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# Solar Collectors and Photovoltaics as Combined Heat and Power Systems: A Critical Review

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## Abstract

A main method to increase the solar energy utilization efficiency is to combine heat and power generation together. In this paper, a critical review of the literature on solar combined heat and power systems (CHP) is conducted, which includes solar photovoltaic/thermal systems, concentrated photovoltaic/thermal systems, and various combination with different solar collectors and applications. It shows that there are serious gaps in this field, which calls for more research. The modeling and analysis of the electrical parts of the CHP systems are not adequate, and there are limited studies on the economic and exergy assessments of the solar concentrating CHP systems. The solar collectors for combined CHP were focused on optimizing the performance of the maximum average useful power generation and minimum total heat transfer area, little environment impact analysis was conducted. Careful exergy, economic and environmental analysis on both electronic and thermal performance is suggested, especially for large CHP system.

**Keywords:** Combined heat and power; photovoltaic/thermal; concentrating collectors; flat collectors.

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39

40       **1. Introduction**

41 Energy and environment are the two main concerns of our future, and developing sustainable  
42 renewable energy technologies becomes more and more imperative. Among all the renewable  
43 sources, solar energy is the most promising one due to its abundance and environmentally friendly  
44 nature[2]. To resolve the reliability and accessibility problems of solar energy, hybrid power  
45 generations are used broadly, [4]. A main method of increasing the productivity of solar systems  
46 is to extend them to combined heat and power generation (CHP) [5]. Solar CHPs could reduce the  
47 greenhouse gas emissions far faster than the conventional solar energy devices, and maximize the  
48 economic and environmental value of the energy derived from the sun.

49 Firstly, in 1976, Wolf [6] analyzed the performance of a hybrid system of solar photovoltaic and  
50 flat plate thermal collector for residential applications in New Mexico. The performance of  
51 combined solar heat pump arrangements including series, parallel and dual source were analyzed  
52 by the TRNSYS software , which showed that the parallel configuration was the most practical  
53 combined setup, because of the higher thermal performance at a given collector area over the hot  
54 season [7]. In 1986, a hybrid solar closed-cycle gas turbine, consisting of a parabolic dish reflector

55 with focal-mounted heat exchangers and a centralized prime-mover, was analyzed to meet the  
56 needs of a small urban/industrial community. The proposed cogeneration had substantial  
57 placement flexibility as it was free from the needs of natural gas, oil, and cooling water [8]. In  
58 another work, an actively cooled combined photovoltaic-thermal technology consisting of a linear  
59 solar concentrator and a tubular absorber was analyzed [9]. In 1991, a combination of an air heater  
60 and photovoltaic was analyzed. The optimum area of the solar cells, necessary to generate  
61 sufficient electrical energy for the pump, was calculated for different configurations of the air  
62 heater [10].

63 Kalogirou [11] simulated a hybrid photovoltaic-thermal (PVT) solar energy plant composed of a  
64 normal PV panel with a finned heat exchanger embedded at the back. In another work, a novel  
65 hybrid solar/gas scheme was developed for cooling/heating and electricity generation of buildings.  
66 The setup, including of an ejector heat pump cycle with a Rankine cycle, was driven by solar  
67 energy and a gas burner as a supplement [12]. Zhang and Wang [13] proposed and described a  
68 novel hybrid of solid adsorption-ejector refrigeration and heating system. In the proposed  
69 combined configuration, the absorber was driven by a solar compound parabolic concentrating  
70 collector, and a zeolite-water working pair was chosen. In another study, the design and  
71 construction of a hybrid heat pipe solar collector/CHP were conducted. The thermodynamic and  
72 heat transfer analysis of this design was studied to compare the proposed device with conventional  
73 electricity and heating systems. Also, the experimental data were measured in a building at the  
74 University of Nottingham [14,15]. Kalogirou and Tripanagnostopoulos [16] simulated hybrid  
75 PV/T solar systems, composed of polycrystalline silicon (pc-Si) and amorphous silicon (a-Si) PV  
76 modules, with TRNSYS. In this study, a domestic thermosyphonic system and a larger active  
77 system were considered. The results indicated that the hybrid units had a better chance of success  
78 when the overall energy production of the units was increased. The same case was studied for an  
79 industrial process heating system. The results indicated that the electrical production of the  
80 polycrystalline solar cells was more than the amorphous ones, but the solar thermal fraction was  
81 slightly lower [17].

82 In 2012, Carmeli et al. [18] compared different configurations of hybrid CHP systems with  
83 renewable energy sources. The first small-scale concentrating solar power plant with parabolic  
84 trough collectors was presented by Krüger et al. [19] for producing cooling, heating, and power.

85 Kasaeian et al. [20] prepared an optimal model for PVT systems by the genetic algorithm to  
86 increase both electrical and thermal efficiency. A novel CSCHP (Concentrated Solar Combined  
87 Heat and Power Plant) was presented by Han et al. [21] including solar trough collector, power  
88 generator and exhaust heat utilization for building scale. In another work, an evaluation of hybrid  
89 systems was presented, and different designs of hybrid systems were studied [22]. Recently, in  
90 2017, Kasaeian et al. [23] have empirically studied the influence of changing the mass flow rate  
91 and channel conditions on the operation and efficiency of an air-cooled PVT. In another work, a  
92 research group examined the electrical output of a solar CHP with an organic Rankine cycle engine  
93 with various organic working fluids, for the UK climate [24].

94 A general and up-to-date review of concentrating photovoltaic/thermal (CPVT) technologies was  
95 proposed [25,26]. This review is divided into two sections; the first section of the article was about  
96 the CPVTs' specifications and the design factors, and the second section covered the CPVTs'  
97 published research, utilization areas, commercial enterprises, performance evaluation, and  
98 research outlooks.

99 Different types of solar thermal collectors and their applications were overviewed in an interesting  
100 review paper by Kalogirou [3]. The thermal and thermodynamic analysis of solar thermal  
101 collectors including flat-plate, evacuated tube, compound parabolic, parabolic trough, parabolic  
102 dish, Fresnel lens and heliostat field collectors were surveyed. Also, various applications of these  
103 collectors including solar water heating, space heating and cooling, refrigeration, industrial process  
104 heating, desalination, solar thermal power, furnaces and chemistry systems were presented [3]. A  
105 review of the literature on the solar energy-based heat and power plants has been done in 2017  
106 [27], which considered the CHP plants, powered by renewable energies, to produce electricity and  
107 hot water for the end use. These plants included two configurations namely solar-only and solar-  
108 hybrid with solar PV, solar concentrating and non-concentrating collectors [27]. In order to fill  
109 this gap of knowledge, a critical review of the literature on solar combined heat and power systems  
110 is conducted in this work to advance our understanding in this field. The review includes solar  
111 PVT and CPVT, solar concentrating and flat collectors, with a focus on the most recent  
112 publications .

113

114        **2. PVT CHPs**

115        Obviously, solar energy can be used as the source of thermal energy and electrical energy. PVT  
116        systems are capable of converting solar radiation into electrical and thermal energy,  
117        simultaneously, which makes it more efficient compared to the current PV systems [28]. Due to  
118        many advantages of PVTs such as supply both electrical and thermal demand at the same time,  
119        and being cost-effective, the applications of these systems are being expanded [29]. The PVT  
120        systems consist of two parts: a solar cell which converts the sunlight into electricity and a solar  
121        thermal collector, mounted at the back of the PV panel, for collecting the thermal energy. Water  
122        or air are usually applied as the cooling fluids for the solar panels [1,30,31]. Therefore, this  
123        configuration enhances the operation of the panels, and improves the efficiency.

124        It has established that increasing the solar cell temperature by one degree centigrade decreases the  
125        efficiency of monocrystalline (c-Si) and polycrystalline (pc-Si) silicon solar cells by about 0.45%,  
126        and about 0.25% for the amorphous silicon (a-Si) cells [16]. Many researchers have analyzed the  
127        PVTs in terms of efficiency and the relation between the temperature of the panels and efficiency  
128        [32–35]. With this regard, Medrano et al. [36] investigated the efficiency, and the economic and  
129        environmental aspects of the integration of three distributed generation (DG) systems (high-  
130        temperature fuel cells, micro-turbines, and photovoltaic solar panels). In 2012, Carmeli et al. [18]  
131        compared different configurations of the hybrid distributed generation systems consisting of a  
132        CHP energy source and one or more renewable energy source. Also, a high-level control strategy  
133        was proposed to provide the electrical load demands, and improve the system performance. By  
134        using the genetic algorithm, Kasaeian et al [20] prepared an optimal model for PVT collectors to  
135        increase both electrical and thermal efficiencies ss. The design parameters and the temperature of  
136        the inlet air were also analyzed in this paper. In another study, an exergy and energy investigation  
137        on an air PVT collector was presented, and the effect of using glass cover was studied [37]. Also,  
138        Yazdanpanahi et al. [38] assessed the exergy efficiency of a PVT water collector, and simulated  
139        the performance of the PVT collector. The thermal and electrical performances of a modified  
140        photovoltaic/thermal solar collector were investigated experimentally. In another study, the  
141        electrical and thermal efficiencies of a modified PVT configuration were assessed empirically. In  
142        this assessment, the effect of the mass flow rate on the electrical and thermal efficiency were  
143        studied, and it was demonstrated that increasing the mass flow rate improved the thermal

144 performance, but did not have an impressive effect on the electrical efficiency [39]. In 2017,  
145 Slimani et al. [40] presented an electrical-thermal model for three different photovoltaic/thermal  
146 collectors and photovoltaic modules in order to compare the efficiencies of the proposed  
147 configurations. These systems included a photovoltaic module, a conventional hybrid solar air  
148 collector, a glazed hybrid solar air collector and a glazed double-pass hybrid solar air collector.  
149 The results showed that the glazed double-pass hybrid solar air collector, the glazed hybrid solar  
150 air collector, the conventional hybrid solar air collector and the photovoltaic modules had the  
151 highest efficiency, respectively. Proell et al. [41] conducted an empirical research on the structure  
152 of compound parabolic concentrator PVT collectors with the aim of analyzing the efficiency of  
153 this configuration.

154 In order to reduce the waste of CHP systems, Nosrat and Pearce [42] proposed an absorption chiller  
155 to utilize the produced thermal energy of the system for cooling their PV-CHP unit. In 2015,  
156 Niederhäuser et al. [43] introduced a novel method with the aim of reduction in electrical power  
157 losses. This method worked with respect to the weather forecast and production information in  
158 order to have the optimum production. The impact of this method on the energy consumption was  
159 also investigated. Tourkov and Schaefer [44] provided a combination of a PVT collector and an  
160 ORC (Organic Rankine Cycle) to utilize the heat losses and enhance the overall efficiency.

161 Nowadays, according to the environmental and economic concerns, it is important to pay attention  
162 to optimizing the energy systems in order to reduce the greenhouse emissions and expenses.  
163 Charalambous et al. [45] focused on the optimization of a PVT collector in order to reduce the  
164 system expenditure. To reach this goal, some changes in the structure of the collectors were  
165 applied. In the same field, Chua et al. [46] analyzed a CCHP consisting a microturbine, a  
166 photovoltaic-thermal and a fuel cell, by applying the multi-criteria analysis method. The system  
167 was investigated in aspects of energy saving, environmental impact, and operational cost  
168 minimization. Nosrat et al. [47] presented a comparison between a PV-CHP, a PV-CCHP, and a  
169 conventional centralized power plant by means of the PV trigeneration optimization model  
170 method. The results of the simulation indicated that both CHP and CCHP configurations were  
171 effective in reducing the greenhouse emissions. In 2014, Nosrat et al. [48] evaluated the  
172 greenhouse gas emission of CHPs in the residential sector. In this research, it was indicated that  
173 the development of the hybrid PV and CHPs could reduce the  $CO_2$  emissions by 21-62% (3000 to

174 9000  $kg CO_{2e}/year$ ) based on the loads type. A CCHP was analyzed from the energy-ecological  
175 point of view, and the advantages and disadvantages of the thermo-ecological cost method were  
176 presented in comparison to the thermo-economic analysis method [49]. Akikur et al. [50] presented  
177 an investigation on cogeneration systems consisting solar photovoltaic and three different modes  
178 of the reversible solid oxide fuel cell. In this research, a numerical model was provided for  
179 simulating the thermal and electrical energy production. In another research, Yousefi et al. [51]  
180 assessed the application of CCHP micro-grids in buildings, and indicated the optimal capacity of  
181 the system. For this purpose, two different scenarios were considered and analyzed from the energy  
182 production, economic and environmental points of view.

183 The use of CHP configurations in the residential sector has been expanded in the recent years. The  
184 energy consumption in buildings is around 30%-40% of the total energy consumption in the world  
185 [52]. Therefore, it is essential to present an optimal model for CHPs in the residential parts. With  
186 this regard, Mohamed et al. [53] assessed the operation of a micro-CHP, then presented a new  
187 general model for the micro-CHP in buildings. A comparison between three different hybrid  
188 systems in the building sector was provide, and it was shown that the hybrid renewable systems,  
189 which contained PV panels, had the best operation [54]. In another study, a photovoltaic/natural  
190 gas hybrid system was studied and the operations of this unit were evaluated [55]. It was shown  
191 that this system was able to provide all of the electrical, thermal and cooling needs of the building  
192 of the studied area.

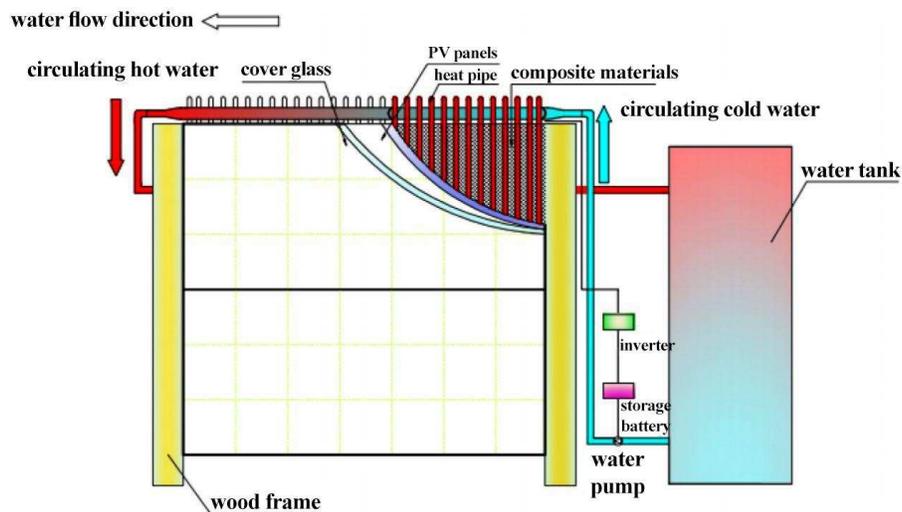
193 One of the significant points that should be considered in the construction of CHPs is specifying  
194 the optimal size of the system. Brandoni and Renzi [56] demonstrated the factors of the optimal  
195 sizing of solar-based hybrid systems, and illustrated the importance of optimal sizing in the  
196 residential sector. A simulation of a household-scale CHP hybrid system, which contained  
197 photovoltaic and battery arrays, was also presented [57]. In this paper, the ability of the systems to  
198 supply the energy demand of three regions in the U.S. was investigated, and it was shown that the  
199 arrangement and placement of the PVT modules affected the system operations. Sun et al. [58]  
200 evaluated the impact of the connection methods and the tilt angle of PVT modules on the  
201 performance, and presented a guidance for installing PVTs. In another study, an analysis and  
202 simulation was presented for the building-integrated photovoltaic thermal (BIPVT) configuration  
203 with respect to changes in the weather conditions [59]. Also, a model for a combined heat and

204 power photovoltaic, fuel cell and wind turbine micro-grid was provided, considering all variable  
205 parameters [60]. This model could enhance the efficiency of the CHP system. The outcome of this  
206 research showed a 90% reduction in the total power loss of the test network, by using the  
207 introduced method, compared to the total power loss without optimization.

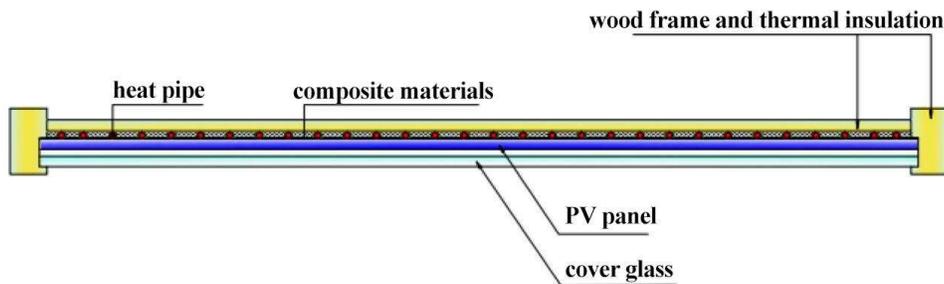
208 In an economic assessment of hybrid systems, different hybrid designs were studied and the  
209 optimal design was demonstrated, considering a number of constraints [22]. Aste et al. [61]  
210 proposed a numerical model for water-PVTs simulating, which involved the required parameters  
211 for the system performance prediction. They verified the model with the empirical results, then  
212 tested the model for three different places in Europe. In another research, a modification design of  
213 BIPVT roofing collectors was presented and validated with experimental results. It was shown that  
214 both electrical and thermal efficiencies of the presented panels were enhanced and the total energy  
215 efficiency ( $\eta_T = \eta_{pv} + \eta_{thermal}$ ) could reach to 79.8%, 77.3% and 75.2% under the solar  
216 irradiances of  $620 W/m^2$ ,  $800 W/m^2$  and  $1000 W/m^2$ , respectively [62]. By utilizing the  
217 TRNSYS software, Hazami et al. [63] evaluated the annual expenses and the energy and exergy  
218 efficiencies of a PVT collector in the residential sector in Tunisia. The evaluation of this article  
219 demonstrates that the exergetic and electrical efficiencies of the considered PVT configuration  
220 could increase by 2.5% and 3%, respectively.

221 Many researchers have investigated the performance of heat pipes with nanofluids in controlling  
222 the temperature of PVT collectors [64–66]. Nanofluid is composed of nanometer-sized solid  
223 particles (lower than 100 nm at least in one dimension), which are dispersed in heat transfer fluids  
224 such as water, oil and ethylene glycol [67]. It has been shown that nanofluids could increase the  
225 thermal conductivity of the liquids, and enhance the cooling capability of the fluid [68,69]. On this  
226 issue, Khanjari et al. [70] tested different compounds of nanofluid in order to enhance the heat  
227 transfer in PVT panels. The results of the simulation indicated that using these fluids had a positive  
228 effect on the system performance. Wang et al. [71] combined the heat pipe building-integrated  
229 PVT collectors (HP-BIPVT) with phase change material storage devices and metal wires to  
230 improve the system efficiency in dwelling sector. The introduced configuration could improve the  
231 efficiency and reduce the heat waste, furthermore, it could increase the heat storage capacities. The  
232 HP-BIPVT configuration was comprised of the PVT module, inverter, battery, water tank, water  
233 pump and piping, as illustrated in Fig. 1. Kim et al. [72] coupled a heat-recovery ventilator with

234 an air-PVT collector which could improve the efficiency. The performance of the proposed  
 235 configuration was compared with the air-PVT without ventilator. Also, an empirical study was  
 236 performed on a PVT panel to indicate the potential of extension of PVTs. The impact of the air  
 237 flow rate and the depth of the collector on the performance of a PVT air system were investigated.  
 238 It was demonstrated that the collectors with smaller depth had a more sensibility to the air mass  
 239 flow rate, but it had better performance at high  $\Delta T$  [73]. In 2017, Kasaeian et al. [23] empirically  
 240 studied the influence of changing the mass flow rate and channel condition on the operation and  
 241 efficiency of an air-cooled PVT. It was indicated that decreasing the depth of channels improved  
 242 the thermal performance. In another research, Khanjari et al. [74] compared two different fluids  
 243 and indicated that the performance of the system, using  $Al_2O_3$ -water, was better than the case using  
 244 pure water. Liu et al. [75] utilized microencapsulated phase change slurry in PVT collectors, to  
 245 increase the heat transfer and enhance the thermal and electrical efficiencies of the system.



(a)



(b)

250 Fig. 1. (a) Schematic of the proposed HP-BIPVT configuration, (b) Plan view of the PVT  
 251 module [71] [Reprinted with permission from Elsevier]

252

253 Table 1 shows a summary of the studies about photovoltaic thermal systems. Surveying the papers,  
 254 which concentrated on PVTs, demonstrates that the number of simulation and modeling articles  
 255 are more than the experimental studies. Some of the studies are focused on the optimization of the  
 256 PVTs in order to increase the efficiency. However it is essential to consider electrical simulation  
 257 to optimize the electrical parts of the system, for enhancing the total efficiency. Assessing the  
 258 papers indicates the lack of attention to analyzing the optimal connection mode, and integration of  
 259 PVT collectors to the electric grid. The optimal sizing is another important matter in designing the  
 260 energy systems, which has not been paid attention in the literature.

261

262 Table 2. Summary of the studies about photovoltaic thermal systems

Author(s)	Brief title	Highlights	Significant Action	Ref.
Kalogirou and Tripanagnostopoulos (2006)	Providing domestic hot water	Investigating a photovoltaic thermal using TRNSYS software in domestic scale.	Simulation	[16]
Kalogirou (2001)	Using TRNSYS for modeling	Analyzing and simulating a PVT collector in order to determine the efficiency of the system.	Modeling and Simulation	[11]
Medrano et al. (2008)	Integration of distributed generation	Investigating the efficiency, economic and environmental aspect of the integration of three DG technologies.	Simulation and Assessment	[36]
Kasaeian et al. (2013)	Modeling an air-cooled PVT.	Modeling and optimizing of an air-cooled PVT, and calculating the design parameters and the temperature of inlet air.	Modeling and Optimization	[20]
Nosrat and Pearce (2011)	Dispatch strategy and model	Proposing an absorption chiller to utilize the produced thermal energy of the system for cooling a PV-CHP.	Simulation	[42]
Charalambous et al. (2011)	Optimization of PVT collector	Optimizing the PVT system in order to reduce the expenditure. To reach this goal, some changes in the structure of the collectors were applied.	Mathematical analysis and Optimization	[45]
Carmeli et al. (2012)	Control strategies and configurations	Comparing different configurations of hybrid distributed generation configurations and providing a control strategy to supply the electrical load demands.	Analysis	[18]
Chua et al. (2012)	Integrating renewable energy technologies	Analyzing a CCHP system consisted of a micro-turbine, photovoltaic-thermal and fuel cell. This configuration could supply the energy demand of a commercial building.	Modeling, Evaluation and Analysis	[46]
Nosrat et al. (2013)	Performance of trigeneration	Presenting a comparison between PV-CHP, PV-CCHP and conventional centralized power plant systems.	Optimization and Assessment	[47]

Kasaeian et al. (2013)	Energy and exergy analysis	Investigating the energy and exergy efficiency of an air PVT collector. Studying the influence of the application of glass cover on the total performance.	Modeling and Analysis	[37]
Nosrat et al. (2014)	Simulations of greenhouse gas emission	Evaluating the greenhouse gas emission of CHPs. It was illustrated that the extension of hybrid PV and CHP systems could reduce the $CO_2$ emissions by 21-62%.	Modeling and Optimization	[48]
Akikur et al. (2014)	Analysis of a cogeneration unit	Investigating and simulating cogeneration systems consisting solar photovoltaic and three different modes of reversible solid oxide fuel cell.	Assessment and Modeling	[50]
Mohamed et al. (2014)	Selection of micro-cogeneration	Analyzing and presenting a new model of micro-CHP in buildings, and calculating the factors of the overall weighted matching index (WMI).	Modeling	[53]
Brandoni et al. (2014)	Simulation of hybrid systems	Providing a comparison between three different hybrid systems in the building sector. The hybrid renewable systems, containing PV panels, had the best arrangement.	Comparison studying, Modeling, and Optimization	[54]
Niederhäuser et al. (2015)	Innovative solar heating	Introducing a novel optimization method in order to reduce the energy waste. This method operated with respect to the weather forecast.	Simulation and Optimization	[43]
Ondeck et al. (2015)	Optimal operation of a residential PVT	Studying a photovoltaic/natural gas hybrid device which could provide all of the electrical, thermal and cooling needs.	Modeling and Feasibility study	[55]
Tourkov and Schaefer (2015)	Evaluation of PVT/ORC	Studying the combination of PVT collector and organic Rankine cycle, the proper working fluid was indicated.	Optimization and Analysis	[44]
Brandoni and Renzi (2015)	Optimal sizing of hybrid micro-CHP	Demonstrating the factors of optimal sizing of solar-based hybrid systems and indicating the importance of optimal sizing.	Optimal sizing and Analysis	[56]
Stanek et al. (2015)	Thermo-ecological assessment	Investigating CCHP facilities in terms of ecological. Also, an exergy analysis was presented to assess the performance. The advantages and disadvantages of TEC (thermo-ecological cost) method were illustrated.	Exergo-ecological analysis	[49]
Shah et al. (2015)	Performance of hybrid units	Simulating a household hybrid energy unit in three different regions in U.S by the HOMER software, and assessing the ability to supply the energy demand.	Simulation and Viability study	[57]
Sun et al. (2016)	Effect of tilt angle and connection mode	Studying the effect of tilt angle and connection mode of PVT modules on the operation, and proposing an instruction for installing the PVTs.	Experimental study and Simulation	[58]
Khanjari et al. (2016)	Investigation of using nanofluid	Testing different combinations of nanofluids in order to have the best heat transfer. By Ag-water nanofluid, the value of electrical and thermal exergy could reach to 137.9470 kW and 24.2384 kW.	Evaluation and Simulation	[70]
Wang et al. (2016)	Investigation of HP-BIPV/T	Applying the heat pipe building-integrated PVT collectors (HP-BIPVT) with using phase change material and metal wires to reduce the heat losses.	Design and Experimental study	[71]
Farshchimonfared et al. (2016)	Optimization and sensitivity analysis	Investigating the impact of air flow rate and depth of collector on the efficiency. The collectors with smaller depth were more sensible to air mass flow rate.	Optimization and Sensitivity analysis	[73]
Kim et al. (2016)	Performance of an air-type PVT	Presenting a heat-recovery ventilator, which coupled to an air-PVT, and comparing this configuration with the air-PVT collector without a ventilator.	Experimental analysis	[72]
Rounis et al. (2016)	Modeling under climatic conditions	Analyzing and modeling the Building-Integrated Photovoltaic Thermal (BIPVT) systems, considering the influence of changing weather conditions.	Comparison, Modelling and Numerical	[59]

Bornapour et al. (2016)	Optimal coordinated scheduling of CHP	Proposing a model for a combined heat and power photovoltaic, fuel cell and wind turbine micro-grid, considering all variable parameters.	Modelling and Evaluation	[60]
Rodríguez et al. (2016)	Economic feasibility	Presenting an economic assessment and studying different designs of hybrid systems. Proposing optimal configuration considering a number of constraints.	Life Cycle assessment and Comparison	[22]
Aste et al. (2016)	Performance monitoring and modeling	Proposing a numerical model for water-PVTs, simulating and verifying the model with empirical results, and testing the model for different places.	Modelling and Experimental validation	[61]
Chen and Yin (2016)	Fabrication and laboratory-based testing	Modifying the design of BIPVT roofing collectors, and increasing the efficiency of the PVT collectors.	Design and Experimental validation	[62]
Hazami et al. (2016)	Energetic and exergetic analysis	Investigating the cost and exergy efficiencies of a PVT system in Tunisia by utilizing TRNSYS software. Performing an empirical study to indicate the potential of the extension of PVTs.	Experimental analysis and Simulation	[63]
Saygin et al. (2016)	Evaluation of a modified PVT	Assessing the electrical and thermal efficiency of a modified PVT configuration, empirically.	Experimental study	[39]
Kasaieian et al. (2017)	Effects of forced convection	Studying the effect of changing the mass flow rate and channel conditions on the operation and efficiency of an air-cooled PVT.	Experimental investigation	[23]
Khanjari et al. (2017)	Evaluating the environmental parameters	Comparing two different fluids and showing that $Al_2O_3$ -water nanofluid had positive effect on the efficiency.	Theoretical analysis	[74]
Yousefi et al. (2017)	Multi-objective optimal sizing	Assessing the application of CCHP micro-grids in building, and indicating the optimal capacity of the system.	Simulation and Optimal sizing	[51]
Slimani et al. (2017)	A detailed thermal-electrical model	Presenting an electrical-thermal model for three different photovoltaic/thermal collectors and photovoltaic modules.	Modeling and Experimental validation	[40]
Liu et al. (2017)	Performance evaluation of a novel PVT	Utilizing microencapsulated phase change slurry in PVT collectors, to increase the heat transfer and enhance the thermal and electrical efficiencies.	Simulation and Dynamic analysis	[75]

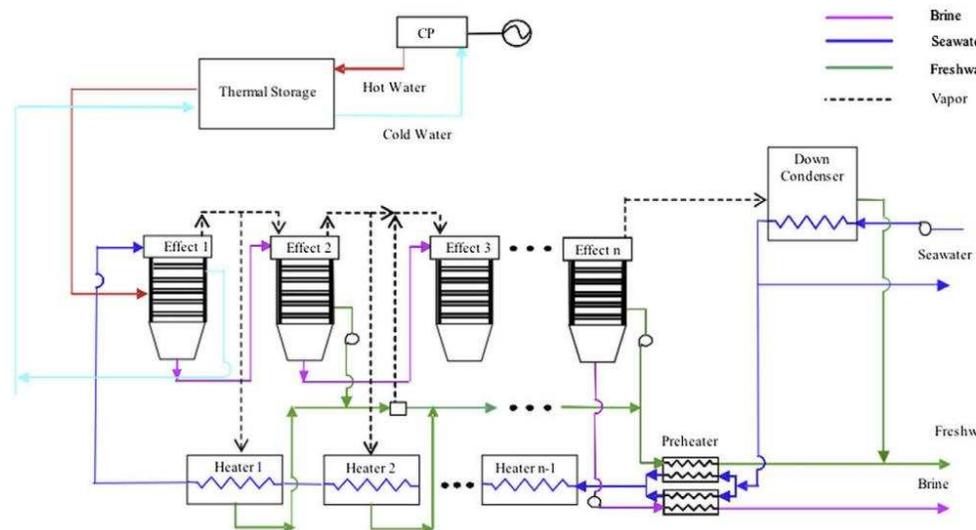
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264

### 265 3. CPVT CHPs

266 In a concentrated photovoltaic system, lenses and curved mirrors are used to converge the sunlight  
267 into the solar panels. The increased solar energy intensity in CPVT leads to increased thermal  
268 and electrical performance in comparison with simple PVT collectors. Properly used, CPVT  
269 collectors could increase the overall solar energy efficiency and reduce the number of required  
270 solar cells. Mittelman et al. [76] analyzed an integrated system consisting a CPVT collector and a  
271 multi-effect evaporation (MEE) desalination plant. This combination could produce solar  
272 electricity and utilize the heat losses of the photovoltaic cells to desalinate water, simultaneously.  
273 The results of the simulation and the cost investigation showed that the proposed integrated plant

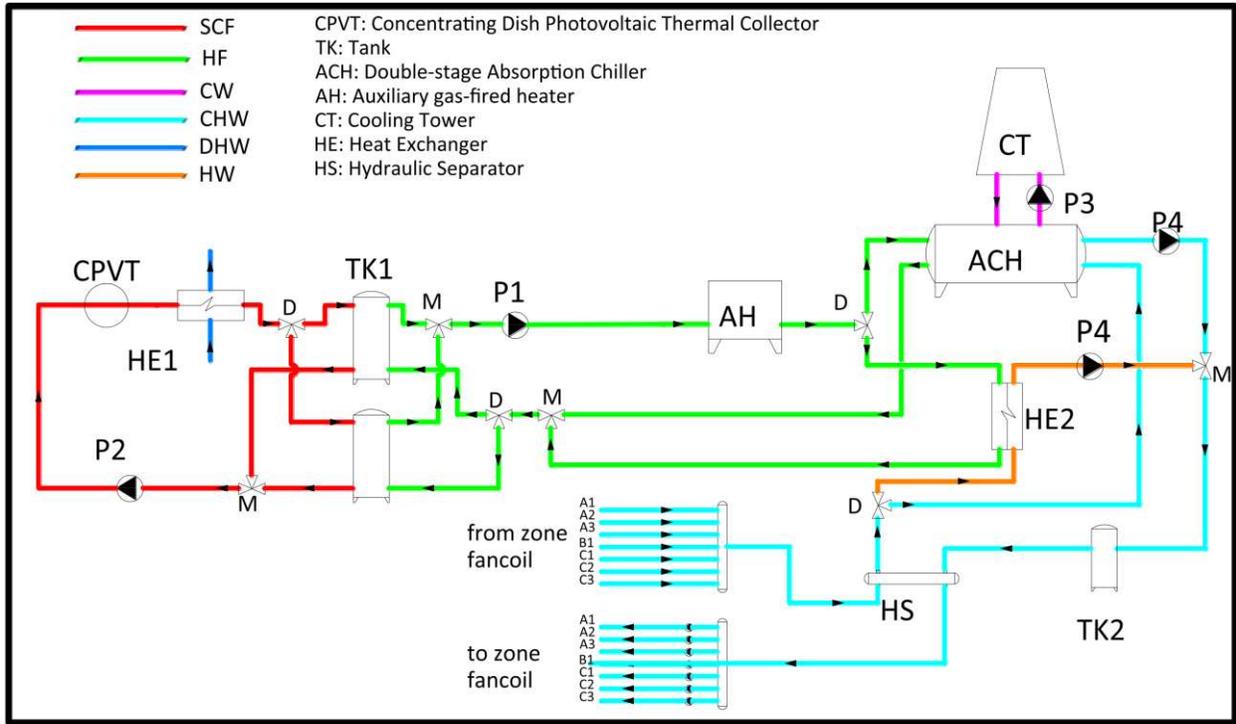
274 could have a considerable benefit, comparing to the conventional solar desalination methods. A  
 275 schematic of the CPVT-based MEE plant is shown in Fig. 2.



276

277 Fig. 2. Schematics of the CPVT-based MEE plant [76] [Reprinted with permission from  
 278 Elsevier]

279 In 2010, Chowdhury et al. [77] provided a model to enhance the overall efficiency of a coupled  
 280 CPVT solar collector by optimizing different influencing factors. In another study, Otanicar et al.  
 281 [78] analyzed the efficiency of a coupled CPVT collector and indicated that, by changing some  
 282 parameters including the band-gap of the PV material, solar concentration ratio, and the system  
 283 thermal pattern, the efficiency could reach to 32.3%. Ji et al. [79] provided a one-dimensional  
 284 steady model for a trough CPVT collector with a super cell array and a GaAs cell array. Helmers  
 285 et al [80] provided an energy balance model for CPVT collectors. The results illustrated that, at  
 286 the concentration ratios above 300 and at the temperatures up to 160 °C, the system reached a total  
 287 efficiency of 75%. In 2013, Calise et al. [81] introduced an integrated system consisting a CPVT  
 288 collector and a high-temperature solar heating and cooling (SHC), as shown in Fig. 3. The results  
 289 of the simulation, by the TRNSYS software, were verified empirically.



290

291 Fig. 3. Solar Trigenation (ST) system [81] [Reprinted with permission from Elsevier]

292

293 Helmers and Kramer [82] presented a performance model for both non-concentrating PVT and  
 294 concentrating CPVT collectors, considering the panel's realistic application  
 295 conditions. Buonomano et al. [83] analyzed an integrated CHP comprising CPVT collectors and  
 296 SHC systems, using a novel renewable poly-generation device. The results of economic  
 297 assessment confirmed the effectiveness of the system. Calise et al. [84] proposed a prototype unit  
 298 consisting of CPVT solar collectors with a parabolic dish concentrator and a planar receiver based  
 299 on an ORC. Papadopoulos et al. [85] reviewed the current conditions of photovoltaic power  
 300 generation centralizing CPVs. In this research, a solar polygeneration system (PROTEAS) was  
 301 introduced to supply electricity, hot water, and air-conditioning, simultaneously. The PROTEAS  
 302 is a novel solar polygeneration system, which can present a practical alternative to the conventional  
 303 energy systems. Sharaf and Orhan [86] focused on assessing the components of the CPVT solar  
 304 technologies, and provided comprehensive optimization models. In 2017, Tripathi et al. [87]  
 305 analyzed three different series-connected CPVT collectors (partially covered N-CPVT collector,  
 306 fully covered N-CPVT collector and convectional N-CPC collector). It was illustrated that, in

307 terms of the demand of total thermal exergy and energy, the convectional N-CPC collector had  
 308 some advantages in comparison with other cases.

309 The obvious constraint for large scale application of CPVT system is the cost. Due to the much  
 310 higher construction cost of the CPVT systems, it is required to evaluate these cases in economic  
 311 aspects carefully and selecting proper collectors becomes a very important issue. On this aspect,  
 312 however there is not enough research to address the factors of selecting the appropriate collector,  
 313 which will be reviewed below. Table 2 shows a summary of the studies about CPVT-based CHPs.

314 Table 2. Summary of the studies about CPVT CHPs

Authors	Brief title	Highlights	Significant Action	Ref.
Mittelman et al. (2009)	Water desalination with CPVT	Integrating a CPVT collector with an MEE desalination plant to produce solar electricity and desalinate water, simultaneously.	Simulation and Economic investigation	[76]
Chowdhury et al. (2010)	Efficiency of a CPVT collector	Modeling a CPVT collector system in order to improve the efficiency of CPVT collectors by changing in some factors.	Simulation and Analysis	[77]
Otanicar et al. (2010)	Parametric analysis of a coupled CPVT	Modeling a coupled CPVT collector in order to analyze the efficiency. The efficiency could reach to 32.3%.	Simulation and Parametric analysis	[78]
Ji et al. (2012)	Analysis of a Trough CPVT	Providing models for a trough CPVT collector and validating the models empirically with the aim of assessing the operation.	Modelling and Experimental	[79]
Helmers et al. (2012)	Modeling of CPVT	Providing a model and analyzing the performance of CPVTs. The results demonstrated a total efficiency of 75%.	Modelling and Analysis	[80]
Calise et al. (2013)	Dynamic simulation	Introducing an integrated system consisting of a CPVT collector and a high-temperature SHC.	Dynamic simulation and Economic analysis	[81]
Helmers and Kramer (2013)	Multi-linear performance model	Presenting and analyzing a performance model for PVT and CPVT systems which considered the condition of the panels.	Modelling	[82]
Buonomanoa et al. (2014)	A novel renewable poly-generation	Analyzing a CHP including CPVT and SHC systems. The results of the economic assessment confirmed the usefulness of the case.	Design, Dynamic simulation and Economic analysis	[83]
Calise et al. (2015)	Design and dynamic simulation	Providing a prototype combined system consisting CPVT collectors with parabolic dish concentrator and a planar receiver based on ORC.	Design and Dynamic simulation	[84]
Papadopoulos et al. (2015)	Innovative optics for CPVTs	Reviewing the current conditions of photovoltaic power generation centralizing CPVs. Introducing PROTEAS to supply electricity, hot water, and air-conditioning, simultaneously.	Reviewing	[85]
Sharaf and Orhan (2016)	Thermodynamic analysis	Investigating the performance of CPVT technologies. Assessing and simulating the components of the CPVT to indicate the optimal design.	Thermodynamic analysis, Simulation, and optimization	[86]
Tripathi et al.	Energy matrices and exergoeconomic analysis	Analyzing three different series-connected CPVT collectors. The convectional N-CPC collector had some advantages over the others.	Comparison and Evaluation	[87]

315

316

317

#### 318        **4. Concentrating Solar Thermal Collectors**

319        Solar collectors, which convert the absorbed incident solar radiation into heat, are the key  
320        components of any solar systems. The generated heat is carried by a working fluid for different  
321        applications such as producing hot water or space conditioning, and stored in a storage tank for  
322        using at nights or cloudy days. Solar collectors are classified as the non-concentrating and  
323        concentrating ones. For the low and medium temperature applications, such as space heating and  
324        cooling, water heating, and desalination, flat collectors are mainly used. While for the high-  
325        temperature applications such as electricity generation, the concentrating solar collectors are  
326        applied [1]. To satisfy the power and heat demands simultaneously, the CHP configurations based  
327        on concentrating solar collectors can be used either in the solo-solar or solar hybrid units. To assure  
328        the independent supply of the heat and electric power from daylight and weather conditions,  
329        combining two devices is a technically and economically compatible solution. The concentrating  
330        solar thermal collectors and the CHP plants, using these collectors, have been widely studied over  
331        the last decades. These investigations include analyzing the concentrating collectors for various  
332        usages such as electricity, heating, and cooling.

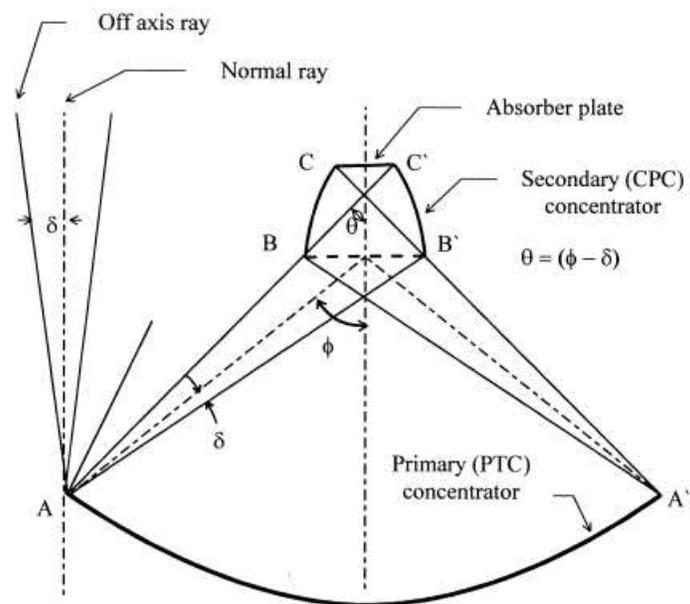
##### 333        4.1. Parabolic Trough Collectors

334        One of the key parameters for the enhancement of the solar energy conversion is to increase the  
335        solar collector's performance by optimizing the geometry of the collector, changing the working  
336        fluid and selecting proper materials for the absorber tube. Among all solar collectors, parabolic  
337        trough collector (PTC) is the well-performed one. A PTC consists of a reflector (parabolic trough  
338        mirror) and a receiver in the focal line of the reflector to collect the reflected radiation from the  
339        sun. A metal black pipe is placed along the focal line of the collector and covered with a glass tube  
340        to reduce the heat losses. The concentrated radiation reaches the receiver tube and heats the  
341        circulating fluid for converting the solar radiation into useful heat [88]. This type of collector is  
342        one of the solar linear concentrating collectors which can be used for the light structures in the  
343        range of 150-400 °C.

344        In 2000, Omer and Infield [89] assessed the thermal performance of a two-stage solar energy  
345        concentrator including a parabolic trough and a compound parabolic concentrator for generating  
346        both heat and thermoelectric power (Fig. 4). The aim of designing this structure was to provide an

347 effective concentration of the incident solar radiation without adjusting the tracking and inhibiting  
 348 the heat loss from the absorber. The designed system was assessed by  
 349 the computational fluid dynamic modeling, and an experimental validation was carried out by a  
 350 laboratory scale system. The results from evaluating thermal conversion efficiency with tracking  
 351 misalignment and collector tilt angle showed that the thermal radiation dominated the convective  
 352 heat losses. So, the efficiency was very sensitive to the collector tracking misalignment angle,  
 353 particularly for the angles greater than about  $4^\circ$ .

354



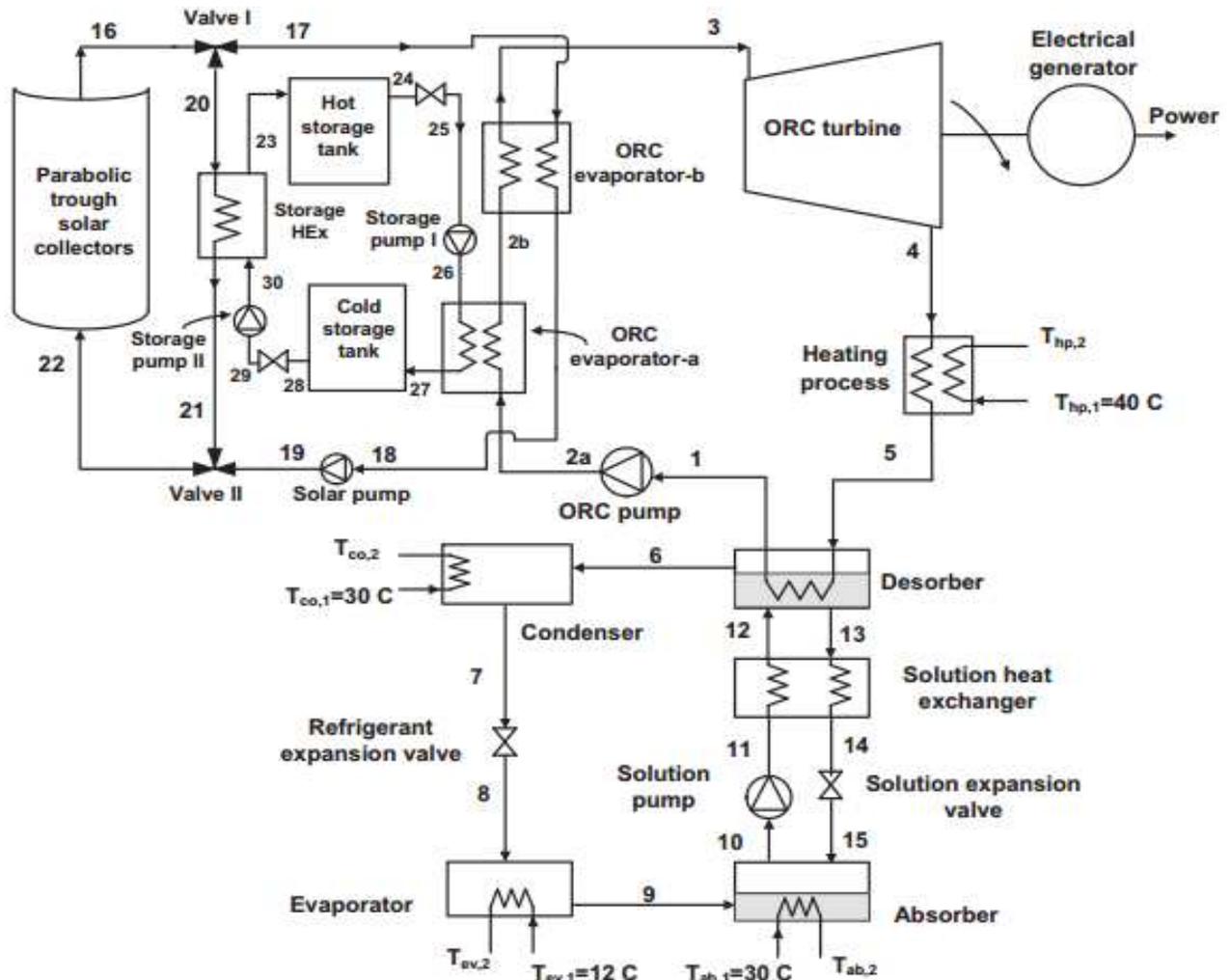
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356 Fig. 4. Two-stage concentrator with a parabolic trough and a compound parabolic concentrator  
 357 collector [89] [Reprinted with permission from Elsevier]

358 Al-Sulaiman et al. [90,91] proposed a solar parabolic trough collector and an ORC unit with two  
 359 thermal storage tanks to improve the performance of a novel CCHP device, as shown in Fig. 5.  
 360 They considered three modes of operation including solar, solar and storage, and storage alone,  
 361 and examined the exergy performance by varying the pinch point temperature of ORC evaporator,  
 362 the inlet temperature of ORC pump and the inlet pressure of the turbine. A single-effect absorption  
 363 chiller and a heat exchanger were used in the trigeneration system to provide the necessary cooling  
 364 and heating energy. The results revealed that using trigeneration increased the exergy efficiency

365 noticeably. The maximum trigeneration-exergy efficiency was 20%, 8% and 7% for the solar, solar  
 366 and storage, and for the storage mode, respectively.

367



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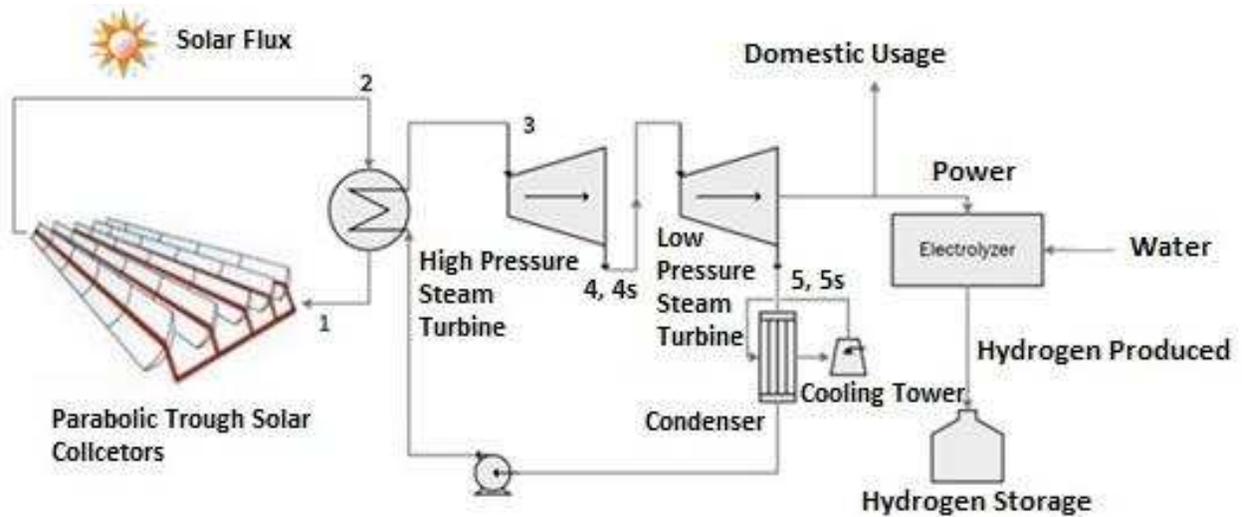
369 Fig. 5. Layout of solar parabolic trough CCHP plant [91] [Reprinted with permission from  
 370 Elsevier]

371 Another similar research, with a stand-alone solar parabolic trough collector and a thermal storage,  
 372 was carried out in 2014. The parabolic trough plant was simulated in the TRNSYS by coupling  
 373 with the Solar Thermal Electric Components model library for meeting both electricity and heating  
 374 loads in an isolated area of Egypt. Both solar and power cycle performances were modeled based  
 375 on the solar energy data of the plant site. High transmission losses and costs were the main

376 challenges for electrification in the selected area (Nile valley) [92]. The first small-scale  
377 concentrated solar power plant with parabolic trough collectors was presented by Krüger et al. [19]  
378 for producing cooling, heating, and power. The solar field, turbine and chiller ran jointly and  
379 produced electricity and chilled water. It was the first concentrating solar power plant in a power  
380 range below 100 kW electricity. The study revealed that the major obstacle for small scale solar  
381 thermal power production was the unavailability of the matched and cost efficient steam turbines  
382 or other Rankine expansion machines.

383 Also, many types of research have been done for developing the model of small-scale solar thermal  
384 trigeneration plants consisting parabolic trough collectors and ORC units [93–98]. Some other  
385 works have been carried out to analyze and assess the performance of parabolic trough CHP plants.  
386 Borunda et al. [99] studied a CSP plant, coupled with an ORC unit, applied for a textile industrial  
387 process in Almeria, Spain. The results showed that the system was a favorable alternative for the  
388 medium temperature CHP applications. Naccarato et al. [100] presented a numerical model of a  
389 linear parabolic trough collector, coupled with an ORC system, for combined energy and DHW  
390 (domestic hot water) production in Brindisi, Italy. The outputs showed that the co-generation of  
391 both electricity and heat enhanced the overall efficiency to 12-30%. Yuksel et al. [101] analyzed  
392 the thermodynamic performance of a solar-based multi-generation plant. The components of this  
393 system were parabolic trough collectors, a proton exchange membrane fuel cell, a double-stage  
394 organic Rankine cycle, and a quadruple effect absorption cooling system to produce power, space  
395 heating and cooling, DHW and hydrogen. In another study, a hybrid parabolic trough collector  
396 was analyzed for determining the optimum coupling conditions. Fig. 6 shows the parabolic solar  
397 trough collector, coupled with a Rankine cycle and a heat exchanger The parabolic trough solar  
398 collector reflects the heat coming from the sun by using a parabolic-shaped mirror onto a vacuum-  
399 sealed pipe, where the heat transfer fluid is heated up to high temperatures [102].

400



401

402 Fig. 6. Parabolic solar trough coupled with Rankine cycle [102] [Reprinted with permission from  
 403 Elsevier]

404

405 In 2014, a concentrated solar combined heat and power plant scheme was presented by Han et al.  
 406 [21]. The unit included a solar trough collector, a power generator and an exhaust heat utilization  
 407 for building scale. The results indicated that the best exergy efficiency was near 30%, when the  
 408 external environment was about 300 K. In 2016, a solar-based multi-generation was proposed to  
 409 assess the energy and exergy performance of the system. The configuration was formed by a  
 410 parabolic trough collector, two ORCs, an electrolyzer, a heat pump, a thermal storage unit, and  
 411 two absorption chillers for producing power, heating, cooling, hydrogen, and dry biomass. The  
 412 results showed that the overall energy and exergy efficiencies were 20.7% and 13.7%, respectively  
 413 [103]. Another novel polygeneration plant was composed of parabolic trough collectors, a Kalina  
 414 power cycle, an electrolyzer, an absorption refrigeration cycle, a hydrogen tank, and a thermal  
 415 storage tank. This system was applied in a multi-unit building in Toronto, Canada for producing  
 416 heating, cooling, power, and hydrogen. The proposed renewable-based system minimized the use  
 417 of fossil fuels [104].

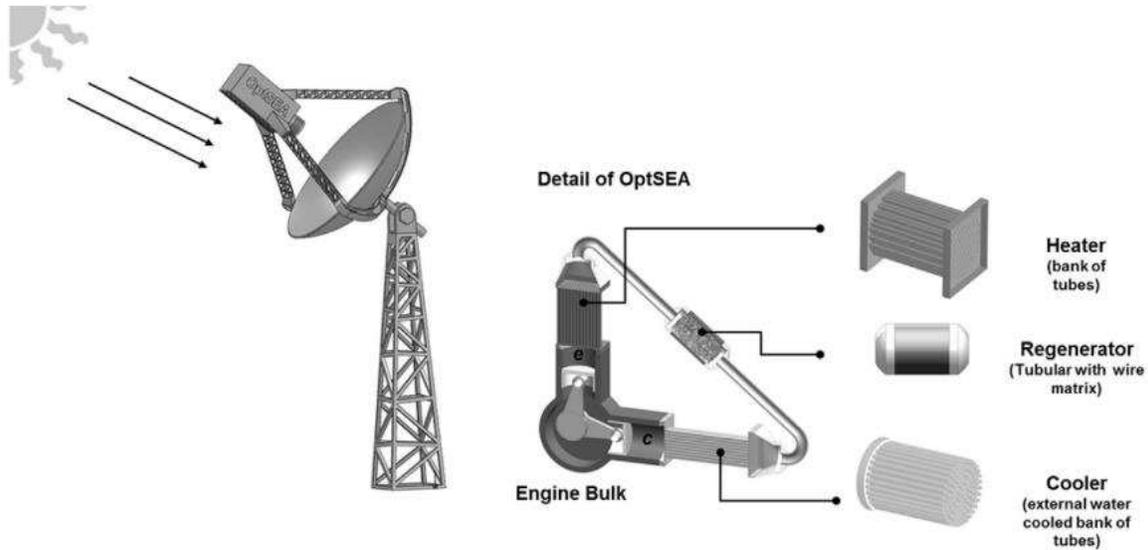
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419

420 4.2. Parabolic Dish Collectors

421 One of the key methods to increase the temperature of the working fluid is to focus the sunlight to  
422 a focal point by means of parabolic dish collectors [105]. A parabolic dish reflector is a point-  
423 focus collector to track the sun in two axes and concentrate the solar energy onto a receiver at the  
424 focal point of the dish. To reflect the beam into the thermal receiver, the sun should be tracked by  
425 the dish structure. Also, in the case of CHP applications, the receiver absorbs the solar energy  
426 radiation, and converts it into thermal energy by a circulating fluid. The thermal energy can be  
427 converted into electricity by coupling an engine-generator directly to the receiver, or it can be  
428 transported to a central power-conversion system by pipes. The following studies have been  
429 conducted in the field of solar parabolic dish CHPs.

430 Cucumo et al. [106] used a life cycle assessment analysis on a micro-CHP with dish-Stirling. The  
431 outputs of the study indicated that the dish-Stirling devices had low impact in comparison with  
432 photovoltaics. Later in 2013, the 3E (energy, economic and environmental) analysis of a solar  
433 dish-Stirling CHP system, coupled with an HVAC, was carried out to meet the power, heating, hot  
434 water and cooling needs of a residential building. The results showed that using the solar dish-  
435 Stirling micro-CHP had a pleasant potential in the primary energy saving, carbon dioxide emission  
436 reduction and acceptable payback period. Also, for selecting the optimum size of the engine in  
437 some cities of Iran, the TOPSIS decision-making method was used. Among these cities, Tabriz  
438 had the highest overall efficiency and Bandar Abbas had better performance in the annual Stirling  
439 engine efficiency, the annual primary energy saving and annual carbon dioxide emissions  
440 reduction [107]. Grosu et al. [108] presented a micro-CHP system with Stirling engine, and the  
441 results showed that the direct method and the adiabatic model had good accuracy. Ferreira et al.  
442 [109,110] optimized a micro-CHP with a parabolic collector and a solar Stirling engine to produce  
443 heat and power. The main purpose of the paper was to maximize the annual worth of the CHP  
444 system. Fig. 7 shows a simplified layout of the micro-CHP with parabolic dish collectors.



445

446 Fig. 7. Schematic of a micro-CHP with parabolic dish collector [109] [Reprinted with permission  
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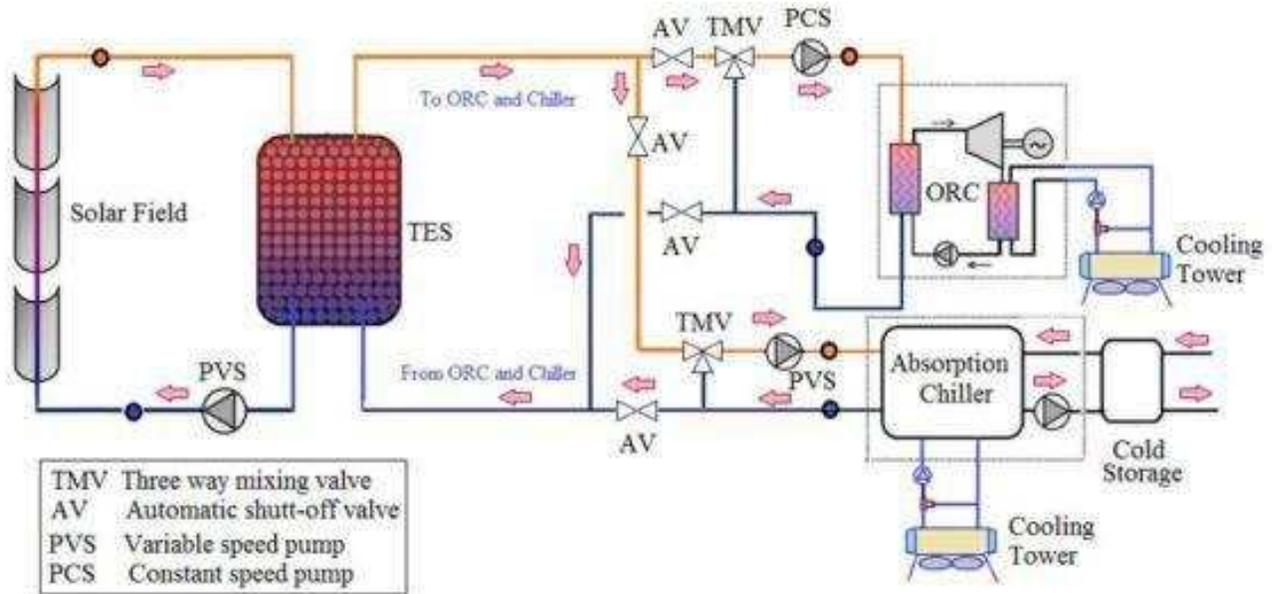
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#### 449 4.3. Fresnel Collectors

450 Linear Fresnel collectors are another types of concentrating collectors, which have linear receivers  
451 and reflectors. The reflectors concentrate the direct solar radiation onto a linear receiver to heat up  
452 and evaporate water. These collectors can be considered as the broken-up parabolic trough  
453 collectors, but unlike them, there is no obligation to be in the shape of parabolic. So, large  
454 absorbers can be constructed and the absorber does not have to move. The main benefit of these  
455 systems is the application of flat or elastically-curved reflectors which are cheaper in comparison  
456 with the parabolic glass reflectors. Moreover, these collectors are mounted close to the ground and  
457 decrease the structural requirements.

458 Iglauer et al. [111] investigated a CHP plant to provide the process heat for a paint shop convection  
459 ovens, along with electric power. The configuration was composed of a Fresnel collector, a micro  
460 gas turbine, and a thermal oil circuit. The results indicated that the consumption of fossil fuels was  
461 reduced by 35% at the nominal power, and the overall efficiency reached to the values beyond  
462 90%. Fig. 8 shows an example schematic of the CHP with solar Fresnel collectors. Rady et al. [93]  
463 studied an integrated system using parabolic trough and LFC (linear Fresnel collectors) at different

464 operation modes in typical winter and summer days, in Egypt. The results showed that the use of  
 465 LFC caused a reduction in the operation hours of ORC and TDAC (Thermally Driven Absorption  
 466 Chiller) by about 50% and 30%, respectively.



467  
 468 Fig. 8. Layout of the CHP with solar Fresnel collectors [93] [Reprinted with permission from  
 469 Elsevier]

470  
 471 By reviewing these studies, it can be noticed that coupling solar parabolic trough collectors with  
 472 other devices such as storage tanks, turbine and generator packages, and fuel cells could improve  
 473 the performance of CCHPs, and make them competitive from the cost and environmental point of  
 474 view. Also, some other novel studies have been done on the scale-up of polygeneration to provide  
 475 the energy needs of multiunit buildings without depending on fossil fuels. Future work should be  
 476 more practical to optimize the solar CHPs and pave the way of commercialization. Surveying the  
 477 publications about dish-Stirling CHPs illustrates that almost all of the investigations in this context  
 478 are on the basis of size optimization and life cycle analysis of the device. It is suggested to consider  
 479 these systems with coupling other renewable energies to get a better performance. There is no  
 480 article about simulation and mathematical modeling of dish-Stirling CHPs.

481 Table 3 shows the summary of the studies about solar concentrating collectors in CHP plants and  
 482 reveals that most of the researches in concentrating CHP systems are about parabolic trough  
 483 collectors due to their relative high efficiencies. There are a few articles about other types of  
 484 concentrating collectors, and it is recommended to study the feasibility of these systems by the  
 485 simulation software.

486

487 Table 3. Summary of the studies about solar concentrating collectors in CHP plants

Author	Brief title	Highlight	Significant Action	Ref.
Omer and Infield (2000)	Analysis of solar concentrator	Combination of a parabolic trough and a compound parabolic concentrator.	Designing, Modelling	[89]
Al-Sulaiman et al. (2011)	Exergy modeling trigeneration	Solar collectors and ORC evaporators as the key sources of the exergy reduction. Insignificant effect of the turbine inlet pressure.	Modeling, Exergy	[90]
Al-Sulaiman et al. (2012)	Assessment of parabolic trough	Solar mode with the highest energy efficiencies, and net electrical power. The lower efficiency of the solar mode in comparison with the solar and storage mode.	Modeling	[91]
Krüger et al. (2012)	Solar cogeneration parabolic trough	The first CSP plant in a power range of <100 kW. A package solution for reducing costs of small-scale CSP installations.	Designing, Modeling, Experimental	[19]
Abdelhady et al. (2014)	Design of solar co-generation	Performance and economic assessment of a stand-alone solar thermal co-generation plant using diathermic oil. Overcoming the electricity cut-offs in summer.	Designing, modeling	[92]
Crema et al. (2014)	Energy concentrated solar	The outputs of 1–3 kW electrical power and 3–9 kW thermal power were obtained.	Designing, Modeling, Experimental	[94]
Naccarato et al. (2014)	Optimization of organic Rankine cycle	Identifying the best power plant configuration for maximizing energy and hot water.	Optimization	[100]
Saadatfar et al. (2014)	Conceptual solar polygeneration	Better cycle efficiency by silver-nano pentane, compared with pentane.	Modeling	[95]
Bouvier et al. (2015)	Experimental solar parabolic trough	Increasing the efficiency with the elevation of ambient temperature and irradiance.	Modeling, Experimental	[96]
Almahdi et al. (2016)	Solar multigeneration	The increment of the cogeneration, trigeneration, and multigeneration efficiencies by a reduction in the ambient temperature.	Designing, Modeling	[103]
Borunda et al. (2016)	Organic Rankine parabolic trough	Reduction of the energy and exergy efficiencies by enhancing the solar fraction. Augment of the overall system efficiency by using waste heat as a heat source.	Modeling	[99]
Bouvier et al. (2016)	Experimental solar parabolic trough	Simplicity and cost reduction were the advantages.	Experimental	[97]
Ozlu et al. (2016)	Evaluation of solar multigeneration	Minimizing the use of fossil fuels and achieving better environmental quality.	Analysis	[104]
Yuksel et al. (2016)	Thermodynamic analysis	Increase in exergy efficiency by enhancing in ambient temperature	Modeling	[101]

Han et al. (2014)	Exergy for concentrated solar CHP	Supplying 23.8 kW power for building utilization.	Analysis, Exergy	[21]
Rady et al. (2015)	Designing a multi-generation unit	Small-scale multi-generation solar plant was applied for a medical center building. Improving the plant effectiveness by using appropriate control system and operational strategy.	Designing, Modeling	[93]
Karellas et al. (2016)	Cogeneration and trigeneration	A biomass boiler was coupled with a module of PTC. Demonstrating positive economic results even for the worst case scenarios.	Modeling, Exergy, Economic	[98]
Shahin et al. (2016)	Parabolic trough and heliostat	Enhancing the net power output and thermal efficiency by using a reheat system. The increment of the thermal efficiency by applying open feed water heaters.	Modeling	[102]
Cucumo et al. (2012)	Life cycle assessment	Low impact of dish-Stirling compared with photovoltaic. Favorable energy payback time in the case of complete reusing and recycling materials.	Analysis	[106]
Ferreira et al. (2012)	Techno-economic assessment	Development of optimization models for designing and techno-economic assessment of micro-CHP systems.	Economical assessment	[110]
Moghadam et al. (2013)	Solar Stirling micro CHP	Saving primary energy and reducing CO <sub>2</sub> with acceptable payback time.	Modeling	[107]
Grosu et al. (2015)	Stirling micro-cogeneration	Adiabatic model as the best model for representing the engine operation. Good accuracy of the direct method and the adiabatic model.	Modeling	[108]
Ferreira et al. (2016)	Solar-powered Stirling	High costs and large investment recovery periods as the most drawbacks of renewable micro-CHP systems.	Optimization Economic	[109]
Ferreira et al. (2012)	Techno-Economic Assessment	Development of optimization models for design and techno-economic assessment of micro-CHP systems.	Economical assessment	[110]
Iglauer et al. (2014)	Sustainable automobile manufacturing	Improving the economic viability of the solar thermal system. Promoting the dissemination of solar thermal technologies for industrial applications.	Designing, modeling	[111]

488

489

## 490 **5. Flat Solar Thermal Collectors**

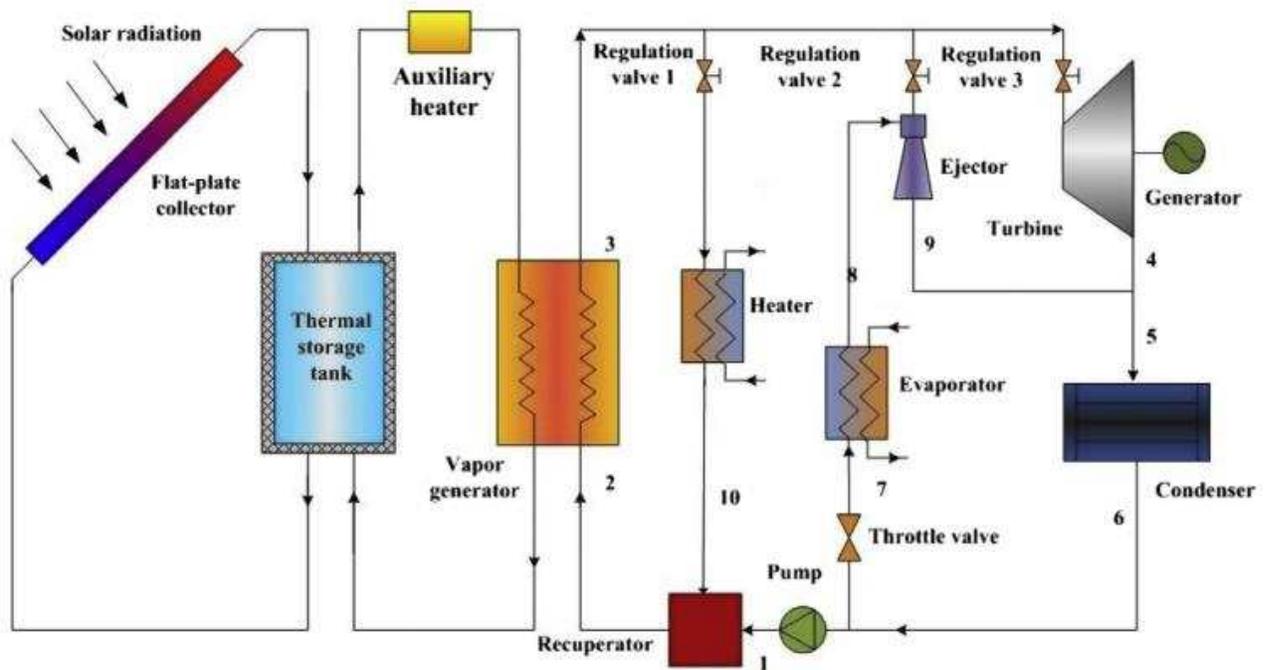
### 491 5.1. Flat Plate Collectors

492 The flat-plate solar collector is the heart of solar thermal systems which has many applications in  
493 a medium temperature range from domestic to industrial sectors. A black flat surface collects as  
494 much energy as possible, and then the energy is transferred to water, air, or other fluids for further  
495 use. A few researchers have studied CHP systems based on flat plate collectors, recently.

496 In 2010, the dynamic performance of a solar-driven carbon dioxide power plant was analyzed. The  
497 daily and yearly performance of the setup, under the Swedish climatic conditions, was simulated.  
498 The results indicated that the proposed arrangement had a payback period of 12 years [112]. In  
499 another work with the combination of an organic Rankine cycle with an ejector refrigeration cycle,

500 the multi-objective optimization of a solar-driven CCHP was carried out. The results indicated that  
 501 the best performance of the CCHP with various requirements could be achieved because of the  
 502 comprehensive solution set of multi-objective optimization. Fig. 9, shows the schematic diagram  
 503 of the solar driven CCHP, which consists of a solar collector, a thermal storage tank and an  
 504 auxiliary heater. The flat-plate collector was selected to collect solar radiation due to its low cost  
 505 and wide application. A thermal storage tank was used to correct the mismatch between the supply  
 506 of the solar energy and the demand of thermal source consumed by the CCHP subsystem, thus, the  
 507 system operated continuously and stably. Water was the heat-transfer medium in the solar  
 508 collection subsystem for its low cost and large heat capacity. The CCHP subsystem consists of a  
 509 vapor generator, a turbine, an evaporator, a heater, a condenser, a recuperator, a throttle valve, an  
 510 ejector, a pump, and several regulation valves which combine the organic Rankine cycle with an  
 511 ejector refrigeration cycle [113]. Recently, in 2017, the electrical output of a solar CHP plant with  
 512 an organic Rankine cycle engine has been examined. Various organic working fluids have been  
 513 simulated and optimized for the UK climate. The results show that the proposed system could  
 514 provide 32% of a usual household demand under the UK setting operations [24].

515



516

517 Fig. 9. Layout of a solar flat plate CCHP system [113] [Reprinted with permission from Elsevier]

518

519        5.2. Evacuated Tubes and Heat Pipes

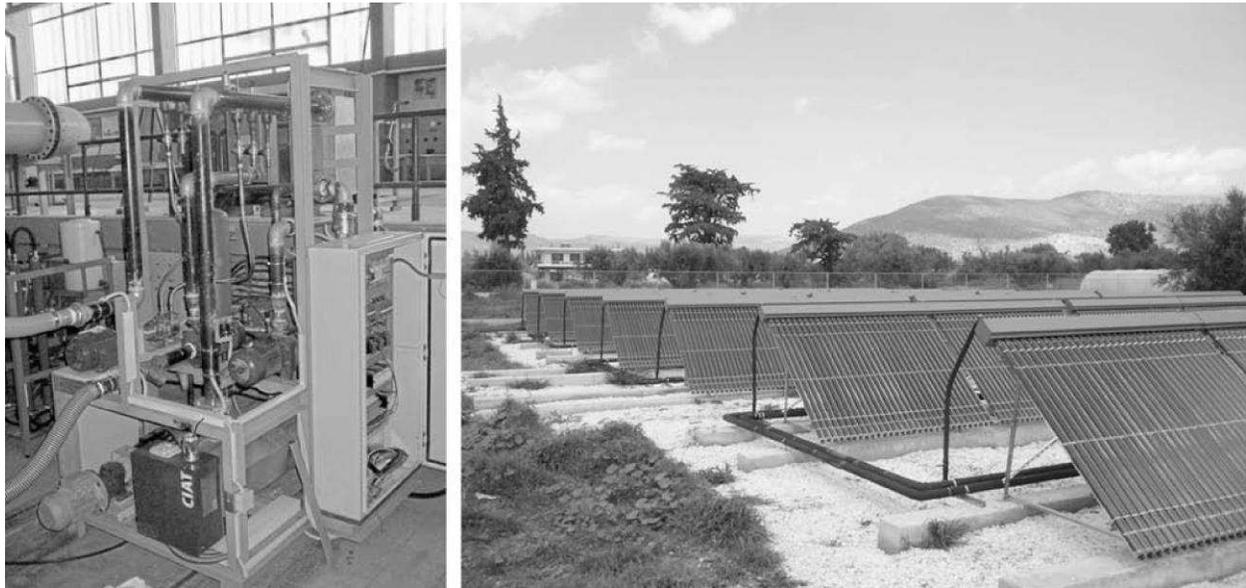
520    Evacuated tube collectors (ETC) convert energy from the sun into a usable heat in a solar water/air  
521    heater [114]. This energy can be used for domestic and commercial hot water heating, pool heating,  
522    space heating, and air conditioning. While evacuated tube technology clearly surpasses flat panels  
523    for nearly all water heating applications, the advantages are truly dramatic when used for solar air  
524    conditioning, heating or commercial processes [115,116].

- 525        •    ETC

526    Numerical investigations were presented for Rankine cycle-based solar systems which employed  
527     $CO_2$  as the working fluid [117,118]. Due to the capability of ORCs in utilizing the low-level heat  
528    losses, Schuster et al. [119] indicated some applications in some cases like solar desalination. Fig.  
529    10 shows the photos of the solar driven reverse osmosis system. Tempesti et al. [120] compared  
530    two different configurations of ORC designs, and tested three different working fluids. With this  
531    regard, a system was considered which utilized geothermal and solar energy as the heat source. In  
532    another research, Twomey et al. [121] studied a solar thermal cogeneration system in residential  
533    scale, and analyzed a scroll expander in a small ORC. The output of this article demonstrated that  
534    the performance of the expander isentropic was satisfactory, and it could be enhanced by changing  
535    some mechanical parts. The total produced energy of the proposed configuration was 1710 kWh.  
536    Crema et al. [94] assessed the integration of evacuated tubes to a micro-CHP configuration,  
537    composed of CSP and Stirling engine system, in building sector. The proposed combination was  
538    satisfying in supplying the demands of the buildings. Calise et al. [122] analyzed an ORC-based  
539    solar CHP in terms of technical and economic issues and conducted a feasibility study to indicate  
540    the proper places. The outputs proved the practicality of the presented system.

541    Some researchers have investigated the hybrid CHPs with evacuated tubes. Yagoub et al. [123]  
542    carried out a study on the utilization of a hybrid solar-gas system based on Rankine cycle for an  
543    office building. In this study, two different working fluids (HFE-301 and n-pentane) were  
544    compared, and it was demonstrated that HFE-301 had more positive effect on electrical  
545    performance compared to n-pentane. Tempesti and Fiaschi [124] presented an economic  
546    investigation and evaluated three different working fluids (R134a, R236fa, and R245fa). The

547 outcome of this analysis indicated that R245fa had the lowest electricity production cost and total  
548 cost, compared to other working fluids. A system, composed of evacuated tubes, flat solar  
549 collectors, and low-temperature geothermal wells was analyzed in the aspect of life cycle and  
550 environmental [125].



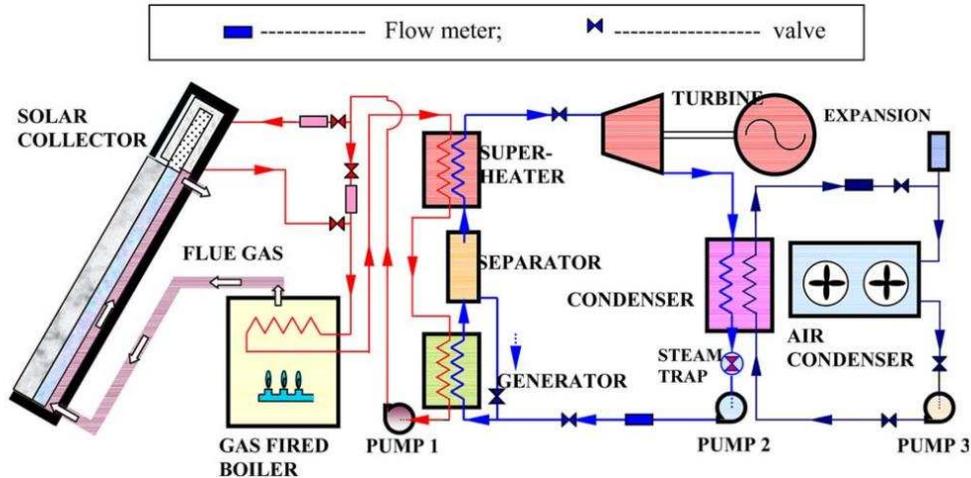
551

552 Fig. 10. A solar-driven RO-system [119] [Reprinted with permission from Elsevier]

553

554 • Heat Pipes

555 Firstly, in 2004, Riffat and Zhao [15,16] described the design and construction of a hybrid heat  
556 pipe solar collector/CHP. The thermodynamic and heat transfer analysis of this arrangement was  
557 studied to compare the proposed scheme with the conventional electricity and heating systems.  
558 Also, the experimental data were measured in a building at the campus of University of  
559 Nottingham. The results indicated that the primary energy consumption, the CO<sub>2</sub> emissions and  
560 the running cost of the hybrid configuration were lower than the conventional ones. Fig. 11 shows  
561 the schematic diagram of the hybrid heat pipe solar collector CHP.



562

563 Fig. 11. Layout of the solar heat pipe CHP system [15] [Reprinted with permission from  
564 Elsevier]

565 In 2016, Wang et al. [126] evaluated the performance of a solar-natural gas hybrid CCHP  
566 technology with the aim of optimization of the CCHP configuration. The outcome of the analysis  
567 showed that the exergy efficiency could be increased by integrating the PV panels into the CCHP  
568 system, while the energy efficiency could be enhanced by integrating a solar heat collector.

569 By looking out the essays for solar flat CHPs, we found that most of them were about optimization  
570 for different climate zones, and only limited work about economic and environmental assessment.  
571 It is proposed to do more works for optimizing costs and payback periods of these CHPs. Table 4  
572 shows the summary of the articles about solar flat plate collectors in CHP plants.

573 Table 4. Summary of the studies about flat collectors in CHP plants

Author	Brief title	Highlight	Significant Action	Ref.
Omer and Infield (2000)	Two-stage solar concentrator	Providing an effective concentration of the incident solar radiation without adjusting the tracking.	Designing, Modeling	[89]
Riffat & Zhao (2004)	Heat pipe solar collector	Integration of solar collector with the exhaust gas flue channels for utilizing both. As the working fluids, n-pentane and hydrofluoroether (HFEs) were utilized.	Designing, Modeling	[14,15]
Zhang et al. (2006), (2007)	Analysis of solar Rankine cycle	Studying a Rankine cycle-based evacuated solar collector system which employed $CO_2$ as working fluid.	Theoretical analysis	[117], [118]
Schuster et al. (2009)	Energetic, and economic investigation	Indicating the application of organic Rankine cycle in solar desalination process and analyzing the performance.	Simulation	[119]
Chen et al.(2010)	Dynamic simulation of a transcritical system	Essential influence of the expansion machine on the system performance.	Dynamic simulation	[112]

Twomey et al. (2013)	Dynamic performance estimation	Simulating and analyzing the expander isentropic efficiency. The performance of the expander isentropic efficiency was satisfactory, with the production of 1710 kWh total energy.	Dynamic modeling	[121]
Tempesti and Fiaschi (2013)	Thermo-economic assessment	Evaluating three different working fluids (R134a, R236fa, and R245fa). The results showed the superiority of R245fa.	Design and Economic	[124]
Mohammadkarim et al. (2014)	Investigation of solar ETC	Simulating evacuated tube solar water heating collector utilizing the TRNSYS software.	Simulation	[115]
Ruzzenenti et al. (2014)	Evaluation of environmental sustainability	Proposing life cycle and environmental investigation of a system composed of evacuated flat solar collectors and low-temperature geothermal wells.	Life Cycle Exergy	[125]
Sokhansefat et al. (2014)	Comparing FTC with ETC	Presenting a comparison between the performance of evacuated tube collector and a flat plate collector at different conditions.	Modelling and Comparison	[116]
Calise et al. (2015)	Design and simulation of a solar CHP prototype	Studying an ORC-based solar CHP from the technical and economic point of view, and accomplishing a feasibility study to indicate the proper places.	Design and Dynamic simulation	[122]
Wang et al. (2015)	Multi-objective optimization of a CCHP	Maximizing the average useful output and minimizing the total heat transfer area. Different optimal combinations of the parameters were obtained by the single and multi-objective optimization	Mathematical modeling and Optimization	[113]
Wang et al. (2016)	Thermodynamic performance optimization	Comparing the effect of integration of PV panels or a solar heat collector. It was shown that the integration of PVs enhanced the exergy efficiency and integration of a solar heat collector increased the energy efficiency.	Thermodynamic analysis and Optimization	[126]
Freeman et al. (2017)	Combined solar-ORC	Optimizing the performance of a solar CHP system for maximum annual electrical power generation	Optimization and Comparison	[24]

574

575

## 576 6. Concluding Remarks and Suggestions

577 This work provides a detailed review of the literature on solar combined heat and power  
578 systems to advance our understandings in this area. Reviewing the papers in the field of PVT  
579 and CPVT, applied in CHP systems, showed that the majority of published work was on the  
580 simulation and modeling aspect, with a focus on the thermal part. Most of the researches in  
581 concentrating CHP systems are about parabolic trough collectors due to their high efficiencies,  
582 and limited work on economic analysis at the system level was performed, which calls for  
583 more systematic study.

584 Some further studies are recommended as followings:

- 585 - More studies are needed for solar hybrid systems with other sources of energy to attain  
586 higher levels of temperature and power.

- 587 - The performance of CPVTs and compound parabolic collectors, coupled with heat pipes  
 588 should be investigated further .
- 589 - The feasibility and CFD analysis shall be conducted before any experimental and practical  
 590 actions.
- 591 - Exergy, economic and environmental analysis are suggested for the solar CHP and CCHP  
 592 units.
- 593 - More work shall be conducted to investigate the performance of large-scale solar CHPs.
- 594 - Large-scale solar CHPs for the power plants located near the industrial zones should be  
 595 examined to utilize the exhaust heat, and achieve high efficiencies.

596

597

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