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Article:

Hobbs, M., Griffiths, C., Green, M.A. et al. (3 more authors) (2018) Neighbourhood typologies and associations with body mass index and obesity: A cross-sectional study. Preventive Medicine, 111. pp. 351-357. ISSN 0091-7435

https://doi.org/10.1016/j.ypmed.2017.11.024

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1	Neighbourhood typologies and associations with body mass index and obesity: a
2	cross-sectional study
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19	Key words

20 Latent class analysis, food outlets, physical activity facilities, parks, body mass index, obesity

21 Abstract

22

23 Little research has investigated associations between the combined food and physical activity (PA) environment, BMI (body-mass-index) and obesity. Cross-sectional data (n=22,889, age 24 25 18-86 years) from the Yorkshire Health Study were used [2010-2013]. BMI was calculated 26 using self-reported height and weight: obesity=BMI≥30. Neighbourhood was defined as a 2km 27 radial buffer; food outlets and PA facilities were sourced from Ordnance Survey Points of 28 Interest (PoI) and categorised into 'fast-food', 'large supermarkets', 'convenience and other 29 food retail outlets' and 'physical activity facilities'. Parks were sourced from Open Street Map. 30 Availability was defined by quartiles of exposure and latent class analysis (LCA) was 31 conducted on these five environmental variables. Linear and logistic regression were then 32 conducted for BMI and obesity respectively for different neighbourhood types. Models 33 adjusted for age, gender, ethnicity, area-level deprivation, and rural/urban classification. A 34 five-class solution demonstrated best fit and was interpretable. Neighbourhood typologies 35 were defined as; low availability, moderate availability, moderate PA, limited food, saturated 36 and moderate PA, ample food. Compared to low availability, one typology demonstrated lower 37 BMI (saturated, b= -0.50, [95% CI= -0.76,-0.23]), while three showed higher BMI (moderate availability, b= 0.49 [0.27,0.72]; moderate PA, limited food, b=0.30 [0.01,0.59]; moderate PA, 38 39 ample food, b=0.32 [0.08,0.57]). Compared to the low availability, saturated neighbourhoods 40 showed lower odds of obesity (OR=0.86 [0.75,0.99]) while moderate availability showed 41 greater odds of obesity (OR=1.18 [1.05,1.32]). This study supports population-level 42 approaches to tackling obesity however neighbourhoods contained features that were health-43 promoting and -constraining. Embracing environmental complexity will be an important next 44 step for researchers and policymakers in providing healthy places.

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49 Introduction

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51 One in four adults are currently obese and while recent evidence suggests that long-term 52 trends of increasing body weight is starting to slow, the prevalence remains high (1, 2). 53 Increasingly, research and policy responses are focusing on the environmental contributions 54 for understanding these population-level patterns (3, 4). However, an extensive body of 55 literature has shown inconsistent associations between aspects of the food environment such 56 as supermarkets (5-10) or fast-food outlets (7, 11-14) and obesity. Furthermore, evidence 57 demonstrating a relationship between the physical activity (PA) environment and obesity also 58 remains equivocal (15-19).

59

60 Recent research has demonstrated that individual features of obesogenic neighbourhoods 61 may cluster in the same locations (20). It is therefore imperative not to treat each feature in 62 isolation. Developing multi-dimensional measures of both the food and physical activity 63 environments may better represent the wider environmental influences on obesity. Previous studies have used a combined or composite measure to delineate different urban contexts 64 65 suggesting that individual experiences of neighbourhood context are complex. Composite measures of the environment may lack the appeal of identifying a specific availability point 66 67 that can be addressed more easily through policy i.e. regulating the growth of just fast-food outlets (21). However, this clustering of neighbourhood features may be a more accurate 68 69 reflection of the wider influences of the environment on human behaviour within a broader 70 system of environmental features (22).

71

Despite some evidence to suggest aspects of the food environment may cluster to form neighbourhood typologies, there is no clear pattern of co-occurrence when considering both physical activity and food environments (20). A comprehensive study that virtually audited the built environment using Google Streetview in London, Paris, Ghent and Budapest demonstrated a complex picture (23) with four clusters of neighbourhoods existing. The

typologies revealed that neighbourhoods were not always simple linear distinction in their extent of 'obesogenic' features with some clusters containing features that were both potentially obesogenic and non-obesogenic. For example, aesthetically pleasing greener neighbourhoods which may promote physical activity were also those with a low presence of active transport facilities i.e. no bike lanes or foot paths. One limitation of such studies is that they have focused mostly on describing neighbourhood typologies, with less investigation into how different contexts are associated with both body mass index and obesity.

84

This study uses a large cohort that is specifically designed for informing local-level decision making on weight and weight management. The study first explores how aspects of the food and physical activity environment cluster and second, investigates the association between neighbourhood typologies, body-mass-index and obesity.

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90 Methods

91

92 Study Sample

93 The sample used in this cross-sectional analysis was collected during wave one of the 94 Yorkshire Health Study (formerly the South Yorkshire Cohort Study) which has been reported 95 in detail previously (24). Briefly, the YHS is a longitudinal observational cohort study collecting 96 information on the residents (aged 18-86 years) from the Yorkshire and Humberside region in 97 England. It aims to inform National Health Service (NHS) and local authority health-related 98 decision making in Yorkshire. Data were collected on current and long-standing health, health 99 care usage and health-related behaviours, with a focus on weight and weight management. 100 Wave one data collection contains records on 27,806 individuals (2010-12) from 11 boroughs 101 within the Yorkshire and Humber region. Participants in the cohort are older than in the total 102 South Yorkshire population with a higher proportion of females. The majority of participants 103 were also reported being of White ethnicity (94.1%), which was over representative of the 104 ethnic group (2011 Census; 90.5%). Adults living within the study area with a valid height,

weight, postcode, ethnicity and gender were included resulting in 22,889 participants. Ethical
clearance was granted by the ethics committee of the Carnegie Faculty, Leeds Beckett
University.

108

109 Individual-level measures and covariates

110 The height (cm) and weight (kg) of each participant was self-reported. Body mass index (BMI) 111 was then calculated for each participant as weight (kg)/height² (m). In subsequent analyses 112 BMI was used as a scale variable however, participants were also split dichotomously based 113 on their BMI into obese (BMI ≥30) or not obese (BMI <30). Age, gender, ethnicity (White-114 British and other), deprivation score (Index of Multiple Deprivation) and rural or urban 115 classification were included in all models as covariates. IMD provides a multidimensional 116 measure of deprivation (based on 37 separate indicators, organised across seven distinct 117 domains of; income deprivation; employment deprivation; health deprivation and disability; 118 education, skills and training deprivation; crime; barriers to housing and services; and living 119 environment deprivation) and is commonly used by Local Governments. IMD scores were 120 assigned to the lower super-output area (LSOA) of each individual, as determined by their 121 geocoded postcode. Rural or urban classification of the LSOA was made in line with local 122 government classifications (25).

123

124 Neighbourhood level measures

To define neighbourhood, the postcode of each participant was geocoded using home postcode. A neighbourhood boundary was then defined using a radial buffer of 2km centred on these coordinates within ArcGIS 10.4. Neighbourhood was defined as a 2km radial buffer as this is hypothesised as a distance easily accessible when driving (26). The count of facilities or food outlet were then counted within this radial buffer to define availability. It is acknowledged that neighbourhoods are difficult to define as individuals are known to operate outside a radial buffer or administratively defined area (27). However, previous analyses (4)

also showed little difference in associations when using 1600m radial buffers which arehypothesised to better reflect walking behaviours (28).

134

135 We considered a wide range of food and physical activity neighbourhood characteristics. Data 136 on food outlet locations and physical activity facilities was obtained from The Ordnance Survey 137 (OS), a national mapping agency in the United Kingdom which covers the island of Great 138 Britain. Data were sourced from the Point of Interest (Pol) dataset covering the study area at 139 the time of the data collection (2010-2012) which has been suggested as a viable source of 140 secondary data (REF) and was again mapped in ArcGIS 10.4. Classifications were defined 141 based on a proprietary classification system within the Pol dataset. Food outlets were categorised into three groups of (i) large supermarkets, (ii) fast-food outlets and (iii) 142 143 convenience or other food retail outlets. Fast-food outlets contained the Pol categories of "fast food and takeaway outlets", "fast food delivery services" and "fish and chip shops"; large 144 145 supermarket contained "supermarket chains" and convenience and other food outlets 146 contained other food outlets which included but was not limited to "restaurants", "convenience 147 stores", and "bakeries". Physical activity (PA) facilities were included based on proprietary 148 classification of "physical activity facilities". Park data was obtained from Open Street Map. A 149 park was defined as an open, green area for recreation typically open to the public that is in a 150 town or city, national parks were not included in this dataset (29). Pols and parks falling within 151 and intersecting with the 2km radial buffer were then identified through a point in polygon 152 analysis in ArcGIS 10.4.

153

154 Statistical Analysis

To describe the study population and their respective neighbourhoods, means and standard deviations (continuous variables) and percentages (categorical variables) were calculated. Results were presented for both individual-level and area-level variables included within the analysis.

159

160 A latent class analysis (LCA) was conducted in STATA MP 14.2 using the five environmental 161 variables (large supermarkets, fast-food outlets, convenience or other food retail, PA facilities 162 and parks). The environment varied considerably between each individual. For instance, some 163 individuals had no food outlets within a 2km buffer and others had 100 (Table 1). However, it 164 is unlikely that an increase from 0-1 fast food outlets is the same as an increase from 101-102 165 fast food outlets. To account for this and model relative effect, we modelled food outlet data 166 in guartiles using dummy variables (Q1 least exposed, Q4 most exposed). Quartiles were based on population so each quartile contained approximately the same number of 167 168 participants. Parks were defined as tertiles due to the granularity of the data. LCA is a data 169 driven method that identifies an unobserved or latent construct using the statistical relations 170 among the variables (30). The goal of LCA in our study was to derive meaningful classes from 171 a sample, assign participants to each class and then explore associations with BMI and 172 obesity. LCA derives mutually exclusive classes that maximize between-group variance and 173 minimize within-group variance based on several model fit criteria.

174

175 The expectation-maximization (EM) algorithm was used for class derivation and assignment. 176 The LCA operates with an aim of findings participants who are similar on a combination of 177 attributes. To identify the ideal number of classes in the sample solutions of 1 to 10 classes 178 were tested. Models were selected based on model fit statistics of the Bayesian Information 179 Criterion (BIC) statistic, sample sizes per class and usefulness and substantive interpretation 180 (30). Item-response probabilities of classes were then charted for visual interpretation based 181 on each of the five variables which were modelled in quartiles of exposure. Item-response 182 probabilities show the probability of an affirmative response to being part of each derived class (32). Mean values close to 1 indicate a strong degree of homogeneity and classification 183 184 certainty. The class prevalence and item response probabilities were presented by latent 185 class.

186

187 Next, we estimated associations between derived latent neighbourhood patterns (classes), 188 BMI and obesity. All models adjusted for age, gender, ethnicity, area-level deprivation and 189 rural or urban classification of the area. Two separate models were carried out, first, to 190 estimate associations between classes and BMI a linear regression model (b, 95% CI) was 191 used. A binary outcome of obese or not was then created to allow for logistic regression (odds 192 ratios (OR) and 95% CI). Those within 'low availability' neighbourhoods (class 1) were chosen 193 as a reference category. In theory, they would have lower availability to the physical activity 194 environment and although more debatable, poorer availability to all aspects of the food 195 environment which may result in lower physical activity levels and poorer dietary intake due to 196 the lack of availability of all types of food outlets. Due to the high statistical power in the dataset 197 and assumption that data were missing at random (Supplementary Material) missing data 198 were dealt with by listwise deletion. All analyses were undertaken using STATA MP 14.2.

- 199
- 200

201 Results

202

203 3.1 Latent class analysis

Figure 1 shows model fit criteria based on the raw Bayesian Information Criterion (BIC) score for latent class solutions. A five-class solution was deemed best fit. Any solution above this resulted in smaller gains on model fit criteria and resulted in complex interpretability. The mean maximum posterior probabilities for the 5 classes were 0.90, 0.92, 0.89, 0.93 and 0.87 for classes 1 to 5 respectively, providing evidence of homogeneity for each subgroup.

209

210 INSERT FIGURE 1 HERE

211

Five distinctive neighbourhood typologies were identified (Table 1). Class 1 (18.98% of participants) was labelled as 'low availability' and contained the lowest proportion of all types 214 of neighbourhood amenities. Class 2 (33.32%) was defined as 'moderate availability' as it 215 contained a moderate amount of both food outlets, PA facilities and parks. Class 3 (12.15%) 216 was labelled as 'moderate PA, limited food' although PA environment availability was 217 moderate, it had lower availability of convenience/other food outlets and large supermarkets 218 and the lowest availability of all classes to fast-food outlets. Class 4 (13.57%) was defined as 219 'saturated availability', with high availability to all types of amenities across the food 220 (particularly fast-food and other food or convenience outlets) and PA environment. Finally, 221 class 5 (21.99%) was defined as 'moderate PA, ample food' with moderate access to PA environment and high availability to all food outlets (particularly fast-food and other food or 222 223 convenience outlets). From this point forward neighbourhood typology name will be referred 224 to rather than class number.

225

226 INSERT TABLE 1 HERE

227

228 **3.2 Composition differences across classes**

229 Table 2 demonstrates that demographic characteristics differed by neighbourhood typology. 230 The percentage of males and females remained consistent however, 'moderate PA, limited 231 food' had the oldest population (mean 56.75 years) and 'saturated' had the youngest (mean 232 49.49 years). Ethnicity did vary by neighbourhood type, with the smallest percentage (1.2%) 233 of non-white participants residing with the 'low availability', and the largest proportion within 234 the 'saturated' typology (10.24%). In terms of rurality, 'low availability' was mainly rural 235 (34.28%) and 'saturated' were mostly within the urban areas (99.97%). Deprivation varied by 236 neighbourhood typology; neighbourhoods with low availability to food ('low availability and 237 moderate PA', 'limited food') were typically the least deprived. Typically, as availability to food 238 increases across neighbourhood typologies, deprivation increases, the only exemption is the 239 'saturated' typology which has segments of low deprivation.

240

241 3.2 Associations between the combined environment and BMI

242 Table 3 presents the association between the combined environment and BMI, relative to 'low 243 availability' after adjusting for individual- and area-level covariates. Individuals who resided 244 within 'saturated' neighbourhoods had statistically significant lower BMIs (b= -0.50, 95% CI [-245 0.76, -0.23) compared to individuals within 'low availability' neighbourhoods. The other three 246 latent classes of 'moderate availability' (b=0.49, 95% CI 0.27, 0.71]), 'moderate PA, limited food' (b=0.30 [95% CI 0.01, 0.59]) and 'moderate PA, ample food' (b=0.23, [95% CI 0.08, 247 0.57]) were each found to have significantly higher BMI values compared to 'low availability' 248 249 neighbourhoods.

250

251 INSERT TABLE 3 HERE

252

253 **3.3 Associations between the combined environment and obesity**

254 Table 4 presents the results of the logistic regression model that examined the association 255 between the combined environment and obesity for each of the environments relative to 'low 256 availability' after adjusting for individual- and area-level covariates. Individuals who resided in neighbourhoods with 'moderate availability' typology were 18% more likely to be obese 257 258 (OR=1.18 95% CI 1.05,1.32]). Individuals who resided within 'saturated' neighbourhoods were 259 14% less likely to be obese (OR=0.86 95%CI 0.75,0.99]). The results for residing in the 260 'moderate PA, limited food' and 'moderate PA, ample food' were not statistically significant for 261 obesity but were in the same direction as associations with body mass index.

262

263 INSERT TABLE 4 HERE

264

265 Discussion

266

267 Our study used latent class analysis to develop a combined measure of the food and physical 268 activity (PA) environment. It then investigated the association between of our typologies of

269 neighbourhood contexts and body mass index (BMI) and obesity. We add to the literature by 270 presenting a more complex and multidimensional picture of contextual neighbourhood factors 271 and their contribution to BMI and obesity. Neighbourhood typologies contained features that 272 may be considered protective of obesity such as, greater availability to PA facilities but also 273 features that may be considered more obesogenic such as increased availability to fast food 274 outlets. It suggests that previous analyses utilising only linear and perhaps more simple 275 measures of neighbourhood context (or treating factors in isolation) will fail to correctly 276 understand the role of neighbourhood context. Policy should explicitly acknowledge that 277 neighbourhoods have availability to multiple features i.e. fast-food outlets, convenience stores 278 and parks that may be both health-promoting and -constraining, rather than focusing on 279 singular aspects such as only fast-food outlets.

280

281 To our knowledge, this is one of the first studies that used clusters of neighbourhood 282 environments to study associations with BMI and obesity. In contrast to previous equivocal 283 research, much of which focuses on singular aspects of food or PA environments (12, 16), our 284 results reveal that five neighbourhood typologies were associated with significant and 285 meaningful differences in adult BMI and obesity. This confirms prior work (31) which suggests 286 that accounting for multiple environmental influences, may represent a more accurate 287 reflection of the wider influences of an environmental influence on human behaviour and 288 health (22). This study provides support for population-level approaches to tackling obesity in 289 specific neighbourhood typologies. However, it also acknowledges the complexity of local 290 environments and therefore may accurately reflect the wider environmental influences on 291 human behaviour and obesity.

292

293 'Saturated' neighbourhoods, characterised by greater availability to the PA and food 294 environment (particularly fast-food and other food or convenience outlets), were associated 295 with reduced BMI and obesity compared to low exposure neighbourhoods. Although several 296 studies have demonstrated the high calorie and nutrient poor content of fast-food (33, 34), this

297 counterintuitive result demonstrates the complex interplay between an individual's built 298 environment and obesity. This importance of moving beyond assessing singular aspects of 299 the environment i.e. just fast food was highlighted by a study which showed that the amount 300 of energy consumed within full service restaurants was equivalent to those who ate at fast 301 food outlets (35). We provide evidence that neighbourhoods are not healthy or unhealthy, but are characterized by neighbourhood features that are both health-promoting and health-302 303 constraining (20). We add to evidence by exploring multiple aspects of both the food and PA 304 environments.

305

306 'Moderate availability' neighbourhoods were associated with greater odds of obesity and BMI 307 with a meaningful effect despite the relatively wide confidence intervals (18% increase in odds 308 of obesity). Research from the UK (20) and internationally (36) have demonstrated the 309 complex nature of neighbourhoods, however few have extended their analyses to show 310 associations with BMI and obesity. Compared to 'low availability' neighbourhoods and after 311 adjustment for covariates, 'moderate PA, limited food' and 'moderate PA, ample food' also 312 showed statistically significant increases in BMI however, this association did not persist for 313 obesity. Neighbourhood typologies which were related by some type of 'moderate availability' 314 may not be neighbourhoods commonly hypothesised to be at greater risk of both higher BMI 315 and/or obesity. However, it is worth noting that residential neighbourhood context captured 316 within this study may only have a small effect on BMI or obesity and individuals may also have 317 availability outside of their immediate context for instance, at work or when commuting (37).

318

Neighbourhoods also contain other influential contextual factors not captured within this study such as the quality of the built PA environment or prices within supermarkets which may have exhibited an effect BMI and obesity. For instance, research (38, 39) has demonstrated the importance of the quality of PA spaces in determining PA behaviours. Similarly, other studies have demonstrated that economic i.e. the affordability of supermarkets were important factors in detecting associations with BMI (40, 41). However, this was not captured within this study

325 predominantly due to the difficulty of conducting such research over such a large area on a 326 variety of different environmental variables. Such differences in the quality of built 327 environments in terms of aesthetics, safety, features, price, or choice may be important in determining usage or purchasing behaviours and are important considerations for future 328 329 research. Although a park may be near a home, it may be unsafe which inhibits its use (42). 330 Without more detailed measures of the food and PA environment, such nuances will continue 331 to reduce the accuracy of statistical models employed and may go some way to explaining the 332 more complex associations seen within this study.

333

334 The low exposure neighbourhood typology was used as the reference category (low 335 availability to parks, PA facilities and all types of food outlets). Conceptually, participants would 336 be restricted in their ability to expend energy within parks or PA facilities and the food they can 337 purchase in their immediate residential neighbourhood. Overall, 19.0% of participants resided 338 within low availability neighbourhoods which were also home to slightly older participants 339 relative to other neighbourhood typologies. This neighbourhood typology may be consistent 340 with a design that has been planned around the use of the car. Such designs are conducive 341 to lower PA and higher obesity rates (43).

342

343 Implications for policy and practice

344 Our study adds important local-level analyses which are required to inform local policy on environmental level prevention efforts. The results identified within this study begin to highlight 345 the complexity that local authorities must account for with when making health-related decision 346 making. Neighbourhoods were not wholly unhealthy or healthy but contained a range of 347 348 features that had varied associations with BMI and obesity. Based on these neighbourhood 349 profiles, population-based interventions to reduce BMI and obesity that are targeted towards 350 specific neighbourhoods show promise. However, policy should be designed to account for 351 the complexity of neighbourhood environments which are composed of a variety of factors that 352 may exhibit complex interactions to influence BMI and obesity. Moving forward both research

and policy will benefit by going beyond their silo of professional expertise (i.e. just fast food or
 physical activity) by working together within multidisciplinary teams.

355

356 Limitations

357 Our study design was cross-sectional restricting our ability to draw out causal effects. The YHS is a self-reported survey and our outcome variable. BMI, may be biased. Furthermore. 358 359 although we used Pol data which has been suggested as a valid alternative to UK local 360 authority data (44) this was only validated within one local authority. As consistent with many 361 other studies within this area, neighbourhood was defined on the best available evidence, 362 however, it is acknowledged that individuals will inevitably operate beyond their 363 'neighbourhood buffer' which in this case was only defined based on home postcode (45). We 364 also acknowledge that the placement of food outlets, and PA facilities are not random, 365 determined most likely by property value, land costs, land use and potential customers 366 (population density) to support the service in question (46). Furthermore, the movement of 367 people between neighbourhoods is not random, most likely determined by factors such as 368 income or the affordability of housing in certain areas. Finally, although a range of factors were 369 used to develop the combined environment latent class analysis, perceptive or economic 370 (affordability) based measures could have helped strengthen the notion of a more 371 comprehensive measure of neighbourhood. Future research may benefit from capturing 372 availability beyond the residential environment and by including, actual geocoded measures 373 of dietary and physical activity behaviours. This is a particularly important consideration when 374 investigating associations with the combined environment as it is unreasonable to continue to 375 assume that fast food outlets for instance are a proxy for unhealthy foods without doing in-376 store audits or measuring actual purchasing and consumption behaviours of individuals.

377

378 Conclusion

Our study found evidence of distinct neighbourhood typologies of the food and physical activityenvironment surrounding individuals that were associated with BMI and obesity.

381 Policymakers, town planners and local authorities are increasingly engaged with population-382 based strategies to reduce the prevalence of obesity through improved urban design, 383 regulation of food outlets and increased availability to physical activity facilities or parks. These 384 population-level approaches are supported within this study, in that specific neighbourhood 385 typologies were associated with BMI and obesity. However, these findings also reinforce the notion that neighbourhoods are not wholly unhealthy or healthy, they are characterised by a 386 387 complex clustering of neighbourhood features that are both health-promoting and -388 constraining. Given the progress in availability to secondary data on the environment it is now 389 imperative that researchers consider wider environmental influences that include a broad 390 range of environmental factors which include other food outlets, PA facilities, and parks. These 391 findings have international relevance and highlight the need for research and policy to 392 embrace the complex influence of neighbourhoods on health when providing healthy places 393 to improve public health.

394	
395	Acknowledgements:
396	We would like to thank The Ordnance Survey who provided the Points of Interest dataset for
397	the food environment. We would also like to acknowledge that The Yorkshire Health Study
398	was funded by the NIHR Collaboration for Leadership in Applied Health Research and Care
399	Yorkshire and Humber (NIHR CLAHRC YH). www.clahrc-yh.nihr.ac.uk. The views and
400	opinions expressed are those of the author(s), and not necessarily those of the NHS, the NIHR
401	or the Department of Health.
402	
403	Conflict of Interest
404	All authors declare no conflicts of interest.
405	
406	Authorship
407	

Author	Contribution
Matthew Hobbs	Concept of idea, data analysis, lead on writing of manuscript
Claire Griffiths	Concept of idea, refinement of manuscript
Mark Green	Concept of idea, data analysis, refined manuscript
Hannah Jordan	Critical review of paper
Joanna Saunders	Refinement of manuscript
Jim McKenna	Concept of idea, refinement of manuscript

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