

This is a repository copy of *Theory of Designs in Isabelle/UTP*.

White Rose Research Online URL for this paper:

<https://eprints.whiterose.ac.uk/129380/>

Monograph:

Foster, Simon David orcid.org/0000-0002-9889-9514, Nemouchi, Yakoub and Zeyda, Frank *Theory of Designs in Isabelle/UTP*. Working Paper. (Unpublished)

Reuse

Items deposited in White Rose Research Online are protected by copyright, with all rights reserved unless indicated otherwise. They may be downloaded and/or printed for private study, or other acts as permitted by national copyright laws. The publisher or other rights holders may allow further reproduction and re-use of the full text version. This is indicated by the licence information on the White Rose Research Online record for the item.

Takedown

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.

Theory of Designs in Isabelle/UTP

Simon Foster

Yakoub Nemouchi

Frank Zeyda

April 6, 2018

Abstract

This document describes a mechanisation of the UTP theory of designs in Isabelle/UTP. Designs enrich UTP relations with explicit precondition/postcondition pairs, as present in formal notations like VDM, B, and the refinement calculus. If a program's precondition holds, then it is guaranteed to terminate and establish its postcondition, which is an approach known as total correctness. If the precondition does not hold, the behaviour is maximally nondeterministic, which represents unspecified behaviour. In this mechanisation, we create the theory of designs, including its alphabet, signature, and healthiness conditions. We then use these to prove the key algebraic laws of programming. This development can be used to support program verification based on total correctness.

Contents

1 Design Signature and Core Laws	2
1.1 Definitions	2
1.2 Lifting, Unrestriction, and Substitution	4
1.3 Basic Design Laws	5
1.4 Sequential Composition Laws	7
1.5 Preconditions and Postconditions	10
1.6 Distribution Laws	10
1.7 Refinement Introduction	12
2 Design Healthiness Conditions	13
2.1 H1: No observation is allowed before initiation	13
2.2 H2: A specification cannot require non-termination	16
2.3 Designs as $H1$ - $H2$ predicates	19
2.4 H3: The design assumption is a precondition	22
2.5 Normal Designs as $H1$ - $H3$ predicates	24
2.6 H4: Feasibility	26
2.7 UTP theory of Designs	27
2.8 UTP theories	27
2.9 Galois Connection	28
2.10 Fixed Points	29
3 Design Proof Tactics	32

4 Imperative Programming in Designs	32
4.1 Assignment	32
4.2 Guarded Commands	34
4.3 Alternation	34
4.4 Iteration	38
4.5 Let and Local Variables	41
4.6 Deep Local Variables	41
5 Design Weakest Preconditions	43
6 Refinement Calculus	43
7 Theory of Invariants	45
7.1 Operation Invariants	45
7.2 State Invariants	46
8 Meta Theory for UTP Designs	46

1 Design Signature and Core Laws

```
theory utp-des-core
imports UTP.utm
begin
```

UTP designs [2, 4] are a subset of the alphabetised relations that use a boolean observational variable *ok* to record the start and termination of a program. For more information on designs please see Chapter 3 of the UTP book [4], or the more accessible designs tutorial [2].

1.1 Definitions

Two named theorem sets exist are created to group theorems that, respectively, provide pre-postcondition definitions, and simplify operators to their normal design form.

named-theorems ndes and ndes-simp

```
alphabet des-vars =
  ok :: bool

declare des-vars.defs [lens-defs]
```

The two locale interpretations below are a technicality to improve automatic proof support via the predicate and relational tactics. This is to enable the (re-)interpretation of state spaces to remove any occurrences of lens types after the proof tactics *pred-simp* and *rel-simp*, or any of their derivatives have been applied. Eventually, it would be desirable to automate both interpretations as part of a custom outer command for defining alphabets.

```
interpretation des-vars: lens-interp λr. (ok_v r, more r)
apply (unfold-locales)
apply (rule injI)
apply (clar simp)
done
```

```
interpretation des-vars-rel:
lens-interp λ(r, r'). (ok_v r, ok_v r', more r, more r')
```

```

apply (unfold-locales)
apply (rule injI)
apply (clarsimp)
done

lemma ok-ord [usubst]:
  $ok \prec_v \$ok'
  by (simp add: var-name-ord-def)

type-synonym ' $\alpha$  des = ' $\alpha$  des-vars-scheme
type-synonym (' $\alpha$ , ' $\beta$ ) rel-des = (' $\alpha$  des, ' $\beta$  des) urel
type-synonym ' $\alpha$  hrel-des = (' $\alpha$  des) hrel

```

translations

```

(type) ' $\alpha$  des <= (type) ' $\alpha$  des-vars-scheme
(type) ' $\alpha$  des <= (type) ' $\alpha$  des-vars-ext
(type) (' $\alpha$ , ' $\beta$ ) rel-des <= (type) (' $\alpha$  des, ' $\beta$  des) urel
(type) ' $\alpha$  hrel-des <= (type) ' $\alpha$  des hrel

```

notation *des-vars-child-lens* (Σ_D)

lemma *ok-des-bij-lens*: *bij-lens* (*ok* +_{*L*} Σ_D)
 by (*unfold-locales, simp-all add: ok-def des-vars-child-lens-def lens-plus-def prod.case-eq-if*)

Define the lens functor for designs

definition *lmap-des-vars* :: (' α \Rightarrow ' β) \Rightarrow (' α des-vars-scheme \Rightarrow ' β des-vars-scheme) (lmap_D)
 where [*lens-defs*]: *lmap-des-vars* = *lmap*[*des-vars*]

lemma *lmap-des-vars*: *vwb-lens f* \Rightarrow *wvb-lens* (*lmap-des-vars f*)
 by (*unfold-locales, auto simp add: lens-defs*)

lemma *lmap-id*: $\text{lmap}_D \text{ } 1_L = 1_L$
by (*simp add: lens-defs fun-eq-iff*)

lemma *lmap-comp*: $\text{lmap}_D (f ;_L g) = \text{lmap}_D f ;_L \text{lmap}_D g$
by (*simp add: lens-defs fun-eq-iff*)

The following notations define liftings from non-design predicates into design predicates using alphabet extensions.

abbreviation *lift-desr* ([\neg]_{*D*})
 where [*P*]_{*D*} \equiv *P* \oplus_p ($\Sigma_D \times_L \Sigma_D$)

abbreviation *lift-pre-desr* ([\neg]_{*D*}<)
 where [*p*]_{*D*}< \equiv [\neg [*p*]_<]_{*D*}

abbreviation *lift-post-desr* ([\neg]_{*D*}>)
 where [*p*]_{*D*}> \equiv [\neg [*p*]_>]_{*D*}

abbreviation *drop-desr* ([\neg]_{*D*})
 where [*P*]_{*D*} \equiv *P* \restriction_e ($\Sigma_D \times_L \Sigma_D$)

abbreviation *dcond* :: (' α , ' β) rel-des \Rightarrow ' α upred \Rightarrow (' α , ' β) rel-des \Rightarrow (' α , ' β) rel-des
 ((β - \triangleleft - \triangleright_D / -) [52,0,53] 52)
 where *P* \triangleleft *b* \triangleright_D *Q* \equiv *P* \triangleleft [*b*]_{*D*}< \triangleright *Q*

definition *design*:: (α, β) *rel-des* \Rightarrow (α, β) *rel-des* \Rightarrow (α, β) *rel-des* (**infixl** \vdash 60) **where**
 $[upred-defs]$: $P \vdash Q = (\$ok \wedge P \Rightarrow \$ok' \wedge Q)$

An rdesign is a design that uses the Isabelle type system to prevent reference to ok in the assumption and commitment.

definition *rdesign*:: (α, β) *urel* \Rightarrow (α, β) *urel* \Rightarrow (α, β) *rel-des* (**infixl** \vdash_r 60) **where**
 $[upred-defs]$: $(P \vdash_r Q) = [\lceil P \rceil_D \vdash [\lceil Q \rceil_D]$

An ndesign is a normal design, i.e. where the assumption is a condition

definition *ndesign*:: $'\alpha$ *cond* \Rightarrow (α, β) *urel* \Rightarrow (α, β) *rel-des* (**infixl** \vdash_n 60) **where**
 $[upred-defs]$: $(p \vdash_n Q) = ([\lceil p \rceil]_< \vdash_r Q)$

definition *skip-d* :: $'\alpha$ *hrel-des* (Π_D) **where**
 $[upred-defs]$: $\Pi_D \equiv (\text{true} \vdash_r \Pi)$

definition *bot-d* :: (α, β) *rel-des* (\perp_D) **where**
 $[upred-defs]$: $\perp_D = (\text{false} \vdash \text{false})$

definition *pre-design* :: (α, β) *rel-des* \Rightarrow (α, β) *urel* (pre_D) **where**
 $[upred-defs]$: $pre_D(P) = [\neg P[\text{true}, \text{false}/\$ok, \$ok']]_D$

definition *post-design* :: (α, β) *rel-des* \Rightarrow (α, β) *urel* ($post_D$) **where**
 $[upred-defs]$: $post_D(P) = [P[\text{true}, \text{true}/\$ok, \$ok']]_D$

syntax

-ok-f :: logic \Rightarrow logic ($\text{-}^f [1000] 1000$)
-ok-t :: logic \Rightarrow logic ($\text{-}^t [1000] 1000$)
-top-d :: logic (\top_D)

translations

$P^f \rightleftharpoons \text{CONST usubst } (\text{CONST subst-upd } \text{CONST id } (\text{CONST ovar } \text{CONST ok}) \text{ false}) P$
 $P^t \rightleftharpoons \text{CONST usubst } (\text{CONST subst-upd } \text{CONST id } (\text{CONST ovar } \text{CONST ok}) \text{ true}) P$
 $\top_D \Rightarrow \text{CONST not-upred } (\text{CONST utp-expr.var } (\text{CONST ivar } \text{CONST ok}))$

1.2 Lifting, Unrestriction, and Substitution

lemma *drop-desr-inv* [*simp*]: $[\lceil P \rceil_D]_D = P$
by (*simp add: prod-mwb-lens*)

lemma *lift-desr-inv*:

fixes $P :: (\alpha, \beta)$ *rel-des*
assumes $\$ok \notin P$ $\$ok' \notin P$
shows $[\lceil P \rceil_D]_D = P$

proof –

have *bij-lens* ($\Sigma_D \times_L \Sigma_D +_L (\text{in-var ok} +_L \text{out-var ok}) :: (-, '\alpha \text{ des-vars-scheme} \times '\beta \text{ des-vars-scheme})$)
lens)

(**is** *bij-lens* (?P))

proof –

have $?P \approx_L (ok +_L \Sigma_D) \times_L (ok +_L \Sigma_D)$ (**is** $?P \approx_L ?Q$)

apply (*simp add: in-var-def out-var-def prod-as-plus*)

apply (*simp add: prod-as-plus[THEN sym]*)

apply (*meson lens-equiv-sym lens-equiv-trans lens-indep-prod lens-plus-comm lens-plus-prod-exchange des-vars-indeps(1)*)

done

moreover have *bij-lens* ?Q

```

by (simp add: ok-des-bij-lens prod-bij-lens)
ultimately show ?thesis
  by (metis bij-lens-equiv lens-equiv-sym)
qed

with assms show ?thesis
  apply (rule-tac aext-arestr[of - in-var ok +L out-var ok])
  apply (simp add: prod-mwb-lens)
  apply (simp)
  apply (metis alpha-in-var lens-indep-prod lens-indep-sym des-vars-indeps(1) out-var-def prod-as-plus)
    using unrest-var-comp apply blast
  done
qed

lemma unrest-out-des-lift [unrest]:  $\text{out}\alpha \# p \implies \text{out}\alpha \# [p]_D$ 
  by (pred-simp)

lemma lift-dist-seq [simp]:

$$[\lceil P \rceil_D ;; \lceil Q \rceil_D] = (\lceil P \rceil_D ;; \lceil Q \rceil_D)$$

  by (rel-auto)

lemma lift-des-skip-dr-unit [simp]:

$$([\lceil P \rceil_D ;; \lceil II \rceil_D] = [\lceil P \rceil_D ;; \lceil II \rceil_D])$$


$$([\lceil II \rceil_D ;; \lceil P \rceil_D] = [\lceil P \rceil_D])$$

  by (rel-auto)+

lemma lift-des-skip-dr-unit-unrest:  $\$ok' \# P \implies (P ;; \lceil II \rceil_D) = P$ 
  by (rel-auto)

lemma state-subst-design [usubst]:

$$[\sigma \oplus_s \Sigma_D]_s \dagger (P \vdash_r Q) = ([\sigma]_s \dagger P) \vdash_r ([\sigma]_s \dagger Q)$$

  by (rel-auto)

lemma design-subst [usubst]:

$$[\llbracket \$ok \# \sigma; \$ok' \# \sigma \rrbracket] \implies \sigma \dagger (P \vdash Q) = (\sigma \dagger P) \vdash (\sigma \dagger Q)$$

  by (simp add: design-def usubst)

lemma design-msubst [usubst]:

$$(P(x) \vdash Q(x)) \llbracket x \rightarrow v \rrbracket = (P(x) \llbracket x \rightarrow v \rrbracket \vdash Q(x) \llbracket x \rightarrow v \rrbracket)$$

  by (rel-auto)

lemma design-ok-false [usubst]:  $(P \vdash Q) \llbracket \text{false} / \$ok \rrbracket = \text{true}$ 
  by (simp add: design-def usubst)

lemma ok-pre:  $(\$ok \wedge [\text{pre}_D(P)]_D) = (\$ok \wedge (\neg P^f))$ 
  by (pred-auto robust)

lemma ok-post:  $(\$ok \wedge [\text{post}_D(P)]_D) = (\$ok \wedge (P^t))$ 
  by (pred-auto robust)

1.3 Basic Design Laws

lemma design-export-ok:  $P \vdash Q = (P \vdash (\$ok \wedge Q))$ 
  by (rel-auto)

lemma design-export-ok':  $P \vdash Q = (P \vdash (\$ok' \wedge Q))$ 

```

by (rel-auto)

lemma design-export-pre: $P \vdash (P \wedge Q) = P \vdash Q$
by (rel-auto)

lemma design-export-spec: $P \vdash (P \Rightarrow Q) = P \vdash Q$
by (rel-auto)

lemma design-ok-pre-conj: $(\$ok \wedge P) \vdash Q = P \vdash Q$
by (rel-auto)

lemma true-is-design: $(\text{false} \vdash \text{true}) = \text{true}$
by (rel-auto)

lemma true-is-rdesign: $(\text{false} \vdash_r \text{true}) = \text{true}$
by (rel-auto)

lemma bot-d-true: $\perp_D = \text{true}$
by (rel-auto)

lemma bot-d-ndes-def [ndes-simp]: $\perp_D = (\text{false} \vdash_n \text{true})$
by (rel-auto)

lemma design-false-pre: $(\text{false} \vdash P) = \text{true}$
by (rel-auto)

lemma rdesign-false-pre: $(\text{false} \vdash_r P) = \text{true}$
by (rel-auto)

lemma ndesign-false-pre: $(\text{false} \vdash_n P) = \text{true}$
by (rel-auto)

lemma ndesign-miracle: $(\text{true} \vdash_n \text{false}) = \top_D$
by (rel-auto)

lemma top-d-ndes-def [ndes-simp]: $\top_D = (\text{true} \vdash_n \text{false})$
by (rel-auto)

lemma skip-d-alt-def: $\text{II}_D = \text{true} \vdash \text{II}$
by (rel-auto)

lemma skip-d-ndes-def [ndes-simp]: $\text{II}_D = \text{true} \vdash_n \text{II}$
by (rel-auto)

lemma design-subst-ok:
 $(P[\text{true}/\$ok] \vdash Q[\text{true}/\$ok]) = (P \vdash Q)$
by (rel-auto)

lemma design-subst-ok-ok':

$(P[\text{true}/\$ok] \vdash Q[\text{true}, \text{true}/\$ok, \$ok']) = (P \vdash Q)$

proof –

have $(P \vdash Q) = ((\$ok \wedge P) \vdash (\$ok \wedge \$ok' \wedge Q))$
by (pred-auto)

also have ... $= ((\$ok \wedge P[\text{true}/\$ok]) \vdash (\$ok \wedge (\$ok' \wedge Q[\text{true}/\$ok'])))$

by (metis conj-eq-out-var-subst conj-pos-var-subst upred-eq-true utp-pred-laws.inf-commute ok-vwb-lens)

```

also have ... = (( $\$ok \wedge P[\text{true}/\$ok]$ )  $\vdash (\$ok \wedge \$ok' \wedge Q[\text{true}, \text{true}/\$ok, \$ok'])$ )
  by (simp add: usubst)
also have ... = ( $P[\text{true}/\$ok] \vdash Q[\text{true}, \text{true}/\$ok, \$ok']$ )
  by (pred-auto)
finally show ?thesis ..
qed

lemma design-subst-ok':
 $(P \vdash Q[\text{true}/\$ok']) = (P \vdash Q)$ 
proof -
  have  $(P \vdash Q) = (P \vdash (\$ok' \wedge Q))$ 
    by (pred-auto)
  also have ... = ( $P \vdash (\$ok' \wedge Q[\text{true}/\$ok'])$ )
    by (metis conj-eq-out-var-subst upred-eq-true utp-pred-laws.inf-commute ok-vwb-lens)
  also have ... = ( $P \vdash Q[\text{true}/\$ok']$ )
    by (pred-auto)
  finally show ?thesis ..
qed

```

1.4 Sequential Composition Laws

theorem *design-skip-idem* [*simp*]:
 $(II_D ;; II_D) = II_D$
by (*rel-auto*)

theorem *design-composition-subst*:
assumes
 $\$ok' \# P1 \$ok \# P2$
shows $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg (\neg P1) ;; \text{true})) \wedge \neg (Q1[\text{true}/\$ok'] ;; (\neg P2))) \vdash (Q1[\text{true}/\$ok'] ;; Q2[\text{true}/\$ok])$
proof -
have $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (\exists ok_0 \cdot ((P1 \vdash Q1)[\ll ok_0 \gg / \$ok'] ;; (P2 \vdash Q2)[\ll ok_0 \gg / \$ok]))$
by (*rule seqr-middle, simp*)
 also have ...
 $= (((P1 \vdash Q1)[\text{false}/\$ok'] ;; (P2 \vdash Q2)[\text{false}/\$ok]) \vee ((P1 \vdash Q1)[\text{true}/\$ok'] ;; (P2 \vdash Q2)[\text{true}/\$ok]))$
by (*simp add: true-alt-def false-alt-def, pred-auto*)
 also from assms
have ... = $(((\$ok \wedge P1 \Rightarrow Q1[\text{true}/\$ok'])) ;; (P2 \Rightarrow \$ok' \wedge Q2[\text{true}/\$ok])) \vee ((\neg (\$ok \wedge P1)) ;;$
 $\text{true}))$
by (*simp add: design-def usubst unrest, pred-auto*)
 also have ... = $((\neg \$ok ;; \text{true}_h) \vee ((\neg P1) ;; \text{true}) \vee (Q1[\text{true}/\$ok'] ;; (\neg P2)) \vee (\$ok' \wedge (Q1[\text{true}/\$ok']$
 $;; Q2[\text{true}/\$ok])))$
by (*rel-auto*)
 also have ... = $((\neg (\neg P1) ;; \text{true})) \wedge \neg (Q1[\text{true}/\$ok'] ;; (\neg P2)) \vdash (Q1[\text{true}/\$ok'] ;; Q2[\text{true}/\$ok])$
by (*simp add: precond-right-unit design-def unrest, rel-auto*)
 finally show ?thesis .
qed

theorem *design-composition*:
assumes
 $\$ok' \# P1 \$ok \# P2 \$ok' \# Q1 \$ok \# Q2$
shows $((P1 \vdash Q1) ;; (P2 \vdash Q2)) = (((\neg (\neg P1) ;; \text{true})) \wedge \neg (Q1 ;; (\neg P2))) \vdash (Q1 ;; Q2)$
using *assms* **by** (*simp add: design-composition-subst usubst*)

theorem *design-composition-runrest*:

```

assumes
$ok' # P1 $ok # P2 ok ## Q1 ok ## Q2
shows ((P1 ⊢ Q1) ;; (P2 ⊢ Q2)) = (((¬ ((¬ P1) ;; true)) ∧ ¬ (Q1t ;; (¬ P2))) ⊢ (Q1 ;; Q2))
proof -
have ($ok ∧ $ok' ∧ (Q1t ;; Q2[true/$ok])) = ($ok ∧ $ok' ∧ (Q1 ;; Q2))
proof -
have ($ok ∧ $ok' ∧ (Q1 ;; Q2)) = (($ok ∧ Q1) ;; (Q2 ∧ $ok'))
by (metis (no-types, lifting) conj-comm seqr-post-var-out seqr-pre-var-out)
also have ... = ((Q1 ∧ $ok') ;; ($ok ∧ Q2))
by (simp add: assms(3) assms(4) runrest-ident-var)
also have ... = (Q1t ;; Q2[true/$ok])
by (metis ok-vwb-lens seqr-pre-transfer seqr-right-one-point true-alt-def uovar-convr upred-eq-true
utp-pred-laws.inf.left-idem utp-rel.unrest-ouvar vwb-lens-mwb)
finally show ?thesis
by (metis utp-pred-laws.inf.left-commute utp-pred-laws.inf-left-idem)
qed
moreover have (¬ (¬ P1 ;; true) ∧ ¬ (Q1t ;; (¬ P2))) ⊢ (Q1t ;; Q2[true/$ok]) =
(¬ (¬ P1 ;; true) ∧ ¬ (Q1t ;; (¬ P2))) ⊢ ($ok ∧ $ok' ∧ (Q1t ;; Q2[true/$ok]))
by (metis design-export-ok design-export-ok')
ultimately show ?thesis using assms
by (simp add: design-composition-subst usubst, metis design-export-ok design-export-ok')
qed

theorem rdesign-composition:
((P1 ⊢ Q1) ;; (P2 ⊢ Q2)) = (((¬ ((¬ P1) ;; true)) ∧ ¬ (Q1 ;; (¬ P2))) ⊢r (Q1 ;; Q2))
by (simp add: rdesign-def design-composition unrest alpha)

theorem design-composition-cond:
assumes
outα # p1 $ok # P2 $ok' # Q1 $ok # Q2
shows ((p1 ⊢ Q1) ;; (P2 ⊢ Q2)) = ((p1 ∧ ¬ (Q1 ;; (¬ P2))) ⊢ (Q1 ;; Q2))
using assms
by (simp add: design-composition unrest precond-right-unit)

theorem rdesign-composition-cond:
assumes outα # p1
shows ((p1 ⊢r Q1) ;; (P2 ⊢r Q2)) = ((p1 ∧ ¬ (Q1 ;; (¬ P2))) ⊢r (Q1 ;; Q2))
using assms
by (simp add: rdesign-def design-composition-cond unrest alpha)

theorem design-composition-up:
assumes
ok # p1 ok # p2
$ok # Q1 $ok' # Q1 $ok # Q2 $ok' # Q2
shows ([p1] < ⊢ Q1) ;; ([p2] < ⊢ Q2)) = ([p1 ∧ Q1 wp p2] <) ⊢ (Q1 ;; Q2)
using assms by (rel-blast)

theorem rdesign-composition-wp:
([p1] < ⊢r Q1) ;; ([p2] < ⊢r Q2)) = ([p1 ∧ Q1 wp p2] <) ⊢r (Q1 ;; Q2)
by (rel-blast)

theorem ndesign-composition-wp [ndes-simp]:
((p1 ⊢n Q1) ;; (p2 ⊢n Q2)) = ((p1 ∧ Q1 wp p2) ⊢n (Q1 ;; Q2))
by (rel-blast)

```

theorem *design-true-left-zero*: $(\text{true} ;; (P \vdash Q)) = \text{true}$

proof –

```
have  $(\text{true} ;; (P \vdash Q)) = (\exists ok_0 \cdot \text{true}[\ll ok_0 \gg / \$ok'] ;; (P \vdash Q)[\ll ok_0 \gg / \$ok])$ 
  by (subst seqr-middle[of ok], simp-all)
also have ... =  $((\text{true}[\text{false}/\$ok'] ;; (P \vdash Q)[\text{false}/\$ok]) \vee (\text{true}[\text{true}/\$ok'] ;; (P \vdash Q)[\text{true}/\$ok]))$ 
  by (simp add: disj-comm false-alt-def true-alt-def)
also have ... =  $((\text{true}[\text{false}/\$ok'] ;; \text{true}_h) \vee (\text{true} ;; ((P \vdash Q)[\text{true}/\$ok])))$ 
  by (subst-tac, rel-auto)
also have ... = true
  by (subst-tac, simp add: precond-right-unit unrest)
finally show ?thesis .
```

qed

theorem *design-left-unit-hom*:

```
fixes P Q :: ' $\alpha$  hrel-des
shows  $(II_D ;; (P \vdash_r Q)) = (P \vdash_r Q)$ 
```

proof –

```
have  $(II_D ;; (P \vdash_r Q)) = ((\text{true} \vdash_r II) ;; (P \vdash_r Q))$ 
  by (simp add: skip-d-def)
also have ... =  $(\text{true} \wedge \neg(II ;; (\neg P))) \vdash_r (II ;; Q)$ 
proof –
  have  $\text{outa} \notin \text{true}$ 
    by unrest-tac
  thus ?thesis
    using rdesign-composition-cond by blast
qed
```

```
also have ... =  $(\neg(\neg P)) \vdash_r Q$ 
  by simp
finally show ?thesis by simp
qed
```

theorem *rdesign-left-unit [simp]*:

```
 $II_D ;; (P \vdash_r Q) = (P \vdash_r Q)$ 
by (rel-auto)
```

theorem *design-right-semi-unit*:

```
 $(P \vdash_r Q) ;; II_D = ((\neg(\neg P) ;; \text{true}) \vdash_r Q)$ 
by (simp add: skip-d-def rdesign-composition)
```

theorem *design-right-cond-unit [simp]*:

```
assumes  $\text{outa} \notin p$ 
shows  $(p \vdash_r Q) ;; II_D = (p \vdash_r Q)$ 
using assms
by (simp add: skip-d-def rdesign-composition-cond)
```

theorem *ndesign-left-unit [simp]*:

```
 $II_D ;; (p \vdash_n Q) = (p \vdash_n Q)$ 
by (rel-auto)
```

theorem *design-bot-left-zero*: $(\perp_D ;; (P \vdash Q)) = \perp_D$

```
by (rel-auto)
```

theorem *design-top-left-zero*: $(\top_D ;; (P \vdash Q)) = \top_D$

```
by (rel-auto)
```

1.5 Preconditions and Postconditions

theorem *design-npre*:

$$(P \vdash Q)^f = (\neg \$ok \vee \neg P^f)$$

by (rel-auto)

theorem *design-pre*:

$$\neg (P \vdash Q)^f = (\$ok \wedge P^f)$$

by (simp add: design-def, subst-tac)

(metis (no-types, hide-lams) not-conj-deMorgans true-not-false(2) utp-pred-laws.compl-top-eq utp-pred-laws.sup.idem utp-pred-laws.sup-compl-top)

theorem *design-post*:

$$(P \vdash Q)^t = ((\$ok \wedge P^t) \Rightarrow Q^t)$$

by (rel-auto)

theorem *rdesign-pre* [simp]: $pre_D(P \vdash_r Q) = P$

by (pred-auto)

theorem *rdesign-post* [simp]: $post_D(P \vdash_r Q) = (P \Rightarrow Q)$

by (pred-auto)

theorem *ndesign-pre* [simp]: $pre_D(p \vdash_n Q) = \lceil p \rceil_<$

by (pred-auto)

theorem *ndesign-post* [simp]: $post_D(p \vdash_n Q) = (\lceil p \rceil_< \Rightarrow Q)$

by (pred-auto)

lemma *design-pre-choice* [simp]:

$$pre_D(P \sqcap Q) = (pre_D(P) \wedge pre_D(Q))$$

by (rel-auto)

lemma *design-post-choice* [simp]:

$$post_D(P \sqcap Q) = (post_D(P) \vee post_D(Q))$$

by (rel-auto)

lemma *design-pre-condr* [simp]:

$$pre_D(P \triangleleft \lceil b \rceil_D \triangleright Q) = (pre_D(P) \triangleleft b \triangleright pre_D(Q))$$

by (rel-auto)

lemma *design-post-condr* [simp]:

$$post_D(P \triangleleft \lceil b \rceil_D \triangleright Q) = (post_D(P) \triangleleft b \triangleright post_D(Q))$$

by (rel-auto)

lemma *preD-USUP-mem*: $pre_D(\bigsqcup_{i \in A} P i) = (\bigsqcap_{i \in A} pre_D(P i))$

by (rel-auto)

lemma *preD-USUP-ind*: $pre_D(\bigsqcup_i P i) = (\bigsqcap_i pre_D(P i))$

by (rel-auto)

1.6 Distribution Laws

theorem *design-choice*:

$$(P_1 \vdash P_2) \sqcap (Q_1 \vdash Q_2) = ((P_1 \wedge Q_1) \vdash (P_2 \vee Q_2))$$

by (rel-auto)

theorem *rdesign-choice*:

$$(P_1 \vdash_r P_2) \sqcap (Q_1 \vdash_r Q_2) = ((P_1 \wedge Q_1) \vdash_r (P_2 \vee Q_2))$$

by (rel-auto)

theorem *ndesign-choice* [*ndes-simp*]:

$$(p_1 \vdash_n P_2) \sqcap (q_1 \vdash_n Q_2) = ((p_1 \wedge q_1) \vdash_n (P_2 \vee Q_2))$$

by (rel-auto)

theorem *ndesign-choice'* [*ndes-simp*]:

$$((p_1 \vdash_n P_2) \vee (q_1 \vdash_n Q_2)) = ((p_1 \wedge q_1) \vdash_n (P_2 \vee Q_2))$$

by (rel-auto)

theorem *design-inf*:

$$(P_1 \vdash P_2) \sqcup (Q_1 \vdash Q_2) = ((P_1 \vee Q_1) \vdash ((P_1 \Rightarrow P_2) \wedge (Q_1 \Rightarrow Q_2)))$$

by (rel-auto)

theorem *rdesign-inf*:

$$(P_1 \vdash_r P_2) \sqcup (Q_1 \vdash_r Q_2) = ((P_1 \vee Q_1) \vdash_r ((P_1 \Rightarrow P_2) \wedge (Q_1 \Rightarrow Q_2)))$$

by (rel-auto)

theorem *ndesign-inf* [*ndes-simp*]:

$$(p_1 \vdash_n P_2) \sqcup (q_1 \vdash_n Q_2) = ((p_1 \vee q_1) \vdash_n (([p_1]_< \Rightarrow P_2) \wedge ([q_1]_< \Rightarrow Q_2)))$$

by (rel-auto)

theorem *design-condr*:

$$((P_1 \vdash P_2) \triangleleft b \triangleright (Q_1 \vdash Q_2)) = ((P_1 \triangleleft b \triangleright Q_1) \vdash (P_2 \triangleleft b \triangleright Q_2))$$

by (rel-auto)

theorem *ndesign-dcond* [*ndes-simp*]:

$$((p_1 \vdash_n P_2) \triangleleft b \triangleright_D (q_1 \vdash_n Q_2)) = ((p_1 \triangleleft b \triangleright q_1) \vdash_n (P_2 \triangleleft b \triangleright_r Q_2))$$

by (rel-auto)

lemma *design-UINF-mem*:

assumes $A \neq \{\}$
 shows $(\prod i \in A \cdot P(i) \vdash Q(i)) = (\bigsqcup i \in A \cdot P(i)) \vdash (\prod i \in A \cdot Q(i))$
 using *assms* by (rel-auto)

lemma *ndesign-UINF-mem* [*ndes-simp*]:

assumes $A \neq \{\}$
 shows $(\prod i \in A \cdot p(i) \vdash_n Q(i)) = (\bigsqcup i \in A \cdot p(i)) \vdash_n (\prod i \in A \cdot Q(i))$
 using *assms* by (rel-auto)

lemma *ndesign-UINF-ind* [*ndes-simp*]:

$$(\prod i \cdot p(i) \vdash_n Q(i)) = (\bigsqcup i \cdot p(i)) \vdash_n (\prod i \cdot Q(i))$$

by (rel-auto)

lemma *design-USUP-mem*:

$$(\bigsqcup i \in A \cdot P(i) \vdash Q(i)) = (\prod i \in A \cdot P(i)) \vdash (\bigsqcup i \in A \cdot P(i) \Rightarrow Q(i))$$

by (rel-auto)

lemma *ndesign-USUP-mem* [*ndes-simp*]:

$$(\bigsqcup i \in A \cdot p(i) \vdash_n Q(i)) = (\prod i \in A \cdot p(i)) \vdash_n (\bigsqcup i \in A \cdot [p(i)]_< \Rightarrow Q(i))$$

by (rel-auto)

lemma *ndesign-USUP-ind* [*ndes-simp*]:

$(\bigcup i \cdot p(i) \vdash_n Q(i)) = (\prod i \cdot p(i)) \vdash_n (\bigcup i \cdot [p(i)]_< \Rightarrow Q(i))$
by (rel-auto)

1.7 Refinement Introduction

lemma *ndesign-eq-intro*:

assumes $p_1 = q_1 P_2 = Q_2$
shows $p_1 \vdash_n P_2 = q_1 \vdash_n Q_2$
by (*simp add: assms*)

theorem *design-refinement*:

assumes
 $\$ok \# P1 \$ok' \# P1 \$ok \# P2 \$ok' \# P2$
 $\$ok \# Q1 \$ok' \# Q1 \$ok \# Q2 \$ok' \# Q2$
shows $(P1 \vdash Q1 \sqsubseteq P2 \vdash Q2) \longleftrightarrow ('P1 \Rightarrow P2' \wedge 'P1 \wedge Q2 \Rightarrow Q1')$
proof –
have $(P1 \vdash Q1) \sqsubseteq (P2 \vdash Q2) \longleftrightarrow ('(\$ok \wedge P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (\$ok \wedge P1 \Rightarrow \$ok' \wedge Q1)')$
by (*pred-auto*)
also with assms have ... = $('P2 \Rightarrow \$ok' \wedge Q2) \Rightarrow (P1 \Rightarrow \$ok' \wedge Q1)'$
by (*subst subst_bool-split[of in-var ok], simp-all, subst-tac*)
also with assms have ... = $('(\neg P2 \Rightarrow \neg P1) \wedge ((P2 \Rightarrow Q2) \Rightarrow P1 \Rightarrow Q1)')$
by (*subst subst_bool-split[of out-var ok], simp-all, subst-tac*)
also have ... $\longleftrightarrow ('(P1 \Rightarrow P2)' \wedge 'P1 \wedge Q2 \Rightarrow Q1'')$
by (*pred-auto*)
finally show ?thesis .
qed

theorem *rdesign-refinement*:

$(P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2) \longleftrightarrow ('P1 \Rightarrow P2' \wedge 'P1 \wedge Q2 \Rightarrow Q1')'$
by (*rel-auto*)

lemma *design-refine-intro*:

assumes $'P1 \Rightarrow P2' 'P1 \wedge Q2 \Rightarrow Q1'$
shows $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$
using assms unfolding upred-defs
by (*pred-auto*)

lemma *design-refine-intro'*:

assumes $P2 \sqsubseteq P1 Q1 \sqsubseteq (P1 \wedge Q2)$
shows $P1 \vdash Q1 \sqsubseteq P2 \vdash Q2$
using assms design-refine-intro[of P1 P2 Q2 Q1] by (*simp add: refBy-order*)

lemma *rdesign-refine-intro*:

assumes $'P1 \Rightarrow P2' 'P1 \wedge Q2 \Rightarrow Q1'$
shows $P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2$
using assms unfolding upred-defs
by (*pred-auto*)

lemma *rdesign-refine-intro'*:

assumes $P2 \sqsubseteq P1 Q1 \sqsubseteq (P1 \wedge Q2)$
shows $P1 \vdash_r Q1 \sqsubseteq P2 \vdash_r Q2$
using assms unfolding upred-defs
by (*pred-auto*)

lemma *ndesign-refine-intro*:

assumes $'p1 \Rightarrow p2' '[p1]_< \wedge Q2 \Rightarrow Q1'$

```

shows  $p1 \vdash_n Q1 \sqsubseteq p2 \vdash_n Q2$ 
using assms unfolding upred-defs
by (pred-auto)

```

```

lemma design-top:
 $(P \vdash Q) \sqsubseteq \top_D$ 
by (rel-auto)

```

```

lemma design-bottom:
 $\perp_D \sqsubseteq (P \vdash Q)$ 
by (rel-auto)

```

```

lemma design-refine-thms:
assumes  $P \sqsubseteq Q$ 
shows ' $pre_D(P) \Rightarrow pre_D(Q)$ ' ' $pre_D(P) \wedge post_D(Q) \Rightarrow post_D(P)$ '
apply (metis assms design-pre-choice disj-comm disj-upred-def order-refl rdesign-refinement utp-pred-laws.le-iff-sup)
apply (metis assms conj-comm design-post-choice disj-upred-def refBy-order semilattice-sup-class.le-iff-sup
utp-pred-laws.inf.coboundedI1)
done

```

```
end
```

2 Design Healthiness Conditions

```

theory utp-des-healths
imports utp-des-core
begin

```

2.1 H1: No observation is allowed before initiation

```

definition H1 :: (' $\alpha$ , ' $\beta$ ) rel-des  $\Rightarrow$  (' $\alpha$ , ' $\beta$ ) rel-des where
[upred-defs]:  $H1(P) = (\$ok \Rightarrow P)$ 

```

```

lemma H1-idem:
 $H1(H1 P) = H1(P)$ 
by (pred-auto)

```

```

lemma H1-monotone:
 $P \sqsubseteq Q \implies H1(P) \sqsubseteq H1(Q)$ 
by (pred-auto)

```

```

lemma H1-Continuous: Continuous H1
by (rel-auto)

```

```

lemma H1-below-top:
 $H1(P) \sqsubseteq \top_D$ 
by (pred-auto)

```

```

lemma H1-design-skip:
 $H1(II) = II_D$ 
by (rel-auto)

```

```

lemma H1-cond:  $H1(P \triangleleft b \triangleright Q) = H1(P) \triangleleft b \triangleright H1(Q)$ 
by (rel-auto)

```

lemma *H1-conj*: $H1(P \wedge Q) = (H1(P) \wedge H1(Q))$
by (*rel-auto*)

lemma *H1-disj*: $H1(P \vee Q) = (H1(P) \vee H1(Q))$
by (*rel-auto*)

lemma *design-export-H1*: $(P \vdash Q) = (P \vdash H1(Q))$
by (*rel-auto*)

The H1 algebraic laws are valid only when $\alpha(R)$ is homogeneous. This should maybe be generalised.

theorem *H1-algebraic-intro*:

assumes

$(\text{true}_h \;; R) = \text{true}_h$

$(H_D \;; R) = R$

shows *R* is *H1*

proof –

have $R = (H_D \;; R)$ **by** (*simp add: assms(2)*)

also have $\dots = (H1(H) \;; R)$

by (*simp add: H1-design-skip*)

also have $\dots = ((\$ok \Rightarrow H) \;; R)$

by (*simp add: H1-def*)

also have $\dots = (((\neg \$ok) \;; R) \vee R)$

by (*simp add: impl-alt-def seqr-or-distl*)

also have $\dots = (((((\neg \$ok) \;; \text{true}_h) \;; R) \vee R)$

by (*simp add: precond-right-unit unrest*)

also have $\dots = (((\neg \$ok) \;; \text{true}_h) \vee R)$

by (*metis assms(1) seqr-assoc*)

also have $\dots = (\$ok \Rightarrow R)$

by (*simp add: impl-alt-def precond-right-unit unrest*)

finally show ?thesis **by** (*metis H1-def Healthy-def'*)

qed

lemma *nok-not-false*:

$(\neg \$ok) \neq \text{false}$

by (*pred-auto*)

theorem *H1-left-zero*:

assumes *P* is *H1*

shows $(\text{true} \;; P) = \text{true}$

proof –

from assms have $(\text{true} \;; P) = (\text{true} \;; (\$ok \Rightarrow P))$

by (*simp add: H1-def Healthy-def'*)

also from assms have $\dots = (\text{true} \;; (\neg \$ok \vee P))$ (**is** $\text{-} = (?\text{true} \;; \text{-})$)

by (*simp add: impl-alt-def*)

also from assms have $\dots = ((?\text{true} \;; (\neg \$ok)) \vee (?\text{true} \;; P))$

using *seqr-or-distr* **by** *blast*

also from assms have $\dots = (\text{true} \vee (\text{true} \;; P))$

by (*simp add: nok-not-false precond-left-zero unrest*)

finally show ?thesis

by (*simp add: upred-defs urel-defs*)

qed

theorem *H1-left-unit*:

```

fixes P :: ' $\alpha$  hrel-des
assumes P is H1
shows ( $H_D$  ;; P) = P
proof -
  have ( $H_D$  ;; P) = (( $\$ok \Rightarrow H$ ) ;; P)
    by (metis H1-def H1-design-skip)
  also have ... = ((( $\neg \$ok$ ) ;; P)  $\vee$  P)
    by (simp add: impl-alt-def seqr-or-distl)
  also from assms have ... = ((((( $\neg \$ok$ ) ;; trueh) ;; P)  $\vee$  P)
    by (simp add: precond-right-unit unrest)
  also have ... = ((( $\neg \$ok$ ) ;; (trueh ;; P))  $\vee$  P)
    by (simp add: seqr-assoc)
  also from assms have ... = ( $\$ok \Rightarrow P$ )
    by (simp add: H1-left-zero impl-alt-def precond-right-unit unrest)
  finally show ?thesis using assms
    by (simp add: H1-def Healthy-def')
qed

```

theorem H1-algebraic:

```

P is H1  $\longleftrightarrow$  (trueh ;; P) = trueh  $\wedge$  ( $H_D$  ;; P) = P
using H1-algebraic-intro H1-left-unit H1-left-zero by blast

```

theorem H1-nok-left-zero:

```

fixes P :: ' $\alpha$  hrel-des
assumes P is H1
shows (( $\neg \$ok$ ) ;; P) = ( $\neg \$ok$ )
proof -
  have (( $\neg \$ok$ ) ;; P) = ((( $\neg \$ok$ ) ;; trueh) ;; P)
    by (simp add: precond-right-unit unrest)
  also have ... = (( $\neg \$ok$ ) ;; trueh)
    by (metis H1-left-zero assms seqr-assoc)
  also have ... = ( $\neg \$ok$ )
    by (simp add: precond-right-unit unrest)
  finally show ?thesis .
qed

```

lemma H1-design:

```

H1(P  $\vdash$  Q) = (P  $\vdash$  Q)
by (rel-auto)

```

lemma H1-rdesign:

```

H1(P  $\vdash_r$  Q) = (P  $\vdash_r$  Q)
by (rel-auto)

```

lemma H1-choice-closed [closure]:

```

[ P is H1; Q is H1 ]  $\implies$  P  $\sqcap$  Q is H1
by (simp add: H1-def Healthy-def' disj-upred-def impl-alt-def semilattice-sup-class.sup-left-commute)

```

lemma H1-inf-closed [closure]:

```

[ P is H1; Q is H1 ]  $\implies$  P  $\sqcup$  Q is H1
by (rel-blast)

```

lemma H1-UINF:

```

assumes A  $\neq \{\}$ 
shows H1( $\bigsqcap$  i  $\in$  A  $\cdot$  P(i)) = ( $\bigsqcap$  i  $\in$  A  $\cdot$  H1(P(i)))

```

using *assms* **by** (*rel-auto*)

lemma *H1-Sup*:

assumes $A \neq \{\} \forall P \in A. P \text{ is } H1$

shows $(\sqcap A) \text{ is } H1$

proof –

from *assms(2)* **have** $H1 \cdot A = A$

by (*auto simp add: Healthy-def rev-image-eqI*)

with *H1-UINF*[*of A id*, *OF assms(1)*] **show** *?thesis*

by (*simp add: UINF-as-Sup-image Healthy-def, presburger*)

qed

lemma *H1-USUP*:

shows $H1(\bigsqcup i \in A \cdot P(i)) = (\bigsqcup i \in A \cdot H1(P(i)))$

by (*rel-auto*)

lemma *H1-Inf* [*closure*]:

assumes $\forall P \in A. P \text{ is } H1$

shows $(\bigsqcup A) \text{ is } H1$

proof –

from *assms* **have** $H1 \cdot A = A$

by (*auto simp add: Healthy-def rev-image-eqI*)

with *H1-USUP*[*of A id*] **show** *?thesis*

by (*simp add: USUP-as-Inf-image Healthy-def, presburger*)

qed

2.2 H2: A specification cannot require non-termination

definition *J* :: ' α hrel-des **where**

[*upred-defs*]: $J = ((\$ok \Rightarrow \$ok') \wedge [II]_D)$

definition *H2* **where**

[*upred-defs*]: $H2(P) \equiv P ;; J$

lemma *J-split*:

shows $(P ;; J) = (P^f \vee (P^t \wedge \$ok'))$

proof –

have $(P ;; J) = (P ;; ((\$ok \Rightarrow \$ok') \wedge [II]_D))$

by (*simp add: H2-def J-def design-def*)

also have ... $= (P ;; ((\$ok \Rightarrow \$ok \wedge \$ok') \wedge [II]_D))$

by (*rel-auto*)

also have ... $= ((P ;; (\neg \$ok \wedge [II]_D)) \vee (P ;; (\$ok \wedge ([II]_D \wedge \$ok'))))$

by (*rel-auto*)

also have ... $= (P^f \vee (P^t \wedge \$ok'))$

proof –

have $(P ;; (\neg \$ok \wedge [II]_D)) = P^f$

proof –

have $(P ;; (\neg \$ok \wedge [II]_D)) = ((P \wedge \neg \$ok') ;; [II]_D)$

by (*rel-auto*)

also have ... $= (\exists \$ok' \cdot P \wedge \$ok' =_u \text{false})$

by (*rel-auto*)

also have ... $= P^f$

by (*metis C1 one-point out-var-uvar unrest-as-exists ok-vwb-lens vwb-lens-mwb*)

finally show *?thesis*.

qed

moreover have $(P ;; (\$ok \wedge ([II]_D \wedge \$ok'))) = (P^t \wedge \$ok')$

```

proof -
  have  $(P \parallel (\$ok \wedge ([II]_D \wedge \$ok')) = (P \parallel (\$ok \wedge II))$ 
    by (rel-auto)
  also have ... =  $(P^t \wedge \$ok')$ 
    by (rel-auto)
  finally show ?thesis .
qed
ultimately show ?thesis
  by simp
qed
finally show ?thesis .
qed

```

lemma $H2\text{-split}$:
shows $H2(P) = (P^f \vee (P^t \wedge \$ok'))$
by (simp add: $H2\text{-def } J\text{-split}$)

theorem $H2\text{-equivalence}$:

P is $H2 \longleftrightarrow 'P^f \Rightarrow P^t'$

proof -

```

  have ' $P \Leftrightarrow (P \parallel J)$ '  $\longleftrightarrow 'P \Leftrightarrow (P^f \vee (P^t \wedge \$ok'))'$ 
    by (simp add:  $J\text{-split}$ )
  also have ...  $\longleftrightarrow '(P \Leftrightarrow P^f \vee P^t \wedge \$ok')^f \wedge (P \Leftrightarrow P^f \vee P^t \wedge \$ok')^t'$ 
    by (simp add: subst-bool-split)
  also have ... = ' $(P^f \Leftrightarrow P^f) \wedge (P^t \Leftrightarrow P^f \vee P^t)$ '
    by subst-tac
  also have ... = ' $P^t \Leftrightarrow (P^f \vee P^t)$ '
    by (pred-auto robust)
  also have ... = ' $(P^f \Rightarrow P^t)$ '
    by (pred-auto)
  finally show ?thesis
    by (metis  $H2\text{-def } Healthy\text{-def}'$  taut-iff-eq)
qed

```

lemma $H2\text{-equiv}$:
 P is $H2 \longleftrightarrow P^t \sqsubseteq P^f$
using $H2\text{-equivalence refBy-order}$ **by** blast

lemma $H2\text{-design}$:

```

  assumes  $\$ok' \nparallel P \wedge \$ok' \nparallel Q$ 
  shows  $H2(P \vdash Q) = P \vdash Q$ 
  using assms
  by (simp add:  $H2\text{-split design-def usubst unrest, pred-auto}$ )

```

lemma $H2\text{-rdesign}$:

```

   $H2(P \vdash_r Q) = P \vdash_r Q$ 
  by (simp add:  $H2\text{-design unrest rdesign-def}$ )

```

theorem $J\text{-idem}$:

```

   $(J \parallel J) = J$ 
  by (rel-auto)

```

theorem $H2\text{-idem}$:

```

   $H2(H2(P)) = H2(P)$ 
  by (metis  $H2\text{-def } J\text{-idem seqr-assoc}$ )

```

theorem *H2-Continuous*: *Continuous H2*
by (*rel-auto*)

theorem *H2-not-okay*: *H2* $(\neg \$ok) = (\neg \$ok)$

proof –

have $H2(\neg \$ok) = ((\neg \$ok)^f \vee ((\neg \$ok)^t \wedge \$ok'))$
by (*simp add: H2-split*)
also have ... $= (\neg \$ok \vee (\neg \$ok) \wedge \$ok')$
by (*subst-tac*)
also have ... $= (\neg \$ok)$
by (*pred-auto*)
finally show ?thesis .

qed

lemma *H2-true*: *H2(true) = true*

by (*rel-auto*)

lemma *H2-choice-closed* [*closure*]:

$\llbracket P \text{ is } H2; Q \text{ is } H2 \rrbracket \implies P \sqcap Q \text{ is } H2$
by (*metis H2-def Healthy-def' disj-upred-def seqr-or-distl*)

lemma *H2-inf-closed* [*closure*]:

assumes $P \text{ is } H2$ $Q \text{ is } H2$
shows $P \sqcup Q \text{ is } H2$

proof –

have $P \sqcup Q = (P^f \vee P^t \wedge \$ok') \sqcup (Q^f \vee Q^t \wedge \$ok')$
by (*metis H2-def Healthy-def J-split assms(1) assms(2)*)
moreover have $H2(\dots) = \dots$
by (*simp add: H2-split usubst, pred-auto*)
ultimately show ?thesis
by (*simp add: Healthy-def*)

qed

lemma *H2-USUP*:

shows $H2(\bigcap i \in A \cdot P(i)) = (\bigcap i \in A \cdot H2(P(i)))$
by (*rel-auto*)

theorem *H1-H2-commute*:

$H1(H2 P) = H2(H1 P)$

proof –

have $H2(H1 P) = ((\$ok \Rightarrow P) \;; J)$
by (*simp add: H1-def H2-def*)
also have ... $= ((\neg \$ok \vee P) \;; J)$
by (*rel-auto*)
also have ... $= (((\neg \$ok) \;; J) \vee (P \;; J))$
using seqr-or-distl by blast
also have ... $= ((H2(\neg \$ok)) \vee H2(P))$
by (*simp add: H2-def*)
also have ... $= ((\neg \$ok) \vee H2(P))$
by (*simp add: H2-not-okay*)
also have ... $= H1(H2(P))$
by (*rel-auto*)
finally show ?thesis **by** *simp*

qed

2.3 Designs as $H1$ - $H2$ predicates

abbreviation $H1$ - $H2 :: ('\alpha, '\beta) rel-des \Rightarrow ('\alpha, '\beta) rel-des (\mathbf{H})$ **where**
 $H1$ - $H2 P \equiv H1 (H2 P)$

lemma $H1$ - $H2$ -comp: $\mathbf{H} = H1 \circ H2$
by (auto)

theorem $H1$ - $H2$ -eq-design:

$$\mathbf{H}(P) = (\neg P^f) \vdash P^t$$

proof –

```

have  $\mathbf{H}(P) = (\$ok \Rightarrow H2(P))$ 
  by (simp add: H1-def)
also have ... =  $(\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$ 
  by (metis H2-split)
also have ... =  $(\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$ 
  by (rel-auto)
also have ... =  $(\neg P^f) \vdash P^t$ 
  by (rel-auto)
finally show ?thesis .

```

qed

theorem $H1$ - $H2$ -is-design:

assumes P is $H1$ P is $H2$

shows $P = (\neg P^f) \vdash P^t$

using assms **by** (metis H1-H2-eq-design Healthy-def)

theorem $H1$ - $H2$ -eq-rdesign:

$$\mathbf{H}(P) = pre_D(P) \vdash_r post_D(P)$$

proof –

```

have  $\mathbf{H}(P) = (\$ok \Rightarrow H2(P))$ 
  by (simp add: H1-def Healthy-def')
also have ... =  $(\$ok \Rightarrow (P^f \vee (P^t \wedge \$ok')))$ 
  by (metis H2-split)
also have ... =  $(\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge P^t)$ 
  by (pred-auto)
also have ... =  $(\$ok \wedge (\neg P^f) \Rightarrow \$ok' \wedge \$ok \wedge P^t)$ 
  by (pred-auto)
also have ... =  $(\$ok \wedge [pre_D(P)]_D \Rightarrow \$ok' \wedge \$ok \wedge [post_D(P)]_D)$ 
  by (simp add: ok-post ok-pre)
also have ... =  $(\$ok \wedge [pre_D(P)]_D \Rightarrow \$ok' \wedge [post_D(P)]_D)$ 
  by (pred-auto)
also have ... =  $pre_D(P) \vdash_r post_D(P)$ 
  by (simp add: rdesign-def design-def)
finally show ?thesis .

```

qed

theorem $H1$ - $H2$ -is-rdesign:

assumes P is $H1$ P is $H2$

shows $P = pre_D(P) \vdash_r post_D(P)$

by (metis H1-H2-eq-rdesign Healthy-def assms(1) assms(2))

lemma $H1$ - $H2$ -refinement:

assumes P is \mathbf{H} Q is \mathbf{H}

shows $P \sqsubseteq Q \longleftrightarrow ('pre_D(P) \Rightarrow pre_D(Q) \wedge 'pre_D(P) \wedge post_D(Q) \Rightarrow post_D(P)')$

by (metis H1-H2-eq-rdesign Healthy-if assms rdesign-refinement)

lemma *H1-H2-refines*:

assumes P is **H** Q is **H** $P \sqsubseteq Q$

shows $\text{pre}_D(Q) \sqsubseteq \text{pre}_D(P)$ $\text{post}_D(P) \sqsubseteq (\text{pre}_D(P) \wedge \text{post}_D(Q))$

using *H1-H2-refinement assms refBy-order* **by** *auto*

lemma *H1-H2-idempotent*: **H** (**H** P) = **H** P

by (*simp add: H1-H2-commute H1-idem H2-idem*)

lemma *H1-H2-Idempotent [closure]*: *Idempotent H*

by (*simp add: Idempotent-def H1-H2-idempotent*)

lemma *H1-H2-monotonic [closure]*: *Monotonic H*

by (*simp add: H1-monotone H2-def mono-def seqr-mono*)

lemma *H1-H2-Continuous [closure]*: *Continuous H*

by (*simp add: Continuous-comp H1-Continuous H1-H2-comp H2-Continuous*)

lemma *design-is-H1-H2 [closure]*:

[$\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \implies (P \vdash Q)$] is **H**

by (*simp add: H1-design H2-design Healthy-def'*)

lemma *rdesign-is-H1-H2 [closure]*:

($P \vdash_r Q$) is **H**

by (*simp add: Healthy-def H1-rdesign H2-rdesign*)

lemma *top-d-is-H1-H2 [closure]*: \top_D is **H**

by (*simp add: H1-def H2-not-okay Healthy-intro impl-alt-def*)

lemma *bot-d-is-H1-H2 [closure]*: \perp_D is **H**

by (*simp add: bot-d-def closure unrest*)

lemma *seq-r-H1-H2-closed [closure]*:

assumes P is **H** Q is **H**

shows $(P \mathbin{;;} Q)$ is **H**

proof –

obtain $P_1 P_2$ **where** $P = P_1 \vdash_r P_2$

by (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(1)*)

moreover obtain $Q_1 Q_2$ **where** $Q = Q_1 \vdash_r Q_2$

by (*metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def assms(2)*)

moreover have $((P_1 \vdash_r P_2) \mathbin{;;} (Q_1 \vdash_r Q_2))$ is **H**

by (*simp add: rdesign-composition rdesign-is-H1-H2*)

ultimately show ?thesis **by** *simp*

qed

lemma *UINF-H1-H2-closed [closure]*:

assumes $A \neq \{\}$ $\forall P \in A. P$ is **H**

shows $(\bigcap A)$ is *H1-H2*

proof –

from assms have $A = H1-H2 \cdot A$

by (*auto simp add: Healthy-def rev-image-eqI*)

also have $(\bigcap \dots) = (\bigcap P \in A \cdot H1-H2(P))$

by (*simp add: UINF-as-Sup-collect*)

also have $\dots = (\bigcap P \in A \cdot (\neg P^f) \vdash P^t)$

by (*meson H1-H2-eq-design*)

```

also have ... = ( $\bigsqcup P \in A \cdot \neg P^f$ )  $\vdash (\prod P \in A \cdot P^t)$ 
  by (simp add: design-UINF-mem assms)
also have ... is H1-H2
  by (simp add: design-is-H1-H2 unrest)
  finally show ?thesis .
qed

definition design-inf :: (' $\alpha$ , ' $\beta$ ) rel-des set  $\Rightarrow$  (' $\alpha$ , ' $\beta$ ) rel-des ( $\prod_D$ - [900] 900) where
 $\prod_D A = (\text{if } (A = \{\}) \text{ then } \top_D \text{ else } \prod A)$ 

abbreviation design-sup :: (' $\alpha$ , ' $\beta$ ) rel-des set  $\Rightarrow$  (' $\alpha$ , ' $\beta$ ) rel-des ( $\bigsqcup_D$ - [900] 900) where
 $\bigsqcup_D A \equiv \bigsqcup A$ 

lemma design-inf-H1-H2-closed:
  assumes  $\forall P \in A. P$  is H
  shows  $(\prod_D A)$  is H
  apply (auto simp add: design-inf-def closure)
  apply (simp add: H1-def H2-not-okay Healthy-def impl-alt-def)
  apply (metis H1-def Healthy-def UINF-H1-H2-closed assms empty-iff impl-alt-def)
done

lemma design-sup-empty [simp]:  $\prod_D \{\} = \top_D$ 
  by (simp add: design-inf-def)

lemma design-sup-non-empty [simp]:  $A \neq \{\} \implies \prod_D A = \prod A$ 
  by (simp add: design-inf-def)

lemma USUP-mem-H1-H2-closed:
  assumes  $\bigwedge i. i \in A \implies P i$  is H
  shows  $(\bigsqcup_{i \in A} \cdot P i)$  is H
proof -
  from assms have  $(\bigsqcup_{i \in A} \cdot P i) = (\bigsqcup_{i \in A} \cdot \mathbf{H}(P i))$ 
    by (auto intro: USUP-cong simp add: Healthy-def)
  also have ... =  $(\bigsqcup_{i \in A} \cdot (\neg (P i)^f) \vdash (P i)^t)$ 
    by (meson H1-H2-eq-design)
  also have ... =  $(\prod_{i \in A} \cdot \neg (P i)^f) \vdash (\bigsqcup_{i \in A} \cdot \neg (P i)^f \Rightarrow (P i)^t)$ 
    by (simp add: design-USUP-mem)
  also have ... is H
    by (simp add: design-is-H1-H2 unrest)
  finally show ?thesis .
qed

lemma USUP-ind-H1-H2-closed:
  assumes  $\bigwedge i. P i$  is H
  shows  $(\bigsqcup_i \cdot P i)$  is H
  using assms USUP-mem-H1-H2-closed[of UNIV P] by simp

lemma Inf-H1-H2-closed:
  assumes  $\forall P \in A. P$  is H
  shows  $(\bigsqcup A)$  is H
proof -
  from assms have  $A: A = \mathbf{H} ` A$ 
    by (auto simp add: Healthy-def rev-image-eqI)
  also have  $(\bigsqcup ... ) = (\bigsqcup P \in A \cdot \mathbf{H}(P))$ 
    by (simp add: USUP-as-Inf-collect)

```

```

also have ... = ( $\bigsqcup P \in A \cdot (\neg P^f) \vdash P^t$ )
  by (meson H1-H2-eq-design)
also have ... = ( $\bigsqcup P \in A \cdot \neg P^f \vdash (\bigsqcup P \in A \cdot \neg P^f \Rightarrow P^t)$ )
  by (simp add: design-USUP-mem)
also have ... is H
  by (simp add: design-is-H1-H2-unrest)
finally show ?thesis .
qed

```

lemma rdesign-ref-monos:

```

assumes P is H Q is H P ⊑ Q
shows preD(Q) ⊑ preD(P) postD(P) ⊑ (preD(P) ∧ postD(Q))

```

proof –

```

have r: P ⊑ Q  $\longleftrightarrow$  ('preD(P)  $\Rightarrow$  preD(Q))'  $\wedge$  ('preD(P)  $\wedge$  postD(Q)  $\Rightarrow$  postD(P) ')
  by (metis H1-H2-eq-rdesign Healthy-if assms(1) assms(2) rdesign-refinement)
from r assms show preD(Q) ⊑ preD(P)
  by (auto simp add: refBy-order)
from r assms show postD(P) ⊑ (preD(P)  $\wedge$  postD(Q))
  by (auto simp add: refBy-order)
qed

```

2.4 H3: The design assumption is a precondition

definition H3 :: ('α, 'β) rel-des \Rightarrow ('α, 'β) rel-des **where**
[upred-defs]: H3 (P) \equiv P ;; II_D

theorem H3-idem:

```

H3(H3(P)) = H3(P)
by (metis H3-def design-skip-idem seqr-assoc)

```

theorem H3-mono:

```

P ⊑ Q  $\Longrightarrow$  H3(P) ⊑ H3(Q)
by (simp add: H3-def seqr-mono)

```

theorem H3-Monotonic:

```

Monotonic H3
by (simp add: H3-mono mono-def)

```

theorem H3-Continuous: Continuous H3

```

by (rel-auto)

```

theorem design-condition-is-H3:

```

assumes outα # p
shows (p ⊢ Q) is H3

```

proof –

```

have ((p ⊢ Q) ;; IID) = ( $\neg(\neg p) ;; \text{true}$ )  $\vdash$  (Qt ;; II[true/$ok])
  by (simp add: skip-d-alt-def design-composition-subst unrest assms)
also have ... = p ⊢ (Qt ;; II[true/$ok])
  using assms precond-equiv seqr-true-lemma by force
also have ... = p ⊢ Q
  by (rel-auto)
finally show ?thesis
  by (simp add: H3-def Healthy-def')
qed

```

theorem rdesign-H3-iff-pre:

$P \vdash_r Q \text{ is } H3 \longleftrightarrow P = (P \text{;;} true)$

proof –

- have $(P \vdash_r Q) \text{;;} II_D = (P \vdash_r Q) \text{;;} (\text{true} \vdash_r II)$
 - by (simp add: skip-d-def)
- also have ... = $(\neg((\neg P) \text{;;} true) \wedge \neg(Q \text{;;} (\neg true))) \vdash_r (Q \text{;;} II)$
 - by (simp add: redesign-composition)
- also have ... = $(\neg((\neg P) \text{;;} true) \wedge \neg(Q \text{;;} (\neg true))) \vdash_r Q$
 - by simp
- also have ... = $(\neg((\neg P) \text{;;} true)) \vdash_r Q$
 - by (pred-auto)
- finally have $P \vdash_r Q \text{ is } H3 \longleftrightarrow P \vdash_r Q = (\neg((\neg P) \text{;;} true)) \vdash_r Q$
 - by (metis H3-def Healthy-def')
- also have ... $\longleftrightarrow P = (\neg((\neg P) \text{;;} true))$
 - by (metis redesign-pre)
 - thm seqr-true-lemma
- also have ... $\longleftrightarrow P = (P \text{;;} true)$
 - by (simp add: seqr-true-lemma)
- finally show ?thesis .

qed

theorem design-H3-iff-pre:

assumes \$ok \$\# P \$ok' \$\# P \$ok \$\# Q \$ok' \$\# Q
shows $P \vdash Q \text{ is } H3 \longleftrightarrow P = (P \text{;;} true)$

proof –

- have $P \vdash Q = [P]_D \vdash_r [Q]_D$
 - by (simp add: assms lift-desr-inv redesign-def)
- moreover hence $[P]_D \vdash_r [Q]_D \text{ is } H3 \longleftrightarrow [P]_D = ([P]_D \text{;;} true)$
 - using redesign-H3-iff-pre by blast
- ultimately show ?thesis
 - by (metis assms(1,2) drop-desr-inv lift-desr-inv lift-dist-seq aext-true)

qed

theorem H1-H3-commute:

$H1(H3 P) = H3(H1 P)$
by (rel-auto)

lemma skip-d-absorb-J-1:

$(II_D \text{;;} J) = II_D$
by (metis H2-def H2-redesign skip-d-def)

lemma skip-d-absorb-J-2:

$(J \text{;;} II_D) = II_D$

proof –

- have $(J \text{;;} II_D) = ((\$ok \Rightarrow \$ok') \wedge [II]_D \text{;;} (\text{true} \vdash II))$
 - by (simp add: J-def skip-d-alt-def)
- also have ... = $(\exists ok_0 \cdot ((\$ok \Rightarrow \$ok') \wedge [II]_D)[\ll ok_0 \gg / \$ok'] \text{;;} (\text{true} \vdash II)[\ll ok_0 \gg / \$ok])$
 - by (subst seqr-middle[of ok], simp-all)
- also have ... = $((((\$ok \Rightarrow \$ok') \wedge [II]_D)[\ll false / \$ok'] \text{;;} (\text{true} \vdash II)[\ll false / \$ok])$
 - $\vee (((\$ok \Rightarrow \$ok') \wedge [II]_D)[\ll true / \$ok'] \text{;;} (\text{true} \vdash II)[\ll true / \$ok]))$
 - by (simp add: disj-comm false-alt-def true-alt-def)
- also have ... = $((\neg \$ok \wedge [II]_D \text{;;} \text{true}) \vee ([II]_D \text{;;} \$ok' \wedge [II]_D))$
 - by (rel-auto)
- also have ... = II_D
 - by (rel-auto)
- finally show ?thesis .

qed

lemma *H2-H3-absorb*:

$$H2(H3P) = H3P$$

by (*metis H2-def H3-def seqr-assoc skip-d-absorb-J-1*)

lemma *H3-H2-absorb*:

$$H3(H2P) = H3P$$

by (*metis H2-def H3-def seqr-assoc skip-d-absorb-J-2*)

theorem *H2-H3-commute*:

$$H2(H3P) = H3(H2P)$$

by (*simp add: H2-H3-absorb H3-H2-absorb*)

theorem *H3-design-pre*:

assumes \$ok # p outα # p \$ok # Q \$ok' # Q

shows $H3(p \vdash Q) = p \vdash Q$

using *assms*

by (*metis Healthy-def' design-H3-iff-pre precond-right-unit unrest-outα-var ok-vwb-lens vwb-lens-mwb*)

theorem *H3-rdesign-pre*:

assumes outα # p

shows $H3(p \vdash_r Q) = p \vdash_r Q$

using *assms*

by (*simp add: H3-def*)

theorem *H3-ndesign*: $H3(p \vdash_n Q) = (p \vdash_n Q)$

by (*simp add: H3-def ndesign-def unrest-pre-outα*)

theorem *ndesign-is-H3 [closure]*: $p \vdash_n Q$ is *H3*

by (*simp add: H3-ndesign Healthy-def*)

2.5 Normal Designs as *H1-H3* predicates

A normal design [3] refers only to initial state variables in the precondition.

abbreviation *H1-H3* :: ('α, 'β) rel-des ⇒ ('α, 'β) rel-des (**N**) **where**

H1-H3 p ≡ *H1 (H3 p)*

lemma *H1-H3-comp*: $H1 \circ H3 = H1 \circ H3$

by (*auto*)

theorem *H1-H3-is-design*:

assumes *P* is *H1 P* is *H3*

shows $P = (\neg P^f) \vdash P^t$

by (*metis H1-H2-eq-design H2-H3-absorb Healthy-def' assms(1) assms(2)*)

theorem *H1-H3-is-rdesign*:

assumes *P* is *H1 P* is *H3*

shows $P = \text{pre}_D(P) \vdash_r \text{post}_D(P)$

by (*metis H1-H2-is-rdesign H2-H3-absorb Healthy-def' assms*)

theorem *H1-H3-is-normal-design*:

assumes *P* is *H1 P* is *H3*

shows $P = \lfloor \text{pre}_D(P) \rfloor_< \vdash_n \text{post}_D(P)$

by (*metis H1-H3-is-rdesign assms drop-pre-inv ndesign-def precond-equiv rdesign-H3-iff-pre*)

```

lemma H1-H3-idempotent: N (N P) = N P
  by (simp add: H1-H3-commute H1-idem H3-idem)

lemma H1-H3-Idempotent [closure]: Idempotent N
  by (simp add: Idempotent-def H1-H3-idempotent)

lemma H1-H3-monotonic [closure]: Monotonic N
  by (simp add: H1-monotone H3-mono mono-def)

lemma H1-H3-Continuous [closure]: Continuous N
  by (simp add: Continuous-comp H1-Continuous H1-H3-comp H3-Continuous)

lemma H1-H3-intro:
  assumes P is H out $\alpha$  # pre $_D$ (P)
  shows P is N
  by (metis H1-H2-eq-rdesign H1-rdesign H3-rdesign-pre Healthy-def' assms)

lemma H1-H3-impl-H2 [closure]: P is N  $\implies$  P is H
  by (metis H1-H2-commute H1-idem H2-H3-absorb Healthy-def')

lemma H1-H3-eq-design-d-comp: N(P) = (( $\neg$  P $^f$ )  $\vdash$  P $^t$ ) ;; II $_D$ 
  by (metis H1-H2-eq-design H1-H3-commute H3-H2-absorb H3-def)

lemma H1-H3-eq-design: N(P) = ( $\neg$  (P $^f$  ;; true))  $\vdash$  P $^t$ 
  apply (simp add: H1-H3-eq-design-d-comp skip-d-alt-def)
  apply (subst design-composition-subst)
  apply (simp-all add: usubst unrest)
  apply (rel-auto)
done

lemma H3-unrest-out-alpha-nok [unrest]:
  assumes P is N
  shows out $\alpha$  # P $^f$ 
proof -
  have P = ( $\neg$  (P $^f$  ;; true))  $\vdash$  P $^t$ 
    by (metis H1-H3-eq-design Healthy-def assms)
  also have out $\alpha$  # (... $^f$ )
    by (simp add: design-def usubst unrest, rel-auto)
  finally show ?thesis .
qed

lemma H3-unrest-out-alpha [unrest]: P is N  $\implies$  out $\alpha$  # pre $_D$ (P)
  by (metis H1-H3-commute H1-H3-is-rdesign H1-idem Healthy-def' precond-equiv rdesign-H3-iff-pre)

lemma ndesign-H1-H3 [closure]: p  $\vdash_n$  Q is N
  by (simp add: H1-rdesign H3-def Healthy-def' ndesign-def unrest-pre-out $\alpha$ )

lemma ndesign-form: P is N  $\implies$  (|pre $_D$ (P)| $<$   $\vdash_n$  post $_D$ (P)) = P
  by (metis H1-H2-eq-rdesign H1-H3-impl-H2 H3-unrest-out-alpha Healthy-def drop-pre-inv ndesign-def)

lemma des-bot-H1-H3 [closure]:  $\perp_D$  is N
  by (metis H1-design H3-def Healthy-def' design-false-pre design-true-left-zero skip-d-alt-def bot-d-def)

lemma des-top-is-H1-H3 [closure]:  $\top_D$  is N

```

```

by (metis ndesign-H1-H3 ndesign-miracle)

lemma skip-d-is-H1-H3 [closure]:  $\Pi_D$  is  $\mathbf{N}$ 
  by (simp add: ndesign-H1-H3 skip-d-ndes-def)

lemma seq-r-H1-H3-closed [closure]:
  assumes  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$ 
  shows  $(P \;;\; Q)$  is  $\mathbf{N}$ 
  by (metis (no-types) H1-H2-eq-design H1-H3-eq-design-d-comp H1-H3-impl-H2 Healthy-def assms(1)
assms(2) seq-r-H1-H2-closed seqr-assoc)

lemma dcond-H1-H2-closed [closure]:
  assumes  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$ 
  shows  $(P \triangleleft b \triangleright_D Q)$  is  $\mathbf{N}$ 
  by (metis assms ndesign-H1-H3 ndesign-dcond ndesign-form)

lemma inf-H1-H2-closed [closure]:
  assumes  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$ 
  shows  $(P \sqcap Q)$  is  $\mathbf{N}$ 
  by (metis assms ndesign-H1-H3 ndesign-choice ndesign-form)

lemma sup-H1-H2-closed [closure]:
  assumes  $P$  is  $\mathbf{N}$   $Q$  is  $\mathbf{N}$ 
  shows  $(P \sqcup Q)$  is  $\mathbf{N}$ 
  by (metis assms ndesign-H1-H3 ndesign-inf ndesign-form)

lemma ndes-seqr-miracle:
  assumes  $P$  is  $\mathbf{N}$ 
  shows  $P \;;\; \top_D = (\lfloor \text{pre}_D P \rfloor < \vdash_n \text{false})$ 
proof –
  have  $P \;;\; \top_D = (\lfloor \text{pre}_D(P) \rfloor < \vdash_n \text{post}_D(P)) \;;\; (\text{true} \vdash_n \text{false})$ 
    by (simp add: assms ndesign-form ndesign-miracle)
  also have  $\dots = (\lfloor \text{pre}_D P \rfloor < \vdash_n \text{false})$ 
    by (simp add: ndesign-composition-wp wp alpha)
  finally show ?thesis .
qed

lemma ndes-seqr-abort:
  assumes  $P$  is  $\mathbf{N}$ 
  shows  $P \;;\; \perp_D = (\lfloor \text{pre}_D P \rfloor < \wedge \text{post}_D P \text{ wp false}) \vdash_n \text{false}$ 
proof –
  have  $P \;;\; \perp_D = (\lfloor \text{pre}_D(P) \rfloor < \vdash_n \text{post}_D(P)) \;;\; (\text{false} \vdash_n \text{false})$ 
    by (simp add: assms bot-d-true ndesign-false-pre ndesign-form)
  also have  $\dots = (\lfloor \text{pre}_D P \rfloor < \wedge \text{post}_D P \text{ wp false}) \vdash_n \text{false}$ 
    by (simp add: ndesign-composition-wp alpha)
  finally show ?thesis .
qed

lemma USUP-ind-H1-H3-closed [closure]:
   $\llbracket \bigwedge i. P_i \text{ is } \mathbf{N} \rrbracket \implies (\bigsqcup i. P_i) \text{ is } \mathbf{N}$ 
  by (rule H1-H3-intro, simp-all add: H1-H3-impl-H2 USUP-ind-H1-H2-closed preD-USUP-ind unrest)

```

2.6 H4: Feasibility

definition $H4 :: ('\alpha, '\beta) \text{ rel-des} \Rightarrow (''\alpha, ''\beta) \text{ rel-des}$ **where**
 $[upred-defs]: H4(P) = ((P;;\text{true}) \Rightarrow P)$

```

theorem H4-idem:
H4(H4(P)) = H4(P)
by (rel-auto)

lemma is-H4-alt-def:
P is H4  $\longleftrightarrow$  (P ;; true) = true
by (rel-blast)

end

```

2.7 UTP theory of Designs

```

theory utp-des-theory
imports utp-des-healths
begin

```

2.8 UTP theories

```

typedecl DES
typedecl NDES

```

```

abbreviation DES  $\equiv$  UTHY(DES, ' $\alpha$  des')
abbreviation NDES  $\equiv$  UTHY(NDES, ' $\alpha$  des')

```

overloading

```

des-hcond == utp-hcond :: (DES, ' $\alpha$  des) uthy  $\Rightarrow$  (' $\alpha$  des  $\times$  ' $\alpha$  des) health
des-unit == utp-unit :: (DES, ' $\alpha$  des) uthy  $\Rightarrow$  ' $\alpha$  hrel-des (unchecked)

```

```

ndes-hcond == utp-hcond :: (NDES, ' $\alpha$  des) uthy  $\Rightarrow$  (' $\alpha$  des  $\times$  ' $\alpha$  des) health
ndes-unit == utp-unit :: (NDES, ' $\alpha$  des) uthy  $\Rightarrow$  ' $\alpha$  hrel-des (unchecked)

```

begin

```

definition des-hcond :: (DES, ' $\alpha$  des) uthy  $\Rightarrow$  (' $\alpha$  des  $\times$  ' $\alpha$  des) health where
[upred-defs]: des-hcond t = H1-H2

```

```

definition des-unit :: (DES, ' $\alpha$  des) uthy  $\Rightarrow$  ' $\alpha$  hrel-des where
[upred-defs]: des-unit t = II_D

```

```

definition ndes-hcond :: (NDES, ' $\alpha$  des) uthy  $\Rightarrow$  (' $\alpha$  des  $\times$  ' $\alpha$  des) health where
[upred-defs]: ndes-hcond t = H1-H3

```

```

definition ndes-unit :: (NDES, ' $\alpha$  des) uthy  $\Rightarrow$  ' $\alpha$  hrel-des where
[upred-defs]: ndes-unit t = II_D

```

end

```

interpretation des-utp-theory: utp-theory DES
by (simp add: H1-H2-commute H1-idem H2-idem des-hcond-def utp-theory-def)

```

```

interpretation ndes-utp-theory: utp-theory NDES
by (simp add: H1-H3-commute H1-idem H3-idem ndes-hcond-def utp-theory.intro)

```

```

interpretation des-left-unital: utp-theory-left-unital DES
apply (unfold-locales)
apply (simp-all add: des-hcond-def des-unit-def)

```

```

using seq-r-H1-H2-closed apply blast
apply (simp add: rdesign-is-H1-H2 skip-d-def)
apply (metis H1-idem H1-left-unit Healthy-def')
done

interpretation ndes-unital: utp-theory-unital NDES
apply (unfold-locales, simp-all add: ndes-hcond-def ndes-unit-def)
using seq-r-H1-H3-closed apply blast
apply (metis H1-rdesign H3-def Healthy-def' design-skip-idem skip-d-def)
apply (metis H1-idem H1-left-unit Healthy-def')
apply (metis H1-H3-commute H3-def H3-idem Healthy-def')
done

interpretation design-theory-continuous: utp-theory-continuous DES
rewrites  $\bigwedge P. P \in \text{carrier}(\text{uthy-order DES}) \longleftrightarrow P \text{ is } \mathbf{H}$ 
and carrier (uthy-order DES)  $\rightarrow$  carrier (uthy-order DES)  $\equiv \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$ 
and  $\llbracket \mathcal{H}_{DES} \rrbracket_H \rightarrow \llbracket \mathcal{H}_{DES} \rrbracket_H \equiv \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$ 
and le (uthy-order DES) = op  $\sqsubseteq$ 
and eq (uthy-order DES) = op =
by (unfold-locales, simp-all add: des-hcond-def H1-H2-Continuous utp-order-def)

interpretation normal-design-theory-continuous: utp-theory-continuous NDES
rewrites  $\bigwedge P. P \in \text{carrier}(\text{uthy-order NDES}) \longleftrightarrow P \text{ is } \mathbf{N}$ 
and carrier (uthy-order NDES)  $\rightarrow$  carrier (uthy-order NDES)  $\equiv \llbracket \mathbf{N} \rrbracket_H \rightarrow \llbracket \mathbf{N} \rrbracket_H$ 
and  $\llbracket \mathcal{H}_{NDES} \rrbracket_H \rightarrow \llbracket \mathcal{H}_{NDES} \rrbracket_H \equiv \llbracket \mathbf{N} \rrbracket_H \rightarrow \llbracket \mathbf{N} \rrbracket_H$ 
and le (uthy-order NDES) = op  $\sqsubseteq$ 
and A  $\subseteq$  carrier (uthy-order NDES)  $\longleftrightarrow A \subseteq \llbracket \mathbf{N} \rrbracket_H$ 
and eq (uthy-order NDES) = op =
by (unfold-locales, simp-all add: ndes-hcond-def H1-H3-Continuous utp-order-def)

lemma design-lat-top:  $\top_{DES} = \mathbf{H}(\text{false})$ 
by (simp add: design-theory-continuous.healthy-top, simp add: des-hcond-def)

lemma design-lat-bottom:  $\perp_{DES} = \mathbf{H}(\text{true})$ 
by (simp add: design-theory-continuous.healthy-bottom, simp add: des-hcond-def)

lemma ndesign-lat-top:  $\top_{NDES} = \mathbf{N}(\text{false})$ 
by (metis ndes-hcond-def normal-design-theory-continuous.healthy-top)

lemma ndesign-lat-bottom:  $\perp_{NDES} = \mathbf{N}(\text{true})$ 
by (metis ndes-hcond-def normal-design-theory-continuous.healthy-bottom)

```

2.9 Galois Connection

Example Galois connection between designs and relations. Based on Jim's example in COM-PASS deliverable D23.5.

```

definition [upred-defs]: Des(R) =  $\mathbf{H}([R]_D \wedge \$ok')$ 
definition [upred-defs]: Rel(D) =  $[D[\text{true}, \text{true}/\$ok, \$ok']]_D$ 

lemma Des-design: Des(R) = true  $\vdash_r R$ 
by (rel-auto)

lemma Rel-design: Rel(P  $\vdash_r Q$ ) =  $(P \Rightarrow Q)$ 
by (rel-auto)

```

```

interpretation Des-Rel-coretract:
  coretract DES ←⟨Des,Rel⟩→ REL
  rewrites
     $\bigwedge x. x \in \text{carrier } \mathcal{X}_{DES} \leftarrow\langle\text{Des},\text{Rel}\rangle\rightarrow \text{REL} = (x \text{ is } \mathbf{H}) \text{ and}$ 
     $\bigwedge x. x \in \text{carrier } \mathcal{Y}_{DES} \leftarrow\langle\text{Des},\text{Rel}\rangle\rightarrow \text{REL} = \text{True} \text{ and}$ 
     $\pi^*_{DES} \leftarrow\langle\text{Des},\text{Rel}\rangle\rightarrow \text{REL} = \text{Des} \text{ and}$ 
     $\pi^*_{DES} \leftarrow\langle\text{Des},\text{Rel}\rangle\rightarrow \text{REL} = \text{Rel} \text{ and}$ 
    le  $\mathcal{X}_{DES} \leftarrow\langle\text{Des},\text{Rel}\rangle\rightarrow \text{REL} = op \sqsubseteq \text{and}$ 
    le  $\mathcal{Y}_{DES} \leftarrow\langle\text{Des},\text{Rel}\rangle\rightarrow \text{REL} = op \sqsubseteq$ 
proof (unfold-locales, simp-all add: rel-hcond-def des-hcond-def)
  show  $\bigwedge x. x \text{ is id}$ 
    by (simp add: Healthy-def)
next
  show  $Rel \in \llbracket \mathbf{H} \rrbracket_H \rightarrow \llbracket id \rrbracket_H$ 
    by (auto simp add: Rel-def rel-hcond-def Healthy-def)
next
  show  $Des \in \llbracket id \rrbracket_H \rightarrow \llbracket \mathbf{H} \rrbracket_H$ 
    by (auto simp add: Des-def des-hcond-def Healthy-def H1-H2-commute H1-idem H2-idem)
next
  fix R :: 'a hrel
  show  $R \sqsubseteq Rel (Des R)$ 
    by (simp add: Des-design Rel-design)
next
  fix R :: 'a hrel and D :: 'a hrel-des
  assume a:  $D \text{ is } \mathbf{H}$ 
  then obtain D1 D2 where D:  $D = D_1 \vdash_r D_2$ 
    by (metis H1-H2-commute H1-H2-is-rdesign H1-idem Healthy-def')
  show  $(Rel D \sqsubseteq R) = (D \sqsubseteq Des R)$ 
  proof -
    have  $(D \sqsubseteq Des R) = (D_1 \vdash_r D_2 \sqsubseteq \text{true} \vdash_r R)$ 
      by (simp add: D Des-design)
    also have ... = ' $D_1 \wedge R \Rightarrow D_2$ ''
      by (simp add: rdesign-refinement)
    also have ... =  $((D_1 \Rightarrow D_2) \sqsubseteq R)$ 
      by (rel-auto)
    also have ... =  $(Rel D \sqsubseteq R)$ 
      by (simp add: D Rel-design)
    finally show ?thesis ..
  qed
qed
qed

```

From this interpretation we gain many Galois theorems. Some require simplification to remove superfluous assumptions.

```

thm Des-Rel-coretract.deflation[simplified]
thm Des-Rel-coretract.inflation
thm Des-Rel-coretract.upper-comp[simplified]
thm Des-Rel-coretract.lower-comp

```

2.10 Fixed Points

abbreviation $\text{design-lfp} :: ('\alpha \text{ hrel-des} \Rightarrow '\alpha \text{ hrel-des}) \Rightarrow '\alpha \text{ hrel-des} (\mu_D) \text{ where}$
 $\mu_D F \equiv \mu_{DES} F$

abbreviation $\text{design-gfp} :: ('\alpha \text{ hrel-des} \Rightarrow '\alpha \text{ hrel-des}) \Rightarrow '\alpha \text{ hrel-des} (\nu_D) \text{ where}$
 $\nu_D F \equiv \nu_{DES} F$

syntax

```
-dnu :: pptrn ⇒ logic ⇒ logic ( $\mu_D \dots [0, 10] 10$ )
-dmu :: pptrn ⇒ logic ⇒ logic ( $\nu_D \dots [0, 10] 10$ )
```

translations

```
 $\mu_D X \cdot P == \mu_{CONST\ DES} (\lambda X. P)$ 
 $\nu_D X \cdot P == \nu_{CONST\ DES} (\lambda X. P)$ 
```

thm *design-theory-continuous.GFP-unfold*
thm *design-theory-continuous.LFP-unfold*

Specialise *mu-refine-intro* to designs.

lemma *design-mu-refine-intro*:

```
assumes $ok' # C $ok' # S ( $C \vdash S \sqsubseteq F(C \vdash S) \lceil C \Rightarrow (\mu_D F \Leftrightarrow \nu_D F)$ )
shows ( $C \vdash S \sqsubseteq \mu_D F$ )
```

proof –

```
from assms have ( $C \vdash S \sqsubseteq \nu_D F$ )
thm design-theory-continuous.weak.GFP-upperbound
by (simp add: design-is-H1-H2 design-theory-continuous.weak.GFP-upperbound)
with assms show ?thesis
by (rel-auto, metis (no-types, lifting))
qed
```

lemma *rdesign-mu-refine-intro*:

```
assumes ( $C \vdash_r S \sqsubseteq F(C \vdash_r S) \lceil C \rceil_D \Rightarrow (\mu_D F \Leftrightarrow \nu_D F)$ )
shows ( $C \vdash_r S \sqsubseteq \mu_D F$ )
using assms by (simp add: rdesign-def design-mu-refine-intro unrest)
```

lemma *H1-H2-mu-refine-intro*:

```
assumes  $P$  is H  $P \sqsubseteq F(P) \lceil pre_D(P) \rceil_D \Rightarrow (\mu_D F \Leftrightarrow \nu_D F)$ 
shows  $P \sqsubseteq \mu_D F$ 
by (metis H1-H2-eq-rdesign Healthy-if assms rdesign-mu-refine-intro)
```

Foundational theorem for recursion introduction using a well-founded relation. Contributed by Dr. Yakoub Nemouchi.

theorem *rdesign-mu-wf-refine-intro*:

```
assumes WF: wf R
and M: Monotonic F
and H: F ∈ [[H]]_H → [[H]]_H
and induct-step:
  ⋀ st. ( $P \wedge \lceil e \rceil <=_u \ll st \gg \vdash_r Q \sqsubseteq F ((P \wedge (\lceil e \rceil <, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q)$ )
shows ( $P \vdash_r Q \sqsubseteq \mu_D F$ )
```

proof –

```
{
fix st
have ( $P \wedge \lceil e \rceil <=_u \ll st \gg \vdash_r Q \sqsubseteq \mu_D F$ )
using WF proof (induction rule: wf-induct-rule)
case (less st)
hence 0: ( $P \wedge (\lceil e \rceil <, \ll st \gg)_u \in_u \ll R \gg \vdash_r Q \sqsubseteq \mu_D F$ )
  by rel-blast
from M H design-theory-continuous.LFP-lemma3 mono-Monotone-utp-order
have 1:  $\mu_D F \sqsubseteq F (\mu_D F)$ 
  by blast
from 0 1 have 2: ( $P \wedge (\lceil e \rceil <, \ll st \gg)_u \in_u \ll R \gg \vdash_r Q \sqsubseteq F (\mu_D F)$ )
```

```

by simp
have 3:  $F ((P \wedge ([e]_<, \ll st \gg)_u \in_u \ll R \gg) \vdash_r Q) \sqsubseteq F (\mu_D F)$ 
  by (simp add: 0 M monoD)
have 4:  $(P \wedge [e]_< =_u \ll st \gg) \vdash_r Q \sqsubseteq \dots$ 
  by (rule induct-step)
show ?case
using order-trans[OF 3 4] H M design-theory-continuous.LFP-lemma2 dual-order.trans mono-Monotone-utp-order

  by blast
qed
}
thus ?thesis
  by (pred-simp)
qed

theorem ndesign-mu-wf-refine-intro':
assumes WF: wf R
  and M: Monotonic F
  and H:  $F \in \ll H \gg_H \rightarrow \ll H \gg_H$ 
  and induct-step:
     $\bigwedge st. ((p \wedge e =_u \ll st \gg) \vdash_n Q) \sqsubseteq F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q)$ 
shows  $(p \vdash_n Q) \sqsubseteq \mu_D F$ 
using assms unfolding ndesign-def
  by (rule-tac rdesign-mu-wf-refine-intro[of R F [p]_< e], simp-all add: alpha)

theorem ndesign-mu-wf-refine-intro:
assumes WF: wf R
  and M: Monotonic F
  and H:  $F \in \ll N \gg_H \rightarrow \ll N \gg_H$ 
  and induct-step:
     $\bigwedge st. ((p \wedge e =_u \ll st \gg) \vdash_n Q) \sqsubseteq F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q)$ 
shows  $(p \vdash_n Q) \sqsubseteq \mu_{NDES} F$ 
proof -
{
  fix st
  have  $(p \wedge e =_u \ll st \gg) \vdash_n Q \sqsubseteq \mu_{NDES} F$ 
  using WF proof (induction rule: wf-induct-rule)
  case (less st)
  hence 0:  $(p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q \sqsubseteq \mu_{NDES} F$ 
    by rel-blast
  from M H design-theory-continuous.LFP-lemma3 mono-Monotone-utp-order
  have 1:  $\mu_{NDES} F \sqsubseteq F (\mu_{NDES} F)$ 
    by (simp add: mono-Monotone-utp-order normal-design-theory-continuous.LFP-lemma3)
  from 0 1 have 2:  $(p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q \sqsubseteq F (\mu_{NDES} F)$ 
    by simp
  have 3:  $F ((p \wedge (e, \ll st \gg)_u \in_u \ll R \gg) \vdash_n Q) \sqsubseteq F (\mu_{NDES} F)$ 
    by (simp add: 0 M monoD)
  have 4:  $(p \wedge e =_u \ll st \gg) \vdash_n Q \sqsubseteq \dots$ 
    by (rule induct-step)
  show ?case
  using order-trans[OF 3 4] H M normal-design-theory-continuous.LFP-lemma2 dual-order.trans
mono-Monotone-utp-order
  by blast
qed
}

```

```

thus ?thesis
  by (pred-simp)
qed

```

```
end
```

3 Design Proof Tactics

```

theory utp-des-tactics
  imports utp-des-theory
begin

```

The tactics split apart a healthy normal design predicate into its pre-postcondition form, using elimination rules, and then attempt to prove refinement conjectures.

named-theorems ND-elim

```

lemma ndes-elim:  $\llbracket P \text{ is } \mathbf{N}; Q(\lfloor \text{pre}_D(P) \rfloor < \vdash_n \text{post}_D(P)) \rrbracket \implies Q(P)$ 
  by (simp add: ndesign-form)

```

```

lemma ndes-ind-elim:  $\llbracket \bigwedge i. P i \text{ is } \mathbf{N}; Q(\lambda i. \lfloor \text{pre}_D(P i) \rfloor < \vdash_n \text{post}_D(P i)) \rrbracket \implies Q(P)$ 
  by (simp add: ndesign-form)

```

```

lemma ndes-split [ND-elim]:  $\llbracket P \text{ is } \mathbf{N}; \bigwedge \text{pre post}. Q(\text{pre} \vdash_n \text{post}) \rrbracket \implies Q(P)$ 
  by (metis H1-H2-eq-rdesign H1-H3-impl-H2 H3-unrest-out-alpha Healthy-def drop-pre-inv ndesign-def)

```

Use given closure laws (cls) to expand normal design predicates

```

method ndes-expand uses cls = (insert cls, (erule ND-elim)+)

```

Expand and simplify normal designs

```

method ndes-simp uses cls =
  ((ndes-expand cls: cls)?, (simp add: ndes-simp closure alpha usubst unrest wp prod.case-eq-if))

```

Attempt to discharge a refinement between two normal designs

```

method ndes-refine uses cls =
  (ndes-simp cls: cls; rule-tac ndesign-refine-intro; (insert cls; rel-simp; auto?))

```

Attempt to discharge an equality between two normal designs

```

method ndes-eq uses cls =
  (ndes-simp cls: cls; rule-tac antisym; rule-tac ndesign-refine-intro; (insert cls; rel-simp; auto?))

```

```
end
```

4 Imperative Programming in Designs

```

theory utp-des-prog
  imports utp-des-tactics
begin

```

4.1 Assignment

```

definition assigns-d :: ' $\alpha$  usubst  $\Rightarrow$  ' $\alpha$  hrel-des ( $\langle \cdot \rangle_D$ ) where
  [upred-defs]: assigns-d  $\sigma$  = (true  $\vdash_r$  assigns-r  $\sigma$ )

```

syntax

-assignmentd :: svids \Rightarrow uexprs \Rightarrow logic (infixr :=D 72)

translations

-assignmentd xs vs => CONST assigns-d (-mk-usubst (CONST id) xs vs)
-assignmentd x v <= CONST assigns-d (CONST subst-upd (CONST id) x v)
-assignmentd x v <= -assignmentd (-spvar x) v
x,y :=D u,v <= CONST assigns-d (CONST subst-upd (CONST subst-upd (CONST id) (CONST svar x) u) (CONST svar y) v)

lemma assigns-d-is-H1-H2 [closure]: $\langle \sigma \rangle_D$ is **H**
by (simp add: assigns-d-def rdesign-is-H1-H2)

lemma assigns-d-H1-H3 [closure]: $\langle \sigma \rangle_D$ is **N**
by (metis H1-rdesign H3-ndesign Healthy-def' aext-true assigns-d-def ndesign-def)

Designs are closed under substitutions on state variables only (via lifting)

lemma state-subst-H1-H2-closed [closure]:
P is **H** \Longrightarrow $\lceil \sigma \oplus_s \Sigma_D \rceil_s \dagger P$ is **H**
by (metis H1-H2-eq-rdesign Healthy-if rdesign-is-H1-H2 state-subst-design)

lemma assigns-d-ndes-def [ndes-simp]:
 $\langle \sigma \rangle_D = (\text{true} \vdash_n \langle \sigma \rangle_a)$
by (rel-auto)

lemma assigns-d-id [simp]: $\langle id \rangle_D = II_D$
by (rel-auto)

lemma assign-d-left-comp:
 $(\langle f \rangle_D ;; (P \vdash_r Q)) = (\lceil f \rceil_s \dagger P \vdash_r \lceil f \rceil_s \dagger Q)$
by (simp add: assigns-d-def rdesign-composition assigns-r-comp subst-not)

lemma assign-d-right-comp:
 $((P \vdash_r Q) ;; \langle f \rangle_D) = ((\neg (\neg P) ;; \text{true}) \vdash_r (Q ;; \langle f \rangle_a))$
by (simp add: assigns-d-def rdesign-composition)

lemma assigns-d-comp:
 $(\langle f \rangle_D ;; \langle g \rangle_D) = \langle g \circ f \rangle_D$
by (simp add: assigns-d-def rdesign-composition assigns-comp)

lemma assigns-d-comp-ext:
fixes P :: 'α hrel-des
assumes P is **H**
shows $(\langle \sigma \rangle_D ;; P) = \lceil \sigma \oplus_s \Sigma_D \rceil_s \dagger P$
proof –
have $\langle \sigma \rangle_D ;; P = \langle \sigma \rangle_D ;; (\text{pre}_D(P) \vdash_r \text{post}_D(P))$
by (metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def' assms)
also have ... = $\lceil \sigma \rceil_s \dagger \text{pre}_D(P) \vdash_r \lceil \sigma \rceil_s \dagger \text{post}_D(P)$
by (simp add: assign-d-left-comp)
also have ... = $\lceil \sigma \oplus_s \Sigma_D \rceil_s \dagger (\text{pre}_D(P) \vdash_r \text{post}_D(P))$
by (rel-auto)
also have ... = $\lceil \sigma \oplus_s \Sigma_D \rceil_s \dagger P$
by (metis H1-H2-commute H1-H2-is-rdesign H2-idem Healthy-def' assms)
finally show ?thesis .

qed

Normal designs are closed under substitutions on state variables only

lemma *state-subst-H1-H3-closed* [closure]:

P is N $\implies [\sigma \oplus_s \Sigma_D]_s \dagger P *is N*$

by (metis H1-H2-eq-rdesign H1-H3-impl-H2 Healthy-if assign-d-left-comp assigns-d-H1-H3 seq-r-H1-H3-closed state-subst-design)

lemma *H4-assigns-d*: $\langle \sigma \rangle_D$ *is H4*

proof –

have $(\langle \sigma \rangle_D ;; (\text{false} \vdash_r \text{true}_h)) = (\text{false} \vdash_r \text{true})$

by (simp add: assigns-d-def rdesign-composition assigns-r-feasible)

moreover have ... = true

by (rel-auto)

ultimately show ?thesis

using is-H4-alt-def **by** auto

qed

4.2 Guarded Commands

definition *GrdCommD* :: ' α upred \Rightarrow (' α , ' β) rel-des \Rightarrow (' α , ' β) rel-des ($- \rightarrow_D -$ [85, 86] 85) **where** [upred-defs]: $b \rightarrow_D P = P \triangleleft b \triangleright_D \top_D$

lemma *GrdCommD-ndes-simp* [ndes-simp]:

$b \rightarrow_D (p_1 \vdash_n P_2) = ((b \Rightarrow p_1) \vdash_n ([b]_< \wedge P_2))$

by (rel-auto)

lemma *GrdCommD-H1-H3-closed* [closure]: *P is N* $\implies b \rightarrow_D P *is N*$

by (simp add: GrdCommD-def closure)

lemma *GrdCommD-true* [simp]: $\text{true} \rightarrow_D P = P$
by (rel-auto)

lemma *GrdCommD-false* [simp]: $\text{false} \rightarrow_D P = \top_D$
by (rel-auto)

lemma *GrdCommD-abort* [simp]: $b \rightarrow_D \text{true} = ((\neg b) \vdash_n \text{false})$
by (rel-auto)

4.3 Alternation

consts

ualtern :: ' a set \Rightarrow (' $a \Rightarrow 'p$) \Rightarrow (' $a \Rightarrow 'r$) $\Rightarrow 'r \Rightarrow 'r$
ualtern-list :: (' $a \times 'r$) list $\Rightarrow 'r \Rightarrow 'r$

definition *AlternateD* :: ' a set \Rightarrow (' $a \Rightarrow 'a$ upred) \Rightarrow (' $a \Rightarrow ('\alpha, '\beta)$ rel-des) \Rightarrow (' $\alpha, '\beta)$ rel-des \Rightarrow (' $\alpha, '\beta)$ rel-des **where**

[upred-defs, ndes-simp]:

$\text{AlternateD } A g P Q = (\bigcap i \in A \cdot g(i) \rightarrow_D P(i)) \sqcap (\bigwedge i \in A \cdot \neg g(i)) \rightarrow_D Q$

This lemma shows that our generalised alternation is the same operator as Marcel Oliveira's definition of alternation when the else branch is abort.

lemma *AlternateD-abort-alternate*:

assumes $\bigwedge i. P(i)$ *is N*
shows

```

AlternateD A g P ⊥D =
((V i ∈ A · g(i)) ∧ (A i ∈ A · g(i) ⇒ [preD(P i)]<)) ⊢n (V i ∈ A · [g(i)]< ∧ postD(P i))
proof (cases A = {})
  case False
    have AlternateD A g P ⊥D =
      (A i ∈ A · g(i) →D ([preD(P i)]< ⊢n postD(P i))) □ (A i ∈ A · ¬ g(i)) →D (false ⊢n true)
      by (simp add: AlternateD-def ndesign-form bot-d-ndes-def assms)
    also have ... = ((V i ∈ A · g(i)) ∧ (A i ∈ A · g(i) ⇒ [preD(P i)]<)) ⊢n (V i ∈ A · [g(i)]< ∧ postD(P i))
      by (simp add: ndes-simp False, rel-auto)
    finally show ?thesis by simp
  next
    case True
    thus ?thesis
      by (simp add: AlternateD-def, rel-auto)
  qed

```

```

definition AlternateD-list :: ('α upred × ('α, 'β) rel-des) list ⇒ ('α, 'β) rel-des ⇒ ('α, 'β) rel-des
where
[upred-defs, ndes-simp]:
AlternateD-list xs P =
  AlternateD {0..<length xs} (λ i. map fst xs ! i) (λ i. map snd xs ! i) P

```

adhoc-overloading

```

  ualtern AlternateD and
  ualtern-list AlternateD-list

```

nonterminal gcomm and gcomms

syntax

```

-altind-els :: pttrn ⇒ logic ⇒ logic ⇒ logic ⇒ logic (if - ∈ - · - → - else - fi)
-altind :: pttrn ⇒ logic ⇒ logic ⇒ logic (if - ∈ - · - → - fi)
-gcomm :: logic ⇒ logic ⇒ gcomm (- → - [65, 66] 65)
-gcomm-nil :: gcomm ⇒ gcomms (-)
-gcomm-cons :: gcomm ⇒ gcomms ⇒ gcomms (- | - [60, 61] 61)
-gcomm-show :: logic ⇒ logic
-altgcomm-els :: gcomms ⇒ logic ⇒ logic (if - else - fi)
-altgcomm :: gcomms ⇒ logic (if - fi)

```

translations

```

-altind-els x A g P Q => CONST ualtern A (λ x. g) (λ x. P) Q
-altind-els x A g P Q <= CONST ualtern A (λ x. g) (λ x'. P) Q
-altind x A g P => CONST ualtern A (λ x. g) (λ x. P) (CONST Orderings.top)
-altind x A g P <= CONST ualtern A (λ x. g) (λ x'. P) (CONST Orderings.top)
-altgcomm cs => CONST ualtern-list cs (CONST Orderings.top)
-altgcomm (-gcomm-show cs) <= CONST ualtern-list cs (CONST Orderings.top)
-altgcomm-els cs P => CONST ualtern-list cs P
-altgcomm-els (-gcomm-show cs) P <= CONST ualtern-list cs P

-gcomm g P => (g, P)
-gcomm g P <= -gcomm-show (g, P)
-gcomm-cons c cs => c # cs
-gcomm-cons (-gcomm-show c) (-gcomm-show (d # cs)) <= -gcomm-show (c # d # cs)
-gcomm-nil c => [c]
-gcomm-nil (-gcomm-show c) <= -gcomm-show [c]

```

```

lemma AlternateD-H1-H3-closed [closure]:
  assumes  $\bigwedge i. i \in A \implies P(i)$  is N  $Q(i)$  is N
  shows if  $i \in A \cdot g(i) \rightarrow P(i)$  else  $Q(i)$  fi is N
proof (cases  $A = \{\}$ )
  case True
  then show ?thesis
    by (simp add: AlternateD-def closure false-upred-def assms)
next
  case False
  then show ?thesis
    by (simp add: AlternateD-def closure assms)
qed

```

```

lemma AltD-ndes-simp [ndes-simp]:
  if  $i \in A \cdot g(i) \rightarrow (P_1(i) \vdash_n P_2(i))$  else  $Q_1 \vdash_n Q_2$  fi
  =  $((\bigwedge i \in A \cdot g(i) \Rightarrow P_1(i)) \wedge ((\bigwedge i \in A \cdot \neg g(i) \Rightarrow Q_1)) \vdash_n$ 
     $((\bigvee i \in A \cdot [g(i)]_< \wedge P_2(i)) \vee (\bigwedge i \in A \cdot \neg [g(i)]_<) \wedge Q_2)$ 
proof (cases  $A = \{\}$ )
  case True
  then show ?thesis by (simp add: AlternateD-def)
next
  case False
  then show ?thesis
    by (simp add: ndes-simp, rel-auto)
qed

```

```

declare UINF-upto-expand-first [ndes-simp]
declare UINF-Suc-shift [ndes-simp]
declare USUP-upto-expand-first [ndes-simp]
declare USUP-Suc-shift [ndes-simp]
declare true-upred-def [THEN sym, ndes-simp]

```

```

lemma AlternateD-mono-refine:
  assumes  $\bigwedge i. P(i) \sqsubseteq Q(i) \quad R \sqsubseteq S$ 
  shows (if  $i \in A \cdot g(i) \rightarrow P(i)$  else  $R$  fi)  $\sqsubseteq$  (if  $i \in A \cdot g(i) \rightarrow Q(i)$  else  $S$  fi)
  using assms by (rel-auto, meson)

```

```

lemma Monotonic-AlternateD [closure]:
   $\llbracket \bigwedge i. \text{Monotonic}(F(i)); \text{Monotonic}(G) \rrbracket \implies \text{Monotonic}(\lambda X. \text{if } i \in A \cdot g(i) \rightarrow F(i) \text{ else } G(X) \text{ fi})$ 
  by (rel-auto, meson)

```

```

lemma AlternateD-eq:
  assumes  $A = B \wedge \bigwedge i. i \in A \implies g(i) = h(i) \wedge \bigwedge i. i \in A \implies P(i) = Q(i) \quad R = S$ 
  shows if  $i \in A \cdot g(i) \rightarrow P(i)$  else  $R$  fi = if  $i \in B \cdot h(i) \rightarrow Q(i)$  else  $S$  fi
  by (insert assms, rel-blast)

```

```

lemma AlternateD-empty:
  if  $i \in \{\} \cdot g(i) \rightarrow P(i)$  else  $Q$  fi =  $Q$ 
  by (rel-auto)

```

```

lemma AlternateD-true-singleton:
  assumes  $P$  is N
  shows if true  $\rightarrow P$  fi =  $P$ 
  by (ndes-eq cls: assms)

```

lemma *AlernateD-no-ind*:

assumes $A \neq \{\}$ P is \mathbf{N} Q is \mathbf{N}
shows $\text{if } i \in A \cdot b \rightarrow P \text{ else } Q \text{ fi} = \text{if } b \rightarrow P \text{ else } Q \text{ fi}$
by (*ndes-eq* *cls*: *assms*)

lemma *AlernateD-singleton*:

assumes P k is \mathbf{N} Q is \mathbf{N}
shows $\text{if } i \in \{k\} \cdot b(i) \rightarrow P(i) \text{ else } Q \text{ fi} = \text{if } b(k) \rightarrow P(k) \text{ else } Q \text{ fi}$ (**is** $?lhs = ?rhs$)
proof –

have $?lhs = \text{if } i \in \{k\} \cdot b(k) \rightarrow P(k) \text{ else } Q \text{ fi}$
by (*auto intro*: *AlernateD-eq* *simp add*: *assms* *ndesign-form*)
also have ... = $?rhs$
by (*simp add*: *AlernateD-no-ind* *assms closure*)
finally show $?thesis$.

qed

lemma *AlternateD-commute*:

assumes P is \mathbf{N} Q is \mathbf{N}
shows $\text{if } g_1 \rightarrow P \mid g_2 \rightarrow Q \text{ fi} = \text{if } g_2 \rightarrow Q \mid g_1 \rightarrow P \text{ fi}$
by (*ndes-eq* *cls*: *assms*)

lemma *AlternateD-dcond*:

assumes P is \mathbf{N} Q is \mathbf{N}
shows $\text{if } g \rightarrow P \text{ else } Q \text{ fi} = P \triangleleft g \triangleright_D Q$
by (*ndes-eq* *cls*: *assms*)

lemma *AlternateD-cover*:

assumes P is \mathbf{N} Q is \mathbf{N}
shows $\text{if } g \rightarrow P \text{ else } Q \text{ fi} = \text{if } g \rightarrow P \mid (\neg g) \rightarrow Q \text{ fi}$
by (*ndes-eq* *cls*: *assms*)

lemma *UINF-ndes-expand*:

assumes $\bigwedge i. i \in A \implies P(i)$ is \mathbf{N}
shows $(\bigcap i \in A \cdot [\text{pred}_D(P(i))]_< \vdash_n \text{post}_D(P(i))) = (\bigcap i \in A \cdot P(i))$
by (*rule UINF-cong*, *simp add*: *assms* *ndesign-form*)

lemma *USUP-ndes-expand*:

assumes $\bigwedge i. i \in A \implies P(i)$ is \mathbf{N}
shows $(\bigcup i \in A \cdot [\text{pred}_D(P(i))]_< \vdash_n \text{post}_D(P(i))) = (\bigcup i \in A \cdot P(i))$
by (*rule USUP-cong*, *simp add*: *assms* *ndesign-form*)

lemma *AlternateD-ndes-expand*:

assumes $\bigwedge i. i \in A \implies P(i)$ is \mathbf{N} Q is \mathbf{N}
shows $\text{if } i \in A \cdot g(i) \rightarrow P(i) \text{ else } Q \text{ fi} =$
 $\quad \text{if } i \in A \cdot g(i) \rightarrow ([\text{pred}_D(P(i))]_< \vdash_n \text{post}_D(P(i))) \text{ else } [\text{pred}_D(Q)]_< \vdash_n \text{post}_D(Q) \text{ fi}$
apply (*simp add*: *AlternateD-def*)
apply (*subst UINF-ndes-expand*[*THEN sym*])
apply (*simp add*: *assms closure*)
apply (*ndes-simp* *cls*: *assms*)
apply (*rel-auto*)
done

lemma *AlternateD-ndes-expand'*:

assumes $\bigwedge i. i \in A \implies P(i)$ is \mathbf{N}

```

shows if  $i \in A \cdot g(i) \rightarrow P(i)$  fi = if  $i \in A \cdot g(i) \rightarrow ([\text{pre}_D(P(i))]_< \vdash_n \text{post}_D(P(i)))$  fi
apply (simp add: AlternateD-def)
apply (subst UINF-ndes-expand[THEN sym])
apply (simp add: assms closure)
apply (ndes-simp cls: assms)
apply (rel-auto)
done

```

lemma ndesign-ind-form:

```

assumes  $\bigwedge i. P(i)$  is N
shows  $(\lambda i. [\text{pre}_D(P(i))]_< \vdash_n \text{post}_D(P(i))) = P$ 
by (simp add: assms ndesign-form)

```

lemma AlternateD-insert:

```

assumes  $\bigwedge i. i \in (\text{insert } x A) \implies P(i)$  is N Q is N
shows if  $i \in (\text{insert } x A) \cdot g(i) \rightarrow P(i)$  else Q fi =
    if  $g(x) \rightarrow P(x)$  |
    ( $\bigvee i \in A \cdot g(i)$ )  $\rightarrow$  if  $i \in A \cdot g(i) \rightarrow P(i)$  fi
    else Q
    fi (is ?lhs = ?rhs)

```

proof –

```

have ?lhs = if  $i \in (\text{insert } x A) \cdot g(i) \rightarrow ([\text{pre}_D(P(i))]_< \vdash_n \text{post}_D(P(i)))$  else  $([\text{pre}_D(Q)]_< \vdash_n \text{post}_D(Q))$  fi
using AlternateD-ndes-expand assms(1) assms(2) by blast
also
have ... =
    if  $g(x) \rightarrow ([\text{pre}_D(P(x))]_< \vdash_n \text{post}_D(P(x)))$  |
    ( $\bigvee i \in A \cdot g(i)$ )  $\rightarrow$  if  $i \in A \cdot g(i) \rightarrow [\text{pre}_D(P(i))]_< \vdash_n \text{post}_D(P(i))$  fi
    else  $[\text{pre}_D(Q)]_< \vdash_n \text{post}_D(Q)$ 
    fi
by (ndes-simp cls:assms, rel-auto)
also have ... = ?rhs
by (simp add: AlternateD-ndes-expand' ndesign-form assms)
finally show ?thesis .

```

qed

4.4 Iteration

theorem ndesign-iteration-wp [ndes-simp]:

$$(p \vdash_n Q) ;; (p \vdash_n Q) \wedge n = ((\bigwedge i \in \{0..n\} \cdot (Q \wedge i) \text{ wp } p) \vdash_n Q \wedge \text{Suc } n)$$

proof (induct n)

case 0

then show ?case by (rel-auto)

next

case (Suc n) note hyp = this

have $(p \vdash_n Q) ;; (p \vdash_n Q) \wedge \text{Suc } n = (p \vdash_n Q) ;; (p \vdash_n Q) ;; (p \vdash_n Q) \wedge n$

by (simp add: upred-semiring.power-Suc)

also have ... = $(p \vdash_n Q) ;; ((\bigsqcup i \in \{0..n\} \cdot Q \wedge i \text{ wp } p) \vdash_n Q \wedge \text{Suc } n)$

by (simp add: hyp)

also have ... = $(p \wedge Q \text{ wp } (\bigsqcup i \in \{0..n\} \cdot Q \wedge i \text{ wp } p)) \vdash_n (Q ;; Q) ;; Q \wedge n$

by (simp add: upred-semiring.power-Suc ndesign-composition-wp seqr-assoc)

also have ... = $(p \wedge (\bigsqcup i \in \{0..n\} \cdot Q \wedge \text{Suc } i \text{ wp } p)) \vdash_n (Q ;; Q) ;; Q \wedge n$

by (simp add: upred-semiring.power-Suc wp)

also have ... = $(p \wedge (\bigsqcup i \in \{0..n\}. Q \wedge \text{Suc } i \text{ wp } p)) \vdash_n (Q ;; Q) ;; Q \wedge n$

by (simp add: USUP-as-Inf-image)

also have ... = $(p \wedge (\bigsqcup i \in \{1..n\}. Q \wedge i \text{ wp } p)) \vdash_n (Q ;; Q) ;; Q \wedge n$

```

by (metis (no-types, lifting) One-nat-def image-Suc-atLeastAtMost image-cong image-image)
also have ... = ( $Q \wedge 0 \text{ wp } p \wedge (\bigsqcup i \in \{1..Suc n\}. Q \wedge i \text{ wp } p)) \vdash_n (Q ;; Q) ;; Q \wedge n$ 
  by (simp add: wp)
also have ... = (( $\bigsqcup i \in \{0..Suc n\}. Q \wedge i \text{ wp } p)) \vdash_n (Q ;; Q) ;; Q \wedge n$ 
  by (simp add: Iic-Suc-eq-insert-0 atLeast0AtMost conj-upred-def image-Suc-atMost)
also have ... = ( $\bigsqcup i \in \{0..Suc n\} \cdot Q \wedge i \text{ wp } p) \vdash_n Q \wedge Suc (Suc n)$ 
  by (simp add: upred-semiring.power-Suc USUP-as-Inf-image upred-semiring.mult-assoc)
finally show ?case .
qed

```

Overloadable Syntax

consts

```

uiterate      :: 'a set  $\Rightarrow$  ('a  $\Rightarrow$  'p)  $\Rightarrow$  ('a  $\Rightarrow$  'r)  $\Rightarrow$  'r
uiterate-list :: ('a  $\times$  'r) list  $\Rightarrow$  'r

```

syntax

```

-iterind      :: pttrn  $\Rightarrow$  logic  $\Rightarrow$  logic  $\Rightarrow$  logic (do - $\in$ - · -  $\rightarrow$  - od)
-itergcomm    :: gcomm  $\Rightarrow$  logic (do - od)

```

translations

```

-iterind x A g P => CONST uiterate A ( $\lambda x. g$ ) ( $\lambda x. P$ )
-iterind x A g P <= CONST uiterate A ( $\lambda x. g$ ) ( $\lambda x'. P$ )
-itergcomm cs => CONST uiterate-list cs
-itergcomm (-gcomm-show cs) <= CONST uiterate-list cs

```

definition IterateD :: 'a set \Rightarrow ('a \Rightarrow ' α upred) \Rightarrow ('a \Rightarrow ' α hrel-des) \Rightarrow ' α hrel-des **where**
[upred-defs, ndes-simp]:

IterateD A g P = ($\mu_{NDES} X \cdot \text{if } i \in A \cdot g(i) \rightarrow P(i) ;; X \text{ else } II_D \text{ fi}$)

definition IterateD-list :: (' α upred \times ' α hrel-des) list \Rightarrow ' α hrel-des **where**
[upred-defs, ndes-simp]:

IterateD-list xs = IterateD {0..<length xs} ($\lambda i. \text{fst} (\text{nth} xs i)) (\lambda i. \text{snd} (\text{nth} xs i))$)

adhoc-overloading

```

uiterate IterateD and
uiterate-list IterateD-list

```

lemma IterateD-H1-H3-closed [closure]:

```

assumes  $\bigwedge i. i \in A \implies P(i)$  is N
shows do  $i \in A \cdot g(i) \rightarrow P(i)$  od is N
proof (cases A = {})
  case True
  then show ?thesis
  by (simp add: IterateD-def closure assms)
next
  case False
  then show ?thesis
  by (simp add: IterateD-def closure assms)
qed

```

lemma IterateD-empty:

```

do  $i \in \{\} \cdot g(i) \rightarrow P(i)$  od =  $II_D$ 
by (simp add: IterateD-def AlternateD-empty normal-design-theory-continuous.LFP-const skip-d-is-H1-H3)

```

lemma IterateD-list-single-expand:

```

do b → P od = (μNDES X · if b → P ;; X else IID fi)
oops

lemma IterateD-singleton:
assumes P is N
shows do b → P od = do i ∈ {0} · b → P od
apply (simp add: IterateD-list-def IterateD-def AlernameD-singleton assms)
apply (subst AlernameD-singleton)
apply (simp)
apply (rel-auto)
oops

lemma IterateD-mono-refine:
assumes
  ⋀ i. P i is N ⋀ i. Q i is N
  ⋀ i. P i ⊑ Q i
shows (do i ∈ A · g(i) → P(i) od) ⊑ (do i ∈ A · g(i) → Q(i) od)
apply (simp add: IterateD-def normal-design-theory-continuous.utp-lfp-def)
apply (subst normal-design-theory-continuous.utp-lfp-def)
apply (simp-all add: closure assms)
apply (subst normal-design-theory-continuous.utp-lfp-def)
apply (simp-all add: closure assms)
apply (simp add: ndes-hcond-def)
apply (rule gfp-mono)
apply (rule AlernameD-mono-refine)
apply (simp-all add: closure seqr-mono assms)
done

lemma IterateD-single-refine:
assumes
  P is N Q is N P ⊑ Q
shows (do g → P od) ⊑ (do g → Q od)
oops

lemma IterateD-refine-intro:
fixes V :: (nat, 'a) uexpr
assumes vwb-lens w
shows
  I ⊢n (w:[I ∧ ¬(∨ i ∈ A · g(i))]>) ⊑
  do i ∈ A · g(i) → (I ∧ g(i)) ⊢n (w:[I]> ∧ [V]> <u [V]<]) od
proof (cases A = {})
  case True
  with assms show ?thesis
    by (simp add: IterateD-empty, rel-auto)
next
  case False
  then show ?thesis
  using assms
    apply (simp add: IterateD-def)
    apply (rule ndesign-mu-wf-refine-intro[where e=V and R={(x, y). x < y}])
    apply (simp-all add: wf closure)
    apply (simp add: ndes-simp unrest)
    apply (rule ndesign-refine-intro)
    apply (rel-auto)
    apply (rel-auto)

```

```

apply (metis mwb-lens.put-put vwb-lens-mwb)
done
qed

lemma IterateD-single-refine-intro:
  fixes V :: (nat, 'a) uexpr
  assumes vwb-lens w
  shows
     $I \vdash_n (w : [I \wedge \neg g]_>) \sqsubseteq$ 
     $\text{do } g \rightarrow ((I \wedge g) \vdash_n (w : [I]_> \wedge [V]_> <_u [V]_<)) \text{ od}$ 
  apply (rule order-trans)
  defer
  apply (rule IterateD-refine-intro[of w {0} λ i. g I V, simplified, OF assms(1)])
  oops

```

4.5 Let and Local Variables

definition *LetD* :: ('a, 'α) uexpr \Rightarrow ('a \Rightarrow 'α hrel-des) \Rightarrow 'α hrel-des **where**
 $[upred-defs]: LetD v P = (P x)[x \rightarrow [v]_{D<}]$

syntax

-*LetD* :: [*letbinds*, 'a] \Rightarrow 'a ((*letD (-)/ in (-)*) [0, 10] 10)

translations

-*LetD (-binds b bs) e* \Rightarrow -*LetD b (-LetD bs e)*
letD x = a in e \Rightarrow CONST *LetD a (λx. e)*

lemma *LetD-ndes-simp [ndes-simp]*:

LetD v (λ x. p(x) ⊢_n Q(x)) = (p(x)[x → v]) ⊢_n (Q(x)[x → [v]_<])
by (*rel-auto*)

lemma *LetD-H1-H3-closed [closure]*:

$\llbracket \bigwedge x. P(x) \text{ is N} \rrbracket \implies LetD v P \text{ is N}$
by (*rel-auto*)

4.6 Deep Local Variables

definition *des-local-state* ::

'a::countable itself \Rightarrow ((nat, 's) local-scheme des, 's, nat, 'a::countable) local-prim **where**
des-local-state t = () sstate = Σ_D , sassigns = assigns-d, inj-local = nat-inj-univ ()

syntax

-*des-local-state-type* :: type \Rightarrow logic ($\mathcal{L}_D[-]$)
-*des-var-scope-type* :: id \Rightarrow type \Rightarrow logic \Rightarrow logic (*varD - :: - · - [0, 0, 10] 10*)

translations

$\mathcal{L}_D['a] == CONST des-local-state TYPE('a)$
-*des-var-scope-type x t P* $=>$ -*var-scope-type (-des-local-state-type t) x t P*
varD x :: 'a · P <= var[$\mathcal{L}_D['a]$] x · P

lemma *get-rel-local [lens-defs]*:

gets _{$\mathcal{L}_D['a::countable]$} = get _{$\Sigma_D$}
by (*simp add: des-local-state-def*)

lemma *des-local-state [simp]*: *utp-local-state $\mathcal{L}_D['a::countable]$*

by (*unfold-locales, simp-all add: upred-defs assigns-comp des-local-state-def, rel-auto*)

(*metis local.cases-scheme*)

lemma *sassigns-des-state* [*simp*]: $\langle \sigma \rangle_{\mathcal{L}_D['a::countable]} = \langle \sigma \rangle_D$
by (*simp add: des-local-state-def*)

lemma *des-var-open-H1-H3-closed* [*closure*]:
open[$\mathcal{L}_D['a::countable]$] is \mathbf{N}
by (*simp add: utp-local-state.var-open-def closure*)

lemma *des-var-close-H1-H3-closed* [*closure*]:
close[$\mathcal{L}_D['a::countable]$] is \mathbf{N}
by (*simp add: utp-local-state.var-close-def closure*)

lemma *unrest-ok-vtop-des* [*unrest*]: $ok \notin top[\mathcal{L}_D['a::countable]]$
by (*simp add: utp-local-state.top-var-def, simp add: des-local-state-def unrest*)

lemma *msubst-H1-H3-closed* [*closure*]:
 $\llbracket \$ok \notin v; out\alpha \notin v; (\bigwedge x. P x \text{ is } \mathbf{N}) \rrbracket \implies (P(x) \llbracket x \rightarrow v \rrbracket) \text{ is } \mathbf{N}$
by (*rel-auto, metis+*)

lemma *var-block-H1-H3-closed* [*closure*]:
 $(\bigwedge x. P x \text{ is } \mathbf{N}) \implies \mathcal{V}[\mathcal{L}_D['a::countable], P] \text{ is } \mathbf{N}$
by (*simp add: utp-local-state.var-scope-def closure unrest*)

lemma *inj-local-rel* [*simp*]: *inj-local R_l* = $\mathcal{U}_{\mathbf{N}}$
by (*simp add: rel-local-state-def*)

lemma *sstate-rel* [*simp*]: $\mathbf{s}_{R_l} = 1_L$
by (*simp add: rel-local-state-def*)

lemma *inj-local-des* [*simp*]:
inj-local L_D['a::countable] = $\mathcal{U}_{\mathbf{N}}$
by (*simp add: des-local-state-def*)

lemma *sstate-des* [*simp*]: $\mathbf{s}_{\mathcal{L}_D['a::countable]} = \Sigma_D$
by (*simp add: des-local-state-def*)

lemma *ndesign-msubst-top* [*usubst*]:
 $((p x \vdash_n Q x) \llbracket x \rightarrow \lceil top[\mathcal{L}_D['a::countable]] \rceil \rrbracket) \llbracket < \rrbracket = ((p x) \llbracket x \rightarrow top[R_l['a]] \rrbracket \vdash_n (Q x) \llbracket x \rightarrow \lceil top[R_l['a]] \rceil \rrbracket \llbracket < \rrbracket)$
by (*rel-auto'*)

First attempt at a law for expanding design variable blocks. Far from adequate at the moment though.

lemma *ndesign-local-expand-1* [*ndes-simp*]:
 $(var_D x :: 'a :: countable \cdot p(x) \vdash_n Q(x)) =$
 $(\bigcup v \cdot (p x) \llbracket x \rightarrow top[R_l] \rrbracket \llbracket \& store \hat{\wedge}_u \langle \ll v \gg \rangle / store \rrbracket) \vdash_n$
 $(\bigcap v \cdot store := \& store \hat{\wedge}_u \langle \ll v \gg \rangle ;; (Q x) \llbracket x \rightarrow \lceil top[R_l] \rceil \rrbracket \llbracket < \rrbracket ;; store := (front_u(\& store) \triangleleft 0 \triangleleft_u \#_u(\& store) \triangleright \& store))$
apply (*simp add: utp-local-state.var-scope-def utp-local-state.var-open-def utp-local-state.var-close-def seq-UINF-distr' usubst*)
apply (*simp add: ndes-simp wp unrest*)
apply (*rel-auto'*)
done

end

5 Design Weakest Preconditions

```

theory utp-des-wp
imports utp-des-prog
begin

definition wp-design :: ('α, 'β) rel-des ⇒ 'β cond ⇒ 'α cond (infix wp_D 60) where
[upred-defs]: Q wp_D r = ([preD(Q) ;; true :: ('α, 'β) urel]_< ∧ (postD(Q) wp r))

If two normal designs have the same weakest precondition for any given postcondition, then the
two designs are equivalent.

theorem wpd-eq-intro: [ A r. (p1 ⊢_n Q1) wp_D r = (p2 ⊢_n Q2) wp_D r ] ⇒ (p1 ⊢_n Q1) = (p2 ⊢_n Q2)
apply (rel-simp robust; metis curry-conv)
done

theorem wpd-H3-eq-intro: [ P is H1-H3; Q is H1-H3; A r. P wp_D r = Q wp_D r ] ⇒ P = Q
by (metis H1-H3-commute H1-H3-is-normal-design H3-idem Healthy-def' wpd-eq-intro)

lemma wp-assigns-d [wp]: ⟨σ⟩_D wp_D r = σ † r
by (rel-auto)

theorem rdesign-wp [wp]:
([p]_< ⊢_r Q) wp_D r = (p ∧ Q wp r)
by (rel-auto)

theorem ndesign-wp [wp]:
(p ⊢_n Q) wp_D r = (p ∧ Q wp r)
by (simp add: ndesign-def rdesign-wp)

theorem wpd-seq-r:
fixes Q1 Q2 :: 'α hrel
shows (([p1]_< ⊢_r Q1) ;; ([p2]_< ⊢_r Q2)) wp_D r = ([p1]_< ⊢_r Q1) wp_D (([p2]_< ⊢_r Q2) wp_D r)
apply (simp add: wp)
apply (subst rdesign-composition-wp)
apply (simp only: wp)
apply (rel-auto)
done

theorem wpnd-seq-r [wp]:
fixes Q1 Q2 :: 'α hrel
shows ((p1 ⊢_n Q1) ;; (p2 ⊢_n Q2)) wp_D r = (p1 ⊢_n Q1) wp_D ((p2 ⊢_n Q2) wp_D r)
by (simp add: ndesign-def wpd-seq-r)

theorem wpd-seq-r-H1-H3 [wp]:
fixes P Q :: 'α hrel-des
assumes P is N Q is N
shows (P ;; Q) wp_D r = P wp_D (Q wp_D r)
by (metis H1-H3-commute H1-H3-is-normal-design H1-idem Healthy-def' assms(1) assms(2) wpnd-seq-r)

end

```

6 Refinement Calculus

```

theory utp-des-refcalc
imports utp-des-prog

```

begin

definition *des-spec* :: ('*a* \Rightarrow '*a*) \Rightarrow '*a* *upred* \Rightarrow ('*a* \Rightarrow '*a* *upred*) \Rightarrow '*a* *hrel-des* **where**
[*upred-defs*]: *des-spec* *x p q* = (\bigsqcup *v* \cdot ((*p* \wedge &*v* $=_u \ll v \gg) $\vdash_n x : [\lceil q(v) \rceil_{>}]$))$

syntax

-*init-var* :: logic
-*des-spec* :: *salpha* \Rightarrow logic \Rightarrow logic \Rightarrow logic (-:[-,/ -]_D [99,0,0] 100)
-*des-log-const* :: *pttrn* \Rightarrow logic \Rightarrow logic (con_D - - - [0, 10] 10)

translations

-*des-spec* *x p q* => CONST *des-spec* *x p* (λ -*init-var.* *q*)
-*des-spec* (-*salphaset* (-*salphamk* *x*)) *p q* <= CONST *des-spec* *x p* (λ *iv.* *q*)
-*des-log-const* *x P* => \bigsqcup *x* \cdot *P*

parse-translation «

let
 fun *init-var-tr* [] = Syntax.free *iv*
 | *init-var-tr* - = raise Match;
in
 [(@{syntax-const -*init-var*}, K *init-var-tr*)]
end
»

abbreviation *choose_D* *x* \equiv {&*x*}:[true,true]_D

lemma *des-spec-simple-def*:
*x:[pre,post]*_D = (*pre* $\vdash_n x : [\lceil post \rceil_{>}]$)
by (rel-auto)

lemma *des-spec-abort*:
*x:[false,post]*_D = \perp_D
by (rel-auto)

lemma *des-spec-skip*: \emptyset :[true,true]_D = $I\!I_D$
by (rel-auto)

lemma *des-spec-strengthen-post*:
assumes ‘*post*’ \Rightarrow *post*‘
shows *w:[pre, post]*_D \sqsubseteq *w:[pre, post']*_D
using assms by (rel-auto)

lemma *des-spec-weaken-pre*:
assumes ‘*pre* \Rightarrow *pre*’
shows *w:[pre, post]*_D \sqsubseteq *w:[pre', post]*_D
using assms by (rel-auto)

lemma *des-spec-refine-skip*:
assumes *vwb-lens w* ‘*pre* \Rightarrow *post*’
shows *w:[pre, post]*_D \sqsubseteq $I\!I_D$
using assms by (rel-auto)

lemma *rc-iter*:
fixes *V* :: (nat, '*a*) uexpr
assumes *vwb-lens w*

```

shows w:[ivr, ivr  $\wedge \neg (\bigvee_{i \in A} g(i))]$ _D
     $\sqsubseteq (do\ i \in A \cdot g(i) \rightarrow \bigsqcup iv \cdot w:[ivr \wedge g(i) \wedge \ll iv \gg =_u \& v, ivr \wedge (V <_u V[\ll iv \gg /v])]_D\ od)$  (is
?lhs  $\sqsubseteq$  ?rhs)
apply (rule order-trans)
defer
apply (simp add: des-spec-simple-def)
apply (rule IteratedD-refine-intro[of - - - V])
apply (simp add: assms)
apply (rule IteratedD-mono-refine)
apply (simp-all add: ndes-simp closure)
apply (rel-auto)
using assms
apply (rel-auto)
done

end

```

7 Theory of Invariants

```

theory utp-des-invariants
  imports utp-des-theory
begin

```

The theory of invariants formalises operation and state invariants based on the theory of designs. For more information, please see the associated paper [1, Section 4].

7.1 Operation Invariants

```
definition OIH( $\psi$ )( $D$ ) = ( $D \wedge (\$ok \wedge \neg D^f \Rightarrow \psi)$ )
```

```
declare OIH-def [upred-defs]
```

```
lemma OIH-design:
```

```
  assumes  $D$  is H1-H2
```

```
  shows OIH( $\psi$ )( $D$ ) = (( $\neg D^f$ )  $\vdash (D^t \wedge \psi)$ )
```

```
proof –
```

```
  have OIH( $\psi$ )( $D$ ) = ((( $\neg D^f$ )  $\vdash D^t$ )  $\wedge (\$ok \wedge \neg D^f \Rightarrow \psi)$ )
```

```
    by (metis H1-H2-commute H1-H2-is-design H1-idem Healthy-def' OIH-def assms)
```

```
  also have ... = (( $\$ok \wedge \neg D^f \Rightarrow \$ok'$ )  $\wedge D^t)$   $\wedge (\$ok \wedge \neg D^f \Rightarrow \psi))$ 
```

```
    by (simp add: design-def)
```

```
  also have ... = (( $\neg D^f$ )  $\vdash (D^t \wedge \psi)$ )
```

```
    by (pred-auto)
```

```
  finally show ?thesis .
```

```
qed
```

```
lemma OIH-idem:
```

```
  assumes  $D$  is H1-H2  $\$ok' \not\models \psi$ 
```

```
  shows OIH( $\psi$ )(OIH( $\psi$ )( $D$ )) = OIH( $\psi$ )( $D$ )
```

```
  using assms
```

```
  by (simp add: OIH-design design-is-H1-H2 unrest) (simp add: design-def usubst, rel-auto)
```

```
lemma OIH-of-design:
```

```
   $\$ok' \not\models P \implies OIH(\psi)(P \vdash Q) = (P \vdash (Q \wedge \psi))$ 
```

```
  by (simp add: OIH-def design-def usubst, rel-auto)
```

7.2 State Invariants

definition $ISH(\psi)(D) = (D \vee (\$ok \wedge \neg D^f \wedge [\psi]_< \Rightarrow \$ok' \wedge D^t))$

declare $ISH\text{-def}$ [*upred-defs*]

lemma $ISH\text{-design}$: $ISH(\psi)(D) = (\neg D^f \wedge [\psi]_<) \vdash D^t$

by (*rel-auto*, *metis+*)

lemma $ISH\text{-idem}$: $ISH(\psi)(ISH(\psi)(D)) = ISH(\psi)(D)$

by (*simp add*: $ISH\text{-design}$ *usubst* $design\text{-def}$, *pred-auto*)

lemma $ISH\text{-of-design}$:

$\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \implies ISH(\psi)(P \vdash Q) = ((P \wedge [\psi]_<) \vdash Q)$

by (*simp add*: $ISH\text{-design}$ *design-def* *usubst*, *pred-auto*)

definition $OSH(\psi)(D) = (D \wedge (\$ok \wedge \neg D^f \wedge [\psi]_< \Rightarrow [\psi]_>))$

declare $OSH\text{-def}$ [*upred-defs*]

lemma $OSH\text{-as-OIH}$:

$OSH(\psi)(D) = OIH([\psi]_< \Rightarrow [\psi]_>)(D)$

by (*simp add*: $OSH\text{-def}$ *OIH-def*, *pred-auto*)

lemma $OSH\text{-design}$:

assumes D is H1-H2

shows $OSH(\psi)(D) = ((\neg D^f) \vdash (D^t \wedge ([\psi]_< \Rightarrow [\psi]_>)))$

by (*simp add*: $OSH\text{-as-OIH}$ *OIH-design assms*)

lemma $OSH\text{-of-design}$:

$\llbracket \$ok' \# P; \$ok' \# Q \rrbracket \implies OSH(\psi)(P \vdash Q) = (P \vdash (Q \wedge ([\psi]_< \Rightarrow [\psi]_>)))$

by (*simp add*: $OSH\text{-design}$ *design-is-H1-H2 unrest*, *simp add*: *design-def* *usubst*, *pred-auto*)

definition $SIH(\psi) = ISH(\psi) \circ OSH(\psi)$

declare $SIH\text{-def}$ [*upred-defs*]

lemma $SIH\text{-of-design}$:

$\llbracket \$ok' \# P; \$ok' \# Q; ok \# \psi \rrbracket \implies SIH(\psi)(P \vdash Q) = ((P \wedge [\psi]_<) \vdash (Q \wedge [\psi]_>))$

by (*simp add*: $SIH\text{-def}$ *OSH-of-design* *ISH-of-design unrest*, *pred-auto*)

end

8 Meta Theory for UTP Designs

theory *utp-designs*

imports

utp-des-core

utp-des-healths

utp-des-theory

utp-des-tactics

utp-des-prog

utp-des-wp

utp-des-refcalc

utp-des-invariants

begin end

References

- [1] A. Cavalcanti, A. Wellings, and J. Woodcock. The Safety-Critical Java memory model formalised. *Formal Aspects of Computing*, 25(1):37–57, 2012.
- [2] A. Cavalcanti and J. Woodcock. A tutorial introduction to designs in unifying theories of programming. In *Proc. 4th Intl. Conf. on Integrated Formal Methods (IFM)*, volume 2999 of *LNCS*, pages 40–66. Springer, 2004.
- [3] W. Guttman and B. Möller. Normal design algebra. *Journal of Logic and Algebraic Programming*, 79(2):144–173, February 2010.
- [4] T. Hoare and J. He. *Unifying Theories of Programming*. Prentice-Hall, 1998.