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# Errata for three papers (2004-05) on fixed-priority scheduling with self-suspensions

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## Abstract

The purpose of this article is to (i) highlight the flaws in three previously published works [3][2][7] on the worst-case response time analysis for tasks with self-suspensions and (ii) provide straightforward fixes for those flaws, hence rendering the analysis safe.

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## 1 Introduction

Often, in embedded systems, a computational task running on a processor must suspend its execution to, typically, access a peripheral or launch computation on a remote co-processor. Those tasks are commonly referred to as *self-suspending*. During the duration of the self-suspension, the processor is free to be used by any other tasks that are ready to execute. This seemingly simple model is non-trivial to analyse from a worst-case response time (WCRT) perspective since the classical “critical instant” of Liu and Layland [13] (i.e., simultaneous release of all tasks) no longer necessarily provides the worst-case scenario when tasks may self-suspend. A simple solution consists in modelling the duration of the self-suspension as part of the self-suspending task’s execution time. This so-called “self-suspension oblivious” approach allows to use the “critical instant” of Liu and Layland but often at the cost of too much pessimism. Therefore, various efforts have been made to derive less pessimistic, but still safe, analyses.

The results published in [3, 2, 7, 6] propose solutions for computing upper bounds on the response times of self-suspending tasks. However, we have now come to understand that they were flawed, i.e., they do not always output safe upper bounds on the task WCRTs. Through this paper, we therefore seek to highlight the respective flaws and propose appropriate fixes, rendering the two analysis techniques previously proposed in [3][2][7] safe.



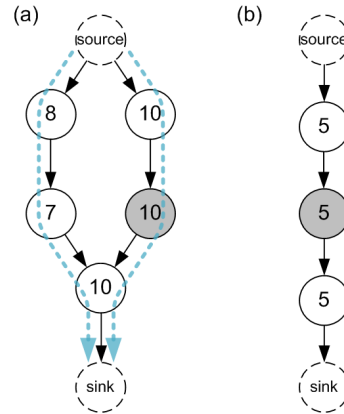
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■ **Figure 1** Examples of task graphs for task with self-suspensions. White nodes represent sections of code with single-entry/single-exit semantics. Grey nodes represent remote operations, i.e., self-suspending regions. The nodes are annotated with execution times, which in this example are deterministic for simplicity. The directed edges denote the transition of control flow. Any task execution corresponds to a path from source to sink. For task graph (a), two different control flows exist (shown with dashed lines). In this case, the software execution and the time spent in self-suspension are maximal for different control flows. As a result of this,  $C < X + G$ ; specifically,  $C = X = 25$  and  $G = 10$ . However, task graph (b) is linear, so it holds that  $C = X + G$  for that task.

## 2 Process model and notation

We assume a single processor and  $n$  independent sporadic<sup>1</sup> computational tasks scheduled under a fixed-priority policy. Each task  $\tau_i$  has a distinct priority  $p_i$ , an inter-arrival time  $T_i$  and a relative deadline  $D_i$ , with  $D_i \leq T_i$  (constrained deadline model). Each job released by  $\tau_i$  may execute for at most  $X_i$  time units on the processor (its *worst-case execution time in software* – S/W WCET) and spend at most  $G_i$  time units in self-suspension (its “H/W WCET”). What in the works [3, 2, 7, 6] is referred to as (simply) “the worst-case execution time” of  $\tau_i$ , denoted by  $C_i$ , is the time needed for the task to complete, in the worst-case, in the absence of any interference from other tasks on the processor. Hence  $C_i$  also accounts for the latencies of any self-suspensions in the task’s critical path<sup>2</sup>. This terminology differs somewhat from that used in other works, which call WCET what we call the S/W WCET. This is mainly because it echoes a view inherited from hardware/software co-design that the task *is* executing even when self-suspended on the processor, albeit remotely (i.e., on a co-processor).

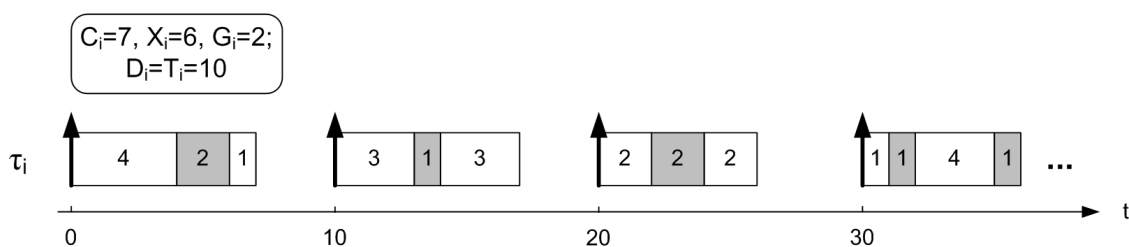
As illustrated on Figure 1, in the general case,  $C_i \geq X_i$ ,  $C_i > G_i$  but  $C_i \leq X_i + G_i$ , because  $X_i$  and  $G_i$  are not necessarily observable for the same control flow, unless it is explicitly specified or inferable from information about the task structure that  $C_i = X_i + G_i$ .

Additionally, lower bounds on the S/W and the “H/W” best-case execution times are denoted by  $\hat{X}_i$  and  $\hat{G}_i$ , respectively.

Our past work considered two submodels (referred to as “simple” and “linear”), depending on the degree of knowledge that one has regarding the location of the self-suspending regions inside

<sup>1</sup> The original papers, assumed periodic tasks with *unknown* offsets. It was in the subsequent PhD thesis [6] that the observation was made that the results apply equally to the sporadic model, which is more general in terms of the possible legal schedules that may arise.

<sup>2</sup> We assume, as in [3, 2, 7, 6], that there is no contention over the co-processors or peripherals accessed during a self-suspension.



■ **Figure 2** Under the simple model any job by a given task  $\tau_i$  can execute for at most  $X_i$  units in software, at most  $G_i$  time units in hardware and at most  $C_i$  time units overall. The locations and number of the hardware operations (self-suspensions, from the perspective of software execution) may vary arbitrarily for different jobs by the same task, subject to the previous constraints. This is depicted here for a task  $\tau_i$ , with the parameters shown, which (for simplicity) is the only task in its system. Upward-pointing arrows denote task arrivals (and deadlines, since the task set happens to be implicit-deadline). Shaded rectangles denote remote execution (i.e., self-suspension).

38 the process activation and whether or not  $C_i = X_i + G_i$ .

## 39 2.1 The simple model

40 The simple model, assumed in [2, 3], is also called “floating” or “dynamic self-suspension model”  
 41 in many later works of the state-of-the-art. This model is entirely agnostic about the location  
 42 of self-suspending regions in the task code. Hence, there is no information on the number of  
 43 self-suspending regions, on the instants at which they may be activated and for how long each  
 44 of them may last at run-time. Moreover, the self-suspension pattern may additionally differ for  
 45 subsequent jobs released by the same task  $\tau_i$ . The sums of the lengths of the “S/W” and “H/W”  
 46 execution regions are however subject to the constraints imposed by the attributes  $C_i$ ,  $X_i$  and  
 47  $G_i$ . Figure 2 illustrates this concept.

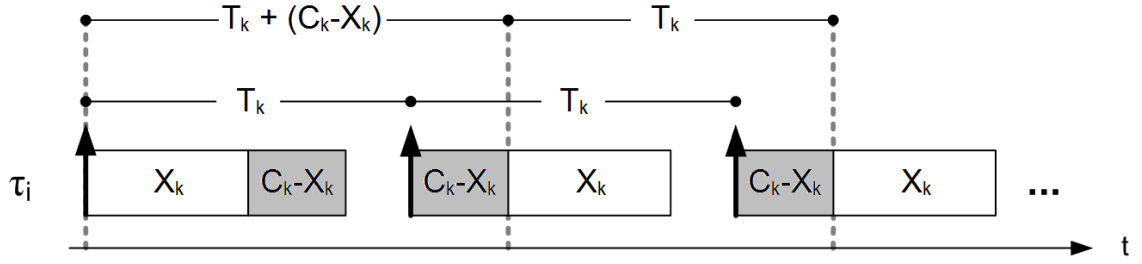
## 48 2.2 The linear model

49 The linear model, which was presented in [7], is also known as the “multi-segment self-suspension  
 50 model” in many later works. It assumes that each task is structured as a “pipeline” of interleaved  
 51 software and self-suspending regions, or “segments”. Each of these segments has known upper  
 52 and lower bounds on its execution time. This means that, in all cases,  $C_i = X_i + G_i$  and the  
 53 task-level upper and lower bounds on its software (respectively, hardware) execution time,  $X_i$   
 54 and  $\hat{X}_i$  (respectively,  $G_i$  and  $\hat{G}_i$ ) are obtained as the sum of the respective estimates of all the  
 55 software (respectively, hardware) segments.

## 56 3 The analysis in [2, 3], its flaws and how to fix it.

57 The two works [2, 3] that targeted the simple model, sought to derive the task WCRTs by shifting  
 58 the distribution of software execution and self-suspension intervals *within* the activation of each  
 59 higher-priority task in order to create the most unfavorable pattern, across job boundaries. This  
 60 also involved aligning the task releases accordingly, in order to obtain (what we thought to be)  
 61 the worst case. In order to facilitate the explanation of the specifics, it is perhaps best to first

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■ **Figure 3** For a job by some task  $\tau_k$  that executes in software for  $X_k$  time units and  $C_k$  time units overall (i.e., in software and in hardware), the latest that it can start executing in software, in terms of net execution time (i.e., excluding preemptions) is after having executed for  $C_k - X_k$  time units in hardware. Differences in the placement of software and hardware execution across different jobs of  $\tau_k$  manifest themselves as jitter for its software execution.

62 present the corresponding equation for computing the WCRT of a task  $\tau_i$  derived in [3]:

$$63 \quad R_i = C_i + \sum_{j \in hp(i)} \left\lceil \frac{R_i + (C_j - X_j)}{T_j} \right\rceil X_j \quad (1)$$

64 where the term  $hp(i)$  is the set of tasks with higher-priority than  $\tau_i$ . For the special case  
65 where  $C_i = X_i + G_i, \forall i$ , the above equation can be rewritten as [2]

$$66 \quad R_i = C_i + \sum_{j \in hp(i)} \left\lceil \frac{R_i + G_j}{T_j} \right\rceil X_j \quad (2)$$

67 Intuitively,  $\tau_i$  is pessimistically treated as preemptible at any instant, even those at which it  
68 is self-suspended. Each interfering job released by a higher-priority task  $\tau_j$  contributes up to  $X_j$   
69 time units of interference to the response time of  $\tau_i$ . However, the variability in the location of  
70 self-suspending regions creates a jitter in the software execution of each interfering task. The  
71 term  $(C_j - X_j)$ , for each  $\tau_j \in hp(i)$ , in the numerator, which is akin to a jitter in Equation 1,  
72 attempted to account for this variability. Intuitively, it represents the potential internal jitter,  
73 *within* an activation of  $\tau_j$ , i.e., when its net execution time (in software or in hardware) is  
74 considered, and disregarding any time intervals when  $\tau_j$  is preempted. Figure 3 illustrates this  
75 concept for some task  $\tau_k$ .

76 However, as we will show in Example 1, in the general case the jitter can be larger than  
77  $(C_j - X_j)$ . This is because the software execution of  $\tau_j$  can be pushed further to the right along  
78 the axis of time, due to the interference that  $\tau_j$  suffers from even higher-priority tasks.

79 It is worth noting that the authors of [2] were fully aware at the time that the term  $\left\lceil \frac{R_i + (C_j - X_j)}{T_j} \right\rceil X_j$   
80 is not an upper bound on the worst-case interference exerted upon  $\tau_i$  from any *individual* task  
81  $\tau_j \in hp(i)$ . However, it was considered (and erroneously claimed, with faulty proof) that  
82  $\sum_{j \in hp(i)} \left\lceil \frac{R_i + (C_j - X_j)}{T_j} \right\rceil X_j$  was nevertheless an upper bound for the total interference *jointly*  
83 caused by all tasks in  $hp(i)$ , in the worst case. The flaw in that reasoning came from assuming  
84 that the effect of any additional jitter of interfering task  $\tau_j$ , caused by interference exerted upon it  
85 by even higher-priority tasks would already be “captured” by the corresponding terms modelling  
86 the interference upon  $\tau_i$  by  $hp(j) \subset hp(i)$ . This would then suppress the need to include it twice.

$\tau_i$	$C_i$	$X_i$	$G_i$	$T_i$
$\tau_1$	1	1	0	2
$\tau_2$	10	5	5	20
$\tau_3$	1	1	0	$\infty$

■ **Table 1** A set of tasks with self-suspensions. The lower the task index, the higher its priority.

87 Accordingly, then, the worst-case scenario for the purposes of maximisation of the response  
 88 time of a task  $\tau_i$ , released without loss of generality at time  $t = 0$  would happen when each  
 89 higher-priority task

- 90 ■ is released at time  $t = -(C_j - X_j)$  and then releases its subsequent jobs with its minimum  
 91 inter-arrival time (i.e., at instants  $t = T_j - (C_j - X_j), 2T_j - (C_j - X_j), \dots$ ;
- 92 ■ switches for the first time to execution in software (for a full  $X_j$  time units) at  $t = 0$ , for its  
 93 first interfering job, i.e., after a self-suspension of  $C_j - X_j$  time units;
- 94 ■ executes in software for  $X_j$  time units as soon as possible for its subsequent jobs.

95 Figure 4(a) plots the schedule that reproduces this alleged worst-case scenario, for the lowest-  
 96 priority task in the example task set of Table 1. In this case, the top-priority task  $\tau_1$  happens  
 97 to be a regular non-self-suspending task, so its worst-case release pattern reduces to that of Liu  
 98 and Layland. However, for the middle-priority task  $\tau_2$  which self-suspends, its execution pattern  
 99 matches that described above.

100 However, this schedule does not constitute the worst-case, as evidenced by the following  
 101 counter-example:

102 ► **Example 1.** Consider the task set of Table 1. Assume that the execution times of software  
 103 segments and the durations of self-suspending regions are deterministic. As shown below using a  
 104 fixed point iteration over Equation 1, the analysis in [2, 3] would yield  $R_3 = 12$ :

$$105 \quad R_3 = C_3 + \left\lceil \frac{R_3 + C_1 - X_1}{T_1} \right\rceil X_1 + \left\lceil \frac{R_3 + C_2 - X_2}{T_2} \right\rceil X_2 \Rightarrow R_3 = 1 + \left\lceil \frac{R_3}{2} \right\rceil 1 + \left\lceil \frac{R_3 + 5}{20} \right\rceil 5$$

$$106 \quad R_3^{(0)} = 1$$

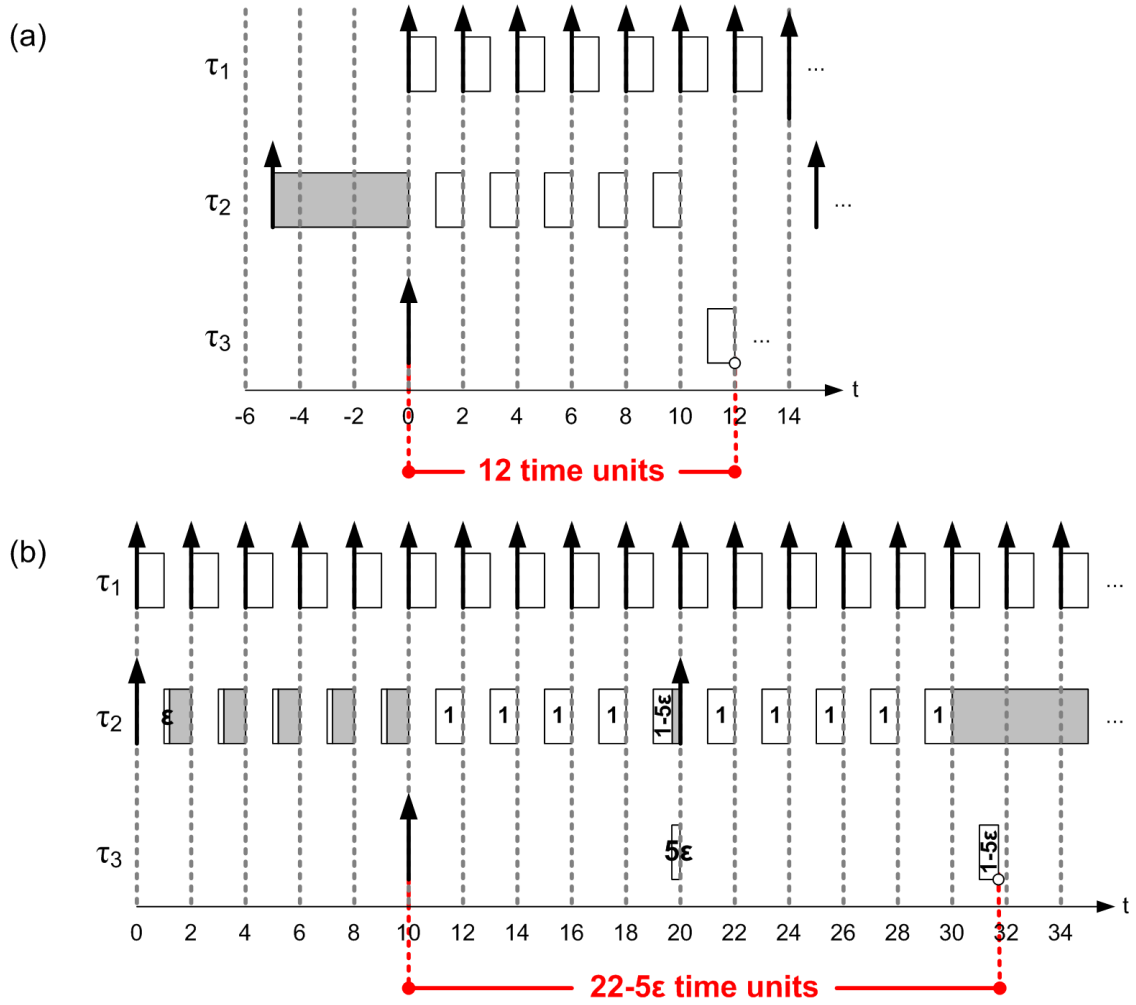
$$107 \quad R_3^{(1)} = 1 + \left\lceil \frac{1}{2} \right\rceil 1 + \left\lceil \frac{1 + 5}{20} \right\rceil 5 = 7$$

$$108 \quad R_3^{(2)} = 1 + \left\lceil \frac{7}{2} \right\rceil 1 + \left\lceil \frac{7 + 5}{20} \right\rceil 5 = 10$$

$$109 \quad R_3^{(3)} = 1 + \left\lceil \frac{10}{2} \right\rceil 1 + \left\lceil \frac{10 + 5}{20} \right\rceil 5 = 12$$

$$110 \quad R_3^{(4)} = 1 + \left\lceil \frac{12}{2} \right\rceil 1 + \left\lceil \frac{12 + 5}{20} \right\rceil 5 = 12$$

111 The corresponding schedule is shown in Figure 4(a). However, the schedule of Figure 4(b), which  
 112 is perfectly legal, disproves the claim that  $R_3 = 12$ , because  $\tau_3$  in that case has a response time  
 113 of  $22 - 5\epsilon$ , where  $\epsilon$  is an arbitrarily small quantity. It therefore proves that the analysis initially  
 114 presented in [2] and [3] is unsafe.



■ **Figure 4** Subfigure (a) depicts the schedule, for the task set of Table 1 that was supposed to result in the WCRT for  $\tau_3$  according to the analysis presented in [2, 3]. Subfigure (b) depicts a different legal schedule that results in a higher response time for  $\tau_3$ .

118 Let us now inspect what makes the scenario depicted in the schedule of Figure 4 so unfavourable  
 119 that the analysis in [2, 3] fails, and at the same time let us understand how the analysis  
 120 could be fixed.

121 Looking at the first interfering job released by  $\tau_2$  in Figure 4, one can see that almost all its  
 122 software execution is still distributed to the very right (which was supposed to be the worst-case  
 123 in [3]). However, by “strategically” breaking up what would have otherwise been a contiguous  
 124 self-suspending region of length  $G_2$  in the left, with arbitrarily short software regions of length  $\epsilon$   
 125 beginning at the same instants that the even higher-priority task  $\tau_1$  is released, a particularly un-  
 126 favourable effect is achieved. Namely, the execution of  $\tau_1$  on the processor and the self-suspending  
 127 regions of  $\tau_2$ , “sandwiched” in between are effectively serialised. In practical terms, it is the equi-  
 128 valent of the execution of  $\tau_1$  on the processor preempting the execution of  $\tau_2$  on the co-processor!  
 129 This means that, when finally  $\tau_2$  is done with its self-suspensions, its remaining execution in  
 130 software is almost its entire  $X_2$ , but occurs with a jitter far worse than that modelled by Equa-  
 131 tion 1. And, when analysing  $\tau_3$ , this effect was not captured indirectly, via the term modelling  
 132 the interference exerted by  $\tau_1$  onto  $\tau_3$ .

133 So in retrospect, although each job by each  $\tau_j \in hp(i)$  can contribute at most  $X_j$  time units  
 134 of interference to  $\tau_i$ , the terms  $(C_j - X_j)$  in Equation 1, that are analogous to jitters, are unsafe.  
 135 The obvious fix is thus to replace those with the true jitter terms for software execution. As  
 136 proven in Lemma 2 below, safe upper bounds for these are  $R_j - C_j$ ,  $\forall \tau_j \in hp(i)$ .

137 Reconsidering the analysis presented in [2, 3] in light of this counter-example, one can draw  
 138 the following conclusions:

- 139 1. the terms  $X_j$ , one for every higher-priority task, in Equation 1, which model the fact that  
 140 each job released by a task  $\tau_j \in hp(i)$  can contribute at most  $X_j$  time units of interference,  
 141 do not introduce optimism;
- 142 2. the terms  $(C_j - X_j)$ , one for every higher-priority task, in Equation 1, that are analogous to  
 143 jitters, are unsafe.

144 Formally, these conclusions can be summarised by the following Lemma 2, that serves as a  
 145 sufficient schedulability test:

146 ► **Lemma 2** (Corresponding to Corollary 1 in [9]). *Consider a uniprocessor system of constrained-*  
 147 *deadline self-suspending tasks and one task  $\tau_i$  among those, in particular. If every task  $\tau_j \in$*   
 148  *$hp(i)$  is schedulable (i.e., if an upper bound  $R_j$  on the worst-case response time of  $\tau_j$  exists with*  
 149  *$R_j \leq D_j \leq T_j$ ) and, additionally, the smallest solution to the following recursive equation is*  
 150 *upper-bounded by  $D_i$ ,*

$$151 \quad R_i = C_i + \sum_{j \in hp(i)} \left\lceil \frac{R_i + (R_j - X_j)}{T_j} \right\rceil X_j \quad (3)$$

152 *then  $\tau_i$  is also schedulable and its worst-case response time is upper-bounded by  $R_i$ , as computed*  
 153 *by Equation 3.*

### 154 3.1 Proof of Lemma 2

155 Consider a schedule  $\Psi$  of the self-suspending task system in consideration whereby some job of  
 156 task  $\tau_i$  is released at time  $r_i$  and completed at time  $f_i$ .

157 We define a transformed schedule  $\Psi'$  as the schedule in which (i) the jobs of every higher-  
 158 priority task  $\tau_j \in hp(i)$  are released at the exact same instants as in  $\Psi$ ; (ii) only one job by  $\tau_i$   
 159 is released, at time  $r_i$ ; (iii) no jobs by lower-priority tasks are released and (iv) the suspensions  
 160 by all higher-priority jobs take place during the exact same intervals as in  $\Psi$ ; additionally (v) we



161 modify the job of  $\tau_i$  (which in  $\Psi$  executed on the processor for  $x_i$  time units and was suspended  
 162 for  $g_i$  time units) such that it executes on the processor for  $C_i \geq x_i + g_i$  time units. Recall that  $C_i$   
 163 is defined as the worst-case combined execution in software and hardware, i.e., sum of processor-  
 164 based execution and self-suspension. After this last conversion (a safe, widely used transformation  
 165 known in the literature as “conversion of suspension to processor-based computation”, followed  
 166 by a potential increase of that processor-based execution time), we can verify (see also Lemma 3  
 167 just below) that: (i) Over the interval  $[r_i, f_i)$ , for every instant that the job by  $\tau_i$  in  $\Psi$  is executing  
 168 or suspended or suspended and no higher-priority task is executing on the processor, the job by  
 169  $\tau_i$  in  $\Psi'$  is executing on the processor, at the same instant. And (ii) for the completion time  $f'_i$   
 170 of  $\tau'_i$  in  $\Psi'$ , it holds that  $f'_i \geq f_i$ ; in other words the response time of the job in consideration in  
 171  $\Psi'$  does not decrease over that in  $\Psi$ .

172 For notational brevity, we denote the (only) job of  $\tau_i$  in  $\Psi'$  as originating from a task  $\tau'_i$  with  
 173  $C'_i = X'_i = C_i$ ,  $G'_i = 0$ ,  $D'_i = D_i$ ,  $T'_i = T_i$ . Note that  $\Psi'$  remains a fixed-priority schedule.

174 ► **Lemma 3** (Corresponding to Lemma 2 in [9] with minor variations). *Assuming that the worst-case*  
 175 *response time of  $\tau_i$  is upper bounded by  $T_i$  and given the definition of schedule  $\Psi'$ , the response time*  
 176 *of the job of  $\tau'_i$  in consideration in  $\Psi'$  is not smaller than the response time of the corresponding*  
 177 *job of  $\tau_i$  in  $\Psi$ , for any possible  $x_i, g_i$  such that  $x_i \leq X_i$  and  $g_i \leq G_i$  and  $x_i + g_i \leq C_i$ .*

178 **Proof.** We know, by definition of fixed-priority schedules, that jobs by lower-priority tasks do not  
 179 impact the response time of the jobs by  $\tau_i$ . Therefore, their elimination in  $\Psi'$  has no impact on  
 180 the response time of the jobs of  $\tau_i$ . Moreover, since from the assumption in the claim, the worst-  
 181 case response time of  $\tau_i$  is upper-bounded by  $T_i$ , no other job by  $\tau_i$  in  $\Psi$  impacts the schedule of  
 182 the job by  $\tau_i$  released at  $r_i$ . Since all other parameters (i.e., releases and suspensions of higher-  
 183 priority tasks) that may influence the scheduling decisions are kept identical between  $\Psi$  and  $\Psi'$ ,  
 184 the response time ( $\bar{R}$ ) of the job by  $\tau_i$  released at time  $r_i$  would have been identical in  $\Psi'$  to the  
 185 one in  $\Psi$  if we had not converted that job’s suspension time to processor-based computation.

186 Let  $x_i$  and  $g_i$  respectively denote the total duration of processor-based execution and self-  
 187 suspension characterising the job of  $\tau_i$  in consideration. Given that  $x_i + g_i \leq C_i$  for any job by  $\tau_i$   
 188 means that additionally substituting in  $\Psi'$  the particular job  $\tau_i$  by a job by  $\tau'_i$  as defined above  
 189 cannot result in the response time being lower than  $\bar{R}$ , which in turn was shown to be no less  
 190 than the response time of the job in  $\Psi$ . ◀

191 We now analyse the properties of the fixed-priority schedule  $\Psi'$ . For any interval  $[r_i, t)$ , with  
 192  $t \leq f_i$ , we are going to prove an upper bound (denoted as  $\text{exec}(r_i, t)$ ) on the amount of time  
 193 during which the processor is executing tasks.

194 Because in  $\Psi'$  there exist no jobs of lower priority than that of  $\tau'_i$ , we only focus on the  
 195 execution of the tasks in  $hp(i) \cup \tau'_i$ . (Recall that we use the notation  $\tau'_i$  here instead of simply  $\tau_i$ ,  
 196 because when constructing  $\Psi'$  from  $\Psi$ , we replaced the self-suspending job of  $\tau_i$  released at  $r_i$  by  
 197 a job of the same priority that executes entirely in software for  $X'_i \stackrel{\text{def}}{=} C_i \leq X_i + G_i$  time units.)

198 ► **Lemma 4.** *For any  $t$  such that  $r_i \leq t < f'_i$ , the cumulative amount of time that  $\tau'_i$  executes on*  
 199 *the processor over the interval  $[r_i, t)$ , denoted by  $\text{exec}_i(r_i, t)$  is strictly smaller than  $C_i$ .*

200 **Proof.** Since the finishing time of the transformed job by  $\tau_i$  is  $f'_i > t$ , it means that it has executed  
 201 for strictly less than its total execution time of  $C_i$ . ◀

202 ► **Lemma 5** (Corresponding to Lemma 8 in [9]). *Assume that  $R_j \leq T_j$  for all jobs by  $\tau_j$  in  $\Psi'$ . Let*  
 203  *$J_j$  be the last job of  $\tau_j$  released before  $r_i$  in  $\Psi'$  and let  $x_j^*$  be the remaining processor execution*  
 204 *time of  $J_j$  at time  $r_i$ . For any task  $\tau_j \in hp(i)$  and any  $\Delta \geq 0$ , it holds that*

$$205 \quad \text{exec}_j(r_i, r_i + \Delta) \leq \hat{W}_j^0(\Delta, x_j^*)$$

206 where

$$207 \quad \hat{W}_j^0(\Delta, x_j^*) \stackrel{\text{def}}{=} \begin{cases} W_j^1(\Delta) & \text{if } x_j^* = 0 \\ \Delta & \text{if } x_j^* > 0 \text{ and } \Delta \leq x_j^* \\ x_j^* & \text{if } x_j^* > 0 \text{ and } x_j^* < \Delta \leq \rho_j \\ x_j^* + W_j^1(\Delta - \rho_j) & \text{if } x_j^* > 0 \text{ and } \rho_j < \Delta \end{cases} \quad (4)$$

208 with

$$209 \quad W_j^1(\Delta) \stackrel{\text{def}}{=} \left\lfloor \frac{\Delta}{T_j} \right\rfloor + \min \left\{ \Delta - \left\lfloor \frac{\Delta}{T_j} \right\rfloor T_j, X_j \right\} \quad (5)$$

210 and  $\rho_j \stackrel{\text{def}}{=} T_j - R_j + x_j^*$

211 **Proof.** We explore two complementary cases:

- 212 ■ **Case  $x_j^* = 0$ :** In this case, there is no residual (sometimes called carry-in) workload of  $\tau_j$  at  
 213 time  $r_i$ . Furthermore,  $\text{exec}_j(r_i, r_i + \Delta)$  is maximised when every job of  $\tau_j$  released after  $r_i$   
 214 executes on the processor for its full processor execution time  $X_j$ , with any self-suspension  
 215 strictly occurring (if at all) after it completes its  $X_j$  time units of execution on the processor.  
 216 (Remember that there is no carry-in workload and hence pushing the execution of a job  
 217 later by means of self-suspension will not increase the amount of computation within the  
 218 window  $[r_i, t)$ ). This is analogous, in terms of processor-based workload pattern, to  $\tau_j$  being  
 219 a sporadic, non-self-suspending task with a worst-case execution time of  $X_j$  time units on  
 220 the processor. Since, as already shown in the literature [5],  $W_j^1(\Delta)$ , which is usually called  
 221 workload function, is an upper bound on the cumulative amount of time that a sporadic task  
 222 with a worst-case execution time  $X_j$  and inter-arrival time  $T_j$  can execute on the processor  
 223 without self-suspension, we know that  $\text{exec}_j(r_i, r_i + \Delta) \leq W_j^1(\Delta)$ . This proves case 1 of (4).  
 224 ■ **Case  $x_j^* > 0$ :** By assumption, there is  $R_j \leq T_j$ . Additionally, the earliest completion time for  
 225 the job  $J_j$  of  $\tau_j$  with residual workload  $x_j^*$  at time  $r_i$  must be  $r_i + x_j^*$  (from the definition of  $x_j^*$ ).  
 226 Therefore, the earliest arrival time of a job of  $\tau_j$  *strictly after*  $r_i$  is at least  $r_i + x_j^* + (T_j - R_j)$ ,  
 227 which is equal to  $r_i + \rho_j$ . Since no other job of  $\tau_j$  is released in  $[r_i, r_i + \rho_j)$ , this means that  
 228  $\text{exec}_j(r_i, r_i + \Delta)$  is upper-bounded by  $\min\{\Delta, x_j^*\}$  for  $\Delta \leq \rho_j$ , thereby proving cases 2 and  
 229 3 of (4). Furthermore, by assumption, the job of  $\tau_j$  with residual workload  $x_j^*$  at time  $r_i$   
 230 completes no earlier than time  $r_i + \rho_j$ . Therefore, following the same reasoning as for the  
 231 case that  $x_j^* = 0$ , it holds that  $\text{exec}_j(r_i + \rho_j, r_i + \Delta)$  is upper bounded by  $W_j^1(\Delta - \rho_j)$  when  
 232  $\Delta > \rho_j$ . This proves the fourth case of (4).  
 233 ◀

234 ▶ **Lemma 6** (Lemma 9 in [9]).  $\forall \Delta > 0$ , it holds that  $\hat{W}_j^0(\Delta, X_j) \geq \hat{W}_j^0(\Delta, x_j^*)$ .

235 **Proof.** See proof in [9]. ◀

236 ▶ **Lemma 7.** For any  $\Delta > 0$ , it holds that

$$237 \quad \hat{W}_j^0(\Delta, X_j) \leq \left\lceil \frac{\Delta + R_j - X_j}{T_j} \right\rceil X_j \quad (6)$$

238

239 **Proof.** From the definition of  $W_j^1(\Delta)$  in (5), we have

$$\begin{aligned}
 240 \quad W_j^1(\Delta) &= \left\lfloor \frac{\Delta}{T_j} \right\rfloor X_j + \min \left\{ \Delta - \left\lfloor \frac{\Delta}{T_j} \right\rfloor T_j, X_j \right\} \\
 241 \quad &\leq \left\lceil \frac{\Delta}{T_j} \right\rceil X_j
 \end{aligned} \tag{7}$$

243 If  $0 < \Delta \leq X_j$ , then by (4), it holds that  $\hat{W}_j^0(\Delta, X_j) = \Delta$ . Moreover, because the worst-case  
 244 response time  $R_j$  of a task cannot be smaller than its worst-case execution time  $C_j \geq X_j$ , we  
 245 have that  $\frac{\Delta + R_j - X_j}{T_j} > 0$ . Hence,  $\hat{W}_j^0(\Delta, X_j) = \Delta \leq X_j \leq \left\lceil \frac{\Delta + R_j - X_j}{T_j} \right\rceil X_j$

246 If  $\Delta > X_j$ , then by the third and fourth cases of (4) and using (7) that we just proved,  
 247 it holds that  $\hat{W}_j^0(\Delta, X_j) \leq X_j + W_j^1(\Delta - (T_j - R_j + X_j)) \leq X_j + \left\lceil \frac{\Delta - T_j + (R_j - X_j)}{T_j} \right\rceil X_j \leq$   
 248  $\left\lceil \frac{\Delta + R_j - X_j}{T_j} \right\rceil X_j$ . ◀

249 Now that we have derived an upper bound on the cumulative execution time  $\text{exec}_j(r_i, r_i + \Delta)$   
 250 by each task  $\tau_j$  in  $\Psi'$ , we can use these upper bounds in order to derive properties for the schedule  
 251 over any interval  $[r_i, t)$ .

252 Recall that, for the schedule  $\Psi'$ , the finishing time of the job of  $\tau_i'$  in consideration is  $f_i' \geq f_i$   
 253 (where  $f_i$  is its corresponding finishing time in  $\Psi$ ).

254 ▶ **Lemma 8.** *Assuming that the worst-case response time of  $\tau_i$  is upper bounded by  $T_i$ , and*  
 255 *assuming that  $R_j \leq T_j$  for all jobs by  $\tau_j$  in  $\Psi'$ .  $\forall t \mid r_i \leq t < f_i'$  it holds that:*

$$256 \quad C_i + \sum_{j=1}^{i-1} \left\lceil \frac{t - r_i + R_j - X_j}{T_j} \right\rceil X_j > t - r_i \tag{8}$$

257 **Proof.** When we constructed  $\Psi'$ , we transformed any suspension time of  $\tau_i$  into processor execu-  
 258 tion time. Hence, it must hold that there is no idle time within  $[r_i, f_i')$ , i.e., between the release  
 259 and completion time of the transformed job of  $\tau_i$ . Indeed, if there was an idle time within  $[r_i, f_i')$ ,  
 260 it would mean that either  $\tau_i$  completed its job before  $f_i'$  or the scheduler would not be work  
 261 conserving. A contradiction with the assumptions of this problem in both cases.

262 Therefore, for every  $t$  such that  $r_i \leq t < f_i'$ , it holds that  $\sum_{j=1}^i \text{exec}_j(r_i, t) = t - r_i$ . By  
 263 application of Lemmas 5 and 6 to the LHS, we get

$$264 \quad \text{exec}_i(r_i, t) + \sum_{j=1}^{i-1} \hat{W}_j^0(t - r_i, X_j) \geq t - r_i$$

265 Further, applying Lemma 7,

$$266 \quad \text{exec}_i(r_i, t) + \sum_{j=1}^{i-1} \left\lceil \frac{t - r_i + R_j - X_j}{T_j} \right\rceil X_j \geq t - r_i$$

267 The fact that the (transformed) job by  $\tau_i$  has not yet completed at  $t < f_i'$  in  $\Psi'$  also means  
 268 (see Lemma 4) that  $\text{exec}_i(r_i, t) < C_i$ . Substituting to the LHS of the above equation yields  
 269  $C_i + \sum_{j=1}^{i-1} \left\lceil \frac{t - r_i + R_j - X_j}{T_j} \right\rceil X_j > t - r_i$ . ◀

270 ▶ **Corollary 9.** *Consider a uniprocessor system of constrained-deadline self-suspending tasks and*  
 271 *one task  $\tau_i$  among those, in particular. Assume that the worst-case response time of  $\tau_i$  does not*  
 272 *exceed  $T_i$  and also that  $R_j \leq T_j, \forall \tau_j \in \text{hp}(i)$ , where  $R_j$  denotes an upper bound on the worst-case*

273 response time of the respective task  $\tau_j$ . Then, the worst-case response time of  $\tau_i$  is upper-bounded  
 274 by the minimum  $t$  greater than 0 for which the following inequality holds.

$$275 \quad C_i + \sum_{j \in hp(i)} \left\lceil \frac{t + (R_j - X_j)}{T_j} \right\rceil X_j \leq t \quad (9)$$

276

277 **Proof.** Direct consequence of Lemma 8. ◀

278 Having proven Corollary 9, what remains to show is the following:

279 ▶ **Lemma 10.** Consider a uniprocessor system of constrained-deadline self-suspending tasks and  
 280 one task  $\tau_i$  among those, in particular. Assume that  $R_j \leq T_j, \forall \tau_j \in hp(i)$ , where  $R_j$  denotes an  
 281 upper bound on the worst-case response time of the respective task  $\tau_j$ . If the worst-case response  
 282 time of  $\tau_i$  is greater than  $T_i$  or unbounded (which implies that  $\tau_i$  is unschedulable), it holds that

$$283 \quad C_i + \sum_{j \in hp(i)} \left\lceil \frac{t + (R_j - X_j)}{T_j} \right\rceil X_j > t, \quad \forall t | 0 < t \leq T_i \quad (10)$$

284

285 **Proof.** By the assumption that  $R_i > T_i$  for some task  $\tau_i$ , there exists a schedule  $\Psi$  such that the  
 286 response time of at least one job of  $\tau_i$  is strictly larger than  $T_i$ . Consider the first such job in  
 287 the schedule, and suppose that it arrives at time  $r_i$ . At that instant, there is no other unfinished  
 288 job by  $\tau_i$  in the system (or else, this would contradict the assumption that the job arriving at  $r_i$   
 289 is the first job of  $\tau_i$  whose response time exceeds  $T_i$ ). So by Lemma 7 we can safely remove all  
 290 other jobs by task  $\tau_i$  that arrived before or at time  $r_i$ , without affecting the response time of the  
 291 job that arrived at time  $r_i$ . Nor is its response time affected, if we additionally remove all other  
 292 jobs of  $\tau_i$  that arrived after time  $r_i$ . Let  $f_i$  be the finishing time of the job by  $\tau_i$  that arrived  
 293 at  $r_i$  in the above schedule, after removing all other jobs of that task. We therefore know that  
 294  $f_i - r_i > T_i$ .

295 Then, we can follow all the procedures and steps in the proof of Corollary 9, to eventually  
 296 reach Equation 10. ◀

297 The joint consideration of Corollary 9 and Lemma 10, which we have now proven, serves as  
 298 proof of Lemma 2.

## 299 3.2 Discussion

300 We had already publicised the flaws in [2, 3] and the proposed fix, immediately upon realising  
 301 the problem, in a technical report [8]. However, this article addresses the issue more rigorously,  
 302 in terms of proofs.

303 Note also that Huang et al. already proposed a correct variation of Equation 3 in [12], using  
 304 the deadline  $D_j$  of each higher priority task as the equivalent jitter term in the numerator of  
 305 Equation 1 (see Theorem 2 in [12]). Although slightly more pessimistic, this solution has the  
 306 advantage of remaining compatible with Audsley's Optimal Priority Assignment algorithm [1].

307 The fix proposed in Lemma 2, in this article, mirrors the approach taken by Nelissen et al.  
 308 in [15], for which a proof sketch had already been provided (see Theorem 2 in [15]). Later, that  
 309 approach was also extended for a more general result [9]. Compared to [9], the corrected analysis  
 310 in the present article has the following differences:

- 311 1. In [9], the authors combine a second, newer technique for upper-bounding task response times,  
 312 that had not been invented at the time that the papers under correction [2, 3] were published.  
 313 That aspect of their analysis makes it more general.
- 314 2. In [9], the authors assume a model whereby  $C_i = X_i + G_i$ ,  $\forall i$ . Instead, in this article, as in  
 315 [3], we assume a slightly more general model whereby  $C_i \leq X_i + G_i$ . This makes the present  
 316 analysis more general, in that regard, although there is no fundamental reason why the result  
 317 in [9] cannot be similarly extended.

318 Other than the above observations, one “side-effect” of the proposed fix is that the WCRT  
 319 estimate output by Equation 3 is no longer guaranteed to always dominate the estimate de-  
 320 rived under the pessimistic but jitterless “suspension-oblivious” approach. In the “suspension-  
 321 oblivious” approach, self-suspensions are treated as regular S/W executions on the processor.  
 322 That is, every task  $\tau_i \in \tau$  is modelled as a sporadic non-self-suspending task with a WCET equal  
 323 to  $C_i \geq X_i$ . Using our notation described above, the corresponding WCRT equation for the  
 324 suspension-oblivious approach is given by:

$$325 \quad R_i = C_i + \sum_{j \in hp(i)} \left\lceil \frac{R_i}{T_j} \right\rceil C_j \quad (11)$$

326 A simple way for obtaining a WCRT upper bound that dominates the suspension-oblivious  
 327 one is to always pick the smallest of the two WCRT estimates, output by Equations 3 and 11.

#### 328 **4 The analysis in [7], its flaws and how to fix it.**

329 For the “linear model” described earlier, a different analysis was proposed in [7]. It uses the ad-  
 330 ditional information available on the execution behaviour of each task, to provide tighter bounds  
 331 on the task WCRTs. That analysis was called *synthetic* because it attempts to derive the WCRT  
 332 estimate by synthesising (from the task attributes) task execution distributions that might not  
 333 necessarily be observable in practice but (were supposed to) dominate the real worst-case exe-  
 334 cution scenario. Unfortunately, that analysis too, was flawed – and as we will see, the flaw was  
 335 somehow inherited from the “simple” analysis already discussed in Section 3.

336 The linear model permits breaking up, for modelling purposes, the interference from each task  
 337  $\tau_j$  upon a task  $\tau_i$  into distinct terms  $X_{jk}$ , each corresponding to one of the software segments of  
 338  $\tau_j$ . These software segments are spaced apart by the corresponding self-suspending regions of  $\tau_j$ ,  
 339 which, for analysis purposes, translates to a worst-case offset (see below) for every such term  $X_{jk}$ .  
 340 This allows in principle, for more granular and hence less pessimistic modelling of the interference.  
 341 However, one problem that such an approach entails is that different arrival phasings between  $\tau_i$   
 342 and every interfering task  $\tau_j$  would need to be considered to find the worst-case scenario. This is  
 343 yet undesirable from the perspective of computational complexity.

344 The main idea behind the synthetic analysis was to calculate the interference from a higher-  
 345 priority task  $\tau_j$  exerted upon the task  $\tau_i$  under analysis assuming that the software segments  
 346 and the self-suspending regions of  $\tau_j$  appear in a potentially different rearranged order from the  
 347 actual one. This so-called synthetic execution distribution would represent an interference pattern  
 348 that dominates all possible interference patterns caused by  $\tau_j$  on  $\tau_i$ , without having to consider  
 349 every possible phasing in the release of  $\tau_j$  relative to  $\tau_i$ . This approach is conceptually analogous  
 350 to converting a task conforming to the generalised multiframe model [4] into an accumulatively  
 351 monotonic execution pattern [14] - with the added complexity that the spacing among software  
 352 segments is asymmetric and also variable at run-time (since the self-suspension intervals vary in  
 353 duration within known bounds).

354 In terms of equations, the upper bound on the WCRT of a task  $\tau_i$  claimed in [7] is given by:

$$355 \quad R_i = C_i + \sum_{j \in hp(i)} \sum_{\substack{k=1 \\ R_i > \xi O_{j_k}}}^{n(\tau_j)} \left\lceil \frac{R_i - \xi O_{j_k} + A_j}{T_j} \right\rceil \xi X_{j_k} \quad (12)$$

356 where  $n(\tau_j)$  is the number of software segments of the linear task  $\tau_j$  and the terms  $\xi X_{j_k}$  (a  
357 per-software-segment interference term),  $\xi O_{j_k}$  (a per-software-segment offset term) and  $A_j$  (a  
358 per-task term analogous to a jitter) are defined in terms of the worst-case synthetic execution  
359 distribution for  $\tau_j$ .

360 For a rigorous definition, we refer the reader to [6]. However, for all practical purposes, one  
361 can intuitively define  $\xi X_{j_1}$  as the WCET of the longest software segment of  $\tau_j$ ;  $\xi X_{j_2}$  as the WCET  
362 of the second longest software segment; and so on. Analogously,  $\xi G_{j_1}$  is the **best-case** of the  
363 **shortest** hardware segment (i.e., self-suspending region) of  $\tau_j$  (in terms of their BCETs);  $\xi G_{j_2}$   
364 is that of the second shortest one; and so on. However, in addition to the actual self-suspending  
365 regions of  $\tau_j$ , when creating this sorted sequence  $\xi G_{j_1}, \xi G_{j_2}, \dots$  a so-called “notional gap”  $N_j$  of  
366 length  $T_j - R_j$  is considered<sup>3</sup>. For tasks that both start and end with a software segment, this is  
367 the minimum spacing between the completion of a job by  $\tau_j$  (i.e. its last software segment) and  
368 the time that the next job by  $\tau_j$  arrives<sup>4</sup>. This is so that the interference pattern considered  
369 dominates all possible arrival phasings between  $\tau_j$  and  $\tau_i$ .

370 As for  $\xi O_{j_k}$ , it was defined<sup>5</sup> as

$$371 \quad \xi O_{j_k} = \begin{cases} 0, & \text{if } k = 1 \\ \sum_{\ell=1}^{k-1} (\xi X_{j_\ell} + \xi G_{j_\ell}), & \text{otherwise} \end{cases} \quad (13)$$

372 Finally,  $A_j$  is given by

$$373 \quad A_j = G_j - \hat{G}_j \quad (14)$$

374 As we will now demonstrate with the following counter-example, it is in the quantification of  
375 this final term  $A_j$ , that the analytical flaw lies.

376 ► **Example 11.** Consider a task set with the parameters shown in Table 2. Each task is described  
377 as a vector consisting of the execution time ranges of its segments in the order of their activation;  
378 self-suspending regions are enclosed in parentheses. In this example, the execution times of the  
379 various software segments and self-suspending regions are deterministic. The analysis in [7],  
380 as sanitised in [6] with respect to the issue of Footnote 3, would be reduced to the familiar  
381 uniprocessor analysis of Liu and Layland [13] for the first few tasks, since  $\tau_1$  and  $\tau_2$  lack self-  
382 suspending regions. So we would get  $R_1 = 2$  and  $R_2 = 4$ .

<sup>3</sup> In [7], the length of the notional gap was incorrectly given as  $T_j - C_j$ . In this paper, we consider the correct length of  $T_j - R_j$ , as in the thesis [6].

<sup>4</sup> For tasks that start and/or end with a self-suspending region, the  $\hat{G}$  of the corresponding self-suspending region(s) is also incorporated to the notional gap. But that is part of a normalisation stage that precedes the formation of the worst-case synthetic execution distribution, so the reader may assume, without loss of generality, that the task both starts and ends with a software segment. For details, see page 115 in [6].

<sup>5</sup> It is an opportunity to mention that in the corresponding equation (Eq. 12) of that thesis [6], there existed two typos: (i) the condition for the first case has “ $k = 0$ ” instead of “ $k = 1$ ” and (ii) the right-hand side for the second case does not have parentheses as should. We have rectified both typos in Equation 13 presented here.

$\tau_i$	execution distribution	$D_i$	$T_i$
$\tau_1$	[2]	5	5
$\tau_2$	[2]	10	10
$\tau_3$	[1, (5), 1]	15	15
$\tau_4$	[3]	20	$\infty$

■ **Table 2** A set of linear tasks where the numbers within parentheses represent the lengths of the self-suspending regions and the other numbers represent the lengths of the S/W execution regions.

383 Using Equation 12 for  $\tau_3$  would yield  $R_3 = 19$ . Note that since the software segments and  
 384 the intermediate self-suspending region of  $\tau_3$  execute with strict precedence constraints, it is also  
 385 possible to derive another estimate for  $R_3$  by calculating upper bounds on the WCRTs of the  
 386 software/hardware segments and adding them together<sup>6</sup>. Doing this, and taking into account  
 387 that the hardware operation suffers no interference, yields  $R_3 = 5 + G_3 + 5 = 15$ . This is in fact  
 388 the exact WCRT, as evidenced in the schedule of Figure 5, for the job released by  $\tau_3$  at  $t = 0$ .

389 Next, to obtain  $R_4$  we need to generate the worst-case execution distribution of  $\tau_3$ . Since, in  
 390 the worst-case,  $\tau_3$  completes just before its next job arrives (see time 15 in Figure 5) its “notional  
 391 gap”  $N_3 = (T_3 - R_3)$  is 0. Then, the synthetic worst-case execution distribution for  $\tau_3$  is

$$392 \quad [ 1, (0), 1, (5) ]$$

393 which is equivalent to a non-self-suspending task with a WCET  $C_3 = 2$ .

394 From the fact that software and self-suspending region lengths are deterministic, we also have  
 395  $A_3 = 0$  (using Equation 14). In other words, to compute  $R_4$  according to this analysis is akin  
 396 to replacing  $\tau_3$  with a (jitterless) sporadic task without any self-suspension, with  $C_3 = 2$  and  
 397  $D_3 = T_3 = 15$ . Then, the corresponding upper bound computed with Equation 12 for the WCRT  
 398 of  $\tau_4$  is  $R_4 = 15$ .

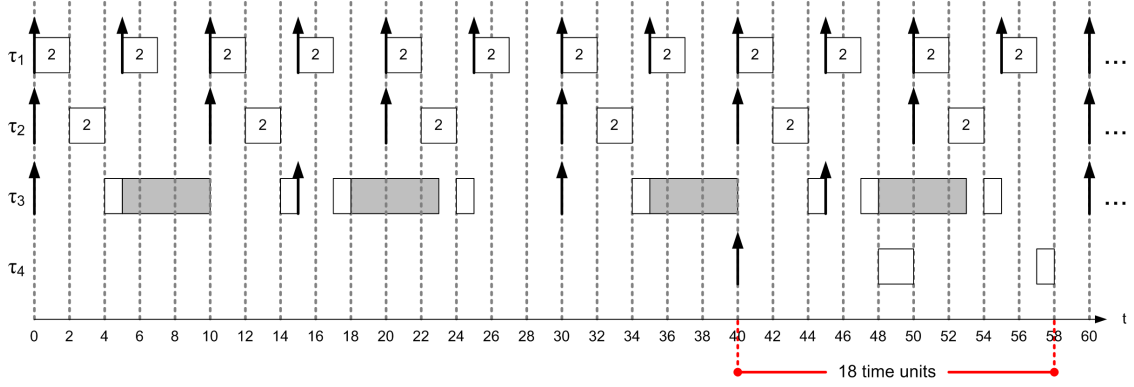
399 However, the schedule of Figure 5, which is perfectly legal, disproves this. In that schedule,  
 400  $\tau_1$ ,  $\tau_2$ , and  $\tau_3$  arrive at  $t = 0$  and a job by  $\tau_4$  arrives at  $t = 40$  and has a response time of 18 time  
 401 units, which is larger than the value obtained for  $R_4$  with Equation 12. Therefore, the analysis  
 402 in [7] is also flawed.

403 For the purposes of fixing the analysis, we note that the characterisation of the interference  
 404 by  $\tau_j$  upon  $\tau_i$  is correct for any schedule where no software segment by  $\tau_j$  interferes more than  
 405 once with  $\tau_i$ . This holds by design, because the longest software segments and the shortest  
 406 interleaved self-suspending regions are selected in turn (according to the property of accumulative  
 407 monotonicity). Moreover, even in the case that there is interference multiple times by one or more  
 408 software segments of the synthetic  $\tau_j$ , i.e., when some  $\gamma$  segments interfere  $\beta > 1$  times with  $\tau_i$  and  
 409 the remaining segments interfere  $\beta - 1$  times with it, by the design of the equation it is ensured  
 410 that these are its  $\gamma$  longest segments and that they are clustered together in time as closely as  
 411 possible. Therefore, the problem lies in the quantification of the per-task term  $A_j$ , that acts as  
 412 jitter for the task execution. Given that, for the simpler dynamic model, it was shown before  
 413 that a value of  $R_j - X_j$  for this jitter was safe, one may conjecture that using  $A_j = R_j - X_j$   
 414 would also make the synthetic analysis for the segmented linear self-suspension model safe. After

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<sup>6</sup> In [6], the definition of WCRT is extended from tasks to software or hardware segments: The WCRT  $R_{i_j}$  of a segment  $\tau_{i_j}$  is the maximum possible interval from the time that  $\tau_{i_j}$  is eligible for execution until it completes. This approach of computing the WCRT of a self-suspending task by decomposing it in subsequences of one or more segments and adding up the WCRTs of those subsequences is also described there.





■ **Figure 5** A schedule, for the task set of Table 2, that highlights the flawedness of the synthetic analysis [7]. The job released by  $\tau_4$  at time 40 has a response time of 18 time units, which is more than the estimate for  $R_4$  (i.e., 15) output by the analysis presented in [7].

415 all, in the latter model, there is a smaller degree of freedom, in the execution and self-suspending  
416 behaviour of the tasks.

417 Indeed, not only is the above conjecture true, but below we are going to show that a smaller  
418 jitter term of  $A_j = R_j - X_j - \hat{G}$  also works and makes the analysis safe.

419 ► **Lemma 12.** *Consider a uniprocessor system of constrained-deadline linear (i.e., segmented)*  
420 *self-suspending tasks and one task  $\tau_i$  among those, in particular. If for every task  $\tau_j \in hp(i)$  an*  
421 *upper bound  $R_j \leq T_j$  on its WCRT exists, and, additionally, the smallest positive solution  $R_i$  to*  
422 *the following recursion is upper-bounded by  $T_i$ , then the WCRT of  $\tau_i$  is upper-bounded by  $R_i$ ,*  
423 *as defined below.*

$$424 \quad R_i = C_i + \sum_{j \in hp(i)} \sum_{\substack{k=1 \\ R_i > \xi O_{j_k}}}^{n(\tau_j)} \left[ \frac{R_i - \xi O_{j_k} + A_j}{T_j} \right] \xi X_{j_k} \quad (15)$$

425 where

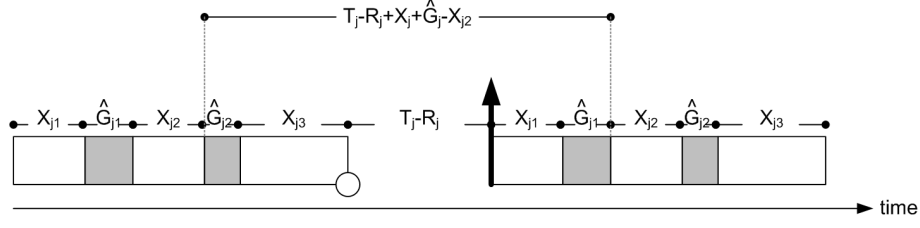
$$426 \quad \xi O_{j_k} = \begin{cases} 0, & \text{if } k = 1 \\ \sum_{\ell=1}^{k-1} (\xi X_{j_\ell} + \xi G_{j_\ell}), & \text{otherwise} \end{cases}$$

427 and

$$428 \quad A_j = R_j - X_j - \hat{G}_k$$

429 **Proof.** Let us convert the self-suspension of  $\tau_i$  to computation. Then, whenever  $\tau_i$  is present in  
430 the system and a higher-priority task is executing  $\tau_i$  is preempted. Then the response time of a  
431 job of  $\tau_i$  is maximised if the total execution time by higher-priority tasks, between its release and  
432 its completion, is maximised. Therefore we can upper-bound the WCRT of  $\tau_i$  by upper-bounding  
433 the total execution time of higher-priority tasks during its activation. We are, pessimistically,  
434 going to do that by upper-bounding the execution time of every  $\tau_j \in hp(i)$  and then taking the  
435 sum.





■ **Figure 6** Illustration of the minimum time separation between two different instances of a segment of the same task  $\tau_j$ .

436 Consider some  $\tau_j \in hp(i)$ . Without loss of generality we will consider the canonical form  
 437 where it both starts and ends with a software segment. Then, it has the form

$$438 \quad [x_{j_1}, g_{j_1}, x_{j_2}, \dots, g_{j_{n(\tau_j)-1}}, x_{j_{n(\tau_j)}}]$$

439 Let us consider one software segment  $x_{j_k}$ . As shown in Figure 6, from the moment that this  
 440 segment completes, until another instance of the same segment (belonging to the next job of  $\tau_j$ )  
 441 executes for one time unit, there is a minimum time separation. Indeed:

- 442 ■ All subsequent self-suspensions and software segments of the original job (if any) must execute,  
 443 i.e.,  $g_{j_k}, x_{j_{k+1}}, \dots, g_{j_{n(\tau_j)-1}}, x_{j_{n(\tau_j)}}$ .
- 444 ■ Then, there is at least  $N_j = T_j - R_j$  time units until the next job of  $\tau_j$  arrives (i.e., what we  
 445 earlier called the notional gap).
- 446 ■ Then all preceding software segments and self-suspensions (if any) of the next job of  $\tau_j$  must  
 447 complete, i.e.,  $[x_{j_1}, g_{j_1}, x_{j_2}, \dots, g_{j_{k-1}}]$

448 The workload generated by  $\tau_j$  in any window of a given length is maximised when its execution  
 449 segments execute for their respective WCETs and those belonging to jobs released after  $\tau_i$  are  
 450 released as early as possible where as those belonging to a carry-in job by  $\tau_j$  (if any) are released  
 451 as late as possible. This implies that self-suspending regions of  $\tau_j$  overlapping with that time  
 452 window execute for their respective minimum suspension time. Under this scenario, it follows  
 453 that the minimum time separation between time instants where two different instances of segment  
 454  $x_{j_k}$  execute is

$$455 \quad \sum_{k \leq \ell \leq n(\tau_j)-1} \hat{G}_{j_\ell} + \sum_{k < \ell \leq n(\tau_j)} X_{j_\ell} + \underbrace{T_j - R_j}_{\text{notional gap}} + \sum_{1 \leq \ell \leq k-1} X_{j_\ell} + \sum_{1 \leq \ell \leq k-1} \hat{G}_{j_\ell}$$

$$456 \quad = T_j - R_j + X_j + \hat{G}_j - X_{j_k} \quad (16)$$

457 This is also illustrated in Figure 6. Note that for successive instances of  $x_{j_k}$  released no earlier  
 458 than  $\tau_i$ , under this worst-case scenario, the corresponding minimum time separation is  $T_j - X_{j_k}$ .

459 This means that, in the above scenario, within any time interval of length  $\Delta t \leq T_j - R_j +$   
 460  $X_j + \hat{G}_j - X_{j_k}$ , the execution by segment  $x_{j_k}$  is at most  $X_{j_k}$  time units. And within any time  
 461 interval of length  $\Delta t = (T_j - R_j + X_j + \hat{G}_j) + M$ , with  $M > 0$ , the total execution time by  
 462 segment  $x_{j_k}$  is no more than  $X_{j_k} + \lfloor \frac{M}{T_j} \rfloor X_{j_k} + \min(X_{j_k}, M - \lfloor \frac{M}{T_j} \rfloor T_j)$ .

463 This means that, over a time interval of length  $\Delta t$ , the worst-case amount of execution by  
 464 segment  $x_{j_k}$  is the same as the corresponding worst-case amount of execution, over an interval of  
 465 length  $\Delta t$ , of an independent periodic non-suspending task with a WCET equal to  $X_{j_k}$ , a period  
 466 of  $T_j$  and a release jitter equal to  $(R_j - X_j - \hat{G}_j)$ .

467 Then, for any particular given phasing of the interfering tasks, the response time of a job of  
468  $\tau_i$  is upper-bounded by the smallest solution to

$$469 \quad R_i^* = C_i + \sum_{j \in hp(i)} \sum_{x_{j_k} \in \tau_j} \left[ \frac{R_i^* + (R_j - X_j - \hat{G}_j) - O_{j_k}}{T_j} \right]_0 X_{j_k} \quad (17)$$

470 where  $O_{j_k}$  is an offset that describes the phasings of the different segments and  $[\cdot]_0 \stackrel{\text{def}}{=} \max[\cdot, 0]$ .  
471

472 Now, observe that the leftmost interfering segment of  $\tau_j$ , within the interval under consider-  
473 ation, will not necessarily be  $\tau_{j_1}$ . It could be any other segment, depending on the release offset.  
474 So, it will not hold in the general case that  $O_{j_k} < O_{j_{k+1}}$ ,  $k \in \{0, 1, n(\tau_j)\}$ . Let us use introduce  
475 some notation to refer to the segments of  $\tau_j$  by the order that they first appear in the time interval  
476 under consideration. So, if the  $\beta^{\text{th}}$  segment of  $\tau_j$  is the one to appear first (i.e., leftmost), then  
477 let

$$478 \quad x'_{j_1} \stackrel{\text{def}}{=} x_{j_\beta}$$

479 and

$$480 \quad x'_{j_k} \stackrel{\text{def}}{=} x_{j_{\beta+k-1}}, \forall k \in \{1, 2, \dots, n(\tau_j)\}$$

481 Accordingly Equation 17 can be rewritten as

$$482 \quad R_i^* = C_i + \sum_{j \in hp(i)} \sum_{x'_{j_k} \in \tau_j} \left[ \frac{R_i^* + A'_j - O'_{j_k}}{T_j} \right]_0 X'_{j_k} \quad (18)$$

483 where  $A'_j = R_j - X_j - \hat{G}_j$  and it will hold that  $O'_{j_k} < O'_{j_{k+1}}$ ,  $k \in \{0, 1, n(\tau_j)\}$ . Intuitively,  
484 the RHS is maximised when the  $O'_{j_k}$  positive offsets are minimised. And a lower-bound on each  
485 of those is

$$486 \quad O'_{j_1} = 0$$

$$487 \quad O'_{j_2} = X'_{j_1} + \hat{G}'_{j_1}$$

$$488 \quad \dots$$

$$489 \quad O'_{j_k} = \left( \sum_{\ell=1}^{k-1} X'_{j_\ell} \right) + \left( \sum_{\ell=1}^{k-1} \hat{G}'_{j_\ell} \right), \quad k \in \{1, \dots, n(\tau_j)\} \quad (19)$$

490 where  $g'_{j_k}$  is defined as the self-suspension interval immediately after segment  $x'_{j_k}$  (or, the  
491 notional gap, in the special case that  $x'_{j_k}$  is  $x_{j_{n(\tau_j)}}$ ).

492 Now compare Equation 19 with Equation 15, from the claim of this lemma. By the design of  
493 the latter equation, it holds that

$$494 \quad \xi X_{j_k} \geq X'_{j_k}, \forall j, k \in \{1, 2, \dots, n(\tau_j)\}$$

$$495 \quad \xi O_{j_k} \leq O'_{j_k}, \forall j, k \in \{1, 2, \dots, n(\tau_j)\}$$

496  $A_j = A'_j$

497 This means that the RHS of Equation 15 dominates the RHS of Equation 18, so the respective  
 498 solution to the former upper-bounds the response time of  $\tau_i$  under any possible combination of  
 499 release phasings of higher-priority tasks. This proves the claim. ◀

## 500 **5 Additional discussion**

501 **Priority assignment:** In [2], it was claimed that the bottom-up Optimal Priority Assignment  
 502 (OPA) [1] algorithm could be used in conjunction with the simple analysis. However, once the  
 503 proposed fix is applied, it becomes evident that this is not the case. Namely, we now need  
 504 knowledge of  $R_j$ ,  $\forall j \in hp(i)$  in order to compute  $R_i$ . In turn, these values depend on the relative  
 505 priority ordering of tasks in  $hp(i)$ . This contravenes the basic principle upon which OPA relies [1].

506 **Resource sharing** In [3], WCRT equations are augmented with blocking terms, for resource  
 507 sharing under the Priority Ceiling Protocol. However, there was an omission of a term in those  
 508 formulas (since those blocking terms have to be multiplied with the number of software segments  
 509 of the task – or, equivalently, the number of interleaved self-suspensions plus one). This has  
 510 already been acknowledged and rectified in [6], p. 101, but we repeat it here too, since this is the  
 511 erratum for that paper.

512 **Multiprocessor extension of the synthetic analysis** In Section 4 of [7], a multiprocessor  
 513 extension of the synthetic analysis is sketched, assuming multiple software processors and a global  
 514 fixed-priority scheduling policy. Showing whether or not this would work for the corrected analysis  
 515 is a conjecture that we would like to tackle in future work.

## 516 **6 Some experiments**

517 Finally, we provide some small-scale experiments, with synthetic randomly-generated tasks in  
 518 order to have some indication about:

- 519 ■ The performance of the corrected analysis techniques, as compared to the baseline suspension-  
 520 oblivious approach.
- 521 ■ The extent by which the original flawed techniques were potentially optimistic.

522 The metric by which we compare the approaches is the scheduling success ratio. We gener-  
 523 erated<sup>7</sup> hundreds of implicit-deadline task sets with  $n = 6$  tasks each. The total processor  
 524 utilisation ( $\sum_{i=1}^n \frac{X_i}{T_i}$ ) of each task set did not exceed 1, in order to avoid generating task sets  
 525 that would be *a priori* unschedulable. Additionally, the suspension-oblivious task set utilisation  
 526 ( $\sum_{i=1}^n \frac{C_i}{T_i}$ ) of each task set ranged between 0.6 and 1.2, with a step of 0.05. Each generated task  
 527 consisted of 3 software segments and 2 interleaved self-suspending regions. For simplicity, the  
 528 best-case execution time of each software segment and self-suspending region matched its worst-  
 529 case execution time. Task inter-arrival times were uniformly chosen in the range  $10^5$  to  $10^6$ . For  
 530 each suspension-oblivious task set utilisation (i.e., 0.6, 0.65, ..., 1.2) we generated 100 such task  
 531 sets. For each target suspension-oblivious utilisation we used the `randfixedsum` function [11] to  
 532 randomly generate the suspension-oblivious utilisations of the individual tasks, which could not

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<sup>7</sup> We are grateful to José Fonseca, for having granted us use of his Matlab-based task generator and schedulability testing tool, which he has been developing in the context of his ongoing PhD.

533 exceed 1. Then, the suspension-oblivious execution time  $C_i$  of each task was derived by multiply-  
 534 ing with the task inter-arrival time  $T_i$ . Subsequently, for each task, we randomly generated its  
 535  $X_i$  and  $G_i$  parameters:  $G_i$  was randomly chosen between 5% and 50% of  $C_i$  and  $X_i$  was set to  
 536  $C_i - G_i$ . The function `randfixedsum` was again invoked to randomly generate the execution times  
 537 of the individual software segments and self-suspending regions from  $X_i$  and  $G_i$ , respectively.

538 Figure 7 plots the results from applying the following schedulability tests.

- 539 ■ **obl** The baseline suspension-oblivious approach (Equation 11).
- 540 ■ **simple** The simple approach from [2, 3] as corrected in Section 3 (namely Equation 3).
- 541 ■ **simpleUobl** Applying both “**simple**” and “**obl**” and picking the smallest WCRT.
- 542 ■ **synth** The “synthetic” approach from [7], already partially corrected<sup>8</sup> in the Thesis [6] and  
 543 as further corrected in Section 4 (namely Equation 15, that uses for  $A_j$  the value perscribed  
 544 by Lemma 12).
- 545 ■ **synthUobl** Applying both “**synth**” and “**obl**” and picking the smallest WCRT of the two.
- 546 ■ **simple-bad** The original, flawed technique from [2, 3], which was proven to be *unsafe* in  
 547 Section 3.
- 548 ■ **synth-bad** The “synthetic” analysis technique from [7], as partially corrected in [6], which  
 549 was proven *unsafe* in Section 4.

550 The main findings from this experiment are as follows:

- 551 1. The suspension-oblivious analysis trails all other approaches in performance.
- 552 2. The benefits of the synthetic approach over the simple approach when used as a schedulability  
 553 test are limited but non-negligible.
- 554 3. Combining either of the suspension-aware tests with the suspension-oblivious test offers a slight  
 555 improvement in the middle region of the plot. This means that a small but not negligible  
 556 number of task sets is found schedulable by the suspension-oblivious test but *not* by the  
 557 suspension-aware tests.
- 558 4. The original flawed formulations of the simple and the synthetic analysis “perform” identic-  
 559 ally. The region of the plot enclosed between these curves and **synthUobl** upper-bounds the  
 560 potential incidence of task sets that are in fact unschedulable but would have been erroneously  
 561 deemed schedulable by those flawed tests.

## 562 7 Conclusions

563 It is very unfortunate that the above flaws found their way to publication undetected. However,  
 564 as obvious as they may seem in retrospect, they were not at the time to the authors and reviewers  
 565 alike. At least, this errata paper comes at a time when the topic of scheduling with self-suspensions  
 566 is attracting more attention by the real-time community. Therefore we hope that it will serve as  
 567 a stimulus for researchers in the area to revisit past results and scrutinise them for correctness.  
 568 For more details regarding the state of the art, Chen et al [10] have recently provided high-level  
 569 summaries of the general analytical methods for self-suspending tasks, the existing flaws in the  
 570 literature, and potential fixes.

## 571 Acknowledgements

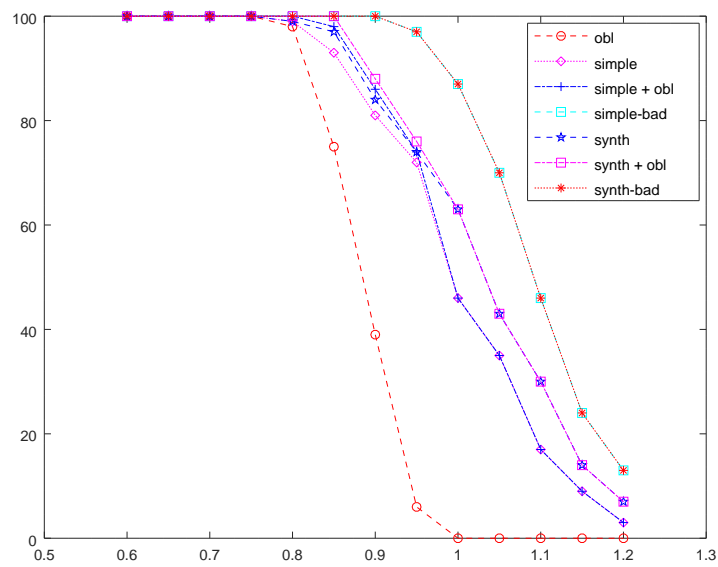
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 573 [dortmund.de/](http://sfb876.tu-dortmund.de/)) project B2.

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<sup>8</sup> With respect to the length of the “notional gap”.

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■ **Figure 7** A comparison of the performance of different schedulability tests. The y-axis is the fraction of task sets deemed schedulable. The x-axis is the suspension-oblivious task set utilisation, defined as  $\sum_{i=1}^n \frac{C_i}{T_i}$ . The original flawed variants of the analysis techniques corrected by this paper are also included in the plot.