure adequate saliva absorption. Saliva was extracted from cotton wool swabs by  
200 centrifugation (2500 rpm for 5 minutes) and frozen at – 20°C until assay. Salivary-free cortisol  
201 concentrations were determined using a Salivary Cortisol Enzyme Immunoassay kit (EIA; Sarstedt;  
202 Nümbrecht, Germany;). Intra- and inter-assay variability was below 6.60% and 9.97% respectively. A  
203 Spacelabs ambulatory blood pressure monitor (model 90207; Spacelabs Healthcare, OSI Systems,  
204 Inc, WA, USA) was used to measure systolic blood pressure (SBP) and diastolic BP (DBP). Two  
205 measurements were taken at each time point and the average of the readings used in all analyses

206 **Subjective and psychometric measures.** The Stress and Arousal Checklist (SACL; [39]) is  
207 a 30-item adjective list of self-reported feelings of stress (18 items) and arousal (12 items).  
208 Respondents rate the extent to which each adjective (e.g., stimulated, apprehensive, up tight)  
209 describes how they are feeling at the time of completion. Responses are made with reference to a  
210 four-point Likert scale: *definitely describes your feelings (++)*, *more or less describes your feelings (+)*,  
211 *cannot decide whether it describes how you feel (?)*, and *does not describe the way you feel (-)*. The  
212 long scoring method was employed (++ = 4; + = 3; ? = 2; - = 1). Alternative ordered versions of the  
213 SACL were administered at each time point to reduce habituation in response.

214  
215 The FMPS [26] is a 35-item questionnaire that assesses multiple aspects of perfectionism. The FMPS  
216 comprises six subscales: *Concern over Mistakes* (9 items), *Personal Standards* (7 items), *Parental*  
217 *Expectations* (5 items), *Parental Criticism* (4 items), *Doubts about Actions* (4 items) and *Organisation*  
218 (6 items). Respondents rate the extent to which a scale item describes them (e.g., “I am a neat  
219 person”) with reference to a five-point Likert scale: *strongly disagree* = 1, *disagree* = 2, *neither agree*  
220 *nor disagree* = 3, *agree* = 4, and *strongly agree* = 5.

221  
222 The Perceived Stress Scale (PSS; [40]) was employed to assess pre- and post-intervention chronic  
223 stress levels. The PSS is a 10-item self-report scale that assesses how frequently respondents have  
224 experienced an uncontrollable, unpredictable or overloading situation during the last month, and the  
225 perceived effectiveness of individual ability to cope with this stress (e.g., “In the last month, how often  
226 have you felt that you were unable to control the important things in your life?”). Responses were  
227 made in reference to a five-point Likert scale: *never* = 0, *almost never* = 1, *sometimes* = 2, *fairly often*  
228 = 3, and *very often* = 4.



229 **Cognitive Tests**

230 All cognitive tests were presented using E prime software (Psychology Software Tools, Inc, PA, USA)  
231 on a Dell Optiplex 760 desktop computer with a 17" monitor (screen resolution 1280 x 800 pixels).

232

233 **2-back.** Performance on the  $n$ -back task both engages multiple WM components and is  
234 impaired by stress induced cortisol elevations [28]. The  $n$ -back is a continuous performance task that  
235 measures monitoring, manipulation, and updating WM processes [41]. The task requires respondents  
236 to continuously monitor a stimulus sequence and identify if stimuli presented matches the stimuli  
237 presented  $n$  items back in the sequence or not. The load factor  $n$  can be adjusted to vary task  
238 difficulty. A series of digits from 0 to 9 (Palatino Linotype, bold, font size: 30), were presented in a  
239 quasi-random sequence in trial blocks of 50 stimuli (inter-stimulus delay 850 ms). Participants were  
240 required to decide if the digit presented was a target (matched the digit presented 2 steps back) or a  
241 non-target (did not match the digit 2 steps back). Responses were made on a keyboard using the "1"  
242 key to record a target and the "2" key for a non-target stimulus. Target stimuli were presented  
243 randomly with a probability of 33%. The first three stimuli in each trial block were not targets.

244

245 **Attention switch task.** The ability to switch between tasks is a fundamental function of  
246 executive control [42,43]. Attention switch tasks typically require respondents to repeatedly perform a  
247 task on some trials then switch to another task when cued to do so. Performance on repeated trials  
248 (same task) is typically superior to performance on switch trials (different task). This decrement in  
249 performance is the *switch cost* which reflects the time and effort needed to switch between the two  
250 tasks [44]. An attention switch task, originally devised by Wylie et al. [44] that combines a task-switch  
251 paradigm with a Go/noGo task was employed. Letter-number pairs (Arial, bold, font size: 40) were  
252 presented on a horizontal plane in the centre of the screen for 1 sec (120 ms inter-stimulus). Each  
253 character was 1° to the left or right of the central fixation point (randomly determined). Letters were  
254 taken from a set containing 4 vowels (A, E, I, and U) and four consonants (G, K, M, and R). The  
255 numbers were taken from a set containing 4 even (2, 4, 6, and 8) and 4 odd numbers (3, 5, 7, and 9).  
256 The letter-number pairs were presented in two alternating colours every three trials. Respondents  
257 were required to make a Go/noGo choice based upon the colour of the letter-number pairs. The  
258 change in colour cued the switch in task-set. For example, when letter-number pairs were red,  
259 respondents were required to respond when the letter was a vowel (Go), but not when the letter was a  
260 consonant (noGo). Alternatively, when the letter-number pairs switched to blue, respondents were  
261 required to respond when the number was even (Go), but not when the number was odd (noGo). The  
262 three trials in each task-set are split into switch, nested, and pre-switch trials. Switch trials are the first  
263 letter-number pairs presented after the task-switch (i.e., the Go/noGo colour switch). Nested and pre-  
264 switch are the subsequent repeat trials within the same task-set. (see Figure 2 for stimulus  
265 configuration).

266

267 &lt;FIGURE 2&gt;

268 In total 144 trials were presented with target trials randomly presented with a probability of 50%.  
269 Responses were made on a keyboard spacebar. Parallel versions of the task were employed differing  
270 only with respect to colours used to cue the task-switch. The cost of switching between switch and  
271 repeat trials was examined by calculating the difference between the accuracy and RT performance  
272 on switch and pre-switch trials relative to nested trial performance. The nested trial was selected as a  
273 baseline as this initial repeat trial is less contaminated by preparation to switch to the new task-set  
274 than the pre-switch trial [44]. Accuracy and RT *switch costs* (switch trial – nested trial) and *repeat*  
275 *costs* (pre-switch trial – nested trial) were calculated as a measure of switching performance on switch  
276 and repeat trials. The accuracy costs are presented as a percentage of the total number of targets  
277 (e.g., switch trial – nested trial/total number of targets [72]\*100).

### 278 **Study Drinks**

279 Water-based, isovolumetric (250 ml) BM-PL and placebo drinks were produced with milk protein  
280 concentrates. The macronutrient content of both products was similar (Table 1). The PL drink was  
281 formulated using a milk protein concentrate rich in PS, SPH, PC, and phosphatidylethanolamine (PE;  
282 Arla Foods Ingredients, Denmark) which provided a daily dose of 2.7 g of PLs (including 300 mg PS).  
283 The placebo drink did not contain any PLs. The fat content of the placebo drink was matched with the  
284 PL drink by adding butteroil which contains only triglycerides. Drinks were provided in a plain white  
285 TetraBrik® carton and flavoured with vanilla and nougat and contained 1.5 % added sucrose to give a  
286 comparable taste. Participants consumed one drink each morning providing approximately 140 kcal  
287 per daily portion (250 ml). Experimenters were blind to the drink conditions until all data were entered  
288 and checked and statistical analyses were completed. Intervention drinks were distinguishable only by  
289 a condition code.

290

291 <TABLE 1>

292

### 293 **Procedure**

294 Participants attended an initial familiarisation visit prior to providing written informed consent. Study  
295 eligibility was confirmed during this visit and individuals exhibiting raised BP (> 140/90 mmHg over  
296 four measures) were excluded. Cognitive performance practice effects are most pronounced during  
297 early test exposures, often reaching asymptote by the third exposure [45-48]. Accordingly,  
298 participants completed the 2-back and attention switch task twice at the familiarisation visit to reduce  
299 early practice effects influencing performance during intervention study visits.

300

301 Participants were randomly allocated to the PL or placebo drink condition at study entry using a SAS  
302 generated (Version 9.2; SAS Institute, Inc., Cary, NC) randomisation schedule produced by an  
303 independent statistician. All study visits commenced between 1100 hr and 1600 hr (stress induction  
304 was completed between the hours of 1200 hr and 1400 hr for all participants). In acknowledgement of  
305 evidence demonstrating the moderation of cortisol responsivity by nutritional status [49-51], a test  
306 meal was consumed upon arrival at the laboratory to standardise baseline nutritional state. After  
307 completion of a 60 minute relaxation period an ambulatory BP monitor was fitted to the upper non-

308 dominant arm of each participant. Salivary cortisol, cardiovascular and subjective response measures  
309 (SACL) were collected at timed intervals across each stress visit (see Figure 3 for measurement time  
310 points). Following completion of the stress induction period the cognitive tests were completed in  
311 serial order.

312

313 <FIGURE 3>

314

315 A partial debrief was given to participants following completion of stress visit 1 explaining that none of  
316 the 'recorded' data would be analysed until completion of stress visit 2. An initial two week supply of  
317 drinks was provided after stress visit 1. A daily study diary was completed by participants to monitor  
318 drink compliance and medication intake. A face to face meeting was completed every 2 weeks during  
319 drink restock visits to check adherence to study protocols. Participants returned six weeks ( $\pm 2$  days)  
320 after stress visit 1 to complete stress visit 2. The start time of the stress visits was matched within 1  
321 hour to control for any time of day effects. A full debrief was provided upon completion of stress visit 2

322

### 323 **Statistical Analyses**

324 All statistical analyses were performed using SAS (Statistical Analysis System, Version 9.2; SAS  
325 Institute, Inc., Cary, NC). Cortisol data were skewed and normalized using logarithmic  
326 transformations. Cortisol delta increase was calculated by subtracting the baseline (0 minutes) cortisol  
327 from the peak post-stress level. The area under the curve with respect to ground (AUCg) was  
328 calculated using the trapezoid method [52]. One participant from the PL condition was removed from  
329 the study due to non-compliance with drink intake. All data from this participant were removed from  
330 analysis. The final sample comprised 27 participants in the placebo condition and 26 in the PL drink  
331 condition. One placebo participant's data was removed from analysis for both cognitive tests due to  
332 performance being  $> 4$  SDs below the sample mean.

333

334 The SAS mixed models procedure was employed to analyse the effects of stress exposure across  
335 cognitive performance outcomes, and on salivary cortisol, cardiovascular (SBP and DBP), and  
336 subjective stress (SACL) responses. Participant ID was entered as a random effect; drink condition,  
337 visit (stress visit 1 and 2), time (time study measures were collected: e.g. 0, + 10, +20), and attention  
338 switch trial (switch and repeat costs), were fixed effects. Age, BMI, and PSS scores (prior to stress  
339 visits 1 and 2) were initially entered as covariates but subsequently removed from all models due to  
340 non-significance. The corresponding measure of each dependent variable at stress visit 1 (pre-  
341 intervention) were employed as control variables to assess differences between drink conditions for  
342 salivary cortisol, cardiovascular, subjective stress, and cognitive performance outcomes at stress visit  
343 2 (post-intervention). Tukey-Kramer-adjusted  $p$  values [53] were employed to compare least-squares  
344 mean responses across and between the profiles of each drink condition. All values (text and figures)  
345 are presented as mean and standard error of the mean (*SEM*).

346

347

## **Results**

348 **Sample**

349 The characteristics of participants randomised to each drink condition are shown in Table 2.  
 350 Participants randomised to the PL and placebo drink conditions did not significantly differ in age, BMI,  
 351 HADS-A, PSS (prior to stress visits 1 or 2), or Perfectionism: Organisation ( $p$  values all  $> .14$ ). A  
 352 significant difference in HADS-D score across condition was however revealed,  $t(51) = 2.22$ ,  $p = .03$ .  
 353 Participants randomised to the PL condition ( $\bar{x} = 2.80 \pm 0.41$ ) reported higher depression ratings  
 354 compared to those in the placebo condition ( $\bar{x} = 1.52 \pm 0.28$ ). However, the HADS-D scores for both  
 355 conditions were well within the 'non-caseness' range ( $< 8$ ; [35]) and likely inconsequential. The  
 356 duration of SECPT hand submersion across drink condition at stress visit 1,  $t(51) = -0.31$ ,  $p = .75$ ,  
 357 and stress visit 2,  $t(51) = 0.04$ ,  $p = .97$ , did not significantly differ. Furthermore, the number of drinks  
 358 consumed (self-reported compliance) was not significantly different across condition,  $t(51) = -1.08$ ,  $p =$   
 359  $.29$  (PL  $\bar{x} = 41.12 \pm 0.43$ ; placebo  $\bar{x} = 41.76 \pm 0.32$ ).

360

361 &lt;TABLE 2&gt;

362

363 **Cognitive Performance**

364 **2-back.** Pre-intervention 2-back performance at stress visit 1 was a significant predictor of target  
 365 accuracy,  $F(1,48) = 102.96$ ,  $p < .001$ , target RT,  $F(1,48) = 41.43$ ,  $p < .001$ , and non-target RT,  $F(1,48)$   
 366  $= 83.19$ ,  $p < .001$ , post-intervention at stress visit 2. A significant pre-intervention (stress visit  
 367 1)  $\times$  condition interaction,  $F(1,48) = 9.38$ ,  $p = .04$ , and a significant main effect of drink condition,  
 368  $F(1,48) = 10.16$ ,  $p = .03$ , were revealed for target accuracy. However, post-hoc comparisons revealed  
 369 no significant differences in performance post-intervention across drink conditions. The significant  
 370 effects were indicative of higher target accuracy performance in the PL-drink condition pre- and post-  
 371 intervention (a summary of 2-back data is shown in supplementary materials).

372

373 **Attention switch task.** A significant main effect of attention switch trial (switch cost vs. repeat  
 374 cost) was revealed for accuracy,  $F(1,51) = 35.69$ ,  $p < .001$ , and RT,  $F(1,51) = 122.04$ ,  $p < .001$ .  
 375 Accuracy and RT switch costs were significantly higher than the repeat costs across both drink  
 376 conditions pre- and post-intervention (all significant at  $p < .001$ ; Figure 4). This is indicative of lower  
 377 performance (i.e., less accurate and slower) on switch vs. repeat trials.

378

379 &lt;FIGURE 4&gt;

380 Controlling for performance at stress visit 1 revealed a significant main effect of drink condition on RT  
 381 repeat cost,  $F(1,48) = 6.66$ ,  $p = .01$ . Post-hoc comparisons revealed the RT repeat cost (performance  
 382 decrement) was significantly higher for participants in the placebo condition (Figure 5). PL participants  
 383 incurred significantly lower performance costs on repeat trials than placebo participants ( $p = .01$ ). No  
 384 significant differences between drink conditions were revealed for attention switch accuracy.

385 &lt;FIGURE 5&gt;

386

387 **Cortisol Response**

388 A significant condition $\times$ visit $\times$ time interaction,  $F(16,248) = 2.25$ ,  $p = .01$ , and main effects of time,  
389  $F(5,260) = 57.38$ ,  $p < .001$ , and visit,  $F(1,52) = 9.18$ ,  $p = .01$ , were demonstrated for salivary cortisol  
390 response (Figure 6).

391

392 &lt;FIGURE 6&gt;

393

394 A higher post-stress cortisol response trajectory and peak (+ 35, + 45, and + 55 minutes) was  
395 demonstrated during stress visit 1 in the PL condition. However, no significant differences between  
396 the drink condition response profiles were evident at this visit. The significant interaction reflects an  
397 increase in salivary cortisol in anticipation of stress induction (0 and + 10 minutes) at stress visit 2.  
398 Whilst this tendency was demonstrated in both drink conditions, this response sensitisation (stress  
399 visit 2 > stress visit 1) only reached significance in the PL condition. Salivary cortisol levels at 0  
400 minutes were significantly higher at stress visit 2 than corresponding levels at stress visit 1 for this  
401 drink condition ( $p = .04$ ).

402

403 No significant post-intervention differences in cortisol response between drink conditions at stress visit  
404 2 were revealed when cortisol responses at stress visit 1 were controlled for. Cortisol levels at stress  
405 visit 1 were the only significant predictor of post-intervention cortisol levels at + 10, + 25, + 35, + 45,  
406 and + 55, minutes (smallest  $F(1,49) = 8.24$ ,  $p < .001$ ).

407

408 Higher pre-stress induction cortisol levels and a subsequent less pronounced rise to peak at stress  
409 visit 2 resulted in a smaller delta increase in cortisol for both drink conditions (Figure 7). This  
410 difference was significant for the PL condition reflected by a main significant effect of visit,  $F(1,51) =$   
411  $9.35$ ,  $p = .003$ . Post-hoc comparisons revealed significantly lower delta increases in the PL condition  
412 at stress visit 2 compared to stress visit 1 ( $p < .03$ ). A comparable response pattern in the placebo  
413 condition did not reach significance. No significant differences in salivary cortisol AUCg across stress  
414 visits or between drink conditions were demonstrated (Figure 7). No significant post-intervention  
415 differences in cortisol delta increase or AUCg between drink conditions at stress visit 2 were revealed  
416 when aggregated cortisol levels at stress visit 1 were controlled for. AUCg cortisol response at stress  
417 visit 1 was the only significant predictor of post-intervention AUCg cortisol at stress visit 2,  $F(1,49) =$   
418  $34.49$ ,  $p < .001$ .

419

420 &lt;FIGURE 7&gt;

421

422 **Subjective Response**

423 **Stress (SACL).** A significant condition $\times$ time $\times$ visit interaction,  $F(16,248) = 10.78$ ,  $p < .001$ ,  
424 and significant main effect of time,  $F(5,260) = 47.03$ ,  $p < .001$ , were revealed for subjective stress  
425 responses. The significant interaction reflected different response profiles across the stress visits.

426 Pre-stress induction ratings were higher, and post-stress induction ratings (mid-stress - + 45 minutes)  
427 lower, at stress visit 2 compared to stress visit 1 (Figure 8).

428

429 Subjective stress ratings at + 25, and + 35 minutes were significantly higher than pre-stress ratings at  
430 0 and + 10 minutes in both conditions pre-intervention at stress visit 1 (all significant at  $p < .001$ ).

431

432 <FIGURE 8>

433

434 Post-intervention subjective stress rating at stress visit 2 peaked mid-stress (+ 25 minutes) in the PL  
435 condition, and was significantly higher than post-stress ratings at + 45 and + 55 minutes (both  
436 significant at  $p < .02$ ). Conversely, a pre-stress induction peak was demonstrated in the placebo  
437 condition at stress visit 2. This resulted in stress ratings at 0 and + 10 minutes being significantly  
438 higher than post-stress levels at + 35 and + 45 minutes (all significant at  $p < .01$ ). For PL participants,  
439 subjective stress at + 10 minutes was significantly higher than the corresponding ratings during stress  
440 visit 1 (both significant at  $p < .01$ ). A more consistent pre-stress induction subjective stress response  
441 in placebo participants resulted in ratings at both 0 and + 10 minutes being significantly higher at  
442 stress visit 2 compared to corresponding ratings during stress visit 1 ( $p < .001$ ). A mid-stress (+ 25)  
443 habituated response was shown in both conditions post-intervention at stress visit 2.

444

445 Controlling for performance at stress visit 1 revealed a significant pre-intervention (stress visit  
446 1)×condition interaction,  $F(1,50) = 6.12$ ,  $p = .02$ , for subjective stress ratings at 0 minutes. Participants  
447 consuming the PL-drink demonstrated lower post-intervention subjective stress ratings at 0 minutes  
448 during stress visit 2 than those consuming the placebo ( $p = .06$ ). Pre-intervention stress ratings at  
449 stress visit 1 were also a significant predictor of stress ratings at 0, + 10, + 25, + 35, + 45, and + 55  
450 minutes post-intervention at stress visit 2 (smallest  $F(1,49) = 18.09$ ,  $p < .001$ ).

451

452 **Arousal (SACL).** A significant main effect of time,  $F(5,260) = 18.73$ ,  $p < .001$ , was revealed  
453 for subjective arousal response across the two stress visits (Figure 8). No significant differences were  
454 revealed across the subjective arousal response profile between drink conditions at stress visit 1  
455 suggesting a comparable response across the drink conditions pre-intervention. Post-hoc  
456 comparisons revealed no significant differences across the response profile during stress visit 1 in the  
457 PL condition. However, in the placebo condition peak arousal ratings at + 25 minutes were  
458 significantly higher than pre-stress ratings at 0, and + 10 minutes, and post-stress ratings at + 35, +  
459 45, and + 55 minutes (all significant at  $p < .03$ ). This relationship was reversed post-intervention at  
460 stress visit 2. Peak arousal ratings at + 25 minutes were significantly higher than pre-stress ratings at  
461 0 and + 10 minutes in the PL condition (both significant at  $p < .03$ ), whilst no significant differences  
462 were found across the placebo condition response profile.

463

464 Controlling for arousal ratings at stress visit 1 revealed a significant main effect of drink condition,  
465  $F(1,49) = 7.49$ ,  $p = .01$ , for mid-stress subjective arousal ratings at + 25 minutes. PL participants

466 reported significantly higher mid-stress subjective arousal post-drink intervention at stress visit 2 ( $p =$   
467  $.01$ ). Pre-intervention arousal ratings at stress visit 1 were a significant predictor of arousal ratings at  
468 0, + 10, + 25, + 35, + 45, and + 55 minutes post intervention at stress visit 2 (smallest  $F(1,49) =$   
469  $13.60, p < .001$ ).

470

#### 471 **Cardiovascular Response**

472 A significant main effect of time and visit were revealed for SBP (time:  $F(7,364) = 143.84, p < .001$ ;  
473 visit:  $F(1,52) = 11.16, p < .001$ ) and DBP (time:  $F(7,364) = 93.07, p < .001$ ; visit:  $F(1,52) = 9.86, p <$   
474  $.002$ ) across the two stress visits. No significant differences were found across the SBP or DBP  
475 profiles between drink conditions at stress visit 1 suggesting a comparable response pre-intervention  
476 (Figure 9).

477

478 An analogous SBP and DBP response profile was demonstrated across stress visits in both drink  
479 conditions. Blood pressure was significantly elevated above pre-stress (0 and + 10 minutes) levels  
480 after introduction to the stressor at + 20 minutes and remained significantly raised until + 35 minutes  
481 when BP levels declined towards pre-stress levels ( $p < .001$ ). However, heightened cardiovascular  
482 responses in anticipation of stress induction (0 and + 10 minutes) at stress visit 2 were demonstrated  
483 in the PL condition for SBP and DBP. This SBP response was significantly higher than the  
484 corresponding stress visit 1 SBP measures at + 10 minutes ( $p = .01$ ).

485

486 <Figure 9>

487

488 Controlling for pre-intervention (stress visit 1) BP levels revealed significant main effects of pre-  
489 intervention SBP and drink condition at 0 minutes (pre-intervention,  $F(1,50) = 20.21, p = .001$ ,  
490 condition,  $F(1,50) = 4.27, p = .04$ ) and + 35 minutes (pre-intervention,  $F(1,50) = 47.57, p = .001$ ,  
491 condition,  $F(1,50) = 4.72, p = .03$ ). Significant main effects of pre-intervention DBP,  $F(1,50) = 18.28, p$   
492  $= .001$ , and drink condition,  $F(1,50) = 3.83, p = .02$ , were also revealed for DBP at + 45 minutes. Post-  
493 hoc comparisons revealed participants consuming the PL drink had significantly higher SBP (0 and +  
494 35 minutes; both  $p < .04$ ) and DBP (+ 45 minutes;  $p = .01$ ) during stress visit 2 compared to placebo  
495 participants.

496

497

## 497 **DISCUSSION**

498 Despite the lack of attenuation of cortisol response, PL intake was associated with improved RT  
499 performance on a task of executive function (attention switch task). Executive control is required in  
500 situations that involve the rapid and flexible switching between tasks, actions, or goals, when cued to  
501 do so. The cost of switching to a new task (requiring the inhibition of the previous task action) versus  
502 the cost of task repetition is considered a measure of cognitive control efficiency. This is a well  
503 characterised effect under normal conditions [41,43], and has been demonstrated to be augmented  
504 under stress [33,32]. In this study, performance decrements (accuracy and RT) between switch and  
505 repeat trials were demonstrated in both drink conditions. The differentiation in RT performance was

506 within trial type (repeat) rather than across the task switch set. Attention switch trial accuracy did not  
507 differ across condition so improved RT following PL intake was not indicative of a speed-accuracy  
508 trade-off. Moderation of performance across the task switch or improved performance specific to  
509 switch trials, which are central to performance cost effects, would be expected if executive control  
510 performance was being influenced. Consequently, the potential for PL intake to protect cognitive  
511 performance under stress may relate to RT performance on tasks requiring sustained attention rather  
512 than executive function per se. A level of cognitive specificity of this improved RT is implied  
513 considering the lack of moderation of WM RT performance. A trend for improved RT performance  
514 independent of attenuation of cortisol response has previously been demonstrated following intake of  
515 an analogous PL drink [11]. Therefore, RT performance on specific tasks under conditions of stress  
516 may benefit from PL intake.

517

518 The effect of PL intake on cortisol response demonstrated in the present study is inconsistent with the  
519 hypothesised stress attenuating capacity of these lipids. Indeed, an increased cortisol response in  
520 anticipation of stress induction was demonstrated after supplementation with PLs. Trends towards  
521 elevated anticipatory cortisol responses following PL intake have been reported previously [11,18].  
522 However, this contradicts previous evidence of the potential of PLs to attenuate cortisol responses to  
523 acute stress [8,10,6,7,9]. It is worth noting that a heightened pre-stress induction cortisol response  
524 was also evident in the placebo condition at stress visit 2, and no significant differences between  
525 post-intervention cortisol levels were demonstrated once the pre-intervention response at stress visit  
526 1 was controlled for. This suggests that an anticipatory effect specific to repeated stress exposure  
527 and/or individual variability in cortisol responses of individuals randomised to drink conditions may  
528 account for the observed effects. Indeed, heightened anticipatory cortisol response appears to be a  
529 characteristic of repeated exposure to a homotypic stressor [54,55], and physically challenging  
530 stressors in particular have previously been associated with increased anticipatory cortisol responses  
531 [56-58].

532 These findings can be seen to add to the existing heterogeneous evidence of the potential for dietary  
533 PLs to moderate cortisol response to stress. More clearly defined mechanisms for hypothesised  
534 actions of PLs on psychoneuroendocrine function are required to better understand why these lipids  
535 demonstrate an inconsistent capacity to attenuate and augment cortisol responses to stress. The  
536 mechanisms via which PLs are incorporated into cellular membranes are complex and not fully  
537 characterised; particularly *in vivo* in humans [59]. Mechanisms by which PLs may exert effects on  
538 HPA axis-mediated stress responses are particularly poorly explicated. Furthermore, further  
539 clarification is needed regarding the intake period necessary to sufficiently alter brain levels of PLs  
540 and the bioavailability of these lipids during dietary intake. Early research suggested the amount of  
541 PLs that reach the CNS after oral or intraperitoneal administration may be very small [60]. For  
542 example, only 0.01% of PS was detected in the rat brain after acute intraperitoneal injection [61].  
543 However, more recent evidence demonstrates dietary PLs are readily absorbed and distributed to



544 multiple tissues, including the brain (rat model; [62]), and can affect neonatal brain growth (gray and  
545 white matter), structure, and chemistry after 28 days of intake (piglet model; [63]).

546 The psychological stress buffering potential of PLs received modest support with only a trend towards  
547 attenuated anticipatory subjective stress response in PL supplemented participants. Whilst marginal,  
548 the direction of effect is in line with previous evidence of the potential psychological stress-buffering  
549 effects of PLs [21,8,10,11]. The mechanism underpinning such effects is unclear. Higher cortisol  
550 levels have been previously associated with reduced negative mood and lower levels of anxiety  
551 [64,65]. Therefore, higher anticipatory cortisol response evident in the PL condition may explain this  
552 attenuated subjective response. Intake of PL also heightened subjective arousal mid-stress. The  
553 SACL arousal dimension is primarily a measure of energetic arousal exemplified by the adjective  
554 ratings: *activated*, *vigorous*, *energetic*, *stimulated* [39]. Thus, PL intake increased subjective levels of  
555 energy and arousal during peak stress exposure which may be hypothesised to increase stress  
556 coping potential. The capacity of PL intake to reduce subjective anticipatory stress and increase peak  
557 stress energetic arousal is comparable to previous evidence demonstrating reduced perceived stress  
558 and increased perceived stress controllability [11]. Improved cognitive performance in the absence of  
559 attenuated cortisol response may, therefore, be underpinned by the subjective stress-buffering effects  
560 of PL intake.

561 An unexpected effect of PL intake was increased cardiovascular response. This increased  
562 responsivity was evident both in anticipation of stress induction (0 minutes [SBP]) and response  
563 recovery (+ 35 [SBP] and + 45 [DBP]). No moderation of cardiovascular parameters by PL intake has  
564 been reported in previous stress induction studies [10,22,6,7,19]. Indeed, PL intake has previously  
565 been associated with reduced basal BP [66] and positive moderation of markers related to  
566 cardiovascular function (e.g., lowered blood cholesterol; [59]). No significant differences in cold  
567 pressor hand submersion were demonstrated so this cannot account for the differences in  
568 cardiovascular tone.

569  
570 The action of cortisol on the cardiovascular response to stress may have contributed to the divergent  
571 post-intervention BP response. The permissive effects of glucocorticoids (GCs) on BP and cardiac  
572 output have been demonstrated in humans and animal models [67]. In most cases (predator  
573 avoidance being one exception), GCs act to 'permit' catecholamines and other vasoconstrictors to  
574 exert their full actions by augmenting cardiovascular activation during stress [68]. Mechanisms include  
575 a positive inotropic effect on vascular and cardiac tissues [69], the inhibition of catecholamine  
576 reuptake and peripheral catechol-*O*-methyltransferase and monoamine oxidase (catecholamine  
577 degrading enzymes; [70,71]), and increased cardiovascular sensitivity to catecholamines [67]. Higher  
578 cortisol response demonstrated by participants in the PL condition may have augmented the  
579 cardiovascular response in this condition compared to the placebo. However, this finding should be  
580 treated with caution considering the lack of any previous evidence for this effect of PL intake.

581

582 The strengths of the reported study lie in the robust methodology adopted. The potential for  
583 phospholipids to moderate stress responses and cognitive performance were examined in a  
584 randomised, double-blind, placebo controlled design with careful consideration given to potential  
585 confounding factors highlighted by previous research (e.g., nutritional status, sensitivity of cognitive  
586 domains/tests, habituation to repeated stress induction protocols). However, a number of  
587 weaknesses are acknowledged. A formal power calculation was not possible due to the lack of  
588 appropriate existing evidence of the protective effects of phospholipid intake on cognitive  
589 performance. Therefore interpretation of the findings need to be treated with caution. Informally, the  
590 sample size was informed by the sample sizes shown to be sufficient to demonstrate an effect of  
591 stress on cognitive performance outcomes. The relative contribution of selecting participants high in  
592 perfectionism to the cortisol responses exhibited was not possible to assess without the inclusion of a  
593 low perfectionism comparator group. It is also noted that the participants randomly allocated to the PL  
594 condition demonstrated a higher post-stress salivary cortisol response trajectory. However, this  
595 response did not differ significantly from that of participants randomised to the placebo condition, and  
596 was entered as a control variable in relevant statistical models. As with any dietary intervention study  
597 carried out in a free-living context, full compliance with the study protocol cannot be assured. Whilst  
598 drink intake diaries and face-to-face compliance meetings may have increased the likelihood of  
599 compliance, differences in frequency of drink intake across conditions cannot be ruled out. Studies  
600 examining acute cortisol responses often exclude female participants from studies owing to the sex  
601 dimorphism in HPA axis-mediated stress responses. Males also demonstrate a tendency for higher  
602 cortisol stress responses [72]. Therefore, this control measure is commonly adopted in the studies of  
603 stress and cognition (e.g., [73,29]), and stress and PL intake [20,21,8,22,11,6,7,19,18,9]. However,  
604 considering evidence of the effects of PL intake are almost exclusively confined to male samples,  
605 future studies should include female participants. Further, protective effects of dietary interventions on  
606 cognitive performance may be more consistently observed in individuals more likely to be cognitively  
607 and nutritionally compromised than the young healthy sample reported here. Finally, considering the  
608 relatively small RT improvement demonstrated, performance benefits offered by PLs may be  
609 particularly relevant to groups for whom smaller margins of performance are important (e.g., athletes).

## 610 **Conclusions**

611 A six week intake of bovine milk-derived PLs improved RT performance on an attention switching  
612 task. This was accompanied, and potentially underpinned, by a trend for an attenuation of heightened  
613 subjective anticipatory stress and significantly heightened mid-stress energetic arousal. Working  
614 memory performance was unaffected by PL supplementation, suggesting domain-specific benefits of  
615 PL intake. Supplementation with PL did not significantly attenuate salivary cortisol responses to  
616 psychosocial stress. Rather, intake was associated with a non-significant increase in anticipatory  
617 cortisol response.

## 618 **Ethical Standards**

619 The authors confirm that all participants provided written informed consent prior to inclusion in the  
620 study and the anonymity of each was maintained.

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## 625 Conflict of Interest

626 On behalf of all authors, the corresponding author states that there is no conflict of interest.  
627  
628

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826  
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828 **Figure and Table legends**

829 **Figure 1.** Study CONSORT diagram

830

831 **Figure 2.** Attention switching task stimulus configuration (adapted with permission from Wylie et al.,  
832 2003) showing seven consecutive trials. Participants were required to make a Go/noGo response if  
833 the letter shown was a vowel or consonant (red coloured stimuli), and if the number shown was odd  
834 or even (green coloured stimuli). The task set switched between the two categorisation Go/noGo  
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838 **Figure 3.** Procedural time line showing study measurements and time points

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840 **Figure 4.** Mean ( $\pm$  SEM) performance accuracy (a) and RT (b) switch and repeat costs pre- (stress  
841 visit 1) & post- (stress visit 2) intervention. Performance on switch (switch cost) and repeat (repeat  
842 cost) trials is relative to nested trials. X axis denotes nested trial comparator performance level

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845 **Figure 5.** Mean ( $\pm$  SEM) RT switch cost for repeat trials pre & post-intervention

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848 **Figure 6.** Mean ( $\pm$  SEM) salivary cortisol response (nmol/L) according to drink condition and stress  
849 visit

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851

852 **Figure 7.** Mean ( $\pm$  SEM) aggregated salivary cortisol AUCg (a) and delta increase (b) according to  
853 drink condition and stress visit

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855 **Figure 8.** Mean ( $\pm$  SEM) subjective stress (a) and arousal (b) rating (SACL) according to drink  
856 condition and stress visit

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858 **Figure 9.** Mean ( $\pm$  SEM) SBP (a) and DBP (b; mmHg) according to drink condition and stress visit

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866 **Table 1** Study drinks macronutrient content (g/100g)

|                       | PL drink   | Placebo drink |
|-----------------------|------------|---------------|
| <b>Macronutrients</b> | (per 100g) |               |
| Protein               | 3.2 g      | 3.4 g         |
| Carbohydrates         | 5.0 g      | 4.8 g         |
| Fat                   | 2.0 g      | 1.8 g         |

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870 **Table 2** Participant characteristics ( $\bar{x} \pm SEM$ ) according to drink condition

| Condition      | n  | Age             | BMI             | HADSa          |                 | PSSb            |                 | Perfectionism<br>Organisation |
|----------------|----|-----------------|-----------------|----------------|-----------------|-----------------|-----------------|-------------------------------|
|                |    |                 |                 | Anxiety        | Depression      | Week<br>1       | Week<br>7       |                               |
| <b>PL</b>      | 26 | 22.04<br>(0.76) | 22.60<br>(0.39) | 4.26<br>(0.39) | 2.80<br>(0.41)* | 14.80<br>(1.00) | 14.07<br>(1.01) | 16.31 (0.81)                  |
| <b>Placebo</b> | 27 | 20.81(0.34)     | 23.18<br>(0.38) | 4.15<br>(0.48) | 1.52 (0.28)     | 13.45<br>(0.70) | 15.19<br>(1.05) | 16.63 (0.80)                  |

871 a Hospital Anxiety Depression Scale

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873 b Perceived Stress Scale

874 \* Significantly higher HADS Depression rating in PL groups. Both scores are well  
875 below suggested caseness value (< 8; [34])

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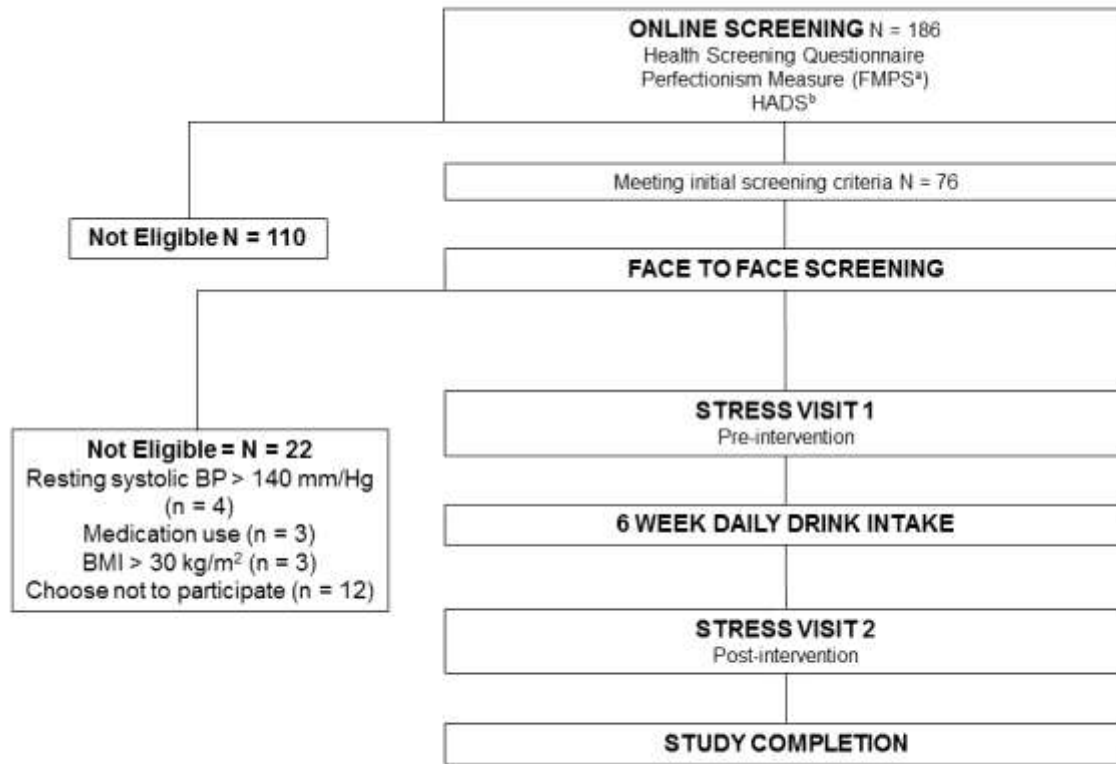
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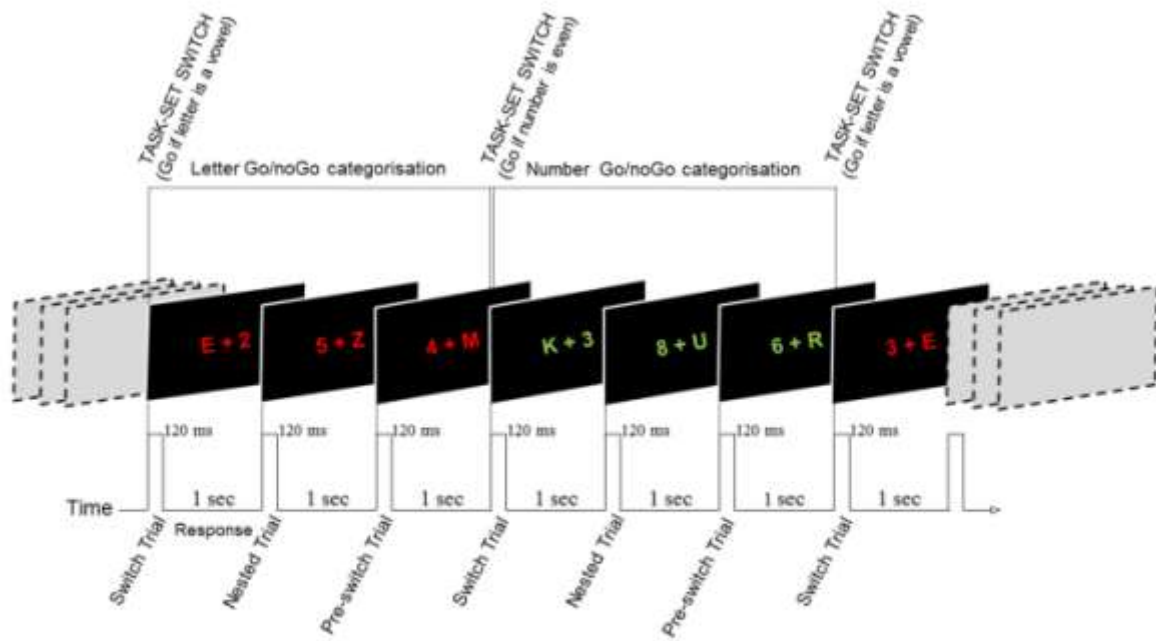
<sup>a</sup> Frost Multidimensional Perfectionism Scale  
<sup>b</sup> Hospital Anxiety and Depression Scale

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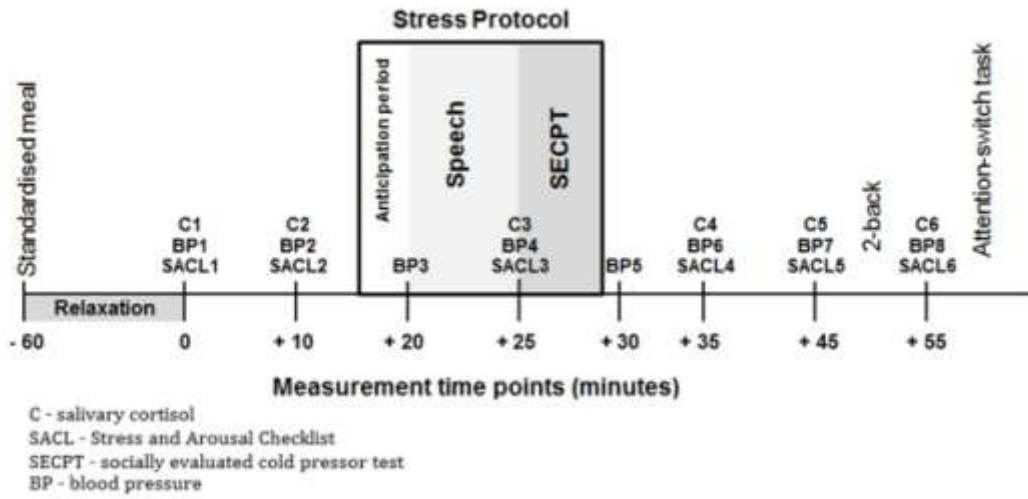


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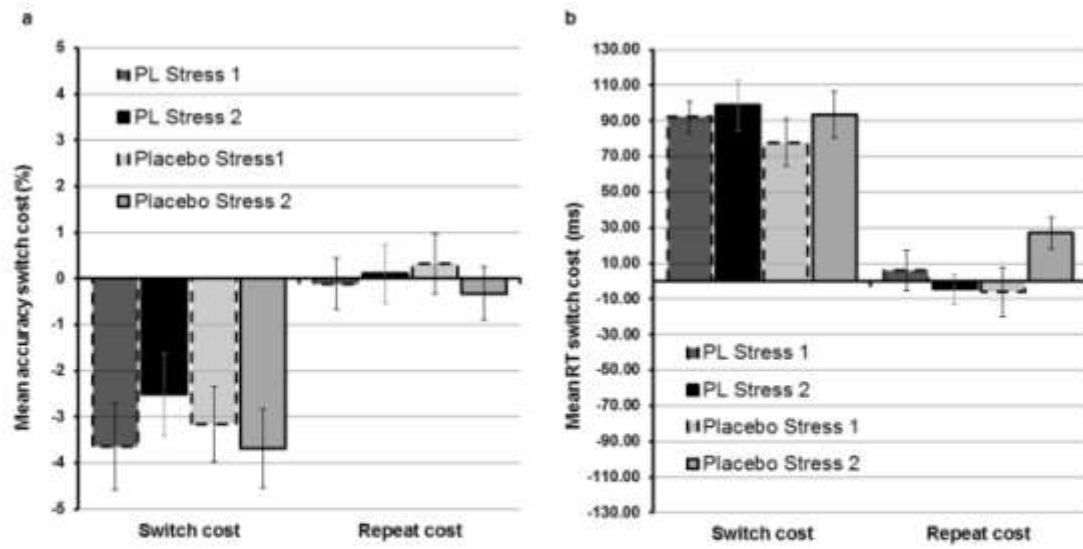
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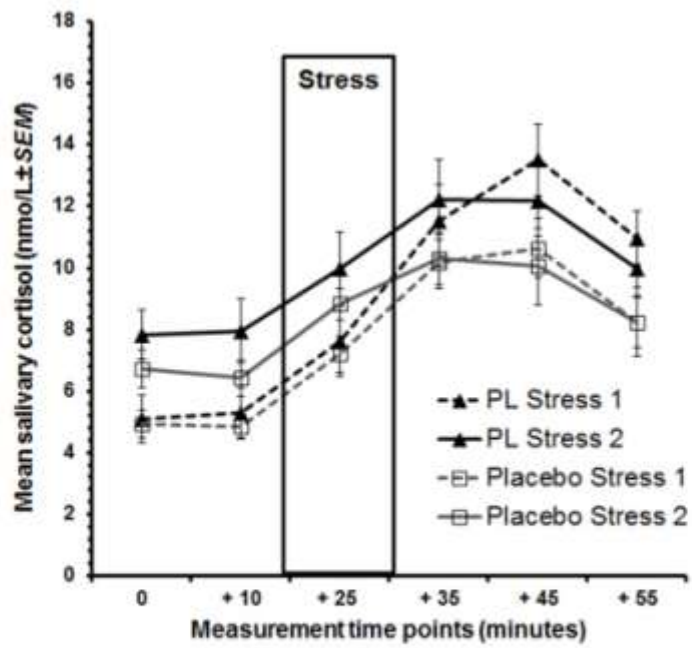
\* $p = .01$

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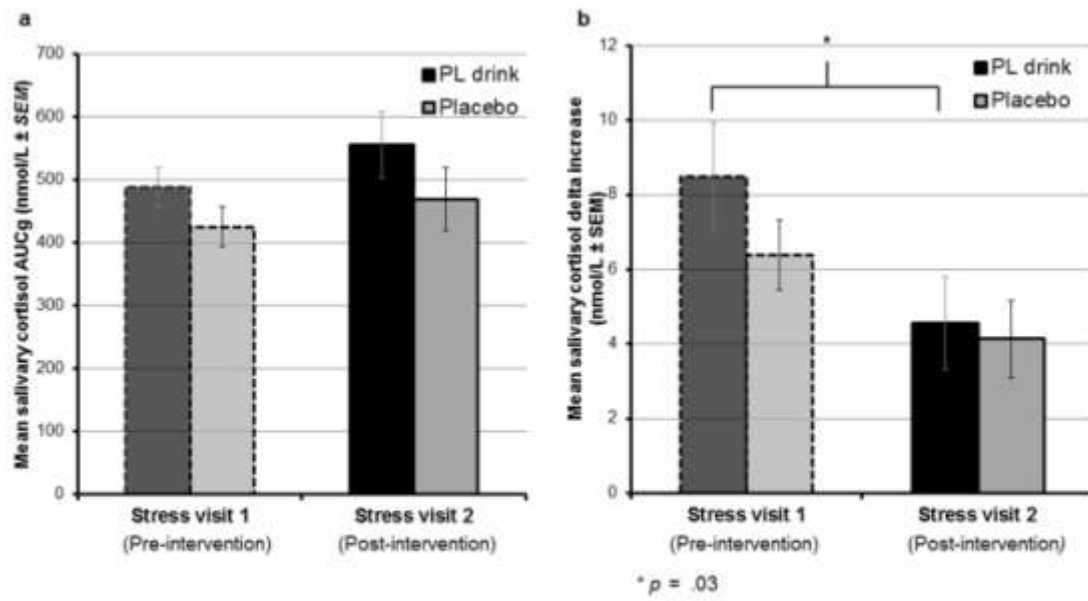
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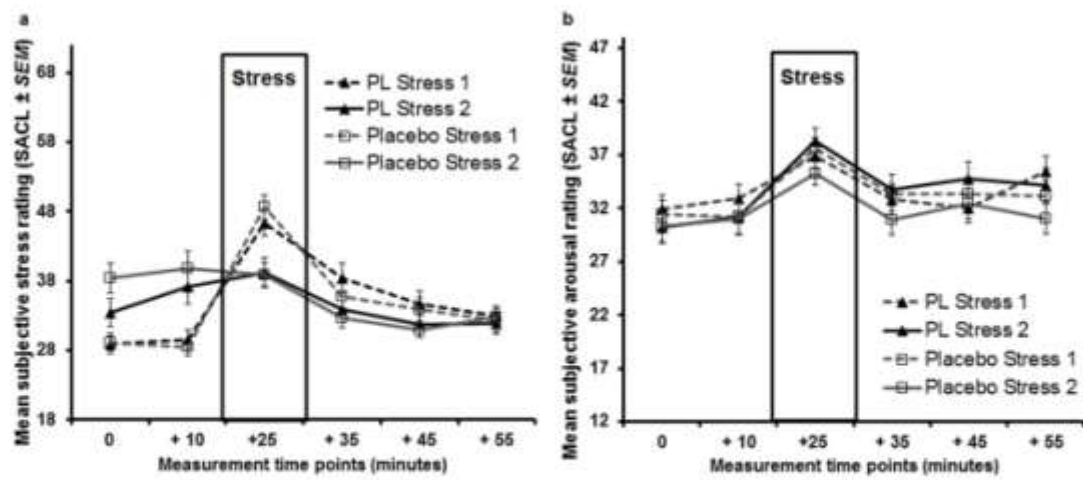
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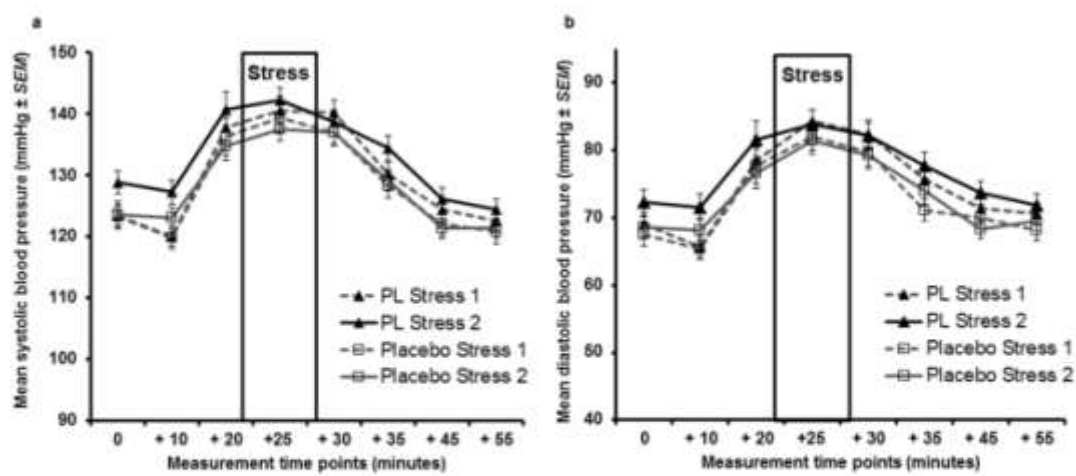
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