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**Multistage Output-lifting Eigenstructure Assignment: A
Multirate Ball and Plate Example**

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10 ***Multistage Output-lifting Eigenstructure Assignment: A Multirate Ball and***
11 ***Plate Example***
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17 By exploiting both the left and the right allowable subspaces in consecutive stages, this paper extends
18 a recently-developed output-lifting eigenstructure assignment approach into a multistage eigenstructure
19 assignment scheme. In this scheme, design degrees of freedom, enlarged via output-lifting, are further
20 exploited to improve eigenvector assignment. To mitigate the inherent conflicts between the theoretical
21 development of eigenstructure assignment and inherent physical system characteristics, the paper also
22 clearly demonstrates how to derive an ideal eigenstructure, particularly the desired eigenvectors, to
23 distribute and decouple the natural modes among appropriate states or outputs, based upon an example:
24 a novel multirate Ball and Plate system. The design and simulation results show the efficacy of the
25 scheme.

26 **Keywords:** lifting; eigenstructure assignment; ball and plate system; allowable subspace
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Nomenclature

The reader is referred to Figures 1 to 3.

a	Length of the plate
b	Width of the plate
\tilde{b}	Damping constant of the rotational mechanical system
d	Ball displacement relative to the plate
f_x	External force applied at x
f_y	External force applied on y
h	Ball vertical displacement along \vec{ok}
I_i	Armature current flow into the i th motor
J_b	Moment of Inertial of the ball
J_m	Moment of inertia of the rotor
J_p	Moment of Inertial of the plate
K_e	Motor constant related to the back electromotive force
K_t	Electromotive force constant
l	Length of the motor shaft
L_a	Armature induction
m	Mass of the ball
m_{ω_x}	Moment applied to the ball along ω_x
m_{ω_y}	Moment applied to the ball along ω_y
\tilde{p}	Plate angular velocity along \vec{oi}
\tilde{q}	Plate angular velocity along \vec{oj}
\tilde{r}	Plate angular velocity along \vec{ok}
r_b	Radius of the ball
R_m	Armature resistance
s	Ball displacement relative to the earth
\bar{s}_1	Ball displacement along \vec{oi}
\bar{s}_2	Ball displacement along \vec{oj}
V_i	Input voltage applied to the armature of the i th motor
x	Ball displacement along \vec{ol}
y	Ball displacement along \vec{om}
Z_i	Vertical displacements of three push-rods
α_i	Rotation angle of three DC motor
ϕ	Plate rotation angle along \vec{ol}
θ	Plate rotation angle along \vec{om}
ω	Ball angular velocity relative to the earth
ω_p	Plate angular velocity relative to the earth
ω_x	Ball angular velocity along \vec{ol}
ω_y	Ball angular velocity along \vec{om}
ω_z	Ball angular velocity along \vec{on}

1. Introduction

Through synthesizing a feedback gain matrix that matches the closed-loop eigenstructure as closely as possible to an ideal set, eigenstructure assignment (EA) ensures some useful properties such as stability robustness, desired transient response, mode decoupling and disturbance rejection (Alireza & Batool, 2012; B. Chen & Nagarajaiah, 2007; Duval, Clerc, & LeGorrec, 2006; Farineau, 1989; Kshatriya, Annakkage, Hughes, & Gole, 2007; Lhachemi, Saussie, & Zhu, 2017; G. P. Liu & Pat-

ton, 1998; Y. Liu, Tan, Wang, & Wang, 2013; Moore, 1976; Ntogramatzidis, Nguyen, & Schmid, 2015; Ouyang, Richiedei, Trevisani, & Zanardo, 2012; Patton, Liu, & Patel, 1995; Piou & Sobel, 1994, 1995; Pomfret & Clarke, 2009; Wahrburg & Adamy, 2013; White, 1995; White, Bruyere, & Tsourdos, 2007). Compared with many competitive approaches that only achieve placement of the desired eigenvalues of the closed-loop system, EA additionally manipulates the direction of the eigenvectors. These define how system inputs will affect system modes and how system modes will be assigned to system states/outputs. Thus realistic control effect or the quality of control performance, e.g. the handling qualities of a flight controlled aircraft, can be achieved in a straightforward manner. Furthermore, EA ensures a 'visible' design process which may reduce time consumed in post-processing for tuning purposes. The development of EA has not been widely pursued in the recent years due to a) traditional EA, particularly output feedback EA, requiring significant design degrees of freedom (DoF) (Andry, Shapiro, & Chung, 1983; Clarke, Ensor, & Griffin, 2003; Clarke & Griffin, 2004; Clarke, Griffin, & Ensor, 2003; Pomfret, Clarke, & Ensor, 2005; Roppenecker & O'Reilly, 1989; Srinathkumar, 1978; Zhao & Lam, 2016a, 2016b). b) Very few works (Clarke, Ensor, & Griffin, 2003; Garrard, Low, & Prouty, 1989; Low & Garrard, 1993) attempting to analyze how to derive an ideal eigenstructure, particularly the ideal eigenvectors, that reflect the inherent physical characteristics of the target system. This paper aims to cope with above two bottlenecks.

In the literature, Piou and Sobel (1994, 1995) developed the multirate EA approach, but the concept of 'lifting' (T. Chen & Francis, 1995) was not taken into account. The lifting framework and EA were first time combined by Patton et al. (1995) to enlarge DoF, however this approach only lifted system inputs and generated inter-sample ripple. Recently, L. Chen, Pomfret, and Clarke (2017) developed output lifting EA which largely improved DoF and the single-rate full state feedback eigenstructure can be assigned in an output feedback framework, particularly when the Kimura condition (Kimura, 1975) is not satisfied.

Although output-lifting allows full state feedback eigenstructure to be assigned from the right allowable subspace, an exact assignment of desired eigenvectors (i.e. assignment of exactly the same elements of each eigenvector) is difficult to be achieved due to the limited dimension of the allowable right subspace determined by the number of system inputs. Since output-lifting only enlarges the effective number of system outputs, the dimension of the right allowable subspace is invariant. If only the right allowable subspace is used for eigenvector assignment, the maximum number of exactly assignable elements of each eigenvector, which equates to the number of system inputs, cannot be enlarged anymore. Exploiting the fact that output-lifting has the capability to enlarge the number of effective system outputs, the dimension of the left allowable subspace is thus increased. If the dimension of the left allowable subspace becomes larger than one of the right allowable subspace after output-lifting, a left allowable subspace based eigenvector assignment can further improve achieved eigenvectors and allows more elements of each eigenvector to be exactly assigned. Instead of only using the right allowable subspace for eigenvector assignment (the maximum number of exactly assignable elements of each eigenvector equates to the number of system inputs), this paper developed a multistage output-lifting EA scheme in which both the left and right allowable subspaces are used for EA. In the second stage where the left allowable subspace is used for eigenvector assignment, the partially assigned eigenvectors should contain more elements of desired eigenvectors due to output-lifting.

To mitigate the inherent conflict between the *theoretical* development of EA with the *actual* system inherent physical characteristics, this paper also clearly demonstrates how to derive an ideal eigenstructure based upon a genuine example of a novel multirate Ball and Plate system. Not only are the natural modes of Ball and Plate system decoupled and distributed in appropriate states or outputs, but also the eigenvectors are derived to be consistent with the physical relationships between Ball and Plate system states. Due to an otherwise lack of DoF, multistage output-lifting EA is applied to Ball and Plate system.

The main contributions of the paper are a) enhancing the DoF induced by output-lifting using multistage EA. Eigenvector assignment can be improved through the exploitation of the enlarged

left allowable subspace. b) demonstration of how to determine a practical eigenstructure using a Ball and Plate system example. Compared with other methods described in the literature (Garrard et al. (1989); Low and Garrard (1993)), a more transparent approach to selecting a set of ideal eigenvectors, parameterised by the desired eigenvalues, is developed in this paper.

The remainder of the paper is outlined as follows: Section 2 briefly introduces output-lifting EA, followed by the development of multistage output-lifting EA in Section 3. The mathematical model of a Ball and Plate system is developed in Section 4. Section 5 describes how to derive an ideal eigenstructure based upon the system physical characteristics. In Section 6, multistage output-lifting EA is then applied to this Ball and Plate system. The design and simulation results demonstrate the efficacy of the scheme.

2. Output-lifting eigenstructure assignment

In this section, the output-lifting EA approach (L. Chen et al., 2017) will be introduced briefly. Consider a discrete-time, controllable and observable linear time-invariant system

$$\begin{aligned} \dot{x} &= Ax + Bu \\ y &= Cx + Du \end{aligned} \quad (1)$$

where $A \in \mathbb{R}^{n \times n}$, $B \in \mathbb{R}^{n \times r}$, $C \in \mathbb{R}^{m \times n}$ and $D \in \mathbb{R}^{m \times r}$ are matrices with $\text{rank}(B) = r$ and $\text{rank}(C) = m$. Suppose the system in (1) is discretized at the base sampling period T_b which is any common factor of the input and output sampling periods. Furthermore, the hold circuit and the sampler work with sample periods of $q_i T_b$ and $p_o T_b$ respectively. Then the main sampling period of the system becomes $T = \text{l.c.m}(p_o, q_i) * T_b$ where l.c.m stands for least common multiple. Suppose system inputs and outputs are lifted by q and p respectively, where

$$q = \frac{\text{l.c.m}(p_o, q_i)}{q_i} \quad \text{and} \quad p = \frac{\text{l.c.m}(p_o, q_i)}{p_i} \quad (2)$$

denote the input ratio and the output ratio, respectively. As in T. Chen and Francis (1995), a controllable and observable lifted system has the form

$$\begin{aligned} x(k+1) &= A_L x(k) + B_L \bar{u}(k) \\ \bar{y}(k) &= C_L x(k) + D_L \bar{u}(k) \end{aligned} \quad (3)$$

where $x(k) := x(kT)$. In (3), lifted inputs $\bar{u}(k)$ and outputs $\bar{y}(k)$ are

$$\bar{u}(k) := \begin{bmatrix} u(kT) \\ u(kT + pT_b) \\ u(kT + 2pT_b) \\ \vdots \\ u(kT + (pq - p)T_b) \end{bmatrix} \quad \bar{y}(k) := \begin{bmatrix} y(kT) \\ y(kT + qT_b) \\ y(kT + 2qT_b) \\ \vdots \\ y(kT + (pq - q)T_b) \end{bmatrix} \quad (4)$$

and the matrices $A_L \in \mathbb{R}^{n \times n}$, $B_L \in \mathbb{R}^{n \times qr}$, $C_L \in \mathbb{R}^{pm \times n}$ and $D_L \in \mathbb{R}^{pm \times qr}$ are

$$\left[\begin{array}{c|c} A_L & B_L \\ \hline C_L & D_L \end{array} \right] := \left[\begin{array}{c|cccc} A^{pq} & \sum_{i=pq-p}^{pq-1} A^i B & \sum_{i=pq-2p}^{pq-p-1} A^i B & \cdots & \sum_{i=0}^{p-1} A^i B \\ \hline C & D_{0,0} & D_{0,1} & \cdots & D_{0,q-1} \\ CA^q & D_{1,0} & D_{1,1} & \cdots & D_{1,q-1} \\ CA^{2q} & D_{2,0} & D_{2,1} & \cdots & D_{2,q-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ CA^{p^q-q} & D_{p-1,0} & D_{p-1,1} & \cdots & D_{p-1,q-1} \end{array} \right] \quad (5)$$

where the element $D_{i,j}$ is defined as

$$D_{i,j} = D\mathcal{X}_{[jp,(j+1)p)}(iq) + \sum_{h=jp}^{(j+1)p-1} CA^{in-1-h} B\mathcal{X}_{[0,iq)}(h) \quad (6)$$

and the characteristic function on integers $\mathcal{X}_{[a,b)}(h)$ is

$$\mathcal{X}_{[a,b)}(h) = \begin{cases} I & a \leq h < b \\ 0 & \text{otherwise} \end{cases}$$

From (5), the number of effective system inputs and outputs are enlarged (i.e. $r \rightarrow qr$ and $m \rightarrow pm$) and therefore extra DoF may be parameterised for the synthesis of the gain matrix $K \in \mathbb{R}^{r \times pm}$. In this paper, the eigenvalues are assumed to be self-conjugate and pole-assignable. This assumption ensures the gain matrix K is real (Kimura, 1977). Applying an static output feedback law $\bar{u}(k) = K\bar{y}(k) + \bar{r}(k)$ to (3) yields the closed-loop system

$$\begin{aligned} x(k+1) &= (A_L + B_L N C_L)x(k) + (B_L + B_L N D_L)\bar{r}(k) \\ \bar{y}(k) &= (C_L + D_L N C_L)x(k) + (D_L + D_L N D_L)\bar{r}(k) \end{aligned} \quad (7)$$

where \bar{r} represents lifted exogenous inputs and

$$N = (I - K D_L)^{-1} K \quad (8)$$

Using the fact that the i th distinct closed-loop right eigenpair (a pair of eigenvalue and eigenvector), i.e. λ_i and v_i , satisfies

$$(A_L + B_L N C_L)v_i = \lambda_i v_i \quad (9)$$

$$0 = [A_L - \lambda_i I \quad B_L] \begin{bmatrix} v_i \\ N C_L v_i \end{bmatrix} \quad (10)$$

and therefore $\begin{bmatrix} v_i \\ N C_L v_i \end{bmatrix}$ belongs to the nullspace of $[A_L - \lambda_i I \quad B_L]$ i.e. the right allowable subspace. For some $f_i \in \mathbb{C}^{qr \times 1}$,

$$\begin{bmatrix} v_i \\ N C_L v_i \end{bmatrix} = \begin{bmatrix} P_i \\ Q_i \end{bmatrix} f_i \quad (11)$$

where

$$\text{range} \left(\begin{bmatrix} P_i \\ Q_i \end{bmatrix} \right) = \text{null}([A_L - \lambda_i I \quad B_L]) \quad (12)$$

and $P_i \in \mathbb{C}^{n \times qr}$ is an orthonormal basis of the right allowable subspace used to select v_i and $Q_i \in \mathbb{C}^{qr \times qr}$. Once the design vector f_i has been established, the matrices V, S are calculated via

$$\begin{aligned} V &= [v_1 \dots v_n] = [P_1 f_1, \dots, P_n f_n] \\ S &= NC_L V = [Q_1 f_1, \dots, Q_n f_n] \end{aligned} \quad (13)$$

Suppose it follows from (5) that $pm \geq n$, then substituting (8) into (13) yields

$$S = (I - KD_L)^{-1} KC_L V = K(D_L S + C_L V) \quad (14)$$

and the gain matrix can be calculated as

$$K = S(C_L V + D_L S)^\dagger + \Xi(I - (C_L V + D_L S)(C_L V + D_L S)^\dagger) \quad (15)$$

where $(\cdot)^\dagger$ is the Moore-Penrose inverse operation and Ξ is the free matrix to be parameterised.

Remark 2.1: From (10), the dimension of the right allowable subspace corresponding to an output-lifting system ($q = 1$) is r due to $\text{rank}(B_L) = r$, and therefore output-lifting does not enlarge the dimension of the allowable right subspace compared with one associated with full state feedback. The maximum number of exactly assignable elements of each eigenvector is r .

3. Multistage output-lifting eigenstructure assignment

This section further exploits DoF induced by output-lifting EA. Instead of using only the right allowable subspace, the left allowable subspace with an increased dimension induced by output-lifting will be used to improve the assigned eigenvectors and hence the maximum number of exactly assignable elements of each eigenvector is enlarged. Furthermore, the eigenstructure will be assigned in two consecutive stages. It is assumed that at each stage, the desired eigenvalues are self-conjugate and pole-assignable. In this paper, the right s_1 ($s_1 \leq n-1$) eigenpairs are chosen to be assigned, via the right allowable subspace, in the first stage. The remaining left s_2 ($s_2 = n - s_1 \leq r$) eigenpairs are assigned, via the left allowable subspace, in the second stage. (Note that in a dual situation, the left s_1 ($s_1 \leq r-1$) eigenpairs can be assigned in the first stage and the remaining right s_2 ($s_2 = n - s_1 \leq n-1$) eigenpairs assigned in the second stage.)

3.1 1st stage

At this stage, the first s_1 eigenpairs are assigned and then protected for the second stage. As described in Section 2, the first s_1 right eigenpairs satisfy

$$(A_L + B_L N_1 C_L)v_i = \lambda_i v_i \quad (16)$$

$$0 = [A_L - \lambda_i I \quad B_L] \begin{bmatrix} v_i \\ N_1 C_L v_i \end{bmatrix} \quad (17)$$

where v_i represents the i th right eigenvector and $N_1 \in \mathbb{R}^{r \times pm}$. Let

$$\text{range} \left(\begin{bmatrix} P_i \\ Q_i \end{bmatrix} \right) = \text{null}([A_L - \lambda_i I \quad B_L]) \quad (18)$$

where $P_i \in \mathbb{C}^{n \times r}$ is an orthonormal basis for the right allowable subspace used to select v_i and $Q_i \in \mathbb{C}^{r \times r}$. By establishing the design vector $f_i \in \mathbb{C}^r$ from the right allowable subspace according to

$$\begin{bmatrix} v_i \\ N_1 C_L v_i \end{bmatrix} = \begin{bmatrix} P_i \\ Q_i \end{bmatrix} f_i \quad (19)$$

The achieved eigenvector matrix $V_1 \in \mathbb{C}^{n \times s_1}$ and $S_1 \in \mathbb{C}^{r \times s_1}$ are

$$\begin{aligned} V_1 &= [v_1 \dots v_{s_1}] = [P_1 f_1, \dots, P_{s_1} f_{s_1}] \\ S_1 &= N_1 C_L V_1 = [Q_1 f_1, \dots, Q_{s_1} f_{s_1}] \end{aligned} \quad (20)$$

Due to output-lifting, $\text{rank}(C_L) = n$ and therefore $pm \geq s_1$, it follows from (20) that

$$N_1 = S_1 (C_L V_1)^\dagger + Z (I - (C_L V_1)(C_L V_1)^\dagger) \quad (21)$$

where Z is the free matrix.

Using the fact that the eigenstructure associated with the uncontrollable eigenvalues as well as those associated with the unobservable eigenvalues are invariant under output feedback (Clarke & Griffin, 2004; Fahmy & O'Reilly, 1988), in this stage, the partial eigenstructure assigned in the first stage will be protected via an output reduction. Then the protected eigenvalues will become unobservable (but controllable) corresponding to the output-reduced system.

Define a non-zero output-reduction matrix $X \in \mathbb{R}^{(pm-s_1) \times pm}$ such that

$$X(C_L + D_L N_1 C_L)V_1 = 0 \quad (22)$$

where V_1 is the eigenvector matrix assigned in the first stage and X is spanned from the basis of the nullspace of $((C_L + D_L N_1 C_L)V_1)^T$.

Let $\tilde{A} = A_L + B_L N_1 C_L$, $\tilde{B} = B_L + B_L N_1 C_L$, $\tilde{C} = X(C_L + D_L N_1 C_L)$ and $\tilde{D} = X(D_L + D_L N_1 C_L)$, the output-reduced system $(\tilde{A}, \tilde{B}, \tilde{C}, \tilde{D})$ has r inputs, $pm - s_1$ outputs and s_1 unobservable (but controllable) eigenvalues corresponding to those assigned in the first stage.

3.2 2nd stage

The second stage aims to develop a matrix $N_2 \in \mathbb{R}^{r \times (pm-s_1)}$ such that the closed-loop system $\tilde{A} + \tilde{B}N_2\tilde{C}$ is assigned the remaining $s_2 = n - s_1$ eigenvalues (i.e. λ_j for $j = s_1 + 1, \dots, n$) only with an associated subset of left eigenvectors. Clearly left eigenvectors are each characterized by a $pm - s_1$ dimensional left parameter vector. This raises the possibility for further improving the eigenvectors when $pm - s_1 - 1 \geq r$, which represents one of the main motivations of this paper.

In this stage, the remaining left s_2 eigenpairs of $\tilde{A} + \tilde{B}N_2\tilde{C}$ satisfy

$$w_j(\tilde{A} + \tilde{B}N_2\tilde{C}) = w_j\lambda_j \quad (23)$$

where w_j represents the j th left eigenvector. Equation (23) is equivalent to

$$[(\tilde{A} - \lambda_j I)^T \quad \tilde{C}^T] \begin{bmatrix} w_j^T \\ (\tilde{B}N_2)^T w_j^T \end{bmatrix} = 0 \quad (24)$$

From (24), $\begin{bmatrix} w_j^T \\ (\tilde{B}N_2)^T w_j^T \end{bmatrix}$ belongs to the nullspace of $[(\tilde{A} - \lambda_j I)^T \quad \tilde{C}^T]$ which defines the left allowable subspace.

Remark 3.1: Clearly from (24), the dimension of the left allowable subspace is $pm - s_1$ and hence the maximum number of exactly assignable elements of each eigenvector becomes $pm - s_1$. This implies another potential benefit of using output-lifting if $pm - s_1 > r$, which was not exploited by L. Chen et al. (2017).

Let

$$\text{range} \left(\begin{bmatrix} L_j \\ M_j \end{bmatrix} \right) = \text{null}((\tilde{A} - \lambda_j I)^T \quad \tilde{C}^T) \quad (25)$$

where $L_j \in \mathbb{C}^{n \times (pm - s_1)}$ and $M_j \in \mathbb{C}^{(pm - s_1) \times (pm - s_1)}$. For some $g_j \in \mathbb{C}^{pm - s_1}$,

$$\begin{bmatrix} w_j^T \\ (\tilde{B}N_2)^T w_j^T \end{bmatrix} = \begin{bmatrix} L_j \\ M_j \end{bmatrix} g_j \quad (26)$$

Once the design vector g_j has been established, the matrices $W_2 \in \mathbb{C}^{s_2 \times n}$ and $S_2 \in \mathbb{C}^{s_2 \times (pm - s_1)}$ can be calculated through

$$\begin{aligned} W_2 &= [w_{n-r+1}^T \dots w_n^T]^T = [L_{s_1+1}g_{s_1+1}, \dots, L_n g_n]^T \\ S_2 &= W_2 \tilde{B}N_2 = [M_{s_1+1}g_{s_1+1}, \dots, M_n g_n]^T \end{aligned} \quad (27)$$

Since $s_2 \leq r$, the matrix N_2 can be calculated as

$$N_2 = (W_2 \tilde{B})^\dagger S_2 + (I - (W_2 \tilde{B})^\dagger (W_2 \tilde{B})) \tilde{Z} \quad (28)$$

where \tilde{Z} represents a free matrix.

3.3 The final gain matrix

Proposition 3.1: The gain matrix can be recovered from

$$K = N(I + D_L N)^{-1} \quad (29)$$

where

$$N = N_1 + (I + N_1 D_L) N_2 X (I + D_L N_1) \quad (30)$$

where the matrices N_1 and N_2 are calculated in the stage one and the stage two respectively.

Proof. The closed-loop system matrix $(\tilde{A} + \tilde{B}N_2\tilde{C})$, with N_2 developed in the second stage, can be written as

$$\begin{aligned}\tilde{A} + \tilde{B}N_2\tilde{C} &= A_L + B_L N_1 C_L + (B_L + B_L N_1 D_L) N_2 X (C_L + D_L N_1 C_L) \\ &= A_L + B_L N_1 C_L + B_L (I + N_1 D_L) N_2 X (I + D_L N_1) C_L \\ &= A_L + B_L (N_1 + (I + N_1 D_L) N_2 X (I + D_L N_1)) C_L\end{aligned}\quad (31)$$

Substituting (30) into (31) yields $\tilde{A} + \tilde{B}N_2\tilde{C} = A_L + B_L N C_L$ and therefore the matrix N is able to achieve an improved eigenstructure corresponding to the original system in (3). Then the gain matrix in (29) can be recovered from (8). \square

Remark 3.2: *As argued in L. Chen et al. (2017), the term $I + D_L N$ can always be ensured to be non-singular via choosing a set of suitable desired eigenvectors (L. Chen et al., 2017).*

Remark 3.3: *With the causality constraint induced by output-lifting, any fully-populated gain matrix, calculated from (29), may be non-causal. As in L. Chen et al. (2017), a structural mapping can be used to relax the causality constraint.*

4. The mathematical model of Ball and Plate system

As a representative of a genuine application, a Ball and Plate system has been used for the validation and verification of various advanced multivariable control techniques (Awtar et al., 2002; Castro, Flores, Salton, & Pereira, 2014; Date, Sampei, Ishikawa, & Koga, 2004; Fan, Zhang, & Teng, 2004; Oriolo & Vendittelli, 2005; Wang, Sun, Wang, Liu, & Chen, 2014; Yuan & Zhang, 2010). The entire system model is comprised of three components, which are the Ball and Plate dynamics, the motor dynamics and the push-rod systems which establish the physical relationships between the motors and the plate. In this paper, a novel Ball and Plate system model is devised where three electric motors work cooperatively. Not only can a predefined the ball trajectory on the plate be achieved, but also the ball is maintained at a fixed height. As a consequence, the tilt axes of the plate are always underneath the ball.

The system physical structure is shown in Fig. 1 in which the rotation angles of the motor shafts are measured by the digital encoders. The tilt angles of the plate are measured by two angle sensors and a digital camera sitting above the plate measures the position and velocity of the ball. In contrast to the conventional Ball and Plate system in which only two motors are used to tilt the plate along two orthogonal axes located on the symmetric centre of the plate, here the plate is supported by three vertical pushrods which allow the tilt axis of the plate to remain underneath the rolling ball and therefore the ball will not be launched off the plate in the situation where the distance between the tilt axis and the ball is large. The control objectives are to make the ball roll along a predefined trajectory on the plate whilst maintaining the ball at a fixed datum height.

Consider a Ball and Plate system with the kinetic energy

$$U = \frac{1}{2} m \dot{s}^2 + \frac{1}{2} J_b \omega^2 + \frac{1}{2} J_p \omega_p^2 \quad (32)$$

and the potential energy

$$T = mgh \quad (33)$$

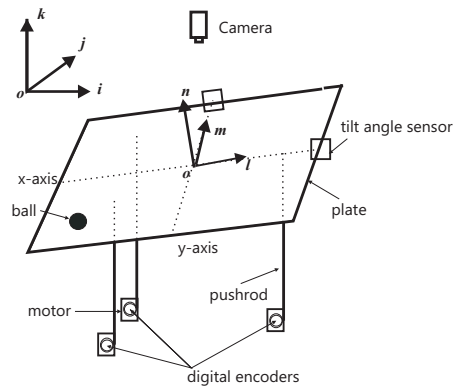


Figure 1. Physical Structure of Ball and Plate System

Then Lagrangian can be written as

$$L = \frac{1}{2}m\dot{s}^2 + \frac{1}{2}J_b\omega^2 + \frac{1}{2}J_p\omega_p^2 - mgh \quad (34)$$

By letting the plate rotate through θ followed by ϕ , a mapping between the local and inertial coordinates can be established as

$$\begin{bmatrix} o\vec{l} \\ o\vec{m} \\ o\vec{n} \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \sin \phi & -\sin \theta \cos \phi \\ 0 & \cos \phi & \sin \phi \\ \sin \theta & -\cos \theta \sin \phi & \cos \theta \cos \phi \end{bmatrix} \begin{bmatrix} o\vec{i} \\ o\vec{j} \\ o\vec{k} \end{bmatrix} \quad (35)$$

where $\{o\vec{i}, o\vec{j}, o\vec{k}\}$ denotes the inertial coordinate and $\{o\vec{l}, o\vec{m}, o\vec{n}\}$ denotes the local coordinate of the plate or the coordinate of the ball relative to the plate. Suppose the coordinate of the ball relative to the plate is

$$d = x\vec{l} + y\vec{m} + r_b\vec{n} \quad (36)$$

From (35), the displacement of the ball corresponding to the plate in the inertial coordinate is

$$s = \bar{s}_1\vec{i} + \bar{s}_2\vec{j} + h\vec{k} \quad (37)$$

where

$$\begin{bmatrix} \bar{s}_1 \\ \bar{s}_2 \\ h \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ \sin \theta \sin \phi & \cos \phi & -\cos \theta \sin \phi \\ -\sin \theta \cos \phi & \sin \phi & \cos \theta \cos \phi \end{bmatrix} \begin{bmatrix} x \\ y \\ r_b \end{bmatrix} \quad (38)$$

From (35) and (37) it follows

$$\dot{s} = (\dot{x} + r_b\dot{\theta} - y \sin \theta \dot{\phi})\vec{l} + (\dot{y} + \dot{\phi}(x \sin \theta - r_b \cos \theta))\vec{m} + (y \cos \theta \dot{\phi} - x\dot{\theta})\vec{n} \quad (39)$$

Defining an angular velocity coordinate transformation as

$$\begin{bmatrix} \tilde{p} \\ \tilde{q} \\ \tilde{r} \end{bmatrix} = \begin{bmatrix} 1 & 0 & \sin \phi \\ 0 & \cos \phi & -\sin \phi \cos \theta \\ 0 & \sin \phi & \cos \theta \cos \phi \end{bmatrix} \begin{bmatrix} \dot{\phi} \\ \dot{\theta} \\ 0 \end{bmatrix} \quad (40)$$

the angular velocity of the plate relative to the earth can be written as

$$\omega_p = \dot{p}\vec{i} + \dot{q}\vec{j} + \dot{r}\vec{k} = \dot{\phi}\vec{i} + \cos\theta\dot{\phi}\vec{j} + \sin\theta\dot{\phi}\vec{k} = \cos\theta\dot{\phi}\vec{l} + \dot{\theta}\vec{m} + \sin\theta\dot{\phi}\vec{n} \quad (41)$$

The angular velocity of the ball relative to the earth is then given by

$$\omega = \omega_x\vec{l} + \omega_y\vec{m} + \omega_z\vec{n} + \omega_p = (\omega_x + \cos\theta\dot{\phi})\vec{l} + (\omega_y + \dot{\theta})\vec{m} + (\omega_z + \sin\theta\dot{\phi})\vec{n} \quad (42)$$

Substituting (38-42) into (34) yields

$$\begin{aligned} L = & \frac{1}{2}m((\dot{x} + r_b\dot{\theta} - y\sin\theta\dot{\phi})^2 + (\dot{y} + \dot{\phi}(x\sin\theta - r_b\cos\theta))^2 + (y\cos\theta\dot{\phi} - x\dot{\theta})^2) \\ & + \frac{J_b}{2}((\omega_x + \cos\theta\dot{\phi})^2 + (\omega_y + \dot{\theta})^2 + (\omega_z + \sin\theta\dot{\phi})^2) \\ & + \frac{J_p}{2}((\cos\theta\dot{\phi})^2 + \dot{\theta}^2 + (\sin\theta\dot{\phi})^2) - mg(-x\sin\theta\cos\phi + y\sin\phi + r_b\cos\theta\cos\phi) \end{aligned} \quad (43)$$

where

$$J_p = \frac{1}{12}m(a^2 + b^2) \quad \text{and} \quad J_b = \frac{2}{5}mr_b^2 \quad (44)$$

The Lagrangian equations with respect to x, y, ω_x and ω_y are given by

$$\frac{d}{dt}\left(\frac{\partial L}{\partial \dot{x}}\right) - \frac{\partial L}{\partial x} = f_x \quad \frac{d}{dt}\left(\frac{\partial L}{\partial \dot{y}}\right) - \frac{\partial L}{\partial y} = f_y \quad (45)$$

$$\frac{d}{dt}\left(\frac{\partial L}{\partial \omega_x}\right) - \frac{\partial L}{\partial \psi_1} = m_{\omega_x} \quad \frac{d}{dt}\left(\frac{\partial L}{\partial \omega_y}\right) - \frac{\partial L}{\partial \psi_2} = m_{\omega_y} \quad (46)$$

Substituting (43) into (45) and (46) yields

$$f_x = -m(-\ddot{x} - r_b\ddot{\theta} + 2\dot{y}\sin\theta\dot{\phi} + y\sin\theta\ddot{\phi} + \dot{\phi}^2x\sin^2\theta - \sin\theta\dot{\phi}^2r_b\cos\theta + x\dot{\theta}^2 + g\sin\theta\cos\phi) \quad (47)$$

$$f_y = m(2\dot{\phi}\sin\theta\dot{x} + 2\dot{\phi}x\cos\theta\dot{\theta} + 2\dot{\phi}r_b\sin\theta\dot{\theta} + \ddot{\phi}(x\sin\theta - r_b\cos\theta) + \ddot{y} - \dot{\phi}^2y + g\sin\phi) \quad (48)$$

$$m_{\omega_x} = J_b(\cos\theta\ddot{\phi} - \sin\theta\dot{\theta}\dot{\phi} + \dot{\omega}_x) \quad (49)$$

$$m_{\omega_y} = J_b(\ddot{\theta} + \dot{\omega}_y) \quad (50)$$

Since the moments on the ball are produced by f_y and f_x , it follows

$$m_{\omega_x} = r_b f_y \quad \text{and} \quad m_{\omega_y} = -r_b f_x \quad (51)$$

Using the fact that $\ddot{y} = -r_b\dot{\omega}_x$ and $\ddot{x} = r_b\dot{\omega}_y$, and combining (47)-(51) yields

$$\ddot{x} = \frac{5}{7}(\sin\theta\dot{\phi}(2\dot{y} + x\sin\theta\dot{\phi} - r_b\cos\theta\dot{\phi}) + x\dot{\theta}^2 + g\sin\theta\cos\phi + y\sin\theta\ddot{\phi}) - r_b\ddot{\theta} \quad (52)$$

$$\ddot{y} = \frac{5}{7}(-2\dot{\phi} \sin \theta \dot{x} - 2\dot{\phi} x \cos \theta \dot{\theta} - x \sin \theta \ddot{\phi} - \frac{12}{5} r_b \dot{\phi} \sin \theta \dot{\theta} + \dot{\phi}^2 y - g \sin \phi) + r_b \cos \theta \ddot{\phi} \quad (53)$$

As defined in Fig. 2, (X_1, Y_1, Z_1) , (X_2, Y_2, Z_2) and (X_3, Y_3, Z_3) represent the coordinates of three points connected to the pushrods. So, by inspecting Figure 3,

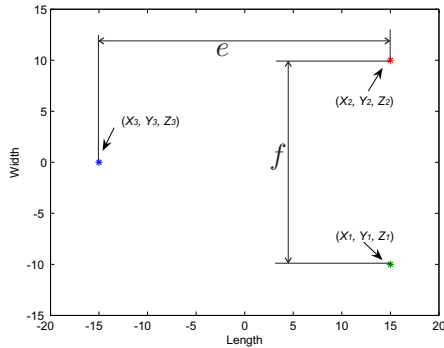


Figure 2. Surface of the Plate

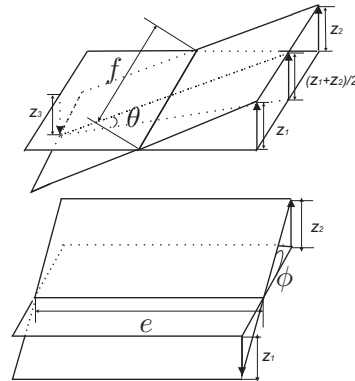


Figure 3. Relationship Between Tilting Angles of Plate and Displacements of Pushrods

$$\theta = \arcsin \frac{Z_3 - \frac{Z_1+Z_2}{2}}{e} \quad \text{and} \quad \phi = \arcsin \frac{Z_2 - Z_1}{f} \quad (54)$$

and $\dot{\theta}$, $\dot{\phi}$, $\ddot{\theta}$ and $\ddot{\phi}$, derived from (54), are given by

$$\dot{\theta} = \frac{2\dot{Z}_3 - \dot{Z}_1 - \dot{Z}_2}{2e \cos \theta} \quad \dot{\phi} = \frac{\dot{Z}_2 - \dot{Z}_1}{f \cos \phi} \quad (55)$$

$$\ddot{\theta} = \frac{2\ddot{Z}_3 - \ddot{Z}_1 - \ddot{Z}_2}{2e \cos \theta} + \frac{(\dot{Z}_3 - \frac{\dot{Z}_1+\dot{Z}_2}{2})^2 (Z_3 - \frac{Z_1+Z_2}{2})}{e^3 (\cos^2 \theta)^{\frac{3}{2}}} \quad (56)$$

$$\ddot{\phi} = \frac{\ddot{Z}_2 - \ddot{Z}_1}{f \cos \phi} + \frac{(\dot{Z}_2 - \dot{Z}_1)^2 (Z_2 - Z_1)}{f^3 (\cos^2 \phi)^{\frac{3}{2}}} \quad (57)$$

where

$$\cos \theta = \sqrt{1 - \left(\frac{Z_3 - \frac{Z_1+Z_2}{2}}{e}\right)^2} \quad \text{and} \quad \cos \phi = \sqrt{1 - \left(\frac{Z_2 - Z_1}{f}\right)^2}$$

As shown in Fig. 4, the motor-pushrod mechanism ensures three push-rods to move vertically. The pushrod is always under compression due to the existence of the spring and therefore any backlash in the motor gears is eliminated. The length of the rod is chosen to be at least ten times that of the motor shaft so that the angle between the connecting rod and the vertical line remains small. Using the small-angle approximation, the vertical displacement of the i th push-rod is given by

$$Z_i = l \sin \alpha_i \quad \forall i = 1 : 3 \quad (58)$$

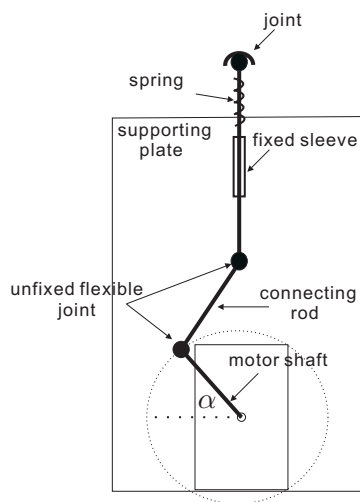


Figure 4. Motor-Pushrod Mechanism

where l is the length of the motor shaft, α_i is the rotation angle of the i th motor shaft. Using the plate surface equation

$$\begin{vmatrix} x - X_1 & y - Y_1 & h - Z_1 \\ X_2 - X_1 & Y_2 - Y_1 & Z_2 - Z_1 \\ X_3 - X_1 & Y_3 - Y_1 & Z_3 - Z_1 \end{vmatrix} = 0 \quad (59)$$

and substituting (58) into (59), \ddot{h} can be expressed using $x, y, \dot{x}, \dot{y}, \ddot{x}, \ddot{y}, \alpha_i, \dot{\alpha}_i$ and $\ddot{\alpha}_i$ ($i = 1 : 3$).

Here in this example, the angular accelerations of the plane (i.e. θ and ϕ from (56) and (57)) and the accelerations of the three pushrods (i.e. $\ddot{\alpha}_i, i = 1 : 3$) cannot be measured straightforwardly. Based upon Kirchhoff's law, the equation that describes the electric circuit of each armature is

$$L_a \frac{dI_i}{dt} + R_m I_i = \bar{V}_i - K_e \dot{\alpha}_i \quad \forall i = 1 : 3 \quad (60)$$

Clearly from (60), a relationship between current flow into the motor and the angular velocity of the rotor is established. Using the torque balance equation, the angular acceleration of the rotor can be written as

$$\ddot{\alpha}_i = \frac{K_t(\bar{V}_i - L_a \dot{I}_i) - (K_t K_e + R_m \tilde{b}) \dot{\alpha}_i}{J_m R_m} \quad \forall i = 1 : 3 \quad (61)$$

Using the appropriate equations derived above, the full nonlinear Ball and Plate system model can be built. The values of physical parameters used subsequently in this paper are listed in Table 1.

5. Determination of the Desired Eigenstructure

5.1 Selecting the Desired Eigenvector

This section demonstrates how to develop a set of ideal eigenstructure via the analysis of the physical characteristics of Ball and Plate system. Let the model developed in the earlier section be trimmed and linearised round the geometric centre of the ball and the plate, full system states can

Table 1. Model Parameters

Parameter	Value	Parameter	Value
e	$0.3(m)$	\dot{b}	$1.5297(Nm/(rad/s))$
f	$0.2(m)$	K_t	$3(v/rpm)$
r_b	$0.0175(m)$	J_m	$0.4(kgm^2)$
(X_1, Y_1)	$(0.15, -0.1)(m)$	K_e	$4.77(v/rpm)$
(X_2, Y_2)	$(0.15, 0.1)(m)$	R_m	$4.7(\Omega)$
(X_3, Y_3)	$(-0.15, 0)(m)$	L_a	$0.4(H)$
a	$0.4(m)$	b	$0.3(m)$
l	$0.05(m)$	g	$9.81(Nm/s^2)$

be written as

$$x_{full} = [x \ \dot{x} \ y \ \dot{y} \ \alpha_1 \ \alpha_2 \ \alpha_3 \ \dot{\alpha}_1 \ \dot{\alpha}_2 \ \dot{\alpha}_3 \ I_1 \ I_2 \ I_3]^T \quad (62)$$

Due to the fast dynamics of the motor armature currents, their states I_1 , I_2 and I_3 can be eliminated from (62). So,

$$x_r = [x \ \dot{x} \ y \ \dot{y} \ \alpha_1 \ \alpha_2 \ \alpha_3 \ \dot{\alpha}_1 \ \dot{\alpha}_2 \ \dot{\alpha}_3]^T \quad (63)$$

Define a coordinate transformation $\tilde{x} \mapsto Tx_r$ in terms of the mathematical model developed in Section 4, and in the new coordinate, system states are given by

$$\tilde{x} = [x \ \dot{x} \ y \ \dot{y} \ \phi \ \dot{\phi} \ \theta \ \dot{\theta} \ h \ \dot{h}]^T \quad (64)$$

and system inputs \tilde{u} and outputs \tilde{y} are selected as

$$\begin{aligned} \tilde{u} &= [\bar{V}_1 \ \bar{V}_2 \ \bar{V}_3]^T \\ \tilde{y} &= [x \ y \ \dot{x} \ \dot{y} \ \phi \ \theta \ h]^T \end{aligned} \quad (65)$$

where \bar{V}_i is from (61). The state space matrices associated with the new coordination system are given in Appendix A.

Remark 5.1: *In this special three-motor Ball and Plate system, it is unrealistic to derive the desired eigenstructure in terms of system states in (63). This is because states x , y , \dot{x} and \dot{y} are coupled with states α_i and $\dot{\alpha}_i$. In the new coordinate system, the system states in \tilde{x} can be divided into three groups: $(x, \dot{x}, \theta, \dot{\theta})$, $(y, \dot{y}, \phi, \dot{\phi})$ and (h, \dot{h}) and each group is decoupled from the rest.*

In this paper, the transfer function approach is used to preserve the integral relationships between system states. For the subsystem corresponding to the first group of system states, eigenvalues will be assigned as follows: one complex pair of poles $(\lambda_x, \bar{\lambda}_x)$ to determine the second-order response of the position and velocity of the ball, and one complex pair of poles $(\lambda_\theta, \bar{\lambda}_\theta)$ to determine the second-order response of the angular position and angular velocity of the plate. The responses of the position of the ball and the angle of the plate are related to the velocity of the ball and the angular velocity of the plate through integration. Furthermore, based upon the kinematics developed in the earlier section, the mode corresponding to the ball dynamics is not visible in the plate dynamics but the mode corresponding to the plate dynamics is visible in the ball dynamics.

Therefore the set of transfer functions can be written as

$$\begin{aligned} \frac{x}{u_1} &= \frac{1}{(s + \lambda_x)(s + \bar{\lambda}_x)(s + \lambda_\theta)(s + \bar{\lambda}_\theta)} \\ \frac{\dot{x}}{u_1} &= \frac{s}{(s + \lambda_x)(s + \bar{\lambda}_x)(s + \lambda_\theta)(s + \bar{\lambda}_\theta)} \\ \frac{\theta}{u_1} &= \frac{1}{(s + \lambda_\theta)(s + \bar{\lambda}_\theta)} \\ \frac{\dot{\theta}}{u_1} &= \frac{s}{(s + \lambda_\theta)(s + \bar{\lambda}_\theta)} \end{aligned} \tag{66}$$

where u_1 denotes the input excitation associated with the subsystem $(x, \dot{x}, \theta, \dot{\theta})$. Let the fourth-order subsystem have an input matrix $B_s = [0 \ 0 \ 0 \ 1]^T$, an output matrix $C_s = I_4$, a modal matrix denoted by Λ_s , and let the left and right eigenvectors be denoted by W_s and V_s , respectively. The transfer function of the subsystem can be written as

$$G_s(s) = C_s V_s (sI - \Lambda_s)^{-1} W_s B_s \tag{67}$$

By defining r_i as elements of $C_s V_s$ and t_i as elements of $W_s B_s$, (67) can be expanded as follows:

$$\begin{bmatrix} x \\ \dot{x} \\ \theta \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} \bar{r}_1 & r_1 & \bar{r}_5 & r_5 \\ \bar{r}_2 & r_2 & \bar{r}_6 & r_6 \\ \bar{r}_3 & r_3 & 0 & 0 \\ \bar{r}_4 & r_4 & 0 & 0 \end{bmatrix} \begin{bmatrix} \frac{1}{s+\lambda_\theta} & 0 & 0 & 0 \\ 0 & \frac{1}{s+\lambda_\theta} & 0 & 0 \\ 0 & 0 & \frac{1}{s+\lambda_x} & 0 \\ 0 & 0 & 0 & \frac{1}{s+\lambda_x} \end{bmatrix} \begin{bmatrix} \bar{t}_1 \\ t_1 \\ \bar{t}_2 \\ t_2 \end{bmatrix} u_1 \tag{68}$$

In (68), null elements appear in $C_s V_s$ because the mode λ_x is not visible in θ and $\dot{\theta}$. From (68),

$$\begin{aligned} \frac{x}{u_1} &= \frac{\bar{r}_1 \bar{t}_1}{s + \lambda_\theta} + \frac{r_1 t_1}{s + \bar{\lambda}_\theta} + \frac{\bar{r}_5 \bar{t}_2}{s + \lambda_x} + \frac{r_5 t_2}{s + \bar{\lambda}_x} \\ \frac{\dot{x}}{u_1} &= \frac{\bar{r}_2 \bar{t}_1}{s + \lambda_\theta} + \frac{r_2 t_1}{s + \bar{\lambda}_\theta} + \frac{\bar{r}_6 \bar{t}_2}{s + \lambda_x} + \frac{r_6 t_2}{s + \bar{\lambda}_x} \\ \frac{\theta}{u_1} &= \frac{\bar{r}_3 \bar{t}_1}{s + \lambda_\theta} + \frac{r_3 t_1}{s + \bar{\lambda}_\theta} \\ \frac{\dot{\theta}}{u_1} &= \frac{\bar{r}_4 \bar{t}_1}{s + \lambda_\theta} + \frac{r_4 t_1}{s + \bar{\lambda}_\theta} \end{aligned} \tag{69}$$

Equating coefficients with the numerators of the ideal transfer functions, the eigenvector elements

r_i ($i = 1, \dots, 6$), t_1 and t_2 satisfy

$$\frac{x}{u_1} s^3 : 0 = \bar{r}_1 \bar{t}_1 + r_1 t_1 + \bar{r}_5 \bar{t}_2 + r_5 t_2 \quad (70)$$

$$s^2 : 0 = \bar{r}_1 \bar{t}_1 (\lambda_x + \bar{\lambda}_x + \bar{\lambda}_\theta) + r_1 t_1 (\lambda_\theta + \lambda_x + \bar{\lambda}_x) + \bar{r}_5 \bar{t}_2 (\lambda_\theta + \bar{\lambda}_x + \bar{\lambda}_\theta) + r_5 t_2 (\lambda_\theta + \lambda_x + \bar{\lambda}_\theta) \quad (71)$$

$$s^1 : 0 = \bar{r}_1 \bar{t}_1 (\bar{\lambda}_\theta \lambda_x + \bar{\lambda}_\theta \bar{\lambda}_x + \lambda_x \bar{\lambda}_x) + r_1 t_1 (\lambda_\theta \lambda_x + \lambda_\theta \bar{\lambda}_x + \bar{\lambda}_x \lambda_x) + \bar{r}_5 \bar{t}_2 (\lambda_\theta \bar{\lambda}_\theta + \lambda_\theta \bar{\lambda}_x + \bar{\lambda}_\theta \bar{\lambda}_x) + r_5 t_2 (\lambda_\theta \bar{\lambda}_\theta + \lambda_\theta \lambda_x + \bar{\lambda}_\theta \lambda_x) \quad (72)$$

$$s^0 : 0 = \bar{r}_1 \bar{t}_1 \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x + r_1 t_1 \lambda_\theta \lambda_x \bar{\lambda}_x + \bar{r}_5 \bar{t}_2 \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x + r_5 t_2 \lambda_\theta \bar{\lambda}_\theta \lambda_x \quad (73)$$

$$\frac{\dot{x}}{u_1} s^3 : 0 = \bar{r}_2 \bar{t}_1 + r_2 t_1 + \bar{r}_6 \bar{t}_2 + r_6 t_2 \quad (74)$$

$$s^2 : 0 = \bar{r}_2 \bar{t}_1 (\lambda_x + \bar{\lambda}_x + \bar{\lambda}_\theta) + r_2 t_1 (\lambda_\theta + \lambda_x + \bar{\lambda}_x) + \bar{r}_6 \bar{t}_2 (\lambda_\theta + \bar{\lambda}_x + \bar{\lambda}_\theta) + r_6 t_2 (\lambda_\theta + \lambda_x + \bar{\lambda}_\theta) \quad (75)$$

$$s^1 : 0 = \bar{r}_2 \bar{t}_1 (\bar{\lambda}_\theta \lambda_x + \bar{\lambda}_\theta \bar{\lambda}_x + \lambda_x \bar{\lambda}_x) + r_2 t_1 (\lambda_\theta \lambda_x + \lambda_\theta \bar{\lambda}_x + \bar{\lambda}_x \lambda_x) + \bar{r}_6 \bar{t}_2 (\lambda_\theta \bar{\lambda}_\theta + \lambda_\theta \bar{\lambda}_x + \bar{\lambda}_\theta \bar{\lambda}_x) + r_6 t_2 (\lambda_\theta \bar{\lambda}_\theta + \lambda_\theta \lambda_x + \bar{\lambda}_\theta \lambda_x) \quad (76)$$

$$s^0 : 0 = \bar{r}_2 \bar{t}_1 \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x + r_2 t_1 \lambda_\theta \lambda_x \bar{\lambda}_x + \bar{r}_6 \bar{t}_2 \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x + r_6 t_2 \lambda_\theta \bar{\lambda}_\theta \lambda_x \quad (77)$$

$$\frac{\theta}{u_1} s^1 : 0 = \bar{r}_3 \bar{t}_1 + r_3 t_1 \quad (78)$$

$$s^0 : 0 = \bar{r}_3 \bar{t}_1 \bar{\lambda}_\theta + r_3 t_1 \lambda_\theta \quad (79)$$

$$\frac{\dot{\theta}}{u_1} s^1 : 0 = \bar{r}_4 \bar{t}_1 + r_4 t_1 \quad (80)$$

$$s^0 : 0 = \bar{r}_4 \bar{t}_1 \bar{\lambda}_\theta + r_4 t_1 \lambda_\theta \quad (81)$$

Note that not all of the above eigenvector elements can be arbitrarily assigned, and the left and right eigenvectors must satisfy

$$I_4 = W_s V_s \quad (82)$$

Equation (82) can be converted into the following constraints on r_i ($i = 1, \dots, 6$), t_1 and t_2 as

$$[0 \ 0 \ 0 \ 1]^T = C_s V_s W_s B_s \quad (83)$$

$$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \bar{r}_1 \bar{t}_1 + r_1 t_1 + \bar{r}_5 \bar{t}_2 + r_5 t_2 \\ \bar{r}_2 \bar{t}_1 + r_2 t_1 + \bar{r}_6 \bar{t}_2 + r_6 t_2 \\ \bar{r}_3 \bar{t}_1 + r_3 t_1 \\ \bar{r}_4 \bar{t}_1 + r_4 t_1 \end{bmatrix} \quad (84)$$

Examination of (84) shows that the constraints in (70), (74) and (78) are inherently satisfied. To satisfy (81),

$$r_4 = \frac{r_3}{\lambda_\theta} \quad \text{and} \quad \bar{r}_4 = \frac{\bar{r}_3}{\bar{\lambda}_\theta} \quad (85)$$

which will satisfy equation (78). Substituting (85) into (71) and (72) to eliminate $\bar{r}_5 \bar{r}_2$ yields

$$0 = \bar{r}_1 \bar{t}_1 \lambda_x + r_1 t_1 \lambda_x + r_5 t_2 \lambda_x - \bar{r}_1 \bar{t}_1 \lambda_\theta - r_1 t_1 \bar{\lambda}_\theta - r_5 t_2 \bar{\lambda}_x \quad (86)$$

1
2
3 and

$$4 \quad 0 = \bar{r}_1 \bar{t}_1 (\bar{\lambda}_\theta \lambda_x + \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta - \lambda_\theta \bar{\lambda}_\theta) + r_1 t_1 (\lambda_\theta \lambda_x + \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta - \bar{\lambda}_\theta \bar{\lambda}_x) \\ 5 \quad + r_5 r_2 (\lambda_\theta \lambda_x + \bar{\lambda}_\theta \lambda_x - \lambda_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \bar{\lambda}_x) \quad (87)$$

6
7
8 Substituting (86) into (87) to eliminate $r_5 r_2$ yields

$$9 \quad 0 = \bar{r}_1 \bar{t}_1 (\lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_x - \lambda_x \lambda_\theta + \lambda_\theta \lambda_\theta) + r_1 t_1 (\lambda_x \bar{\lambda}_x - \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_x \bar{\lambda}_\theta + \bar{\lambda}_\theta \bar{\lambda}_\theta) \quad (88)$$

10
11 From (78) it follows that

$$12 \quad r_1 = \frac{r_3}{\lambda_x \bar{\lambda}_x - \bar{\lambda}_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x + \bar{\lambda}_\theta^2} \\ 13 \quad \bar{r}_1 = \frac{\bar{r}_3}{\lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_x - \lambda_\theta \lambda_x + \lambda_\theta^2} \quad (89)$$

14
15 Substituting (74) into (75) and (77) to eliminate $\bar{r}_6 \bar{t}_2$ yields

$$16 \quad 0 = \bar{r}_2 \bar{t}_1 \lambda_x + r_2 t_1 \lambda_x + r_6 t_2 \lambda_x - \bar{r}_2 \bar{t}_1 \lambda_\theta - r_2 t_1 \bar{\lambda}_\theta - r_6 t_2 \bar{\lambda}_x \quad (90)$$

17
18 and

$$19 \quad 0 = \bar{r}_2 \bar{t}_1 (\bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x) + r_2 t_1 (\lambda_\theta \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x) + r_6 r_2 (\lambda_\theta \bar{\lambda}_\theta \lambda_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x) \quad (91)$$

20
21 Substituting (90) into (91) to eliminate $r_6 r_2$ yields

$$22 \quad 0 = \bar{r}_2 \bar{r}_1 (\bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \lambda_x + \lambda_\theta^2 \bar{\lambda}_\theta) + r_2 r_1 (\lambda_\theta \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \lambda_x + \lambda_\theta \bar{\lambda}_\theta^2) \quad (92)$$

23
24 Comparing (78) and (92), r_2 can be expressed as

$$25 \quad r_2 = \frac{r_3}{\lambda_\theta \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \lambda_x + \lambda_\theta \bar{\lambda}_\theta^2} \\ 26 \quad \bar{r}_2 = \frac{\bar{r}_3}{\bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \lambda_x + \lambda_\theta^2 \bar{\lambda}_\theta} \quad (93)$$

27
28 Now substituting (70) into (71) and (72) respectively to eliminate $\bar{r}_1 \bar{t}_1$ yields

$$29 \quad 0 = r_1 t_1 (\lambda_\theta \lambda_x + \lambda_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x - \bar{\lambda}_\theta \bar{\lambda}_x) + \bar{r}_5 \bar{r}_2 (\lambda_\theta \bar{\lambda}_\theta + \lambda_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x - \lambda_x \bar{\lambda}_x) \\ 30 \quad + r_5 r_2 (\lambda_\theta \bar{\lambda}_\theta + \lambda_\theta \lambda_x - \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_x \bar{\lambda}_x) \quad (94)$$

31
32 and

$$33 \quad 0 = r_1 t_1 (\lambda_\theta - \bar{\lambda}_\theta) + r_5 t_2 (\lambda_\theta - \bar{\lambda}_x) + \bar{r}_5 \bar{r}_2 (\lambda_\theta - \lambda_x) \quad (95)$$

34
35 After combining (94) and (95) to eliminate $r_1 t_1$, it follows that

$$36 \quad 0 = \bar{r}_5 \bar{t}_2 (\lambda_\theta \bar{\lambda}_\theta - \bar{\lambda}_\theta \lambda_x - \lambda_\theta \lambda_x + \lambda_x^2) + r_5 r_2 (\lambda_\theta \bar{\lambda}_\theta - \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_x + \bar{\lambda}_x^2) \quad (96)$$

Similarly, (74) is substituted into (75) and (77) to eliminate $\bar{r}_2\bar{t}_1$, which yields

$$0 = r_2 t_1 (\lambda_\theta \lambda_x \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x) + \bar{r}_6 \bar{r}_2 (\lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x) + r_6 r_2 (\lambda_\theta \bar{\lambda}_\theta \lambda_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x) \quad (97)$$

and

$$0 = r_2 t_1 (\lambda_\theta - \bar{\lambda}_\theta) + \bar{r}_6 \bar{t}_2 (\lambda_\theta - \lambda_x) + r_6 r_2 (\lambda_\theta - \bar{\lambda}_x) \quad (98)$$

Combining (97) and (98) to eliminate $r_2 t_1$:

$$0 = \bar{r}_6 \bar{t}_2 (\lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_x \bar{\lambda}_x \lambda_\theta + \lambda_x^2 \bar{\lambda}_x) + r_6 r_2 (\lambda_\theta \bar{\lambda}_\theta \lambda_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_x \bar{\lambda}_x \lambda_\theta + \lambda_x \bar{\lambda}_x^2) \quad (99)$$

From (96) and (99), r_6 and \bar{r}_6 can be expressed by r_5 and \bar{r}_5 respectively:

$$\begin{aligned} r_6 &= \frac{r_5 (\lambda_\theta \bar{\lambda}_\theta - \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_x + \bar{\lambda}_x^2)}{\lambda_\theta \bar{\lambda}_\theta \lambda_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_x \bar{\lambda}_x \lambda_\theta + \lambda_x \bar{\lambda}_x^2} \\ \bar{r}_6 &= \frac{\bar{r}_5 (\lambda_\theta \bar{\lambda}_\theta - \bar{\lambda}_\theta \lambda_x - \lambda_\theta \lambda_x + \lambda_x^2)}{\lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_x \bar{\lambda}_x \lambda_\theta + \lambda_x^2 \bar{\lambda}_x} \end{aligned} \quad (100)$$

For the second group of states $(y, \dot{y}, \theta, \dot{\theta})$, the desired closed-loop eigenvectors can be developed simply by replacing $\lambda_x, \lambda_\theta$ in (85-100) with λ_y, λ_ϕ .

For the third group of states, \dot{h} and h , let the second-order subsystem have an input matrix $[0 \ 1]^T$ and define r_7 and r_8 as the elements of the corresponding eigenvectors. The subsystem transfer function matrix can then be expressed as

$$\begin{bmatrix} h \\ \dot{h} \end{bmatrix} = \begin{bmatrix} \bar{r}_7 & r_7 \\ \bar{r}_8 & r_8 \end{bmatrix} \begin{bmatrix} \frac{1}{s+\lambda_h} & 0 \\ 0 & \frac{1}{s+\lambda_h} \end{bmatrix} \begin{bmatrix} \bar{t}_3 \\ t_3 \end{bmatrix} u_3 \quad (101)$$

where u_3 denotes the input excitation associated with the subsystem (h, \dot{h}) . From (101),

$$\begin{aligned} \frac{h}{u_3} &= \frac{\bar{r}_7 \bar{t}_3}{s + \lambda_h} + \frac{r_7 t_3}{s + \bar{\lambda}_h} \\ \frac{\dot{h}}{u_3} &= \frac{\bar{r}_8 \bar{t}_3}{s + \lambda_h} + \frac{r_8 t_3}{s + \bar{\lambda}_h} \end{aligned} \quad (102)$$

After equating coefficients with the numerators of the ideal transfer functions, the eigenvector elements r_7, r_8, \bar{t}_3 and t_3 satisfy

$$\frac{h}{u_3} s^1 : 0 = \bar{r}_7 \bar{t}_3 + r_7 t_3 \quad (103)$$

$$s^0 : 0 \neq \bar{r}_7 \bar{t}_3 \bar{\lambda}_h + r_7 t_3 \lambda_h \quad (104)$$

$$\frac{\dot{h}}{u_3} s^1 : 0 \neq \bar{r}_8 \bar{t}_3 + r_8 t_3 \quad (105)$$

$$s^0 : 0 = \bar{r}_8 \bar{t}_3 \bar{\lambda}_h + r_8 t_3 \lambda_h \quad (106)$$

Comparing (103) and (106) yields

$$r_8 = \frac{r_7}{\lambda_h} \quad \text{and} \quad \bar{r}_8 = \frac{\bar{r}_7}{\bar{\lambda}_h} \quad (107)$$

Since only the directions of eigenvectors are crucial for the design purpose, r_3 , r_5 and r_7 are normalized to unity for convenience. After combining (85), (89), (93), (100) and (107), the complete set of ideal closed-loop eigenvectors for the system, which is consistent with the original requirements, is given by

$$\begin{bmatrix} x \\ \dot{x} \\ y \\ \dot{y} \\ \phi \\ \dot{\phi} \\ \theta \\ \dot{\theta} \\ h \\ \dot{h} \end{bmatrix} \sim \begin{bmatrix} 1 & 1 & 0 & 0 & 0 & 0 & \bar{c}_6 & c_6 & 0 & 0 \\ \bar{c}_1 & c_1 & 0 & 0 & 0 & 0 & \bar{c}_7 & c_7 & 0 & 0 \\ 0 & 0 & 1 & 1 & \bar{c}_3 & c_3 & 0 & 0 & 0 & 0 \\ 0 & 0 & \bar{c}_2 & c_2 & \bar{c}_4 & c_4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \bar{c}_5 & c_5 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \bar{c}_8 & c_8 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \bar{c}_9 & c_9 \end{bmatrix} \quad (108)$$

where \sim denotes correspondence between states and matrix rows and

$$\begin{aligned} c_1 &= \frac{\lambda_\theta \bar{\lambda}_\theta - \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_x + \bar{\lambda}_x^2}{\lambda_\theta \bar{\lambda}_\theta \lambda_x - \bar{\lambda}_\theta \lambda_x \bar{\lambda}_x - \lambda_x \bar{\lambda}_x \lambda_\theta + \lambda_x \bar{\lambda}_x^2} \\ c_2 &= \frac{\lambda_\phi \bar{\lambda}_\phi - \bar{\lambda}_\phi \bar{\lambda}_y - \lambda_\phi \bar{\lambda}_y + \bar{\lambda}_y^2}{\lambda_\phi \bar{\lambda}_\phi \lambda_y - \bar{\lambda}_\phi \lambda_y \bar{\lambda}_y - \lambda_y \bar{\lambda}_y \lambda_\phi + \lambda_y \bar{\lambda}_y^2} \\ c_3 &= \frac{1}{\lambda_y \bar{\lambda}_y - \bar{\lambda}_\phi \bar{\lambda}_y - \bar{\lambda}_\phi \lambda_y + \bar{\lambda}_\phi^2} \\ c_4 &= \frac{1}{\lambda_\phi \lambda_y \bar{\lambda}_y - \lambda_\phi \bar{\lambda}_\phi \bar{\lambda}_y - \lambda_\phi \bar{\lambda}_\phi \lambda_y + \lambda_\phi \bar{\lambda}_\phi^2} \\ c_5 &= \frac{1}{\lambda_\phi} \quad c_6 = \frac{1}{\lambda_x \bar{\lambda}_x - \bar{\lambda}_\theta \bar{\lambda}_x - \bar{\lambda}_\theta \lambda_x + \bar{\lambda}_\theta^2} \\ c_7 &= \frac{1}{\lambda_\theta \lambda_x \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \bar{\lambda}_x - \lambda_\theta \bar{\lambda}_\theta \lambda_x + \lambda_\theta \bar{\lambda}_\theta^2} \\ c_8 &= \frac{1}{\lambda_\theta} \quad c_9 = \frac{1}{\lambda_h} \end{aligned} \quad (109)$$

5.2 Defining Ideal Eigenvalues

The damping ratios of all system eigenvalues/modes are assumed to be 0.8. In the Ball and Plate system, both the ball and the plate dynamics are slower than those of the three motors, and the settling times of the modes corresponding to the tilt angles of the plate are assumed to be faster than the mode associated with the ball position. By increasing the settling time of the tilt angles of the plate, the control effort is reduced and the movement of the plate becomes smoother. Furthermore, in order to maintain the ball at a fixed height, the mode associated with the ball height is assumed to be faster than those associated with the tilt angles of the plate. The set of

desired eigenvalues in continuous-time is

$$\begin{aligned}
 \{\lambda_x, \bar{\lambda}_x\} &= -0.8 \pm 0.6i \\
 \{\lambda_y, \bar{\lambda}_y\} &= -0.6 \pm 0.45i \\
 \{\lambda_\phi, \bar{\lambda}_\phi\} &= -5 \pm 4i \\
 \{\lambda_\theta, \bar{\lambda}_\theta\} &= -4.5 \pm 3.6i \\
 \{\lambda_h, \bar{\lambda}_h\} &= -15 \pm 12i
 \end{aligned} \tag{110}$$

6. Application of Multistage Output-Lifting Eigenstructure Assignment

The Ball and Plate system is a typical multirate output feedback control system. Sensors and actuators around the system operating at different sampled rates. The camera samples the ball position and velocity every $40ms$. The tilt angles of the plate are measured by digital encoders which operate every $10ms$. The height of the ball is calculated using hardware logic with the sampling interval chosen to be $10ms$. The DC motors are driven by PWM signals with a frame rate of $40ms$. From (64-65), $m = 7$, $n = 10$, $r = 3$. It is not straightforward to fully assign a desired eigenstructure using a traditional output feedback EA framework because $m + r = n$. So, let the main sampling period be $40ms$ and the base sampling period be $10ms$. Then the desired discrete-time eigenvalues associated with \tilde{x} , discretized using the main sampling period $40ms$, are

$$\Lambda_d = diag([\lambda_{dx} \ \bar{\lambda}_{dx} \ \lambda_{dy} \ \bar{\lambda}_{dy} \ \lambda_{d\phi} \ \bar{\lambda}_{d\phi} \ \lambda_{d\theta} \ \bar{\lambda}_{d\theta} \ \lambda_{dh} \ \bar{\lambda}_{dh}]) \tag{111}$$

where

$$\begin{aligned}
 \{\lambda_{dx}, \bar{\lambda}_{dx}\} &= 0.9682 \pm 0.0232i \\
 \{\lambda_{dy}, \bar{\lambda}_{dy}\} &= 0.9761 \pm 0.0176i \\
 \{\lambda_{d\phi}, \bar{\lambda}_{d\phi}\} &= 0.8083 \pm 0.1304i \\
 \{\lambda_{d\theta}, \bar{\lambda}_{d\theta}\} &= 0.8266 \pm 0.1199i \\
 \{\lambda_{dh}, \bar{\lambda}_{dh}\} &= 0.4868 \pm 0.2534i
 \end{aligned} \tag{112}$$

The desired left and right eigenvectors can be calculated by substituting desired continuous-time eigenvalues (110) into (108). The values of desired eigenvectors will be illustrated later in the paper.

In this section, multistage output feedback EA is applied to Ball and Plate system. In the first stage, the $s_1 = 8$ eigenpairs ($s_2 < n - 1$) associated with λ_x , λ_y , λ_ϕ and λ_θ are assigned using the right allowable subspace. In the second stage, the remaining $s_2 = 2$ eigenpairs ($s_2 \leq r$) associated with λ_h are assigned using the left allowable subspace. As argued in Section 4, the eigenvectors assigned from the left allowable subspace should be improved compared with those assigned via full state feedback EA and conventional output-lifting EA.

To show the efficacy of the scheme, the desired left and right eigenvectors and those achieved using single rate full state feedback EA (G. P. Liu & Patton, 1998; White, 1995), output-lifting EA (L. Chen et al., 2017) and multistage output-lifting EA are presented in this section. The comparison results are shown in Table. 2. where it can be seen (in particular the bold part) that the left eigenvectors, assigned using state feedback EA and conventional output-lifting EA, can be further improved via multistage output-lifting EA and the desired left eigenvectors can be exactly assigned. In addition, the right eigenvectors, assigned (in the first stage) via full state feedback EA, output-lifting EA and proposed multistage output-lifting EA, are the same due to the invariance of the dimension of the right allowable subspace.

Table 2. A comparison of achieved eigenvectors

	Right eigenvectors (V_1)				Left eigenvectors (W_2)
Desired	$0.7071 \pm 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0015 \pm 0.0369i$	$0.0000 + 0.0000i$
	$-0.5657 \mp 0.4243i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$-0.0042 \mp 0.0048i$	$0.0000 + 0.0000i$
	$0.0000 + 0.0000i$	$-0.4800 \pm 0.3600i$	$0.0028 \pm 0.0278i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$
	$0.0000 + 0.0000i$	$0.8000 + 0.0000i$	$-0.0031 \mp 0.0031i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$
	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.9876 + 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$
	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$-0.1204 \pm 0.0964i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$
	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.9846 + 0.0000i$	$0.0000 + 0.0000i$
	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$-0.1334 \pm 0.1067i$	$0.0000 + 0.0000i$
	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0406 \mp 0.0325i$
	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.0000 + 0.0000i$	$0.9986 \pm 0.0000i$
State feedback EA	$-0.0134 \pm 0.0216i$	$-0.0002 \pm 0.0002i$	$-0.5600 \mp 0.4200i$	$0.0000 \mp 0.0000i$	$0.0000 \mp 0.0000i$
	$-0.0191 \mp 0.1613i$	$-0.0001 \mp 0.0015i$	$0.7000 + 0.0000i$	$0.0000 \pm 0.0000i$	$0.0000 \mp 0.0000i$
	$-0.0001 \pm 0.0001i$	$0.0177 \mp 0.0297i$	$-0.0000 \pm 0.0000i$	$-0.7974 + 0.0000i$	$0.0000 \mp 0.0000i$
	$-0.0001 \mp 0.0008i$	$0.0273 \pm 0.1973i$	$-0.0000 \mp 0.0000i$	$0.4785 \mp 0.3588i$	$0.0000 \mp 0.0000i$
	$0.1180 \pm 0.0946i$	$0.0009 \pm 0.0009i$	$-0.0799 \pm 0.0602i$	$-0.0000 \mp 0.0000i$	$-0.0000 \mp 0.0000i$
	$-0.9747 + 0.0000i$	$-0.0075 \mp 0.0007i$	$0.0277 \mp 0.0963i$	$-0.0000 \pm 0.0000i$	$-0.0000 \mp 0.0000i$
	$-0.0005 \mp 0.0005i$	$0.1299 \pm 0.1042i$	$-0.0000 \mp 0.0000i$	$0.0179 \mp 0.0616i$	$-0.0000 \pm 0.0000i$
	$0.0046 \pm 0.0001i$	$-0.9651 + 0.0000i$	$-0.0000 \mp 0.0000i$	$0.0170 \pm 0.0450i$	$-0.0000 \mp 0.0000i$
	$0.0000 \pm 0.0000i$	$0.0000 \pm 0.0000i$	$-0.0000 \pm 0.0000i$	$-0.0000 \pm 0.0000i$	$0.9987 \pm 0.0000i$
	$-0.0000 \pm 0.0000i$	$-0.0000 \mp 0.0000i$	$0.0000 \mp 0.0000i$	$-0.0000 \mp 0.0000i$	$0.0411 \pm 0.0309i$
Output-lifting EA	$-0.0134 \pm 0.0216i$	$-0.0002 \pm 0.0002i$	$-0.5600 \mp 0.4200i$	$0.0000 \mp 0.0000i$	$0.0000 \mp 0.0000i$
	$-0.0191 \mp 0.1613i$	$-0.0001 \mp 0.0015i$	$0.7000 + 0.0000i$	$0.0000 \pm 0.0000i$	$0.0000 \mp 0.0000i$
	$-0.0001 \pm 0.0001i$	$0.0177 \mp 0.0297i$	$-0.0000 \pm 0.0000i$	$-0.7974 + 0.0000i$	$0.0000 \mp 0.0000i$
	$-0.0001 \mp 0.0008i$	$0.0273 \pm 0.1973i$	$-0.0000 \mp 0.0000i$	$0.4785 \mp 0.3588i$	$0.0000 \mp 0.0000i$
	$0.1180 \pm 0.0946i$	$0.0009 \pm 0.0009i$	$-0.0799 \pm 0.0602i$	$-0.0000 \mp 0.0000i$	$-0.0000 \mp 0.0000i$
	$-0.9747 + 0.0000i$	$-0.0075 \mp 0.0007i$	$0.0277 \mp 0.0963i$	$-0.0000 \pm 0.0000i$	$-0.0000 \mp 0.0000i$
	$-0.0005 \mp 0.0005i$	$0.1299 \pm 0.1042i$	$-0.0000 \mp 0.0000i$	$0.0179 \mp 0.0616i$	$-0.0000 \pm 0.0000i$
	$0.0046 \pm 0.0001i$	$-0.9651 + 0.0000i$	$-0.0000 \mp 0.0000i$	$0.0170 \pm 0.0450i$	$-0.0000 \mp 0.0000i$
	$0.0000 \pm 0.0000i$	$0.0000 \pm 0.0000i$	$-0.0000 \pm 0.0000i$	$-0.0000 \pm 0.0000i$	$0.9987 \pm 0.0000i$
	$-0.0000 \pm 0.0000i$	$-0.0000 \mp 0.0000i$	$0.0000 \mp 0.0000i$	$-0.0000 \mp 0.0000i$	$0.0411 \pm 0.0309i$
Multistage output-lifting EA	$-0.0134 \pm 0.0216i$	$0.0002 \pm 0.0002i$	$-0.5599 \mp 0.4198i$	$-0.0000 \mp 0.0002i$	$-0.0000 \mp 0.0000i$
	$-0.0191 \mp 0.1613i$	$-0.0000 \pm 0.0018i$	$0.7001 + 0.0000i$	$0.0001 \pm 0.0001i$	$-0.0000 \mp 0.0000i$
	$-0.0001 \pm 0.0001i$	$-0.0177 \pm 0.0297i$	$-0.0006 \mp 0.0008i$	$0.7974 + 0.0000i$	$-0.0000 \mp 0.0000i$
	$0.0001 \mp 0.0008i$	$-0.0273 \mp 0.1973i$	$0.0010 \pm 0.0003i$	$-0.4785 \pm 0.3588i$	$-0.0000 \mp 0.0000i$
	$0.1180 \pm 0.0946i$	$-0.0009 \mp 0.0010i$	$-0.0800 \pm 0.0603i$	$-0.0000 \mp 0.0000i$	$-0.0000 \pm 0.0000i$
	$-0.9747 + 0.0000i$	$0.0075 \pm 0.0007i$	$0.0278 \mp 0.0964i$	$0.0000 \mp 0.0000i$	$-0.0000 \mp 0.0000i$
	$-0.0005 \mp 0.0005i$	$-0.1299 \mp 0.1041i$	$0.0001 \mp 0.0001i$	$-0.0179 \mp 0.0616i$	$0.0000 \pm 0.0000i$
	$0.0046 \pm 0.0001i$	$0.9651 + 0.0000i$	$-0.0001 \pm 0.0001i$	$-0.0170 \pm 0.0450i$	$-0.0000 \mp 0.0000i$
	$0.0000 \pm 0.0000i$	$-0.0000 \mp 0.0000i$	$-0.0000 \pm 0.0000i$	$-0.0000 \mp 0.0000i$	$0.0406 \mp 0.0325i$
	$-0.0000 \pm 0.0000i$	$0.0000 \pm 0.0000i$	$0.0000 \mp 0.0000i$	$0.0000 \mp 0.0000i$	$0.9986 \pm 0.0000i$

Table 3. A comparison of achieved eigenvalues

	Desired	State feedback EA	Output-lifting EA	Output-lifting EA	Multistage output-lifting EA
Eigenvalues	$0.9682 \pm 0.0232i$	$0.9682 \pm 0.0232i$	$0.9682 \pm 0.0232i$	$0.9682 \pm 0.0232i$	$0.9682 \pm 0.0232i$
	$0.9761 \pm 0.0176i$	$0.9761 \pm 0.0176i$	$0.9761 \pm 0.0176i$	$0.9761 \pm 0.0176i$	$0.9761 \pm 0.0176i$
	$0.8083 \pm 0.1304i$	$0.8083 \pm 0.1304i$	$0.8083 \pm 0.1304i$	$0.8083 \pm 0.1304i$	$0.8083 \pm 0.1304i$
	$0.8266 \pm 0.1199i$	$0.8266 \pm 0.1199i$	$0.8266 \pm 0.1199i$	$0.8266 \pm 0.1199i$	$0.8266 \pm 0.1199i$
	$0.4868 \pm 0.2534i$	$0.4868 \pm 0.2534i$	$0.4868 \pm 0.2534i$	$0.4868 \pm 0.2534i$	$0.4868 \pm 0.2534i$

It is also clear from Table 3, multistage output-lifting EA has the capability to assign the desired eigenvalues as those assigned via full state feedback EA.

The closed-loop system responses are shown in Figures 5 to 8. Figure 5 shows the position and the velocity responses of the ball along the x- and y-axes, to a unit step reference command along the x-axis. The plots exhibit the desired second-order characteristics, which are compatible with the predefined system modes, and the settling time of the x-position is close to 5sec (4/0.8). Figure 6 shows the angular position and velocity responses of the plant along the x- and y-axis following a unit step reference command along the x-axis. It also shows the height of the ball following a unit step reference command along the x-axis. It is clear that the height of the ball is maintained at a constant, zero-error value. Figure 7 shows the position and the velocity responses of the ball along the x- and y-axes, to a unit step reference command along the y-axis. The second-order response of the y-position is shown to be as required. The settling time is close to 6.6sec(4/0.6). Figure 8 depicts the angle and angular velocity responses of the plant along the x-axis and the y-axis following a unit step reference command along the y-axis. The height of the ball is maintained at a fixed height to a unit step command along the y-axis. It is clear from Figures 5 to 8 that

appropriate system modes are well decoupled. Furthermore, the system outputs are able to track given reference commands.

7. Conclusions

In this paper, a multistage output-lifting EA scheme is developed. Compared with conventional output-lifting EA, the left allowable subspace is exploited in this scheme, which allows eigenvector assignment to be further improved. In addition, the mathematical model of a novel Ball and Plate system is developed. Based upon the physical characteristics of the system, an ideal eigenstructure is derived, which allows natural modes to be distributed and decoupled in appropriate states or outputs. Since Ball and Plate is a typical multirate output feedback system with restricted DoF, it is an ideal candidate to apply a multistage output-lifting EA scheme. The design and simulation results show the efficacy of the scheme.

Appendix. A

The Linearized three-motor Ball and Plate system

In the coordination system associated with (64) and (65), the continuous-time state space matrices of the three motor Ball and Plate system are

$$A_c = \begin{bmatrix} 0 & 1.0000 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 7.0000 & 0.2001 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -7 & -0.2001 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -11.4360 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & -11.4360 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -11.4360 \end{bmatrix} \quad (113)$$

$$B_c = \begin{bmatrix} 0 & 0 & 0 \\ 0.0023 & 0.0023 & -0.0047 \\ 0 & 0 & 0 \\ -0.0070 & 0.0070 & 0 \\ 0 & 0 & 0 \\ -0.1330 & -0.1330 & 0.2660 \\ 0 & 0 & 0 \\ -0.3989 & 0.3989 & 0 \\ 0 & 0 & 0 \\ 0.0199 & 0.0199 & 0.0399 \end{bmatrix} \quad (114)$$

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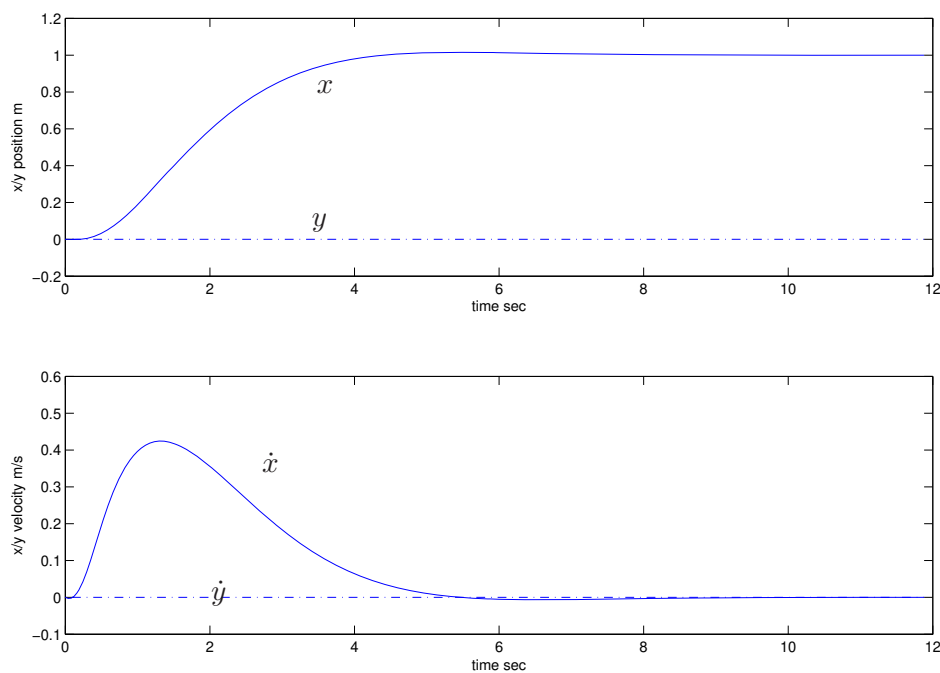


Figure 5. Response of the Closed-Loop System to a unit x-axis Position Command

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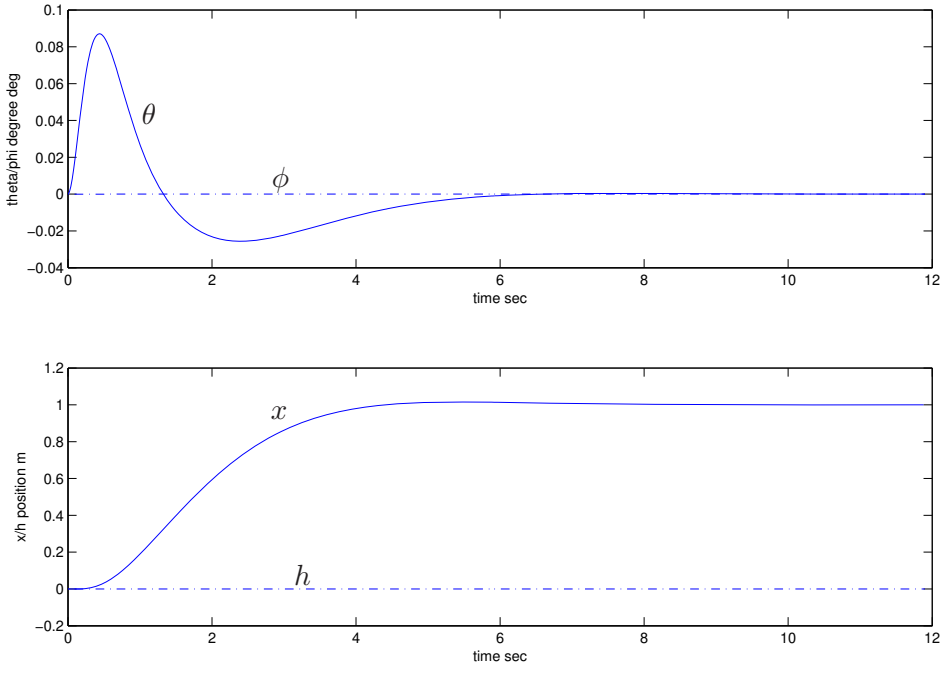


Figure 6. Response of the Closed-Loop System to a unit x-axis Position Command

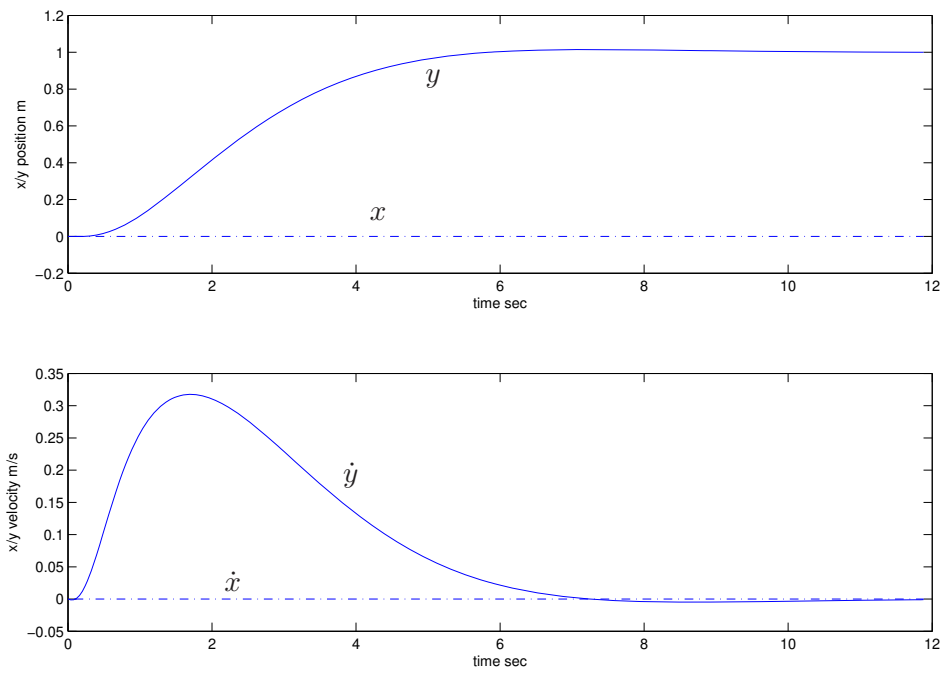


Figure 7. Response of the Closed-Loop System to a unit y-axis Position Command

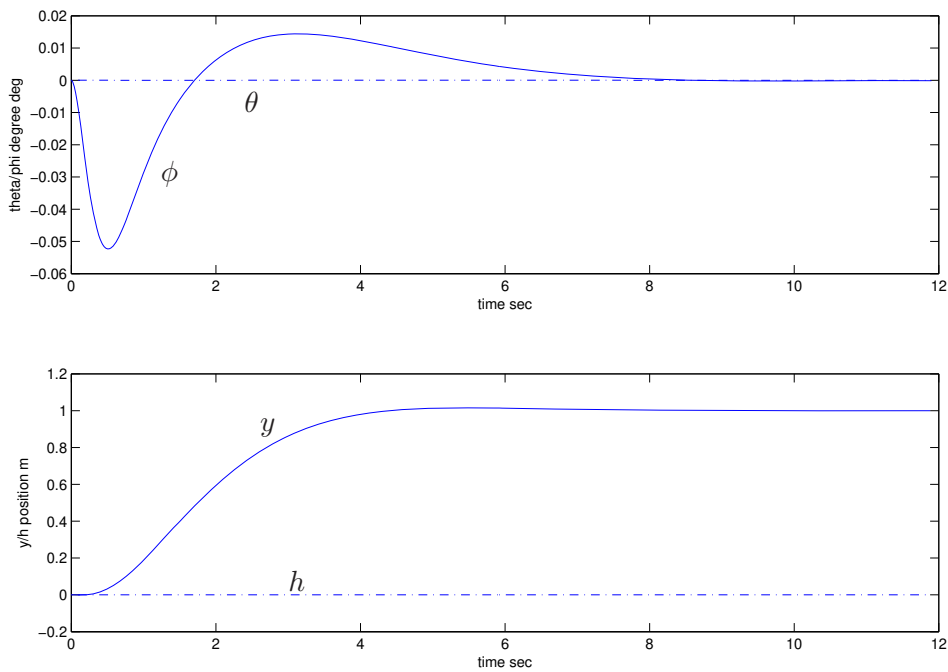


Figure 8. Response of the Closed-Loop System to a unit y-axis Position Command

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3 Response to the first reviewer:
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7 I note that a new section (Section 3) is added to this revised paper. In the added section, a two-
8 stage output-lifting eigenstructure assignment approach is proposed. The result can be seen as an
9 extension of the recent work published by L. Chen et al. (2017). However, I have the following
10 concern that the authors should make it clearer.
11

12 The authors say that "Instead of only using the right allowable subspace, the left allowable subspace,
13 with an increased dimension induced by output-lifting, will be used to improve the assigned
14 eigenvectors." The authors should explain the advantages of doing this. Since $p \geq n$, both the right
15 s_1 eigenpairs and the remaining right s_2 eigenpairs can be easily assigned only using the right
16 allowable subspace. Thus, the authors should clearly explain why assigning the left s_2 eigenpairs via
17 the left allowable subspace can improve the assigned eigenvectors, but assigning the right s_2
18 eigenpairs via the right allowable subspace cannot improve or can improve less.'
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22 Thanks for the comments. In the modified paper, the discussion of advantages has been expanded
23 and added in the introduction.
24

25
26 'Although output-lifting allows full state feedback eigenstructure to be assigned from the right
27 allowable subspace, an exact assignment of desired eigenvectors (i.e. assignment of all elements of
28 each eigenvector) is difficult to be achieved due to the limited dimension of the allowable right
29 subspace determined by the number of system inputs. Since output-lifting only enlarges the
30 effective number of system outputs, the dimension of the right allowable subspace is invariant. If
31 only the right allowable subspace is used for eigenvector assignment, the maximum number of
32 exactly assignable elements of each eigenvector, which equates to the number of system inputs,
33 cannot be enlarged anymore. Exploiting the fact that output-lifting has the capability to enlarge the
34 number of effective system outputs, the dimension of the left allowable subspace is thus increased.
35 If the dimension of the left allowable subspace becomes larger than one of the right allowable
36 subspace after output-lifting, a left allowable subspace based eigenvector assignment can further
37 improve achieved eigenvectors and allows more elements of each eigenvector to be exactly assigned.
38 Instead of only using the right allowable subspace for eigenvector assignment (the maximum
39 number of exactly assignable elements of each eigenvector equates to the number of system inputs),
40 this paper developed a multistage output-lifting EA scheme in which both the left and right
41 allowable subspaces are used for EA. In the second stage where the left allowable subspace is used
42 for eigenvector assignment, the partially assigned eigenvectors should contain more elements of
43 desired eigenvectors due to output-lifting.'
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47 Additionally, Remarks 2.1 and 3.1 have been expanded to make the explanation clear.
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