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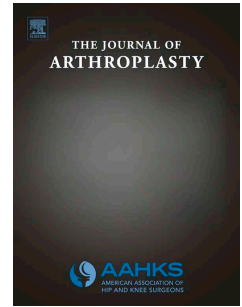


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The interaction of caseload and usage in determining outcomes of unicompartmental knee arthroplasty: A meta-analysis

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Title: The interaction of caseload and usage in determining outcomes of unicompartmental knee arthroplasty: A meta-analysis

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1 **Title:** The interaction of caseload and usage in determining outcomes of unicompartmental
2 knee arthroplasty: A meta-analysis

3 **Abstract**

4 **Background:** Outcomes following UKA are variable and influenced by surgical caseload
5 (UKA/year) and usage (percentage of primary knee arthroplasty that are UKA), which relates to
6 indications. This meta-analysis assesses the relative importance of these factors.

7 **Methods:** MEDLINE (Ovid), Embase (Ovid) and the Web of Science (ISI) were searched for
8 consecutive series of minimally invasive cemented Phase 3 Oxford medial UKA. The primary
9 outcome measure was revision-rate/100 observed component years (%pa). Series were divided
10 into groups according to caseload and usage.

11 **Results:** 46 studies, including 12,520 knees, were identified. The annual revision-rate varied
12 from 0%pa to 4.35%pa, mean 1.21%pa (95%CI 0.97-1.47). In series with mean follow-up of
13 ten-years or more the revision-rate was 0.63%pa (95%CI 0.46-0.83), which equates to a ten-
14 year survival of 94% (95%CI 92%-95%). Aseptic loosening, lateral arthritis, bearing dislocation,
15 and unexplained pain were the predominant failure mechanisms with revision for patello-femoral
16 problems and polyethylene wear exceedingly rare (<0.1%).

17 Both increasing caseload ($p=0.02$) and usage ($p<0.001$) were associated with decreasing
18 revision-rate. The lowest revision-rates were achieved with a caseload >24 UKA/year (0.88%pa,
19 95%CI 0.63-1.61) and usage >30% (0.69%pa, 95%CI 0.50-0.90). Usage was more important
20 than caseload: with high-usage ($\geq 20\%$) the revision-rate was low, whether the caseload was
21 high (>12UKA/year) or low (≤ 12 UKA/year), (0.94%pa (95%CI 0.69-1.23) and 0.85%pa (95%CI
22 0.65-1.08) respectively); whereas with low-usage (<20%) the revision-rate was high, whether
23 the caseload was high or low (1.58%pa, 95%CI 0.57- 3.05 and 1.76%pa, 95%CI 1.21-2.41).

24 **Conclusion:** To achieve optimum results with mobile-bearing UKA surgeons, whether high or
25 low-caseload, should adhere to the recommended indications such that $\geq 20\%$, or ideally >30%

26 of their knee replacements are UKA. If they do this then they can expect to achieve results
27 similar to those of the long-term series, which all had high-usage (>20%) and an average ten-
28 year survival of 94%.

29 **Level of Evidence:** Level 2

30 **Keywords:** Unicompartmental knee arthroplasty, implant survival, meta-analysis

31 Introduction

32 In appropriate patients UKA has significant benefits over TKA including faster recovery, better
33 patient reported outcome measures (PROMS) and lower morbidity and mortality, however, it
34 has been reported to be associated with a higher incidence of failure[1]. The causes of failure
35 are multi-factorial but involve a complex interaction of patient, implant and surgeon factors as
36 well as differing thresholds for revision compared to TKA[2].

37 Surgeon factors associated with outcome include technical skills associated with the procedure
38 itself as well as non-technical skills associated with decision-making around patient selection.
39 Technical skills have been hypothesised to improve as surgical volume increases and in TKA it
40 has been demonstrated that high-volume surgeons have lower procedure times, transfusion
41 rates and inpatient stays which culminate in better PROMS[3]. Similar findings have been
42 reported in UKA, albeit more marked than TKA, with a fourfold difference in revision rates seen
43 between the lowest and highest-volume surgeons using joint registry data suggesting that UKA
44 may be more sensitive to technical errors [4].

45 Non-technical skills associated with decision-making around patient selection are related to
46 surgical indications. In severe osteoarthritis which fails non-operative treatments surgeons can
47 choose between UKA and TKA. This decision relates to an individual surgeon's indications,
48 which is reflected by the relative proportions of a surgeon's primary knee practice that receive
49 UKA relative to TKA. In UKA it has been demonstrated that, within certain limits, surgeons who
50 use broad indications, as assessed by a high proportion of patients receiving UKA, have lower
51 revision rates compared to surgeons who use narrow indications. The indications for mobile-
52 bearing UKA are satisfied in about 50% of knees needing replacement. With mobile-bearing
53 UKA acceptable revision rates tend to be achieved by surgeons who use UKA for 20% or more
54 of their knee replacements and optimal results are achieved in those who use UKA for about
55 50% of their knee replacements [5].

56 It has been reported that optimum outcomes following UKA are achieved either when a surgeon
57 operates on high-volume of cases (high-caseload) or has a practice where a high-proportion of
58 primary knee arthroplasties are UKA (high-usage)[4, 5]. The relative importance of each of
59 these factors on implant survival following UKA has not been explored. At present it is unclear
60 whether good outcomes can be achieved when a surgeon has a high-caseload but uses narrow
61 indications such that they have low-usage, or vice versa where a surgeon has a low-caseload
62 but implants UKA in high proportion of cases (high-usage). This is relevant with regards to the
63 provision of UKA as a surgeon cannot change the volume of their practice but can change
64 percentage of knees which can be UKA.

65 The objective of this meta-analysis is review the results of the Phase 3 cemented Oxford UKA,
66 to determine the importance of caseload and usage of UKA on implant survival and mechanism
67 of failure and to assess the interplay between these two factors.

68

69 **Materials and Methods**

70 **Search strategy and criteria**

71 MEDLINE (Ovid), Embase (Ovid) and the Web of Science (ISI) were searched to identify
72 studies reporting the outcomes of the cemented Phase 3 Oxford medial UKA (Zimmer Biomet,
73 Warsaw, Indiana) implanted through a minimally invasive approach between 1998, the year the
74 Phase 3 was introduced, and 17 March 2016. Appendix 1. In addition reference lists of included
75 publications, published reviews, conference abstracts and experts in the field were contacted to
76 identify additional reports.

77 Studies were excluded if they did not report the outcomes of a consecutive series of knees or
78 did not present implant survival data. Registry studies were excluded due to the limitations in
79 data reporting and obtaining volume and usage data for individual surgeons. There were no
80 limits on language of publication, number of patients, duration of follow-up or indication.

81 Searches were performed in duplicate. All study authors were contacted to confirm the data
82 extraction was correct and to determine caseload and usage of UKA. Figure 1.

83

84 **Outcome measures assessed**

85 For each study the number of UKA, number of revisions, reason for revision, and mean follow-
86 up were recorded in duplicate. In addition the caseload (UKA/surgeon/year) and usage
87 (percentage of the surgeons primary knee practice that are UKA) of UKA was recorded and/or
88 requested from authors. Quality of included studies was assessed using the Methodological
89 Index for Non-Randomised Studies (MINORS) score[6].

90

91

92

93 Caseload: UKA per surgeon per year

94 Surgical caseload was divided based according to clinically plausible cut-points a priori, based
95 on the system employed by the New Zealand Joint Registry[7]. Surgeons performing
96 ≤ 6 UKA/year were considered very low-caseload, >6 and ≤ 12 UKA/year low-caseload, >12 and
97 ≤ 24 UKA/year medium-caseload and >24 UKA/year high-caseload.

98

99 Usage: UKA as a proportion of all primary knee arthroplasty

100 Very low-usage was defined as surgeons who performed $<10\%$ UKA, low-usage $\geq 10\%$ but
101 $<20\%$ UKA, medium-usage $\geq 20\%$ but $<30\%$ UKA and high-usage $\geq 30\%$.

102

103 Combined caseload and usage

104 To explore the interaction between caseload and usage four groups were created based on:
105 low-caseload (≤ 12 UKA/year) and high-usage ($\geq 20\%$ UKA), high-caseload (>12 UKA/year) and
106 high-usage ($\geq 20\%$ UKA), low-caseload (≤ 12 UKA/year) and low-usage group ($<20\%$ UKA), and
107 high-caseload (>12 UKA/year) and low-usage group ($<20\%$ UKA).

108

109 Statistical analysis

110 The primary outcome was the all cause revision rate per 100 observed component years, which
111 is otherwise known as the annual revision rate (%pa). This was calculated first by multiplying
112 the number of knees by their mean follow up to determine the number of observed component
113 years and then dividing the number of revisions observed by the number of component years
114 and multiplying this by 100. As revisions for bearing dislocation occur early after the primary
115 operation, and as such may not have a constant annual revision rate the absolute revision rate
116 was calculated. Confidence intervals (CI) were calculated using the Clopper-Pearson, exact,

117 method[8]. As revision rates were expected to be low a Freeman-Tukey variance stabilising
118 double arcsine transformation was used such that studies with zero rates would not be
119 excluded[9]. Where a difference in the primary outcome was detected secondary outcomes
120 were assessed: including the annual revision rate for lateral compartment disease progression,
121 bearing dislocation, unexplained pain and aseptic loosening as these have been reported to be
122 the predominant failure mechanisms of mobile-bearing UKA[4]. In addition the rates of other
123 potential causes of revision, including revision for disease progression in the patello-femoral
124 joint, polyethylene wear and tibial fracture were assessed.

125 As revision rates follow a binomial distribution a meta-analysis of proportions was performed
126 with summary annual revision rates pooled using a random effects model to minimize the effect
127 of between-study heterogeneity[10, 11]. Statistical heterogeneity across studies was assessed
128 using the I^2 statistic[12].

129 Analysis was performed overall and based on those studies with long-term, mean 10-years or
130 greater, outcomes with sub-group analysis based on caseload, usage and the interaction
131 between caseload and usage as defined above. Analysis was conducted using Stata Version 13
132 (Stata Corp, Texas, USA) with a $p < 0.05$ considered statistically significant.

133 Results

134 Searches identified a total of 3585 papers with an additional five-studies identified. Figure 1.
135 After screening, the full-texts of 83 studies were retrieved and assessed with 37 excluded
136 (Appendix 2) leaving 46 (12,520 knees 67,128 component years) meeting inclusion criteria.
137 Table 1. The mean MINORS score of included studies was 12 (range 10-14).

138 After contacting authors, data on the caseload was available for 37 studies (80%) and on usage
139 for 34 studies (74%). Table 2. The smallest study, Palacios *et al.*, had 24 observed component
140 years and reported no failures and was found to skew the revision estimate towards zero[13].
141 Therefore, as generally recommended, this study was excluded from the quantitative
142 analysis[13]. The analysis was repeated including this study and this did not change the
143 interpretation of the results.

144 The all cause revision rate was 1.21%pa (95%CI 0.97-1.47). Revision indications are outlined in
145 Table 3. The revision rate for aseptic loosening was 0.19% pa (95%CI 0.09 to 0.32), for lateral
146 compartment disease progression was 0.10% pa (95%CI 0.04 to 0.19), bearing dislocation
147 0.10% pa (95%CI 0.05 to 0.17) and unexplained pain 0.05% pa (95%CI 0.01 to 0.11). Table 3.
148 Out of the 12,520 knees there were 121 (0.97%) dislocations, 20 (0.16%) tibial plateau fracture,
149 7 (0.06%) revisions for patella-femoral disease and 1 (0.01%) revision for polyethylene wear
150 secondary to anterior impingement. In series with long-term outcomes, mean follow-up 10-
151 years or greater, the all cause revision rate was 0.63%pa (95%CI 0.46-0.83). Table 3 & 4.

152

153 Caseload: UKA per surgeon per year

154 No difference in mean age ($p=0.69$), gender ($p=0.71$) or BMI ($p=0.38$) was seen between
155 groups based on caseload.

156 The revision rate decreased as the caseload increased ($p=0.02$). Figure 2. The revision rate
157 where surgeons performed: ≤ 6 UKA/year was 1.87%pa (95%CI 1.14-2.76), >6 but ≤ 12
158 UKA/year was 1.25%pa (95%CI 0.77-1.83), >12 but under ≤ 24 UKA/year was 1.37%pa (95%CI
159 0.93-1.89) and >24 UKA/year was 0.88%pa (95%CI 0.63-1.61).

160 The revision rate for lateral compartment disease progression ($p=0.005$), unexplained pain
161 ($p=0.02$) and aseptic loosening ($p=0.003$) decreased as caseload increased. No difference in
162 annual revision rate ($p=0.58$) or absolute revision rate ($p=0.17$) for bearing dislocation was
163 detected. Table 3.

164

165 **Usage: UKA as a proportion of all primary knee arthroplasty**

166 As usage of UKA increased the mean age increased ($p=0.04$). The mean age of patients in
167 surgeons who performed UKA in $<10\%$ of cases was 63.4 years (SD4.2) increasing to 69.4
168 years (SD4.3) in surgeons who implanted UKA in at $\geq 30\%$ of cases. No difference in gender
169 ($p=0.27$) or BMI ($p=0.32$) was seen.

170 The revision rate decreased as usage of UKA increased ($p<0.001$). Figure 3. The revision rate
171 in series where surgeons performed: $<10\%$ UKA was 1.89%pa (95%CI 1.15-2.80), $\geq 10\%$ but
172 $<20\%$ UKA was 1.48%pa (95%CI 0.91-2.18), $\geq 20\%$ but $<30\%$ UKA was 1.25%pa (95%CI 1.07-
173 1.43) and $\geq 30\%$ was 0.69%pa (95%CI 0.50-0.90).

174 The revision rate for unexplained pain ($p=0.02$) and aseptic loosening ($p=0.001$) decreased as
175 the usage of UKA increased. No difference in annual revision rate ($p=0.94$) or absolute revision
176 rate ($p=0.33$) for bearing dislocation, or annual revision rate for lateral compartment disease
177 progression ($p=0.10$) was seen. Table 3.

178

179

180 Combined caseload and usage

181 No difference in mean age ($p=0.84$), gender ($p=0.73$) or BMI ($p=0.19$) was seen based on the
182 combined caseload and usage of UKA.

183 Significant differences in revision rate were seen between groups ($p=0.004$) with lower revision
184 rates seen where there was higher UKA usage. The revision rate was 0.85%pa (95%CI 0.65-
185 1.08) in the low-caseload (≤ 12 UKA/year) and high-usage ($\geq 20\%$ UKA) and 0.94%pa
186 (95%CI 0.69-1.23) in the high-caseload (>12 UKA/year) and high-usage ($\geq 20\%$ UKA) group
187 compared to 1.76%pa (95%CI 1.21-2.41) in the low-caseload (≤ 12 UKA/year) and low-usage
188 group ($<20\%$ UKA) and 1.58%pa (95%CI 0.57-3.05) in the high-caseload (>12 UKA/year) and
189 low-usage ($<20\%$ UKA) group. (With the Palacios *et al.* study included the revision rate in the
190 low-caseload, high-usage group was 0.32%pa (95%CI 0.16-0.52)). Figure 4.

191 Significant differences in the revision rate for lateral compartment disease progression
192 ($p=0.002$), persistent pain ($p=0.01$) and aseptic loosening ($p=0.001$) were observed with the
193 lowest revision rates seen in the high-caseload high usage series. No difference in annual
194 revision rate ($p=0.71$) or absolute risk of revision ($p=0.71$) for bearing dislocation was detected.

195 Table 3.

196

197

198 **Discussion**

199 In published series of the cemented Phase 3 Oxford medial UKA (46 studies, 12,520 knees,
200 67,128 component years) the all cause revision rate was 1.21%pa (95%CI 0.97-1.47) falling to
201 0.63%pa (95%CI 0.46-0.83) in series with a mean follow-up of 10-years or greater. Table 3.
202 Aseptic loosening, progression of disease in the lateral compartment, bearing dislocation, and
203 unexplained pain represented the predominant failure mechanisms with revisions for patella-
204 femoral joint disease (7 cases) and polyethylene wear (1 case) being exceedingly rare (<0.1%).

205 Revision rates decreased with both increasing surgeon caseload (UKA/surgeon/year) and
206 usage (percentage of primary knee arthroplasty that are UKA). It is well recognised, and
207 expected, that revision rate should decrease with increasing caseload[4]. It is however
208 counterintuitive that it should increase with usage. Kozinn & Scott (1989) described the ideal
209 indications for a UKA, and subsequent studies suggested that these were satisfied in about 5%
210 of knee replacements [14-16]. Kozinn and Scott also suggested that with broader indications,
211 and thus increased usage, the revision rate would increase. This meta-analysis is the first
212 review of clinical studies that has shown that this is not the case, supporting analysis of Registry
213 data, and concluding that the revision rate decreases with increased usage, at least for mobile-
214 bearing UKA[5].

215 Usage was found to be more important than caseload: Usage was independent of caseload,
216 with high-usage surgeons achieving equally good results regardless of their overall caseload,
217 whereas caseload was not independent of usage. In low-usage surgeons the annual revision
218 rate was almost double that of high-usage surgeons regardless of whether surgeons implanted
219 a high number of UKA (high-caseload) or not (low-caseload). The results of this study
220 therefore suggest that to achieve optimum outcomes mobile-bearing UKA should be performed
221 in a high proportion of a surgeon's practice and suggests that surgeons who perform a low
222 number of knee arthroplasties can still achieve good results provided that UKA is performed in

223 an adequate proportion. There were no studies available for high usage, very low-caseload
224 surgeons (<6UKA/year), and as such we cannot recommend that surgeons do such small
225 numbers, even if their usage is acceptable.

226 As low-usage surgeons have a high revision rate, regardless of whether they have a low or
227 high-caseload, the reasons for this are likely to be related to their indications for UKA, or
228 possibly for revision of UKA, rather than their surgical technique. The primary indication for
229 mobile-bearing UKA is antero-medial OA. This requires (a) medial bone-on-bone arthritis (b)
230 functionally normal ACL (c) functionally normal MCL (d) full thickness lateral cartilage and (e)
231 patellofemoral joint without lateral grooving and bone loss[17]. It has been demonstrated that
232 around 50% of cases undergoing knee arthroplasty meet these criteria and that suitability for
233 UKA can be identified pre-operatively using a structured radiographic assessment in
234 combination with a radiographic Decision Aid[18]. It is striking that the lowest revision rate
235 (0.69%pa) was achieved by those doing >30% of their knee replacements as UKA, who were
236 presumably adhering closely to the recommended indications.

237 Surgeons performing UKA in a low-proportion of cases and obtaining poor results are probably
238 using inappropriate indications. Surgeons may be concerned that UKA will fail because of
239 progression of disease in the retained compartments. Therefore they may only implant UKA if
240 the retained compartments are pristine, which usually only occurs if there is early arthritis with
241 partial thickness cartilage loss (PTCL) in the medial compartment. It is well known that patients
242 with PTCL do not do well with TKA, so a mobile-bearing UKA may seem to be an ideal solution,
243 as these patients tend to be young and active. However patients with PTCL also do badly with
244 UKA and have worse outcomes compared to those with bone-on-bone anteromedial
245 osteoarthritis[19, 20]. Whilst we can only speculate as to the reasons for failure, this study found
246 that low-usage UKA surgeons operated on younger patients, and had revision rates for
247 persistent pain that were ten-fold higher than high-usage surgeons, with both these features
248 being associated with operating on knees with PTCL. Recent work has highlighted that around a

249 quarter of young patients (<60 years) undergoing arthroplasty are not suitable for UKA due to
250 PTCL and it may be that low usage surgeons are performing UKA in these patients and
251 achieving poor results as a consequence[21]. Further work is required to confirm this finding, as
252 well as to clarify the results of registry studies which have reported higher failure rates of UKA in
253 young patients, a finding not observed in cases series performed for bone-on-bone arthritis [22-
254 24].

255 A final consideration is that, the higher revision rate in low-usage surgeons may relate to their
256 indications for revision. In this study low-usage surgeons had a higher revision rate due to
257 aseptic loosening compared to high-usage surgeons. Aseptic loosening is typically identified
258 radiographically by the presence of radiolucent lines around the prosthesis[25]. Following
259 mobile-bearing UKA two types of tibial radiolucency are recognized: Physiological
260 radiolucencies are common, occurring in two thirds of cases, and are non-progressive, narrow
261 (<2mm) with well-defined sclerotic margins. They are not indicative or predictive of loosening
262 nor are they a source of pain[26-28]. In contrast pathological radiolucencies are rare,
263 progressive and poorly-defined and are suggestive of loosening or infection. It is likely that
264 surgeons who have not learnt the correct indications for mobile-bearing UKA, and are therefore
265 low-usage surgeons, have also not understood the relevance of these radiolucencies, and may
266 be doing unnecessary revisions for physiological radiolucencies[29].

267 Whilst this study found a relationship between caseload and implant survival it was only the
268 high-usage surgeons, >24 UKA/year, which appeared to have a lower failure rate. Figure 2. This
269 result is different from previous studies which have reported a progressive decrease in failure
270 rate with increasing caseload with revision rates in high-caseload series typically half to a
271 quarter of that seen in low-caseload series[4, 30, 31]. One reason this relationship may not have
272 been seen in this study is that in almost a quarter of the high-caseload studies included in this
273 analysis were low-usage (4 of 17 studies), which we found to be associated with higher failure
274 rate[29, 32-34] . In cross-sectional studies, because of the relationship between caseload and

275 usage, we would expect the number of high-volume and low-usage UKA surgeons to be lower
276 than seen in this series[4]. As such usage may be a confounding variable that has not been
277 accounted for in previous reports.

278 In series reporting the long-term outcomes (mean follow-up of 10-years or greater) of mobile-
279 bearing UKA the survival rate was 94% (95%CI 92–95). Table 3. This result is better than the
280 10-year survival rate (88%, 95%CI 85-90) extrapolated from the annual revision rate for all
281 series, which have, on average a shorter follow-up. One reason for this is that the annual
282 revision rate tends to overestimate the long-term failure rate, particularly in studies with a high
283 incidence of early failures and a short duration of follow-up. This is relevant to this study: firstly
284 because with mobile-bearing UKA bearing dislocation tends to occur early, and secondly
285 because many of the included studies represent the learning curve of the surgeons who may
286 have more revisions during this period. However, the main reason why the revision rate of the
287 10-year series is lower than all series combined is that all the ten-year series were from high-
288 usage surgeons, whereas the other series came from a mixture of low and high-usage surgeons
289 with low-usage surgeons tending to get worse results. The main conclusion from this study is
290 therefore that if surgeons want to use the mobile-bearing UKA they should use it for a high-
291 proportion of their knee replacements ($\geq 20\%$). If they do this they should expect to achieve a
292 similarly good survival as seen in studies with long-term outcomes (94% ten-year survival).

293 There are limitations of this study: surgeons may over or understate their UKA caseload and
294 usage, presenting a risk of recall bias. Due to limited information provided in published series it
295 was not possible to evaluate functional outcomes which are critical in evaluating the optimum
296 treatment. The study is based on published case series of UKA, which are open to publication
297 bias. As the results of arthroplasty are expected to be good it may be easier to get poor results
298 published early and these need only be based on small numbers of patients. In contrast it is
299 difficult to get good results published, as these require large numbers of patients with long
300 follow-up. Therefore a higher proportion of poor results may be published than good.

301

302

303 **Conclusion**

304 To achieve optimum results with mobile-bearing UKA surgeons should use it for at least 20%,
305 and ideally 50% of their knee replacements. To do this they should adhere to the recommended
306 indications. This effect appears to be independent of the caseload of UKA performed meaning
307 that optimum results can still achieved by relatively low-volume surgeons (>6 and <12/year).
308 Surgeons with optimal usage should be able to achieve a 10-year survival of about 94%.

309

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592

ACCEPTED MANUSCRIPT

593 **Table 1: Demographic Information of included studies**

Study	Country	Age	Age range	% male	BMI	BMI range	MINORS Score
Akan 2013[35]	Turkey	64	42 - 84	17	29.8	19 - 42	11
Amin 2006[36]	UK	68	40 - 91	50	29.2	21 - 43	13
Aslan 2007[37]	Turkey	57	47 - 73	11	NS		11
Bergeson 2013[38]	USA	63	29 - 91	44	32.2	17 - 58	11
Bhattacharya 2012[32]	UK	69	50 - 83	50	NS		12
Biau 2013[39]	Canada	60	55 - 65	33	32.0	29 - 34	11
Bottomley 2016[40]	UK	67		49	NS		12
Bozkurt 2013[41]	Turkey	57		NS	NS		11
Burnett 2014[42]	Canada	69	40 - 88	44	29.7	18 - 49	14
Choy 2011[43]	South Korea	65	44 - 82	10	NS		12
Cinar 2010[44]	Turkey	58	44 - 76	8	NS		11
Clarius 2010[45]	Germany	63	45 - 78	49	29.0	20 - 42	13
Clark 2010[46]	Australia	64	45 - 81	NS	NS		11
Clement 2012[47]	UK	70		43	NS		12
Cool 2006[48]	Belgium	66	45 - 90	29	27.5		12
Davidson 2013[49]	UK	65	41 - 87	51	NS		10
Dervin 2011[33]	Canada	65	38 - 89	43	30.1	19 - 53	11
Edmondson 2011[50]	UK	67	57 - 86	NS	NS		11
Emerson 2016[51]	USA	67	38 - 89	55	29.9	17 - 62	13
Falcao 2014[52]	Portugal	64	49 - 78	15	NS		11
Faur-Martin 2013[53]	Spain	59		29	27.1		12
Heller 2009[54]	Israel	63	45 - 80	32	NS		11
Ingale 2013[55]	UK	67	42 - 92	NS	29.3		12
Ji 2014[56]	South Korea	64	50 - 76	15	NS		11
Keys 2013[57]	UK	69	40 - 87	NS	NS		13
Kim KT 2015[58]	South Korea	62	45 - 75	NS	NS		12
Kim SJ 2012[59]	South Korea	67	49 - 79	19	NS		14
Kort 2007[60, 61]	The Netherlands	66	43 - 93	34	30.7		11
Kuipers 2010[62]	The Netherlands	63	39 - 85	32	NS		11
Lim 2012[63]	South Korea	69	48 - 82	NS	NS		13
Lisowski 2011[64]	The Netherlands	73	43 - 91	NS	28.0	19 - 52	12
Luscombe 2007[65]	UK	63	41 - 79	NS	28.4		11
Mallen 2014[66]	Mexico	71	57 - 81	16	28.1	19 - 36	11
Matharu 2012[67]	UK	63	35 - 87	NS	NS		11
Munk 2011[34]	Denmark	66		51	NS		11
Nerhus 2012[68]	Norway	65	51 - 80	41	NS		11
Palacios 2007[69]	Mexico	NS	55 - 74	32	NS		10
Pandit 2015[28]	UK	66	32 - 88	48	NS		13
Parmaksizoglu 2012[70]	Turkey	67	56 - 75	26	NS		10
Petersen 2013[71]	Germany	71	59 - 79	NS	NS		11
Schroer 2013[29]	USA	57	40 - 76	58	NS		12
Smith 2012[72]	UK	67		NS	NS		11
Song 2009[73]	South Korea	66	57 - 82	7	NS		11
Wagner-Kristensen 2013[74]	Denmark	64	30 - 94	NS	NS		12
Whittaker 2010[75]	Canada	63	49 - 87	NS	30.7	19.3 - 43.1	10
Yoshida 2013[76]	Japan	77	47 - 94	18	NS		13

594
595 NS: Not stated.

596

597 Table 2: Details of included studies

Study	Number of knees	Number of patients	Mean follow-up (years)	Follow-up range (years)	Number of revisions	Caseload (UKA/surgeon/year)	Usage (% UKA)
Akan 2013[35]	141	120	3.5	2.0 - 4.3	10	21	NS
Amin 2006[36]	54	54	4.9	2.0 - 5.9	6	NS	NS
Aslan 2007[37]	27	27	2.3	2.0 - 3.0	2	NS	NS
Bergeson 2013[38]	839	688	3.7	0.1 - 6.5	40	111	22
Bhattacharya 2012[32]	49	44	5.6	2.0 - 9.9	1	15	5
Biau 2013[39]	37	33	5.3	4.9 - 6.3	1	12	8
Bottomley 2016[40]	1084	947	5.2		46	8	50
Bozkurt 2013[41]	53	NS	1.2	0.5 - 3.3	1	NS	15
Burnett 2014[42]	467	387	6.1	0.7 - 11.6	42	6	13
Choy 2011[43]	188	166	6.7	4.7 - 8.6	17	48	34
Cinar 2010[44]	41	40	1.6	0.8 - 3.5	1	NS	8
Clarius 2010[45]	61	59	5.0	4.0 - 7.0	2	3	13
Clark 2010[46]	398	398	3.6	1.0 - 8.5	15	11	20
Clement 2012[47]	49	49	7.2		4	12	13
Cool 2006[48]	50	49	3.7	2.6 - 5.0	3	NS	NS
Davidson 2013[49]	699	699	4.2		39	54	27
Dervin 2011[33]	545	545	3.8	2.3 - 7.4	32	18	17
Edmondson 2011[50]	48	48	4.5	3.0 - 6.0	4	6	6
Emerson 2016[51]	213	173	10.0	4.0 - 11.0	20	85	40
Falcao 2014[52]	29	27	3.9	0.8 - 6.9	2	NS	NS
Faour-Martin 2013[53]	511	402	10.4		29	85	NS
Heller 2009[54]	59	59	2.7		7	7	5
Ingale 2013[55]	470	NS	3.9		29	5	9
Ji 2014[56]	246	245	2.8	1.0 - 8.0	20	16	NS
Keys 2013[57]	107	NS	11.5		6	24	31
Kim KT 2015[58]	166	128	10.0		16	83	23
Kim SJ 2012[59]	124	104	6.7	4.2 - 9.1	3	40	NS
Kort 2007[60, 61]	200	175	4.0	2.0 - 7.0	19	8	4
Kuipers 2010[62]	437	437	2.6	0.1 - 7.9	45	5	10
Lim 2012[63]	400	320	5.2	1.0 - 10.0	14	44	30
Lisowski 2011[64]	244	216	4.2	1.0 - 10.4	9	27	40
Luscombe 2007[65]	78	68	2.0		4	23	22
Mallen 2014[66]	30	25	6.1	1.1 - 11.5	3	3	3
Matharu 2012[67]	459	392	4.4	0.5 - 11.2	23	8	18
Munk 2011[34]	268	268	1.0		3	19	15
Nerhus 2012[68]	99	96	2.0		6		
Palacios 2007[69]	24	22	1.0	0.7 - 3.0	0	6	33
Pandit 2015[28]	1000	818	10.3	5.3 - 16.6	52	50	70
Parmaksizoglu 2012[70]	38	38	2.0	1.5 - 2.7	0	NS	NS
Petersen 2013[71]	50	NS	5.0		3		NS
Schroer 2013[29]	83	77	3.6	0.3 - 7.1	13	28	7
Smith 2012[72]	230	NS	7.3		21	19	23
Song 2009[73]	100	94	9.0		9	43	23
Wagner-Kristensen 2013[74]	695	579	4.6	0.0 - 10.7	51	24	22
Whittaker 2010 [75]	79	62	3.6	1.0 - 11.3	7	5	7
Yoshida 2013[76]	1251	990	5.2	1.0 - 10.5	25	114	70

598 **Table 3: Indications for revision**

599

	All Cause	Aseptic Loosening	Lateral Progression	Bearing Dislocation	Unexplained Pain
All series	1.21%pa (95%CI 0.97 to 1.47)	0.19%pa (95%CI 0.09 to 0.32)	0.10%pa (95%CI 0.04 to 0.19)	0.10%pa (95%CI 0.05 to 0.17)	0.05%pa (95%CI 0.01 to 0.11)
Caseload					
≤6 UKA pa	1.87%pa (95%CI 1.14 to 2.76)	0.36%pa (95%CI 0.15 to 0.64)	0.59%pa (95%CI 0.35 to 0.87)	0.08%pa (95%CI 0.01 to 0.19)	0.19%pa (95%CI 0 to 0.60)
>24 UKA pa	0.88%pa (95%CI 0.63 to 1.61)	0.07%pa (95%CI 0.01 to 0.19)	0.15%pa (95%CI 0.04 to 0.32)	0.21%pa (95%CI 0.10 to 0.35)	0.03%pa (95%CI 0 to 0.09)
<i>p</i> -value	0.02	0.03	0.005	0.58	0.02
Usage					
<10%	1.89%pa (95%CI 1.15 to 2.80)	0.65%pa (95%CI 0.17 to 1.36)	0.19%pa (95%CI 0.05 to 0.39)	0.04%pa (95%CI 0 to 0.18)	0.22%pa (95%CI 0.02 to 0.57)
≥30%	0.69%pa (95%CI 0.50 to 0.90)	0.09%pa (95%CI 0.01 to 0.22)	0.12%pa (95%CI 0.03 to 0.26)	0.17%pa (95%CI 0.07 to 0.15)	0.02%pa (95%CI 0.01 to 0.12)
<i>p</i> -value	<0.001	0.001	0.10	0.94	0.02
Combined					
Low caseload, Low usage	1.76%pa (95%CI 1.21 to 2.41)	0.56%pa (95%CI 0.34 to 0.82)	0.23%pa (95%CI 0.08 to 0.44)	0.08%pa (95%CI 0.02 to 0.17)	0.28%pa (95%CI 0.07 to 0.58)
High caseload, Low usage	1.58%pa (95%CI 0.57 to 3.05)	0.62%pa (95%CI 0 to 2.17)	0.58%pa (95%CI 0.31 to 0.91)	0.06%pa (95%CI 0 to 0.23)	0.09%pa (95%CI 0 to 0.27)
Low caseload, High usage	0.85%pa (95%CI 0.65 to 1.08)	0.23%pa (95%CI 0.13 to 0.36)	0.24 (95%CI 0.14 to 0.38)	0.12%pa (95%CI 0.05 to 0.22)	0.06%pa (95%CI 0.01 to 0.13)
High caseload, High usage	0.94%pa (95%CI 0.69 to 1.23)	0.16%pa (95%CI 0.05 to 0.31)	0.12%pa (95%CI 0.04 to 0.25)	0.18%pa (95%CI 0.08 to 0.30)	0.04%pa (95%CI 0 to 0.11)
<i>p</i> -value	0.004	0.001	0.002	0.71	0.01

600

601 **Table 4: Studies with mean follow-up of 10 years or greater**

Study	Number of knees	Annual revision rate (%pa)	Annual revision rate 95% CI (%pa)	10y survival (%)	10y survival (%) 95% CI	Caseload (UKA/surgeon/year)	Usage (% UKA)
Emerson 2016[51]	213	0.94	0.57 – 1.45	90.6	85.5 – 94.3	85	40
Faour-Martin 2013[53]	511	0.55	0.37 – 0.78	94.5	92.2 – 96.3	85	NS
Keys 2013[57]	107	0.49	0.18 – 1.06	95.1	89.4 – 98.2	24	31
Kim KT 2015[58]	166	0.96	0.55 – 1.56	90.4	84.4 – 94.5	83	23
Pandit 2015[28]	1000	0.50	0.38 – 0.66	95.0	93.4 – 96.2	50	70
OVERALL		0.63	0.46 – 0.83	93.7	91.7 – 95.4		

602

603

604 **Appendix 1**

- 605 1. Arthroplasty, Replacement, Knee/
 606 2. Partial.ab
 607 3. unicompartmental.ab
 608 4. unicondylar.ab
 609 5. uni.ab
 610 6. UKA.ab
 611 7. UKR.ab
 612 8. UCA.ab
 613 9. UCR.ab
 614 10. PKA.ab
 615 11. PKR.ab
 616 12. PCA.ab
 617 13. Oxford.ab
 618 14. meniscal.ab
 619 15. mobile.ab
 620 16. OR/ 2-15
 621 17. 1 AND 16
 622 18. 17 (limited to humans)
 623

Database searched	Date searched	Number of results
MEDLINE (OVID) & in Process 1946 to March 16, 2016	17/03/2016	1554
EMBASE (OVID) 1996 to Week 11 2016	17/03/2016	975
ISI Web of Science (SCI, SSCI, CPCI-S & CPCI-SSH) searched to 20/01/15	17/03/2016	1056
Total		3585

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625

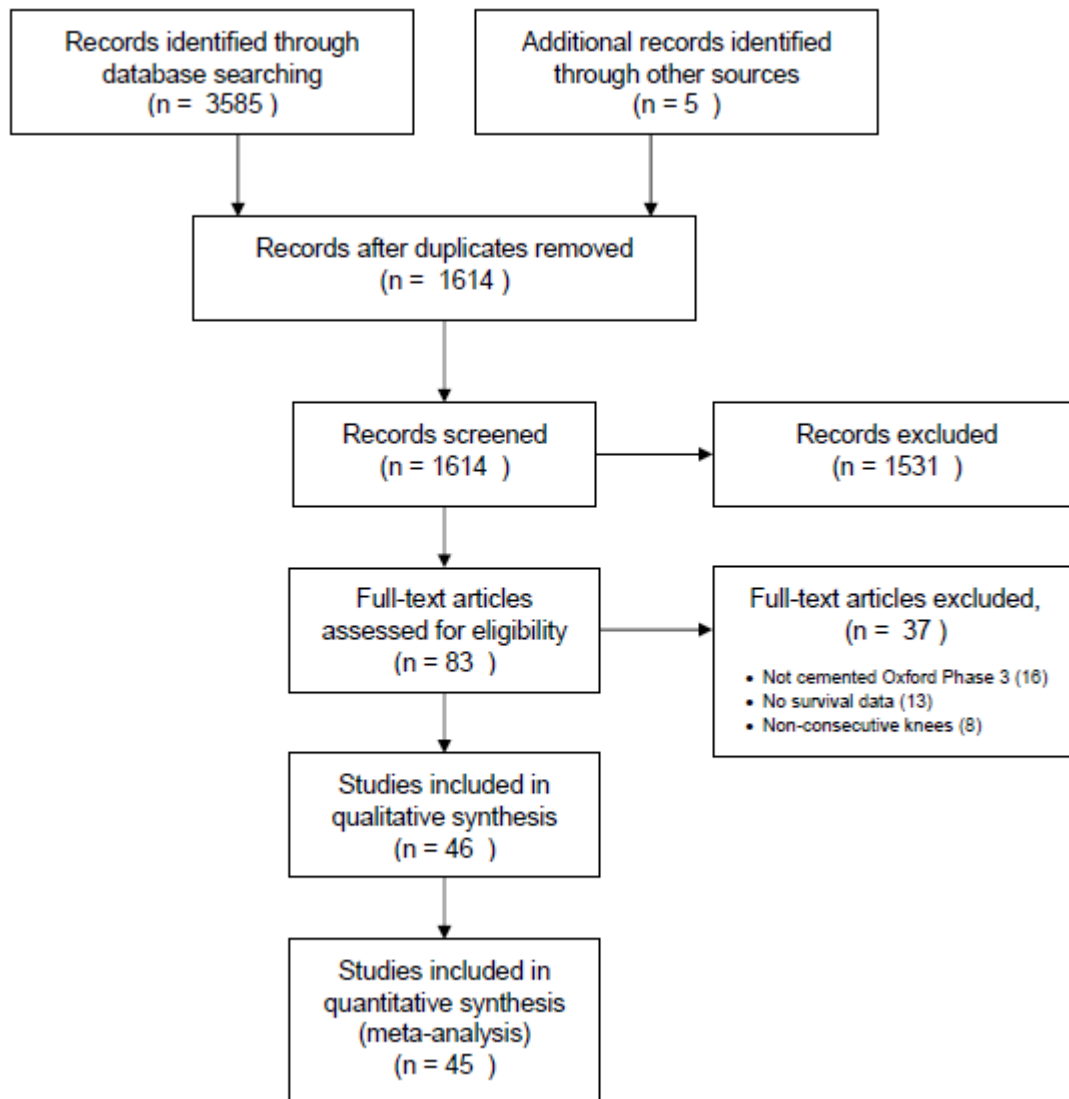
626 **Appendix 2: Excluded studies**

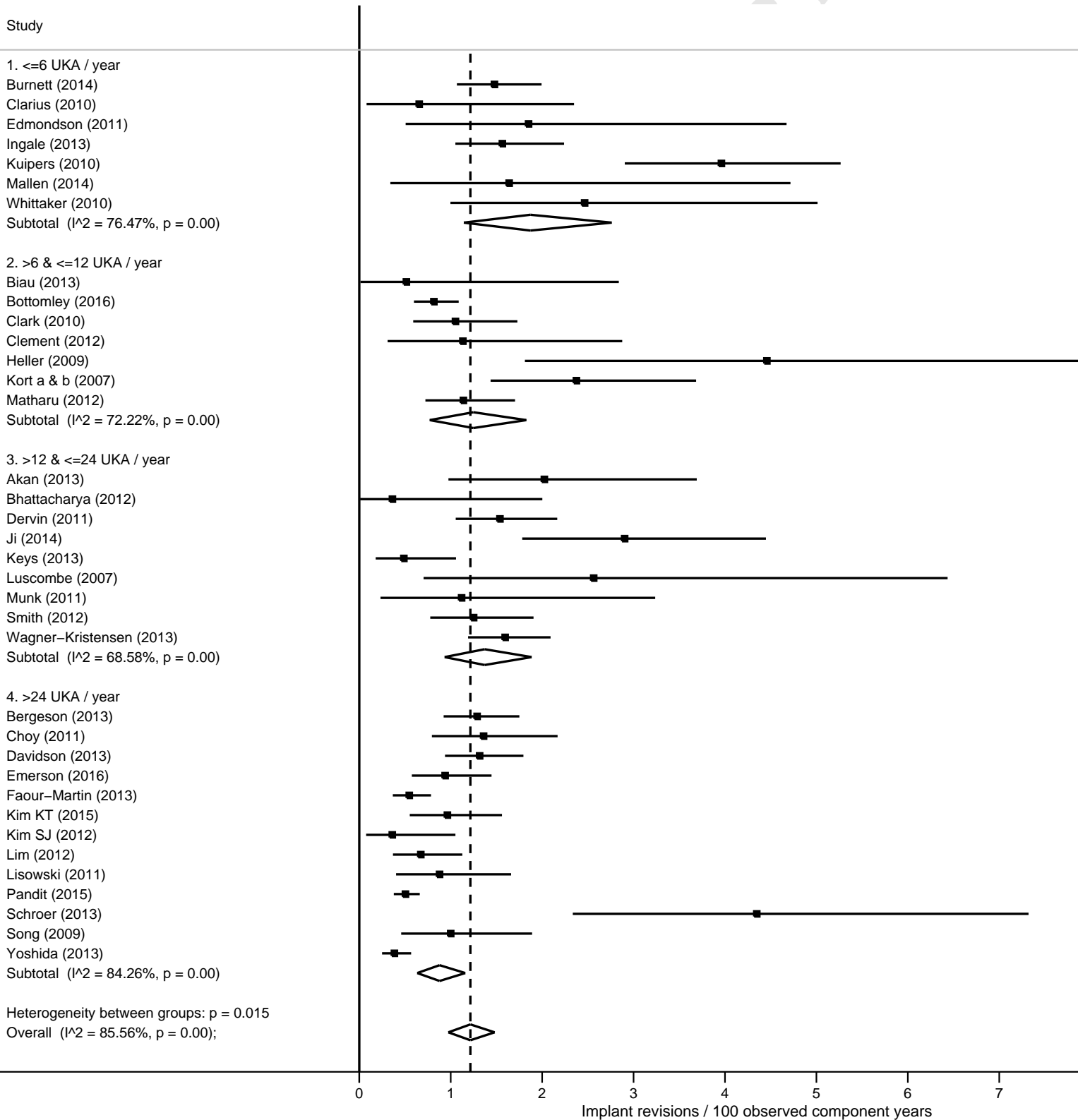
Study	Country	Reason excluded
Aldinger 2004[77]	Germany	No survival data
Catani 2012[78]	Italy	No survival data
Chatellard 2013[79]	France	Not cemented Oxford Phase 3
Daniilidis 2009[80]	Germany	No survival data
Emerson 2002[81]	USA	Not cemented Oxford Phase 3
Emerson 2008[82]	USA	Not cemented Oxford Phase 3
Gleeson 2004[83]	UK	Non-consecutive patients
Hooper 2015[84]	New Zealand	Not cemented Oxford Phase 3
Jahromi 2004[85]	Australia	No survival data
Kaczmarczyk 2003[86]	Poland	No survival data
Kendrick 2015[87]	UK	No survival data
Kubat 2011[88]	Czech Republic	No survival data
Langdown 2005[89]	UK	Non-consecutive patients
Li 2006[90]	Australia	Non-consecutive patients
Liddle 2013[91]	UK	Not cemented Oxford Phase 3
Ma 2013[92]	China	No survival data
Mascitti 2005 [93]	Italy	No survival data
Masri 2009[94]	Canada	Non-consecutive patients
Mercier 2010[95]	France	Not cemented Oxford Phase 3
Mullaji 2011[96]	India	No survival data
Muller 2004[97]	Germany	Not cemented Oxford Phase 3
Nassiri 2010[98]	Ireland	Non-consecutive patients
Pandit 2013[99]	UK	Not cemented Oxford Phase 3
Pandit 2015[100]	UK	Not cemented Oxford Phase 3
Parratte 2012[101]	France	Not cemented Oxford Phase 3
Pietschmann 2014[102]	Germany	No survival data
Rajasekhar 2004 [103]	UK	Not cemented Oxford Phase 3
Shakespeare 2012[104]	UK	No survival data
Skowronski 2005[105]	Poland	Not cemented Oxford Phase 3
Streit 2015[106]	Germany	Non-consecutive patients
Sun 2012[107]	China	Non-consecutive patients
Tang 2012[108]	China	No survival data
Tuncay 2015[109]	Turkey	Non-consecutive patients
Verdonk 2005[110]	Belgium	Not cemented Oxford Phase 3
Volpin 2006	Israel	No survival data
Vorlat 2006[111]	Belgium	Not cemented Oxford Phase 3
White 2012[112]	UK	Not cemented Oxford Phase 3
Zermatten 2012[113]	Switzerland	Not cemented Oxford Phase 3

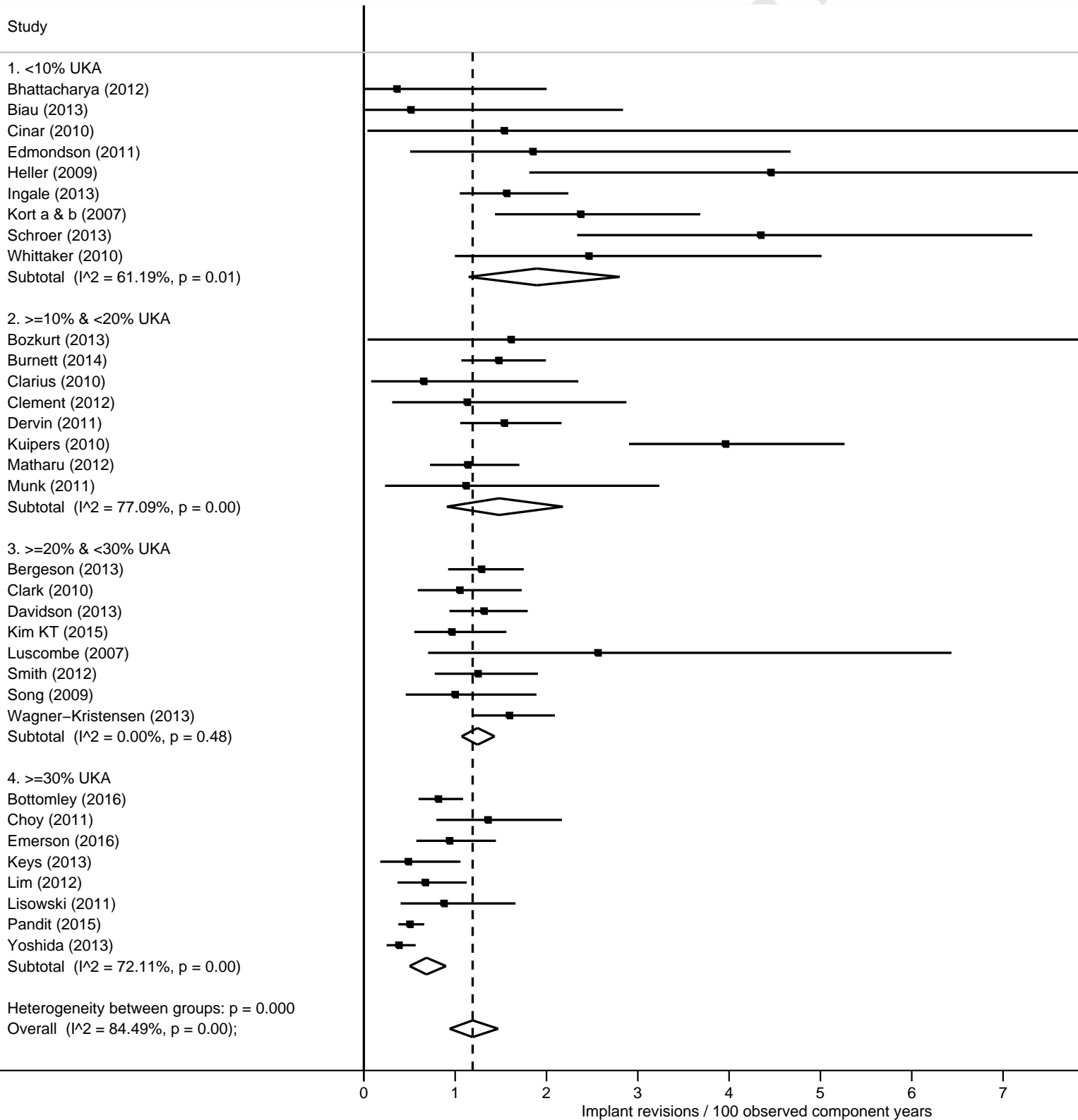
627

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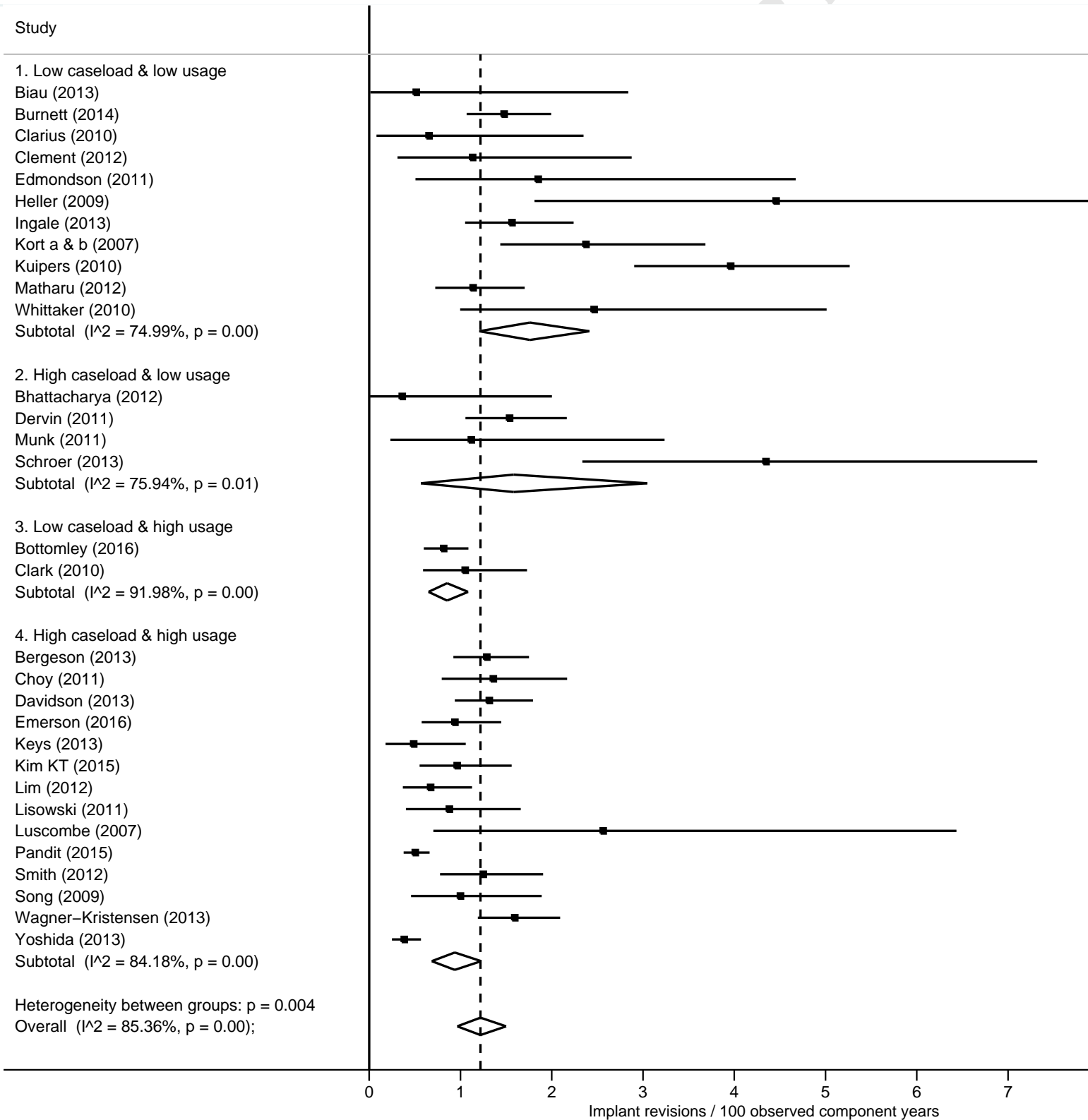


Figure Legends

Figure 1 - PRISMA flow diagram of included studies

Figure 2 - Outcomes of UKA by surgical caseload (UKA per surgeon per year)

Figure 3 - Outcomes of UKA by surgical usage (percentage of primary knee arthroplasty that are UKA)

Figure 4 - Outcomes of UKA by combined surgical caseload and usage