# Carbon and ecological footprints as tools for evaluating the environmental impact of coal mine ventilation air

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### 1 ABSTRACT

Coal mines ventilation gases are an important source of methane emissions. Common ventilation systems are designed to ensure safe working conditions in the shafts, leading to huge ventilation gas flow rates. Traditionally, low attention has been paid to such emissions because of their low methane concentration. However, it is necessary to take into account that although the concentration of methane is very low (typically < 1 %), the volume of air that ventilation systems move is large, and therefore these emissions constitute the largest source of greenhouse gases from underground coal mines.

9 This work proposes the use of ecological and carbon footprints approaches as a tool for 10 determining the relative importance of these emissions in comparison to the other direct 11 and indirect environmental impacts from the coal mining activity. The study has been 12 performed in the main ventilations shafts of the mining company HUNOSA, located at NW 13 Spain (bituminous coal). Results indicate that ventilation air methane is a key fraction of 14 the total emissions of greenhouse gases releases in this activity (60-70 %).

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*Keywords:* coal mining, ventilation air, carbon footprint, ecological footprint, methane

#### 18 1. INTRODUCTION

19 Although Western Europe's coal industry has been declining since the 1950s, as prices for 20 imported coal have decreased and local extraction costs have increased, the worldwide 21 situation is markedly different. In 2007, coal accounted for 27 % of world energy 22 consumption (International Energy Outlook, 2010), and about 64 % of this coal was 23 shipped to electricity producers and 33 % to industrial consumers. According to the 24 IEO2010 Reference case (International Energy Outlook, 2010), the previsions of world coal 25 consumption will grow an average of 1.1 % per year from 2007 to 2020, and 2.0 % per year 26 from 2020 to 2035. Therefore, the production of primary energy, in general, and of coal, in 27 particular, is expected to largely increase in the future. These forecasts contrast with the 28 more exigent environmental regulations. In United States, coal mining is one of the most 29 extensively regulated industries. Since the first comprehensive national surface mining law 30 in the late 1970s, the Surface Mining Control and Reclamation Act (SMCRA), many other 31 regulations have been developed. In the European Union (EU), a set of environmental 32 directives -that have had a significant effect on the mining industries of member nationshave been developed. 33

Although the large environmental impact of coal mining from the point of view of water and soil pollution is well-accepted, much less attention has been paid to gaseous emissions. At this point, ventilation emissions (needed in order to ensure safe concentrations of methane within the shaft) were traditionally considered as non-pollutant emissions. However, these emissions contain significant amounts of methane (0.1-1%) which is a powerful greenhouse gas (GHG), with Global Warming Potential (GWP) more than twenty times higher than the corresponding to CO<sub>2</sub>. Furthermore, emissions from coal mining account for 22 % of emissions from energy sector, which is the second largest contributor
to anthropogenic methane emissions (about 30 %) (Karakurt et al., 2011). Due to this
reason, a comprehensive work is needed on both inventorying and developing alternatives
for these emissions (Su et al., 2005).

45 To the best of our knowledge, systematic studies about the relative weight of these 46 emissions in comparison to the other direct and indirect impacts of the coal mining activity 47 have not been reported. In the present work, we use two tools for doing this study, the 48 ecological footprint (EF) and the carbon footprint (CF). The so-called "carbon footprint", a 49 term used by different organisms, such as the British Standards Institution and the 50 International Organization for Standardization (ISO), is focused on describing the GHG 51 emissions attributable to providing a specific product or service. The main purpose of 52 estimating CFs is to provide information for policy-making, for supply chain management, 53 and to facilitate a shift by retailers and consumers toward low carbon products. By contrast, 54 EFs is defined as the amount of life-supporting natural capital, expressed in biologically 55 productive area, which is necessary to meet the resource demand and waste absorption 56 requirements of a given activity. Therefore, in the calculation of ecological footprint, data 57 on carbon dioxide emissions are translated into the area, in global hectares, required to 58 absorb these carbon emissions. But, add to these emissions, other considerations such as the 59 use of water and land, the emissions of no global warming gases are also considered in the 60 evaluation of ecological footprint (Monfreda et al., 2004). It is remarkable that nowadays, 61 there are international standards for measuring and certificating the carbon footprint in 62 processes and organizations, as GHG Protocol and ISO 14064-1.

63 This work is focused on the calculation of carbon footprint and ecological footprint to the 64 coal mines situated in Asturias (North of Spain), which belong to the Spanish mining 65 company (HUNOSA). The final scopes of these calculations were to determine the relative 66 importance of ventilation mine air emissions on the overall mining activity emissions, as 67 well as to quantify the effect of the treatment of these emissions on their environmental 68 performance. The studied mines are representative of the small-sized bituminous coal 69 mines of Western Europe. Although there are previous studies dealing with the 70 environmental effect of VAM, this work is, to the best of our knowledge, the first 71 quantitative study performed (using ecological indicators) for determining the relative 72 importance of these emissions in the overall environmental impact of coal mining.

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#### 74 2. DESCRIPTION AND RESULTS OF CARBON FOOTPRINT

75 The extraction of bituminous coal in Asturian mines is performed in small-sized (if 76 compared to common US or Asian shafts) underground mines. The production of the shafts 77 used for this study is summarised in Table 1, whereas the location of the shaft is sketched 78 in Fig.1. The low capacity of these shafts, the location of the deposits that in most cases 79 present difficult accesses, as well as the depth of each deposit, determines the selected 80 method for extraction. Underground mining requires more energy than surface mining due 81 to larger requirements for hauling, ventilation, and water pumping, among other 82 considerations. These requirements lead to more important environmental impacts, which 83 must be also taken into account in the evaluation of CF and EF.

Coal mining is associated with significant social and environmental impacts. Depending on the limits or boundaries of the system under study, the relative importance of various activities could vary notably. In this work, the study was limited to the extraction of coal. The boundaries of the system under study are shown in Fig. 2. The major contributions of this system to carbon footprint include:

89 Gaseous emissions released in the generation of electricity: most of the operations 90 carried out in the shaft are developed by electricity-powered machinery. Among 91 these activities, the drilling, blasting, ventilation, dewatering, are quantitatively 92 considered as the most relevant. The drilling is the process of making a cylindrical 93 hole with a tool for exploration, blasting preparation or tunnelling. Blasting is the 94 removal of mined material by fracturing the rock with explosives, although this 95 process is also accomplished by electrical devices. Ventilations fans, needed for 96 ensuring safe conditions within the shaft are another important electrical 97 consumption. The last electrical consumption to be considered is the needed for 98 pumping infiltration water out of the shaft (in order to avoid shaft flooding).

99 In order to quantify the environmental impact of the electricity generation, it is 100 necessary to take into account the relative importance of the different power sources 101 (thermal energy, hydraulic, nuclear, wind power, etc.), these percentages being 102 provided by the electrical company supplier. The following distribution of power 103 sources in the generation of the electricity was considered: thermal energy (43 %), 104 cogeneration (23 %), nuclear energy (8 %), hydraulic energy (5 %), wind energy 105 (18 %) and biomass and wastes (3 %). In this way, the power (kWh) of electricity 106 obtained by each source is obtained. It is considered that the primary energy

107 corresponding to 1 kWh of electricity is typically above 3.6 MJ (Annual Energy 108 Review 1995, 1996). Actual generation efficiencies, limited by the Second Law of 109 Thermodynamics and design practicalities, fall short of this. In Table 2, the average 110 heat input per kWh of net generation, and the thermal conversion efficiency is 111 summarized for the power sources used. In the generation of electricity, add to  $CO_2$ 112 emissions, also other GHGs are emitted, although in minor proportion (mainly, CH<sub>4</sub> 113 and  $N_2O$ ). Non-CO<sub>2</sub> emissions are converted into units of carbon dioxide equivalent 114 (CO<sub>2</sub>-eq) using Global Warming Potentials (GWP) of 21 for CH<sub>4</sub> and 310 for N<sub>2</sub>O. 115 Emissions factors –that is, the CO<sub>2</sub>-eq generated per GJ of generated electricity- for 116 the different power sources (IPCC Guidelines for National Greenhouse Gas 117 Inventories, 2006) are also summarized in Table 2.

118 \_ Ventilation air: ventilation is a process of entering fresh air in the working area of 119 the shaft in order to dilute the methane up to safe limits. The extracted air is 120 removed to the outlet, operation carried out by the fans. This exhaust air contains, 121 greenhouse gases, mainly CH<sub>4</sub> and CO<sub>2</sub>. Depending on the characteristics of the 122 shaft,  $SO_2$  or  $H_2S$  could also be in important concentrations, but this is not the case 123 of HUNOSA shafts. The quantity of gas emitted depends on the coal rank and depth 124 of seam. High-rank coals, such as anthracite, have the highest GHG emissions, 125 whereas peat or lignite have the lowest (Karakurt et al., 2011). Asturian coal is 126 mainly bituminous, thus intermediate emissions will be emitted. The importance of 127 the depth is related to the pressure over the coal, increasing the concentration of 128 methane in exhausted gases with the depth. Infrared measurements of both inlet and 129 outlet gases, determined that the average increase in  $CO_2$  concentration in the six

130 shafts under study is about 0.2 %, whereas  $CH_4$  concentrations vary between 0.05 131 and 0.4 % (Table 3). Concentrations of  $NO_x$ , as well as sulphur gases as  $H_2S$  or  $SO_2$ 132 were negligible in all cases. Due to the methane GWP, methane has its most 133 important effect in global warming.

134 Soil gases absorption: the mining here described is an underground process, thus, \_ 135 the surface may be only slightly altered, and in fact, can act as a CO<sub>2</sub>-eq drain. 136 Table 3 summarized also the surface of each shaft. It is considered that the assimilation factor depends on the land uses (IPPC, 2001), varying if it is a forest 137 (3.67 t CO<sub>2</sub>-eq·ha<sup>-1</sup>·vear<sup>-1</sup>), cultivable surface (1.98 t CO<sub>2</sub>-eq·ha<sup>-1</sup>·vear<sup>-1</sup>), pasture 138 (0.84 t CO<sub>2</sub>-eq·ha<sup>-1</sup>·year<sup>-1</sup>), built-up land (1.98 t CO<sub>2</sub>-eq·ha<sup>-1</sup>·year<sup>-1</sup>), sea (0.24 t 139  $CO_2$ -eq·ha<sup>-1</sup>·year<sup>-1</sup>) or continental water (0.24 t  $CO_2$ -eq·ha<sup>-1</sup>·year<sup>-1</sup>). In this work, it 140 141 was considered an emissions-to-land (assimilation) factor of 3.67 t CO<sub>2</sub>-eq·ha<sup>-</sup> 142  $^{1}$ ·year<sup>-1</sup> (IPPC, 2001).

143 Fig. 3 shows the t<sub>CO2</sub>-eq emitted by ton of extracted coal in each shaft, existing differences 144 until 0.97 t<sub>CO2</sub>-eq/t coal among them. It is remarkable that in Fig. 3 only two contributions 145 (generation of electricity and ventilation gases) appear, whereas no mention is made to soil 146 absorption. This is due to the drain contribution of the soil, that is, instead of emitting  $CO_2$ , 147 the soil traps CO<sub>2</sub>-eq, with values of t<sub>CO2</sub>-eq retained nearly negligible (about 20 t<sub>CO2</sub>-148 eq/year shaft) in comparison to the emissions of the other two contributions. If the analysis 149 is made based on the specific contributions to carbon footprint here enounced, it is 150 observed a notorious relevance of ventilation gases to the total footprint (77-94 %). 151 Likewise, a deeper insight in the contribution to carbon footprint of ventilation emissions 152 reveals that those shafts with the highest carbon footprint are those with both the highest 153 concentration of methane emissions (Sotón and María Luisa) and highest flow rate of 154 ventilation gases (Candín). The reason is the high effect on the global warming of  $CH_4$  (21) 155 times the CO<sub>2</sub>). As it is showed in Table 3, there are three shafts with the highest methane 156 concentration (0.4 %): Maria Luisa, San Nicolás and Sotón. However, the flow rate of San 157 Nicolás shaft is considerable reduced in comparison with the others. On the other hand, 158 although Candín exhibits lower CH<sub>4</sub> concentration, the flow rate is considerably higher 159 than the other shafts. At this point it is convenient to consider that the low explosive limit 160 of methane is 5 % at ambient temperature, and considering a wide safety factor, the 161 flowrate of each shaft is fitted in order be always below 1 % (or even lower).

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#### 163 **3. DESCRIPTION AND RESULTS OF ECOLOGICAL FOOTPRINT**

The Ecological Footprint measures the amount of surface required to produce all the resources that consume an activity, considering also the absorption of residual materials (wastes, emissions, etc.) it generates. In the calculation of the ecological footprint of the coal mining, add to the contributions previously described for the carbon footprint which contributes to the ecological footprint by the CO<sub>2</sub>-eq emissions –that is, global warming gases-, other factors that have also different environmental impacts should be considered:

No global warming gases generated in the electricity production (non GHG emissions): the machinery used in the mining activity works by electricity, whose production, add to the global warming gases previously mentioned, could also generate other compounds that can affect negatively the environment. In fact, there is a notorious contribution to the ecological footprint by the SO<sub>2</sub> generated in the

175 electric power production. The SO<sub>2</sub> contributes to acidification, thus its effect on the 176 ecological footprint can be taken into account considering the area necessary to 177 absorb the SO<sub>2</sub> generated. About 70 percent of the total area in Europe has an assimilation capacity of less than  $20 \cdot 10^{-3}$  H<sup>+</sup>eq·m<sup>-2</sup>·year<sup>-1</sup>; the rest of the area has a 178 critical load ranging from 20 to 50.10<sup>-3</sup> H<sup>+</sup>eq·m<sup>-2</sup>·year<sup>-1</sup> (Holmberg et al., 1999). 179 Considering in this work an assimilation factor of  $20 \cdot 10^{-3}$  H<sup>+</sup>eq·m<sup>-2</sup>·year<sup>-1</sup> (the worst 180 181 and most conservative scenario), and converting  $t_{SO2}$  in H<sup>+</sup>eq, the area needed to 182 absorb a ton  $SO_2$  is 155 ha.

Water consumption: in order to take into account the water used in the coal mining 183 \_ 184 extraction, the water used in a process should be defined. In this way, two 185 components of the water can be distinguished (Allan, 1997): green water, referred to 186 the volume of rainwater consumed during the process; or, blue water, water 187 withdrawn from rivers, lakes, or underground used in the extraction process. In the 188 case of HUNOSA shafts, no rivers, lakes or underground waters are affected in any 189 of them, thus the blue water has no application in our case. On the other hand, as it 190 was previously mentioned, important amounts of water are extracted from the shafts 191 in the dewatering operation, mainly due to infiltrations from the surface. Thus, we 192 can consider that the water extracted during the process corresponds to green water. 193 For the calculation of the contribution of this green water to the ecological footprint, it was used the average rain in Asturias corresponding to 2009, 5790 m<sup>3</sup>·ha<sup>-1</sup>·year<sup>-1</sup> 194 195 (Instituto Nacional de Meteorología, 2011). Considering as infiltration the volume 196 of water extracted from the shafts, the surface where rain water reached this volume is 3275 ha. It should be taken into account that there is not water acidification 197

because of the geochemical properties of the soil (high limestone concentration and
low sulphur content of the coal). Furthermore, studied shafts are located in a very
rainy region, leading to high infiltration rates and allowing low residence times of
the water inside the shafts.

Furthermore, in the calculation of the ecological footprint,  $t_{CO2}$ -eq calculated for the carbon footprint should be converted in surface (ha) necessary to absorb these gases. In this way, the carbon assimilation factors associated to land use previously described in the soil absorption point are employed. Concretely, in this work, it was supposed the factor corresponding to forests, that is 3.67 t  $_{CO2}$ -eq·ha<sup>-1</sup>·year<sup>-1</sup>.

207 Fig. 4 shows the total ecological footprint of each HUNOSA shaft. It is observed that there 208 are three main contributions: electric consumption, which includes the CO<sub>2</sub>-eq emitted and 209 the non GHG emissions, the ventilation gases, and the water contribution. As in the case of 210 carbon footprint, no soil contribution appears in the plot, since it acts as drainage of gases. 211 In the same way, the main ecological footprint is due to either the electric consumption or 212 the ventilation gases, being the last one less relevant in percentage (17-60 %), due to the 213 important contribution of the non GHG emissions to the ecological footprint. Considering 214 the overall coal production and the seven shafts, the contribution of ventilation emissions to 215 the ecological footprint is of 47 %.

If the carbon and ecological footprints are compared, it is observed that the main differences between different shafts are caused by the different amount of methane released in the ventilation gases. The amount of methane released depends on different parameters, such as the design of the ventilation system (flow rate), the number of, and the fraction of 220 stopes that are under operation at a given moment stopes (which is continuously changing) 221 and the gassy nature of the extracted coal. Within the reported shafts, there are many 222 different situations. For example, the shaft with lower methane emissions (Carrio) has coal 223 stems with low gas content and the ventilation system was designed for working with 224 tenths of stopes, but nowadays only one stope is really working. By contrast, in Candín 225 shaft most of the stopes are working and the coal is more gassy. In the case of Sotón and 226 Maria Luisa shafts, the ventilation system has been designed to working parameters similar 227 to the ones currently used, therefore no extra dilution of methane is observed.

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# 4. TECHNOLOGIES TO MITIGATE CARBON AND ECOLOGICAL FOOTPRINT OF COAL MINING EXTRACTION

From both Fig. 3 and 4, it is deduced that the most important contribution to environmental impact of the coal mining extraction corresponds to the ventilation of gases generated in the shafts. Methane, due to its high global warming potential, represents the most relevant impact of these gases, thus any action for reducing methane emissions in the ventilation gases will present important benefits in the carbon (until 70 %) and ecological (until 40 %) footprints.

In order to use in the industry the methane extracted from the ventilation, the concentration should be increased. Since both flow rate and methane concentration are given by safety considerations (ensure methane concentration in the shaft largely below the explosive limit of these mixtures), end-of-pipe concentration technologies are the only alternative for this purpose. Effective technology to increase methane concentration is yet not available atlarge scale (Su et al., 1997).

243 Other alternative for this purpose is the direct combustion of these emissions, since GWP of 244 methane is about twenty time the corresponding to CO<sub>2</sub>. Because of the low concentration 245 of methane, classical combustion strategies are not economical. However, non-conventional 246 combustion technologies, such as catalytic reverse flow reactors (Fissore et al., 2005), 247 catalytic gas turbines (Su et al., 2003) or heat-recirculating combustion method 248 (Budzianowsky and Miller, 2009) can allow the combustion of gas streams with very low 249 methane concentrations, being even possible to benefit the energy content (combustion is 250 an exothermic reaction) of these emissions for low-temperature applications (sanitary 251 water, etc.).

252 At this point, reverse flow reactors (RFRs), especially in their catalytic operation; have 253 been proposed for harnessing low concentrations of methane contained in the up-cast air of 254 coal mines. The RFR operates under forced unsteady-state conditions, created by 255 periodically reversing the feed flow direction. The heat released during the exothermic 256 reaction is trapped inside the reactor bed between consecutive flow reversals and is used to 257 preheat the cold feed up to the reaction temperature. The RFR is thus an integrated device 258 where both reaction and heat exchange takes place with high thermal efficiency. As the 259 methane is oxidised, effectively it is removed from coal mine ventilating air, even when 260 CH<sub>4</sub> concentrations are below 1000 ppm, and this is done without an external source of 261 energy. Heat recovered during these exothermic reactions can, for example, be used to raise 262 steam and drive a steam turbine, or be used directly where significant thermal loads are 263 present (drying processes, warming of intake ventilating air in cold regions), which in turn

displaces other sources of primary energy currently utilised and presents even greater
benefits in terms of CO<sub>2</sub> emissions (Marin et al., 2009).

266

#### 267 **5. CONCLUSION**

This work reports, by calculation of carbon and ecological footprint, the environmental impact of the coal mines, in order to determine the relative importance of ventilation mine air emissions on the overall mining activity emissions, as well as to quantify the effect of the treatment of these emissions on their environmental performance. For doing this, all the coal mines belonged to the public mining company of the North of Spain (HUNOSA) were taken into consideration. These mines are considered representative of the small-sized bituminous coal mines of Western Europe.

275 From reported work, it is deduced that the most important contribution to environmental 276 impact of the coal mining extraction corresponds to the ventilation of gases generated in the 277 shafts. Methane, due to its high global warming potential, represents the most relevant 278 impact of these gases, thus any action for reducing methane emissions in the ventilation 279 gases will present important benefits in the carbon (until 70 %) and ecological (until 40 %) 280 footprints. Therefore, the implementation of commercial technologies for the 281 treatment/valorisation of these emissions will lead to significant decreases in the carbon 282 footprint (up to 70 %).

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**Table 1.** Annual productions of coal from the six shafts under study

Shaft	Coal production
	(kt/year)
Candín	106
Maria Luisa	187
Monsacro	201
San Nicolás	226
Carrio	121
Sotón	141
Santiago	334

Table 2. Thermal efficiency (net) and the average heat input per kWh of net generation

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Power source	Thermal efficiency	Average heat input	<b>Emission factor</b>
	(net) (%) <sup>1</sup>	per kWh of net	(tCO <sub>2</sub> -eq/GJ) <sup>1</sup>
		generation	
		(GJ/kWh) <sup>2</sup>	
Solar energy	30	0.012	-
Thermal energy	40	0.009	0.097
(coal and fuel)			
Cogeneration	40	0.009	0.056
Nuclear	35	0.010	-
Hydraulic	33	0.011	-
Wind energy	35	0.010	-
Biomass	22	0.008	0.112
Wastes	22	0.008	0.100

 <sup>1</sup> Suggested in reference (6)
 <sup>2</sup> Calculated as primary energy (conversion from heat to electricity at 100 % efficiency) divided by the net thermal efficiency 334 335

337 <b>Table 3.</b> CH <sub>4</sub> concentrations of exhaust air ventilation and surface of the shaf	337	concentrations of exhaust air ventilation and surface of t	he shafts
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Shaft	CH <sub>4</sub> concentration (%)	Surface (ha)
Candín	0.18	8.1
Maria Luisa	0.40	4.4
Monsacro	0.20	5.9
San Nicolás	0.40	16
Carrio	0.05	4.4
Sotón	0.40	9.4
Santiago	0.20	6.8

# 340 FIGURE CAPTIONS:

343	Figure 1.Geographical	situations of the	shafts considered i	n this study.
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Figure 2. System boundaries for the mining activity used in the measurement of Carbon
Footprint and Ecological Footprint in this work.

Figure 3. Contributions to carbon footprint, tCO<sub>2</sub>-eq per t of extracted coal, of the gases
emitted in electricity generation (white) and as a consequence of the ventilation emissions

350 (red)

352	Figure 4. Contributions to ecological footprint (ha) per t of extracted coal of the gases
353	emitted in the generation of electricity (white), gases emitted in the ventilation (red), and
354	water infiltrations*10 (black)









Fig. 2



Shaft









