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1 Title

2 **The geomorphic cell: a basis for studying connectivity**

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14

15 Abstract (max. 300 words)

16 Any attempt to measure connectivity within a system requires a set of entities to be

17 defined that permit the connectivity amongst them to be quantified. Here we propose

18 the geomorphic cell as such an entity. We provide a means to identify these cells,

19 define a terminology for describing cell state, and identify the pathways of

20 connections (connecteins) to and from cells. We conceptualize the geomorphic cell

21 as being a three-dimensional body of the geomorphosphere, which is delimited from

22 neighboring cells and neighboring spheres by different types of boundary. Vertically,

23 the upper boundary of a geomorphic cell is defined by the atmosphere, while the

24 lower boundary is generally formed by the bedrock layer of the lithosphere. Laterally,

25 geomorphic cells are delimited from neighbouring cells with a change in

26 environmental characteristics that determine hydro-geomorphic boundary conditions
27 (e.g. geology, soils, topography and/or vegetation).

28

29 Keywords

30 Connectivity; Fundamental unit; Landscape structure and function; Complexity

31

32 **Background**

33 In recent years there has been a growing body of research into how the elements of
34 complex systems are related to each other. This body of research, termed
35 connectivity science, comprises conceptual models, statistical approaches and
36 mathematical theories, and has led to new insights in fields as diverse as
37 neuroscience, ecology and social science. Geomorphology has also been swept up
38 into this burst of activity, with special issues on connectivity being produced by both
39 Earth Surface Processes and Landforms (in 2014) and by Geomorphology (in 2016),
40 and sessions on the topic at the EGU co-organised by the Geomorphology Division
41 every year since 2012. However, the new insights that have characterized the
42 applications of connectivity science in other disciplines (e.g. Travers and Milgram,
43 1969, Honey et al., 2009; Tero et al., 2010) appear to have eluded geomorphology.
44 Nonetheless, there have been a number of case studies in which variable responses
45 of geomorphic systems to perturbations have been 'explained' with reference to ideas
46 of connectivity (e.g. Hooke, 2006; Ali et al., 2014; Puttock et al., 2014), and a number
47 of papers exploring connectivity ideas and advocating their application to
48 geomorphology (e.g. Brierley et al., 2006; Fryirs et al., 2007; Lexartza-Artza and
49 Wainwright, 2009; Wainwright et al., 2011; Fryirs, 2013; Bracken et al., 2015; Poepl
50 et al., 2017). Finally, and of particular interest in the context of this Commentary,

51 have been the papers that have sought to provide means to measure and describe
52 geomorphic connectivity.

53 Any attempt to measure connectivity within a system requires a set of entities to be
54 defined that permit the connectivity amongst them to be quantified (termed
55 Fundamental Units FUs). Such FUs need to be meaningful within the system of
56 study. What is meaningful will almost certainly be a function of the temporal and
57 spatial scales of the investigation and of the available measurement techniques.

58 Without prior consideration of the meaningfulness of the FUs it is unlikely that
59 examination of their connectivity will yield useful insights into the characteristics and
60 behaviour of the system under study. In neuroscience, for example, cytoarchitectonic
61 areas are quite commonly used as the FUs of study (e.g. Sporns, 2011) for the
62 practical reason that there are a manageable number of them (a few hundred in the
63 cortical mantle) and on the structural and functional grounds that within these areas
64 cytoarchitecture and receptor density distributions are fairly uniform, whereas at their
65 boundaries these features change rapidly. In contrast, geomorphologists have given
66 scant regard to the issue of meaningfulness of connectivity FUs. Borselli et al. (2008)
67 present their argument on measuring connectivity in the vaguest terms of cells and
68 components, and only in the application of the approach is a 5x5 m DTM cell
69 introduced, but with no consideration of its meaningfulness to the objectives of the
70 study. Cavalli et al. (2013) similarly use a DTM (2.5-m resolution) for no evident
71 reason other than it is the highest resolution available. Although Heckmann and
72 Schwanghart (2013) likewise use a DTM, they do briefly, but at the end of the paper,
73 explore the implications of different resolutions and the possibility of object-based
74 representations of topography. If geomorphology is to reap the benefits of the
75 statistical methods and mathematical theories (e.g. graph theory, percolation theory)
76 that connectivity science has brought to other disciplines, then any applications need

77 to be preceded by an examination of what might constitute meaningful FUs for the
78 particular problem to be investigated. The aim of this Commentary is to provide a
79 foundation for such an examination.

80

81 **Concepts on units of study in geomorphology**

82 Consideration of the FUs that might be thought to comprise landscapes has a long
83 history in geomorphology, and it was particularly active in the first half to two-thirds of
84 the twentieth century. Wooldridge (1932) characterized topography as comprising
85 facets of flats and slopes: “the physiographic atoms out of which the matter of regions
86 is built” (p.32). Were Wooldridge’s characterization to be valid, then it would provide a
87 set of FUs not dissimilar, in topographic terms, to the cytoarchitectonic areas of
88 neuroscience: areas in which gradient remained fairly constant separated by zones of
89 more abrupt change. A richer characterization of a landscape FU, which derives from
90 the concept of the ‘site’ of Bourne (1931), land systems (Christian and Stewart,
91 1953), and land facets (Brink et al. 1966), is the land element, variously defined but
92 always incorporating the notion of an area where the climate, parent material,
93 topography, soil and vegetation are uniform within the limits significant for a particular
94 application. (For a fuller discussion of this heritage see Mabbutt, 1968). Again,
95 underpinning this characterization of landscape is the assumption that the properties
96 of the landscape do not change at a more-or-less uniform rate, but that landscape
97 comprises areas of relatively little change separated from each other by zones of
98 relatively rapid change. Whilst the notion of fractals does draw this assumption into
99 question, such a conceptualization underpins all categorical mapping of landscape
100 such as soil and vegetation maps and is a pre-requisite for analyzing connectivity.
101 Deriving geomorphic FUs from this conceptualization in a GIS framework promises to
102 lead to more meaningful units from which to explore geomorphic connectivity than

103 thoughtless adoption of DTM cells at whatever resolution happens to be available.
104 Within any discretization of landscape used to study water and sediment connectivity
105 is it assumed that rates and pathways of water and sediment flux remain effectively
106 constant within FUs. Unless these FUs have some rational basis for their
107 identification, the assumption is unlikely to be valid. Inevitably, scale issues are
108 important. Since connectivity measures the linkages among FUs, changing the
109 spatial scale of these FUs and the temporal scale over which fluxes are measured
110 will likely change the observed connectivity.

111

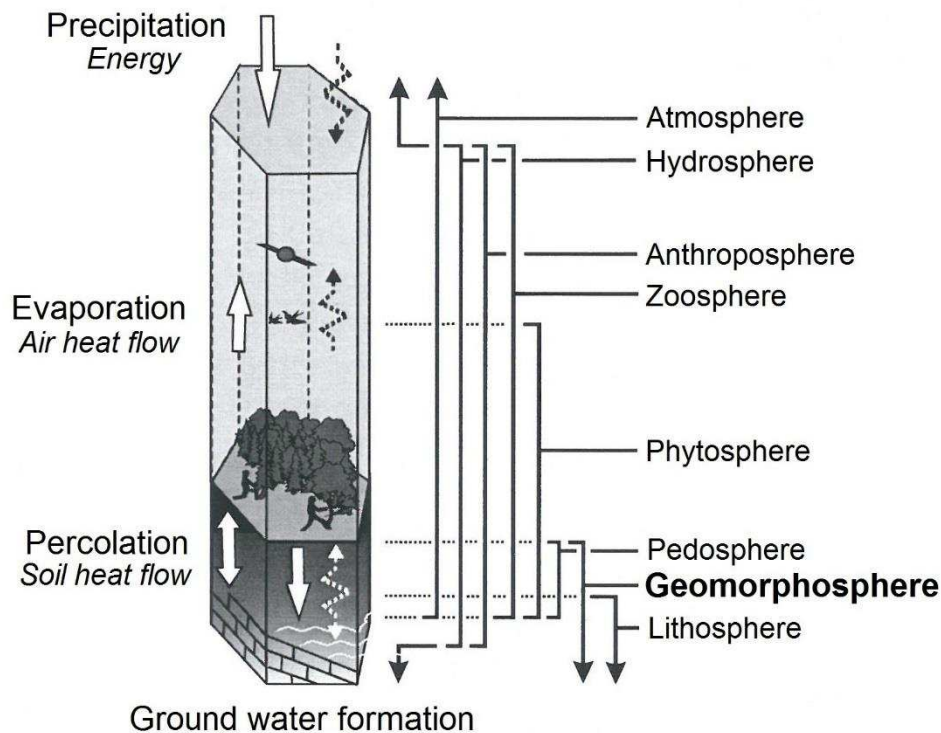
112 **The geomorphic cell**

113 In other Earth Sciences, a variety of basic concepts of how to define FUs of study
114 have been developed. In the following paragraph a critical reflection on their
115 applicability for geomorphology in the context of water and sediment connectivity is
116 presented, forming the basis for the development of the geomorphic cell concept as
117 proposed below.

118 In (landscape) ecology different spatial entities ranging from patches to landscape
119 belts or ecozones have been defined. According to the pattern-patch concept,
120 patches are the basic units of the landscape having a definite shape and spatial
121 configuration (e.g. Forman, 1995). A patch is further defined as being a surface area
122 differing in appearance from its surroundings (Turner et al., 2001). Patches are
123 connected to other patches by different types of linkages/corridors which define the
124 connectivity of animal species between them (Beier and Noss, 1998; Bennett, 2003).
125 By definition, patches constitute two-dimensional entities without having a vertical
126 component. Later on, in the European school of landscape ecology, patches have
127 been given a vertical dimension by defining so-called econs. According to Löffler
128 (2002) an econ is the smallest, quasi-homogenous landscape unit describing vertical

129 structural and functional relationships between the different landscape
130 compartments/spheres (Figure 1).

131



132

133 **Figure 1.** Landscape structure and functioning in the context of the “econ concept” using the
134 landscape sphere model (adapted from Löffler, 2002).

135

136 Geomorphology studies the interface between the atmosphere and the lithosphere,
137 which has also been called the geomorphosphere (Mac, 1983; see Figure 1). In the
138 context of water and sediment connectivity we conceptualize the geomorphosphere
139 to include all parts of the solid earth that are subject to erosion caused by water,
140 further comprising components such as biota that influence water and sediment
141 exchange between the geomorphosphere, the underlying bedrock (i.e. the
142 lithosphere) and the atmosphere. For a geomorphic FU in the context of studying
143 water and sediment connectivity, lateral linkages between neighbouring FUs as well
144 as vertical linkages between these units and their surrounding compartments/spheres

145 need to be taken into account. To conceptualize a geomorphic FU, a combination of
 146 both the pattern-patch and econ concepts seems to be a reasonable starting point.
 147 Both concepts, however, are lacking explanatory power when it comes to
 148 characterizing these linkages in terms of their potential to transfer water and
 149 sediment. In order to overcome these shortcomings a cellular model using analogies
 150 from cell biology is proposed.

151 We conceptualize the FU as being a three-dimensional body of the
 152 geomorphosphere, called the geomorphic cell, which is delimited from neighboring
 153 cells and neighboring spheres by different types of boundary. Vertically, the upper
 154 boundary of a geomorphic cell is defined by the atmosphere, while the lower
 155 boundary is generally formed by the bedrock layer of the lithosphere (in specific
 156 cases vertical boundaries may need to be adapted according to the connectivity
 157 question at hand and the geomorphic key processes involved; e.g. bedrock
 158 landslides). Following Christian and Stewart (1953), and others, we conceptualize
 159 geomorphic cells to be laterally delimited from neighbouring cells with a change in the
 160 type of land element as being defined by uniform environmental characteristics (e.g.
 161 geology, soils, topography and/or vegetation). In our conceptual model, geomorphic
 162 cells are being linked to neighbouring cells as well as to adjacent spheres by different
 163 types of linkages, here called connecteins (Figure 2). We distinguish the following
 164 three types of connectein (Table 1): Diffusive (D), channel (C), biotic (B).

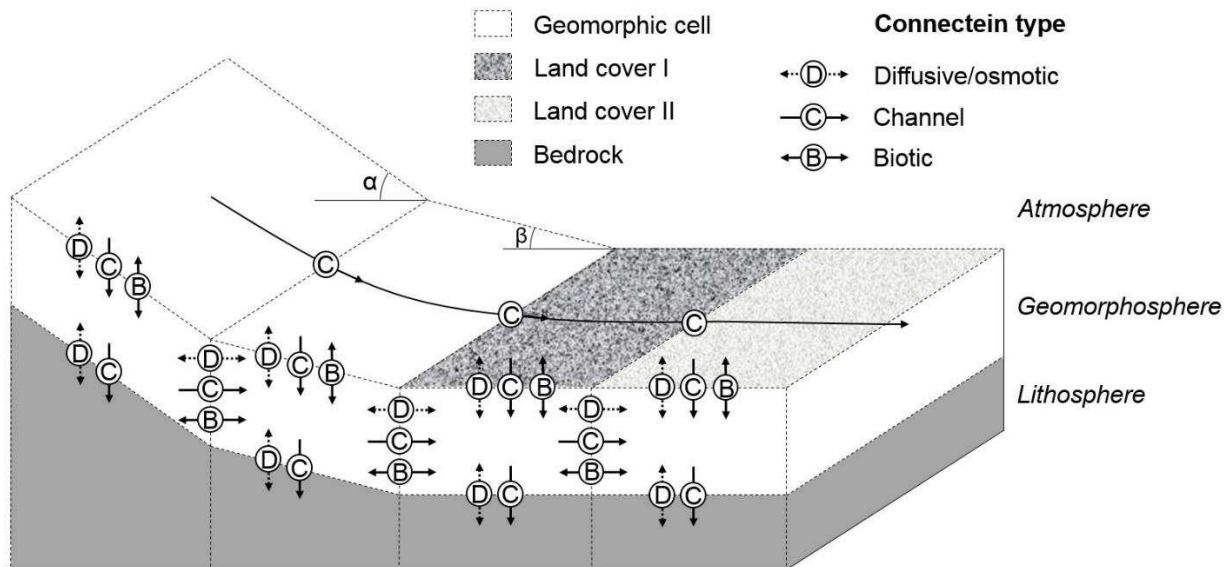
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166 **Table 1.** Types of connectein and their hydro-geomorphic potential of linking geomorphic cells

Connectein type	Connectivity type	Examples
Diffusive/osmotic (D)	Hydrologic: water fluxes following a concentration gradient	Vertical water evaporation/infiltration at unsealed surfaces (e.g. along soil pores), water infiltration into porous bedrock; lateral water flow in porous aquifers
Channel (C)	Hydrologic and sediment: water	Vertical water and sediment flux via soil

	and sediment fluxes following gradient	cracks or bedrock fissures; lateral water and sediment flux as concentrated throughflow in soil pipes or as overland flow in channels
Biotic (B)	Hydrologic and sediment: active water and/or sediment transport by biota	Water uptake and transpiration of plants; sediment transfer by digging animals

167
168



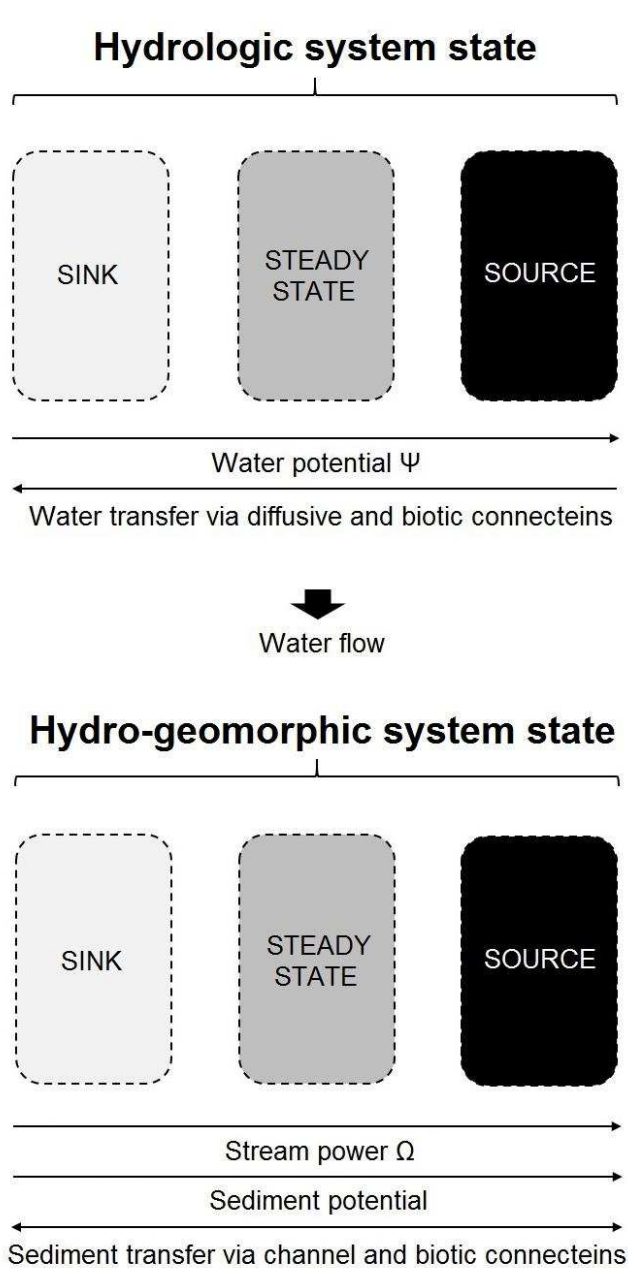
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Figure 2. Schematic drawing showing a set of geomorphic cells exhibiting different in environmental characteristics (e.g. topography/slope, land cover) being laterally linked to neighbouring cells, as well as to vertically adjacent spheres (i.e. atmosphere and the bedrock layer of the lithosphere) via different types of connectein

174

175 The state of a geomorphic cell determines its functional connectivity (Figure 3). In cell
176 biology, three states - hypotonic, isotonic, and hypertonic – determine osmotic flux. In
177 Bioinformatics, (e.g. Müller-Linow et al., 2006), the terms active, susceptible and
178 refractory have been used to describe the state of elements of a system. In
179 geomorphology the current terms sink, source and steady-state can be employed. A
180 geomorphic cell is a source if excess water and/or sediment are leaving it via one or
181 more connecteins. A cell is in a steady state if it responds to input by delivering that
182 water and/or sediment to adjacent cells or spheres. It is a sink if it is depleted of
183 water and/or sediment such that some or all of the input is absorbed by the cell. The

184 actual hydro-geomorphic state (source/steady-state/sink) of a cell is defined by the
185 occurrence of sediment transport processes which further depends on the general
186 availability of sediment and the sediment characteristics (i.e. sediment potential), and
187 stream power. Vegetation may further play a critical role in influencing the system
188 state of geomorphic cells as it is able to store and actively transport water out of the
189 system via transpiration (i.e. biotic connecteins), while digging animals are capable of
190 actively changing vertical and lateral connectivity relationships over time via
191 bioturbation. Additionally, different types of human impact may alter the connectivity
192 relationships (e.g. Poepl et al., 2017), thereby also acting as biotic connecteins.



193
 194 **Figure 3.** Schematic drawing showing different hydrologic and hydro-geomorphic system states of
 195 geomorphic cells

196

197 **Implementation**

198 We envisage that the identification of the geomorphic cells (FUs) will be undertaken
 199 within a GIS framework comprising some or all of topography, soils, lithology,
 200 vegetation and land-use layers as are appropriate to the specific investigation.
 201 Likewise, any implementation of the FU to study connectivity may use some or all of

202 the connecteins. An example of simplest implementation might be that of Tejedor et
203 al. (2015) in which a river delta can be considered as being composed of
204 neighbouring cells which are in a permanent source state. These cells are connected
205 by channel connecteins defining their potential to transfer water and sediment. In
206 other studies, it might be appropriate to use more connecteins, and have different
207 weightings/probabilities for them (i.e. according to the site-specific environmental
208 conditions and/or the type of fluxes of interest), in order to express cell connectivity
209 (see, for example, Stewart et al., 2014).

210 In the short term, FUs and the linkages among them define the structural connectivity
211 of the system (Turnbull et al., 2008). If the pattern of FUs and their properties are
212 modified by functional linkages (for example vegetation change as a result of access
213 to water, and in the longer term topographic changes in response to sediment
214 movement), then structural changes to connectivity will result from functional
215 responses. Because of this interaction connectivity is an emergent property of the
216 relationship between the two. Exploring how these interactions operate will realise
217 the potential of connectivity to lead to insights of landscape behaviour.

218

219 **Conclusion**

220 Without prior definition of a set of meaningful entities, or fundamental units, analysis
221 of connectivity is unlikely to yield significant geomorphic insights. Here, we have
222 proposed the geomorphic cell as a suitable entity. We have (1) provided a means to
223 identify these cells; (2) defined a terminology for describing cell state; and (3)
224 identified the pathways of connections to and from cells (connecteins). The
225 geomorphic cell is, we argue, an operationalized concept that can be employed in
226 future connectivity research.

227

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230

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