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#### **Kev Points:**

- The gas transfer velocity of sparingly soluble gases is better parameterized by wave-related Reynolds numbers than wind speed alone
- Wave-related Reynolds numbers provide a unique universal relationship for CO<sub>2</sub> gas transfer that transcends the quadratic-cubic conundrum
- Wave-related Reynolds number and wind speed show comparable performance for DMS

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# Wave-Related Reynolds Number Parameterizations of CO<sub>2</sub> and DMS Transfer Velocities

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**Abstract** Predicting future climate hinges on our understanding of and ability to quantify air-sea gas transfer. The latter relies on parameterizations of the gas transfer velocity k, which represents physical mass transfer mechanisms and is usually parameterized as a nonlinear function of wind forcing. In an attempt to reduce uncertainties in k, this study explores empirical parameterizations that incorporate both wind speed and sea state dependence via wave-wind and breaking Reynolds numbers,  $R_H$  and  $R_B$ . Analysis of concurrent eddy covariance gas transfer and measured wavefield statistics supplemented by wave model hindcasts shows for the first time that wave-related Reynolds numbers collapse four open ocean data sets that have a wind speed dependence of  $CO_2$  transfer velocity ranging from lower than quadratic to cubic. Wave-related Reynolds number and wind speed show comparable performance for parametrizing dimethyl sulfide (DMS) which, because of its higher solubility, is less affected by bubble-mediated exchange associated with wave breaking.

### 1. Introduction

In the current age of anthropogenic climate change, it is imperative to reduce uncertainties in climate predictions to allow for sound mitigation and adaptation guidelines. Adequate characterization of gas transfer across the air-sea interface is not only essential to quantify local and global sinks and sources of carbon dioxide,  $CO_2$ , but also to budget many other trace gases that influence Earth's climate (Carpenter et al., 2012). These include, among others, marine aerosol precursors such as dimethyl sulfide, DMS (Charlson et al., 1987). The bulk gas flux  $(F_x)$  across the air-sea interface can be expressed as a function of the concentration difference  $(\Delta C_x)$  across the air-water interface and a kinematic parameter, the transfer velocity  $(k_x)$ :

$$F_x = k_x \, \Delta C_x = k_x \, \alpha_x \, (p_{xw} - p_{xa}), \tag{1}$$

where  $a_x$  is the solubility of the gas, x, in seawater, and  $p_{xa}$  and  $p_{xw}$  are the partial pressures of x in the air and surface water, respectively. The parameter  $k_x$  represents the mass transfer resistances of various physical forcing mechanisms and incorporates the dependence of the transfer on the diffusivity of the gas in water (which varies for different gases, temperatures, and salinities).

Studies have shown that the interfacial transfer velocity is regulated by the turbulence in the air and water surface microlayers, which arises from wind stress at the water surface and buoyancy effects (Jähne et al., 1987; Komori et al., 1993). The parameter k is therefore typically parameterized as a function of wind speed (U). One of the earliest parameterizations (Liss & Merlivat, 1986) derived from various field and laboratory measurements was a three-piece linear function of U, corresponding to wind regimes in which no waves, capillary waves, or breaking waves are present. For simplicity and practical reasons, the impacts of waves were later ignored and a single quadratic (Wanninkhof, 1992) or cubic (McGillis et al., 2001; Wanninkhof & McGillis, 1999) function linking k to U were adopted for all sea states.

Current climate modeling studies most commonly use quadratic wind speed parameterizations for k (Arora et al., 2013; Wanninkhof, 1992). These parameterizations are tuned to give the correct result for the global radiocarbon carbon budget over yearly or decadal timescales (Sweeney et al., 2007; Wanninkhof, 1992, 2014) and provide an important constraint for global studies but have an uncertain relationship to transfer

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mechanisms over limited temporal and spatial scales. Recent eddy covariance measurements (Garbe et al., 2014) highlight the inadequacy of wind speed-only parameterizations for gas transfer velocities. For wind speeds above 10 m s<sup>-1</sup> observations display substantial scatter and wind speed-dependent parameterizations diverge; this can be attributed to a variety of environmental conditions and processes, mainly associated with surface waves. The complex interplay of these processes means that wind speed alone cannot capture the variability of air-water gas exchange. For winds over 7 m s<sup>-1</sup>, breaking waves become a key factor to consider when estimating gas fluxes. Both theoretical and experimental studies suggest that wind waves and their breaking can significantly enhance gas exchange (Woolf, 1997). Breaking results in additional upper ocean turbulence and generation of bubble clouds. Bubbles offer a second pathway for gas transfer between the atmosphere and ocean in addition to direct diffusion across the main interface. Their influence increases with decreasing solubility leading to significant enhancement of the transfer of sparingly soluble gases such as CO<sub>2</sub> under wave-breaking conditions relative to calm seas.

The main proxy used to quantify breaking processes is the whitecap fraction (W), which is detectable in near surface imagery from ships or aircrafts (e.g., Brumer et al., 2017, and references therein) and can be retrieved from satellite data (Anguelova & Webster, 2006). This has led to parameterizations in which the total (Zhao et al., 2003) or bubble-mediated (Woolf, 1997, 2005) gas transfer velocities are expressed as a function of W. Similarly to k, W is typically modeled as a nonlinear function of wind speed. However, several studies have shown that W can be better constrained by a function of breaking ( $R_B$ ) and wave-wind ( $R_H$ ) Reynolds numbers (Brumer et al., 2017; Goddijn-Murphy et al., 2011; Zhao & Toba, 2001). Norris et al. (2013) provided further evidence that wave-breaking-related processes may be better constrained by Reynolds numbers than by wind speed alone, showing  $R_H$  to be a more adequate predictor for sea spray aerosol flux, which results from breaking waves, than wind speed. These Reynolds numbers incorporate both wavefield and wind speed dependence. The wave-wind and breaking Reynolds numbers can be written as follows:  $R_{HW}$ 

 $=\frac{u_*H_s}{v_w}$  and  $R_{BW}=\frac{u_*^2}{v_w\omega_p}$ , respectively, where  $u_*$  is the friction velocity,  $H_s$  is the significant wave height,  $v_W$  is the viscosity of water, and  $\omega_p$  is the peak angular frequency of the wave energy spectrum. The use of the water viscosity is denoted by the "w" subscript. Zhao et al. (2003) combined a parameterization that expresses  $k_{\text{CO2}}$  as a power law of W based on data from Wanninkhof et al. (1995) and the relation of W and  $R_B$  from Zhao and Toba (2001). They deduced

$$k_{660} = 0.13 \, R_{Ba}^{0.63}, \tag{2}$$

where  $k_{660}$  is the gas velocity normalized to a Schmidt number, Sc, of 660 in units of cm h<sup>-1</sup>. Note that they used the air viscosity ( $v_a$ ) rather than the water viscosity for their Reynolds number calculations, hence, the "a" subscript. Using wind tunnel data from Jähne et al. (1985), they further suggested

$$k_{660} = 0.25 R_{Ra}^{0.67}$$
 (3)

Woolf (2005) built on his earlier model (Woolf, 1997), which separates breaking ( $k_b$ ), whitecap-dependent from nonbreaking ( $k_0$ ,  $u_*$ , and Sc-dependent) contributions and expressed the CO<sub>2</sub> gas transfer velocity as

$$k = k_0 + k_b = 1.57 \times 10^{-4} u_* \left(\frac{600}{Sc}\right)^{1/2} + 2 \times 10^{-5} R_{Hw}.$$
 (4)

Note that this model does not explicitly account for the solubility dependence of  $k_b$  but is consistent with measurements of  $CO_2$  transfer at 20°C. Equation (4) may therefore only be used for low solubility gases such as  $CO_2$  and requires modification to be applicable to other gases (see Jeffery et al., 2010).

These relationships were determined for growing wind-sea conditions. Recent studies (Brumer et al., 2017; Goddijn-Murphy et al., 2011) showed that statistics computed from the total wave spectra, including swells, captured observed variability in W as well as wind-sea-only statistics suggesting an extended applicability of Reynolds number parameterizations. To date, few gas transfer measurements have been made with concurrent wave physics observations, and the universality of these parameterizations has yet to be assessed. In this paper, data from four field projects are used to evaluate Reynolds number parameterizations for  $CO_2$  and DMS and their performance is contrasted to that of wind-only relationships.



#### 2. Data and Methods

Direct eddy covariance measurements of  $CO_2$  were obtained in the North Atlantic during wind speeds up to ~15 m s<sup>-1</sup> during GasEx-98 (McGillis et al., 2001). No wave measurements were made during GasEx-98. Instead, wavefield statistics were computed from a WAVEWATCHIII $^\circ$  (WW3) global hindcast obtained from the database of the French Research Institute for Exploitation of the Sea (IFREMER) where 3-hourly statistics derived from the total spectrum with a 0.5 $^\circ$  spatial resolution are archived (ftp://ftp.ifremer.fr/ifremer/ww3/HINDCAST/GLOBAL/1998\_CFSR/).

The Southern Ocean (SO) GasEx cruise, which took place in the South Atlantic, made available eddy covariance measurements of  $CO_2$  and DMS during wind speeds averaging 9.7  $\pm$  3.2 m s<sup>-1</sup> with a maximum of ~18 m s<sup>-1</sup> (Edson et al., 2011; Ho et al., 2011; Yang et al., 2011). A Riegl laser altimeter (model LD90-3100VHS) and a Wave and Surface Current Monitoring System (WAMOS° II) provided wavefield data (Cifuentes-Lorenzen et al., 2013; Lund et al., 2017). The WAMOS resolves the directional wave, whereas the Riegl provides only onmidirectional information. Wavefield statistics are consistent between the Riegl and WAMOS, but WAMOS allows separation of wind-sea and swell components. As the WAMOS was not running during the large storm that occurred during the SO GasEx 5-day return transit, the wave data were supplemented by a WW3 global hindcast from the IFREMER database (ftp://ftp.ifremer.fr/ifremer/ww3/HINDCAST/GLOBAL/2008\_ECMWF/). Lund et al. (2017) found excellent agreement between the WAMOS and this hindcast for SO GasEX.

Eddy covariance measurements of air-sea  $CO_2$  and DMS fluxes were also made during the Knorr11 cruise, which took place in the North Atlantic Ocean, during wind speeds ranging from ~2 to 20 m s<sup>-1</sup> (Bell et al., 2013, 2017). Omnidirectional surface wave spectra were obtained using an ultrasonic altimeter (Christensen et al., 2013). The significant wave heights measured during Knorr11 agree well with reanalysis and satellite data regardless of whether the ship was on station or not. Good agreement was found between the measured and modeled peak frequencies only while on station. Underway estimates of  $\omega_p$  are therefore discarded.

The latest direct eddy correlation fluxes of  $CO_2$  and DMS were made during the High Wind Gas exchange Study (HiWinGS) (Blomquist et al., 2017; Brumer et al., 2017; Yang et al., 2014) at wind speeds up to  $\sim$ 25 m s<sup>-1</sup>. The wavefield was monitored with a Riegl laser altimeter and a Datawell DWR-4G Waverider buoy. Buoy wave measurements were only acquired on station (approximately 68% of the cruise duration). They were supplemented by a cruise-specific WW3 hindcast for the entire period of the cruise. The WW3 hindcast, described in Brumer et al. (2017), matches the buoy measurements well and provide the most complete data set. The model directional spectra along the cruise track will be used for the results reported here.

#### 2.1. Computation of Wavefield Statistics

Hourly data are used from all experiments except Knorr11, for which 2-hourly time series were provided. Wavefield statistics were computed from the directional wave spectra obtained from the WAMOS and WW3 hindcasts. The 3-hourly global hindcast fields of  $H_s$  and  $f_p$  derived from the total spectrum were interpolated first in space onto the ship's track and then in time to match gas transfer velocity estimates. Separation of the wind-sea and swell systems was achieved based on Hanson and Phillips (2001). While hourly gas transfer velocities were computed for SO GasEx, WAMOS spectra and statistics were computed over 10.25 min and averaged to match the gas transfer velocity time resolution. For HiWinGS, the hindcast statistics were obtained half hourly for each of four grid points around the ship position and averaged to give a single hourly time series matching the gas fluxes. The significant wave height is defined as follows:  $H_s = 4[\int E(f)df]^{1/2}$ , where E(f) is either the total omnidirectional wave spectrum or the wind-sea partition. The peak angular velocity is determined from the peak frequency of each system of the whole spectrum:  $\omega_p = 2\pi f_p$ . In what follows, statistics computed from the wind-sea partition are denoted by a "ws" subscript.

### 2.2. Determination and Evaluation of Gas Transfer Velocity Parameterizations

The gas transfer velocities of CO<sub>2</sub> ( $k_{CO_{2660}}$ ) and DMS ( $k_{DMS_{660}}$ ) were referenced to a Schmidt number of 660 which corresponds to that of CO<sub>2</sub> at 20°C. For each experiment and gas, seven different parameterizations are considered. Quadratic ( $k = aU_{10N}^2 + n$ ), cubic ( $k = aU_{10N}^3 + n$ ), and power law ( $k = aU_{10N}^n$ ) dependence on wind speed were evaluated as well as power law dependence ( $k = aRe^n$ ) on the wave-wind and breaking



**Table 1**Least Squares Fit Results of the Wind Speed and Reynolds Number Dependence of the Gas Transfer Velocity of  $CO_2$  Referenced to a Schmidt Number of 660

Equation	Experiment	Form	а	n	$r^2$	rmse
(p1)	GasEx-98	$k = a \left( U_{10N} \right)^n$	1.06E-01	2.53	0.62	15.4
(p2)		$k = a (U_{10N})^2 + n$	3.46E-01	-1.30	0.60	16.4
(p3)		$k = a \left( U_{10N} \right)^3 + n$	3.14E-02	3.48	0.63	15.3
(p4)		$k = a(R_{Hw})^n$	7.97E-06	1.11	0.57	16.2
(p5)		$k = a(R_{BW})^n$	2.27E-03	0.79	0.28	22.4
(p6)	SO GasEx	$k = a \left( U_{10N} \right)_{2}^{n}$	7.27E-02	2.67	0.25	60.8
(p7)		$k = a (U_{10N})^2 + n$	4.79E-01	-11.01	0.25	60.9
(p8)		$k = a \left( U_{10N} \right)^3 + n$	2.80E-02	4.78	0.25	60.9
(p9)		$k = a(R_{Hw})^n$	6.10E-04	0.81	0.31	59.0
(p10)		$k = a(R_{BW})^n$	5.75E-03	0.73	0.27	60.45
(p11)		$k = a(R_{Hw_{ws}})^n$	6.72E-04	0.84	0.05	64.4
(p12)		$k = a(R_{Bw_{ws}})^n$	7.45E-02	0.51	0.01	58.2
(p13)	Knorr11	$k = a (U_{10N})^n$	1.15E + 00	1.46	0.71	9.2
(p14)		$k = a (U_{10N})^2 + n$	2.56E-01	5.78	0.69	10.1
(p15)		$k = a (U_{10N})^3 + n$	1.55E-02	11.45	0.64	12.5
(p16)		$k = a(R_{Hw})^n$	4.11E-03	0.66	0.64	10.7
(p17)		$k = a(R_{BW})^n$	6.33E-02	0.52	0.90	7.2
(p18)	HiWinGS	$k = a \left( U_{10N} \right)_{2}^{n}$	1.07E + 00	1.62	0.63	29.9
(p19)		$k = a (U_{10N})^2 + n$	3.32E-01	9.23	0.64	29.7
(p20)		$k = a (U_{10N})^3 + n$	1.74E-02	18.47	0.61	31.9
(p21)		$k = a(R_{Hw})^n$	1.25E-03	0.76	0.69	27.1
(p22)		$k = a(R_{BW})^n$	3.63E-02	0.59	0.66	28.7
(p23)		$k = a(R_{Hw_{ws}})^n$	2.33E-02	0.57	0.48	34.7
(p24)		$k = a(R_{Bw_{ws}})^n$	7.73E-02	0.54	0.55	33.0
(p25)	Combined	$k = a \left( U_{10N} \right)^n$	4.78E-01	1.91	0.61	29.8
(p26)		$k = a(R_{Hw})^n$	2.04E-04	0.88	0.65	29.4
(p27)		$k = a(R_{BW})^n$	9.05E-03	0.69	0.59	31.7
(p28)		$k = a(R_{Hw_{ws}})^n$	1.64E-02	0.59	0.47	36.7
(p29)		$k = a(R_{BW_{ws}})^n$	5.70E-02	0.56	0.53	35.0

Reynolds numbers computed from the total wave spectra and wind-sea partition only. Note that for GasEx-98, Knorr11, and the return transit leg of SO GasEx no wind-sea statistics could be determined. Also, no DMS measurements were taken during GasEx-98. Combining all data, a final set of parameterization is suggested.

Coefficients (a, and n) were determined through weighted least squares regressions using equidensity bins containing 15 data points. The weights were set to equal the reciprocal of the variance in each bin. Windsea statistics for  $k_{CO_{2660}}$  were determined from bins of five data points due to the paucity of data available from the SO GasEx experiment. In order to compare the performance of the different parameterizations, three metrics are considered: (1) the variation of the power law exponent "n" among the four data sets, (2) the correlation coefficient (r<sup>2</sup>), and (3) the root-mean-square error (rmse). Both the r<sup>2</sup> and rmse were computed with respect to the hourly and 2-hourly data.

#### 3. Results

Key results and parameterizations determined from the four individual and the combined data sets are summarized below. Coefficients for various best fit functions are reported in Tables 1 and 2 for  $CO_2$  and DMS, respectively.

#### 3.1. CO<sub>2</sub>

Figure 1a shows the measured gas transfer velocities of  $CO_2$  plotted against the neutral 10 m wind speed. The different dependences of the  $k_{CO_{2660}}$  on  $U_{10N}$  observed during the four experiments are immediately apparent. While both GasEx data sets show close to cubic wind speed dependence with power law fit exponents of 2.53 and 2.67 for GasEx-98 and SO GasEx, respectively, an exponent of 1.62 best fits the HiWinGS data. The Knorr11 data set displays the weakest wind speed dependence with a power law exponent of 1.46. The near-cubic dependences of  $k_{CO_{2660}}$  with  $U_{10N}$  are in agreement with those reported in Edson et al. (2011)



Table 2 Least Squares Fit Results of the Wind Speed and Reynolds Number Dependence of the Gas Transfer Velocity of DMS Referenced to a Schmidt Number of 660

Equation	Experiment	Form	а	n	r <sup>2</sup>	Rmse
(p30)	SO GasEx	$k = a \left( U_{10N} \right)_{-}^{n}$	7.31E-01	1.25	0.25	5.8
(p31)		$k = a (U_{10N})_{2}^{2} + n$	8.51E-02	3.95	0.20	6.4
(p32)		$k = a (U_{10N})^3 + n$	5.69E-03	6.20	0.13	7.8
(p33)		$k = a(R_{Hw})^n$	3.75E-02	0.44	0.18	5.9
(p34)		$k = a(R_{BW})^n$	1.91E-01	0.35	0.25	5.6
(p35)		$k = a(R_{Hw_{ws}})^n$	8.22E-01	0.21	80.0	6.1
(p36)		$k = a(R_{BW_{WS}})^n$	3.72E-01	0.30	0.22	5.6
(p37)	Knorr11	$k = a (U_{10N})_{2}^{n}$	6.45E-01	1.40	0.38	6.0
(p38)		$k = a (U_{10N})^2 + n$	1.40E-01	2.11	0.34	6.5
(p39)		$k = a \left( U_{10N} \right)^3 + n$	1.11E-02	3.78	0.26	7.3
(p40)		$k = a(R_{Hw})^n$	7.62E-03	0.56	0.20	6.8
(p41)		$k = a(R_{BW})^n$	7.14E-02	0.44	0.39	6.3
(p42)	HiWinGS	$k = a (U_{10N})_{0}^{n}$	8.23E-01	1.30	0.75	5.7
(p43)		$k = a (U_{10N})^2 + n$	1.07E-01	3.78	0.73	6.2
(p44)		$k = a (U_{10N})^3 + n$	5.53E-03	6.40	0.65	8.0
(p45)		$k = a(R_{Hw})^n$	2.28E-02	0.48	0.62	7.0
(p46)		$k = a(R_{BW})^n$	5.73E-02	0.47	0.71	6.0
(p47)		$k = a(R_{HW_{ws}})^n$	2.80E-01	0.32	0.54	7.0
(p48)		$k = a(R_{BW_{WS}})^n$	3.22E-01	0.35	0.63	6.3
(p50)	Combined	$k = a \left( U_{10N} \right)^n$	7.42E-01	1.32	0.62	6.5
(p51)		$k = a(R_{Hw})^n$	1.95E-02	0.49	0.57	6.8
(p52)		$k = a(R_{BW})^n$	5.36E-02	0.47	0.63	6.4
(p53)		$k = a(R_{Hw_{ws}})^n$	9.95E-02	0.39	0.57	7.2
(p54)		$k = a(R_{BW_{ws}})^n$	1.29E-01	0.41	0.63	6.6

and McGillis et al. (2001) for the two GasEx data sets. Quadratic parameterizations reported in Wanninkhof (1992) or Ho et al. (2006) underpredict all but the Knorr11  $k_{CO_{1660}}$ .

Figure 2a shows that the wave-wind Reynolds number, computed from the total wave spectrum, collapses the observations from all four experiments to a single curve with reduced scatter:

$$k_{CO_{2660}} = 2.04 \times 10^{-4} R_{HW}^{0.88}$$
 (5)

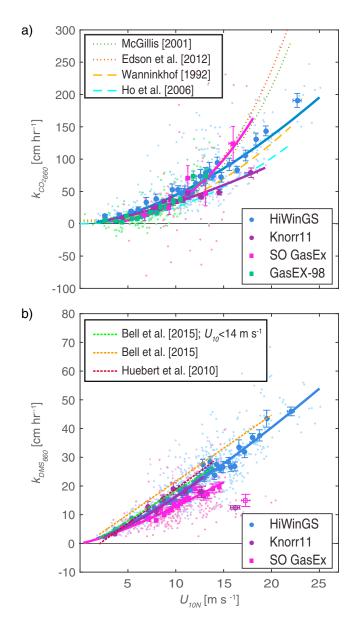
with  $r^2 = 0.65$  and rmse = 29.4 for all data sets combined. These fit statistics are slightly better than those obtained from wind-only fits (Table 1, equation (p25)). Equation (5) captures 58%, 31%, 63%, and 69% of the observed variability in the GasEx-98 (rmse = 16.4), SO GasEx (rmse = 59.2), Knorr11 (rmse = 13.9), and HiWinGS (rmse = 27.8) measurements, respectively. A wind speed-only power law parameterization based on the combined data set captures 60%, 25%, 70%, and 64% of the observed variability in the GasEx-98 (rmse = 16.3), SO GasEx (rmse = 61.6), Knorr11 (rmse = 16), and HiWinGS (rmse = 30.1) measurements, respectively.

Note that not only were more measurements taken during HiWinGS than during the other experiments, but they were also spread over a wider range of wave and wind conditions. These combined fits are therefore mostly driven by the HiWinGS data. Nevertheless, fits to individual data sets also demonstrate improved inter-data set agreement with wave-wind Reynolds number compared to wind only. Power law exponents of these fits, ranging from 0.66 (Knorr11) to 1.11 (GasEx-98), show less spread than those of wind speed power laws. Again, project-specific wave-wind Reynolds number fits suggest marginal improvement compared to the best individual wind-only fits in terms of  $r^2$  for HiWinGS and SO GasEx.

The breaking Reynolds number (Figure 2c) also collapses the data sets, with power law exponents ranging from 0.52 (Knorr11) to 0.79 (GasEx-98). However, scatter is increased in comparison to the wind wave Reynolds number for all experiments but GasEx-98. The best fit obtained from the combination of the data sets is:

$$k_{CO_{2660}} = 9.05 \times 10^{-3} R_{BW}^{0.69}$$
 (6)

with  $r^2 = 0.59$  and rmse = 31.7 for all data sets combined. Equation (6) captures 32%, 27%, 89%, and 65% of the observed variability in the GasEx-98 (rmse = 22), SO GasEx (rmse = 60.5), Knorr11 (rmse = 19.2), and



**Figure 1.** Measured gas transfer velocity of (a) CO<sub>2</sub> and (b) DMS, adjusted to a Schmidt number of 660 and plotted against 10 m neutral wind speed ( $U_{10N}$ ). The smaller dots represent individual hourly and 2-hourly estimates, and the larger symbols are averages of equidensity bins of 15 data points. The solid lines represent best fits of power laws of the form k = axn. Examples of published cubic ( $k = aU_{10N}^3 + b$ ) and quadratic ( $k = aU_{10N}^2 + b$ ) parameterizations derived from CO<sub>2</sub> data sets are represented by dotted and dashed lines, respectively. Examples of published linear wind speed-dependent parameterization ( $k = aU_{10N} + b$ ) derived from DMS measurements are represented by dashed lines in Figure 1b. The open symbols in Figure 1b represent outliers in the SO GasEx and Knorr11 data sets that cannot be reconciled by either wind speed or Reynolds numbers.

HiWinGS (rmse = 29.3) measurements, respectively. As for the wave-wind Reynolds number, the close match between the combined and data set-specific fit statistics attest to the inter-data set agreement of these Reynolds number parameterizations.

Utilizing only the wind-sea part of the spectrum to compute  $H_s$  and  $\omega_p$  does not lead to improved inter–data set agreement based on project-specific fits (Figures 2b and 2d). Compared to full sea state Reynolds numbers, the scatter is increased for  $R_{HW_{ws}}$  for which the best fit (Table 1, equation (p28)) only captures 47% of the variability and there is improvement for  $R_{BW_{ws}}$  which captures 53% (Table 1, equation (p29)) of the variability of the So GasEx and HiWinGS data combined. Very few good measurements of  $k_{CO_{2660}}$  were taken during SO GasEx with wind-sea present. Therefore, best fits determined from both data sets are again mainly driven by the HiWinGS data set. Project-specific fits for SO GasEX have  $r^2 \leq 0.05$ . Note that for HiWinGS, fits and statistics for  $R_{BW_{ws}}$  are very similar to those for  $R_{BW}$ .

#### 3.2. DMS

The parameter  $k_{DMS_{660}}$  measured during SO GasEx was significantly lower than that measured during HiWinGS for a given wind speed (Figure 1b). The Knorr11 measurements agree with those from HiWinGS for  $U_{10N}$  < 10 m s<sup>-1</sup>; however, for  $U_{10N}$  > 14 m s<sup>-1</sup> they match the lower SO GasEx values. The data recorded when  $U_{10N}$  exceeded 14 m s<sup>-1</sup> during SO GasEx and Knorr11 appear as outliers in Figure 1b as well as in Figures 3a and 3c. They correspond to unfilled bin average data points in those figures. The SO GasEx outliers were measured during the return transit leg, and the Knorr11 outliers were measured at the last station under high wind and wave height conditions. No directional wave data are available to separate wind seas from swells for these outliers. They are therefore excluded from Figures 3b and 3d. Sea state, whitecap, and basic environmental conditions do not explain these outliers. They are excluded from results in Table 2. Omitting SO GasEx data taken on the return transit and Knorr11 data for  $U_{10N} > 14 \text{ m s}^{-1}$  yields better overall interproject agreement with a power law function of wind speed alone capturing 70% of the variability in the combined data and a rmse of 5.8. The parameter  $k_{DMS_{660}}$  increases close to linearly with wind speed with power law exponent ranging from 1.28 to 1.4. A power law function of  $R_{Hw}$  captures 57% of the combined variability with rmse = 6.8:

$$k_{DMS_{660}} = 1.95 \times 10^{-2} R_{Hw}^{0.49}.$$
 (7)

A power law function of  $R_{BW}$  can account for 63% of the variability in both data sets with rmse of 6.4:

$$k_{DMS_{660}} = 5.36 \times 10^{-2} R_{BW}^{0.47}$$
 (8)

Considering wind-seas only (Table 2, equations (p53) and (p54)) leads to divergence in the individual best fits for the two data sets with comparable statistic to equations (7) and (8). As noted above, the high wind SO GasEx and Knorr11 observations were not included in these fits.

When considering the data sets individually, expressing  $k_{DMS_{660}}$  as a function of Reynolds numbers does not lead to better fit statistics than wind-only fits. Indeed, for SO GasEX a wind speed power law dependence can capture 45% of the variability, while a  $R_{BW}$  dependence only captures 25% when ignoring the above mentioned outliers. For Knorr11, the wind speed and  $R_{BW}$  perform comparably well, allowing accounting for 38% and 39% of the observed variability for  $U_{10N} < 14$  m s<sup>-1</sup>. For HiWinGS, the wind speed-only

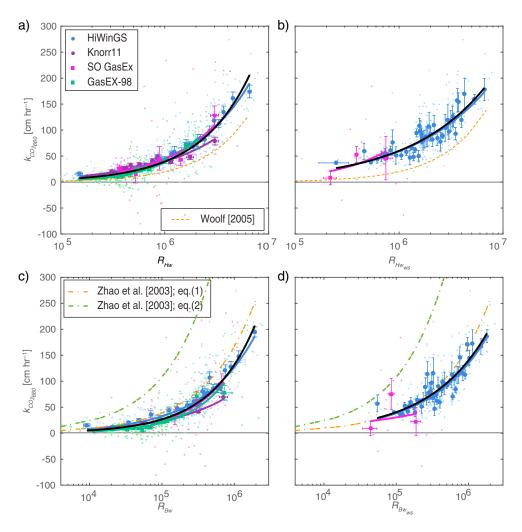


Figure 2. Measured gas transfer velocity of CO<sub>2</sub> adjusted to a Schmidt number of 660 plotted against (a) the wave-wind Reynolds number based on Hs computed from the total spectrum, (b) the wave-wind Reynolds number based on  $H_s$ computed from the wind-sea partition of the wave spectrum, (c) the breaking Reynolds number computed from the total spectrum, and (d) the breaking Reynolds number computed from the wind-sea partition of the wave spectrum. The smaller dots represent individual hourly and 2-hourly estimates; the larger symbols are averages of equidensity bins of 15 data points for Figures 2a and 2c and 5 points for Figures 2b and 2d. The solid green, pink, purple, blue, and black lines represent best fits of power laws of the form  $k = ax^n$ , for Gasex-98, SO GasEx, Knorr11, HiWinGS, and all the data, respectively.

parameterization performs only slightly better than the  $R_{Bw}$  one with 75% compared to 71%. Power law exponents for the fits to the individual data sets are comparably close for wind speed and both Reynolds numbers. It is possible that the potential improvement in fit statistics using Reynolds number instead of wind speed is offset by the greater sampling error in determining wave statistics.

#### 4. Discussion

Wind-only k parameterizations display significant disagreement between different studies (Garbe et al., 2014; Goddijn-Murphy et al., 2012; McGillis et al., 2001; Wanninkhof, 1992). While implementing different wind-only parameterizations for CO<sub>2</sub> may result in comparable globally averaged gas transfer velocities, the parameterization choice was shown to have significant impact on regional fluxes (Fangohr & Woolf, 2007; Wrobel & Piskozub, 2016). In light of current efforts to include wave processes in Earth System models (e.g., Li et al., 2016; Qiao et al., 2013), it is time to update the traditional wind-only gas transfer parameterizations to sea state-dependent ones and assess uncertainties linked to the choice of parameterization.

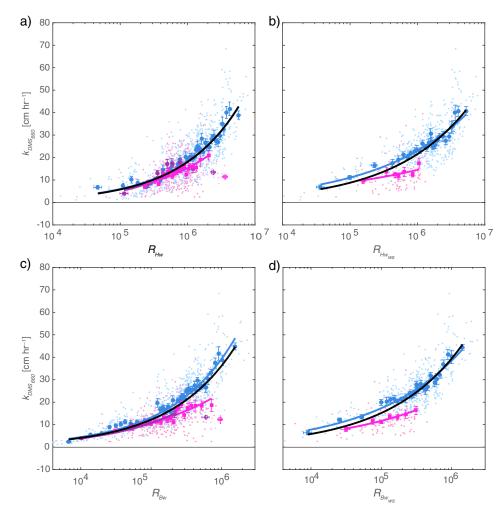


Figure 3. Measured gas transfer velocity of DMS adjusted to a Schmidt number of 660 plotted against (a) the wave-wind Reynolds number based on Hs computed from the total spectrum, (b) the wave-wind Reynolds number based on  $H_s$ computed from the wind-sea partition of the wave spectrum, (c) the breaking Reynolds number computed from the total spectrum, and (d) the breaking Reynolds number computed from the wind-sea partition of the wave spectrum. The smaller dots represent individual hourly and 2-hourly estimates; the larger symbols are averages of equidensity bins of 15 data points. The solid pink, purple, blue, and black lines represent best fits of power laws of the form  $k = ax^n$ , for SO GasEx, Knorr11, HiWinGS, and all the data, respectively. The open symbols represent outliers in the SO GasEx and Knorr11 data sets that cannot be reconciled by either wind speed or Reynolds numbers.

Parameterizations that incorporate the dependence of wind and sea state in the form of a wave-wind and breaking Reynolds number provide coherent agreement between the GasEx-98, SO GasEx, Knorr11, and HiWinGS data sets for CO<sub>2</sub> and the majority of the DMS data. This study therefore strongly suggests that expressing k as a simple function of a wave-related Reynolds number will lead to improved parameterizations for regional and global models relative to wind-only parameterizations. Global fields of significant wave heights and peak angular velocities are routinely computed by operational wave prediction centers, making wave-wind and breaking Reynolds number-based parameterizations convenient to implement and test on a global scale. The relationships between k and other wave statistics such as the wave age, bulk slope, and the mean square steepness were also examined but did not reveal significant trends or yield reduced scatter between projects.

Although the current study is not a comprehensive analysis of all available eddy covariance CO<sub>2</sub> and DMS gas transfer data, the data sets analyzed here are representative of the observed variability. They were chosen because they span a wide range of wind speeds with strongly differing dependencies. They are also, to the best of our knowledge, the only available gas transfer data sets with in situ wavefield measurements.



Wave model hindcasts could extend this analysis to other existing  $CO_2$  and DMS data sets (Bell et al., 2015; Blomquist et al., 2006; Huebert et al., 2004; Marandino et al., 2007, 2008, 2009; Miller et al., 2009). However, these data sets span a more limited and lower range of wind speeds and may not add much additional information because discrepancies in k at low wind speeds are small.

CO<sub>2</sub> and DMS have solubilities of ~1 and ~20, which strongly influence the fraction of total transfer resistance represented by bubble-mediated and air side mechanisms. The less soluble a gas, the more its air-sea flux depends on the transfer resistance in the aqueous boundary layer and bubble-mediated mechanisms. This explains why  $k_{\rm DMS}$  is smaller than  $k_{\rm CO2}$  at high wind speeds, where wave breaking leads to generation of bubble clouds and thus the need for different, single parameter models for gases of different solubilities. One could a priori expect DMS to be less sea state-dependent than CO<sub>2</sub> as its increased solubility means that its transfer velocity depends less on bubble-mediated transfer. Weaker dependence on sea state may account for the increased scatter observed in the relationship between both the wave-wind and breaking Reynolds numbers and  $k_{\rm DMS_{660}}$ . Sea state, represented as either the significant wave height or wave age  $(\beta = g/(\omega_p u_*) = R_{\rm BW}(gv_w)/u_*^3)$ , does not reconcile outliers in the SO GasEx and Knorr11 DMS data set. These suppressed gas transfer velocities at high wind speeds were observed in high wave height conditions; that is, high  $R_{\rm HW}$  and more work is needed to understand these observations. Bell et al. (2013) suggest that young, large waves may influence interfacial gas transfer by either causing airflow separation at the wave crest or by modulating the microbreaking of small-scale waves.

Early studies (Toba & Koga, 1986; Zhao & Toba, 2001; Zhao et al., 2003) which relate breaking conditions, whitecapping, and gas transfer velocities to Reynolds numbers focus on wind-sea statistics, ignoring the importance of swell. It is typically assumed that wave breaking is governed by the wind-sea component of the wave spectrum and that properties of the wind-sea partition are most relevant for air-sea processes. This study, however, suggests that consideration of swell is important for gas transfer. Indeed, considering only the wind-sea partition of the wave spectra did not reduce the scatter in k, yielding poor fits that differ substantially between data sets. Arguably, this could be due to the paucity of data collected under wind-sea conditions especially during SO GasEx or the difficulty of separating wind-sea and swell. More data are needed to verify this. However, nonbreaking wave-induced mixing has been shown to significantly contribute to upper ocean turbulence through Langmuir circulation (Fan & Griffies, 2014; Li et al., 2016). This suggests that mixing that arises from all components of the wave spectra should be considered. Furthermore, if large waves indeed inhibit interfacial gas transfer, the swell component needs to be considered. Reynolds numbers computed from the full spectrum can, however, not account for this effect.

The Reynolds number parameterizations determined in this study differ from previously published parameterizations (Woolf, 2005; Zhao et al., 2003) using the wind-sea partition or the total spectra. This can partially be attributed to the whitecap data used to tune previous parameterizations. The W parameterization used by Zhao and Toba (2001) overestimates W measured during both experiments resulting in overestimated gas transfer velocities. The parameterization of Woolf (2005) underestimates the measured transfer velocities of  $CO_2$ , though it is loosely based on the relation between W and  $R_{Ha}$  determined by Zhao and Toba (2001). The issue here comes primarily from the relation used between the bubble-mediated transfer and W based on Woolf (1997) but may also be attributed to the expression for nonbreaking transfer used. The coefficients of the 1997 bubble-mediated transfer model are best guess values which were tuned in Woolf (2005) to match a range of observed functions given the assumption that wave fetch is the primary controlling parameter for W. The model may therefore not be adequate for the open ocean. Note also that we established that these earlier W data and the parameterizations differ greatly from recent observations (Brumer et al., 2017) in part due to the different techniques employed and in part due to different wind/wave environments (pure wind versus mixed seas, laboratory or fetch limited versus open ocean waves).

#### 5. Conclusion

Breaking and wind wave Reynolds numbers allow for improved single-parameter models of the gas transfer velocity of DMS and CO<sub>2</sub> relative to wind speed-only parameterizations. The Reynolds number-based parameterizations appear to be more universally valid, collapsing the data of the GasEx-98, SO GasEx, Knorr11, and HiWinGS experiments onto closely matching power law dependences, while wind-only parameterizations vary greatly between data sets. For CO<sub>2</sub> they also, for the most part, capture slightly more of the



observed variability than the traditional wind-only ones. The comparable goodness of fits for wind speed and wave-related Reynolds numbers reflects the natural high variability of individual flux estimates which does not vary much for a single set of wind/wave conditions. The important result is that the wave-related Reynolds numbers provide a dramatic improvement in reconciling k results from very different wind/wave regimes. Unlike previous studies that relied on the combination of unrelated data sets and approximate relations between Reynolds numbers, whitecap fraction and gas transfer velocities, here we show the first Reynolds number parameterizations determined directly from concurrent eddy covariance measurements of gas fluxes and modeled or remotely sensed wavefield statistics.

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