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# AERODYNAMIC PERFORMANCE OF A HORIZONTAL AXIS WIND TURBINE WITH FORWARD AND BACKWARD SWEPT BLADES

Mehmet Numan Kaya<sup>a,b,c,\*</sup>, Faruk Kose<sup>b</sup>, Derek Ingham<sup>a</sup>, Lin Ma<sup>a</sup>, Mohamed Pourkashanian<sup>a</sup>

<sup>a</sup> University of Sheffield, Department of Mechanical Engineering, Energy2050 Research Group, Sheffield, UK

<sup>b</sup> Selcuk University, Engineering Faculty, , Department of Mechanical Engineering, Konya, Turkey

<sup>c</sup> Necmettin Erbakan University, Engineering and Architecture Faculty, Department of Mechanical Engineering, Konya, Turkey

## Abstract

Blades are the most important components of wind turbines in order to convert wind energy to mechanical energy. This study investigates the aerodynamic performance of Horizontal Axis Wind Turbines (HAWTs) with forward and backward swept blades. The effect of the blade sweep direction, the location of the sweep start up and the tip offset on the aerodynamic performance are investigated using a model HAWT with a 0.9 m rotor as the baseline configuration. Changes in power and thrust coefficients with swept blades are investigated for the design tip speed ratio of the baseline wind turbine at a wind speed of 10 m/s. The wind turbine with the forward swept blade that has sweep start up at  $r_{ss}/R=0.15$  and tip offset of  $d/D=0.2$  has been found to give a remarkable boost to the power output with an increase of about 2.9% over the baseline turbine. The backward swept blade with  $r_{ss}/R=0.75$  and  $d/D=0.2$  has shown the highest reduction in thrust coefficient, namely 5.4%, at the design tip speed ratio. In conclusion, it is found that the forward swept blades have the ability of increasing the performance while the backward swept blades tend to decrease the thrust coefficient.

## Keywords

Swept blade, Horizontal axis wind turbine, Aerodynamics, CFD, Power coefficient

## 1. Introduction

Wind energy is one of the most utilized leading renewable energy sources for sustainable power production (REN21, 2017). Commercially, horizontal axis wind turbines (HAWTs) dominate the market and they are mostly preferred by the investors. Aerodynamic design of the turbine blades is very crucial in order to capture the wind and convert it to mechanical power efficiently (IRENA, 2012). Hence, increasing the aerodynamic efficiency of HAWT blades has always been a popular topic in the literature and the Computational Fluid Dynamics (CFD) method has been widely used in these studies (El-Farra et al., 2014; Kartheikyan et al., 2014; Larin et al., 2016, Moshfeghi et al., 2017). For instance, Jafari and Kosasih (2014) investigated various diffuser augmented wind turbine designs and changes in aerodynamic efficiencies according to the diffuser length and area using CFD method. Bai et al. (2013) designed a 10 kW horizontal axis wind turbine blade and performed an aerodynamic investigation using a numerical simulation approach. They reported that CFD is a good method compared to the improved BEM theory method on the aerodynamic investigation of HAWT blades. As stated before, there are numerous studies on horizontal axis wind turbine blade designs but there are only a few on swept blades. A 54 m diameter rotor with backward swept horizontal axis wind turbine blades was designed

\*Corresponding author. M.N. Kaya  
E-mail address: mnkaya@konya.edu.tr

47 and compared with a field test by the Sandia National Laboratories of the US Energy Department  
48 (Ashwill et al., 2010). Investigation results for the Sweep Twist Adaptive Rotor (STAR) blades are  
49 presented by Ashwill (2010) and it is stated that the STAR technology provided a greater energy capture  
50 compared to the baseline 48 m diameter rotor straight-bladed wind turbine without incurring higher  
51 operating loads on the turbine. Khalafallah et al. (2015) performed a CFD study to investigate the sweep  
52 direction and start up location that affect the performance of HAWTs with swept blades and they  
53 concluded that some performance increase can be achieved when using swept blades. Amano et al.  
54 (2013) investigated backward swept blades and stated that at lower wind speeds the backward swept  
55 blades give better performance whereas at higher wind speeds they give lower power outputs compared  
56 to the straight blades. Different blade tip modifications have been considered and analysed  
57 independently with an optimization code, based on the Goldstein vortex model by Chattot (2009). The  
58 author of this study compared the design of a rotor blade with a straight,  $\pm 10\%$  (forward or backward)  
59 sweep, dihedral and winglet and concluded that the aerodynamic performance is, in general, enhanced  
60 by these tip modifications, although the trends differ between the forward and backward orientations.  
61 Shen et al. (2016) studied an aerodynamic shape optimization of non-straight small wind turbine blades  
62 where they attempted to optimize the annual energy production and the starting performance of  
63 HAWTs. According to these results, the wind turbine blades with a properly designed 3- dimensional  
64 stacking line can increase the annual energy production and have a better starting behaviour. Verelst  
65 and Larsen (2010) and Hansen (2011) have performed studies that are mainly focused on the blade  
66 loads of swept horizontal axis wind turbine blades, where both used a 5 MW NREL wind turbine as a  
67 baseline. The findings of both studies were that the backward swept blades present slightly lower power  
68 outputs while presenting reduced loadings on the blade, tower and shaft in general. Generally, previous  
69 studies on HAWTs with swept blades were focused on blade loads. Moreover, none of the previous  
70 studies investigated the effect of both the blade tip offset and the sweep start up section on the  
71 aerodynamic performance.

72 This study investigates the aerodynamic performance of wind turbines with various forward and  
73 backward swept blades using CFD. The blade sweep is applied in the plane of the rotor and the swept  
74 blades are designed according to the various sweep start up sections and tip offsets. An equation that  
75 allows both the change in the sweep start up section and tip offset has been developed to calculate the  
76 offset at each blade section from the pitchline. The Norwegian University of Science and Technology  
77 (NTNU) wind turbine is used as the baseline wind turbine and the CFD method used is validated against  
78 the experimental results of this wind turbine.

79

## 80 **2. Baseline Blade and Newly Designed Swept Blades**

81

82 The model HAWT designed at the NTNU has a three bladed rotor and uses the NREL S826  
83 airfoil throughout the blade span. The wind turbine has a 0.9 m rotor diameter, zero pitch angle and a  
84 hub diameter of 0.09 m. The design tip speed ratio of the blade was  $\lambda=6$ . Sketches of the NTNU wind  
85 turbine blades are given in Fig. 1, where the full rotor is illustrated as well. Full details of the wind turbine  
86 can be found in the study by Krogstad and Lund (2012).



Fig. 1. 3D sketches of the baseline blade.

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90 Regarding the swept blade design, although there are various equations available in the literature to  
91 calculate offset of each section of the blade from the pitchline (Ashwill, 2010, Amano et al., 2013;  
92 Hansen, 2011; Verelst and Larsen, 2010), it was not possible to change the tip displacement using  
93 these equations. Hence, an equation that makes it possible to select the tip offset, sweep start up and  
94 strength of the sweep is developed in order to calculate the offset from the pitchline at each blade  
95 section as follows:

$$z_{\text{offset}} = \frac{(r_r - r_{ss})(R \times P_s) / (R - r_{ss})}{M^{((1-P_r)(1-P_{r_{ss}})/P_r)}} \quad (1)$$

97 where,  $z_{\text{offset}}$  is the offset of the blade section from the pitchline,  $r_r$  is the radial distance of the section  
98 (m),  $r_{ss}$  is the radial distance of the sweep start section,  $R$  is the blade radius,  $P_s$  is the ratio of the tip  
99 offset to the blade radius ( $P_s = d/R$ ),  $M$  is the mode of the sweep,  $P_r$  is the ratio of the radial distance to  
100 the blade radius ( $P_r = r_r/R$ ) and  $P_{r_{ss}}$  is the ratio of the radial distance of the sweep start up to the blade  
101 radius ( $P_{r_{ss}} = r_{ss}/R$ ). The mode of the sweep ( $M$ ) defines the strength of the sweep, increase in this value  
102 reduces the sweeping strength whereas decreasing the value close to one increases the strength of  
103 the sweep. This values is selected as  $M=2$  since it likely represents an average sweep strength. In  
104 Equation (1),  $R \times P_s$  gives the  $z_{\text{offset,tip}}$  which is the offset at the tip of the blade. To test the effect of the  
105 swept blades on the power performance, four sweep start up sections and four tip offsets are selected  
106 as given in Table 1.

107

108 **Table 1**  
109 Newly designed swept blades.

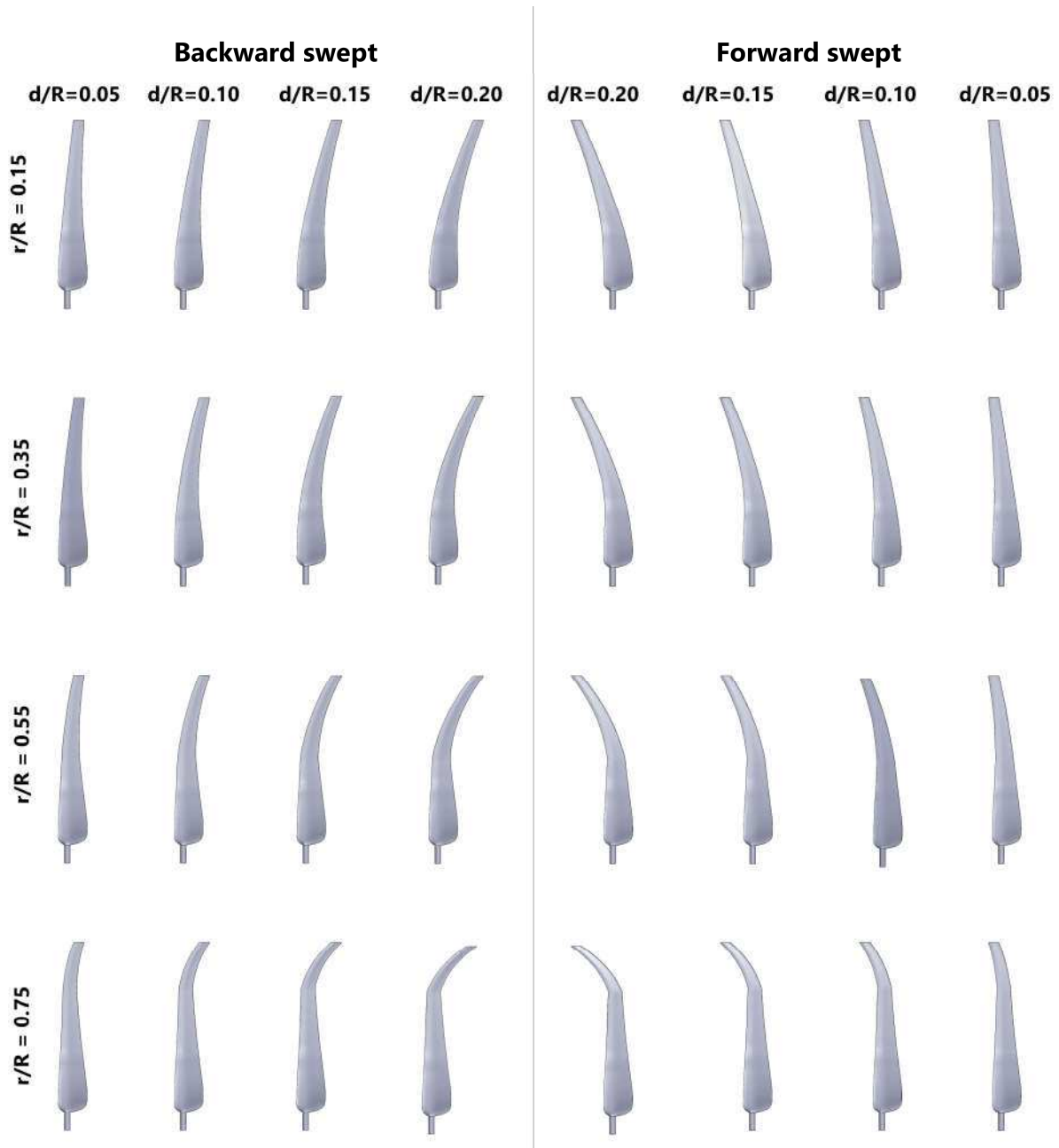
Direction	Sweep start up ( $r_{ss}/R$ )	Tip offset ( $d/R$ )
Forward	0.15	0.05
	0.35	0.10
Backward	0.55	0.15
	0.75	0.20

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112 In total, 32 wind turbine blades, 16 forward swept and 16 backward swept, are designed and sketches  
113 of all the blades are illustrated in Fig. 2. As it can be seen from the figure, forward swept blades are

114 swept in the direction of the rotation direction whereas backward swept blades have sweep in the  
115 opposite direction.



116  
117 **Fig. 2.** Sketches of the designed swept blades.  
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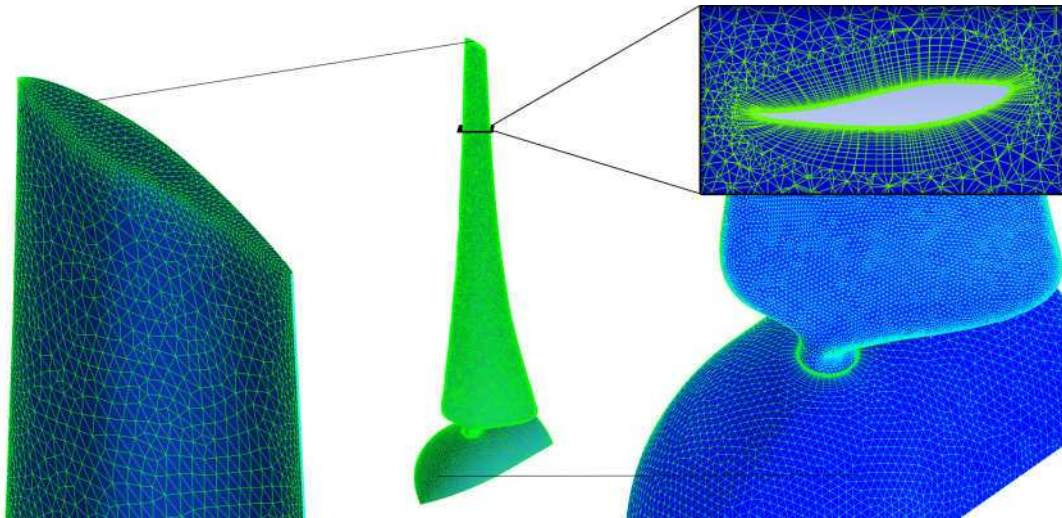
### 119 3. CFD Methodology and Validation

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121 In this study, the 3-D air flow around the wind turbine blade is simulated using the ANSYS Fluent  
122 17.2 software in a moving reference frame. The dimensions of the flow field are similar to the wind  
123 tunnel located in the Norwegian University of Technology. The upstream and downstream boundaries  
124 of the fluid computational domain are 4.5D and 7.8D, respectively (D is the rotor diameter). Only one  
125 third of the rotor is used in the CFD simulations with rotational periodic conditions applied and to benefit  
126 from the periodic boundary condition the walls of the wind tunnel are defined to be circular with the

127 same cross-sectional area as in the wind tunnel test section. This methodology has been used in  
128 several CFD simulation studies of HAWTs (Krogstad and Lund, 2012; Sørensen et al. 2002). The  
129 SIMPLE scheme is used for the calculations whereas the second-order interpolation scheme for the  
130 pressure, the second-order upwind discretization scheme for the momentum and turbulence equations  
131 were used.

132 Meshing of the fluid domain is performed using ANSYS meshing. The thickness of the first cell to the  
133 blade surface was kept at  $1 \times 10^{-5}$  m in order to keep the  $y^+$  value around 1 to have the confidence that  
134 the enhanced wall treatment was suitable for the grid (Krogstad and Lund, 2012). The  $y^+$  value reached  
135 its maximum value of almost 2 near the tip of blade and it was mostly around 1 in the other regions of  
136 the blade. Some pictures of the grid on the blade are presented in Fig. 3.

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**Fig. 3.** Some pictures of the grid on the blade.

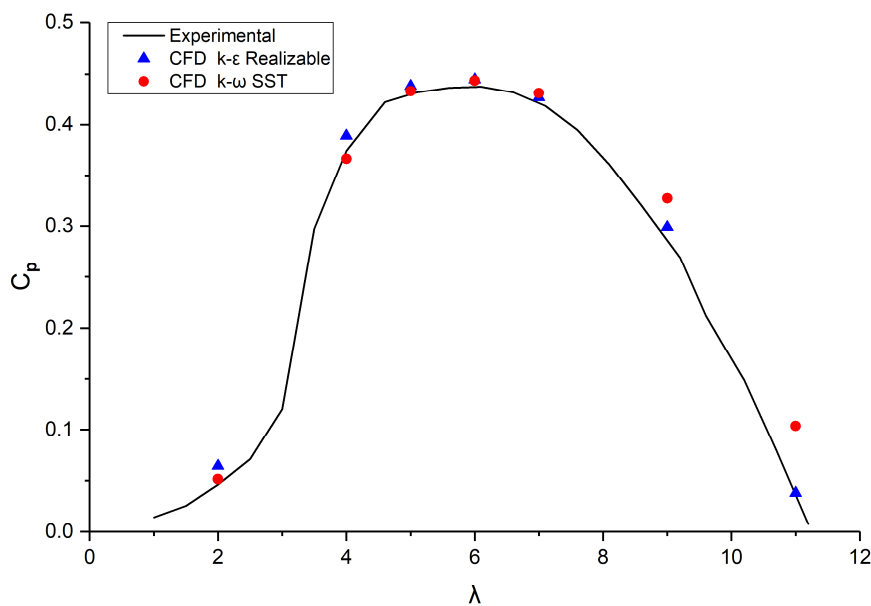
141 For the grid independence study, both the  $k-\omega$  SST and  $k-\epsilon$  Realizable models were used. A mesh  
142 independence study was performed for various models containing a different number of mesh elements.  
143 Details of the grids and the resulting power coefficients are presented in Table 2 where it is observed  
144 that the results do not significantly change after employing more than 4.8 million elements. Hence, a  
145 grid that has about 4.8 million elements has been used in the current study. Although this is an  
146 expensive grid to use, it was used in order to have confidence in the power and thrust coefficients.  
147 Moreover, it should be noted that making the value of  $y^+$  suitable for enhanced wall treatment  
148 dramatically increases the mesh element number. For the swept blades, the same sizing functions are  
149 used for the mesh and it is ensured that all the setups have similar element numbers. The maximum  
150 difference in the cell numbers between the baseline and swept blades was about 3%. As for the  
151 boundary conditions, the top surface domain is defined as a wall so as to mimic the wind tunnel wall  
152 and the inlet is defined as a velocity inlet with a constant wind speed of 10 m/s and the flow outlet is  
153 defined as a pressure outlet with a constant pressure. The turbulent intensity at the inlet is defined to  
154 be 0.3%, as provided for the wind tunnel used for the experimental tests (Krogstad and Lund, 2012).  
155 The convergence criterion is set to achieve a reduction in all scaled residuals below the value of  $10^{-4}$ .  
156 In addition, it is ensured that the monitored torque and thrust force on the blade shows no further  
157 change. All the simulations were performed on the High Performance Computing facilities of the

158 University of Sheffield where in general, Intel E5-2630 V3 processors were used for the simulations and  
 159 the time spent for each simulation was almost 8 hours when using 8 cores.

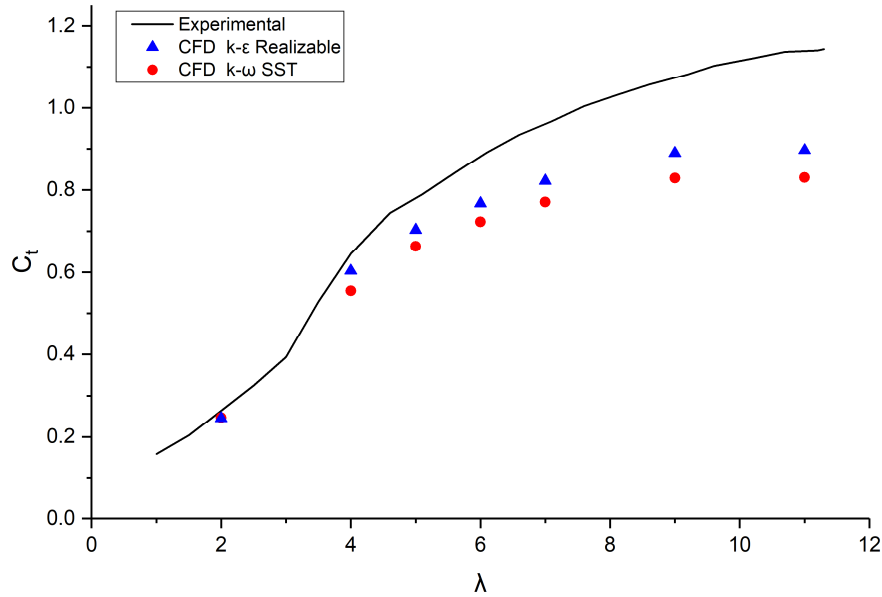
160 **Table 2**  
 161 Mesh independency study.

Number of elements ( $\times 10^6$ )	Number of nodes ( $\times 10^6$ )	$C_p$ at $\lambda=6$ k- $\epsilon$ Realizable	$C_p$ at $\lambda=6$ k- $\omega$ SST
2.2	0.9	0.4344	0.4303
3.5	1.52	0.4391	0.4376
4.8	2.02	0.4448	0.4437
6.5	2.73	0.4456	0.4454

162  
 163 In order to validate the CFD method used, the CFD results are compared with the available  
 164 experimental data (Krogstad and Lund, 2012) for the power coefficient ( $C_p$ ) and the thrust coefficient  
 165 ( $C_t$ ), as a function of the tip speed ratio ( $\lambda$ ), and they are presented in Fig. 4 and Fig. 5, respectively.  
 166 The inflow velocity was kept at 10 m/s and the rotational speed was varied to obtain the results for the  
 167 tip speed ratios of  $\lambda= 2, 4, 5, 6, 7, 9$  and 11 as employed in the wind tunnel tests. As it can be seen  
 168 from these figures, the  $C_p - \lambda$  curves are close to the experimental results. Both the k- $\epsilon$  Realizable and  
 169 k- $\omega$  SST models show a good performance and the results of both models are very close to each other.  
 170 The k- $\epsilon$  Realizable turbulence model was better at predicting the power coefficient since the k- $\omega$  SST  
 171 turbulence model over predicted the power coefficient at higher tip speed ratios. Also the power  
 172 coefficient results for the k- $\omega$  SST model are similar to the results given by Krogstad and Lund (2012).  
 173 The behaviour of the  $C_t - \lambda$  curve is similar to the experimental curve and the CFD results appear to  
 174 under predict the thrust forces, especially at higher tip speed ratios, however one should note that the  
 175 thrust on the tower is not considered in the CFD calculations. For the simulation of wind turbines with  
 176 swept blades at  $\lambda=6$ , the k- $\epsilon$  Realizable model is employed since it showed the best performance.



177  
 178 **Fig. 4.** Comparison of the power coefficient,  $C_p$ , as a function of the tip speed ratio,  $\lambda$ , using the  
 179 experimental data and the CFD results.  
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181  
182 **Fig. 5.** Comparison of the reaction of the thrust coefficient,  $C_t$ , as a function of the tip speed ratio,  $\lambda$ ,  
183 using the experimental data and the CFD results.  
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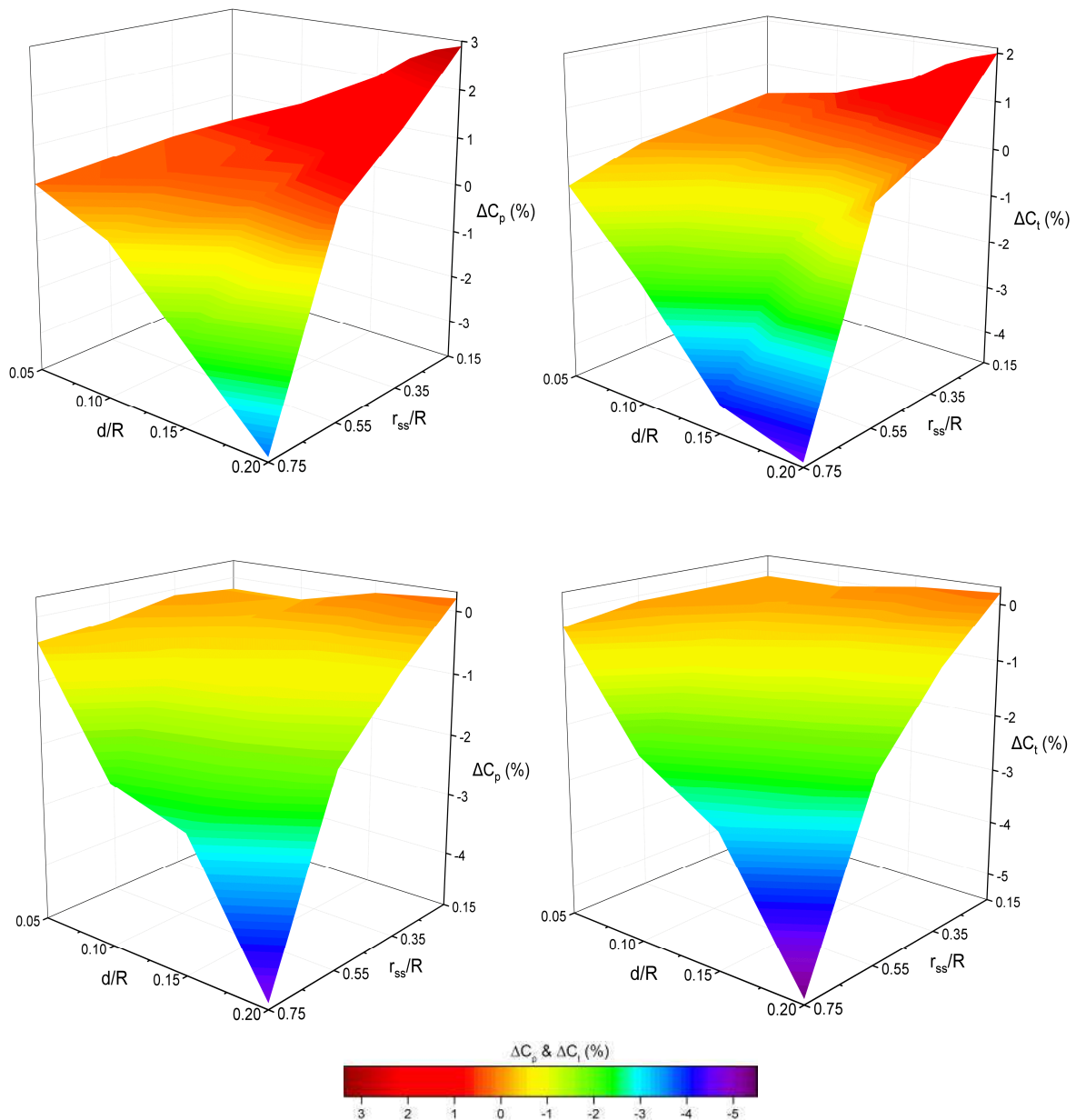
#### 185 4. Results

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187 In this study, the aerodynamic performances of swept blades are investigated using CFD simulations  
188 performed for the design tip speed ratio of 6 at the wind speed of 10 m/s. Changes in the power and  
189 thrust coefficients for the wind turbines with swept blades are compared to the values obtained for the  
190 baseline wind turbine. To make it easier to understand the results obtained, a method that defines the  
191 swept blades is developed. In this method, two indices, i.e. f for forward and b for the backward, are  
192 used as the first letter to define the direction of the sweep. After the first indication letter, the first two  
193 digits are used to define the ratio of the location of the sweep start section ( $d/R$ ) to the blade length and  
194 the next two digits are used to define the blade tip offset ( $r_{ss}/R$ ), e.g. "f1510" indicates the forward swept  
195 blade which has sweep start at 15% of the span ( $r_{ss}/R=0.15$ ) and which has 10% ( $d/R=0.10$ ) offset at  
196 the tip.

197 As stated before, the simulation results obtained using the k- $\epsilon$  Realizable turbulence model was used  
198 for the comparison figures in the result section since it was most successful CFD model in the validation.  
199 In Fig. 6, surface plots that show the changes in the power coefficients ( $C_p$ ) and thrust coefficients ( $C_t$ )  
200 of the wind turbines with forward and backward swept blades compared to the baseline wind turbine.  
201 As it can be seen from the figure, there are improvements in the aerodynamic performance for wind  
202 turbines with some swept blades compared to the baseline case. The wind turbine with the swept blade  
203 "f1520" has the highest performance increase with a value of almost 2.9%. Also, it can be observed that  
204 the power output does not increase for every forward swept blade. The thrust coefficients mostly  
205 decreases for the wind turbines with backward swept blades and this causes a drop in power  
206 performance. It should be noted that for the wind turbine with the swept blade "f1520" there was a  
207 smaller increase in thrust coefficient compared to the power coefficient. The changes in power and  
208 thrust coefficients appear to be similar for the backward swept blades, especially for those with smaller



209 sweep start up sections. It is clear from the surface plots that increase in power production is obtained  
 210 in forward swept blades that have smaller sweep start up sections and higher tip displacements.  
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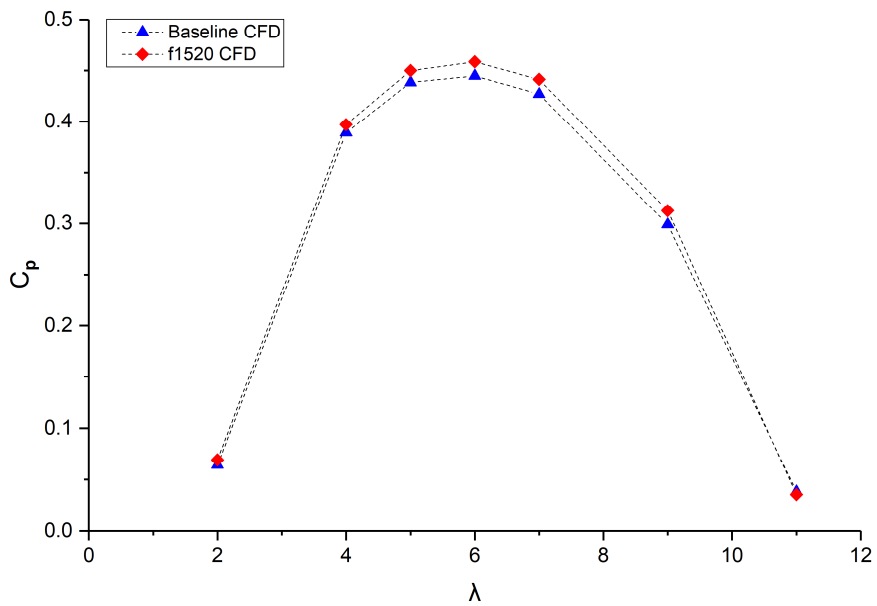
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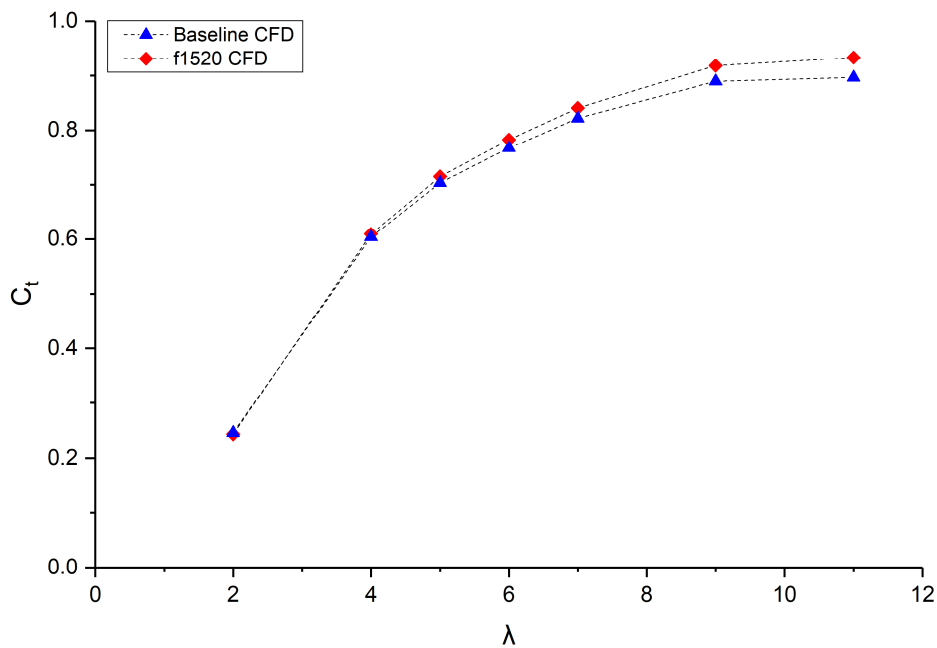
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216 **Fig. 6.** Surface plots showing the change in  $C_p$  and  $C_t$  for the forward (top) and backward (bottom)  
 217 swept blades according to sweep start section ( $r_{ss}/R$ ) and tip displacement ( $d/R$ ).  
 218

219 Fig. 7 and Fig. 8 compare the  $C_p - \lambda$  and  $C_t - \lambda$  curves for the baseline and the most efficient design and  
 220 it is observed that there is an increase in the power coefficient at almost all tip speed ratios. As expected,  
 221 the increase in the power coefficient has a cost, namely an increase in the thrust coefficient.  
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225 **Fig. 7.** Comparison of the  $C_p - \lambda$  curves for the baseline and most efficient design.

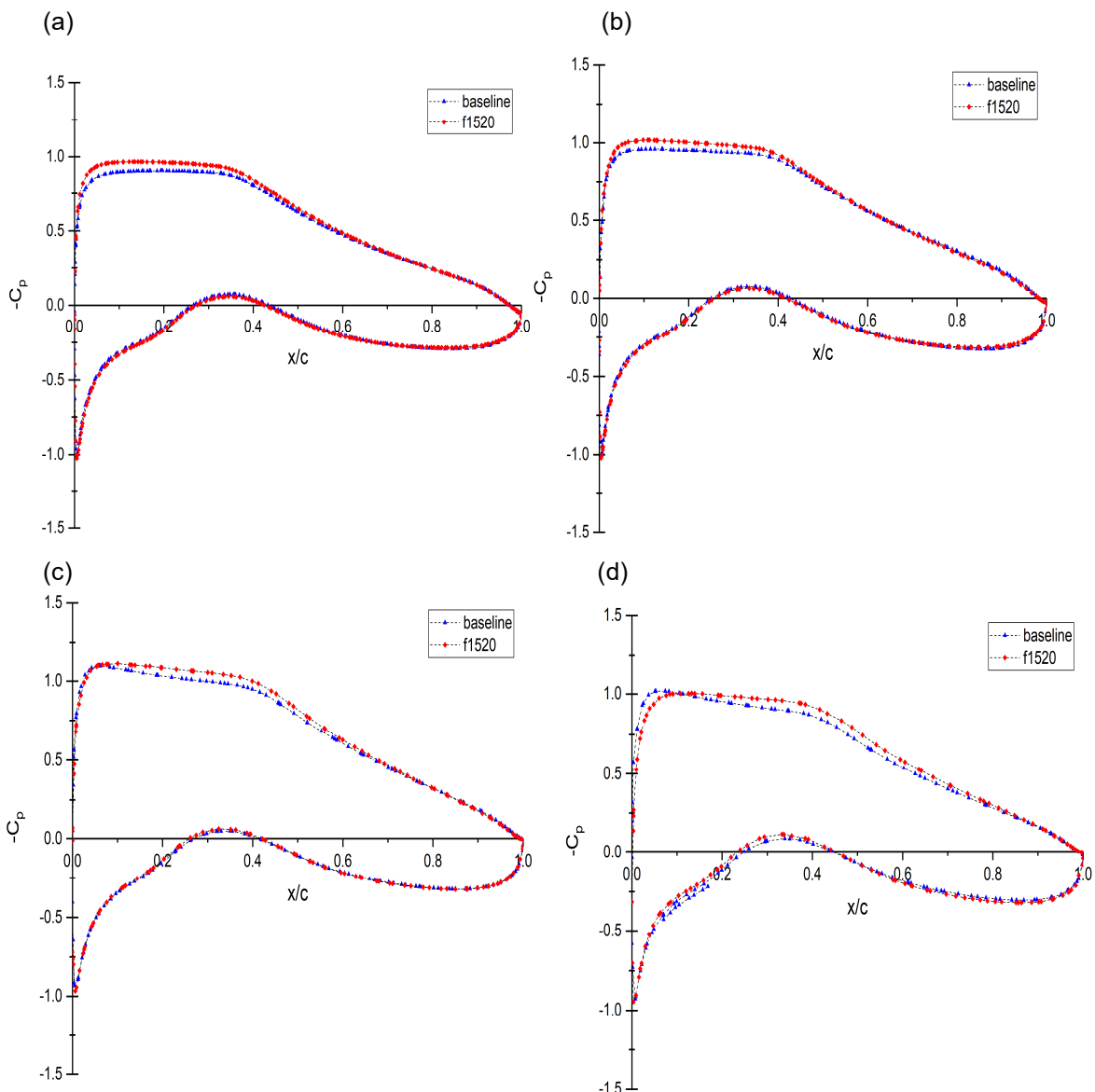


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229 **Fig. 8.** Comparison of the  $C_t - \lambda$  curves for the baseline and most efficient design.

230 The pressure distributions for the baseline and the most efficient designs are compared for four sections  
 231 of the blade,  $r/R=0.25, 0.50, 0.80$  and  $0.95$ , in Fig. 9. As it can be observed, f1520 has a slightly higher  
 232 pressure difference between the suction and pressure sides at each given section along the blade  
 233 compared to the baseline. The difference is clearer from the leading edge to the section at  $x/c=0.4$ .  
 234 Since the area integral over the closed pressure coefficient curve is the lift coefficient of the section, a  
 235 larger area leads to a higher lift (Al-Abadi, 2014).

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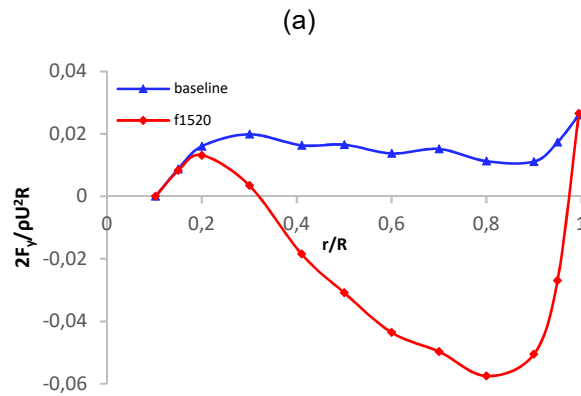
**Fig. 9.** Pressure distribution comparisons at (a)  $r/R=0.25$ ; (b)  $r/R=0.5$ , (c)  $r/R=0.80$  and (d)  $r/R=0.95$ .

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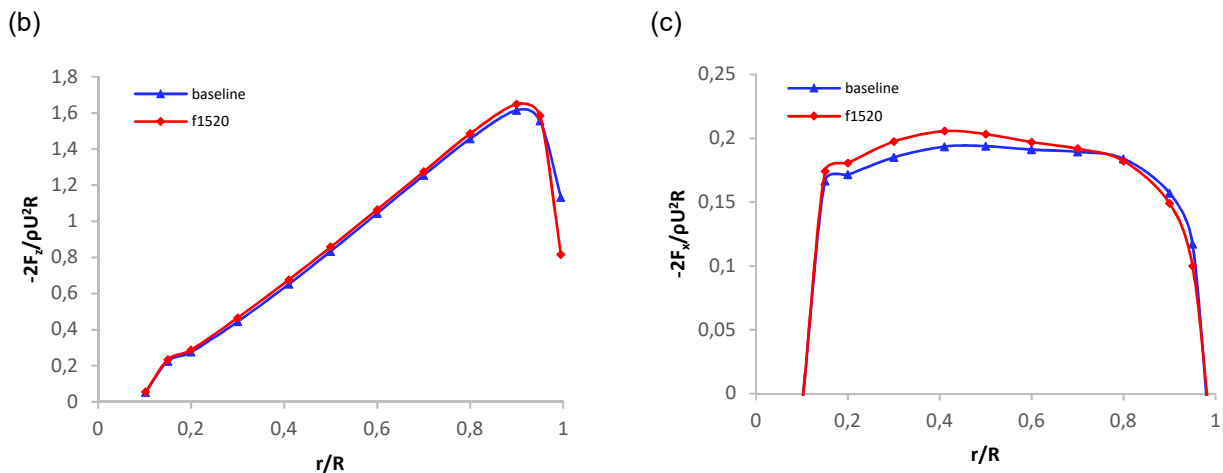
243 One reason for the increase in the power coefficient could be the increase in the flow stream around  
 244 the blade (Khalafallah, 2015; Sairam and Turner, 2014). According to Sairam and Turner (2014), the  
 245 radial force variations play a dramatic role in the wind turbine performance since the radial force  
 246 variation creates streamline curvature that expands the stream tube which causes the wind to slow  
 247 down near the leading edge of the blade. The radial force distributions are compared for the f1520 and  
 248 baseline blades in Fig. 10 (a) and the observed data verify the previous statement, and f1520 has mostly  
 249 a negative radial force distribution whereas the baseline blade has a positive radial force distribution.  
 250 In Fig. 10 (b), streamwise force distributions on the blades are compared and as it can be observed  
 251 from the figure, the curves are very similar for both blades except that there is a small difference near  
 252 the tip. As for the tangential force distributions on the blades, Fig. 10 (c), the f1520 has clearly a higher  
 253 tangential force in most of the blade sections, especially between  $r/R=0.2$  and  $r/R=0.5$ .

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**Fig. 10.** Dimensionless (a) radial force,  $F_y$ , (b) streamwise force,  $F_z$ , and (c) tangential force,  $F_x$ , distributions along the span of the baseline and f1520 blades.

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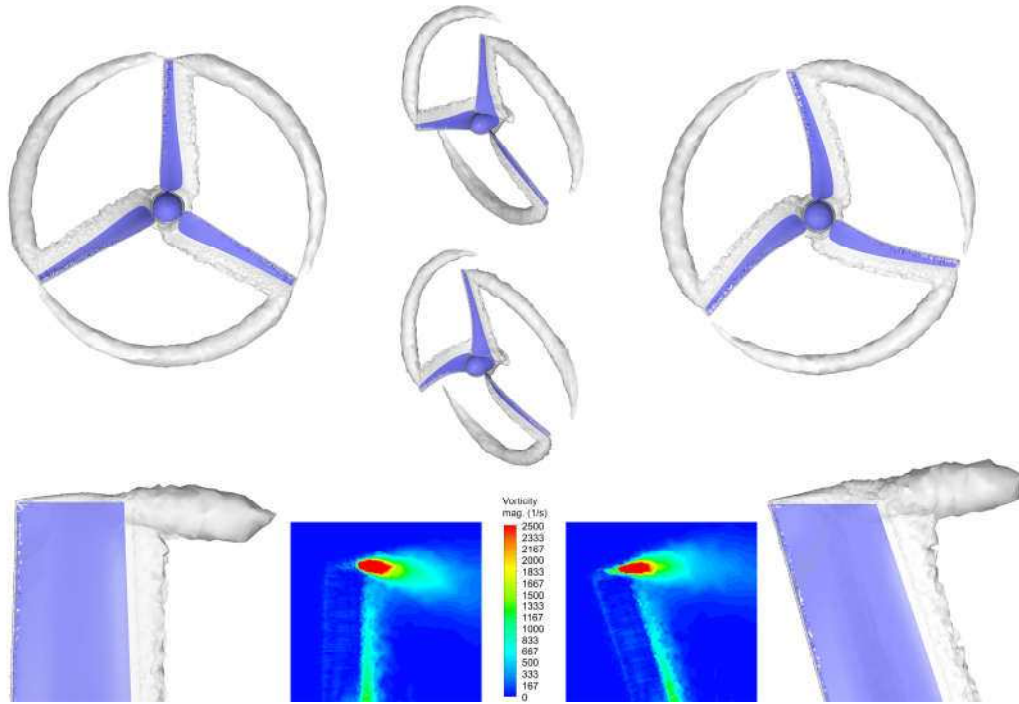
264 Finally, Fig. 11 shows iso-surfaces of the vorticity magnitude (top  $\omega = 70 \text{ s}^{-1}$ , bottom  $\omega = 2500 \text{ s}^{-1}$ ) and contours of the vorticity at a quarter tip chord downstream of the blades for the baseline (left) and f1520 (right) blades. It is observed from the figure that the blade tip vortices are similar for both blades; however, the tip vortex for the f1520 blade appears to be slightly less intense.

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## 5. Conclusion

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This study investigates the aerodynamic performances of horizontal axis wind turbines with forward and backward blades using the NTNU wind turbine as a baseline. CFD simulations are performed for the wind turbines that have four sweep start locations and four offset values. The highest power performance improvement has been obtained for the wind turbine with the forward swept blade that has sweep start up at  $r_{ss}/R=0.15$  and tip offset of  $d/D=0.2$  (f1520) showing an increase in the power coefficient of about 2.9%. The highest drop in the thrust coefficient is obtained with the backward swept blade that has sweep start up at  $r_{ss}/R=0.75$  and tip displacement of  $d/D=0.2$  (b7520). Overall, more power is obtained for the forward swept blades with smaller sweep start up sections and higher tip offset values. In conclusion, performance improvement can be made with forward swept blades while a reduction in thrust coefficient could be obtained using the backward swept blades.



283  
284 **Fig. 11.** Iso-surfaces of vorticity magnitude (top  $\omega = 70 \text{ s}^{-1}$ , bottom  $\omega = 2500 \text{ s}^{-1}$ ) and contours of  
285 vorticity magnitude for the baseline (left) and f1520 (right) blades.

286  
287 **ACKNOWLEDGEMENT**

288  
289 Mehmet Numan Kaya would like to thank to TÜBİTAK (The Scientific and Technological Research  
290 Council of Turkey) for granting him a national and international PhD fellowship during his PhD studies.  
291 This work prepared as a part of his PhD study.

292  
293 **Nomenclature**

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295	$C_p$	power coefficient
296	$C_t$	thrust coefficient
297	b	backward
298	f	forward
299	$F_x$	local force per unit width in the tangential direction
300	$F_y$	local force per unit width in the radial direction
301	$F_z$	local force per unit width in the streamwise direction
302	$M$	mode (strength) of the sweep
303	$P_s$	ratio of the tip offset to the blade radius ( $P_s = d/R$ )
304	$P_r$	ratio of the radial distance to the blade radius ( $P_r = r_r/R$ )
305	$P_{r_{ss}}$	ratio of the radial distance of the sweep start up to the blade radius ( $P_{r_{ss}} = r_{ss}/R$ )
306	$R$	blade radius
307	$r_{ss}$	radial distance of the sweep start section
308	$r_r$	radial distance of the section
309	$\omega$	vorticity

310  $z_{\text{offset}}$  offset of the blade section from the pitchline  
 311  $z_{\text{offset,tip}}$  offset at the tip of the blade ( $z_{\text{offset,tip}} = R \times P_s$ )  
 312  $\lambda$  tip speed ratio

313  
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