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Article:

Clegg, A orcid.org/0000-0001-5972-1097 and Hassan-Smith, Z (2018) Frailty and the endocrine system. *The Lancet Diabetes and Endocrinology*, 6 (9). pp. 743-752. ISSN 2213-8587

[https://doi.org/10.1016/S2213-8587\(18\)30110-4](https://doi.org/10.1016/S2213-8587(18)30110-4)

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1 **Title**

2 Frailty and the endocrine system

3

4 **Authors**

5 Dr Andrew Clegg MD (corresponding author)

6 Senior Lecturer & Honorary Consultant Geriatrician

7 Academic Unit of Elderly Care & Rehabilitation

8 University of Leeds

9 Bradford Teaching Hospitals NHS Foundation Trust

10 Bradford

11 UK

12 a.p.clegg@leeds.ac.uk

13 01274 383440

14

15 Prof Zaki Hassan-Smith PhD

16 Consultant Endocrinologist & Honorary Professor

17 Department of Endocrinology & Faculty of Health and Life Sciences

18 University Hospitals Birmingham NHS Foundation Trust & University of

19 Coventry

20 Birmingham

21 UK

22 z.hassansmith@bham.ac.uk

23 0121 415 8811

24

25 **Abstract**

26 Frailty is a condition characterised by loss of biological reserves, failure of
27 homeostatic mechanisms and vulnerability to adverse outcomes. The
28 endocrine system is considered of particular importance in frailty, including
29 through complex inter-relationships with the brain, immune system and
30 skeletal muscle. This review summarises evidence indicating a key role for
31 the hypothalamo-pituitary (HP) axis in the pathogenesis of frailty through
32 aberrant regulation of glucocorticoid secretion, insulin-like growth factor
33 signalling and androgen production. Alongside the HP axis, available
34 evidence indicates a potential role for vitamin D and insulin resistance. Key
35 convergent pathological effects include loss of muscle mass and strength,
36 with consequent impact on mobility and activities of daily living. Future
37 translational research should focus on better understanding of endocrine
38 mechanisms to identify potential biomarkers of the condition, modifiable
39 targets for treatment, and novel pharmacological agents targeted at the
40 endocrine components of frailty.

41

42

43 **Background**

44 Frailty is a condition characterised by loss of biological reserves across
45 multiple organ systems, failure of homeostatic mechanisms, and vulnerability
46 to physiological decompensation after minor stressor events (1). Older people
47 living with frailty are at increased risk of a range of adverse outcomes which
48 have considerable importance from an individual, health service and wider
49 societal perspective (1).

50

51 Frailty is closely linked to the ageing process, which is considered to result
52 from the accumulation of damage caused by multiple mechanisms at a
53 molecular and cellular level, leading to gradual physiological decline.
54 However, in frailty, the decline appears to be accelerated, and accumulates
55 across multiple inter-related physiological systems (2, 3). The endocrine
56 system is considered one of the key systems in frailty, including through
57 complex inter-relationships with the brain, immune system and skeletal
58 muscle. Alongside these key physiological systems, the cardiovascular,
59 respiratory, renal and haematological systems are also considered of key
60 importance in frailty. Evidence indicates that the absolute number of impaired
61 physiological systems is more predictive of frailty than impairments in any
62 particular system (4). This supports the concept that frailty becomes evident
63 when physiological decline reaches a cumulative, critical level.

64

65 **Epidemiology of frailty**

66 Evidence indicates that frailty affects around 10% of people aged 65 and over,
67 and between a quarter and a half of people aged over 85 (5). Frailty is

68 characterised by sudden, disproportionate changes in health following
69 seemingly minor stressor events, such as an infection, new medication or
70 minor surgical procedure, followed by an extended period of recovery and
71 frequent failure to return to previous level of function (figure 1). Consistent
72 associations between frailty and important adverse outcomes have been
73 reported in a number of large epidemiological studies, including falls (adjusted
74 3 year hazard ratio, HR, 1.23, 95% confidence interval, CI, 1.00 to 1.68);
75 disability (adjusted 3 year HR 1.70, 95% CI 1.47 to 2.17); delirium (adjusted
76 odds ratio, OR, 8.5, 95% CI 4.8 to 14.8); nursing home admission (OR 2.60,
77 95% CI 1.36 to 4.96); hospitalisation (3 year HR 1.27, 95% CI 1.11 to 1.46)
78 and mortality (OR 3.69, 95% CI 2.26 to 6.02) (6-8).

79

80 These frailty prevalence estimates and independent association with adverse
81 outcomes have profound implications for the planning and delivery of health
82 and social care systems internationally. However, the prevalence estimates
83 also identify that many older people do not have frailty, indicating that frailty is
84 not an inevitable consequence of ageing. Indeed, there is evidence that frailty
85 may be modifiable and it is considered to have greater potential for
86 reversibility than disability (9, 10). Better understanding of the
87 pathophysiology of frailty is likely to help in the development and targeting of
88 novel approaches to prevention and treatment. Greater insight into the role of
89 the endocrine system in the pathophysiology of frailty is likely to be especially
90 important because of the possibility of identifying potentially modifiable
91 targets.

92

93 **Frailty models**

94 The phenotype model and the cumulative deficit model are the two best-
95 established international frailty models (7, 11). Both have been extensively
96 validated in large epidemiological studies, and demonstrate robust
97 associations with a range of adverse outcomes.

98

99 The phenotype model identifies frailty on the basis of five physical
100 characteristics: weight loss; exhaustion; low energy expenditure; slow gait
101 speed; and reduced grip strength (7). People with no characteristics are
102 identified as fit; those with one or two characteristics as pre-frail; people with
103 three or more characteristics are identified as frail.

104

105 The cumulative deficit model identifies frailty on the basis of a range of 'deficit'
106 variables, which can be clinical signs, symptoms, diseases, disabilities and
107 abnormal laboratory test values (11). The model enables calculation of a
108 frailty index (FI) score as an equally weighted proportion of the number of
109 deficits present in an individual to the total possible in the model (e.g. if 9/36
110 deficits are present, the FI score = 0.25). The model is useful as it is very
111 flexible - it has been established that a minimum of 30 deficits are required for
112 a model to be valid (12).

113

114 There is an established association between frailty and cognitive impairment.
115 Although measures of cognition are not explicitly included in the phenotype
116 model, the individual components are risk factors for cognitive decline and
117 dementia (13). The cumulative deficit model incorporates measures of

118 cognition as key variables, and a prospective independent association
119 between frailty and future dementia has been reported using this approach
120 (14).

121

122 **Sarcopenia and frailty**

123 Most older people with frailty also have sarcopenia, which is a syndrome
124 characterised by the progressive and generalised loss of skeletal muscle
125 mass and strength with age (15, 16). Sarcopenia is an especially important
126 component of frailty because loss of muscle mass and strength can lead to
127 loss of physical function and independence as key adverse outcomes (16).

128

129 Muscle power, the product of muscle torque and movement, appears to be
130 more closely associated with functional physical performance than static
131 muscle strength and declines more rapidly with age (17). Muscle power may
132 therefore have greater utility as a measure of physiological impairment and
133 functional deficit. As muscle strength and power do not depend entirely on
134 muscle mass, and the relationship is non-linear, recent consensus criteria
135 recommend using the presence of low muscle mass and either low muscle
136 strength or low physical performance to diagnose sarcopenia (16).

137 Observational studies have reported losses of muscle strength and power of
138 between 1-3% per annum in older people, with even greater losses observed
139 in the oldest old (18).

140

141 **Identifying frailty in clinical practice**

142 A range of simple frailty tools and questionnaires are available and validated

143 for use in clinical practice. The 2016 UK National Institute for Health and Care
144 Excellence (NICE) guideline on the clinical assessment and management of
145 multimorbidity recommends using one of: gait speed <0.8 m/s; timed up and
146 go test score <12 seconds; self-reported health status score <6; PRISMA-7
147 questionnaire > 3; self-reported physical activity scale in the elderly (PASE)
148 score <56 for men or < 59 for women to identify the possible presence of
149 frailty (box 1) (19). The FRAIL questionnaire is an additional simple validated
150 measure composed of five items, with a score of >3 indicating frailty (20).

151

152 The NICE guideline cautions against using a performance-based tool in
153 people who are acutely unwell because frailty and acute illness can be
154 conflated using, for example, gait speed. However, the Clinical Frailty Scale
155 (CFS) and Reported Edmonton Frail Scale (REFS) have been validated in
156 acute hospital settings, so are appropriate tools for the assessment of frailty in
157 the context of acute illness (21, 22). More recently, an electronic frailty index
158 (eFI) has been developed and validated using routinely available primary care
159 electronic health record data, is supported in NICE guidance, and has been
160 widely implemented across the UK to identify frailty in primary care settings
161 (23).

162

163 **The endocrine system and frailty**

164 The brain and endocrine system are intrinsically linked through the
165 hypothalamo-pituitary (HP) axis, which controls metabolism and energy use
166 via the signalling action of a series of homeostatic hormones (24). There is
167 accumulating evidence that the HP axis has a crucial role in the regulation of

168 organismal ageing and frailty. Regulation of glucocorticoid (GC) secretion,
169 insulin-like growth factor (IGF) signalling and androgen production are
170 considered to be of key importance, as deficits in these hormonal systems
171 have been associated with adverse ageing profiles and frailty. Alongside the
172 HP axis, Vitamin D and insulin resistance have been identified as having a
173 potential role in the pathogenesis of frailty. A schematic representation of the
174 potential endocrine mechanisms involved in the development of frailty is
175 provided (figure 2)

176

177 A 2009 cross-sectional study investigated the relationship between multiple
178 anabolic hormones and frailty using data from 494 older females. The
179 reported evidence indicated that the absolute number of hormone deficiencies
180 was more predictive of frailty than the type of deficiency, suggesting that frailty
181 may arise as the result of a more generalised endocrine dysfunction, rather
182 than through any particular hormonal deficiency (25).

183

184 **Glucocorticoids**

185 The hypothalamus receives and integrates multiple afferent inputs from
186 diverse regions of the brain to coordinate the organismal response to stress
187 and inflammation, partly through the control of GC secretion (26). Basal GC
188 secretion is necessary for the normal function of many cells, and levels are
189 increased in response to virtually any stress, including physical and
190 psychological stress, or the presence of inflammation, to provide the altered
191 physiological requirements that promote survival (27). Previous studies have
192 investigated age-related changes in the hypothalamo-pituitary-adrenal (HPA)

193 axis and, although there is uncertainty whether overall GC secretion increases
194 with age, there is overall evidence of blunting of the circadian rhythm (28),
195 reduced suppression of cortisol secretion (29), and impaired recovery from
196 stress (30).

197

198 GCs have effects on the range of metabolically active tissues that are
199 important in development of the frailty phenotype, including skeletal muscle,
200 bone and the cardiovascular system (31). Researchers have investigated
201 changes to the HPA-axis and GC secretion in frailty. A cross-sectional study
202 involving 214 female participants reported that frailty, measured using the
203 phenotype model, was independently associated with chronically elevated
204 diurnal cortisol levels, even after adjustment for depressive symptoms, which
205 are themselves associated with increased cortisol (32). Persistently high
206 levels of cortisol have been associated with increased catabolism of skeletal
207 muscle (33), so a link between chronically elevated cortisol and frailty is
208 biologically plausible through the development of sarcopenia as a core
209 component of the condition. Other aspects of HPA-axis function have also
210 been recently assessed in the context of frailty with evidence for reduced
211 cortisol suppression in older people with frailty, compared to controls. One
212 study reported significant correlation between post-dexamethasone
213 suppression serum cortisol levels and a range of frailty markers, suggesting
214 dysregulation of HPA-axis feedback (34).

215

216 A study involving 60 participants reported that frail individuals had a blunted
217 response to a low dose synacthen (1mcg ACTH stimulation) test compared to

218 controls (35). Blunted diurnal cortisol rhythms were associated with poorer
219 health outcomes in later life in a large community dwelling cohort (36). Taken
220 together, the findings indicate chronically elevated diurnal levels of cortisol in
221 the context of frailty are likely to result from failure of homeostatic control
222 through impaired suppression, rather than as a result of an overactive ACTH
223 stimulation response.

224

225 There has been additional interest in whether local tissue activation of
226 cortisone to cortisol via 11beta-hydroxysteroid dehydrogenase type 1 (11 β -
227 HSD1) impacts on features of the ageing phenotype (37). Further studies in
228 cohorts with well-defined frailty are required to establish the clinical impact of
229 this activity, and whether 11 β -HSD1 may be a potentially modifiable target for
230 treatment.

231

232 **Glucocorticoids and inflammation**

233 Inflammation is a protective immune response that is triggered by conditions
234 such as infection and tissue injury, including ischaemia, hypoxia, trauma and
235 physical/chemical injury (38-40). Inflammation is designed to rid the organism
236 of noxious stimuli and pathogens and hence restore physiological
237 homeostasis; the absence of an adequate inflammatory response would lead
238 to multiple detrimental outcomes, including unchecked infections and absence
239 of wound healing (39). However, if the inflammatory response is not tightly
240 regulated, chronic molecular and cellular damage may occur, accelerating
241 biological mechanisms that drive the development of frailty (1). Supported by

242 a large meta-analysis, there is a growing consensus that reactivity of the HPA
243 axis to inflammatory stimuli is significantly increased with age (41).

244

245 Detection of inflammation by the nervous system leads to activation of the
246 HPA-axis and stimulation of cortisol release (27, 42). GC receptors in the
247 brain are typically only occupied at levels of GC observed in stress and
248 inflammation (43) so, at these times, circulating GC are sensed by the
249 hippocampus, which suppresses hypothalamic stimulation of GC production in
250 a negative feedback loop. Additional downstream effects include increased
251 metabolism and altered brain function, characterised by increased
252 hippocampal activity (44).

253

254 Uncontrolled inflammation has the potential to cause cellular damage, and a
255 functional GC system is an important component of the homeostatic
256 regulation of local and systemic inflammation. The loss of hippocampal
257 neurons that is observed in both normal ageing and age-related conditions
258 such as Alzheimer's dementia (AD) may impair the homeostatic control of the
259 GC system, with the potential consequence of uncontrolled inflammation and
260 increased cellular damage, promoting organismal ageing and frailty. Loss of
261 homeostatic control of the GC system may itself promote further
262 neurodegeneration, as chronically elevated levels of GC have been
263 postulated to increase hippocampal neuronal damage (45). A schematic
264 representation of the potential role of GC in the development of frailty,
265 including impaired homeostatic regulation, is provided (figure 2).

266

267 **Glucocorticoids and sarcopenia** Under normal circumstances, muscle
268 homeostasis is maintained in a delicate balance between new muscle cell
269 formation, muscle cell hypertrophy and muscle cell protein loss. This delicate
270 balance is coordinated by the neuroendocrine system and immune system
271 and is influenced by nutritional factors and senescent physical activity.

272

273 GCs stimulate muscle atrophy by promoting myofibrillar degradation and
274 inhibiting protein synthesis. GCs also regulate muscle wasting mediated by
275 starvation, metabolic acidosis and sepsis, so are likely to play a key role in the
276 characteristic loss of muscle mass and strength observed when older people
277 with frailty are hospitalised for acute illness (46).

278

279 Muscle strength and power are required for the critical basic mobility skills of
280 getting out of bed, standing up from a chair, walking a short distance and
281 getting off the toilet (47). When the ability to perform these critical skills is
282 impaired, as is frequently observed when an older person with frailty
283 experiences an acute stressor event, there is risk of immobility, causing
284 further loss of muscle mass, risk of falls and activity limitation (48). Indeed,
285 sarcopenia has been independently associated with increased falls risk,
286 functional decline and mortality (49).

287

288 **Insulin-like growth factors and growth hormone**

289 Insulin-like growth factors (IGFs) are a family of small peptides that increase
290 anabolic activity in many cells. Promotion of neuronal plasticity and increased
291 skeletal muscle strength are considered to be particularly important effects

292 (50). The principal IGFs are IGF-1, IGF-2 and insulin. IGF-1 is synthesised in
293 the liver in response to circulating Growth Hormone (GH) in a process
294 regulated by the HP axis.

295

296 GH secretion declines at a rate of 14% per decade from middle age onwards
297 in a process termed the 'somatopause' (51). GH deficiency results in an
298 adverse body composition profile, with increased fat mass and reduced lean
299 mass. Historical studies have assessed the benefits of recombinant GH in
300 older people, and evidence exists for improvements in body composition
301 parameters but there is limited evidence for muscle strength changes (52).
302 Data on GH-IGF dynamics are limited in cohorts with well-defined frailty.

303

304 A range of growth factors and hormones also stimulate local synthesis of IGF-
305 1 by neurons, muscle cells and white blood cells (WBCs). The local autocrine
306 and paracrine actions of IGF-1 are considered important for the promotion of
307 neuronal plasticity and increased skeletal muscle strength (50, 53). Age-
308 related impairments in autocrine, paracrine and endocrine activity of IGF-1 are
309 considered to be important in the development of neuronal senescence and
310 sarcopenia as a core component of frailty (50, 53).

311

312 IGF-1 regulates the production of a number of transcription factors that
313 influence the expression of multiple genes that are implicated in inflammatory
314 regulation and cellular autophagy as key potential mechanisms associated
315 with frailty (54). One important downstream transcription factor is DAF-16 and
316 preliminary evidence suggests that this may play a key role in influencing

317 organismal lifespan (55). Indeed, genetic variations in the IGF signalling
318 pathway have been associated with increased life expectancy in humans (56).
319 However, the relationships are complex, with both GH-IGF1 excess and
320 deficiency in pituitary disease being associated with increased mortality and
321 no evidence of a survival advantage in GH resistance.

322

323 **IGFs and frailty**

324 Available evidence supports the hypothesis that IGFs are likely to play an
325 important role in frailty. A 2009 cross-sectional study involving 494 older
326 women reported that participants with IGF-1 deficiency, in combination with
327 either DHEAS or testosterone deficiency, were more likely to have frailty (OR
328 2.79, 95% CI 1.06 to 7.32) (25). A 2004 cross-sectional study including 51
329 older participants reported significantly lower levels of IGF-1 in those who
330 were identified with frailty using the phenotype model, compared to age-
331 matched controls (57). An inverse correlation between IGF-1 and IL-6 levels
332 was observed, identifying a potential relationship between IGF-1 and
333 inflammation that may be important in frailty.

334

335 A 2009 cross-sectional study involving 696 older women from the US
336 Women's Health and Aging Study identified a significant correlation between
337 white blood cell counts and IGF-1. A complex U-shaped association between
338 IGF-1, WBC count and frailty was also reported. Compared to a reference of
339 high IGF-1 and low WBC counts, when IGF-1 levels were low, both low and
340 high WBC counts were associated with increased risk of frailty. Conversely,

341 when WBC counts were high, both low and high levels of IGF-1 were
342 associated with frailty (58).

343

344 **IGFs and sarcopenia**

345 IGF-1 is considered to play a key role in the maintenance of muscle strength.
346 Although systemic GH-dependent IGF synthesis by the liver may have a role,
347 the autocrine and paracrine effects of GH-independent local IGF-1 production
348 by muscle cells in response to changes in the microenvironment are believed
349 to be of particular importance (53).

350

351 IGF-1 acts to increase muscle strength by promoting increased myocyte
352 number, activating muscle cell hypertrophy and inhibiting muscle protein
353 breakdown. Increased myocyte number is considered to be through promotion
354 of muscle stem cell proliferation and differentiation (59). Activation of muscle
355 cell hypertrophy is through an IGF-mediated direct and indirect cascade of
356 kinase enzymes and the nutrient sensing mammalian target of rapamycin
357 (mTOR) signalling pathway (60). Inhibition of muscle protein breakdown is
358 facilitated through downregulation of components of the ubiquitin-proteasome
359 (UP) pathway (61). IGF1 secretion is modulated by nutrient intake, with
360 fasting and low energy diets resulting in reduced circulating concentrations.
361 Conversely, IGF1 concentrations are also lower with increasing BMI.

362

363 A prospective cohort study involving 558 participants reported a multivariable
364 analysis that identified a statistically significant association between IGF-1
365 levels and sarcopenia as a key component of frailty (62). Analysis of data

366 from 1833 community-dwelling older people participating in the I-Lan
367 Longitudinal Aging Study identified a positive association between IGF-1 and
368 improved muscle mass, grip strength and bone mineral density (63). An
369 additional observational study in 3447 community-dwelling men aged between
370 70 to 89 years, reported that lower IGF1 and higher IGFBP1 concentrations
371 were associated with increased incident frailty, defined using the FRAIL score
372 (64).

373

374 **Androgen deficiency**

375 The hypothalamic-pituitary-gonadal (HPG) axis regulates testicular secretion
376 of testosterone as the main human androgen through pulsatile hypothalamic
377 secretion of gonadotropin releasing hormone (GnRH). This stimulates pituitary
378 secretion of luteinizing hormone (LH), which binds to target cells to increase
379 expression of steroidogenic acute regulatory protein (StAR). The adrenal is
380 also a source of androgens including dehydroepiandrosterone sulphate
381 (DHEAS) and androstenedione in women and men.

382

383 Androgens have effects on a range of target organs, including skeletal
384 muscle, so are of potential interest in frailty because they may be a modifiable
385 determinant of the condition. Testosterone increases muscle protein synthesis
386 through both direct stimulation of muscle androgen receptors and through
387 actions on the intramuscular IGF-1 system (65, 66). It is well-established that
388 testosterone levels decrease with advancing age, with levels of bioavailable
389 testosterone characteristically falling by around 2% per year (67). Although
390 these reductions in testosterone can be considered as part of normal ageing,

391 a number of large epidemiological studies have reported consistent cross-
392 sectional associations between low testosterone levels and frailty (68-70).
393 However, although there appears to be a consistent cross-sectional
394 association, studies reporting prospective associations have been equivocal,
395 and it is possible that testosterone represents a surrogate marker for frailty,
396 rather than a causal factor (65).

397

398 Testosterone supplementation can increase skeletal muscle mass in both
399 young and older males, principally through promotion of myofibre hypertrophy
400 and increased stem cell number (71). One randomised controlled trial (RCT)
401 assigned 790 males aged 65 years and over with low serum testosterone
402 levels to receive either testosterone gel or control gel (72). Although
403 improvements in sexual function and mood were reported, there were no
404 benefits identified regarding vitality or mobility, measured using the six-minute
405 walking distance. One RCT of testosterone supplementation involving 209
406 older participants with limitations in mobility and low serum testosterone was
407 terminated early due to safety concerns (73). Although the intervention group
408 demonstrated significant improvements in arm and leg strength compared to
409 the placebo group, a higher rate of adverse cardiovascular and respiratory
410 events in the intervention group led to a recommendation from the data and
411 safety monitoring board that the trial be discontinued.

412

413 Subsequent RCTs of testosterone treatment have reported outcomes relevant
414 for older people with frailty, with evidence for improvements in bone density
415 and anaemia, but no improvements in cognition and increased size of

416 coronary atheroma (74-77). As in clinical practice for confirmed male
417 hypogonadism, future trials in this area would need to incorporate long-term
418 safety monitoring for prostate cancer, polycythaemia, respiratory and
419 cardiovascular events.

420

421 There has been preliminary exploration of the association between DHEAS
422 and frailty. One cross-sectional study reported an association between
423 DHEAS and frailty but the influence of comorbid conditions could not be
424 confidently excluded (78). The importance of DHEAS in the pathogenesis of
425 frailty may be most relevant as part of a generalised endocrine dysfunction
426 (79).

427

428 **Vitamin D deficiency**

429 Vitamin D deficiency is highly prevalent globally, with prevalence estimates
430 varying within individual populations based on ethnic diversity (80, 81). It is
431 central to the development and maintenance of bone health and calcium
432 metabolism, with severe deficiency resulting in the syndromes of rickets and
433 osteomalacia (82). Based on trial evidence, current guidelines recommend
434 vitamin D supplementation to prevent osteoporotic fractures (83-85).

435 However, a 2017 meta-analysis of calcium and vitamin D supplementation,
436 separately or in combination, pooled data from 33 randomized trials involving
437 51 145 participants and reported no overall reduction in total fracture risk (86).

438

439 Cross-sectional studies provide evidence for associations between vitamin D
440 status and frailty. A study of participants (n=1659) in the Concord Health and

441 Ageing in Men Project found that low vitamin D status was associated with
442 frailty and independently with four out of five frailty components (87). An
443 analysis of the Toledo Study for Healthy Aging (n=592) reported that low
444 serum 25OHD was associated with frailty (OR 1.65 95% CI 1.02-2.67,
445 p=0.04), defined using the phenotype model (19). These findings were
446 supported by an analysis of the European Male Ageing Study (n=1504) (88),
447 a Mexican study of over 70s (n=331) (89), and a large Austrian study (n=940)
448 (90), which also observed significant associations between low serum 25OHD
449 and individual components of frailty including physical exhaustion, inactivity
450 and reduced gait speed. A smaller Taiwanese study (n=215), reported a
451 strong association between vitamin D status and frailty (odds ratio, OR, 10.7
452 (CI 2.6 to 44.3) (91).

453

454 Longitudinal studies have been established to investigate whether vitamin D
455 status can predict subsequent development of frailty. The prospective
456 association between vitamin D and frailty has been examined in one
457 longitudinal US study involving 369 females, which reported an association
458 between low vitamin levels and incident frailty (HR 2.77, 95% CI 1.14 to 6.71)
459 that was attenuated following adjustment for cardiometabolic diseases (92).

460 An Australian study of 4203 men aged between 70-88 years found that low
461 vitamin D status was associated with increased risk of incident frailty and all-
462 cause mortality, independent of baseline frailty (93). An analysis of the KORA-
463 Age study cohort followed participants up over a mean of 2.9 years and found
464 that low vitamin D status was associated with pre-frailty (OR 2.4, 95% CI 1.2-

465 5.0), combined pre-frailty/frailty (OR 2.53, 95% CI 1.2-5.2) and all-cause
466 mortality (OR 3.4, 95% 1.1-10.7) (94).

467

468 Longitudinal data from the Third National Health and Nutrition Survey
469 (NHANES III) (n=4731), and the Invecchiare in Chianti (InCHIANTI) study
470 (n=1155) indicate that low vitamin D status is associated with increased odds
471 of incident frailty (95, 96). However, conflicting results were reported in one
472 additional US study involving 1,606 men aged over 65, which did not observe
473 a prospective association, despite reporting a relationship between frailty and
474 vitamin D status at baseline (97). The same group reported a U-shaped
475 association between frailty status and vitamin D at baseline in 6307 women
476 aged over 69 years (98). They also observed a trend towards a negative
477 association between serum 25OHD and incident frailty.

478

479 The impact of vitamin D supplementation has been assessed in meta-
480 analyses of clinical trials. One meta-analysis pooled data from 13 trials and
481 concluded that there were consistent positive effects of 800-1000 IU doses of
482 vitamin D on strength and balance (99). A 2014 meta-analysis reported a
483 small positive effect of vitamin D supplementation on global muscle strength,
484 but not on mass or power, using data from 30 RCTs involving 5,615
485 participants (100). A further meta-analysis reported that supplementation did
486 not reduce falls risk by a threshold of 15%, using data from 20 RCTs with
487 29,535 participants (101).

488

489 **Insulin resistance**

490 Diabetes has been recognised as a risk factor for frailty. In recent years there
491 has been interest in the role of insulin resistance (IR) in development of frailty
492 and as a target for prevention. IR and associated components of the
493 metabolic syndrome (MetS) are increasing in prevalence globally with
494 industrialisation, and associated lifestyle changes (102, 103). A relatively
495 small number of human studies have investigated these relationships.

496

497 A prospective cohort study has reported associations between IR and frailty,
498 defined using the phenotype model, in 1499 individuals aged over 60 with 3.5
499 year follow up (104). MetS was associated with frailty risk, and this was
500 largely due to central obesity. MetS also had an independent association with
501 reduced grip strength. A further prospective study involving 3141 community
502 dwelling older people reported a positive association between IR and frailty
503 (HR 1.15, 95% CI, 1.02-1.31) (105).

504

505 A recent analysis of data from participants (n=1247) in the Longitudinal Aging
506 Study Amsterdam found that although frailty appeared to make a contribution
507 to the association between MetS and mortality, co-morbidities such as
508 diabetes and cardiovascular disease played a larger part (106). A secondary
509 analysis of data from the Beijing Longitudinal Study of Ageing also
510 demonstrated clustering of MetS, frailty and mortality, but it was not possible
511 to confirm directionality or causality (107). Frailty index scores, calculated
512 using the cumulative deficit model, increased with each cardio-metabolic co-
513 morbidity and those with the highest scores had greatest mortality.

514 Preliminary evidence indicates that MetS may strengthen the association
515 between frailty and impairment of executive function (108).

516

517 Basic science research has identified putative mechanisms to account for the
518 above associations, with common pathways for dysregulated skeletal muscle
519 metabolic and contractile function. Central to this is the interplay between
520 metabolically active tissues important in the pathway to frailty including
521 adipose, muscle and bone. The concept of sarcopenic obesity may be
522 important, with visceral adiposity and increased intramuscular lipid driving a
523 pro-inflammatory, insulin resistant, catabolic state (109). Clinical trial
524 evaluations of interventions targeted at components of MetS, including IR, for
525 the prevention or treatment of frailty would be required to confirm clinical
526 utility.

527

528 **Thyroid and frailty**

529 Changes in thyroid hormone status with normal ageing are well characterised
530 with increases in TSH, decreases in FT3 and stable FT4 concentrations. Data
531 in defined frail cohorts are scarce. Thyroid dysfunction however, has a myriad
532 of effects with impacts on body composition, muscle strength, cognition, bone
533 and cardiovascular health that are pertinent to the ageing phenotype.

534

535 Subclinical hyperthyroidism was associated with increased frailty prevalence
536 (OR 2.48, 95% CI 1.15-5.34) but not subsequent frailty development over 5-
537 year follow up in the Osteoporotic Fractures in Men Study (110). No
538 associations were observed with the subclinical hypothyroidism group. The

539 study included 1455 men aged over 65 and defined frailty using a modified
540 phenotype model. One additional study involving 641 older women reported
541 reduced odds of frailty, defined using the phenotype model, for those with
542 positive thyroglobulin and TPO antibodies, independent of thyroid hormone
543 status (OR 0.30, 95% CI 0.10 to 0.85) (111). Conversely, higher serum FT4
544 concentrations were associated with increased risk of frailty in men aged
545 between 70-89 years (n=3943) in a cross-sectional Australian study (OR 1.36
546 95% CI 1.04 to 1.79) (112). The study used the Fatigue, Resistance,
547 Ambulation, Illnesses and Loss (FRAIL) scale. The association held even with
548 FT4 concentrations within the normal reference range.

549

550 A 2017 study reported a significant inverse correlation between FT3 and frailty
551 score in elderly subjects (n=112, 62 inpatients with hip fracture and 50
552 outpatient controls) (113). The study used the 'Survey of Health, Ageing and
553 Retirement in Europe Frailty Instrument (SHARE-FI) to generate the frailty
554 score with an r of -0.436 and $P < 0.001$ when correlated with FT3. Furthermore,
555 correlations with measures of nutritional status, disability, co-morbidities and
556 grip-strength were also observed. These relationships were not observed with
557 TSH or FT4. The central limitation of the study is that FT3 can be reduced by
558 a number of factors that predispose to non-thyroidal illness and there is no
559 evidence for supplementation with FT3 in such cases. Nevertheless, the
560 authors proposed that FT3 may be used as a biomarker of frailty status.
561 Reproducibility of findings in well-defined frail cohorts needs to be
562 demonstrated.

563

564 **Conclusion**

565 Frailty involves complex systems with changes in multiple hormone axes
566 moderated by factors such as nutrition, exercise, and inflammation. Available
567 epidemiological evidence indicates that components of the HPA axis, IGFs
568 and androgens may be of particular importance, with emerging data
569 identifying potential links between single candidate hormones and frailty.
570 However, preliminary evidence indicates that, in frailty, the cumulative burden
571 of hormone deficiencies may be more important than the type of hormonal
572 deficiency, as part of a generalised endocrine dysfunction.

573

574 Although there is evidence for vitamin D supplementation for people who are
575 deficient, previous trials of supplementation to improve body composition and
576 subsequent musculoskeletal and metabolic health with age have
577 demonstrated limited efficacy.

578

579 Greater understanding of the role of the endocrine system in the development
580 of frailty could lead to the identification of novel pharmacological treatments.
581 Future translational research should focus on better understanding of the
582 endocrine mechanisms of frailty to identify potential biomarkers of the
583 condition, modifiable targets for treatment, and novel pharmacological agents
584 targeted at the endocrine components of frailty. Well designed, appropriately
585 powered consortia based studies will be required to establish the efficacy and
586 long-term safety of potential therapeutic interventions, with a focus on
587 clinically relevant outcomes of high priority for older people living with frailty.

588

589 **Acknowledgements**

590 None.

591

592 **Declarations of interest**

593 None.

594

595 **Author contributions**

596 AC led the development of the search strategy for this commissioned review.

597 Both authors reviewed search results and retrieved relevant papers. Both

598 authors contributed to the writing of the final manuscript, including

599 development of figures.

600

601

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Search strategy and selection criteria	987
We developed a structured search strategy with	988
the assistance of a research librarian at the	989
University of Leeds, UK. We searched Medline,	990
Embase, CINAHL, Web of Science and the	991
Cochrane library; all searches were from	992
January 2001 to May 2017. We combined the	993
search terms frailty, pre frailty, sarcopenia and	994
frail elderly with a wide range of endocrine	995
search terms, including DHEAS, testosterone,	996
IGF-1, cortisol and insulin. Additional papers	997
were identified from the reference lists of	
retrieved articles and personal libraries.	

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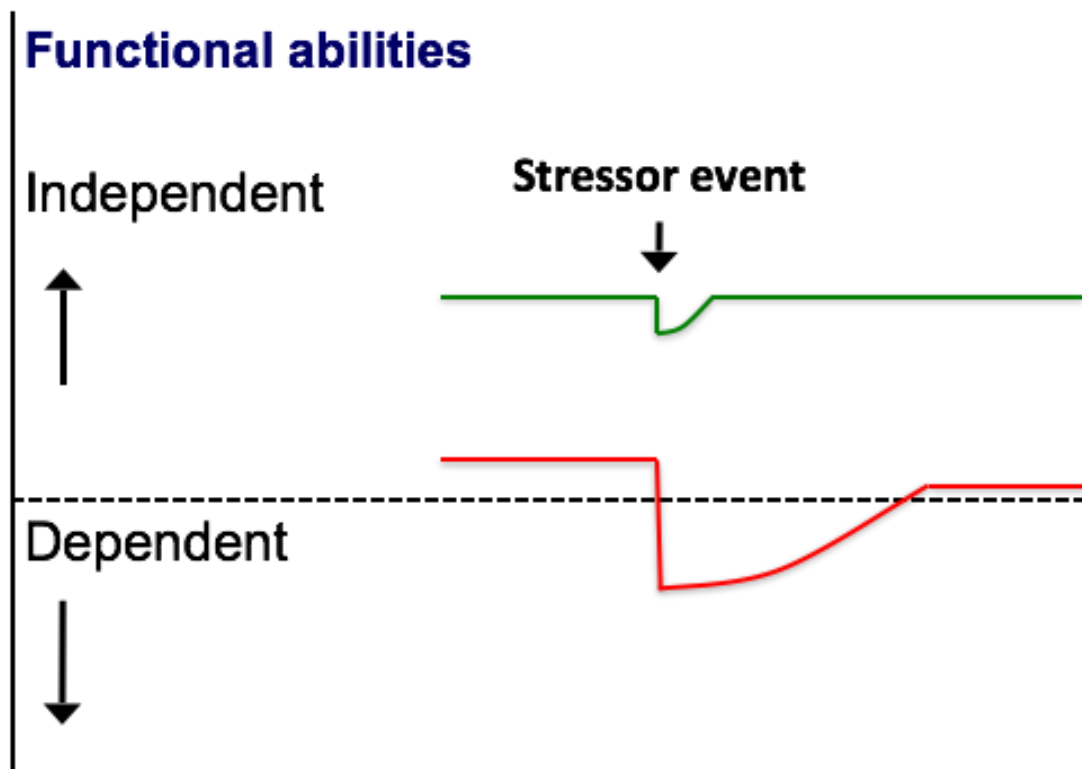
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• Gait speed <0.8 m/s	1002
• Timed up and go test score <12 seconds	1003
• Self-reported health status score <6	1004
• PRISMA-7 questionnaire > 3	1005
• Self-reported physical activity scale in the elderly (PASE) score <56 (men) or < 59 (women)	1006
	1007

1008 **Box 1. Simple instruments for identifying frailty recommended by the**
1009 **National Institute for Health and Care Excellence (NICE)**
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1013 **Figure 1. Vulnerability of older people with frailty to a sudden change in**

1014 **health status after a minor stressor event**

1015 The green line represents a fit older person who, after a minor stressor event

1016 such as an infection, has a small deterioration in function and then returns to

1017 baseline. The red line represents an older person with frailty who, after a

1018 similar stressor event, undergoes a larger deterioration, which may manifest

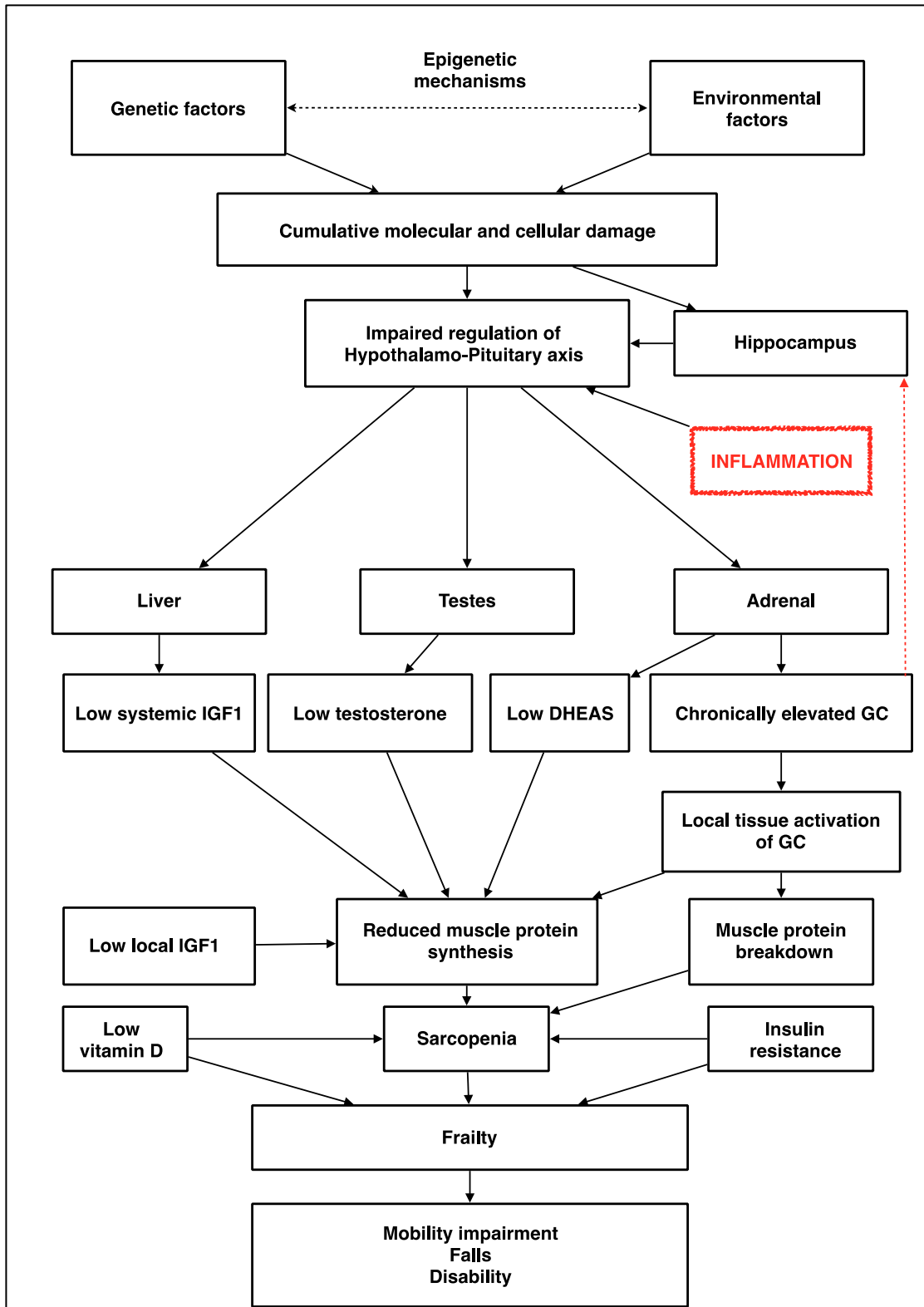
1019 as functional dependency, and who does not return to baseline. The

1020 horizontal dashed line represents the cutoff between dependent and

1021 independent.

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1026 **Figure 2.** A schematic representation of the potential endocrine mechanisms

1027 involved in the development of frailty.