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A simple account of the complex may take a while


Reviewed by DUNSTAN BROWN

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1. Overview

*Morphological typology: From word to paradigm* (MT) was published five years ago. Since then it has already been widely accessed within the community of scholars working on morphological theory. Software on the site associated with the book is a useful source for assessing and evaluating inflectional class systems. Given the time since publication it is also to be expected that MT has been reviewed, for instance by Dahl (2014). Dahl indicates that he did not find the book easy reading. There may be some justification to this view, but I would like to suggest that MT provides us with the means to understand paradigms and their organisation in ways that have never before been available, and that it is worth the effort of the community of scholars researching morphology to build on the highly innovative work in MT and examine further what the different complexity measures mean, and how they can be used to formulate typologies. It is hardly surprising that morphological complexity is a challenge to understand, and a complete and overarching account of the topic is probably still a long way off. But anyone who wishes to develop such an account needs to engage with the fundamental ideas and methods that are made available in MT.

Before progressing to our discussion of MT we need first to be clear about the questions that the book addresses. As its title suggests, MT provides a typology of morphological structures, rather than considering psycholinguistic motivations behind them.
The structures in question, as the subtitle makes clear, involve the relationship between words and paradigms. Above all, the book is about the typological classification of paradigms and the implicative relations that they involve. It provides several different ways of measuring the variation exhibited in inflectional class systems, and morphological complexity is a key concept that lies at the heart of this.

There are a myriad different ways in which the term COMPLEXITY can be used, both in linguistics and beyond. So it is important to understand the domain covered by the term in MT. In MT complexity is associated with inflection classes. Languages with inflectional morphology but no inflectional classes, such as Turkish, are excluded from this notion of complexity, just as much as languages without any inflectional morphology (p. 11). Stump & Finkel aim to characterise the complexity of inflectional class systems according to how straightforwardly the different forms of a lexeme can be inferred:

Our objective in this monograph is to propose a clear conception of an IC [inflection class] system’s complexity, as the extent to which it inhibits motivated inferences about a lexeme’s full realized paradigm from subsets of its cells. (p. 21)

Under such a construal of complexity a highly complex inflectional class system is one where the Paradigm Cell Filling Problem (Ackerman, Blevins & Malouf 2009, Ackerman & Malouf 2013) is a big problem. A major contribution of MT to this matter is the development of a number of measures of IC system complexity. Stump & Finkel emphasize that their approach, because it is based on principal parts, is about certainty, rather than probability. The emphasis on inference could bring with it assumptions about what is easy or hard for speakers, but the measures are really about structural properties of languages, specifically paradigms. In fact, the investigation of how the measures relate to the processing and learning of languages would present a very rich seam for investigation. The individual measures provided in MT quantify the extent to which reliable inferences can be made about
the full set of forms of lexemes in different ways. In my view, making available measures that highlight different aspects of morphological complexity is a key part of MT’s value. The ongoing task is to understand these measures better, determining how they pick out different sub-types of complexity and assessing their value for morphological typology. As Bane (2008: 75) has noted, ‘in a sense, it doesn’t really matter whether a metric truly corresponds to whatever we mean by “complexity,” as long as it is useful.’ Bearing this point in mind, we need to be clear that the reason why IC system complexity, as discussed in MT, is interesting is because it represents an additional layer of structure that appears to be unnecessary. Indeed, it inhibits reliable inferences about inflectional forms. Our purpose should therefore be to see where the variation lies in languages with IC systems and which of the measures in MT can be used to describe that typological space. We first consider the different measures in section 2, then focus in section 3 on some of the predictions in MT. Representational issues are a major challenge in the area of morphological complexity, and MT has much of value to say on this, something we discuss in section 4. In section 5 we give an indication of key ideas arising from MT that should influence future direction in the field, in particular the hybrid model developed, and the subtly different notions of complexity that the measures pick out.

Stump & Finkel (p. 314) argue that the measures that they develop are not reducible into each other. In Chapter Eleven they apply ten measures to twelve different inflectional class systems. As it is important to understand how much of the space of morphological complexity that MT allows us to account for, we look at these measures and discuss them in turn.

2. **The ten correlates of inflectional class systems’ complexity**

As we progress through the correlates and associated measures, I give an indication of how they can be seen in terms of a simple dichotomy between rules and listing. This is not how the measures are explained in MT, but I believe it is helpful to try and understand them in
relation to these ideas, given that morphology in a certain sense represents a compromise between lexical specification (or listing) on the one hand, and rules (or implicative relations) on the other.

The first of the ten measures presented in their outline of typological variation in morphological complexity is the number of distillations:

(1) ‘The more distillations an IC system has, the more complex it is.’ (p. 327)

Distillation is a key concept in MT, first introduced in Chapter Two (p. 42). The set of feature values that define a paradigm cell, morphosyntactic property sets (MPS) in Stump & Finkel’s terms, give us information about inflectional class distinctions. Where one MPS distinguishes the classes in the same way as another MPS, these are isomorphic and can be reduced to one distillation. As a real-life example of this, consider the prefixal marking of gender and number in Burmeso in Table 1 (based on Donohue 2001: 100, 102).

There are two inflectional classes in Table 1 (represented in the rows by the example lexemes ‘see’ and ‘bite’). Each MPS, corresponding to a column, makes the same number of inflectional class distinctions (here two). This means that the twelve MPS in Table 1 can be reduced to one distillation. The Burmeso example in Table 1 is therefore not complex when evaluated from the perspective of (1).¹ More distillations indicate that inflectional classes are not isomorphic, and therefore that the structure of the IC system is complex. In MT (p. 41) distillations are presented in terms of redundancy within MPSs: The MPSs in a distillation share the same class structure and are therefore redundant. Another way of looking at it is to consider the number of MPS and distillations in relation to each other, something that can readily be done if one uses the software associated with MT. The number of distillations
indicates to us how many MPSs contribute to the inflectional system actually being an IC system. We might interpret this as telling us something about how much of the rule system requires purely morphological information.

The next measure of morphological complexity, as noted by Stump & Finkel, correlates loosely, but imperfectly, with the number of distillations:

(2) ‘The larger the size of an IC system’s optimal static principal-part sets, the more complex it is.’ (p. 327)

Static principal parts correspond to the traditional notion of principal part that we are familiar with from pedagogical grammars: The learner needs to know a specified number of forms in order to work out the rest of the paradigm. Of course, this fails to take into account the fact that for some lexemes we can get away with knowing less than for others (something dealt with by the dynamic principal parts system introduced elsewhere in MT, and discussed later in this section). Stump & Finkel note that the Icelandic conjugational system requires eight static principal parts. We could construe this type of measure as telling us something about the lexical listing that is required in order to facilitate the rule system. One of the reasons why it is important to interpret the size of the static principal parts set in relation to the number of distillations is that the latter gives us an indication of how much work the principal parts have to do, again indicating how the rule system and the listed parts need to interact.

One of the most interesting aspects of IC systems is the implicational overlap of cells in predicting each other. In a simplistic model of morphology one set of forms are listed and are used to predict another, disjoint, set of forms. However, in the real morphological world things are not that simple. There may be alternative static principal parts analyses, and MT provides a density measure for this:

(3) ‘The lower the density of an IC system’s optimal static principal-part sets, the more complex it is.’ (p. 329)
Returning to the Icelandic example, the size of the static principal parts set is 8. The number of distillations for Icelandic is 21. (In contrast, there are 30 MPSs in the dataset for Icelandic.) There are 60 alternative combinations of 8 principal parts that work for Icelandic. The number of possible combinations of 8 principal parts that could be chosen from the 21 distillations is 203,490. The density is the ratio of actual to possible static principal parts sets (60/203,490), which is less than 0.001, the lowest of all the twelve case-study languages in MT. As Stump & Finkel note, measures based on static principal parts, such as the density measure in (3), are not entirely satisfactory, because the requirement that the number of principal parts always be the same inflates the size of the principal parts set. This also means that for some lexemes the cells included in the principal parts set are not doing that much work, because of the implicational overlap. The reason why lower density static principal parts sets are considered more complex is because this indicates that the choice of viable combinations of cells that allow for other cells in the paradigm being inferred by rule is limited.

It is worth bearing in mind that, where the size of the static principal parts set is close to half the number of distillations, the number of possible (as opposed to actual) combinations will be at their highest. Where the size of the static principal part set is small (that is, close to one cell), then the density of the static principal parts set is extremely likely to be lower than for the case where the static principal parts set is large. In Table 2 we illustrate this point by showing for an IC system with 21 distillations, like the Icelandic one, the number of alternative analyses (second column) for static principal parts sets of sizes ranging from 1 to 21 (first column). The third column shows for static principal parts analyses of each size the number of actual (that is, viable) static principal parts analyses required to achieve a density
similar to that observed for principal parts analyses of size 8 where there are 60 (out of 203,490) actually observed analyses.

**INSERT TABLE 2 ABOUT HERE**

The density is calculated as follows:

\[ Density = \frac{Actual}{Alternative} \]

The third column is therefore calculated as follows:

\[ Actual = Density \times Alternatives \]

The value of density for the observed 60 actual principal parts analyses of size 8 is 0.000294 (60 divided by 203,490). We use this density value to calculate the required number of actual analyses for principal parts analyses of each of the other sizes (rounded up to the nearest integer in column 3). For principal parts analyses of size 1 to 3 for an IC system with 21 distillations this density can only be achieved if there are essentially no principal parts sets (0 in the third column). A single viable static principal parts set of size 1 would have the density of 0.047619. Recall that higher density should mean a greater number of alternatives, but here the smallest static principal parts analysis with no alternatives would still be higher in density (0.047619) than a static principal parts set of size 8 with 60 alternatives (0.000294), by two orders of magnitude. We therefore need to bear in mind the size of the static principal parts set when comparing across languages. As we shall see later, a measure based on dynamic principal parts does not suffer from the over-inflation of the number of parts required and the sensitivity to size of the analysis is smoothed out to some extent by averaging over lexemes.

This leads us to measures based on dynamic principal parts. Consider Table 3, which is adapted from MT (p. 33).
Dynamic principal parts have the virtue of measuring what is required to infer the full paradigm lexeme by lexeme. The number of cells in the principal parts set can differ for each lexeme. The choice of paradigm cells can also differ. That is, there is no requirement for lexemes to share cells in their principal parts set. In Table 3 lexemes of class A have one dynamic principal part, and this is associated with the morphosyntactic property set 1. This is also true for lexemes of class B. Lexemes of classes C and D, on the other hand, use one dynamic principal part associated with morphosyntactic property set 2. Lexemes of class F also require only one dynamic principal part, but this time associated with morphosyntactic property set 3. For lexemes of class E, however, one MPS is insufficient to infer the full paradigm. It turns out that the minimum size of the dynamic principal part set for class E is three. There are three alternative analyses, equally optimal, and each involving morphosyntactic property set 3: \( \{3,1\}, \{3,2\} \) or \( \{3,4\} \). For Table 3 the average size of the optimal dynamic principal parts set is 1.33 (8/6). This is an important measure of complexity:

\[(4) \quad \text{`The larger the size of an IC system’s optimal dynamic principal-part sets, the more complex it is.'} \quad (p. 330)\]

This measure is less brittle than (2), because it is sensitive to the variation across lexemes. Intuitively, the greater on average the size of the dynamic principal-part set, the more burden has to be taken on by listing information, rather than inferring things by rule. This makes the system more complex. For the twelve case-study datasets looked at in MT Palantla Chinantec (or Tlatepuzco Chinantec) has the highest average size of optimal dynamic principal-part sets (p. 332), making it the most complex in this dimension. If we wish to understand (4) in terms of the relationship between listing and inference (rules), then it is important to bear in mind
the size of the paradigm for which the DPP size is calculated. The Palantla Chinantec paradigm in the dataset used for MT has twelve paradigm cells. So an average size of 1.33 is still much less than half the paradigm, indicating that the rule system still does a lot. If the average DPP size for a 12-cell paradigm were 6 or higher, then the role of the rule system (inference) would be much less.

Given the size of the average dynamic principal parts analysis the next measure, I suggest, can be interpreted as a way of understanding the consistency of the system. This is the average ratio of actual to possible optimal dynamic principal-parts analyses (cf. (3), the density measure used for static principal parts). Stump & Finkel say the following:

(5) ‘The smaller the average ratio of actual to possible optimal dynamic principal-parts analyses for an IC system, the more complex it is.’ (p. 330)

Consider the optimal dynamic principal-part sets in Table 3. For the sake of illustration, let’s assume that the lexicon consists of six lexemes, each representing one of the six classes in Table 3. The ratio is calculated for each lexeme by dividing the number of actual optimal dynamic principal-part analyses by the number of possible ones. For lexeme A (belong to class A), there is one optimal dynamic principal part. The number of possible (as opposed to actual) optimal dynamic principal parts of size one in a four-cell paradigm is four. The ratio of actual to possible optimal dynamic principal-part analyses for lexeme A is therefore 25% (1/4). It can easily be confirmed that it is also 25% for A, B, C, D and F. For lexeme E (representing class E), as we have seen, there actually exist three optimal dynamic principal-part analyses of size two. The possible (as opposed to actual) number of optimal dynamic principal-part analyses of size two in a four-cell paradigm is six, because there are six ways of choosing two from four. The ratio of actual to possible optimal dynamic principal-part analyses for lexeme E is therefore 50% (3/6). The average ratio of actual to possible optimal dynamic principal-parts analyses for the system represented in Table 3 is the average of the
ratios for the set of lexemes, namely 29.2% (Table 4). (The three analyses for lexeme E are presented on separate rows.)

**INSERT TABLE 4 ABOUT HERE**

Intuitively, the average ratio of actual to possible optimal dynamic principal-parts analyses provides us with a measure of how the work is divided up between the different elements of the system. Although this view is not explicitly stated or endorsed in MT, as far as I could see, one way we could understand the average ratio of actual to possible optimal dynamic principal-parts is as a proxy measure of the relationship between rules and listing. Let’s consider the size-two analyses in Table 4, associated with class E, and construct a system based on rules and listed items (or sets of items). We also constrain this system by stating that only items (or sets of items) that are capable of predicting the whole paradigm can appear on the left-hand side of a rule. The actual system of three combinations Table 4 uses all four MPS: 1, 2, 3, 4. Bearing in mind the constraint that says we can only allow items that predict the whole paradigm on the left-hand side, we have the following six rules:

\[
\begin{align*}
\{1,3\} & \to 2 \\
\{1,3\} & \to 4 \\
\{2,3\} & \to 1 \\
\{2,3\} & \to 4 \\
\{3,4\} & \to 1 \\
\{3,4\} & \to 2
\end{align*}
\]
The first of these rules says that the MPS 1 and MPS 3 combined predict MPS 2, and so on. For class E in Table 4 we therefore have four items that can be listed (all four MPS) and six rules.

If we imagine, contra Table 4, that for class E there is only one analysis of size two that works. Let’s say \{1,3\}, although it does not matter which. Under this hypothetical system we would need the following rules:

\[
\{1,3\} \rightarrow 2
\]

\[
\{1,3\} \rightarrow 4
\]

We now have two MPS that are listed, and two rules. In a certain sense this would be a system for class E where the rules and the listing are in balance. How does this relate to the ratio of actual to possible optimal dynamic principal-parts? This would be the system where there is only one analysis of size two, and the ratio of actual to possible optimal dynamic principal-parts for class E would be as low as it could be, namely 17% (1/6). According to (5), this is complex because it inhibits reliable inferences. Alternatively, we might construe the ratio as a measure of a different notion of morphological complexity, one in which lexical listing and the rule system are in balance. This will be at its highest (and the ratio therefore at its lowest), with no alternative analyses of that size, when half the paradigm is listed (that is, the size of the average dynamic principal parts set is equal to half the paradigm) and consequently there will be an equal number of rules that predict each of the remaining cells in the other half of the paradigm. If the size of the dynamic principal-part set is less than half the paradigm, rules, while if it is greater than half the paradigm size, listing dominates.

Moving from the predictive power of combinations of cells Stump & Finkel present the average cell predictor number as another measure of complexity:
The higher an IC system’s cell predictor number (averaged across ICs), the more complex it is.’ (p. 332)

The cell predictor number is averaged across an inflectional class. It tells us on average how many principal parts are required to predict a cell within the inflectional class. When averaged across all inflectional classes, it tells us how predictable the average paradigm cell is. This measure tells us something about the strength of the rule system within the language, as does the next one, average cell predictiveness.

In MT the average predictiveness of a cell can be quantified:

‘The lower an IC system’s average cell predictiveness, the more complex it is.’ (p. 332)

Naturally, the lower number here gives the more complex result, as a stronger rule system will make a cell more predictive of another cell. The utility of these measures is that they try to move down to the individual cell level. One of the things we have insufficient knowledge about is what contributes to elements of the paradigm coming together to be part of an effective principal part combination. Some cells may be very effective at picking out sub-elements of the paradigm and contributing this to principal parts. But understanding the extent of typological difference between paradigm elements based on the extent of their predictability and predictiveness is an under-researched area, and these measures are very valuable for taking this forward.

In MT it is noted that average IC predictability is also a dimension where there can be significant variation across languages:

‘The lower an IC system’s average IC predictability, the more complex it is.’ (p. 334)

IC predictability for a given inflectional class is essentially the ratio of adequate dynamic principal parts sets to all non-empty subsets of cells belonging to that class. It is therefore an expression of the proportion of all possible combinations of cells that can be used to predict
the inflectional class in question. This can be calculated for each inflectional class and averaged over the whole system (‘average IC predictability’). Where all possible combinations of cells would work, then the average IC predictability would be high. As we see in section 3, MT predicts that inflectional classes with low type frequency (‘marginal classes’) also have a more detrimental effect on the IC predictability of a more central class (that is, one that contains lots of types) than central classes have on the IC predictability of marginal classes. The statement in (8) is therefore useful for formulating what appears to be a strong generalization about inflectional classes.

The next measure deals with individual cells, so that we can make a contrast between predicting cells and predicting whole ICs.

(9) ‘The lower an IC system’s average cell predictability, the more complex it is.’ (p. 314)
Average cell predictability does not care about inflectional classes as such. For a specific cell in the paradigm it is an expression of a paradigm’s subsets of distillations that predict that cell as a proportion of all subsets. This can then be average across the lexicon. A high cell predictability is therefore an indication that the system can be effectively described by (implicational) rules. This is why low cell predictability is associated with complexity in the sense that it inhibits reliable inferences.

The final measure for the ten correlates of morphological complexity is the n-MPS entropy measure. This measure computes the entropy of a given cell based on a combination of other cells, normally set to four (that is, combinations of size 4 or less).

(10) ‘The higher an IC system’s average n-MPS entropy, the more complex it is.’ (p. 337)
This could therefore be construed as an information-theoretic version of cell-predictability. Stump & Finkel express the view that morphological complexity cannot be reduced to a single one of these measures.
It may be reasonable to highlight certain of these measures as being of more interest than others, and some of these are used in key predictions, to which we now turn. Naturally, given the scope and the book, I am not able to address all of the predictions, but concentrate on those that appear to me of particular interest.

3. Some key predictions

An important observation is made in MT about the relationship between the average cell predictor number and average number of dynamic principal parts. Recall that the average cell predictor number tells us how many principal parts on average are required to determine a paradigm cell. This is different from the average dynamic principal part number, which gives us the average number of dynamic principal parts required to predict the whole paradigm. In Chapter Three of MT ten languages are compared in terms of the average cell predictor number and the dynamic principal-part number. For each of the languages compared the average cell predictor number is either equal to or smaller than the dynamic-principal part number. Stump & Finkel note that this suggests that the Low Entropy Conjecture (Ackerman & Malouf 2013) can be further refined (p. 61): ‘the determination of a given realized cell involves lower expected conditional entropy than the determination of the full paradigm to which it belongs.’ Indeed, a key benefit of the work presented in MT is that it allows us to tease apart properties of morphological complexity associated with cells from those associated with whole inflectional classes, for which there are also predictions to be made.

In contrast, an important prediction in relation to inflectional classes is the Marginal Detraction Hypothesis, introduced in Chapter Eight:

(11) ‘Marginal ICs tend to detract most strongly from the IC predictability of other ICs.’ (p. 225)

Marginal inflectional classes are defined in terms of type frequency (p. 225), not token frequency. That is, a marginal inflectional class is one that contains a small number of
lexemes. Recall that IC predictability expresses the number of adequate dynamic principal part analyses as a fraction of all possible dynamic principal part analyses. Maximum IC predictability (that is, of value 1) means that the number of adequate principal part analyses is the same as the potential number. The key idea is that marginal inflectional classes will detract more from IC predictability of central classes, than central classes will detract from IC predictability of marginal classes. In MT this is illustrated by using an English-like example containing a maximum of four ICs, based on ablaut series, as illustrated in Table 5, where the most marginal class contains only one lexeme, namely the verb *run*.

The last column has been added here in order to illustrate what the Marginal Detraction Hypothesis says. In the example in Table 5 there are three morpho-syntactic properties (present, past, past participle). There are seven non-empty members of the powerset of \{pres, past, past_part\}:

- \{past ptcp\}
- \{past\}
- \{present\}
- \{past ptcp, present\}
- \{past, past ptcp\}
- \{past, present\}
- \{past, past ptcp, present\}

The penultimate column shows us the IC predictability of conjugation class (a), more marginal classes are progressively added. For (a) on its own (that is, without any other classes) the value for IC predictability is 1.000 because any of the seven members of the
powerset will trivially predict the IC. When we add class (b) the IC predictability of (a) reduces to 0.571, because we need to know the past tense form in order to predict the class, and four of the seven non-empty members of the powerset contain this information. The smaller class (c) detracts further from the IC predictability of (a), as we need to know both the past and the past participle (that is, two out of the seven non-empty members of the powerset). For class (d) we need to know all three of the properties, and therefore only one out of the seven non-empty members of the powerset will work.

The final column, which is not given in MT, calculates the IC predictability of the most marginal class (d), depending on the presence of the other ICs, progressing through the marginal ones to the central ones. That is, we carry out the exercise in exactly the reverse order. When only class (d) is given the IC predictability is 1.000. When we add class (c) the IC predictability for (d) decreases to 0.857, because we need to know either the present or the past participle, so that six out of the seven non-empty members of the powerset work. Adding class (b) reduces the IC predictability of (d) further to 0.714, because only five out of seven non-empty members of the powerset are predictive (that is, \{present\} plus the other four sets that contain two or more properties). Finally, adding class (a) reduces the IC predictability of (d) down to 0.571, because only four out of seven non-empty members of the powerset are predictive (namely \{present\}, \{past ptcp, present\}, \{past, present\} and \{past, past ptcp, present\}). This exercise requires us to force the number of distillations to remain the same when we make the comparison, as it is noted in MT that where adding an IC increases the number of distillations this can increase the predictability. (For the purposes of the calculations in Table 5 we have forced the number of distillations to remain at three.)

It should be apparent from looking at Table 5 that addition of marginal classes detracts more from the measure of IC predictability for central classes than the addition of central classes does from the measure of IC predictability for marginal classes. For instance, when
we start with the central class (a), by the time we get to class (d), IC predictability for (a) has deteriorated to 0.143. In contrast, if we start with the marginal class (d), by the time we get to class (a), IC predictability has deteriorated only to 0.571. In MT detailed examples from both Icelandic and French are used to support the Marginal Detraction Hypothesis.

We should consider what is required for a class to detract from the IC predictability of another class. Note that a key difference in Table 5 between the ICs is that the central class contains three allomorphs, whereas the other three have two allomorphs, each of which is shared with the central class. If a class does not share allomorphs with another class it will not detract from it. Presented with an inflectional class in which there is overlap between allomorphs, the Marginal Detraction Hypothesis should allow us to predict which has higher type frequency.

4. Representational issues

An important issue that arises from MT, and one that is dealt with in depth by the authors is the question of representation. In the chapter on speaker-oriented and hearer-oriented plats, it is shown that significant differences in results can depend on the nature of the representation. The addition of gender information (that is, information about the associated gender agreement patterns) can diminish IC complexity. Gender appears to be useful in increasing both predictability and predictiveness, while delimitation of stems increases predictiveness, but not predictability.

Another important question is the distinction that is made in MT between exponence and exponents (p. 21), where the former refers to the realization of the complete morphosyntactic specification associated with a word form, while the latter refers to elements related to part of the morphosyntactic specification. This brings with it the interesting question of how much difference there may be across different layers of morphological
realization, something that the tools provided by MT may put us in a position to answer in the long run.

The representational issues are big ones, of course, but they also create potential for new research programmes in which we consider the relative importance of exponents as predictors, as opposed to being predictable. One thing that would be useful to know, for instance, is how, if at all, the notion of default fits into the picture. This is an important issue, because we can see other forms as being predictive of defaults, or we can see that defaults are predictable. It is hard to know how substantial this issue may be, but it is worth investigating. In fact, with MT there are many ideas that represent key directions for the future, should we wish to take them up, and it is to some of these that I now turn.

5. **Key ideas and future direction for the field**

An important distinction is made in MT between two types of rule. One type of rule specifies the realization, taking a stem and a morpho-syntactic property set. An alternative type are implicative rules that deduce one cell of a paradigm on the basis of one or more other paradigm cells. Indeed, MT provides a hybrid conception of inflectional morphology that makes use of W-relations and R-relations (Chapter Nine). The value of rule systems that work with multiple elements of the paradigm is also something that is recognized within contemporary NLP work (see Kann, Cotterell & Schütze 2017). As Sims & Parker (2016) note, there is significant typological variation in the extent to which implicative relations play a role in different morphologically complex systems. Sims & Parker’s work considers the contributions of type frequency and implicative to speakers’ knowledge. In the hybrid model provided in MT two systems are contrasted: One in which the rule system can make use of IC diacritics (that is, a purely morphological feature) and one in which implicative rules can be used. If the role of implicative relations is a matter of typological variation, then the hybrid model has much to support it.
As noted, the fundamental starting point is that morphological complexity is about how readily reliable inferences can be made about realization. Inference is naturally associated with rules, and MT talks of maximally transparent and maximally opaque ICs (p. 81). In a maximally transparent system each cell of the IC predicts every other cell (see also Baerman, Brown & Corbett 2017: 101-2 on grid systems). In a maximally opaque system no combination of paradigm cells can predict the other members of the paradigm. In terms of inference the latter is considered morphologically complex, while the other is the opposite. The two maximal systems (transparent and opaque) presented in MT could also be understood in terms of rules and lexical listing. The maximally transparent system can be described by an effective system of implicational rules, where each cell predicts every other cell. The maximally opaque system requires listing of all forms for the given class. If the Low Conditional Entropy Conjecture (Ackerman & Malouf 2013) is correct, the maximally opaque system will not be found. So it is worth considering where we should be focusing our efforts as morphologists, if one of the extremes is not likely to be attested (which is an important finding, if correct). Returning to the two basic types identified in MT we can also note that they represent two ‘pure’ systems: One is based PURELY on rules, while the other would be based PURELY on listing (the high entropy end). As we know, virtually all morphology involves an interplay of lexical stipulation (listing) on the one hand, and rules on the other. We could therefore consider this interplay itself to be a type of complexity (called ‘central system complexity’ in Baerman, Brown & Corbett 2017), which should be at its highest when the rule system and the system of listing are involved in equal measure.⁴ From this perspective maximally transparent and maximally opaque systems are both simple: They do not involve any compromise between rules and listing, as they are either purely one or the other. What is more, for one of the measures in MT they both have the same value. That is, the average ratio of actual to possible optimal dynamic principal (discussed in section 2). For
the plat illustrating the maximally opaque system on p. 83 of MT the ratio is 100% because for every class all three distillations are required, and therefore for every class the number of possible dynamic principal part analyses is the same as the number of actual analyses (one). This value for the maximally transparent system is also 100%, because this reduces down to one distillation, and therefore one analysis. This suggests that the average ratio of actual to possible optimal dynamic principal-parts could play an important role in investigating this particular type of complexity.

6. Conclusion

MT provides interesting predictions about what is possible in morphologically complex systems, as we see with the Marginal Detraction Hypothesis, for instance, and a range of different measures that pick out subtly different aspects of IC systems. As with any ground-breaking work it demands a lot of attention when reading, and also prompts thoughts about the possible implications of the measures provided. Some may prove to be more valuable than others. In particular, it is reasonable to assume that the measures associated with dynamic principal parts will prove particularly useful, because they can provide a realistic assessment of what needs to be stored and what can be inferred, without imposing a rigid threshold for all items in the lexicon. Perhaps MT’s biggest service is to provide the field with a new set of measures specifically tailored for morphological complexity. As a toolkit for measuring the complexity of IC systems MT should be the first port of call. Stump & Finkel note that there is reason to be skeptical that the different measures can be reduced down to one, which would indicate that we cannot expect a simple account of the morphologically complex in the near future. But if such an account were to exist it would require a thorough understanding of the full range of typological variation that MT now allows us to measure.

References


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<thead>
<tr>
<th></th>
<th>SG I</th>
<th>PL I</th>
<th>SG II</th>
<th>PL II</th>
<th>SG III</th>
<th>PL III</th>
<th>SG IV</th>
<th>PL IV</th>
<th>SG V</th>
<th>PL V</th>
<th>SG VI</th>
<th>PL VI</th>
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<td>j-</td>
<td>s-</td>
<td>g</td>
<td>s-</td>
<td>g-</td>
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<td>j-</td>
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<td>'bite'</td>
<td>b-</td>
<td>t-</td>
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<td>t-</td>
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Table 2. Small static principal parts sets are very likely to have higher density

<table>
<thead>
<tr>
<th>Size of Static Principal Parts Set</th>
<th>Number of Alternative Analyses</th>
<th>Actual for Density &lt;0.001</th>
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<td>21</td>
<td>0</td>
</tr>
<tr>
<td>2</td>
<td>210</td>
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</tr>
<tr>
<td>3</td>
<td>1,330</td>
<td>0</td>
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<tr>
<td>4</td>
<td>5,985</td>
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</tr>
<tr>
<td>5</td>
<td>20,349</td>
<td>6</td>
</tr>
<tr>
<td>6</td>
<td>54,264</td>
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<tr>
<td>7</td>
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<td>352,716</td>
<td>104</td>
</tr>
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Table 3. Dynamic principal parts

<table>
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</thead>
<tbody>
<tr>
<td>A</td>
<td>a</td>
<td>E</td>
<td>i</td>
<td>l</td>
<td>1</td>
</tr>
<tr>
<td>B</td>
<td>b</td>
<td>E</td>
<td>i</td>
<td>l</td>
<td>1</td>
</tr>
<tr>
<td>C</td>
<td>c</td>
<td>f</td>
<td>j</td>
<td>m</td>
<td>1</td>
</tr>
<tr>
<td>D</td>
<td>c</td>
<td>g</td>
<td>j</td>
<td>m</td>
<td>1</td>
</tr>
<tr>
<td>E*</td>
<td>d</td>
<td>H</td>
<td>j</td>
<td>n</td>
<td>3</td>
</tr>
<tr>
<td>F</td>
<td>d</td>
<td>H</td>
<td>k</td>
<td>n</td>
<td>1</td>
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Table 4. Calculating the average ratio of actual to possible optimal dynamic principal-parts analyses for an IC system

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<th>Size</th>
<th>Ratio</th>
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<tr>
<td>A</td>
<td>a</td>
<td>e</td>
<td>i</td>
<td>l</td>
<td>1</td>
<td>25% (1/4)</td>
</tr>
<tr>
<td>B</td>
<td>b</td>
<td>e</td>
<td>i</td>
<td>l</td>
<td>1</td>
<td>25% (1/4)</td>
</tr>
<tr>
<td>C</td>
<td>c</td>
<td>f</td>
<td>j</td>
<td>m</td>
<td>1</td>
<td>25% (1/4)</td>
</tr>
<tr>
<td>D</td>
<td>c</td>
<td>g</td>
<td>j</td>
<td>m</td>
<td>1</td>
<td>25% (1/4)</td>
</tr>
<tr>
<td>E*</td>
<td>d</td>
<td>h</td>
<td>j</td>
<td>n</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>E*</td>
<td>d</td>
<td>h</td>
<td>j</td>
<td>n</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>E*</td>
<td>d</td>
<td>h</td>
<td>j</td>
<td>n</td>
<td>3</td>
<td>50% (3/6)</td>
</tr>
<tr>
<td>F</td>
<td>d</td>
<td>h</td>
<td>k</td>
<td>n</td>
<td>1</td>
<td>25% (1/4)</td>
</tr>
</tbody>
</table>

Average: 29.2% (175/6)
Table 5. Marginal classes detract more than central classes (Stump & Finkel 2013: 226)

<table>
<thead>
<tr>
<th>Stem Vocalism</th>
<th>IC Predictability of (a), given knowledge of this IC and ones above.</th>
<th>IC Predictability of (d), given knowledge of this IC and ones below.</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Predictability of (a), given knowledge of this IC and ones above.</td>
<td>Predictability of (d), given knowledge of this IC and ones below.</td>
</tr>
<tr>
<td></td>
<td>SING, SINK, SWIM</td>
<td>CLING, STICK, DIG</td>
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<tr>
<td>(a) -ɪ- -æ- -ʌ-</td>
<td>1.000 0.571</td>
<td>0.571 0.714</td>
</tr>
<tr>
<td>(b) -ɪ- -ʌ- -ʌ-</td>
<td>SIT, SPIT 0.286 0.857</td>
<td>RUN 0.143 1.000</td>
</tr>
<tr>
<td>(c) -ʌ- -æ- -ʌ-</td>
<td>SIT, SPIT 0.286 0.857</td>
<td>RUN 0.143 1.000</td>
</tr>
</tbody>
</table>
Notes

1 Syncretism is not relevant for defining a distillation. In order to belong to the same distillation there is no requirement for the MPSs to share allomorphs (that is, to be syncretic, as defined in Baerman, Brown & Corbett 2005, for instance). What is required is that across MPSs belonging to the same distillation the same inflectional classes are distinguished. For instance, the MPSs SG V and PL VI belong to the one distillation in Table 1, but they do not share exponents.

2 This is the binomial co-efficient \( \binom{n}{k} = \frac{n!}{k!(n-k)!} \), which in this case is therefore \( \frac{21!}{8!(21-8)!} \).

3 The term ‘large’ is not entirely accurate here, because if the static principal parts set is larger than half the paradigm size the number of possible analyses will start to reduce. Informally at least we do not expect the static principal part set to require over half of the cells in the paradigm to be given, and stick to this informal use of the term ‘large’.

4 It is worth pointing out here that the intention is not to oust one notion of complexity with another, but merely to consider the different types of complexity and what they tell us. Indeed, one of the great services of MT is that it provides us with the means of measuring subtly different types of morphological complexity.