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## A Methodological Synthesis of Self-Paced Reading in Second Language Research

### Abstract

Self-paced reading tests (SPRs) are being increasingly adopted by second language (L2) researchers. Using SPR with L2 populations presents specific challenges and its use is still evolving in L2 research (compared to its longer history in L1 research). Although the topic of several narrative overviews (Keating & Jegerski, 2015; Roberts, 2016), we do not have a comprehensive picture of its usage in L2 research. Building on the growing body of systematic reviews of research practices in applied linguistics (e.g., Liu & Brown, 2015; Plonsky, 2013), we report a methodological synthesis of the rationales, study contexts, and methodological decision-making in L2 SPR research. Our comprehensive search yielded 74 SPRs used in L2 research. Each instrument was coded along 121 parameters including: reported rationales and study characteristics, indicating the scope and nature of L2 SPR research agendas; design and analysis features and reporting practices, determining instrument validity and reliability; and materials transparency, affecting reproducibility and systematicity of agendas. Our findings indicate an urgent need to standardize the use and reporting of this technique, requiring empirical investigation to inform methodological decision-making. We also identify several areas (e.g., study design, sample demographics, instrument construction, data analysis and transparency) where SPR research could be improved to enrich our understanding of L2 processing, reading and learning.

Self-paced reading (SPR) is an online computer-assisted research technique in which participants read sentences, broken into words or segments, at a pace they control by pressing a key. The time elapsed (reaction time, RT) between each keypress is recorded. Underlying this technique is an assumption that participant reaction times indicate their knowledge of and/or sensitivity to linguistic phenomena relative to other phenomena. The technique was originally used to investigate first language (L1) reading mechanisms (Aaronson & Scarborough, 1976), including word recognition in sentential contexts, meaning representation, and real-time parsing (building syntactic structures), among native speakers, usually monolingual adults. The method has been increasingly adopted by researchers interested in L2 phenomena, yet special challenges are presented when using SPR for L2 research. As the applicability and rigour of usage of this technique in L2 research has not been scoped systematically, one of the main purposes of the current study is to identify why and how L2 researchers have used this method. For example, although SPR is thought to offer a window into processes that are largely automatic (i.e., fast and without awareness), L2 learners are often of varying proficiencies, experiences, and ages. They also have a wide range of L2 reading skills and, critically, are more likely than many adult L1 participants to have explicit knowledge of the language due to formal L2 instruction. Thus, the extent to which the nature of knowledge and mechanisms being elicited by SPRs, and the instruments used alongside them, are discussed and operationalised by L2 researchers is worthy of empirical and systematic investigation.

L2 learners are also unique in that they bring to the task of reading a complex set of phenomena due to their highly entrenched L1 representations and processing routines, along with varying degrees of L1 reading expertise. To illustrate, in L2 research an inverse relationship is generally expected between proficiency and the time needed to process words or segments in an SPR test (higher proficiency = *faster*). However, we might also expect

more advanced users to process anomalies or ambiguities more *slowly* than less proficient users who may be less sensitive to the target structure. In addition, we might anticipate certain effects to obtain as a function of different L1s, depending on the particular theory about the role of the L1 in real-time processing, representation and learning of an L2. Other questions specific to L2 research are whether L2 online processing is fundamentally different to L1 processing (e.g. more superficial or ‘shallower’, see below) and the extent to which it is different to *offline* knowledge in the L2 compared to the L1; investigations into these questions inform our understanding of differences between L1 and L2 learning. The extent to which all these issues and relevant participant characteristics have been investigated, operationalised and reported is, therefore, of high importance, and can provide the field of L2 research with data about the purpose and nature of its own practices and on relations between data elicitation, analysis, and theorising.

Our focus on SPR in L2 research had several motivations. First, as noted above, L2 populations present specific areas of interest and, therefore, entail particular methodological decisions and reporting requirements. Second, SPR is increasingly popular. Of course, other methods for investigating L2 knowledge and reading exist, but SPR is often thought to provide certain advantages, no doubt reflected by its increasing popularity. For example, Rapid Serial Visual Presentation (RSVP) (Boo & Conklin, 2015) whereby the researcher, rather than the participant, controls the pace. This is much less commonly used perhaps because SPR, unlike RSVP, leaves control over exposure time to the participant (as in natural reading), and, as such, can concurrently *measure* processing time, thus reflecting *online* cognitive mechanisms (see Just, Carpenter, & Woolley, 1982). Similarly, other methods exist for investigating online processing, such as eye-tracking and Event Related Potentials, but, again, SPR presents some advantages, including: its relative ease of administration and cost; its elicitation of behavioural (rather than neurological data where links between constructs

and their signatures are still relatively nascent and debated, Morgan-Short, 2014); and its comparability to eye-tracking in its capacity to tap into cognitive processes (Just et al. 1982).

(See Keating & Jegerski (2015) for a narrative review of these three online techniques).

Third, our focus allows us to drill down with a high level of detail, in the space available, into substantive and methodological issues that pertain to this particular technique and are specific to L2 research. These include comprehension measures, participant sampling and reporting practices, segmentation decisions in different languages, and the extent and nature of cross-linguistic investigations such as patterns of L1-L2 combinations and different processing phenomena. Fourth, a systematic methodological review is already available for another online processing technique (Lai et al. 2013, for eye-tracking). Thus, given our interest in the unique context of L2 research, we determined the scope of inquiry for our study accordingly, as studies employing SPR with L2 users as participants. Future studies could compare L1 to L2 SPR research, or SPR to RSVP. (See Bowles, 2010, Lai et al., 2013, and Yan, Maeda, Lv, & Ginther, 2015, for similar rationales underpinning systematic methodological reviews of think-alouds, eye-tracking, and elicited imitation, respectively).

With many of the issues and challenges described thus far in mind, Keating and Jegerski (2015) provide particularly useful guidance on SPR, addressing design, administration, data preparation and analysis procedures (see also Jegerski and VanPatten, 2013 and Roberts, 2016, for methodological guidance and commentary on key studies). The present study complements these and other relevant discussions (e.g., Clahsen & Felser, 2006; Jiang, 2012; Juffs & Rodríguez, 2015) to systematically examine the purpose and use of SPR in L2 research. More specifically, we apply a research synthetic/meta-analytic technique, namely methodological synthesis, to understand:

1. The extent to which SPR has been used in L2 research and the research areas that such studies have addressed;

2. The contexts/demographics, design features, and instrumentation used in L2 SPR research;
3. The features of L2 SPR tests and corresponding analyses;
4. The methodological transparency of L2 SPR research.

By investigating these characteristics within a comprehensive body of research using SPR tests, we sought to better understand why and how this technique has been used in L2 research. It is not our intention to criticize the efforts of previous researchers but, rather, to highlight issues and practices that relate to construct validity, reliability, and reproducibility. We use our results to indicate where empirically-grounded standard practices might be useful and also to indicate specific study and participant characteristics that would extend the agendas thus far investigated using SPRs. Our study thereby complements and builds on foundational discussions put forward by others (e.g., Keating & Jegerski, 2015).

### **Research Aims and Rationales for Using SPR in L2 Research**

Methodological syntheses cover a wide range of issues which cannot all be justified in a background section. As noted above, we refer the reader to several existing narrative reviews and guides, which do an excellent job of laying out the substantive and methodological considerations in the use of SPR. Those works were also highly influential in motivating the current study and in the development of our coding scheme. The majority of this background section that follows is, therefore, limited to issues that require further explanation, particularly when greater inferencing was needed to code for features in primary studies, as follows: reported rationales for using SPR; broad research aims; the processing phenomena and linguistic features investigated; the sentence regions analysed; and the nature of processing/knowledge elicited.

### **Overarching Research Aims of SPR Research**

Two broad questions, both central to much of L2 research, have driven the use of SPR: The extent and nature of differences between native and non-native language acquisition and knowledge, and the role of the L1 in L2 development (i.e., cross-linguistic influence). In the former, SPRs have been used to investigate the extent to which L1 (native) and L2 (non-native) processing draws on fundamentally different mechanisms, such as access to and nature of linguistic representations. For example, there is evidence that L2 adult learners access superficial linguistic syntactic information as compared to when processing their L1 (Clahsen & Felser, 2006; Marinis, Roberts, Felser & Clahsen, 2005). SPR data have also been used to show, however, that native-like syntactically-based processing can occur (Dussias, 2003; Juffs, 1998; Williams, Mobius, & Kim, 2001), and that this can depend on, for example, proficiency (Dekydtspotter & Outcalt, 2005; Hopp, 2006), the complexity of syntactic structures, the nature of the task (Havik, Roberts, Van Hout, Schreuder & Haverkort, 2009), and type of learning experience (immersion versus more form-focussed, Pliatsikas & Marinis, 2013a).

A closely related agenda investigates the extent to which the L1 influences L2 processing, learning, or representations. A key principle motivating this line of research is as follows: if the speed of processing is affected on words or structures that share some similarity with the L1 compared to others that do not, we might assume that L1 representations are activated, at some level during reading (Koda, 2005). Such findings are used to suggest that the L1 influenced or continues to influence L2 learning or use, via the lexicon (Bultena, Dijkstra & van Hell, 2014; Ibáñez et al., 2010) or morphosyntax (Dussias, 2003; Hopp, 2009; Jiang, Novokshanova, Masuda & Wang, 2011; Marull, 2015).

In the current study, we systematically review the designs of SPRs that have addressed these broad questions and the processing phenomena they target (e.g., ambiguity

resolution or anomaly detection). We also systematically review the features that have served as the linguistic targets in this line of inquiry.

### **Sentence Processing Phenomena and the Linguistic ‘Critical Regions’**

A large part of L2 sentence processing research is based on the idea that initial parses can be erroneous and re-analysis is required. (This re-analysis has been theorized in various ways, see Van-Gompel, 2013 for a detailed overview). For example, in 1.

1. *“The ticket agent admitted the mistake might not have been caught”* (Dussias & Cramer Scaltz, 2008, p. 505).

the reader could interpret ‘the mistake’ (an ambiguity) as a direct object that completes an SVO parse, and not as a reduced relative clause (i.e. ‘The ticket agent admitted that the mistake...’), until the disambiguation point ‘might’ is reached. This could then result in a re-interpretation of the sentence by parsing the ellipsed ‘that’, observable in slower processing during the ambiguity, or during or after the disambiguation point. Some studies have manipulated the plausibility of the noun following the first verb to investigate temporary ambiguity resolution (known as ‘garden-pathing’). For example, in the version of example 2 with ‘milk’, an initial parse of ‘milk’ as a direct object, rather than as the subject of a new clause, would require re-analysis on encountering ‘disappeared’ (the disambiguation point) to reach the correct interpretation.

2. *“As the girl drank the milk /dog disappeared from the kitchen”* (Roberts & Felser, 2011, p. 328).

In this example, the parser encounters an optionally transitive verb (drink) and so can expect an object. But in the version with ‘dog’, the parser might slow down because this object does not fit with the semantics of ‘drink’ (especially in the absence of punctuation and prosody). However, because it is an implausible direct object of ‘drink’, it is more likely than ‘milk’ to



receive a correct initial parse, i.e. as the subject of an upcoming coordinating clause. Thus, sentences containing nouns that are implausible as objects may result in quicker recovery in the disambiguating region ('disappeared') compared to nouns that initially seemed plausible objects<sup>1</sup>. That is, patterns of reaction times indicate sensitivity to verb semantics and arguments, and this sensitivity may vary as a function of similarity/difference between features in the L1 versus L2, or native/non-nativeness.

The relevant point for the current *methodological* review is that decisions about *which* words or segments to manipulate and analyse should be reported explicitly and be broadly systematic across studies investigating related phenomena. Thus, the choice and reporting of which region to analyse is critical to construct validity in SPR research and, as such, is one of the features we examine in our review.

### **Underlying Constructs: Processing and Knowledge Types.**

Another common technique used to elicit sensitivity to morphosyntax in the input is the grammaticality (or acceptability) judgement test (JT). Compared to JTs, SPRs allow researchers to determine with more precision the moment where difficulty (or processing cost) or facilitation (processing ease) arises, without seeking an explicit and offline judgement. Researchers use this information to infer that a representation (of, for example, morphosyntax or lexicon) is sufficiently well established in a participant's mind for them to demonstrate sensitivity to it, without intentionally drawing on awareness or explicit knowledge (see Vafaei, Suzuki, & Kachisnke, 2016). Thus, one reason that researchers turn to SPRs is that they are thought to provide a window into implicit processing and, possibly, into learners' implicit underlying linguistic representations. However, many L2 researchers recognise a distinction between processing and knowledge. Within this position, investigating online processing *per se* does not predetermine a particular assumption about the type of knowledge or nature of linguistic representations that processing mechanisms draw on.

Consequently, SPRs can and have been used by researchers with a range of theoretical perspectives (e.g., generative, emergentist).

Related to the issue of the constructs being elicited is the fact that SPR, by definition, is both in the written modality (in contrast to self-paced listening; see Padapdopoulou, Tsimpli, & Amvrazis, 2013) and is not time-constrained by the researcher (i.e. untimed, in contrast to RSVP). There is evidence that these test characteristics are more likely to allow access to awareness and even explicit knowledge (Ellis, 2005; Kim & Nam, 2016; Spada, under review; Vafae et al., 2016). In addition, the early stages of reading begin as conscious processes, and can be accounted for by skill acquisition theories (DeKeyser, 2015; Laberge & Samuels, 1974; Tunmer & Nicholson, 2010).

Although not a full account of these issues, we touch upon them as they informed our decision to code certain features: (a) the rationales discussed for using an SPR and (b) the extent to which authors discussed the nature of knowledge and processing (e.g. implicit, explicit, or automatised). They also informed our decision to examine design features which can affect participants' attentional focus and awareness of the target of the test: (c) the use of other instruments (e.g. JTs) in the same study and (d) the focus of the comprehension questions (if used) on particular words in the sentences in relation to the target feature.

### **Methodological Synthesis in Second Language Research**

A number of useful narrative discussions of different online data collection techniques exist. These include Frenck-Mestre (2005) and Roberts and Siyanova-Chanturia (2013) on eye-movement techniques; Kotz (2009) on ERP and fMRI; Bowles (2010) and Leow, Grey, Marijuan, and Moorman (2014) on concurrent think-alouds. Several publications also focus on online sentence processing techniques (Jegerski & VanPatten, 2013; Keating & Jegerski, 2015; Marinis, 2010; Roberts, 2012; Witzel, Witzel & Forster, 2012), with one that focusses uniquely on SPR (Roberts, 2016). The present study differs from these in its exclusive focus

on SPR and, critically, the comprehensive and systematic nature of our approach:  
*methodological synthesis*.

In methodological synthesis, unlike other types of synthetic and meta-analytic research, the focus is not so much on aggregating substantive findings but, rather, on the methods that have produced them. In doing so, this approach draws heavily on the synthetic ethic developing in applied linguistics (Norris & Ortega, 2006); it is also closely tied to the methodological reform movement taking place in the field and efforts to understand and investigate ‘study quality’ (Plonsky, 2013).

Methodological synthesis involves collecting a representative or, ideally, exhaustive sample of studies with a common interest which are then coded systematically for different study features, research practices, and so forth. This procedure has been used to examine methodologies *within* large substantive domains, such as interaction in second language acquisition (SLA) (Plonsky & Gass, 2011), written corrective feedback (Liu & Brown (2015), and task-based learner production (Plonsky & Kim, 2016). Methodological syntheses have also looked *across* domains focusing on a particular technique, procedure, or set of practices, such as designs, analyses, and reporting practices in quantitative research (Plonsky, 2013), classroom experiment designs (Marsden & Torgerson, 2012), factor analysis (Plonsky & Gonulal, 2015), and instrument reporting practices (Derrick, 2016).

The methodological syntheses carried out to date in applied linguistics have provided a number of insights derived from describing and evaluating their domains of inquiry. Findings include underpowered samples, a lack of demographic diversity, and, in terms of analyses, an over-reliance on techniques that are not always appropriate to the data or research questions (Plonsky & Oswald, in press). Also of concern is the lack of transparency about both instrumentation (e.g., Derrick, 2016) and data and analysis reporting practices

which are critical to enable consumers and synthesists to capitalize on reports (Norris & Ortega, 2000).

To our knowledge, only two *systematic* reviews of individual data elicitation techniques in applied linguistics have been conducted to date: Bowles' (2010) meta-analysis of reactivity in think-alouds, and Yan et al.'s (2015) meta-analysis on the validity of elicited imitation tests (see also Lai et al.'s 2013 review on eye-tracking in the wider domain of education research). These studies provide comprehensive data on the methods they target. No reviews of this nature exist for SPR. The current synthesis aims to produce such a review by providing a comprehensive examination of the amount, purpose, scope and nature of usage, reporting, and transparency of SPRs.

### **Research Questions**

The ultimate goal of the study was to provide an empirical evidence base regarding the use of SPR L2 research that could help to improve the rigour and scope of future research. Within the domain of L2 research reported in journal articles, the following research questions guided the study:

RQ1: How much L2 research using SPR is there, and what are its stated aims and rationales?

RQ2: What are the SPR study and participant characteristics?

RQ3: What are the SPR instrument design characteristics?

RQ4: How are SPR data cleaning and statistical procedures carried out and reported?

RQ5: What is the extent of SPR instrument transparency?

## Method

The present study adheres to best practices in research synthesis at all stages, including searching for studies, clarifying inclusion/exclusion criteria, piloting the coding scheme, and analysing synthetic data.

### Study Selection.

We aimed to find all peer-reviewed journal articles reporting the use of one or more SPRs in a study investigating second, foreign or bilingual (but not child bilingual) languages. Following Plonsky and Brown (2015), we searched a variety of sources: Linguistics and Language Behaviour Abstracts (LLBA), PsycInfo, IRIS (Marsden, Mackey & Plonsky, 2016), and the L2 Research Corpus (L2RC, a collection of around 8000 articles from 16 journals from 1980 until the present day held by AUTHOR). There was no *a priori* start date; the search concluded in March 2016 (this did not include studies that were only in online format by this date as LLBA and PsycInfo do not index them). Any studies published in journals were eligible for inclusion. We recognise that this may render our syntheses susceptible to a certain type of publication bias. A number of book chapters (e.g., Bannai, 2011; Fernández & Souza, 2016; Suda, 2015; White & Juffs, 1998) and eight doctoral dissertations were excluded. However, we believe that our journal-based sample is representative of the population of research employing SPR; further, this approach provides for enhanced systematicity and replicability (Plonsky & Gass 2011; Plonsky & Derrick, 2016). Also, publication bias was less of a concern in our study as we were not aggregating effects as in meta-analysis. In addition, we wished to focus on usage and reporting of SPRs that have been approved through the journal peer review system.

After various trials, our ultimate search terms for LLBA and PsycInfo were: (self paced reading OR subject paced reading OR moving window) AND (learning OR acquisition

OR biling\* OR language OR multiling\*), with 'peer-reviewed' checked. This resulted in 384 hits in LLBA and 250 hits in PsycInfo. L2RC yielded an additional 14 studies that had not been found by LLBA or PsycInfo because those databases do not search the full texts (just the title, abstract, and keywords). After eliminating duplicates, and excluding any studies that focused only on L1 acquisition or child bilinguals, the final sample consisted of 64 studies, reporting a total of 74 SPR tests. Included studies are marked in the references with a \*.

### **Coding.**

Our data collection instrument, a coding scheme, can be found in full in Appendix A and, upon publication, on the IRIS database ([iris-database.org](http://iris-database.org)). Most items were categorical (e.g., absent/present; English/French/Spanish etc.; gender/tense etc.), although a few allowed for open-ended text (e.g., rationales for SPR use).

The scheme was developed through a process of rigorous piloting by the authors, involving ten iterations, with additions and refinements of categories, definitions, and values within coding parameters at each stage. The initial scheme was informed by previous literature (Keating & Jegerski, 2015; Jegerski & VanPatten, 2013; Roberts, 2016) and was used by the three authors to independently code two randomly selected studies (Amato & MacDonald, 2010; Roberts & Liszka, 2013). Disagreements were resolved and unclear codes amended. The revised scheme was then used by the second author to code nine studies, and further refinements were discussed with the first author. Each study was then coded by the second author.

To check coding reliability, a second coder, who was not involved in the development of the coding scheme but who had considerable training and experience in meta-analytic research, was trained to use the scheme. He then independently coded 15 (20%) of the 74 SPRs. These SPRs were chosen quasi-randomly, ensuring they came from different studies. For just six of the 121 coding categories agreement fell below 75%; for these, the first coder

either amended her coding or requested the second coder re-consider. We then re-calculated agreement and all categories reached at least 80%. In terms of Cohen's kappa ( $\kappa$ ), out of 121 coding parameters (113 of which allowed  $\kappa$  to be calculated) all  $\kappa \geq 0.63$ , with just six exceptions exhibiting  $\kappa$  of 0.48, 0.31, 0.52, 0.55, 0.44, and 0.36, but high agreement rates of 93%, 80%, 93%, 87%, 87%, and 80% respectively. We attribute these apparent discrepancies between percentage agreement and kappas to the very high consistency of values within those items (e.g. almost all 'zeros'), which leads to overly conservative  $\kappa$  estimates. The final overall mean agreement was 94%, with a mean inter-rater reliability of  $\kappa = 0.86$ . See Appendix A for % agreement and  $\kappa$  on each coding category. To benchmark this against other methodological syntheses, Plonsky (2013) reported an inter-rater reliability agreement rate of 82%,  $\kappa = .56$ , Plonsky and Derrick (2016)  $\kappa = .74$ , and AUTHOR (XXXX) 89% agreement and mean  $\kappa = 0.80$ .

### Findings and Discussion

We present our findings below organized according to our research questions. Given the wide-range of issues covered by methodological syntheses and space constraints, we also include most of our discussion in this section. This approach, though somewhat non-traditional, allows us to present interpretations of our findings in closer proximity to their associated data. Given the number of unique quantitative results, we felt that this style of presentation would be helpful and more efficient than the standard approach.

#### **RQ1: How much L2 research using SPR is there, and what are its stated aims and rationales?**

Our search revealed a total of 74 SPRs in 64 individual articles (seven of which used multiple SPRs) used in L2 research. The majority of these studies ( $k = 42$ ) were published since 2010, illustrating the increasing popularity of this technique (Figure 1). The earliest example of L2 SPR appears in Juffs and Harrington (1995), approximately 20 years after the early L1 SPR

studies. Our sample spans 21 journals, with most published in *Applied Psycholinguistics* (14), *Bilingualism: Language and Cognition* (9) and *Studies in Second Language Acquisition* (9), *Language Learning* (8), *Second Language Research* (8), and a small number in other journals.

<<INSERT FIGURE 1 ABOUT HERE>>

**Rationales given for using SPR: Knowledge and processing.** A total of 52 studies included some rationale for using an SPR (beyond a general interest in examining online processing). We found a total of 129 individual (tokens of) rationales. These were first coded ‘bottom-up’ to extract key words, and we then searched for these key words across all articles. This produced the seven main themes, shown in Table 1. Two broad types of rationales emerged: one relating to learner knowledge (40 tokens across 26 articles) and one relating to processing mechanisms or phenomena (89 tokens across 57 articles). 26 articles referred to both knowledge and processing. 23 articles used the word “processing” alone to explain their use of the technique.

<<INSERT TABLE 1 ABOUT HERE>>

Although many rationales were given related to implicit knowledge and processing, we found little in-depth discussion of the nature of knowledge or processing, such as challenges to the notion that SPRs in L2 research are a measure of implicit knowledge, and no discussion of a potential role for awareness or attention. When explicit knowledge was mentioned it was in relation to SPR reducing access to it or to other measures being used in the same study to elicit a different type of knowledge to the SPR. This perhaps reflects a consensus that reactions in SPRs are deemed to operate below the level of consciousness, though empirical validation of this would be useful. For example, some have argued that conscious thought can occur 300 ms after registration of a stimulus (Dehaene, 2014). SPR has



clearly been used to investigate relations between offline knowledge and online processing, as reflected in the 29 studies mentioning both in their rationales, and this was often manifested in studies that incorporated other measures alongside an SPR. However, we did not find any studies looking at the concurrent development of processing and L2 knowledge over time (discussed below).

**The broad aims and processing phenomena investigated.** The key aim for 34 out of the 64 studies was to investigate differences between native and non-native online processing. The vast majority of these (30/34) used a native comparison group within the same study (the remaining four compared their findings to previous studies that used different SPRs or other measures).

21 studies investigated both cross-linguistic influence and differences between native and non-native online processing. 19 of these used a native-speaker group for comparison, and 11 used different L1 groups for comparison.

Five studies had the sole key aim of investigating cross-linguistic influence: one of these had more than one L1 group as a between-subject factor, whereas four addressed this question without an L1 comparison (three used SPRs in the participants' L1 and L2, one manipulated the similarity of L2 verbs to those in the L1). One (of these five) also had a native comparison group.

Four studies had other aims: one used an artificial language to investigate the early stages of acquisition, one used novel words to look at vocabulary learning, one investigated the effect of translation and repetition, and another validated measures of implicit and explicit knowledge.

Of the 74 SPR tests, the majority (40) were used to investigate the processing and resolution of ambiguities (13 global and 27 local/temporary/garden path ambiguities). Global

ambiguities remain after the reader has processed the entire sentence (e.g. “Peter fell in love with the daughter of the psychologist who studied in California”, Dussias, 2003, p. 541), whereas local/garden path ambiguities result in an initial syntactic misanalysis and are then disambiguated at later a point in the sentence (e.g. “After Bill drank the water proved to be poisoned”, Roberts, 2016, p. 59).

22 SPRs were used to investigate the processing of (sensitivity to) anomalies, seven of which investigated multiple features (e.g. gender and number, Sagarra & Herschensohn, 2010; 2011). Of those investigating one feature, the most common was gender ( $k = 6$ ), then number ( $k = 4$ ). Other commonly investigated features (some with other features) included tense (3), aspect (2), person (4), number (7).

Twelve other SPR tests did not clearly fall into any of those three categories (global ambiguity, local ambiguity or anomaly). Three investigated syntactic distance dependency (Amato & MacDonald, 2010; Coughlin & Tremblay, 2013; Marinis et al., 2005). Others investigated how cognates affect processing (Bultena et al., 2014; Ibáñez et al., 2010), the effect of text type on reading speed (Lazarte & Barry, 2008; Yamashita & Ichikawa, 2010), the plausibility of collocations (Lim & Christianson, 2013), and novel word learning (Bordag, Kirschenbaum, Tschirner, & Opitz, 2015).

**Other instrumentation used alongside SPRs.** Of the 74 SPRs, 57 (77%) were used in coordination with other instruments (Table 2).

<<INSERT TABLE 2 ABOUT HERE>>

Almost half of the studies (31) used a JT, enabling researchers to investigate relationships between online processing and offline performance. 14/31 did so in an ‘integrated’ fashion. That is, a JT item was provided after each SPR trial, prompting participants to indicate acceptability or plausibility of some morphosyntactic feature. This is a

critical design decision, as orienting attention on particular features, in ways that participants might anticipate across trials, may affect response times and awareness of the target feature being tested. For example, Havik et al. (2009) found that when L2 learners (particularly those with high working-memory) made judgements after trials, they manifested similar patterns of RTs to native speakers.

JTs were also administered *after* SPR tests in 15 studies, and just two studies preceded an SPR with a JT. Study design in this respect seemed largely in line with Keating and Jegerski's (2015) observation that explicit JTs should be administered after SPRs. The rationale behind this is that SPRs that precede or are integrated may alert the participants to a study's target.

In fact, however, none of the studies used a measure to determine the nature or magnitude of awareness during the SPR tests, such as retrospective subjective measures or knowledge source judgments (Rebuschat, 2013). Thus, despite SPRs being untimed and written, and tapping into a process – reading - that is explicit in its early stages, it remains for future research to investigate the extent to which participants are aware during SPRs of the linguistic focus of the study. Collecting such information will be especially important if SPRs are to be considered measures of implicit processing or knowledge (see Vafaei et al., 2016).

## **RQ2: What are the SPR study and participant characteristics?**

**Contexts and languages.** 34 of the 74 SPRs were used in SL and 33 in FL contexts, and six were used in two or more sites with both contexts. One study investigated acquisition using an artificial language. The vast majority of participants were university students (59/74 SPRs). 54 studies included instructed language learners and at least two were students from education or applied linguistics departments. Such participants likely possess a specific nature of language competence (Hulstijn, 2015) and above average meta-linguistic

knowledge (Roehr, 2008), which may affect the nature and speed of reading processes (as suggested by Keating & Jegerski, 2015, p. 27).

Table 3 shows the range and frequency of L1 and target languages investigated. 14 of the 20 studies using learners with different L1s used L1 as a between subject variable (Table 4). The other six studies grouped learners into a single group, regardless of their L1.

<<INSERT TABLE 3 ABOUT HERE>>

<<INSERT TABLE 4 ABOUT HERE>>

**Participant sample sizes.** Whole study sample sizes ranged from 12 (Macizo & Bajo, 2006) to 133 (Sagarra & Herschensohn, 2010), with a mean of 46.58 (SD 26.12, median 43.5). Subgroup sample sizes ranged from 10-69, with a mean of 26.91 (SD 11.15, median 24). This is somewhat higher than Plonsky's (2013) finding, from 606 primary studies, of a median subgroup sample size of 19, and lower than the median study sample size of 60<sup>3</sup>. This difference might be due in part to the fact that administering SPRs can be done relatively easily in groups in labs. None of the studies reported an *a priori* power analysis, and very few reported effect sizes which, among other benefits, would facilitate subsequent power analyses.

Of the 36 SPRs used to compare multiple groups, 14 had the same sample size across groups. The mean difference between subsample sizes was 8.9 (SD 10.4, range 1-50). Such sample size differences may require specific statistical techniques (e.g. Games Howell post-hoc paired comparison tests for non-equal sample sizes, or non-parametric tests). We did not find any studies that explicitly addressed unequal sample sizes in their analyses.

**Participant proficiencies and study design.** Participant groups were labelled by the studies' authors as beginner (6), intermediate (18), advanced (53), near native (6) and bilingual (10)<sup>4</sup>. This is not typical of the general propensity for L2 research to over-sample

intermediate learners (see Plonsky, 2013) and shows the relative neglect of online processing research among lower proficiency levels. As well as ease of participant recruitment, this might be for several reasons. One might be the underpinning assumption that SPRs tap into comprehension processes, and successful comprehension is more likely among higher proficiencies. Another might be that one of the research aims that drove the use of SPR among L2 researchers (i.e. fundamental differences between native and non-native processing) is thought to require high proficiency/high exposure to have given the SLA process maximum opportunity to reach an ‘end-state’. However, it is of course possible, and of theoretical and pedagogical interest, to investigate online processing among less proficient learners (for example, manipulating the comprehensibility of the stimuli). In our study sample, a relatively low number (16/64 studies) used a cross-sectional design using proficiency as a between-group factor. The majority of these compared what the authors referred to as ‘intermediate’ and ‘advanced’ learners (12 studies).

We found no examples of longitudinal research using SPR defined as within-subject comparisons over time on the same SPR<sup>5</sup>. However, the number (16) of *cross-sectional* studies may reflect a growing interest in the developmental trajectory of online processing. Nevertheless, this number is surprisingly low, given the interest in the role of processing as a driver in the acquisition process (Chang et al., 2006; O’Grady 2005; Seidenberg & MacDonald, 1999; Philips & Ehrenhofer, 2015). In our studies, we found little discussion of interfaces between processing/learning/knowledge, perhaps a reflection of SPRs being initially employed in L2 research under the premise that offline knowledge (such as access to a Universal Grammar, often elicited via JTs) was distinct from online behaviours. Thus, it remains to be explored the extent to which SPR has potential for investigating whether processing and anticipatory effects have a causal role in driving and constraining acquisition,

or are more of a 'symptom/product' of other acquisition mechanisms (e.g., Foucart, 2015; Huettig & Mani, 2016; Kaan, 2015).

We add a note of caution to our findings about proficiency levels. In terms of the measurement of proficiency, it was reassuring to find only three studies that just used educational level to *assume* proficiency and none that just used self-rating (i.e., the vast majority of studies used a measure to select or group participants). 34 studies reported one proficiency indicator, detailed in Table 5, and the remaining studies used more than one.

<<INSERT TABLE 5 ABOUT HERE>>

A good number of studies used standardised proficiency tests, though there was a wide range, even within one language: For English: TOEFL (3), IELTS (3), The MELAB (3), Cambridge Proficiency Test (2). Across all articles, 36% did not report using a standardised test. 33% used a measure adapted or designed specifically for the study, though did not report native speaker scores or whether it was a single test or a battery of tests, indicators of measurement validity and reliability (Hulstijn, 2010). Determining proficiency level remains an important endeavour to help comparability and replicability across primary studies (as noted by Bowden, 2016; Norris & Ortega, 2012).

### **RQ3: What are the SPR instrument design characteristics?**

**Development of stimuli.** 25 SPRs used or adapted materials that had been used in previous published studies, perhaps reflecting a relatively strong systematicity within research agendas using SPR and/or a healthy collaborative ethic within the SPR community (though see section on transparency below).

30 SPRs were reported as having been checked for plausibility, acceptability or grammaticality before the main study, as part of stimuli development. We found inconsistencies in nomenclature of this stage of stimuli design. For example, 3/30 referred to

these procedures as “norming”, two as “piloting”, one as “base-line” tests. Of the 22 studies investigating anomalies, just three reported checking stimuli prior to testing, one altering the stimuli to be “more natural” or “unambiguously grammatical or ungrammatical” after NS feedback (Vafae et al. 2016, p.17). Checking perceived naturalness with NSs could be particularly important if using this population as a comparison: NS sensitivity to unnatural (though grammatical) language may affect RTs.

Frequency of lexical items across conditions (such as grammatical/ ungrammatical, plausible/ implausible, high/ low attachment) can also affect RTs (as discussed by Keating & Jegerski, 2015). In 26/64 studies, lexical frequency was addressed in some way, either by design, descriptively or statistically. For example, 16 studies in the sample consulted corpora to select words from specific frequency bands.

*Non-critical items.* Keating and Jegerski (2015) define distractors as “intentionally designed to contain a specific linguistic form or structure [...] to counterbalance some characteristic of the critical stimuli that might otherwise make them stand out to the participant” (p. 16). Fillers are defined as “unrelated sentences that are not intended to elicit any specific type of processing effects” (p. 16). In our sample, nomenclature varied, with the terms “filler” and “distractor” being used interchangeably across studies and sometimes within studies. In Table 6 we report the frequency of terms as used by the authors.

<<INSERT TABLE 6 ABOUT HERE>>

Across all studies, the mean number of critical items was 43.26 (SD 32.26), of fillers and/or distractors 50.55 (SD 43.45), and practice items 5.01 (SD 5.20).

Using too few non-critical compared to critical items may raise awareness of the experimental target. 57 out of the 62 SPRs which had non-critical items included 50% or more non-critical items (fillers and/or distractors) compared to critical items, which falls in

line with Keating and Jegerski's suggestion of a 1:2 (or higher) ratio of non-critical to critical items. However, the mean difference between numbers of critical and non-critical items (fillers or distractors) was -7.30, SD 56.92, ranging from -224 to 148. This range indicates a need for research to investigate the effects that this ratio has on results, with a view to providing an evidence base for more standardisation in this design decision.

***Length of stimuli.*** In addition to overall instrument length, which may cause participant fatigue and thereby threaten a study's internal validity, other design characteristics that can affect construct validity include the number of items and lists in relation to the number of conditions, and the length of sentences, segments, words, and critical regions.

The recommended ratio of eight to twelve items per condition (Keating & Jegerski 2015) is thought to address the fact that too many items per condition can fatigue participants or make them accustomed to structures or features and thus show less sensitivity to the manipulations<sup>6</sup>. On the other hand, too few items per condition does not provide sufficient data for many statistical procedures. According to this recommendation, a study with four conditions requires 32-48 items; this was met by 15 of the 44 studies that used four conditions. The others with four conditions used either between six-31 or 49-114. The range of items per condition is presented in Table 7, and again demonstrates that an evidence base for standardisation would be helpful.

<<INSERT TABLE 7 ABOUT HERE>>

***Sentence length.*** Sentence length can affect processing ease as the start and end of sentences are thought to place the least burden on working memory (Pienemann & Kessler, 2011). However, sentence length was not reported in almost half the studies (30/64), so it was not always possible to ascertain whether the analysed words occurred at the same point in each sentence, particularly problematic for 22 of these 30 studies that did not provide the full



stimuli. In studies that reported sentence length, lengths were not always uniform across trials within a study (e.g., one study reported sentences ranging from 9-15 words).

***Length of presented segments (including single words).*** The most common presentation length was word-by-word (46/74). Out of the 28 SPRs that used multi-word segments, five stated that individual segment length had been controlled, and six that the number of segments had been controlled. The other 17 did not report the length or number of segments, again particularly problematic when full stimuli are not provided.

***Word length.*** 5/74 (7%) reported controlling for the number of syllables in each word. Four of these five gave a range of syllables per word, such as 2-4 (Sagarra & Herschensohn, 2010). One used a *t*-test to compare syllable length (Macizo & Bajo, 2006).

***Comprehension questions: Attentional focus during sentence processing.*** A central tenet of SPR tests is that participants try to comprehend what they are reading. This is perhaps particularly important if the intention is to elicit implicit processing and knowledge, so participants may be conscious of extracting meaning but not structure or form. The majority of the SPRs included comprehension questions (CQs) ( $k = 57$ , 77%), with various rationales: 18 gave a rationale of ‘checking understanding’, 17 of ‘ensuring that participants were paying attention/on task’, five gave both reasons, and 17 gave no (clear) reason. Keating and Jegerski also recommend analysing RTs on responses to CQs. Two in our sample of studies analysed reaction times on all CQs and three on CQs following fillers only.

CQs can repeatedly focus participants’ attention on specific regions of sentences by repeatedly, over many trials, asking about the meaning of the same region of the sentence. Thus, the region they focus participants’ attention on is critical for construct validity (as raised awareness about specific regions can affect RTs and claims about implicitness or orientation to sentential meaning). A few researchers have intentionally aimed to focus

participants' attention on and check interpretation of the region that is analysed for RTs. Others aim to do the opposite. That is, the CQ is not intended to draw (repeated) attention to the regions that are analysed, so that slower RTs cannot be ascribed to paying special attention for the purpose of answering the CQ. To investigate these features, we set out to examine the CQs in relation to the analysed regions. 25 of the 50 studies using CQs provided no example of the CQs (seven of these described them as yes/no questions). Of the other 25 studies, 21 provided one example of a CQ that followed a critical trial. However, one isolated example (or even two) does not enable the reader to determine the nature of the CQs across the critical trials or whole test. Four studies provided multiple examples. These are given in Appendix B, alongside the SPR trial that the CQ followed, as well as the critical region analysed (CRA), and a commentary is provided on the relation between the CQ and the CRA. This small subset of studies showed a mixed picture of design choices. One set of CQs focused on words within the CRA, as intended in the study, because interpretation of the CRA was central to the research questions; another set of CQs also focused on words within the CRA though it was not clear whether this was intentional; one set sometimes focused on words in the analysed region and sometimes not; and for one set, the focus of the CQ was not discernible.

Despite the lack of attention and clarity on this issue, it is central to the construct validity of SPR tests, affecting claims about whether the critical region was understood, and whether participants became aware of the target feature or (de)sensitised to a particular anomaly. The nature of the CQ also determines decisions about analysing only trials where the CQ was answered correctly. Of most relevance here is that we were only able to discern relevant details from those studies that provided sufficient examples of their stimuli.

To sum up findings related to RQ3, it seems that having full sets of stimuli and CQs available would allow researchers, reviewers, editors, and would-be replicators to better evaluate study and instrument quality, compare across studies, and design future SPRs.

**RQ4: How are SPR data cleaning and statistical procedures carried out and reported?**

**Data cleaning.** SPR data must be examined for statistical outliers as outliers can heavily influence subsequent analyses, especially for Null Hypothesis Significance Tests, such as ANOVAs, commonly applied to SPR data. We therefore coded for any discernible patterns or norms of practice. Of the 48 studies that reported removing outliers, in order to identify those outliers 20 studies used participant RTs, 11 used item RTs, and the other 17 used both participant and item RTs. 20 of these 48 studies reported using SDs to identify outliers, with a mode 2.5 SD ( $k=8/20$ ), 17 studies used pre-determined millisecond cut-off ranges, and 11 studies used both SDs and cut-offs. The smallest lower cut-off was for RTs < 100ms and the largest upper limit was RTs > 25,000ms (for total trials). The modal range was 200ms to 2,000ms (4 studies), and the modal lower cut-off was <200ms (3 studies). The mean reading speed of a native speaker has been found to be around 250ms/word (Milton & Fitzpatrick, 2013), and such information might inform future empirical investigations into principled elimination of unnaturally fast key presses (as suggested by Conroy & Cupples, 2010). The upper cut-off ranged from >2,000ms to 20,000ms, with wide variability across studies, with a mode of >2,000ms (7 studies). As proficiency affects reading speed, it might be that cut-offs vary between studies using participants of different proficiencies, although no such discernible pattern emerged from our study sample: 5 of the 7 studies using RTs>2,000ms tested advanced learners; in the 6 studies with intermediate participants that used RTs to trim data, the upper cut-off ranged from >2,000ms to >20,000ms; in the three studies testing beginners, the upper cut-off ranged from >2,000 to >3,500.

In sum, research with SPRs would benefit from empirically based norms for the identification of outliers, as variation in this respect could affect the comparability of results between studies.

Incorrect responses to CQs were also used to remove trials or participants. 22 out of the 50 studies using CQs analysed only the items with correct responses, whereas 14 studies gave a specific accuracy rate (e.g., over 80%) for a participant's data to be included. 9 studies analysed all data regardless of the correctness of responses. Those that *included* data for trials followed by incorrect responses provided reasons such as: (a) the L2 participants' responses did not differ significantly from NSs (Hopp, 2016); (b) to avoid a high number of missing values (Jegerski, 2016); and (c) not all items were followed by CQs (Rah & Adone, 2010).

Although two studies have investigated the relationship between CQ error rate and RTs (Keating, Jegerski, & VanPatten, 2016; Xu, 2014), in general, the practice of eliminating trials with incorrect responses probably relates to the tendency, observed earlier, to investigate online processing where comprehension is high. Investigating processing where there are comprehension difficulties (a frequent phenomenon for L2 learners) remains a relatively neglected area of research.

**Statistics used.** Reaction time data from 58 SPRs were analysed using analyses of variance (ANOVA) to identify within-subject effects (e.g., low vs high attachment, anomalous vs correct, L1 vs L2) and between-group effects (e.g., proficiencies, L1s, or learning contexts). This practice aligns with findings that point to the widespread dominance of ANOVA and its variants when other choices might be more appropriate (Plonsky & Oswald, in press). Other analyses of RT data included: general linear mixed effects models ( $k=7$  SPRs);  $t$ -tests ( $k=7$ ), correlations with confirmatory factor analysis ( $k=1$ ). Note that if using mixed effects models the routine removal of outliers is not always necessary (Baayen & Milin, 2010).

Statistical reporting for the majority of SPRs (57/74) did not include effect sizes. 11 provided eta squared ( $\eta^2$ ) or partial  $\eta^2$  (the often flawed default provided by SPSS) following the ANOVA, and just two studies reported Cohen's  $d$  (Kato, 2009; Vafaei et al., 2016). Eta squared and partial eta squared provide information about the amount of variance accounted for by the omnibus test (e.g., ANOVA) (how much group membership explains the dependent variable), but there are complications surrounding the use and interpretation of eta squared (Larson-Hall, 2016, p. 149; see also Norouzian & Plonsky, in press). Most importantly, the  $d$  family of effect sizes provides information about the paired comparisons that are usually of most theoretical interest (and indeed omnibus effects are usually broken down into paired comparisons anyway). Whilst not currently standard practice in SPR research, effect sizes of mean differences between groups or conditions determines the magnitude of difference. This is especially informative where comparisons should receive a nuanced rather than a dichotomous interpretation, given the multitude of factors we know to affect SLA. Rather than an 'absence vs presence' of L1 influence on L2 processing, or 'difference vs. no difference' between native and non-native processing, effect sizes such as  $d$  enable us to interpret the relative size of differences in one study (with one set of learners, on one linguistic feature) compared to another. Thus far, very few meta-analyses have been done on studies using reaction times (and those that have included effect sizes for RT data needed to extract information from primary studies in order to calculate them (e.g. Adesope, Lanvin, Thompson & Ungerleider, 2010)).

Providing effect sizes in future studies based on SPR data would greatly facilitate meta-analyses, power analyses, cross-study comparisons, and more nuanced interpretations. Another concern is that we do not yet have a feel for interpreting effect sizes in studies using reaction times from SPRs, for example whether they are 'small', 'medium', or 'large' (relative to the general tendencies presented by Cohen, 1988, or L2 field-specific ones by

Plonsky & Oswald, 2014). As we know that different types of instrument tend to yield different effect sizes, this is an important consideration for future research.

**Segments analysed.** Decisions about which parts of a sentence are predicted to reveal effects directly relate to the construct validity of the elicitation technique. Analyses are carried out on different segments depending on which regions are deemed critical and whether researchers consider effects may be observed pre- or post- critical regions (e.g., spillover effects). We documented the nature of these choices, as a function of the processing and linguistic phenomena under investigation, to determine the level of consistency across studies. Nomenclature was not always consistent. For instance, the term “spillover” was used to refer to a “critical region”, as in Omaki and Schulz (2011, p. 577) and to a “post-critical region”, as by Coughlin and Tremblay (2013, p. 629).

In order to better understand the use of such terms and the phenomena they represent, we extracted the examples of regions analysed from a subset of articles. We selected studies that investigated the same processing phenomena with the same (or comparable) linguistic feature<sup>8</sup>. Again, we emphasise we do not aim to criticise any individual study, but rather to draw together different analysis choices with a view to illustrating potential benefits of methodological transparency and replication.

**Local ambiguity.** 27 SPRs investigated local ambiguity resolution (or garden path), in which the stimuli have an ambiguous region followed by a disambiguating region<sup>9</sup>. Out of these, we found three groups of comparable studies: four focused on subject/object ambiguity, four on antecedent attachment preferences in relative clauses, and two on reduced relative clauses. See Appendix C for the segments that were presented and analysed in each of these groups, with detailed commentary comparing presentation and analysis decisions within each group of related studies. A few studies e.g. (Felser, Roberts, Marinis, & Gross 2003; Papadopoulou & Clahsen, 2003) reported having carried out analyses on all regions and,

finding no statistical significance (as predicted) prior to the ambiguous region, reported the inferential statistics only from the ambiguous region onwards. Some studies (e.g., Marinis et al., 2005; Felser & Roberts, 2011) provided descriptive statistics (numerically or graphically, respectively) for all regions and carried out inferential statistics only for particular regions, e.g. the ambiguity onwards. Others presented data and analyses only for the regions that were either pre-determined or selected after data collection on the basis of descriptive statistics.

Our close examination of groups of comparable studies (Appendix C) revealed some key similarities but also a number of important differences in analysis regions: five differences between the four studies focusing on subject/object ambiguity; four differences between the three studies on attachment preferences; two differences between the two studies on reduced relative clauses.

**Global ambiguity.** Out of 13 SPRs used to investigate global ambiguity resolution, we could compare three pairs of studies: one pair focusing on subject-object assignment in German, one pair on subject-object *wh*-questions in English, and one pair on subject-object *wh*-questions in German. See Appendix D for the segments presented and analysed, with detailed commentary. The pair of studies focussing on *wh*-questions in English analysed directly comparable regions, whereas the other two pairs each had two differences in their presentation and analysis decisions.

Whilst analysis decisions will inevitably vary to some extent between studies, more similarities might be hoped for so as to allow better cross-study comparisons and future meta-analyses. We found comparability to be threatened for a number of reasons. For example, when the presentation format varied (word by word vs multi-word segments, or different multi-word segments), then in one study RTs were the sum (or mean) for one group of words whereas in another study RTs were for different or individual words. These are critical design decisions that can affect parsing behaviours (for discussion, see De Vincenzi & Job, 1995;

Gilboy & Sopena, 1996; MacDonald, 1994). Another problem is that where one study found effects in one region, another study did not analyse the equivalent region. One possible way forward to both enhance comparability and not stifle exploratory analyses is, when reporting results, to clearly separate confirmatory analyses, which allow comparison with previous studies, from exploratory analyses, which present new analyses (see Chambers, 2013).

#### **RQ5: What is the extent of SPR instrument transparency?**

One of several aspects of transparency that we coded for is the provision of stimuli. The majority of studies (49/64) had only a brief example of stimuli available (e.g., one or two items). Between 2000-2009 27% of SPRs were available in full in the article, and the remaining 73% gave just examples in the article (i.e. accessible with journal subscription). Since 2010 the proportion of articles providing full stimuli has risen to 46%, though for 54% of articles only example items were available. Table 8 illustrates the transparency of materials.

As yet, no clear relationship between publication outlet and instrument availability is observable. However, this may change as more major journals begin to recognize authors for fully open methodological transparency, by, for example, adopting the Centre for Open Science badge scheme (Blohowiak et al., 2016) which has clearly been shown to increase the long-term availability of materials and data (Kidwell et al., 2016).

As can be seen in Table 8, as a follow-up to the current study we sought to establish a ‘special collection’ of SPR materials on IRIS (Marsden et al., 2016) in order to improve materials transparency in this domain. The positive response we had is testimony to the willingness of researchers to engage in collaborative effort. We hope that this collection will serve as a reference corpus for future syntheses and substantive meta-analyses and as a research methods training tool, as well as stimulating and facilitating replication.



<<INSERT TABLE 8 ABOUT HERE>>

Another important feature of transparent reporting about instrumentation is that of measurement reliability. We found two studies that reported reliability coefficients for the reaction times, using Cronbach alpha as appropriate for continuous data. Improved reporting of reliability would help our understanding of measurements taken with SPRs, the error in the data, the psychometric properties of SPRs, and future instrument development.

### **Further Discussion and Future Directions**

Our review identified a good deal of consistency in terms of research aims and systematicity of agendas (across languages, processing phenomena, participant proficiencies and ages, and linguistic features). By contrast, this review also found massive variability in the SPRs used to investigate and advance those agendas. To name just a few: Theoretical positions and assumptions to motivate the use of SPRs were occasionally—but certainly not uniformly—detailed explicitly. Reporting of some participant characteristics could also be patchy. SPRs were found to be used both with and without judgement tasks and with or without comprehension questions, not always with a clear rationale to justify these choices. Features of the instruments employed (e.g., number of items, sentence length, segment length, item:condition ratios) were regularly omitted, as were critical data such as measures of internal consistency (i.e., reliability) and effect sizes. Data cleaning procedures varied widely, and regions of analysis in some related studies were also disparate; both of these issues can directly affect the outcomes of an analysis. Equally concerning as the inconsistency and opaqueness that we observed is our poor understanding of how these and many other aspects of SPR design might actually impact study results. We note that Keating and Jegerski (2015) had warned of a number of these issues. The current study goes beyond

those comments, providing quantitative data based on a systematic synthesis of published empirical work to illustrate their pervasiveness and severity.

In concluding the paper, we indicate several directions for future use of this technique that, we believe, will lead to more informative SPR-based findings and interpretations. In doing so, we hasten to note that we are building on the work of Keating and Jegerski but, again, with the empirical support of the current review to motivate our comments.

### **Enhancing the Scope of Research Agendas Using SPR**

Our data on study design and participant characteristics suggest several avenues that are currently largely neglected.

Sample demographics of SPR studies are skewed in line with L2 research in general, with a propensity to investigate English as an L1 or L2 (Norris & Ortega, 2000; Plonsky, 2013), and we found no evidence to suggest that trends in this respect are changing over time. Similarly, participants tended to be university students, often from language, linguistics, psychology or education departments, thus limiting our understanding of L2 online reading processes from SPR data to the more highly educated and possibly meta-linguistically aware sections of society.

Perhaps due to the fact that SPRs were initially used in adult L1 research, successful comprehension of every sentence has been assumed to be necessary or at least desirable in most L2 SPR studies, with most researchers removing trials with incorrect responses to CQs. The extent to which sensitivity to morphosyntax changes with comprehension difficulty (e.g., less familiar lexical items) or individual differences (e.g., working memory capacity) seems worthy of future empirical effort (Hopp, 2016; Sagarra, 2008; VanPatten, 2015). One consequence of this is that we found insufficient numbers of studies that would enable a

meta-analysis of the relationship between proficiency and processing phenomena. This was partly because there were only 17 studies that compared different proficiencies, with a limited range of proficiencies (advanced/near native vs native) and with little homogeneity of measures (as noted by Norris & Ortega, 2003 and Wu & Ortega, 2013); for example, only five of the studies that investigated proficiency used a standardised proficiency test to provide a reliable benchmark for comparisons.

Nevertheless, a bright spot in our findings was relatively high consistency in terms of the two main research agendas addressed using SPRs to date: 52 studies have investigated differences between native and non-native online reading; 26 studies have investigated cross-linguistic influence. This body of research may be ripe, at least in the not too distant future, for meta-analyses of these two major questions. Despite the challenges that we have raised (e.g., of comparability and transparency), such a meta-analysis would have a very important advantage: it would draw on data from a single elicitation technique, thus avoiding the oft-cited problem of meta-analyses collapsing data from different outcome measures that may tap into very different phenomena (i.e., the ‘apples and oranges’ problem). Though requiring additional effort, effect sizes could be extracted from data in the primary studies, as most provided means, standard deviations and *n*.

### **Methodological Rigour**

It was a positive indication of collaboration that 25 SPRs drew on previously used stimuli. However, full scrutiny of the design of most stimuli was not possible in most cases due to the lack of availability (either in appendices or elsewhere) and reporting did not compensate for this. For example, a comprehensive synthesis of how lexical items are selected was not possible, an important consideration for future research as there is evidence that word length and lexical and collocational frequency (both L1 and L2) can affect reading times (Bultena et al., 2014; Hopp, 2016; Ibáñez et al., 2010). Two ways of addressing such

issues are by using letter-length corrected residual reading times (Ferreira & Clifton, 1986; Lee, Lu, & Garnsey, 2013) and/or mixed effects models with item as a random factor (Barr, Levy, Scheeper, & Tily, 2016; Cunnings, 2012). We found 11/64 of L2 studies to date reported using residual reading times and seven using mixed effects models, indicating there is some way to go to integrate these into our methodological toolkit.

### **Reporting and Transparency**

Other issues we observed relate to the reporting and consistency of data cleaning procedures, nomenclature (e.g., piloting, norming), and analysis. We hope to have illustrated the inseparability of methodological transparency and construct validity and reliability.

With respect to the data resulting from SPR tests, reporting the means, standard deviations and results of all statistical analyses carried out, ideally on segments that are comparable across studies and on post-trial CQs, would facilitate comparisons and future meta-analyses. Reporting of effect sizes in primary studies and comparing these to others (Plonsky & Oswald, 2014), will provide a more accurate and informative depiction of the magnitude of the relationships being investigated.

In addition to more comprehensive reporting, providing the field with access to materials including stimuli (target, distractors, fillers), comprehension questions, software scripts, and data cleaning and analysis procedures, would inspire more confidence among reviewers and readers. Improved reporting alone would rarely, if ever, capture all aspects of instrument design (Derrick, 2016), partly because conceptual and methodological innovation usually occur before reporting conventions become established. Greater materials transparency in this respect also reduces re-invention of the wheel and, in many cases, helps to build on previous efforts (Marsden et al., 2016). We are a community of researchers and we owe it to each other to behave like one. Provision of materials also facilitates replications

with different sample demographics, target features, contexts, and so forth. Whilst not complete, the special collection of SPRs on the IRIS database has now increased the open availability of full SPR stimuli from 2 to 46<sup>11</sup>. We hope this will stimulate an expansion of the scope and practice of replicating SPR research.

In terms of analysis, in some cases it was unclear whether the choice of segments for which to present analyses was made *a priori* (hypothesis-testing), or post-analysis (exploratory). Of course, both approaches have their merits. For hypothesis testing, we hope that this review and greater transparency of stimuli and analysis will inform future decisions about word/segment presentation and analysis.

### **Conclusion**

One of the most basic findings of this study concerns, very simply, the extent to which L2 researchers have used SPRs. Although not as frequent as, for instance, JTs or cloze tests, SPR is part of the methodological repertoire for a growing number of L2 scholars. The motivation behind this project was to inform these efforts and, although providing a largely retrospective account, we hope to have highlighted some of the many choices inherent in utilizing SPRs. Perhaps most critically, we also hope to have stimulated future empirical examination of the impact of these choices on findings and, consequently, on our ability to account for the findings. Finally, our approach of subjecting the research process to empirical scrutiny at the primary and synthetic levels can certainly be applied to other procedures. Doing so can only serve to promote a greater understanding of and confidence in our methods and findings.

## **Appendices**

Appendix A: *Coding scheme, with agreement rates and inter-rater reliability coefficients*

Appendix B: *Examples of Comprehension Questions with analysed segments, in studies providing more than one example of a Comprehension Question on critical trials*

Appendix C: *Segments analysed in studies investigating temporary (local) ambiguity*

Appendix D: *Segments analysed in studies investigating global ambiguity*

## **Acknowledgements**

[Removed for review]

## Notes

<sup>1</sup> Several sentence processing models exist. For example, two-stage (universal, or garden-path) models assume an initial minimal interpretation based on syntactic information followed by re-analysis (e.g. Frazier & Fodor, 1978). Integrated (interactional, or constraint-based) models predict multiple sources of information are used (syntactic, pragmatic, lexical, frequency) for simultaneous and competing interpretations (e.g. MacDonald, 1994). Although L2 research has not had as a *primary* aim to test these models, constraint-based models predict language-specific, and therefore L1, influence on L2 processing. Thus, some L2 research findings align with one or other model for both L1 and L2, and some with syntactic models for L1 but constraint-based models for L2 (see Rah & Adone, 2010 and Yang & Shih, 2013).

<sup>2</sup> 2016 is excluded because this review only went up to the first quarter of 2016 (three articles).

<sup>3</sup> Drawing on the 2013 data, though not reported in that article.

<sup>4</sup> These numbers exceed the number of studies, 64, because some studies compared different proficiency groups. ‘Beginner’ covered all author labels that included the word ‘beginner’, e.g. post-beginner.

<sup>5</sup> McManus & Marsden (in press), using SPR as a pre, post and delayed post-test, fell outside the time scope of the review.

<sup>6</sup> The extent to which RTs were affected by the point at which the trial occurs within a test was not discussed in the majority of our studies, and more research is needed to assess how RTs are affected by the length of test.

<sup>7</sup> Three studies were excluded due to the design not requiring conditions or because the number of conditions was unclear.

<sup>8</sup> We were unable to select studies investigating sensitivity to anomalies, as there could be no expectation of consistency in the regions analysed given the variety of linguistic features investigated (e.g. number agreement on verbs, nouns and pronouns, gender agreement on pronouns and adjectives).

<sup>9</sup> The terminology for the region following the ambiguous segment varied, sometimes referred to as the *disambiguating region*, others *pre-final*, *final* or *sentence final region*.

<sup>10</sup> Four in the field of L2 research; we withhold journal names for now as this may compromise anonymity.

<sup>11</sup> The special collection can be accessed by clicking on the ‘special collection’ button on the Search and Download page at [www.iris-database.org](http://www.iris-database.org).



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## Tables and Figures

Table 1

### *Rationales provided for using an SPR*

<b>Broad rationale type</b>	<b>Key words given in rationale</b>	<b><i>k</i> number of studies</b>	<b>Example comments</b>
Knowledge	Automatic knowledge/automaticity	18	“SPR ... offers a more objective way to determine whether certain linguistic knowledge is an integrated part of one’s automatic competence.” (Jiang, 2004, p.610)
	Implicit knowledge	8	“... the study incorporated ... a SPRT ... which should draw on IK [implicit knowledge] to a greater extent [than JTs].” (Vafaei et al., 2016, p.423)
	‘Not’ explicit knowledge (expressed directly, by stating SPR avoids explicit knowledge, or indirectly, by using another test to elicit explicit knowledge)	14	“To determine whether L2 learners had explicit knowledge of number agreement in object clitics, the participants also completed an acceptability judgment task.” (Coughlin & Tremblay, 2013, p. 627)
Processing mechanism	Implicit processing	5	“... an accepted psycholinguistic tool for getting at implicit processing of language (Mitchell, 2004).” (VanPatten, Keating & Leiser, 2012, p.118)
	Online (including online processing, time windows of processing, sentence processing, L2 processing, real-time processing)	49	“self-paced reading... to examine reading processes ... and to reflect different time windows of processing” (Bultena et al., 2014, p.1220)
	Facilitation effects (incl. shorter RTs)	11	“... cognate should facilitate reading if L1 and L2 are activated simultaneously, if not (serial processing) then there shouldn't be any difference in RTs.” (Mazico & Bajo 2006, p.4).
	Processing difficulty	24	“The rationale for the SPR task is that increased reading times for a particular segment (relative to the same segment in a control condition) indicate a relatively higher processing difficulty at this point during the parse.” (Papadopoulou & Clahsen, 2003, p.13).

Table 2

*Number of SPRs used with another instrument*

<b>Other instrument</b>	<b><i>k</i></b>
Separate A/JT	17
WMT/reading-span	15
Integrated A/JT	14
Other test	7
Lexical decision task	2
Eye-tracking	1
Semantic priming task	1

Table 3

*Languages used in SPRs*

<b>Language</b>	<b>SPRs with L1 (<i>k</i>)</b>	<b>SPRs with target language (<i>k</i>)</b>
English	19	43
Chinese	9	2
Spanish	5	11
Greek	4	1
German	3	6
Korean	3	1
Dutch	3	2
Japanese	3	0
French	1	2
Russian	1	0
Arabic	1	0
Multiple Ls	20	0

Table 4

*Types and numbers of comparisons between different L1s*

<b>Combination of L1s</b>	<b>Number of SPRs</b>
English and Chinese	2
English and Spanish	1
English and Dutch	1
English + 2 others	2
German + 2 others	1
Japanese + 1 other	2

Japanese + 2 others	2
Japanese + 3 others	2
Spanish + 3 others	1

Table 5

*Studies using a single indicator of proficiency (k=34)*

<b>Type of test used</b>	<b>Number of studies</b>
Standardised proficiency test	21
Assumed from educational level	3
Test adapted from standardised proficiency test	4
Test specifically designed for the study	3
Other measure	2 (tests developed from cited past research)
Artificial language (so none needed)	1
Self-rating	0

Table 6

*Balance of critical and non-critical items*

<b>Non-critical stimuli, as reported by authors</b>	<b>SPRs (k=74)</b>
Critical items	74
Only fillers	48
Only distractors	6
Fillers and distractors	8
Practice items	42
None reported (fillers or distractors)	12

Table 7

*Numbers of items, lists and conditions*

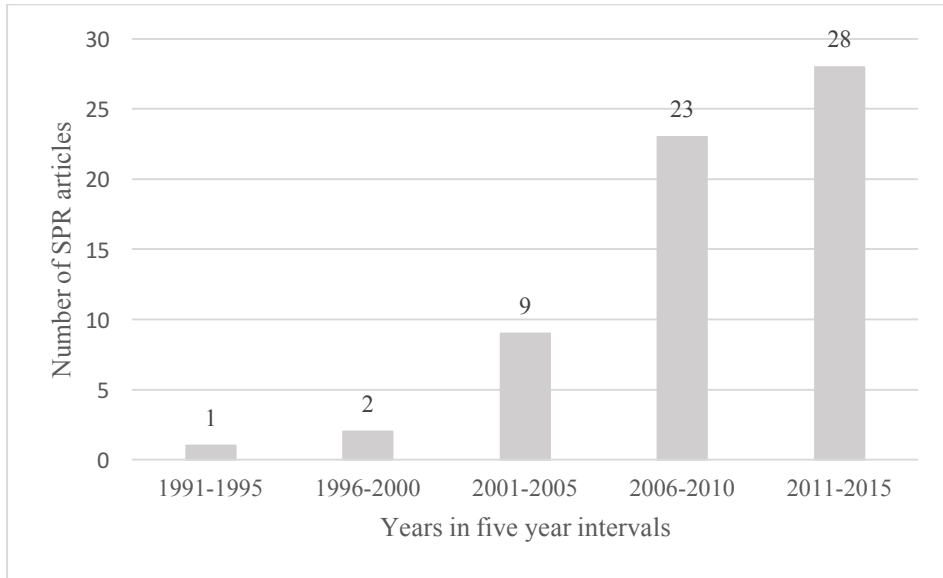
No. of conditions ( <i>k</i> of SPRs/71 <sup>7</sup> )	Range of no. of lists ( <i>k</i> where not reported)	Recommended no. of items per SPR	Actual range of no. of items across SPRs	No. of SPRs within recommended range
2 (11)	1-4 (3)	16-24	10-60	5/11
3 (6)	1-4 (1)	24-36	30-60	2/6
4 (44)	1-8 (3)	32-48	6-114	15/44
6 (4)	3-4 (2)	48-72	36-78	2/4
8 (5)	2-8 (1)	64-96	40-80	2/5
9 (1)	1 (0)	72-108	54	0/1

Table 8

*Availability of SPR stimuli*

Location	No. of SPR tests ( <i>k</i> =74) available at time of coding [currently]
In full <sup>a</sup> on IRIS	2 [46]
In full in article <sup>b</sup> and IRIS	1 [40]
In full in article	16
Just example(s) in article and IRIS	4 [15]
Just example in article	50
Author's website	1

Notes: <sup>a</sup> 'in full' means all the SPR items, but not necessarily distractors, fillers, comprehension questions etc. <sup>b</sup> including journal supplementary materials i.e. behind the journal paywall



*Figure 1.* Number of journal articles reporting SPRs over time.<sup>2</sup>