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Porter, B., Schmidt, R. orcid.org/0000-0002-2474-3744 and Bilkey, D. (2018) Hippocampal place cell encoding of sloping terrain. Hippocampus, 28 (11). pp. 767-782. ISSN 1050-9631

https://doi.org/10.1002/hipo.22966

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1	Title: Hippocampal place cell encoding of sloping terrain
2	Abbreviated title (60 char): Hippocampal place cell encoding of sloping terrain
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7	Number of Pages: 45
8	Number of Figures: 6
9	Number of Tables: 3
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12	Grant sponsor: The Royal Society of New Zealand Marsden Fund
13	Grant number: UOO1212
14	Contributions: B.S.P and D.K.B. designed the experiments. B.S.P performed the
15	experiments. B.S.P and D.K.B analyzed the data. B.S.P, R.S., and D.K.B interpreted the
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#### 17 Abstract

18 Effective navigation relies on knowledge of one's environment. A challenge to 19 effective navigation is accounting for the time and energy costs of routes. Irregular terrain in 20 ecological environments poses a difficult navigational problem as organisms ought to avoid 21 effortful slopes to minimize travel costs. Route planning and navigation have previously been 22 shown to involve hippocampal place cells and their ability to encode and store information 23 about an organism's environment. However, little is known about how place cells may 24 encode the slope of space and associated energy costs as experiments are traditionally carried 25 out in flat, horizontal environments. We set out to investigate how dorsal-CA1 place cells in rats encode systematic changes to the slope of an environment by tilting a shuttle box from 26 flat to 15° and 25° while minimizing external cue change. Overall, place cell encoding of 27 28 tilted space was as robust as their encoding of flat ground as measured by traditional place 29 cell metrics such as firing rates, spatial information, coherence, and field size. A large 30 majority of place cells did, however, respond to slope by undergoing partial, complex 31 remapping when the environment was shifted from one tilt angle to another. The propensity 32 for place cells to remap did not, however, depend on the vertical distance the field shifted. 33 Changes in slope also altered the temporal coding of information as measured by the rate of 34 theta phase precession of place cell spikes, which decreased with increasing tilt angles. 35 Together these observations indicate that place cells are sensitive to relatively small changes 36 in terrain slope and that terrain slope may be an important source of information for 37 organizing place cell ensembles. The terrain slope information encoded by place cells could 38 be utilized by efferent regions to determine energetically advantageous routes to goal 39 locations.

40

#### 41 Introduction

42 Navigation that accounts for the energetically-demanding aspects of terrain topology 43 has the potential to save an organism a great deal of time and energy compared to that which 44 only considers the distance to a goal. In practical terms this is instantiated in the empirically 45 validated (Scarf, 2007), century old, Naismith's rule (Naismith, 1892) for planning hiking 46 routes: Account for one hour for every three miles (4,828 meters) on flat terrain and one 47 additional hour for every 2,000 feet (610 meters) of ascent. Over and above the costs 48 associated with the extra time, humans (Hoogkamer, Taboga, & Kram, 2014; Margaria, 49 Cerretelli, Aghemo, & Sassi, 1963; Minetti, Moia, Roi, Susta, & Ferretti, 2002) and rodents 50 (Armstrong, Laughlin, Rome, & Taylor, 1983; Brooks & White, 1978; Chavanelle et al., 51 2014) expend significantly more energy when travelling on inclined surfaces compared to 52 travelling on flat ground. Many other species, including elephants (Wall, Douglas-Hamilton, 53 & Vollrath, 2006) and monkeys (Di Fiore & Suarez, 2007) appear to factor in these time and 54 energy costs when navigating, as they avoid traversing over hills in their natural habits when 55 alternatives are available. In particular, monkeys will travel along energetically advantageous 56 "highways" year after year, suggesting that they possess a representation of the environment 57 that includes the effort demands of routes (Di Fiore & Suarez, 2007). However, while 58 topology-related factors clearly influence navigation, it is not clear how the brain represents 59 the potentially costly three-dimensional (3D) nature of ecological environments (Jeffery, Jovalekic, Verriotis, & Hayman, 2013). 60

61 Place cells (O'Keefe & Dostrovsky, 1971; O'Keefe & Nadel, 1978) are hippocampal 62 neurons that appear to have a role in representing the spatial environment. These cells are 63 active at a specific location in an environment such that an ensemble of many place cells will 64 encode an entire region as well as many features of that environment (for review;

Eichenbaum, 2004). A diverse range of external sensory inputs have been shown to modulate

66 and drive the selective firing of place cells such as environmental contexts (Muller & Kubie, 67 1987; Smith & Mizumori, 2006), landmarks (Gothard, Skaggs, Moore, & McNaughton, 68 1996; Knierim, Kudrimoti, & McNaughton, 1998), objects (Komorowski, Manns, & 69 Eichenbaum, 2009; McKenzie, Frank, Kinsky, Porter, Rivière, et al., 2014), and odors 70 (Jeffery & Anderson, 2003). The vestibular system also has a part to play as lesions of this 71 region abolish the spatial selectivity of place cells (Russell, Horii, Smith, Darlington, & 72 Bilkey, 2003; Stackman, Clark, & Taube, 2002) as well as impair spatial memory and navigation (Smith, 1997; Smith et al., 2005). These findings suggest that self-motion 73 74 (Wallace, Hines, Pellis, & Whishaw, 2002), gravitational, and head/ body orientation 75 (Stackman & Taube, 1997; Taube, 1998) information provided by the vestibular system are 76 vital to a place cell's functionality and the neural representation of space.

77 As a result of their vestibular inputs, place cells may be especially attuned to 78 gravitational and head/body orientation information which may allow them to encode the 79 topology space. Previous studies have, for example, shown that place cell activity is sensitive 80 to changes in slope. For example, when half of a rectangular track was tilted (Knierim & 81 McNaughton, 2001), some place cells altered their activity by firing in a different location or 82 shutting off all together with new place cells becoming active; a phenomenon known as 83 "remapping". In a separate study (Jeffery, Anand, & Anderson, 2006) it was shown that the 84 rotation of a tilted open field caused the ensemble of place cells that represented the field to 85 shift their fields in relation to the rotation, indicating that the cells were sensitive to the slope direction. 86

B7 Despite these findings, it remains unclear how place cells, and ultimately the
cognitive maps that might be used for navigation, encode terrain slope. Previous experiments
investigating this question (Jeffery et al., 2006; Knierim & McNaughton, 2001) used steep
slope angles which did not allow for the full investigation of the cell's sensitivity to terrain

slope; are place cells responsive to small changes in slope angle or do they require a
substantial slope to alter their firing patterns? Furthermore, the latter study (Knierim and
McNaughton, 2001) is the only previous investigation where the slope of the environment
was changed systematically. Unfortunately, in this previous experiment changes to the tilt of
the apparatus were accompanied by changes to the rat's view of the external environment
which may have confounded any effects observed.

97 More generally, debate continues as to whether or not land travelling mammals 98 encode the vertical axis of space (Taube & Shinder, 2013). One proposal is that encoding of 99 height within a cognitive map is minimal (Hayman, Verriotis, Jovalekic, Fenton, & Jeffery, 100 2011) and that multiple planar maps are used to represent each surface which are then pieced 101 together to encode 3D space (Jeffery et al., 2013). Alternatively, it has been proposed that 102 mammalian brains may be capable of encoding space in different ways depending on the 103 environment and how an organism travels through it (Savelli & Knierim, 2011; Ulanovsky, 104 2011). For example, surface locomotion may result in the generation and use of anisotropic 105 (vertical space is encoded differently than horizontal space) planar maps (Ulanovsky & Moss, 106 2007) while flying results in the use of isotropic (horizontal and vertical space are encoded in 107 the same manner) volumetric maps (Finkelstein et al., 2014; Yartsev & Ulanovsky, 2013).

108 In the present experiment we set out to gain a better understanding of how rodent 109 place cells respond to and represent tilted surfaces and in doing so to shed light on how 110 cognitive maps encode three-dimensional space. We recorded place cells from dorsal CA1 as 111 rats ran back and forth on a cue-devoid linear track which could either lie flat (0°) or be tilted to  $15^{\circ}$  and  $25^{\circ}$ . Our data show that place cells were sensitive to as little as  $10^{\circ}$  ( $15^{\circ}$  to  $25^{\circ}$ ) 112 113 changes in tilt and partial remapping was observed between all tilt conditions. Furthermore, 114 the amount of remapping observed was positively correlated with how different the angle was 115 between any two conditions. Nonetheless, a subgroup of place cells also remained stable

across tilt conditions, continuing to represent a location on the track, irrespective of slope.
Together, these data suggest that the firing of a subpopulation of place cells is modulated by
the slope of an environment with individual place cells having different levels of sensitivity
to slope angle. We also provide further evidence that the rat, a land-travelling mammal,
utilizes an anisotropic encoding scheme for representing 3D space.

### 121 Materials and Methods

122 Subjects

123 Seven male Sprague Dawley rats were aged between 4-6 months old and weighed 124 between 350-500 grams were obtained from the University of Otago's Hercus-Taieri Resource Unit. Upon arrival rats were housed in groups of three. Grouped rats were housed 125 126 in plastic cages with wire metal lids (40 x 55 x 27 cm). The animal housing room was 127 maintained at a 12 hour light/dark cycle and kept between 20-22 °C. Rats were given two 128 weeks from the time of arrival to acclimate to the new facility where they had *ad libitum* 129 access to food (18% Protein Rodent Diet, Teklad Global Inc.) and water. After two weeks, 130 rats were food deprived to no less than 85% of their free-feeding weight to stimulate interest 131 in the food reward (Coco Pops cereal, Kellogg Company) used for training and given in the 132 experimental phase. Water continued to be available ad libitum throughout the study. All experimentation was done during the light phase. 133

134 Apparatus

The experiment was conducted in a wooden shuttle box measuring 120 cm long by 24 cm wide with 60 cm tall walls. The entire apparatus was painted matte black and was devoid of any visual cues. The floor was a matte black rubber mat with a diamond pattern to provide the animals with grip while running. At each end of the shuttle box was a matte black plastic semi-circular well where the food reward (Coco Pops) was dispensed. The Coco Pops were delivered through a PVC tube so that the experimenter could unobtrusively provide the ratwith a food reward without interfering with cues inside the box.

142 The apparatus could be laid flat on the ground so that the floor of it was horizontal  $(0^{\circ})$ , and also tilted to two different inclines,  $15^{\circ}$  and  $25^{\circ}$  (Fig. 1a). A camera was used to 143 144 record the position of the rat based on infrared LEDs fixed to the data acquisition system's 145 headstage. This camera was mounted to the apparatus at its midpoint so that its field of view 146 of the maze remained constant when the apparatus was tilted. In order to minimize any extra-147 maze cues, the only source of light in the room was a computer monitor 2.3 meters away 148 from the apparatus. The monitor's brightness was dimmed as low as possible. All other 149 sources of light in the room were covered including the LEDs on equipment and the door 150 jambs. Furthermore, the wall closest to the apparatus was painted matte black, as seen in Fig. 151 1a. The two walls perpendicular to the apparatus, the only two possibly viewable by the rats 152 when the apparatus was tilted, were both over a meter away and devoid of any cues. Because 153 of the measures that were used to minimize extra-maze cues, combined with the known poor 154 visual acuity of albino rats (Pursky et al., 2002), it is extremely unlikely the rats could detect 155 any visual changes associated with tilt.

#### 156 *Preoperative training*

During the first five days of preoperative training, rats were familiarized to the recording room, experimenter, and apparatus. Rats were placed in the experimental apparatus and allowed to free forage for Coco Pops randomly scattered throughout. Once rats were readily foraging in the apparatus they were encouraged to shuttle between the two endzones by making Coco Pops only available at either end. When rats were readily shuttling while the apparatus was at 0° we began to tilt the apparatus. Initially, rats were allowed to shuttle for five minutes with the apparatus at 0° and given two Coco Pops upon arrival at the endzone.

164 The apparatus was then tilted to 15° with the rat still in the apparatus. The rat then shuttled at 165 15° for five minutes for the same reward amount. Following this, the apparatus was tilted to 25° and the rat shuttled for a third five minute session with the same reward amount. Each 166 167 day the side of the apparatus which was elevated was alternated. Some rats shuttled in the tilted conditions on the first day of exposure while others took up to seven days to shuttle in 168 169 all tilt conditions. Once a rat was shuttling for five minutes in each condition, a lap count 170 measure of performance was utilized. A lap consisted of the rats running from one endzone to 171 the opposite endzone and then back. Rats were trained each day until they were readily 172 shuttling for 20 laps in each of the three tilt conditions. It took an average of two days for rats 173 to reach the 20 lap criterion. All rats were then run on the 20 lap per condition sequence for at 174 least one week to ensure consistent behavior (no stopping or turning around during a lap). At 175 this point rats were ready to be implanted with microdrives.

176 Surgery

177 All experimental protocols were approved by the University of Otago Animal Ethics 178 Committee and conducted in accordance with New Zealand animal welfare legislation. 179 Anaesthesia was induced through 5% isoflurane (Merial New Zealand, Ltd.) in oxygen and 180 maintained at 2-2.5% during surgery. Once induced, animals were given the analgesics 181 Carprofen (a non-steroidal anti-inflammatory drug, 1mg/kg) and Temgesic (buprenorphine, 182 0.33mg/kg) as well as a prophylactic antibiotic, Amphoprim (trimethoprim and 183 sulphamethazine, 0.2ml,) before being placed into a stereotaxic frame with non-puncture ear bars (David Kopf Instruments, Inc.). The scalp was shaved and sterilized with Betadine 184 (Povidone-iodine) followed by a subcutaneous injection of Lopaine (lignocaine 185 186 hydrochloride 20mg/ml; 0.1ml diluted in 0.4ml of sterile saline) as a local anesthetic in the 187 scalp.

188 Six rats were implanted with 8-channel Scribe microdrives (Bilkey & Muir, 1999) and 189 one rat was implanted with a custom 64-channel microdrive array. The electrodes of all 190 drives were prepared as tetrodes (four electrodes tightly spun and heated together); two 191 tetrodes for the 8-channel drive and 15 for the 64-channel drive. Electrodes consisted of 25 192 µm nichrome, heavy formvar insulated wire (Stablohm 675 HFV NATRL; California Fine 193 Wire Company) for Scribe microdrives. For the 64-channel microdrive array, the electrodes 194 were made from 17.5 µm platinum 10% iridium, polymide insulated wire (California Fine 195 Wire Company). All electrodes were gold (nichrome) or platinum (platinum 10% iridium) 196 electroplated to reduce their impedances to between 200-250 k $\Omega$  (NanoZ; Neuralynx, Inc.). 197 Tetrodes were stereotaxically targeted at the dorsal aspect of the hippocampal CA1 subregion 198 of the right hemisphere (anteroposterior, -3.7 mm; mediolateral, +2.2 mm (Paxinos & 199 Watson, 2007)). Tetrodes were lowered approximately 1.5 mm from the dura into the brain. 200 Rats were also implanted with a single local field potential (LFP) electrode (200 µm thick 201 insulated nichrome wire; Johnson Matthey, Inc.) into the ACC (anteroposterior, +2.0 mm; 202 mediolateral, +0.4 mm). The ACC LFP data is not presented in this paper. A skull screw over 203 the cerebellum served as a ground connection. Post-surgery rats received secondary doses of 204 Temgesic, Carprofen, and Amphoprim. Rats were given 10 days to recover before behavioral 205 testing resumed.

## 206 Postoperative training

207 Postoperative training was carried out to ensure rats could still perform the task 208 adequately, adjust to their implant, and to optimize electrode placement. Rats' food was again 209 reduced to maintain 85% of their free feeding weight. For each day of postoperative training 210 rats were plugged into the data acquisition system's tethered headstage. On the first day of 211 postoperative training, Coco Pops were randomly placed within the apparatus and the rat was 212 given 15 minutes to forage freely to adjust to the weight of their implant. On subsequent days, rats shuttled for 20 laps on each tilt condition, 0°, 15°, and 25°, counterbalancing for
which end of the apparatus was elevated. Nearly all rats were able to carry this out on the first
day. However, a few rats took between three to five days to acclimate to their implants and
carry out all 60 laps. All rats had a minimum of seven sessions of postoperative training (20
laps per condition) prior to starting the experimental protocol.

## 218 Electrophysiological recordings

219 During postoperative training single unit and local field potential (LFP) data were 220 closely monitored. Tetrodes were lowered towards dorsal CA1 (dCA1) over the course of two 221 to four weeks until well isolated single units were identified. During this period rats were 222 running the postoperative training outlined above. Neurophysiological and animal movement 223 data were acquired with an Axona multichannel data acquisition system (DacqUSB; Axona, 224 Ltd.) for both the 8- and 64- channel microdrives. Single unit data was bandpass filtered 225 between 600 and 6,000 Hz and digitized at 48 kHz. Signals were amplified between 5,000 226 and 9,000 times. For each tetrode, one electrode with minimal spiking activity on a different 227 tetrode served as a reference. Action potentials were detected by threshold crossing of 228 approximately 70 µV. LFP data was sampled at 4,800 Hz and bandpass filtered between 1 229 and 500 Hz.

### 230 Experimental protocol

Once dCA1 single units were being consistently obtained day to day the experimental sequence began. The experimental sequence consisted of six recording sessions, one per day for six days. Each day rats consecutively ran ~20 laps in each of the three tilt conditions, 0° flat, 15° tilt, and 25° tilt. One lap consisted of the rat running from one end of the shuttle box to the other, consuming the reward at the endzone, and returning to the start endzone to consume its reward. After ~20 laps under one condition, the rat remained in the apparatus and ran one more lap while the apparatus was tilted to the next condition. Tilt condition
presentation order was counterbalanced across days such that no condition was experienced
in the same order position (Fig. 1c) and for the first three days the north endzone was
elevated, while for the second three days the south endzone was elevated.

During the experimental sequence tetrodes were not manipulated. Three rats were run on the whole experimental sequence once while three were run on it twice with at least a two day break in between data collection. In between the two six day data collection sequences tetrodes were manipulated in order to obtain recordings from new single units. Tetrodes were lowered approximately 40-80 µm per day until new units were obtained (visual inspection of waveforms online and offline) or until the tetrodes moved out of the dCA1 layer.

247 Analysis

For each recording, single units were manually isolated offline in Offline Sorter (Version 3; Plexon, Inc.) primarily using peak-to-valley distance and principal components analysis of the waveforms. The single unit spiking data was then exported to Matlab along with the behavioral tracking data. All data analysis was carried out using Matlab with native and custom written scripts. All measurements are stated as means ± standard error of the mean.

254 Behavior analysis

The apparatus was broken up into two regions of interest (ROIs); running and endzones (Fig. 1b). The endzones consisted of the two ends of the shuttle box where the reward was dispensed and consumed. The area in between the two endzone boundaries (103 cm long) was considered the running ROI where rats were actively shuttling between endzones. All analysis reported here was restricted to the running ROI. One trial counted as the rat running from the boundary of one endzone to the boundary of the opposite endzone 261 (half a lap). Trials where the rat did not complete the end to end run were excluded. Failed 262 shuttles were, however, quite rare, typically occurring on only one to two trials per condition. Trials where the rat took longer than 7 seconds to shuttle (had an average speed below 15 263 264 cm/s) were also excluded to keep trial-to-trial speeds consistent. A trial's slope direction was determined to be uphill or downhill based on which endzone the rat departed from. Trials 265 where the rat originated from the endzone on the ground and shuttled to the elevated endzone 266 267 were considered uphill and vice versa for downhill. For the non-tilt (0°) condition, "uphill" and "downhill" trials correspond to the same running direction in relation to the tilted 268 269 conditions occurring during that session.

270 Single unit analysis

271 For every single unit, the firing rate of each trial was determined by the duration of 272 the trial and the number of spikes that cell fired during that trial. Condition (tilt by slope 273 direction) firing rates were determined by dividing total trial durations for that condition by 274 the number of spikes that occurred in that condition. All analyses were restricted to cells categorized as place cells. To be considered a place cell, single units had to have discharged 275 276 at least 100 spikes and to have a mean firing rate of at least 0.1 Hz for at least one of the six 277 possible conditions (three tilt, 0°, 15°, 25°; two slope directions, uphill and downhill). In addition, a place cell had to have a spatial information score (see below) of at least 1 bit/spike 278 279 and spatial coherence (see below) greater than 0.5 for at least one condition. Data was pooled 280 across animals, however, the general patterns described were consistent across all animals 281 tested.

282 Place cell metrics

In order to determine the peak firing rate and place field size, the floor of the shuttle box was subdivided into 2.5cm<sup>2</sup> bins. An occupancy map based on the tracking data was then created based on the amount of time the rat spent in each bin. Bins with an occupancy time
less than 100 milliseconds were removed. A spike map was then created for each single unit
based on the number of spikes which occurred in each bin. Elementwise division was used
between the spike map and occupancy map to create a firing rate map where each bin
contained the firing rate for a cell. The peak firing rate for a place cell was determined by the
bin which had the highest firing rate.

291 A place field map was created for each cell based on the firing rate map. Place field 292 maps utilized a firing rate criterion to remove bins where the cell was not substantially active 293 in and/or did not display place field-like activity. First, a Gaussian smoothing kernel was applied to the firing rate map with a  $2.5 \text{cm}^2$  (1 sigma) smoothing window. Following this, 294 each bin of the place field map was checked to see if it had a firing rate of at least 15% of the 295 296 peak firing rate and had seven neighboring bins that also met this firing rate criterion. If a bin 297 did not meet these criteria it was set to 0 on the place field map so it would not be included in 298 the place field size calculation. Following this process of removing underactive bins, the 299 number of distinct place fields was found using Matlab's bwlabel function for finding 300 connected components. Afterwards, each field was analyzed separately for its size (total bins 301 with elevated firing), length, width, and aspect ratio (length/width). If a place cell had 302 multiple fields, we chose the largest field to be its "main field". All further place cell analysis 303 described below was carried out on the unsmoothed firing rate maps (not the place field 304 maps).

Spatial information measures the amount of information, in bits per spike, that a given
spike conveys about the rat's location within an environment (Skaggs, Mcnaughton, Gothard,
& Markus, 1993). The more spatial information a cell's spikes convey, the more that cell can
be relied upon to decode the rat's position within the environment. The formula for spatial
information is as follows:

$$Information = \sum_{i=1}^{N} p_i \frac{\lambda_i}{\lambda} \log 2 \frac{\lambda_i}{\lambda}$$

310

311 where the environment is divided into *N* non-overlapping bins with i = 1, ..., N,  $p_i$  is the 312 occupancy probability of bin *i*,  $\lambda_i$  is the mean firing rate for bin *i*, and  $\lambda$  is the overall mean

313 firing rate of the neuron.

314 Sparsity was also measured for each place cell (Skaggs, McNaughton, Wilson, &
315 Barnes, 1996). Sparsity is akin to information in that it measures the portion of the
316 environment in which a cell is active. The formula for sparsity is:

Sparsity = 
$$\frac{[\lambda]}{[\lambda^2]} = \frac{(\sum p_i \lambda_i)^2}{\sum p_i \lambda_i^2}$$

317

where the square brackets [] denote the expected value average over all locations. All othersymbols are as described for the *Information* equation.

Spatial coherence is a measure of how spatially concentrated a place cell's activity is
(Muller & Kubie, 1989). Spatial coherence is measured by the average z-transformed
correlation of the firing rate of a given bin to the mean firing rate of the surrounding eight
bins, carried out for every bin of the apparatus.

When analyzing the place cell metrics described above, only place cells which were active (met the place cell criteria) on a given condition contributed to that condition. If a place cell was not active on a given condition, its data was not included for that condition. For example, if a place cell was only active on 25° uphill, the metrics of its activity on 25° uphill were used, while the metrics for the other five conditions were not included in the calculation of those five condition's averages. 331 In order to visualise the activity of all the recorded place cells during a condition, 332 sequence plots were created using firing rate maps. Sequence plots show the activity of many 333 place cells by collapsing the short axis of the apparatus by averaging the firing rates of each 334 short axis column along the long axis. Due to the narrow width of the shuttle box, there 335 tended to be little deviation in place field width across the short axis. This transformation results in a one-dimensional (1D) vector of 2.5  $\text{cm}^2$  bins for the long axis of the apparatus. 336 337 For our sequence plots, each row is a 1D vector of one place cell. Place cells can then be 338 ordered based on the location of their place field, as determined by the bin with the peak 339 firing rate. Place cell activity for any condition, say 15°, may then be arranged based on their field location in the apparatus in the 0° condition. This methods allows for the visualization 340 341 of how much place cell activity changes when the apparatus is tilted from 0° to 15°. For 342 visual clarity the firing rates of each place cell were normalised to be between 0 (minimum 343 firing rate) and 1 (max firing rate) for a consistent z-axis. Firing rates for uphill plots and downhill plots were normalised separately. 344

#### 345 Spatial activity correlations

While the place cell metrics described above capture how all active place cells were 346 responding to tilt, we were also interested in how individual place cells were changing their 347 348 activity due to changes in tilt. To test this, we utilized the occupancy maps for each place cell 349 for each tilt condition and determined which bins were occupied on all three tilt conditions 350 within a slope direction. Then, for each tilt condition the firing rate in each of the common-351 occupied bins were turned into a 1D vector and correlated between each pair of tilt conditions. There had to be a minimum of three common-occupied bins with non-zero firing 352 353 rates to avoid spurious correlation values. In order to determine the importance of spatial

location, bin firing rates were randomly shuffled then correlated 10,000 times. The average
correlation values from these 10,000 iterations were then compared to the actual correlation
values.

357 Remapping

358 Remapping analyses were carried out by comparing how place cell's changed their 359 activity within or between tilt conditions while keeping slope direction (uphill/downhill), and 360 thus running direction, constant. Only place cells that met the place cell criteria for one or 361 both of the two conditions being compared were deemed "active" and underwent more granular remapping analysis. Remapping analysis was conducted on the change in activity 362 363 from the shallower tilt angle to the steeper tilt angle. Place cells which were not active on the two conditions being compared were deemed "inactive". Several different types of remapping 364 365 were considered. Cells could "turn on" or "turn off" if they met the place cell criteria for one 366 epoch but not the other (one type of complex remapping). For place cells which did meet the place cell criteria for both conditions in question, we determined if these cells field-remapped 367 368 (the second type of complex remapping), rate remapped, or remained stable. First we tested if 369 a place cell field-remapped by comparing the location of the bin with the maximum firing 370 rate using the 1D place field maps of the two cells for both conditions. If their maximum 371 firing rate bins differed by 20 cm, or ~20% of the running area, the place cell "field-372 remapped" in that its field location shifted from one epoch to the other. If the place cell did 373 not field-remap, it was then determined whether its firing activity differed significantly between the two conditions. The firing rates of each trial for one condition were tested 374 375 against the trial firing rates of the other condition with a Wilcoxon rank sum test. If the firing 376 rates significantly differed (P < 0.05) then the place cell was considered to have "rate 377 remapped" between the two conditions. Finally, if the activity of the place cell did not meet any of these remapping criteria between a pair of conditions it was considered to be "stable". 378

Further remapping analysis was carried out on place cells divided into "bottom" and "top" place cells depending on where their maximum firing rate was located in the maze in the 0° condition, with "top" being that half of the maze that was raised highest in the tilt conditions.

383 Phase precession Analysis

To quantify how the timing of spikes relative to the underlying theta rhythm changed as 384 385 animals moved through each place field, an analysis of phase precession (O'Keefe & Recce, 386 1993; Skaggs et al., 1996) was conducted. For phase estimation, the CA1 LFP was bandpass filtered between 7 and 9 Hz and the Hilbert transform was applied. The phase reference was 387 always to the LFP in the CA1 pyramidal cell layer theta, and 0° corresponds to the trough in 388 389 the negative portion of the filtered LFP. Place field position was determined automatically by 390 dividing the shuttlebox into 4 x 20 pixels and selecting clusters of pixels that were in the 391 region of the apparatus that excluded reward areas and where cells fired at above average 392 firing rate and had at least two neighbors that also did so. Place fields were detected 393 separately for each of the slope conditions and where more than one place field was found for 394 a cell in a condition, data from the largest field was analyzed. All place field determination and data analysis were from data obtained as the animal ran in the same direction, either up 395 396 the slope or on the flat.

For all spikes that occurred with a place field, spike phase was determined by
matching animal position in the field to the instantaneous phase of the 7-9 Hz theta rhythm.
The relationship between phase and position in each place field was measured using
procedures described previously (Kempter, Leibold, Buzsaki, Diba, & Schmidt, 2012).
Briefly, his involves using circular-linear regression procedures to provide a robust estimate
of the slope and phase offset of the regression line, and a correlation coefficient for circular-

403 linear data that is a natural analogue of Pearson's product-moment correlation coefficient for 404 linear-linear data. This procedure gets around the potential problems associated with using 405 linear-linear correlation on circular data. The fits were constrained to have a slope of no more 406 than  $\pm 2$  theta cycles per place field transverse. Previous studies indicate that phase 407 precession occurs with a negative slope (O'Keefe & Recce, 1993). Phase precession analysis was conducted by combining spiking data from all passes through the place field for all cells 408 409 that had a total of at least 50 spikes within the place field in the condition of interest and 410 where the magnitude of the amplitude envelope of the filtered EEG, as derived from the 411 Hilbert transform and tested for each spike at the time of firing, was above the mean. These 412 constraints removed noise in the data potentially produced by low firing-rate cells or spikes 413 that occurred when EEG amplitude was low and therefore phase determination might be 414 problematic. Analysis of firing, phase-position slope and correlation data was conducted 415 using a between subjects ANOVA on individual cell data. The phase offset data, which 416 corresponded to the theta phase at which spiking occurred as the animal entered the field was 417 compared across conditions using circular statistics, including the Watson-Williams test for 418 comparison of circular data (Zar, 1999).

#### 419 *Histology*

420 After completion of behavioral testing the placement of the tetrodes were confirmed 421 by creating a lesion at the tip of each tetrode by passing 2 mA of current for one second on 422 two wires of each tetrode while the rat was deeply anesthetized with isoflurane. Rats were subsequently overdosed on isoflurane in a large bell jar and perfused transcardially with 120 423 ml of 0.9% saline followed by 120 ml of 10% formalin in saline. Brains were removed and 424 425 placed in 30% sucrose solution until they sunk. Brains were then frozen and sliced with a 426 microtome (Lecia Biosystems, LLC) to 60 µm thick coronal sections. Sections were mounted 427 and stained with thionine acetate (Santa Cruz Biotechnology, Inc.) and tetrode placement was 428 confirmed with a lower power (1.5x) digital microscope (Lecia Biosystems, LLC) and tetrode429 movement logs.

430

431 Results

## 432 Rodent behavior and place cell properties

433 We recorded single units from the dorsal CA1 subregion of well-trained rats as they shuttled back and forth in a shuttle box which could be laid flat  $(0^{\circ})$  or tilted to  $15^{\circ}$  or  $25^{\circ}$  to 434 435 manipulate tilt (Fig. 1a). Behavioral and neurophysiological data were only analyzed in the 436 running region of interest with the rewarded endzone regions excluded (Fig. 1b). Data was 437 collected over six consecutive days, with counterbalancing for tilt condition presentation 438 order and which side was elevated (Fig. 1c). The rats completed an average of 18.8 (Standard 439 Error of Mean; SEM  $\pm$  0.17) successful trials (i.e., did not turn around, took less than 7 440 seconds) for each tilt angle-slope direction (tilt angles: 0°, 15°, and 25°; slope directions: uphill and downhill). Rat's running speeds were significantly influenced by tilt (F (2, 74) =441 19.88, P < 0.0001), slope direction (F (1, 37) = 9.897, P = 0.0033), and their interaction (F (2, 442 443 74) = 10.33, P < 0.0001; Fig. 1d). On average, rats slowed down with an increasing tilt angle, running an average speed of 33.1 cm/s (SEM  $\pm$  0.9) on 0°, 32.1  $\pm$  0.8 cm/s on 15°, and 28.3  $\pm$ 444 0.7 cm/s for 25°. Surprisingly, rats were significantly faster on  $15^{\circ}$  (33.4 ± 1.1 cm/s) and  $25^{\circ}$ 445 446  $(30.0 \pm 1.0 \text{ cm/s})$  uphill than on  $15^{\circ} (31.5 \pm 1.2 \text{ cm/s}; (Tukey's; q (74) = 5.363, P = 0.004)$ 447 and  $25^{\circ}$  (26.6 ± 1.0 cm/s; Tukey's; q (74) = 6.98, P < 0.001) downhill, respectively. Running speeds on 0° "uphill" ( $32.7 \pm 1.2 \text{ cm/s}$ ) and "downhill" ( $33.4 \pm 1.2 \text{ cm/s}$ ) did not differ 448 significantly (Tukey's; q(74) = 1.58, P = 0.874). Anecdotally, rats tended to employ a fast 449 450 hopping-like gait when travelling uphill and a more cautious walk for downhill runs. Tilt also 451 significantly affected (F (2, 74) = 13.51, P < 0.001) the way in which rats travelled in the

452 shuttle box; as the tilt angle increased, rats ran more irregular routes as observed by the total number of 2.5 cm<sup>2</sup> bins they occupied in a given condition (slope direction: F(1, 37) =453 0.8214, P = 0.3706); interaction: F (2, 74) = 2.427, P = 0.0953; Fig. 1e). Rats utilized 454 455 significantly more area to run on 25° compared to 0° (Tukey's; P < 0.0001) and 15° (P =0.0001). In conjunction with previous physiological studies on rats running inclined and 456 457 declined treadmills (Armstrong et al., 1983; Brooks & White, 1978; Chavanelle et al., 2014), 458 the reduction in running speed and more irregular paths likely indicate the difficulty of 459 travelling on sloping terrain.

#### 460 The effects of tilt on place cell encoding

461 A total of 225 putative single units were recorded across all recording sessions from the seven rats. Of those cells, 99 met our strict place cell criteria for inclusion in subsequent 462 463 analyses with an average of  $14.1 \pm 4.0$  place cells (PCs) recorded per rat (Rat 1, 25; Rat 2, 4; 464 Rat 3, 1; Rat 4, 6; Rat 5, 18; Rat 6, 17; Rat 7, 28). We were interested in whether there were 465 systematic changes to standard measures of place cell activity as our apparatus was tilted. 466 Overall, tilt and slope direction appeared to have little effect on most measures of place cell activity (Table 1). Two-way ANOVAs with tilt (0°, 15°, 25°) and slope direction (uphill, 467 downhill) as factors revealed no significant difference (P > 0.05) for either factor or their 468 469 interaction when comparing mean firing rates, peak firing rates, spatial information, or spatial coherence. Tilt angle did have a small but significant effect (F (2, 206) = 3.056, P = 0.0492) 470 471 on the sparsity of place cell firing (slope; (F (1, 206) = 0.414, P = 0.5204) interaction; (F (2, 206) = 0.414, P = 0.5204) 206) = 0.565, P = 0.5692)). Tukey's test for multiple comparisons revealed a significant 472 difference between the average sparsity of place cell activity on 0° compared to 25° (Tukey 473 (206) = 3.401, P = 0.0448), and no differences between 0° to 15° and 15° to 25° (P > 0.05). 474 475 Due to the differences in the number of bins rats occupied across conditions, we measured 476 place field sizes as a percentage of occupied area covered by the place field (place field size /

477 total bins occupied). Neither tilt nor slope direction affected the number of fields place cells 478 had, the total coverage of all place fields, or the size or aspect ratio of a cell's main place 479 field (P > 0.05). Furthermore, infield firing rates and outfield firing rates did not differ across 480 tilt or slope conditions (P > 0.05).

481

### 482 Place cells remap in response to tilt

Previous studies have demonstrated that place cells will alter their activity, or 483 484 "remap" in response to manipulations to an environment, such as changes to the shape of 485 environments or visual cue locations (Muller & Kubie, 1987). We were initially interested in 486 how place cell activity was remapping in response to changes in tilt. A diverse range of 487 remapping responses to the tilt manipulation were observed from place cells recorded in 488 different animals (Figure 2). Most place cells met the place cell criteria for either one (38% 489 Fig. 3a; e.g. Fig. 2a), two (29%; e.g. Fig. 2b), or three of the slope x direction conditions 490 (20%; e.g. Fig. 2c,d,f), with very few meeting the criteria for four (7%), five (2%), or all six (3%; e.g. Fig. 2e). On average, cells met the place cell criteria for 2.1 conditions (SEM  $\pm$ 491 492 0.12). There was no significant difference in the number of place cells active for a given tilt angle ( $0^{\circ} = 78$ ,  $15^{\circ} = 70$ ,  $25^{\circ} = 74$ ;  $X^{2}$  (2) = 0.27, P = 0.867), however there were 493 494 significantly more place cells active on downhill conditions (n = 125) versus uphill conditions (n = 87;  $X^2$  (1) = 6.81, P = 0.009; Fig 3b). During both uphill and downhill 495 conditions, most place cells were active on one of the three tilt conditions, with fewer active 496 497 on two or three condition (Fig 3c). The number of tilt conditions place cells were active for did not differ significantly between uphill and downhill conditions ( $X^2$  (2) = 2.12, P = 0.333). 498 499 Most place cells (71%) exhibited directional selectivity (Fig. 2a-d,f) and only met the place 500 cell criteria for one slope direction (Fig. 3d). In contrast, 29% of place cells showed

bidirectional activity and were active on both uphill and downhill runs (Fig. 2e). There were no significant differences in the number of unidirectional versus bidirectional place cells across the tilt conditions ( $X^2$  (2) = 2.22, P = 0.329). Taken together, place cells tend to be unidirectional and selectively active on specific tilt-slope direction conditions.

505 Place cell remapping across conditions

We wanted to further quantify the types of remapping cells were undergoing as the tilt 506 507 of the environment was manipulated. We analysed whether or not place cells remapped 508 between conditions and if they did remap, what type of remapping they underwent. For each 509 place cell, we asked how it was changing its activity between each pair of tilt conditions 510 while keeping slope direction constant (Fig 3e). A Chi-squared test determined there were no 511 differences in the number of place cells undergoing rate or field remapping, turning on or off, or remaining stable across the condition pairs ( $X^2$  (25) = 29.29, P = 0.252). Because there 512 513 were no differences, we will present the average percentage of place cells across the six 514 condition pairs which underwent each type of remapping. On average,  $51\% \pm 3\%$  of recorded 515 place cells were inactive between a given pair of tilt conditions. If a place cell was active on 516 two conditions, complex remapping was the most common form of activity change with place 517 cells either turning on  $(16\% \pm 1\%)$  or shutting off between conditions  $(14\% \pm 1\%)$ . Field remapping was quite rare, with an average of just  $1.3\% \pm 0.4\%$  cells remaining active on two 518 519 conditions but with distinct place field locations. Rate remapping, where place cells have a 520 stable field location but significantly alter their firing rate between two conditions, was more 521 common with an average of  $7\% \pm 1\%$  place cells. Lastly, an average of  $10\% \pm 2\%$  of place 522 cells had stable activity between two conditions. These results further indicate that the degree 523 of change between tilt angles does not have an effect on the magnitude or type of place cell 524 remapping. Rather, any change to the tilt of an environment results in place cell ensembles undergoing consistent but substantial partial-complex remapping. 525

### 526 Place cell sequence plots

To visualize the place cell remapping that was occurring across tilt conditions we generated a series of sequence plots. Here, all 99 place cells that were active for at least one slope direction-tilt condition (tilt:  $0^{\circ}$ ,  $15^{\circ}$ , and  $25^{\circ}$ ; slope direction: uphill and downhill) were included in the plots. For each place cell, the firing rates across the apparatus in all conditions is displayed as heat maps and for each condition. Place cells are ordered according to their place field position in the apparatus using one tilt x slope direction condition as a baseline and plotting the other conditions relative to this baseline.

534 Sequence plots were created and ordered according to the place field sequence order for all three tilt conditions  $(0^\circ, 15^\circ, 25^\circ)$ , separately for each slope direction (uphill or 535 536 downhill) (Fig. 4). A grey outline of the sequence plot and asterisk in the condition title 537 indicates which condition is being used to organize the place cells by their field location in 538 that condition. Changes in the tilt of the apparatus results in a wide range of remapping 539 activity with some place cells turning on or off while others remain active across tilt 540 conditions with changes to their field location or firing rate. Overall, place field sequences 541 tend to hold their ordered sequence across the different tilts, suggesting that at least some place cell fields are stable on different tilt angles. We also ordered place cells from one slope 542 543 direction, and thus running direction, to the other which showed a near total breakdown in 544 place field sequence across the environment (data not shown). Thus, tilting a fixed 545 environment causes substantial, partial-complex remapping of place cell populations for both 546 uphill and downhill trajectories.

### 547 Place cell activity across tilt and slope conditions

In order to quantify the effects of tilt shown in the sequence plots, a spatial correlation
analysis was utilised to test how individual place cells were being affected by changes in tilt

550 and slope direction. We hypothesized that place cells may use tilt angle as a way to 551 discriminate between experiences. If this is so, conditions where the tilt angle is more similar 552 (15° to 25°; 10° difference) should be more correlated to each other compared to conditions 553 where the tilt angle is more different ( $0^{\circ}$  to  $25^{\circ}$ ;  $25^{\circ}$  difference). For each place cell we 554 computed a correlation comparing the firing rates of each bin commonly-occupied between 555 the two conditions, for every tilt-slope direction pair. We then aggregated the correlation 556 values from every place cell for each pair of conditions (Figure 5). A two-way ANOVA showed no significant effect for slope direction (F (1, 285) = 3.51, P = 0.0620), the difference 557 558 in tilt angle between tilt pairs (F (2, 285) = 0.8864, P = 0.4133), or their interaction (F (2, 285) = 0.8864, P = 0.4133), or their interaction (F (2, 285) = 0.8864, P = 0.4133), or their interaction (F (2, 285) = 0.8864, P = 0.4133), or their interaction (F (2, 285) = 0.8864, P = 0.4133), or their interaction (F (2, 285) = 0.8864, P = 0.4133), or their interaction (F (2, 285) = 0.8864, P = 0.4133), or their interaction (F (2, 285) = 0.8864, P = 0.4133), or the pairs (F (2, 285) = 0.8864, P = 0.4133, P = 0.41 559 (285) = 0.0500, P = 0.9516). We further tested these data against correlation values generated from randomly shuffling firing rate bin locations for each condition pair. For all six pairs of 560 561 conditions, the actual correlation values were significantly greater from those that would be 562 generated by chance if spatial specificity was irrelevant (Wilcoxon rank sum test; P < 0.001). 563 These data suggest that overall dCA1 place cells treat each tilt condition as a unique 564 environment and form distinct maps for each condition, however, these maps are not 565 completely unrelated.

# 566 Place cell remapping between and within conditions

567 In order to confirm that the place cell activity changes apparent across the different tilt 568 conditions were due to changes in tilt and not simply due to changes in place cell activity 569 over time, we compared within-tilt condition changes with those observed between different tilt conditions across similar time windows. Place cell activity was compared within the 570 571 second and third tilt conditions for each recording session by analyzing how place cells remapped from the first 10 trials to the second 10 trials. To test the effect of changing tilt, the 572 573 latter 10 trials from the first tilt condition were compared to the first 10 of the second 574 condition and the latter 10 of the second to the first 10 of the third. When place fields were

575 characterized by the type of remapping observed across these two comparisons, it was 576 apparent that remapping was quite different in the tilt-change comparison compared to the within-condition situation ( $X^2$  (4) = 23.5, P < 0.001, Chi-squared test; Table 3). We further 577 578 combined all forms of remapping into one category to test against stable place cells. Place 579 cells were significantly more likely to remain stable within a tilt condition compared to between tilt conditions ( $X^2(1) = 16.6$ , P < 0.001). Together, these data indicate that the 580 581 change in place cell response across tilt angles cannot simply be explained as instability over 582 time.

## 583 Elevation change has no effect on remapping

584 We next set out to test whether or not the tilt-associated remapping we were observing 585 was related to the tilt per se or to the vertical transition in space that occurred across most of 586 the apparatus as it was shifted from the flat to tilted condition. We hypothesized that if place 587 cells were encoding the elevation of the apparatus it would be expected that the further a 588 place field moved through 3D space (primarily vertically) the more likely it would be for a 589 place cell to remap. To these ends we divided the apparatus up into two halves with the 590 knowledge that overall one half (high) was shifted through space to a greater extent than the 591 other half (low) when the apparatus was shifted from the flat to the sloped condition (see Fig. 1a). We first considered all place cells which met the place cell criteria for the 0° tilt 592 593 condition in either slope direction. The place cell's field location in the bottom or top of the 594 apparatus was determined from the bin of the 1D place field map that had the maximal firing 595 rate. For those place cells that were active in the 0° condition we then asked how changes in 596 elevation altered their activity. We discovered that place cells with fields on the low half of 597 the apparatus were just as likely to remap (turn on/off/field/rate) or remain stable as place cells with fields on the high half of the apparatus ( $X^2$  (1) = 1.635, P = 0.201; Table 2). 598 599 Differentiating between complex remapping (turn on/off, field remap), rate remapping, and

stable place cells also shows no significant effect for a field's vertical transition ( $X^2$  (2) = 2.89, P = 0.236). This analysis was also repeated using a place cell's center of mass location rather than maximal firing rate location to determine the top/bottom categorizing factor, with no difference in results (P > 0.05). Thus, remapping is likely driven directly by the change in the slope, with cells remapping to encode a particular whole-tilt 'context', rather than being an effect of the vertical transition of part of the apparatus.

606 *Phase precession analysis* 

607 Data for phase precession analysis was gathered from 78 cells that met the sampling criteria 608 at zero degrees of tilt, 79 cells at 15 degrees and 92 cells at 25 degrees (Figure 6a). All 609 analysis was conducted for travel up the tilted surface or for the equivalent direction on the 610 flat. A quantification of phase precession characteristics was provided through the circular-611 linear correlation procedure, which indicated no difference between tilt conditions in terms of the proportion of cells that generated statistically significant (P <0.05) circular-linear fits ( $X^2$ 612 = 4.91, P = 0.09). Overall, there was also no difference in the circular linear correlation 613 614 coefficient calculated for the three different slope conditions (F (2,245) = 1.359, P = 0.259. 615 However, a comparison of the best fit line through the data indicated some differences 616 between tilt conditions. For all groups, the initial firing as the animal entered the place field 617 tended to occur on the rising phase of the theta cycle as we recorded it at the CA1 pyramidal 618 cell layer, equivalent to firing occurring just after the peak of theta at the fissure as described 619 previously (Skaggs et al., 1996). There was, however, a significant shift towards an earlier firing phase as the tilt of the apparatus increased (Rayleigh's F = 7.25, P < 0.001; Fig. 6b). A 620 comparison of the slope of the best-fit to the data measuring the precession across the theta 621 622 cycle indicated that slope decreased to become less-negative as the tilt of the apparatus increased (F (2,246) = 3.64, P = 0.028; Fig 6c). These changes in phase precession did not 623 624 appear to be artifacts of other changes in cell firing or animal movement as no significant

between-tilt differences were observed in mean firing rate within the field, place field width, place field position, theta frequency, theta amplitude or animal speed within the field (all P > 0.1).

628 Discussion

629 To understand how a change in the slope of a traversed surface influenced the 630 hippocampal representation of space, we analyzed the activity of dCA1 place cells as rats shuttled back and forth in a high-sided box tilted at 0°, 15°, and 25° angles. Our data 631 632 indicated that place cells had no loss of spatial specificity on tilted environments as demonstrated by standard place cell metrics. Nevertheless, place cells are sensitive to changes 633 634 to the tilt angle of an environment. We showed that any change to the tilt of the shuttle box 635 led to substantial partial remapping of place fields. However, the magnitude of the difference 636 in tilt angle between conditions did not reflect the degree of remapping observed. In addition, 637 the probability of a place cell remapping was not affected by how far the animal moved in the 638 vertical dimension of space which supports the proposal that rodent place cells encode space anisotropically (Jeffery et al., 2013). 639

640 A well-studied characteristic of hippocampal place cells is their ability to "remap" 641 their activity in response to changes to the environment (Colgin, Moser, & Moser, 2008; 642 Leutgeb et al., 2005; Muller & Kubie, 1987). Most commonly, changes to the shape or size of 643 the environment or the locations of prominent cues can result in alterations in place cell 644 firing, including changes in firing rate or changes in the location of the place field. Place cell 645 remapping to changes in the tilt of an environment have, however, seldom been investigated 646 despite previous studies showing that place cells respond to changes in vestibular information 647 (Russell et al., 2003; Stackman et al., 2002) and can use slope as an orienting cue (Jeffery et 648 al., 2006). Our data showed that a high proportion of place cells remapped as the tilt of the

shuttle box was manipulated. As a result, many cells were only responsive to one or two of the tilt slope-direction conditions; demonstrating the sensitivity of place cells to slope terrain. However, place cell encoding does not seem to be coupled to changes in slope angles as irrespective of whether the shuttle box slope was altered by  $10^{\circ}$  ( $15^{\circ}$  to  $25^{\circ}$ ) or  $25^{\circ}$  ( $0^{\circ}$  to  $25^{\circ}$ ), similar levels and types of remapping were observed. These data indicate that the hippocampus is encoding each tilt condition as a discrete context with terrain slope as a differentiating cue.

656 A subset of place cells did remain active, with a stable place field location and firing rate, on more than one tilt condition. These place cells may aid in associating together these 657 experiences (Eichenbaum, 2004; Leutgeb, Leutgeb, Treves, Moser, & Moser, 2004; 658 659 McKenzie, Frank, Kinsky, Porter, Rivière, et al., 2014). Stable place cells, especially those 660 active on two tilt conditions within a slope direction, may accomplish this associative 661 function by having a broader terrain slope tuning curve than other cells. An alternative, and 662 not mutually exclusive, explanation is that subsets of place cells are utilizing different reference frames for their spatial specificity (Gothard et al., 1996; Knierim & Hamilton, 663 2011; Wiener, Korshunov, Garcia, & Berthoz, 1995; Zinyuk, Kubik, Kaminsky, Fenton, & 664 665 Bures, 2000). These stable place cells, especially those active on all three tilt conditions within a slope direction, may be driven by egocentric, path integration information which is 666 667 resilient to changes in terrain slope and remain stable when terrain slope is altered.

To our knowledge only one previous study has investigated how place cells respond to tilting an environment (Knierim & McNaughton, 2001). Knierim and McNaughton (2001) showed that when part of a square track was tilted from 0° to 45°, partial remapping occurred. No consistent change in other metrics, such as peak firing rate, was found. In this previous study, however, the track had no side walls and was located such that animals had a clear view of distal visual cues in the recording room. For this reason, we cannot be sure if the remapping observed in this previous study was a result of the tilt itself, or a response to the apparent shift of the distal cue locations that would have accompanied the track manipulation. In contrast, in the present study we have endeavored to minimize the influence of the tilt manipulation on distal cues by depriving the animal of any visual clues that might have become associated with a tilt condition. Our remapping findings are therefore consistent with those previously reported by Knierim and McNaughton (2001), but further constrain interpretations of the effect tilt has on place cell encoding.

681 A recent study by Hayman et al. (2015) found that medial entorhinal (MEC) grid cell 682 activity was disrupted between flat and tilted (40°) terrain. Primarily, grid cells had decreased 683 spatial coherence and lower symmetry with larger and more numerous fields. We had hypothesized that hippocampal place cell activity may also be disrupted on tilted terrain in a 684 685 similar fashion because experimental and computational evidence has demonstrated that the 686 MEC and grid cells are an important source of information for hippocampal place cells 687 (McNaughton, Battaglia, Jensen, Moser, & Moser, 2006; Ormond & McNaughton, 2015; F. 688 Savelli & Knierim, 2010). We did not observe any significant changes in place cell activity 689 across our three tilt conditions. It may be possible that place cells activity could be disrupted 690 on very steep slopes, such as the one used by Hayman et al. (2015), and that 25° is not 691 sufficient to disrupt encoding. However, our findings are in line with recent experimental 692 (Miao et al., 2015; Rueckemann et al., 2016) and computational models (Azizi, Schieferstein, 693 & Cheng, 2014) which show that hippocampal place cell activity can be resilient to 694 disruptions to the MEC. Hippocampal units may rather rely on other, non-MEC spatial 695 inputs, such as head direction (Stackman & Taube, 1998; Taube, Muller, & Ranck, 1990) and 696 border cells (Lever, Burton, Jeewajee, O'Keefe, & Burgess, 2009), to generate the spatial 697 selectivity of place cells (Bush, Barry, & Burgess, 2014).

698 Since our tilt procedure involved pivoting the shuttle box around one of its ends (Fig. 699 1), the shift in the vertical position of any location in the apparatus during a tilt manipulation 700 depended on the distance from that location to the pivot point. We used this difference to 701 allow for an investigation of the remapping of place fields in the half of the box close to the 702 pivot (small vertical shift) compared to those in the half distal to the pivot (large vertical 703 shift). If the hippocampal representation of space in rats were volumetric and isotropic, as 704 suggested by the discovery of 3D, spherical place fields in freely flying bats (Yartsev & 705 Ulanovsky, 2013), one might anticipate that place cells with fields in the half of the box that 706 had the greatest vertical movement through space would have a higher likelihood of 707 remapping as the animals were shifted out of, or into, the vertical confines of particular place 708 cell fields. Our analysis indicated, however, that the propensity for a place cell to remap was 709 not affected by the half in which the cell's field was located, suggesting that in surface-710 travelling-mammals, such as rats, representations of space both by hippocampal place cells 711 (Hayman et al., 2011) and entorhinal grid cells (Hayman, Casali, Wilson, & Jeffery, 2015) 712 are planar and anisotropic (Jeffery et al., 2013).

713 An analysis of phase precession processes indicated that there were systematic 714 changes to the way that spike firing related to the underlying local theta rhythm as tilt 715 changed. In particular, firing began earlier in the theta cycle when the animal was entering a place field on a tilted surface. Furthermore, the amount of phase precession decreased as the 716 717 slope increased. These effects could not be explained as artifacts of changes in variables such 718 as theta frequency or amplitude, or animal running speed. It is unclear what function, if any, this change represents, however, it is possible that it might alter how the hippocampus 719 720 'interpreted' the environment. Previous studies suggest that phase precession provides a 721 constant 'look-ahead' function that allows for the planning of future trajectories (Skaggs et al 722 1996; Wikenheiser and Redish, 2015). At any particular moment, a decrease in the slope of

723 phase precession would compress the components of these trajectory predictions into a 724 narrower time window. A potential consequence of this change is the enhancement of 725 plasticity between cells representing distal regions through the opening of a temporal window 726 for synapse potentiation that might not exist when spikes are temporally more distant (Dan & 727 Poo, 2004). One result of this effect might be an expansion of place field size as cells gain 728 greater influence over the firing of their distal (in terms of place field) neighbors. We did not 729 see evidence of this expansion, although it has previously been observed to co-occur with 730 reduced phase precession slope (Shen, Barnes, Mcnaughton, Skaggs, & Weaver, 1997; 731 Terrazas et al., 2005). It is possible, however, that such an expansion effect might only occur 732 during the initial exposure to an environment, and then influence subsequent responses to 733 other similar environments, such that in our well-trained animals, the expression of this effect 734 occurred on all slopes. This could be tested in future studies by only exposing animals to one 735 slope condition and then examining the consequences on place field size. If an initial 736 exposure to a novel slope does produce an expansion of place field size then this might lead 737 to a perception that sloped surfaces extend further than they actually do (Proffitt, Stefanucci, 738 Banton, & Epstein, 2003; Stefanucci, Proffitt, Banton, & Epstein, 2005; Witt, Proffitt, & Epstein, 2004). It is tempting to speculate that this might underlie a neural instantiation of 739 740 Naismith's rule that slopes will take longer to traverse relative to the same distance on flat 741 ground, although further studies will be required to determine whether this is so.

Overall, we have observed that a subset of hippocampal place cells are sensitive to changes to terrain slope. The encoding of terrain slope is a vital element of efficient navigation allowing an organism to avoid the time and energy costs associated with traveling uphill (Armstrong et al., 1983; Brooks & White, 1978; Chavanelle et al., 2014; Hoogkamer et al., 2014; Margaria et al., 1963; Minetti et al., 2002). Additionally, our findings contribute to the wider field of cost-benefit analysis in the context of spatial navigation. Growing evidence 748 has shown that place cells respond to the value of an experience (Allen, Rawlins, Bannerman, 749 & Csicsvari, 2012; Ambrose, Pfeiffer, Correspondence, & Foster, 2016; Cheyne, 2014; Gauthier & Tank, 2017; McKenzie, Frank, Kinsky, Porter, Rivière, et al., 2014). Our data 750 751 show that there are more place cells active on downhill runs versus uphill runs on the tilt 752 conditions. This is unlikely to be due to speed differences, which if anything, would produce the opposite effect, with the slower downhill movement usually associated with reduced 753 754 firing. Rather, this may indicate that the downhill route may have a greater relative value 755 (benefit minus effort cost) and so has a larger ensemble of place cells representing it. Indeed, 756 previous studies have shown that place cells over-represent goal locations (Hollup et al., 757 2001; Cheyne, 2014) and preferred routes (Mamad et al., 2017). 758 During decision making, possible behaviors as well as their remembered values may 759 be sent to downstream structures through the reactivation of place cell ensembles via sharp-760 wave ripple replay events (Jadhav, Kemere, German, & Frank, 2012; Pfeiffer & Foster, 2013; 761 Singer, Carr, Karlsson, & Frank, 2013) or theta sequences (Johnson & Redish, 2007; 762 Wikenheiser & Redish, 2015). Our findings that place cells can encode terrain slope may aid 763 in providing downstream cortical structures, such as the anterior cingulate cortex (Remondes 764 & Wilson, 2013, 2015), not only with previous and possible routes through an environment 765 but with effort information associated with those routes (Cowen, Davis, & Nitz, 2012; Hillman & Bilkey, 2010, 2012). As a result, prefrontal regions may selectively retrieve and 766 767 reactivate the highest value hippocampal representations (Ito, Zhang, Witter, Moser, & Moser, 2015; Navawongse & Eichenbaum, 2013; Preston & Eichenbaum, 2013), resulting in 768 769 the further differentiation of hippocampal ensembles based on value. 770

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**Figure legends** Figure 1: Experimental setup and behavioral results. a) Pictures of the experimental apparatus at the three tilt conditions with the location of the camera marked. b) Schematic of

the apparatus, the boundaries (dashed line) of the running region of interest and two

rendzones. The solid black tracing is the tracking data from one recording session showing the

rat's running pattern. c) Experimental sequence. Rats were run for six consecutive days with

793 tilt condition presentation order counterbalanced across days. d) Average running speed of 794 the rats across all tilt-slope direction conditions. Rat's speed slowed with increasing tilt angle and was slowest for downhill runs. Bars sharing the same letter are significantly different 795 796 from one another. (a) Tukey's, P = 0.004 between uphill 15° and downhill 15°; (b) Tukey's, P < 0.001 between uphill 25° and downhill 25°. e) The amount of space rats utilized while 797 798 shuttling. Rat's tended to take more irregular routes on tilted conditions. Bars sharing the 799 same letter are significantly different from one another. (a) Tukey's, P < 0.0001 between 0° and  $15^\circ$ ; (b) Tukey's, P < 0.0001 between  $0^\circ$  and  $25^\circ$ . 800

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802 Figure 2: Six example place cell firing rate maps (a-f), with firing of each cell illustrated 803 across all six conditions. Every subplot shows the experimental apparatus as a series of 2.5 804 cm2 bins with the x and y axes corresponding to position in the shuttle box. The z-axis is the 805 firing rate of the cell in spikes per second (Hz) for each bin where warmer colors indicate a 806 higher firing rate. For each place cell, the firing rate color scale across the three conditions is determined by the highest peak firing rate of the six tilt conditions. The left column of a plot 807 808 shows Downhill runs while the right column shows Uphill runs. Each row of a plot 809 corresponds to one of the three tilt conditions; top, 0°; middle, 15°; bottom, 25°. Note that 810 several cells fired specifically for one or two tilt conditions (e.g. a and b).

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Figure 3: Place cell encoding of tilt conditions. a) The number of tilt-slope direction
conditions place cells were active for. b) The percent of place cells active for each tilt-slope
direction condition. c-d) The number of tilt conditions (c) or slope direction conditions (d)
place cells were active for. e) Types of remapping observed between pairs of tilt conditions
within a slope direction comparing shallower angles to steeper angles.

817 Figure 4: Place cell sequence plots. Thus, the x-axis represents the longitudinal extent of the 818 apparatus and each row of the y-axis is a place cell. Place cells are ordered based on their 819 field location of one of the three tilt conditions  $(0^\circ, 15^\circ, \text{and } 25^\circ; \text{ from left to right})$  which 820 served as the baseline. The baseline condition is indicated by a grey border and an asterisk in 821 the title. The z-axis is a cell's normalized firing rate; warmer colors represent a higher firing rate. Changes to the tilt have a substantial complex remapping effect on place cells as 822 823 evidenced by the number of cells that turn on or off with a change in condition. Cells that are 824 active for multiple tilt conditions generally have a stable place field location in the maze as 825 indicated by the preserved place field location sequence across changes in tilt.

Figure 5: Place cell activity correlations across tilt-slope direction conditions. All plots show a histogram of correlations values of place cell activity between pairs of conditions. The dotted line is the average correlation value from shuffling the location of firing rate bins. No significant differences were found in the difference in tilt angle to the degree of place cell activity difference on uphill or downhill runs.

831 Figure 6: Phase precession. a) Examples of the phase precession of place cell spiking against 832 theta activity as the animal traverses the place field from left to right. Zero degrees 0° corresponds to the trough in the negative portion of the filtered LFP recorded at the CA1 cell 833 834 layer. Data points are reproduced twice over two theta cycles. The left plot is recorded from an animal moving on a flat surface while the middle two are tilted at 15° and the rightmost, 835 836 25°. b) Place cell firing phase, with reference to the underlying local theta as the animal enters the place field, is systematically shifting to earlier in the cycle as the apparatus is tilted. 837 838 Data are mean angle and circular sem. c) Phase precession slope decreases as the apparatus is tilted. The data are degrees per cycle. 839

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# Figure 2









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Figure 6

		Uphill			Downhill	
	0°	15°	25°	0°	15°	25°
Firing rate (Hz)	3.31±0.25	3.63±0.37	3.58±0.38	3.81±0.26	3.64±0.33	3.23±0.25
Peak firing rate (Hz)	32.7±2.4	33.5±3.4	36.6±3.2	33.7±2.3	36.5±2.7	35.4±2.5
Information score (bits/spk)	1.62±0.08	1.57+±0.07	1.68±0.06	1.62±0.07	1.72±0.08	1.71±0.07
Sparsity*	0.24±0.01	0.25±0.01	0.22±0.01	0.25±0.01	0.23±0.01	0.22±0.01
Spatial coherence (r)	0.90±0.04	0.98±0.05	0.94±0.05	1.10±0.06	1.01±0.05	0.94±0.04
Number of place fields	1.30±0.10	1.33±0.12	1.23±0.08	1.21±0.07	1.21±0.06	1.25±0.07
Total fields / occupancy (%)	27%±2%	24%±2%	26%±1%	24%±1%	24%±1%	23%±2%
Main field / occupancy (%)	26%±2%	22%±1%	25%±2%	23%±2%	23%±1%	22%±1%
Place field aspect ratio	3.23±0.32	3.13±0.33	3.09±0.40	3.31±0.23	2.89±0.16	2.85±0.17
Infield firing rate (Hz)	12.4±1.26	14.2±2.01	14.1±1.33	15.0±1.31	15.8±1.53	14.2±1.34
Outfield firing rate (Hz)	1.08±0.11	1.32±0.14	1.18±0.15	1.18±0.09	1.16±0.13	1.13±0.10

Table 1: Place cell metrics across tilt-slope direction conditions. \* indicates significant effect (P < 0.05) for tilt or slope direction (see text for details).

	Тор	Bottom
Inactive	44	45
Turn on	17	24
Turn off	24	11
Field remap	3	2
Rate remap	4	7
Stable	6	11

Table 2: Frequency of remapping types observed in place cells between  $0^{\circ}$  and tilt conditions (15° and 25°) based on main place field location within the apparatus.

	Within	Between
Inactive	379	228
Turn on	36	60
Turn off	55	45
Field remap	4	4
Rate remap	13	10
Stable	107	49

Table 3: Frequency of remapping types observed in place cells between  $0^{\circ}$  and tilt conditions (15° and 25°) based on main place field location within the apparatus.