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Title Page

Title: Three-dimensional reconstruction with serial whole-mount sections of oral tongue squamous cell carcinoma: a preliminary study

Short running title: 3D whole-mount reconstruction of OTSCC

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Key words: Oral tongue squamous cell carcinoma; three-dimensional reconstruction; serial whole-mount sections; invasion; margin status.

Abstract:

Objectives: Margin status and invasion pattern are prognostic factors for oral tongue squamous cell carcinoma (OTSCC). Current methods to identify these factors are limited to 2D observation, it is necessary to explore 3D reconstruction with whole-mount sample to improve analysis accuracy. This study aimed to study the tissue-preparation, section generation and 3D reconstruction with whole-mount OTSCC specimen.

Study Design: Two OTSCC samples were retrieved from Nanjing Stomatological Hospital, Medical School of Nanjing University. One sample was sliced into 3 equal-sized pieces and subjected to different processing schedules to determine the best method. The second sample was processed accordingly. Serial whole-mount sections of the second sample were generated, stained with HE/anti-cytokine antibody in intersection manner and scanned into digital images. Digital images were aligned and reconstructed into 3D images with Hetero Genius Medical Image Manager 3D Pathology Add-On [HGMIM3D].

Results: Successful serial whole-mount sections of comparable quality to traditional sections were generated. 3D images with serial whole-mount sections were successfully generated.

Conclusions: Whole-mount histopathological 3D reconstruction of OTSCC was successfully generated, providing a solid foundation for comprehensive margin and invasion analysis. Though future study and improvement was needed, whole-mount

histopathological 3D reconstruction proved to be a promising method in OTSCC study.

Main Text

Introduction

Oral tongue squamous cell carcinoma (OTSCC) is the most common form of oral squamous cell carcinoma (OSCC). Many factors influence the prognosis of OTSCC, among which margin status is a crucial one.^{1,2} Despite an overwhelming consensus that failure to eradicate tumor at the primary site is the single largest cause of death for OSCC patients, the present method to assess margin status is limited with small samples of limited area.³

Margins design is more difficult in deep connective tissue planes as compared with mucosal margins.³ A clear margin in OTSCC is often described as margins >5mm away from the invasion front of tumor.⁴ To better understand the invasion pattern of OTSCC in a more comprehensive way, and to study the relation between invasion front and surgical margin, can provide useful information in margins design.

Invasion pattern has been found to correlate with the prognosis of OTSCC.⁵ In 2D observation, pattern of invasion (POI) is examined at the host/tumor interface according to POI types 1 through 5 previously defined by Bryne et al.^{6,7} The highest score of POI presented is taken as the worst pattern of invasion (WPOI), no matter how focal. WPOI is a significant histopathological factor for OSCC prognosis.^{5, 8} Even though WPOI system is a convenient and valuable assessment in invasion pattern, it has its limitations because of the limited volume of sample. Therefore, 3D

investigation is a promising tool for adding up to our knowledge of tumor invasion pattern, and this knowledge can be further applied in margins design.

As a first step, we aim to develop a method to generate a 3D reconstruction with serial whole-mount sections of OTSCC thus accurate accession could be possible. Detailed experience is shared in this article.

Methods

Sample collection and tissue preparation

Two OTSCC patients, who went through primary radical resection in the Department of Oral and Maxillofacial Surgery, Nanjing Stomatological Hospital, Medical School of Nanjing University, were included into the study. Ethical approval had previously been obtained from the Nanjing Stomatological Hospital Research Ethics Committee. Written informed consents were obtained from participants prior to delivery.

After resection, the whole-mount sample was fixed with pins to maintain its original form, and fixed in 10% neutral buffered formalin for 24 hours. After fixation, the whole-mount samples were sliced into 4mm-thick pieces. The three pieces with equal size and thickness of the first sample were subjected to different procedures. The most appropriated procedure was decided (Figure 1, Table 1), and the four pieces of the second sample were processed accordingly.

Serial Histology

After the most satisfying procedure was decided, the second whole-mount sample

was prepared accordingly. There were four 4mm-thick pieces in this sample. Each piece was sliced into approximately 700 4 μ m sections with horizontal sliding microtome (Rem-710, YAMATO). Combined staining was performed as previously described.⁹ Briefly, each section stack was separated into three interleaving series, each with approximately 233 sections. Sections were immersed in xylene twice for paraffin removal and rehydrated by decreasing alcohol gradients (100%, 96%, 70% each 5 min). The first series were stained with H&E (Figure 2A), and the second series were stained with the anti-CK antibody according to the manufacturer's prescriptions (DAKO, Denmark) (Figure 2B). The third series were left empty for future staining of other factor of interest.

Slides were then imaged using a Hamamatsu Scanner (NanoZoomer-XR C12000, Japan) with a 20 \times objective to produce whole slide images with a final resolution of 0.46 microns per pixel. To minimize stain variation, all sections were taken by the same technician on the same microtome and stained in batches. All slides were scanned on the same scanner to minimize inter-instrument variation in color. The HE/CK staining slides were aligned according to their original order (Figure 2C).

Three-dimensional Histological Reconstruction

a. Auto-alignment

Images of slides were imported into a Windows-based computer in original order. Images with major rotation (rotation $>90^\circ$) were corrected manually, then images were subjected to auto-alignment by Hetero Genius Medical Image Manager 3D Pathology Add-On [HGMM3D] (Hetero Genius Ltd, Leeds, UK). During

auto-alignment, one representative image (usually high-quality image around the middle of the stack) was manually chosen as reference image, then other images were automatically aligned one by one. Take a stack of 5 images for example, if Image 3 was chosen as reference, then Image 2 and Image 4 would be automatically aligned with Image 3, after which Image 1 would be aligned with Image 2, and Image 5 with Image 4. During auto-alignment between 2 images, to reduce the computing amount and increase the accuracy, the images were divided into 9 (3x3) or more parts depending on the size and outline of images, and each part was aligned with its counterpart in the other image. The auto-alignment could then be checked and improved manually by correcting images with major rotation, redoing auto-alignment among unsatisfyingly aligned images or increasing the alignment level.

b. Volume generation

After images were successfully aligned, volumes could be generated by a simple click of VolumeGenerationMethod. Volume generation could be performed with all images, or selected parts of images. The generated volume could be viewed in whole or in part by adjusting the X-Y-Z axis settings.

c. Surface generation

Surface reconstruction provides a better view of items of interests. In CK staining, tumor and epithelium were brown and could be identified by FindTissueByColor algorithm. Auto-annotations could thus be made automatically. Annotations from all images were then reconstructed into surfaces through SurfaceGeneration algorithm to review tumor and epithelium.

Results

Tissue-processing for whole-mount tongue sample

In routine practice at our institution, 4-5 mm thick tongue slices were fixed in 10% NBF for 24 h and small samples (average $10 \cdot 20 \cdot 3 \text{ mm}^3$) were processed using the 'Routine tongue' schedule in Table 1. Three promising alternative schedules ('A', 'B', and 'C') were identified in an attempt to meet the requirements of whole-mount sectioning.

Tissue from each schedule was compared for adequate processing, based on empirical features such as residual xylene odour and ease of sectioning. Sample A appeared to be pale. Complete whole-mount sections could not be generated with sample A, as the tissue was not completely dehydrated. Sample B was over-dehydrated. Sections could be made only after softening procedure and with difficulty. Sample C was properly dehydrated and transparent, and was easy to make sections (Figure 1). Both HE staining and immunohistochemistry staining were successfully performed on the whole-mount sections with comparable quality to normal-size sections. It was worth noticing that on the basement of the specimen, which was the primary concern on margin status,³ the distance between surgical margin and tumor frontier was less than 5mm (4.84mm), while the margin distance to the left was well-beyond 5mm (12.2mm), confirming the importance of basement-margin control and value of whole-mount section in margin observation.

Auto-alignment and volume reconstruction

To ensure reading speed, images were retrieved from a 1TB SSD portable hard

drive (Samsung, Korea). Autoalignment was based on HGMIM3D AlignAsVolume method using default parameters. Alignment could be performed using 4 increasing levels of magnification (0.3125X, 0.625X, 1.25X, 2.5X), with increasing numbers of B-spline control points used at each level (3x3, 5x5, 7x7, 9x9). We performed autoalignment only on 0.3125x as the image size and number were too big. Volumetric data was then generated using the HGMIM3D GenerateVolume method at 0.3125X in order to generate a machine memory fitting volume of approximately 1000 x 1000 x 200 pixels, suitable for 3D visualization. An image was chosen as the reference image, and the following image as aligned one by one. The alignment result was improved manually and/or by automatic alignment re-run.

As the whole-mount section image was very big, the down sampled version was 3/100 of the original image (20x image compressed to 0.6x). When the whole image was compressed to 3/100 of the original image, the compartments like cancer nest and vessels became very small and thus hard to align. Nonetheless, the general alignment result was satisfying (Figure 3). There was an obvious gap between two sample pieces, which was probably caused by the slight rotation on mounting to the slicing machine.

Auto-annotations and surface reconstruction

For intuitive imaging of specific anatomical features (e.g. cancer nest), surface reconstruction could be more informative than simple visualization of the 3D data. Targets could be outlined by annotations either manually or automatically, then the surfaces could be created with annotations to provide three-dimensional image for the

item of interest.

As it took a lot of time to annotate manually, CK-positive tissue (cancer and epithelium) was annotated automatically by FindTissueByColor algorithm. Briefly, slides were separated into color blue and color brown, and brown was saved as the auto-annotation result. Different threshold values while generating annotations were tried for different staining.

For CK staining, a threshold of 1000 was most satisfying, which could include most of the cancer nest and exclude most of the nonspecific staining (Figure 4A). We generated a 3D triangulated surface from the stack of annotations using the HGMIM3D GenerateSurface method with the annotations for CK staining. The incoherence mentioned above was more obvious in the reconstructed surface (Figure 4B). Despite the annotation threshold excluding most of the nonspecific staining, nonspecific-staining particles could still be observed beyond the invasion front (Figure 4C).

Discussion

Margin status and invasion pattern in tumor nest have been proved to be factors of prognostic significance for OTSCC patients.^{8, 10} As an attempt to develop a method to comprehensively study the margin status and the invasion pattern, we made serial whole mount sections and performed 3D reconstruction.

Firstly, the most appropriate method to process whole-mount OTSCC specimen was determined. A whole-mount sample was cut into 4-mm thick pieces of equal size,

each subjected to a different process (A, B and C). A sample was not completely dehydrated and complete whole-mount section could not be generated. B sample was over-dehydrated and sections could be made only after softening procedure and with difficulty. C sample was properly dehydrated and transparent, and was easy to make sections. The staining quality in both HE staining and immunohistochemistry staining was comparable to their ordinary-size counterparts.

As a novel emerging method, histopathological 3D reconstruction has been applied in the study of cell-cell interaction in cancer,⁹ cancer cell invasion and EMT marker,¹¹ as well as vessel study.^{12, 13} As for 3D observation of OTSCC, previous study on invasion front of OTSCC has been limited by using limited parts of OTSCC samples,¹⁴ or only microinvasive OTSCC samples.¹⁵ In this study, 3D reconstruction of the whole-mount specimen was successfully generated (Figure 3&4).

Still, there are two issues worth noticing. Firstly, the specimen was cut into four pieces before subjected to sectioning, causing gap in the 3D image that could not be fixed. To generate a complete image without gap, the specimen used should not be cut before sectioning. This, however, lead to another problem, tissue processing. Whole-mount specimen became harder to fixed and dehydrated as it got bigger and thicker. The method we used in this study had not been tested in samples thicker than 5mm, thus further study was needed in tissue processing.

Secondly, as the specimens were all sliced into 4um thick sections, adding up to more than 2000 sections in one sample, the workload and cost were considerably high. Two methods might be used to help the problem. First, as it was routine practice that

pathologists cut the specimen into pieces, in case of margin assessment, whole-mount section made from each piece could be of help. As described in result 1, a close margin was found with whole-mount section, which would not be possible with traditional sections. Second, to get a general idea of the 3D margin, not all the successive sections were needed. Mostly using every 10th serial section (~50um between sections) was sufficient to generate a 3D image.¹⁶ For observation of larger objects, 100um gap was acceptable (around 100 sections over 1cm thickness),¹⁷ thus the workload could be dramatically reduced.

For margin status study, 3D reconstruction with whole-mount section proved to be a promising tool. As it was advocated that intraoperative resection margin surveillance for en-bloc resections should be "specimen driven," rather than "defect driven",³ whole-mount section of the specimen, revealing "specimen driven" margins, was bound to provide a more comprehensive assessment of margin status, thus better guiding the resection area. In this study, whole-mount section revealed a close margin (<5mm) that could otherwise be missed in the basement (Figure 1), further supporting the importance of whole-mount section evaluation and basement margin control. As a preliminary study, we only performed CK immunohistochemistry staining, which only revealed cancer cells and epithelial cells. To better study the surgical margin in terms of "molecular surgical margin", in which the surgical margin status was assessed by the presence of molecular markers rather than solely by histopathologic assessment,¹⁸ more immunohistochemistry staining markers could be added to 3D histopathologic whole-sample reconstruction. It had been

shown that molecular alternations in seemingly normal margins were related to poor prognosis.¹⁹ To study the molecular alteration area in 3D prospect, adding different markers that had been prove to be of significance in 2D margin assessments, such as p53, p16 and TMEFF2,^{20, 21} into the 3D margin observation, could help us further improve margin design in the future.

For invasion pattern study, we found that if the purpose was only invasion pattern study, to achieve better alignment, whole-mount sections were not necessarily needed. Autoalignment in HGMIM3D could be performed on 4 increasing levels of magnification (0.3125X, 0.625X, 1.25X, 2.5X), with increasing numbers of B-spline control points used at each level (3x3, 5x5, 7x7, 9x9). As the current whole-mount sample was rather big, when aligned on 2.5X, the time taken would be 18.2 times that of aligned on 0.3125X. When aligned on 0.3125X with around 200 whole-mount images, the time was about 6 hours, which would add up to over 2293 hours if we aligned all 2800 images on 2.5x with our computer. So it was safe to say that for better alignment to observe smaller structure, such as diffused invasion cancer nests, smaller samples were preferable. Samples, which included all cancerous tissue, should be enough to provide comprehensive invasion pattern information

3D reconstruction image with CT and MRI had been applied in surgical design.²²⁻²⁴ As 3D reconstruction with whole-mount sample could only be obtained after surgery and with considerable amount of time and human work, to use this method to guide margins design before surgery, in future study, we planned to

combine whole-mount 3D histopathology reconstruction with CT/MRI reconstruction to access the accuracy of CT/MRI 3D reconstruction compared with whole-mount 3D histopathology reconstruction. In this way, we could better understand the guiding value of CT/MRI 3D reconstruction, thus improving the margins design before surgery.

This preliminary study provided detailed experience in 3D reconstruction of whole-mount sections. **The shortcoming of this study was that only two samples were used, thus further study was needed.** Still, whole-mount 3D histopathology reconstruction remained a promising tool in the study of margin status and tumor invasion pattern.

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Figures:

Figure 1: Sections were successfully generated from whole-mount specimen. The whole-mount section revealed a close margin (<5mm) in the basement that could hardly be recognized with traditional sections.

Figure 2: Combined staining with HE/anti-CK. Section stacks were separated into three interleaving series. The first series were stained with H&E (A), and the second series were stained with the anti-CK antibody (B). The third series were left empty for future staining of other factor of interest. The HE/CK staining slides were aligned according to their original order, in interleaving way (C).

Figure 3: 3D-reconstruction with two consecutive pieces with HE staining. Generally the images were properly aligned inside the same piece. However, a gap could be observed between the two sample pieces, indicating that to create a complete consecutive reconstruction image, the target sample should be reconstructed as a whole and should not be separated before sectioning.

Figure 4: Surface reconstruction with auto-annotations of cancer nests. A: auto-annotations were successfully generated on CK staining sections, including most of the tumor nests and excluding most of the containments. B: surface was

reconstructed with the annotations on CK staining sections from the 2 sample pieces in the middle, selecting only the cancer parts. C: surface was reconstructed with the annotations from all 4 sample pieces, shown in half to better visualize the internal structure. *: tongue back. ←: cancer.