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Hoare Semigroups

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Received

A semigroup-based setting for developing Hoare logics and refinement calculi is introduced together with procedures for translating between verification and refinement proofs. A new Hoare logic for multirelations and two minimalist generic verification and refinement components, implemented in an interactive theorem prover, are presented as applications that benefit from this generalisation.

1. Introduction

Kleene algebra with tests (Kozen97) can be seen as the algebra of while programs. It provides a two-sorted signature with one carrier set for programs equipped with operations for their nondeterministic choice, sequential composition and finite iteration. A second carrier set models tests or assertions with operations for join, meet and complementation. It is well known that the rules of propositional Hoare logic for the partial correctness of while programs—Hoare logic without assignment rules—can be derived from its axioms (Kozen00). A simple expansion allows axiomatising Morgan’s specification statement and deriving a basic calculus for the stepwise refinement of while programs (ArmstrongGS16).

From these foundations, program construction and verification components for interactive theorem provers can be developed (Pous13; ArmstrongSW13; ArmstrongGS16). The algebraic axioms can be linked with denotational semantics of the program store based on binary relations or program traces by formal soundness proofs. Assignment laws can then be derived in this concrete semantics and programs constructed and verified directly within it. A main feature of this method is that the control flow level of programs, as modelled by the algebra, is cleanly and modularly separated from the data level, which is modelled within the concrete semantics (ArmstrongGS16).

Yet for simplifying such components and making them available for larger classes of models and applications, it seems natural to review and try to generalise the algebraic foundations on which they depend.

This motivates the definition of Hoare semigroups (or H-semigroups), which are sets equipped with an associative multiplication, an addition of which nothing is required, a transitive relation, with respect to which these operations are compatible, and an operation of weak iteration that satisfies a simulation law (Section 2). A generic Hoare

logic is derivable in this minimalist setting when simple additional conditions are imposed on the conditional and the loop rule. A basic refinement calculus is derivable in R-semigroups, an expansion by one additional operation and two further axioms (Section 3). Within this approach, Hoare triples are encoded à la Kleene algebra with tests, but programs are not distinguished from tests or assertions.

Test H-monoids are introduced next to capture that distinction and provide a basic abstract semantics for structured programs (Section 4). Hoare triples and specification statements can then be restricted to assertions that capture pre- and postconditions and yield a basis for Kleene algebra with tests and its relatives.

The derivation of refinement laws in R-semigroups uses the rules of Hoare logic in H-semigroups directly. Beyond this, a simple algebraic setting is presented in which the two sets of laws are interderivable. In addition it is shown that effective translations between verification and refinement proofs are possible (Section 5).

Two main benefits of the H-semigroup approach to Hoare logic are as follows: First of all, it allows developing such logics over arbitrary semirings, and it supports the instantiation of the generic H-semigroup operations in various ways. Addition, for instance, can be interpreted as nondeterministic choice or as parallel composition; weak iteration as finite iteration in a Kleene algebra or possibly infinite iteration in a demonic refinement algebra (Section 6). The development of a new Hoare logic for multirelations (FurusawaS15) with rules for sequential and concurrent composition provides an extended example (Section 7) with potential for probabilistic and quantum extensions.

Secondly, the approach supports the design of simple modular program correctness components. A minimalist verification component based on H-semigroups and a refinement component based on R-semigroups are presented as examples. Both have been implemented in the interactive theorem prover Isabelle/HOL (NipkowPW02) from scratch using only its main libraries; and both are correct by construction. The compactness of the axioms makes the derivation of generic verification and refinement rules by automated theorem proving and the soundness proof with respect to a relational store semantics very simple (Section 8 and 9), but some conceptual insights were of course necessary to achieve this level of simplicity and modularity.

The final sections of this article provide a series of counterexamples that justify the H-semigroup and R-semigroup axioms (Section 10), and a derivation of the rules of propositional Hoare logic from the H-semigroup axioms by diagram chase (Section 11).

2. H-Semigroups and Verification

A set S equipped with an unconstrained operation of type $S \times S \rightarrow S$ is sometimes called *magma* or *groupoid*.

Definition 1. An *H-semigroup* is a structure $(S, \cdot, +, \circ, \preceq)$ such that (S, \cdot) is a semigroup and $(S, +)$ a magma. The binary relation \preceq on S is transitive; multiplication and addition are left and right isotone with respect to it, that is, $x \preceq y$ implies $zx \preceq zy$, $xz \preceq yz$, $x + z \preceq y + z$ and $z + x \preceq z + y$. The operation $\circ : S \rightarrow S$ satisfies the simulation axiom

$$yx \preceq xy \Rightarrow yx^\circ \preceq x^\circ y.$$

Definition 2. An *H-monoid* is an H-semigroup expanded by a multiplicative unit 1 in which \preceq is a preorder, that is, reflexive and transitive.

The elements of S can be interpreted as actions, events or tasks of a system, in particular as programs. The product xy could mean that x happens and then, after it finishes, y . The relation $x \preceq y$ could mean that whenever x can happen, y can happen as well, for instance because action y allows at least the behaviour of action x , or because task y is less prescriptive than task x . In these situations, on the one hand, y has at least as many reasons to be true as x , which gives $x \preceq y$ the flavour of material implication. On the other hand it is always safe to perform x in place of y , as it would not allow any behaviour prohibited by y . The sum $x + y$ could be a nondeterministic choice between x and y or their parallel composition. Yet no algebraic assumptions are made and \cdot will be interpreted as a parallel composition in Section 7 as well. Finally, x° could model a weak kind of repetition or iteration of x that could be empty, finite or infinite. In this case, the simulation axiom states that y can happen after a sequence of x 's whenever it can happen before such a sequence, provided that y can happen after a single x whenever it can happen before it. Yet x° could also be an abstraction or projection of x .

Definition 3. An *H-triple* is a ternary relation $H \subseteq S \times S \times S$ over an H-semigroup S such that, for all $p, x, q \in S$,

$$H p x q \Leftrightarrow px \preceq xq.$$

This generalised Hoare triple captures the fact that whenever p can happen before x , then q can happen after it. In the context of program verification, p and q are the precondition and postcondition of program x . Then, $H p x q$ expresses in the style of Kleene algebra with tests that whenever program x is executed from states that satisfy the precondition p and whenever x terminates, then it does so in states satisfying postcondition q .

For deriving the rules of a generalised Hoare logic, two more concepts are needed.

Definition 4. Let S be an H-semigroup. An element $p \in S$ is *left superdistributive* if $p(x + y) \preceq px + py$ holds for all $x, y \in S$. It is *right subdistributive* if $xp + yp \preceq (x + y)p$ holds for all $x, y \in S$.

Definition 5. An element x of an H-semigroup *reflects* y whenever $xy \preceq yxy$.

This means that whenever y can happen after x , then it can happen before x , too. In other words, if y happens after x , then executing y before x does not restrict x 's behaviour.

Reflection is the opposite of preservation $yx \preceq yxy$, which means that if y can happen before x , then it can also happen afterwards. Reflection is preservation when x and y happen backwards in time.

Lemma 6. In every H-semigroup,

- (i) x reflects y if and only if $H x y (xy)$,
- (ii) x reflects y if x and y commute and y is multiplicatively idempotent.

We are now prepared for the main result in this section.

Proposition 7.

(i) In every H-semigroup with left-superdistributive element t and right-subdistributive element u ,

$$H p x q' \wedge q' \preceq q \Rightarrow H p x q, \quad (\text{HCons1})$$

$$p \preceq p' \wedge H p' x q \Rightarrow H p x q, \quad (\text{HCons2})$$

$$H p x r \wedge H r y q \Rightarrow H p (xy) q, \quad (\text{HSeq})$$

$$H t v (tv) \wedge H t w (tw) \wedge H (tv) x u \wedge H (tw) y u \Rightarrow H t (vx + wy) u, \quad (\text{HCond})$$

$$H p q (pq) \wedge H p r (pr) \wedge H (pq) x p \Rightarrow H p ((qx)^\circ r) (pr). \quad (\text{HLoop})$$

(ii) In every H-monoid, in addition,

$$H p 1 p. \quad (\text{HSkip})$$

The relation H does not distinguish programs from tests or assertions. As a compensation, reflection conditions have been imposed on some rules. Obviously, (HCons1) and (HCons2) are generalised consequence rules; (HSeq) is a sequential composition rule and (HSkip) a skip rule. (HCond) is a conditional rule with two reflection conditions and (HLoop) an iteration rule with one reflection condition. Assignment rules cannot be specified in this setting (cf. Section 8). In the tradition of Kleene algebras with tests I call the rules in Proposition 7 *propositional Hoare logic* (PHL). In H-monoids one can merge (HCons1) and (HCons2) into the single consequence rule

$$p \preceq p' \wedge H p' x q' \wedge q' \preceq q \Rightarrow H p x q. \quad (\text{HCons})$$

Lemma 32 below shows that the distributivity assumptions on (HCond) are necessary. Section 8 presents a formal proof of Proposition 7 with Isabelle, Section 11 an alternative one by diagram chase. By Lemma 34 below, the reflection conditions are necessary for (HCond) and (HLoop), but without them, simplified versions can still be obtained.

Corollary 8. In every H-semigroup with left-superdistributive element t and right-subdistributive element u ,

$$\begin{aligned} H t x u \wedge H t y u &\Rightarrow H t (x + y) u, \\ H p x p &\Rightarrow H p x^\circ p. \end{aligned}$$

The second law simply translates the simulation axiom. A frame rule is derivable, too.

Lemma 9. In every H-semigroup,

$$H p x p \wedge H q x r \Rightarrow H (pq) x (pr).$$

The condition $H p x p$ in Corollary 8 and Lemma 9, which is equivalent to $px \preceq xp$, is a strong preservation property. It expresses that if p holds before x , then it holds after x as well. Loop invariants, of course, have this property. Another typical situation is that the actions in p and x do not affect each other and are, in that sense, independent.

3. R-Semigroups and Refinement

It is straightforward to express Morgan's specification statement (Morgan94) in H-semigroups by adding one operation and two axioms. A generalised refinement calculus can then be derived. It allows the stepwise modular construction of programs from specifications by restricting their behaviour, usually by elimination of nondeterminism. In this context, programs are often seen as executable specifications, or as implementations of specifications in the sense that programs constructed must satisfy the correctness criteria prescribed by their specifications. It is therefore necessary that each individual refinement step preserves correctness.

Definition 10. An *R-semigroup* is an H-semigroup expanded by the operation $R : S \times S \rightarrow S$ in which \circ is isotone and that satisfies

$$\begin{aligned} H p (R p q) q, \\ H p x q \Rightarrow x \preceq R p q. \end{aligned}$$

Definition 11. An *R-monoid* is an *R-semigroup* that is also a H-monoid.

In every R-semigroup, by definition, the *refinement statement* $R p q$ is the greatest solution in x of $H p x q$, that is, the greatest element x that satisfies $H p x q$. In the context of program refinement, when $H p x q$ states that program or specification x meets the partial correctness specification in terms of precondition p and postcondition q , $R p q$ thus models the most general program or specification that satisfies the specification statement expressed by the Hoare triple.

The relation \preceq serves as the converse of the usual refinement relation, which is sometimes modelled as an implication between specifications. In line with the interpretation given in Section 2, a program or specification x can be used safely in place of y whenever $x \preceq y$, as it would not violate the correctness criteria prescribed by y . Hence, whenever y is correct and $x \preceq y$, then x must be correct as well. The minimal requirements on \preceq imposed in Definition 1 are of course essential for refinement: transitivity makes refinement incremental and the isotonicity properties guarantee its modularity.

Proposition 12.

(i) In every H-semigroup with left-superdistributive element t and right subdistributive element u ,

$$p \preceq p' \wedge q' \preceq q \Rightarrow R p' q' \preceq R p q, \quad (\text{RCons})$$

$$(R p r)(R r q) \preceq R p q, \quad (\text{RSeq})$$

$$H t v (tv) \wedge H t w (tw) \Rightarrow v R (tv) u + w R (tw) u \preceq R t u, \quad (\text{RCond})$$

$$H p q (pq) \wedge H p r (pr) \Rightarrow (q(R(pq) p))^\circ r \preceq R p (pr). \quad (\text{RLoop})$$

(ii) In every R-monoid,

$$p \preceq q \Rightarrow 1 \preceq R p q. \quad (\text{RSkip})$$

These formulas generalise Morgan's refinement laws (Morgan94). In analogy to the rules of PHL, from which they can be derived (see Lemma 21 below), I call them *propositional*

refinement calculus (PRC). A formal proof of Proposition 12 with Isabelle can be found in Section 9. By Lemma 33 and Lemma 35 (1) and (2) below, the distributivity and reflection conditions cannot be removed.

The laws $x \preceq R01$ and $R10 \preceq x$ are often added to PRC for platonic reasons. Obviously, $x \preceq R01 \Leftrightarrow H0x1 \Leftrightarrow 0x \preceq x$, which holds in an R-monoid whenever the element 0 satisfies $0 \preceq x$ and $0x = 0$. Moreover, $H1(R10)0 \Leftrightarrow 1R10 \preceq (R10)0$, whence $R10 = 0 \preceq x$ holds in an R-monoid whenever $x0 = 0$. Another interesting law is $1 \preceq Rpp$, which follows in R-monoids from (RSkip) and transitivity of \preceq .

Finally, the following frame law holds.

Lemma 13. In every R-semiring,

$$Hr(Rpq)r \Rightarrow Rpq \preceq R(rp)(rq).$$

R-semigroups could have been axiomatised by using $Hpxq \Leftrightarrow x \preceq Rpq$. The two R-semigroup axioms above, and hence the laws of PRC, can be derived from this law. However, by Lemma 35(3) below, this law is not implied by the two R-semigroup axioms, which are therefore strictly weaker.

4. Test H-Monoids and Assertions

Hoare logics usually distinguish programs from assertions or tests. This cannot be captured by H-semigroups or R-semigroups alone. Structured programs such as conditionals or loops, which depend on binary tests, cannot be specified either. To address this in a semigroup setting, the following definition has been proposed in unpublished joint work with Peter Jipsen.

Definition 14. A *test monoid* is a monoid $(S, \cdot, 1)$ expanded by an *antitest* operation $- : S \rightarrow S$ that satisfies

$$\begin{aligned} -(-(-0)) &= -0, \\ -x \cdot -(-x) &= 0, \\ -x \cdot -(-(-z) \cdot -(-y)) &= -(-(-x \cdot -y) \cdot -(-x \cdot -z)). \end{aligned}$$

Defining a *test* operation $tx = -(-x)$ as well as $0 = -1$, and using the *test disjunction* operation defined as $tx \oplus ty = -(-x \cdot -y)$, these axioms can be written more succinctly as $t1 = 1$, $-x \cdot tx = 0$ and $-x \cdot -(tz \cdot ty) = -((tx \oplus ty) \cdot (tx \oplus tz))$.

Lemma 15. In every test monoid S , the operation t is a retraction, $t \circ t = t$, hence $x \in t(S) \Leftrightarrow tx = x$.

The elements of $t(S)$, the image of S under t , are called *tests*, and according to Lemma 15, tests are fixpoints of t . I henceforth write p, q, r, \dots for tests and x, y, z for general elements. It is straightforward to show that $t(S) = -(S)$. Lemma 15 is useful for proving the following fact.

Lemma 16. In every test monoid, $(t(S), \oplus, \cdot, -, 0, 1)$ forms a boolean subalgebra of S .

This boolean structure is of course desirable for tests and assertions. The following definition links test monoids with H-monoids.

Definition 17. A *test H-monoid* is a structure $(S, +, \cdot, -, \circ, 1, \preceq)$ where $(S, +, \cdot, \circ, 1, \preceq)$ is an H-monoid, $(S, \cdot, -, 1)$ a test monoid, and all tests $p \in t(S)$ are left subdistributive and right superdistributive.

Note that \preceq need not coincide with the lattice order on tests and that \oplus , which is associative, commutative and idempotent, does not in general coincide with $+$ on tests.

In test H-monoids, Hoare triples can be specified à la Kleene algebra with tests. The rules of PHL, as instances of those in Proposition 7, are now derivable without reflection conditions.

Lemma 18. If S is a test H-monoid, then $H p q (pq)$ holds for all $p, q \in t(S)$.

Conditional and loop commands can be defined as

$$\begin{aligned} \mathbf{if } p \mathbf{ then } x \mathbf{ else } y &= px + -py, \\ \mathbf{loop}_\circ p x &= (px)^\circ \cdot -p. \end{aligned}$$

Hoare triples can be restricted to tests in the first and third argument: $H \subseteq t(S) \times S \times t(S)$. The conditional and loop rules in Proposition 7 thus specialise as follows.

Corollary 19. In every test H-monoid,

$$\begin{aligned} H (tv) x u \wedge H (t \cdot -v) y u &\Rightarrow H t (\mathbf{if } v \mathbf{ then } x \mathbf{ else } y) u, \\ H (pq) x p &\Rightarrow H p (\mathbf{loop } q x) (p \cdot -q). \end{aligned}$$

A *test R-monoid* is an R-monoid that is also a test H-monoid in which the specification statement is restricted to type $t(S) \times t(S) \rightarrow S$. The refinement laws for conditionals and loops in Proposition 12 then specialise as follows.

Corollary 20. In every test R-monoid,

$$\begin{aligned} \mathbf{if } v \mathbf{ then } R (vt) u \mathbf{ else } R (-vt) u &\preceq R t u, \\ \mathbf{loop}_\circ (q, R (qp) p) &\preceq R p (p \cdot -q). \end{aligned}$$

5. Verification vs Refinement

In practice it is often straightforward to transform verification proofs into refinement proofs and vice versa. This section considers this observation from a formal point of view. Related to this, I first collect some conditions under which verification rules and refinement laws become interderivable.

Lemma 21. Let (S, \preceq) be a preorder endowed with operations $\cdot, +, R : S \times S \rightarrow S$, $\circ : S \rightarrow S$ and $1 \in S$. Assume that $\cdot, +$ and \circ are isotone and that, for $H p x q \Leftrightarrow px \preceq xq$,

$$H p x q \Leftrightarrow x \preceq R p q. \quad (1)$$

Then $(HX) \Leftrightarrow (RX)$ for $X \in \{\text{Cons, Seq, Skip, Cond, Loop}\}$ under the usual distributivity constraints on the conditional rules.

Proof. The R-monoid axioms $H p(R p q) q$ and $H p x q \Leftrightarrow x \preceq R p q$ are derivable from (1) and can thus be used in the proof.

— (HCons) \Leftrightarrow (RCons). Suppose that $p \preceq p'$ and $q' \preceq q$. Then

$$H p'(R p' q') q' \Rightarrow H p(R p' q') q \Leftrightarrow R p' q' \preceq R p q$$

by (HCons). Conversely, $H p' x q' \Leftrightarrow x \preceq R p' q' \preceq R p q \Leftrightarrow H p x q$ by (RCond) and the two assumptions.

— (HSeq) \Leftrightarrow (RSeq).

$$H p(R p r) r \wedge H r(R r q) q \Rightarrow H p((R p r)(R r q)) q \Leftrightarrow (R p r)(R r q) \preceq R p q$$

by (HSeq). Conversely, by (RSeq) and isotonicity of \cdot ,

$$H p x r \wedge H r y q \Leftrightarrow x \preceq R p r \wedge y \preceq R r q \Rightarrow x y \preceq (R p r)(R r q) \preceq R p q \Leftrightarrow H p(x y) q.$$

— (HSkip) \Leftrightarrow (RSkip). $1 \preceq R p p$ by (HSkip), and the claim follows from (RCons). Conversely, (RSkip) implies $H p 1 p$.

— (HCond) \Leftrightarrow (RCond). First,

$$\begin{aligned} H(tv)(R(tv)u)u \wedge H(tw)(R(tw)u)u &\Rightarrow Ht(vR(tv)u + wR(tw)u)u \\ &\Leftrightarrow vR(tv)u + wR(tw)u \preceq Rtu \end{aligned}$$

by (HCond). Conversely,

$$\begin{aligned} H(tv)xu \wedge H(tw)yu &\Leftrightarrow x \preceq R(tv)u \wedge y \preceq R(tw)u \\ &\Rightarrow vx + wy \preceq vR(tv)u + wR(tw)u \preceq Rtu \\ &\Leftrightarrow Ht(vx + wy)u \end{aligned}$$

by (RCond) and isotonicity of \cdot and $+$. The distributivity and reflection conditions have not been mentioned explicitly. They are the same for (RCond) and (HCond).

— (HLoop) \Leftrightarrow (RLoop). First,

$$H(pq)(R(pq)p)p \Rightarrow H p((qR(pq)p)^\circ r)(pr) \Leftrightarrow (qR(pq)p)^\circ r \preceq R p(pr)$$

by (HLoop). Conversely,

$$H(pq)xp \Leftrightarrow x \preceq R(pq)p \Rightarrow (qx)^\circ r \preceq (qR(pq)p)^\circ r \preceq R p(pr) \Leftrightarrow H p((qx)^\circ r)pr$$

by (RLoop) and isotonicity of \cdot and $^\circ$. The reflection conditions have again not been mentioned. □

The derivation of PRC from PHL has already been reported in the context of Kleene algebras with tests expanded by the refinement statement and (1) as an axiom (ArmstrongGS16); the converse direction is new. Lemma 36 below yields a counterexample if the R-semigroup axioms $H p(R p q) q$ and $H p x q \Rightarrow x \preceq R p q$ are assumed instead of (1). In that case PRC follows from PHL, but not conversely.

Finally, condition (1) covers the interderivability of assignment rules. Hoare's assignment axiom is of the form $H p[e/v](v := e)p$, where $p[e/v]$ denotes that the value of

variable v in the program store is updated to e . The standard refinement rule for assignments is $(v := e) \preceq Rp[e/v]p$. Thus, obviously,

$$Hp[e/v](v := e)p \Leftrightarrow (v := e) \preceq Rp[e/v]p \quad (2)$$

is an instance of (1). Other typical refinement rules for assignments are derivable by using the consequence and sequential composition rules of PHL (cf. Section 9).

The next lemmas show that PRC proofs can be constructed from step-wise proofs in PHL and vice versa. In this context I assume the preorder axioms for \preceq . If the rules PHL from Proposition 7 have been added to them, I write H for the resulting set. Otherwise, if the refinement laws PRC from Proposition 12 and the isotonicity laws for all operations have been added, I write R . While programs are defined in the standard way as a recursive data type or grammar over a given set of atoms.

Lemma 22. Every proof in H translates effectively into a proof in R if, for every atomic program c , $H \vdash Hp c q \Rightarrow R \vdash c \preceq Rp q$.

Proof. By induction on H -proofs.

- There are two base cases. For 1, $H \vdash Hp 1 q$ and $R \vdash 1 \preceq Rpp$ by Proposition 7 and Proposition 12. Thus $H \vdash Hp 1 q \Rightarrow R \vdash 1 \preceq Rpp$. For atomic programs the assumption applies.
- Suppose $H \vdash Hp x q$ and the last proof step was $H \vdash Hp' x q'$ by (HCons) with $p \preceq p'$ and $q' \preceq q$. Then $R \vdash x \preceq Rp' q'$ by the induction hypothesis and $R \vdash x \preceq Rp q$ with the assumptions and (RCons).
- Suppose $H \vdash Hp(xy) q$ and the last proof step was (HSeq). Then there were proofs $H \vdash Hpx r$ and $H \vdash Hry q$ for some $r \in S$. Therefore $R \vdash x \preceq Rpr$ and $R \vdash y \preceq Rr q$ by the induction hypothesis and $R \vdash xy \preceq (Rpr)(Rr q) \preceq Rp q$ with (RSeq) and isotonicity of \cdot .
- Suppose $H \vdash Hp(vx + wy) q$ and the last proof step was (HCond). Then there were proofs $H \vdash H(pv) x q$ and $H \vdash H(pw) y q$. Therefore $R \vdash x \preceq R(pv) q$ and $R \vdash y \preceq R(pw) q$ by the induction hypothesis and $R \vdash vx + wy \preceq vR(pv) q + wR(pw) q \preceq Rp q$ by (RCond) with isotonicity of $+$ and \cdot .
- Suppose $H \vdash Hp((qx)^\circ r)(pr)$ and the last proof step was (HLoop). Then there was a proof $H \vdash H(pq) x p$. Therefore $R \vdash x \preceq R(pq) p$ by the induction hypothesis and $R \vdash (qx)^\circ r \preceq (qR(pq) p)^\circ r \preceq Rp(pr)$ by (RLoop) with isotonicity of \cdot and $^\circ$.

□

For translating refinement proofs into verification proofs the following fact is useful.

Lemma 23. Let α, β be specification statements. The expressions generated by R satisfy

- (i) if $R \vdash x \preceq \alpha\beta$ then $x = x_1x_2$ and $R \vdash x_1 \preceq \alpha$ and $R \vdash x_2 \preceq \beta$ for some $x_1, x_2 \in S$;
- (ii) if $R \vdash x \preceq y\alpha + z\beta$ then $x = yx_1 + zx_2$ and $R \vdash x_1 \preceq \alpha$ and $R \vdash x_2 \preceq \beta$ for some $x_1, x_2 \in S$;
- (iii) if $R \vdash x \preceq (y\alpha)^\circ z$ then $x = (yw)^\circ z$ and $R \vdash z \preceq \alpha$ for some $w \in S$.

Proof. The laws in R form a context-free grammar when the constraints are ignored.

The conditional rule can be seen as an infinite set of rules between nonterminals. It is therefore clear that these rules generate trees. For instance $x \preceq \alpha\beta$ says that from a certain tree $\alpha \cdot \beta$ with terminal \cdot one can expand to a tree x . Hence the tree x will still have root \cdot and two subtrees x_1 and x_2 stemming from the expansion of α and β , respectively. In other words, $x = x_1 \cdot x_2$. The arguments for the other cases are similar. \square

Lemma 24. Every proof in \mathbf{R} translates effectively into a proof in \mathbf{H} if, for every atomic program c (e.g. an assignment statement), $\mathbf{R} \vdash c \preceq Rpq \Rightarrow \mathbf{H} \vdash Hpcq$.

Proof. By induction on \mathbf{R} proofs.

- The base cases are trivial as in Lemma 22 and I do not repeat them.
- Suppose the last step is (RCons). Then there is a proof $\mathbf{R} \vdash x \preceq Rp'q' \preceq Rpq$ and the last step uses $p \preceq p'$ and $q' \preceq q$. Then $\mathbf{H} \vdash Hp'xq'$ by the induction hypothesis and $\mathbf{H} \vdash Hpxq$ by (HCons).
- Suppose the last step is (RSeq). Then there is a proof $\mathbf{R} \vdash x \preceq (Rpr)(Rrq) \preceq Rpq$ for some $r \in S$. By Lemma 23 there are proofs $\mathbf{R} \vdash x_1 \preceq Rpr$ and $\mathbf{R} \vdash x_2 \preceq Rrq$ for some $x_1, x_2 \in S$ with $x = x_1x_2$. Then $\mathbf{H} \vdash Hp x_1 r$ and $\mathbf{H} \vdash Hr x_2 q$ by the induction hypothesis, and $\mathbf{H} \vdash Hpxq$ by (HSeq).
- Suppose the last step is (RCond). Then there is a proof $x \preceq vR(pv)q + wR(pw)q \preceq Rpq$. By Lemma 23 there are $x_1, x_2 \in S$ such that $x = v + x_1 + w + x_2$ and $\mathbf{R} \vdash x_1 \preceq R(pv)q$ and $\mathbf{R} \vdash x_2 \preceq R(pw)q$. Then $\mathbf{H} \vdash H(pv)x_1q$ and $\mathbf{H} \vdash H(pw)x_2q$ by the induction hypothesis, and $\mathbf{H} \vdash Hpxq$ by (HCond).
- Suppose that the last step is (RLoop). Then there is a proof $\mathbf{R} \vdash x \preceq (pR(pq)p)^\circ r \preceq Rp(pr)$. By Lemma 23 there exists an $y \in S$ such that $x = (qy)^*r$ and $\mathbf{R} \vdash y \preceq R(pq)p$. Then $\mathbf{H} \vdash H(pq)yp$ by the induction hypothesis and $\mathbf{H} \vdash Hpx(pr)$ by (HLoop). \square

By (2), assignment rules translate as well. An example verification proof can be found in Section 8; a refinement proof and a brief discussion of their translation in Section 9.

6. Instances

In \mathbf{H} -semigroups and \mathbf{R} -semigroups with or without tests, no algebraic axioms have been imposed on addition and little interaction between addition and multiplication has been assumed apart from the weak distributivity conditions for (HCond). This makes Proposition 7 and 12 available in a wide range of algebras. Here I briefly review two classes of instances: algebras without tests in which distributivity assumptions are subsumed, and test algebras in which reflection assumptions are subsumed.

An *H-semiring* is a preordered semiring equipped with an operation \circ that satisfies the simulation axiom. In this case the distributivity laws $x(y+z) = xy + xz$ and $(x+y)z = xz + yz$ hold, and distributivity conditions are subsumed. Preordered means that the carrier set of the semiring is a preorder and the operations of addition and multiplication

are isotone. If there is an additive unit 0, then it is reasonable to assume that S is *positive*, that is, $0 \preceq x$ for all $x \in S$. Obviously, every H-semiring is an H-semigroup.

Of particular interest are *H-dioids*, which are H-semirings S in which addition is idempotent; $x + x = x$ for all $x \in S$. This means that the additive semigroup is a semilattice, whence every H-dioid is naturally ordered by the semilattice order $x \leq y \Leftrightarrow x + y = y$.

In both settings, PHL with the usual reflection conditions can be derived. The extensions to R-semirings are obvious, and the rules in PRC can then be derived as well.

The operation \circ of weak iteration can be instantiated in various ways, two of which have been studied widely in the context of program correctness.

Firstly, a *Kleene algebra* is a dioid S with additive unit 0 and multiplicative unit 1 that is expanded by an operation $*$: $S \rightarrow S$ that satisfies $1 + xx^* \leq x^*$, $1 + x^*x \leq x^*$, $z + xy \leq y \Rightarrow x^*z \leq y$, and $z + yx \leq y \Rightarrow zx^* \leq y$. In this setting, the simulation law $yx \leq xy \Rightarrow yx^* \leq x^*y$ is derivable (cf. (ArmstrongSW13b)), whence every Kleene algebra is an H-monoid with \circ instantiated by $*$.

Secondly, a *demonic refinement algebra* (vonWright04) is a Kleene algebra S expanded by an operation ∞ : $S \rightarrow S$ that satisfies $1 + xx^\infty = x^\infty$, $y \leq xy + z \Rightarrow y \leq x^\infty z$ and $x^\infty = x^* + x^\infty 0$. Now, the simulation law $yx \leq xy \Rightarrow yx^\infty \leq x^\infty y$ is derivable as well (cf. (ArmstrongSW13b)). It follows that every demonic refinement algebra is an H-monoid with respect to both $*$ and ∞ .

The rules of PHL are thus derivable in Kleene algebras and demonic refinement algebras, in particular loop rules for both $*$ and ∞ can be obtained in the latter. In these algebras, $*$ models finite iteration whereas ∞ models potentially infinite iteration that may or may not terminate. A strictly infinite iteration can also be modelled in the context of dioids. While this operation is interesting for the verification of reactive systems, it does not satisfy the simulation axiom needed for H-semigroups (ArmstrongSW13b) and therefore does not yield a loop rule in the style of Proposition 7 or Corollary 8.

A wide range of test semirings has been introduced and formalised in Isabelle (ArmstrongGS14). Their axioms are similar to those of test monoids, and it follows that each of these variants forms a dioid and a test monoid. The most important examples are as follows. A *Kleene algebra with tests* is a Kleene algebra that is also a test semiring. Every Kleene algebra with tests is a test H-monoid, and, for $\mathbf{loop}_*(p, x) = \mathbf{while } p \mathbf{ do } x$, the classical while-rule

$$H(pq) x p \Rightarrow H p(\mathbf{while } q \mathbf{ do } x) (p \cdot -q)$$

can be derived. Demonic refinement algebras with tests can be defined along the lines of Kleene algebras with tests (ArmstrongGS14) and the conditional and loop laws can be restricted accordingly.

Finally, the results discussed in this section are compatible with modal Kleene algebras (DesharnaisS11), for which Hoare logics have been derived as well. Intuitively, a modal Kleene algebra is a Kleene algebra S expanded with an antidomain operation $a : S \rightarrow S$ that models those states from which a program is not enabled. Every modal Kleene algebra is a Kleene algebra with tests (DesharnaisS11) because the antidomain operations satisfies the axioms for antitests above. The results for Kleene algebras with tests and PHL thus carry over seamlessly.

7. Hoare Logic for Multirelations

This section presents binary multirelations as an extended example. In this setting, the rules of PHL can be instantiated in various ways, giving addition and multiplication of a H-semigroup different interpretations as nondeterministic choice, sequential composition and parallel composition. A slight caveat is that multirelations with sequential composition as multiplication only form H-monoids if some restrictions on factors are imposed. Classes of multirelations that form H-monoids directly, for instance union-closed or up-closed multirelations (cf. (FurusawaS15)) and similar restrictions relevant for modelling probabilistic or quantum programs (e.g. (HartogV02; ChadhaMS06)) could have been considered instead, but the slight mathematical inconvenience of the general case is certainly compensated by the fact that it leads to Hoare logics and refinement calculi for all specialisations mentioned.

Peleg (Peleg87) has proposed a concurrent dynamic logic that aims to study concurrency in its purest form as the dual notion to nondeterminism. The semantics of this logic can be presented in terms of an algebra of binary multirelations (FurusawaS15). This section shows how multirelations can be endowed with a Hoare logic.

A *multirelation* over a set X is a binary relation of type $X \times \mathcal{P} X$. Hence an element of a multirelation relates an element $a \in X$ with a subset A of X . I write $\mathcal{M}(X) = \mathcal{P}(X \times \mathcal{P} X)$ for the set of multirelations over X .

Peleg's sequential composition of multirelations R and S is rather complicated. It is the multirelation

$$R \cdot S = \{(a, A) \mid \exists B. (a, B) \in R \wedge \exists f. (\forall b \in B. (b, f b) \in S) \wedge A = \bigcup_{b \in B} f b\}.$$

By this definition, a pair (a, A) is in the multirelation $R \cdot S$ whenever R relates a to some intermediate set B and S relates each $b \in B$ to a set $f b$ in such a way that $A = \bigcup_{b \in B} f b$. The unit of sequential composition is $1_\sigma = \{(a, \{a\}) \mid a \in X\}$. The parallel composition of R and S is defined as the multirelation

$$R \parallel S = \{(a, A \cup B) \mid (a, A) \in R \wedge (a, B) \in S\}.$$

The unit of parallel composition is $1_\pi = \{(a, \emptyset) \mid a \in X\}$.

Multirelations $P \subseteq 1_\sigma$, the (sequential) subidentities, form a boolean subalgebra of $\mathcal{M}(X)$ in which \emptyset is the least and 1_σ the greatest element. Union is join and sequential composition, which coincides with parallel composition, is meet. The complement in the subalgebra is $\neg P = 1_\sigma \cap \overline{P \cdot \overline{U}}$, where \overline{P} is the complement of P on $\mathcal{M}(X)$ and U the universal multirelation, which relates any element of X to any of its subsets.

It is easy to show that $P \subseteq 1_\sigma \Leftrightarrow \neg \neg P = P$, and that \neg satisfies the test axioms for arbitrary multirelations. However, multirelations do not form test monoids with respect to sequential composition. The main reason is that sequential composition of multirelations is not associative: $(R \cdot S) \cdot T \subseteq R \cdot (S \cdot T)$, but not in general $R \cdot (S \cdot T) \subseteq (R \cdot S) \cdot T$. However $(R \cdot S) \cdot T = R \cdot (S \cdot T)$ if one of R, S, T is a subidentity. Similarly, $R \subseteq S \Rightarrow T \cdot R \subseteq T \cdot S$ and $(R \cup S) \cdot T = R \cdot T \cup S \cdot T$, but $R \cdot (S \cup T) = R \cdot S \cup R \cdot T$ holds only if R is a subidentity. These properties suffice to prove the following fact.

Lemma 25. For all $P, P', Q, Q', R, S \in \mathcal{M}(X)$ such that $P, P', Q, Q' \subseteq 1_\sigma$,

$$\begin{aligned} & H P 1_\sigma P, \\ & P \subseteq P' \wedge H P' R Q' \wedge Q' \subseteq Q \Rightarrow H P R Q, \\ & H P R P' \wedge H P' S Q \Rightarrow H P (R \cdot S) Q, \\ & H (P \cdot Q) R P' \wedge H (P \cdot -Q) S P' \Rightarrow H P (\text{if } Q \text{ then } R \text{ else } S) P'. \end{aligned}$$

This lemma—more precisely its sequential composition and conditional rule—is not an immediate instance of Proposition 7, as subidentities are required in the right places to apply the associativity properties needed. By contrast, the distributivity assumptions of (HCond) hold in the multirelational setting according to the discussion above. With these restrictions in place, each proof of the respective rule of PHL goes through as before. In particular, all subidentities P and Q satisfy the reflection conditions $H P Q (P \cdot Q)$ as their multiplication is commutative and idempotent.

Parallel composition is better behaved. $(\mathcal{M}(X), \parallel, 1_\pi)$ forms a commutative monoid, and the distributivity laws $(R \parallel S) \cdot T = (R \cdot T) \parallel (S \cdot T)$ and $T \cdot (R \parallel S) = (T \cdot R) \parallel (T \cdot S)$ hold if T is a subidentity. Thus, with subidentities occurring in the right places in equations, multirelations under sequential and parallel composition almost form semirings (though not dioids because parallel composition is not idempotent). Because subidentities satisfy the subdistributivity and superdistributivity conditions of Corollary 8, the following simple parallel composition rule is an immediate consequence of that corollary.

Lemma 26. For all $P, Q, R, S \in \mathcal{M}(X)$ such that $P, Q \subseteq 1_\sigma$,

$$H P R Q \wedge H P S Q \Rightarrow H P (R \parallel S) Q.$$

A star operation as the least fixpoint of $\lambda X. S \cup R \cdot X$ has already been investigated (FurusawaS15). Here, however, the least fixpoints of the dual functions

$$\begin{aligned} F_{SR} &= \lambda X. S \cup X \cdot R, \\ F_R &= 1_\sigma \cup X \cdot R \end{aligned}$$

are needed for Hoare logic. Both are isotone on the complete lattice $(\mathcal{M}(X), \cup, \cap, \emptyset, U)$ and thus have indeed least (pre)fixpoints. I write $(S^* R)$ for the (binary) fixpoint μF_{SR} of F_{SR} and R^* for the (unary) fixpoint μF_R of F_R . Proving the simulation law of H-semigroups requires showing that $(P^* R) = P \cdot R^*$, that is, $\mu F_{PR} = P \cdot \mu F_R$, at least for $P \subseteq 1_\sigma$. This can be established by fixpoint fusion whenever P is a subidentity.

Theorem 27. (MeijerFP91) If f and g are isotone functions and h is a continuous function over a complete lattice, then $f \circ h = h \circ g$ implies $\mu f = h \mu g$.

Lemma 28. Let $R, P \in \mathcal{M}(X)$ and $P \subseteq 1_\sigma$. Then $(P^* R) = P \cdot R^*$.

Proof. Instantiate $f = F_{SR}$, $g = F_R$ and $h = H = \lambda X. X \cdot P$ in the fixpoint fusion theorem. It is routine to show that H is continuous if P is a subidentity, $P \cdot \bigcup_{i \in I} R_i = \bigcup_{i \in I} P \cdot R_i$. Moreover,

$$(F_{SR} \circ H) x = P \cup (P \cdot x) \cdot R = P \cdot (1_\sigma \cup x \cdot R) = (H \circ F_R) x$$

by left distributivity and associativity of multiplication with subidentities. \square

The following fact is then immediate from the (least) fixpoint properties of F_R and F_{SR} .

Lemma 29. Let $P, R, S \in \mathcal{M}(X)$ and $P \subseteq 1_\sigma$. Then the following star unfold and induction laws hold:

$$\begin{aligned} 1_\sigma \cup R^* \cdot R &\subseteq R^*, \\ P \cup S \cdot R &\subseteq S \Rightarrow P \cdot R^* \subseteq S. \end{aligned}$$

Lemma 30. Let $P, R \in \mathcal{M}(X)$ and $P \subseteq 1_\sigma$. Then the star simulation law holds:

$$P \cdot R \subseteq R \cdot P \Rightarrow P \cdot R^* \subseteq R^* \cdot P.$$

Proof. Let $P \cdot R \subseteq R \cdot P$. For $P \cdot R^* \subseteq R^* \cdot P$ it suffices to show that $P \cup (R^* \cdot P) \cdot R \subseteq R^* \cdot P$ by star induction. Indeed, $P \cup R^* \cdot P \cdot R \subseteq P \cup R^* \cdot R \cdot P = (1_\sigma \cup R^* \cdot R) \cdot P = R^* \cdot P$, by associativity of multiplication in the presence of P , the isotonicities and distributivities of multirelations and the star unfold law. \square

The following loop rule is thus derivable.

Lemma 31. For all $P, Q, R \in \mathcal{M}(X)$ such that $P, Q \subseteq 1_\sigma$,

$$H(P \cdot Q) R P \Rightarrow H P (\text{while } Q \text{ do } R) (P \cdot -Q).$$

A refinement calculus for multirelations as in Proposition 12 can be obtained as well, since all assumptions for Lemma 21 are satisfied.

It is interesting to note that $(\mathcal{M}(X), \cup, \parallel, \emptyset, 1_\pi)$ forms a positive semiring. In this setting, Hoare triples can be defined with respect to parallel composition as well, $\tilde{H} P R Q \Leftrightarrow P \parallel R \subseteq Q \parallel R$, and for arbitrary P, Q and R . The rules of PHL can then be derived directly as an instance of Proposition 7, including a loop rule with respect to a star for parallel composition. The sequential composition rule then becomes

$$P \parallel R \subseteq P' \parallel R \wedge P' \parallel S \subseteq Q \parallel S \Rightarrow P \parallel R \parallel S \subseteq Q \parallel R \parallel S.$$

This law covers situation where $P \not\subseteq P'$ and $P' \not\subseteq Q$. Similarly, even when $P \not\subseteq Q$,

$$P \parallel R \subseteq Q \parallel R \wedge P \parallel S \subseteq Q \parallel S \Rightarrow P \parallel (R \cup S) \subseteq Q \parallel (R \cup S).$$

Assignment rules can be added to a multirelational program semantics in various ways. The simplest case are deterministic assignments which can be modelled essentially as outlined in the next section, using the fact that every binary relation R can be embedded into a multirelation R^\dagger by $(a, b) \in R \Leftrightarrow (a, \{b\}) \in R^\dagger$. The consideration of demonically nondeterministic, probabilistic or random assignments $x := E$, where E is a set of values such as a probability (sub)distribution, can be expressed by multirelations, but is beyond the scope of this article.

8. A Minimalist Verification Component

A main benefit of the generalisation of Hoare logics via H-semigroups and H-monoids is that it makes the implementation of verification components in interactive theorem provers easy: only very few simple algebraic properties need to be checked.

This section presents a minimalist verification component for while programs. It has been implemented in Isabelle/HOL from scratch, using only Isabelle’s main libraries[†] (a GCD component is needed for reasoning about Euclid’s algorithm). The general method is as follows. In the first part of the Isabelle code below, H-monoids are defined as an axiomatic type class. Hoare triples are then introduced and the rules of a generic and polymorphic PHL are derived by automated theorem proving from the H-monoid axioms. By contrast to Section 2, rule (HLoop) is refined to be used for while loops with invariants.

Next, polymorphic predicates are defined as boolean-valued functions from type $'a$. A polymorphic store is modelled, as usual, as a function from variables, which are represented by strings, to elements of type $'a$. It can handle data of arbitrary type.

It is then shown that binary relations satisfy the simulation axioms of H-semigroups and, by an interpretation statement, that binary relations over arbitrary unspecified universes X under the identity relation $Id = \{(a, a) \mid a \in X\}$, relative composition $R; S = \{(a, b) \mid \exists c. (a, c) \in R \wedge (c, b) \in S\}$, set union and the reflexive-transitive closure operation $R^* = \bigcup_{i \in \mathbb{N}} R^i$ form H-monoids.

The assignment command $v ::= e$ is then defined in this denotational relational store semantics of H-monoids, using Isabelle’s built-in function update operation $:=$, and a variant of Hoare’s assignment axiom is derived, using a function $[_]$ that embeds predicates into relations. The last few lines set up syntactic sugar for while programs. Note that the distributivity assumptions and reflection conditions have been discharged in the conditional and while rules in the relational semantics.

```

theory H-Semigroup
  imports Main GCD

begin

notation times (infixl  $\cdot$  70)
and relcomp (infixl ; 70)

class H-monoid = monoid-mult + plus +
  fixes preo ::  $'a \Rightarrow 'a \Rightarrow \text{bool}$  (infixl  $\preceq$  50)
  and star ::  $'a \Rightarrow 'a$  (infixl  $\star$  100)
  assumes preo-refl:  $x \preceq x$ 
  and preo-trans:  $x \preceq y \Longrightarrow y \preceq z \Longrightarrow x \preceq z$ 
  and add-isol:  $x \preceq y \Longrightarrow z + x \preceq z + y$ 
  and add-isor:  $x \preceq y \Longrightarrow x + z \preceq y + z$ 
  and mult-isol:  $x \preceq y \Longrightarrow z \cdot x \preceq z \cdot y$ 
  and mult-isor:  $x \preceq y \Longrightarrow x \cdot z \preceq y \cdot z$ 
  and star-sim:  $y \cdot x \preceq x \cdot y \Longrightarrow y \cdot x^* \preceq x^* \cdot y$ 

begin

```

[†] <https://github.com/gstruth/h-semigroups>

definition $H :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$ **where** $H p x q \longleftrightarrow p \cdot x \preceq x \cdot q$

lemma $H\text{-skip}$: $H p 1 p$
by ($\text{simp add: } H\text{-def preo-refl}$)

lemma $H\text{-cons}$: $p \preceq p' \Longrightarrow H p' x q' \Longrightarrow q' \preceq q \Longrightarrow H p x q$
by ($\text{meson } H\text{-def local.mult-isol local.mult-isor local.preo-trans}$)

lemma $H\text{-seq}$: $H r y q \Longrightarrow H p x r \Longrightarrow H p (x \cdot y) q$
by ($\text{simp add: } H\text{-def, rule preo-trans, drule mult-isor, auto simp: mult-assoc mult-isol}$)

lemma $H\text{-cond}$:
assumes $\bigwedge x y. p \cdot (x + y) \preceq p \cdot x + p \cdot y$ **and** $\bigwedge x y. x \cdot q + y \cdot q \preceq (x + y) \cdot q$
shows $H p v (p \cdot v) \Longrightarrow H p w (p \cdot w) \Longrightarrow H (p \cdot v) x q \Longrightarrow H (p \cdot w) y q$
 $\Longrightarrow H p (v \cdot x + w \cdot y) q$
by ($\text{meson } H\text{-def assms add-isol add-isor preo-trans } H\text{-seq assms}$)

lemma $H\text{-loopi}$: $H i v (i \cdot v) \Longrightarrow H i w (i \cdot w) \Longrightarrow H (i \cdot v) x i \Longrightarrow p \preceq i \Longrightarrow i \cdot w \preceq q$
 $\Longrightarrow H p ((v \cdot x)^* \cdot w) q$
by ($\text{meson } H\text{-cons } H\text{-def } H\text{-seq local.star-sim}$)

end

type-synonym $'a \text{ pred} = 'a \Rightarrow \text{bool}$
type-synonym $'a \text{ store} = \text{string} \Rightarrow 'a$

lemma rel-star-sim-aux : $Y ; X \subseteq X ; Y \Longrightarrow Y ; X \hat{\wedge} i \subseteq X \hat{\wedge} i ; Y$
by ($\text{induct } i, \text{ simp-all, blast}$)

interpretation rel-hm : $H\text{-monoid } Id \text{ op} ; \text{ op} \cup \text{ op} \subseteq \text{rtrancl}$
by ($\text{standard, auto simp: SUP-subset-mono rtrancl-is-UN-relpow relcomp-UNION-distrib relcomp-UNION-distrib2 rel-star-sim-aux}$)

definition $p2r :: 'a \text{ pred} \Rightarrow 'a \text{ rel} ([\text{-}])$ **where** $[P] = \{(s,s) \mid s. P s\}$

lemma $p2r\text{-mult-hom}$ [simp]: $[P] ; [Q] = [\lambda s. P s \wedge Q s]$
by ($\text{auto simp: } p2r\text{-def}$)

definition $\text{gets} :: \text{string} \Rightarrow ('a \text{ store} \Rightarrow 'a) \Rightarrow 'a \text{ store rel} (- ::= - [70, 65] 61)$ **where**
 $v ::= e = \{(s,s (v := e s)) \mid s. \text{True}\}$

lemma $H\text{-assign}$: $(\forall s. P s \longrightarrow Q (s (v := e s))) \Longrightarrow \text{rel-hm.H } [P] (v ::= e) [Q]$
by ($\text{auto simp: rel-hm.H-def } p2r\text{-def gets-def}$)

definition $\text{if-then-else} :: 'a \text{ pred} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ rel}$ ($\text{if-then-else-fi [64,64,64] 63}$) **where**
 $\text{if } P \text{ then } X \text{ else } Y \text{ fi} = [P] ; X \cup [\lambda s. \neg P s] ; Y$

definition $\text{while} :: 'a \text{ pred} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ rel}$ ($\text{while-do-od [64,64] 63}$) **where**
 $\text{while } P \text{ do } X \text{ od} = ([P] ; X)^* ; [\lambda s. \neg P s]$

definition $\text{while-inv} :: 'a \text{ pred} \Rightarrow 'a \text{ pred} \Rightarrow 'a \text{ rel} \Rightarrow 'a \text{ rel}$ ($\text{while-inv-do-od [64,64,64] 63}$) **where**
 $\text{while } P \text{ inv } I \text{ do } X \text{ od} = ([P] ; X)^* ; [\lambda s. \neg P s]$

lemma rel-ref : $\text{rel-hm.H } [P] [Q] ([P] ; [Q])$
by ($\text{auto simp: rel-hm.H-def } p2r\text{-def}$)

```

lemma sH-cons: (∀ s. P s → P' s) ⇒ rel-hm.H [P'] X [Q] ⇒ (∀ s. Q' s → Q s)
    ⇒ rel-hm.H [P] X [Q]
by (rule rel-hm.H-cons, auto simp: p2r-def)

lemma sH-cond: rel-hm.H ([P] ; [T]) X [Q] ⇒ rel-hm.H ([P] ; [λs. ¬ T s]) Y [Q]
    ⇒ rel-hm.H [P] (if T then X else Y fi) [Q]
by (simp only: if-then-else-def, intro rel-hm.H-cond, auto, (metis p2r-mult-hom rel-ref)+)

lemma sH-whilei: ∀ s. P s → I s ⇒ ∀ s. I s ∧ ¬ R s → Q s ⇒ rel-hm.H ([I] ; [R]) X [I]
    ⇒ rel-hm.H [P] (while R inv I do X od) [Q]
by (simp only: while-inv-def, intro rel-hm.H-loopi, auto simp: p2r-def, (metis p2r-def rel-ref)+)

lemma euclid:
  rel-hm.H [λs::nat store. s ''x'' = x ∧ s ''y'' = y]
    (while (λs. s ''y'' ≠ 0) inv (λs. gcd (s ''x'') (s ''y'') = gcd x y)
      do
        (''z'' ::= (λs. s ''y''));
        (''y'' ::= (λs. s ''x'' mod s ''y''));
        (''x'' ::= (λs. s ''z''))
      od)
    [λs. s ''x'' = gcd x y]
apply (rule sH-whilei, simp-all, clarsimp simp: p2r-def, intro rel-hm.H-seq)
apply (rule H-assign, auto)+
using gcd-red-nat by auto

```

end

The verification of Euclid's algorithm has been added as a simple example. Isabelle's syntax conventions require that program variables, which have been implemented as strings, are decorated with double quotes.

This verification component is correct by construction relative to Isabelle's small trustworthy core because the axiomatic extension introduced by the type class for H-monoids is made consistent with Isabelle's core by the interpretation proof with respect to the relational program semantics. The verification could have been automated further by programming tactics for verification condition generation in Isabelle, but this is not the purpose of this section. Finally, the PHL rules for the control level are cleanly separated from the data level. In fact, the relational semantics can be replaced in a modular fashion by, for instance, a denotational trace semantics of programs.

9. A Minimalist Refinement Component

This section shows how the Isabelle verification component based on H-monoids can be expanded to a minimalist refinement component based on R-monoids[‡].

First, in the Isabelle code below, the type class of H-monoids is expanded to that of R-monoids. The rules of PRC are derived next within this algebra. The relational specification statement is then defined as the supremum of all the elements that satisfy the associated H-triple, and it is shown by an interpretation proof that binary relations under

[‡] <https://github.com/gstruth/h-semigroups>

the operations listed form R-monoids. After that, three assignment rules are derived in the relational model; the second and third one allowing the introduction of assignments after and before a block of code (Morgan94). Again the refinement laws are generic and can be replaced in a modular fashion by other denotational semantics.

```

theory R-Semigroup
  imports H-Semigroup

begin

class R-monoid = H-monoid +
  fixes R :: 'a ⇒ 'a ⇒ 'a
  assumes star-iso:  $x \preceq y \implies x^* \preceq y^*$ 
  and R1:  $H\ p\ (R\ p\ q)\ q$ 
  and R2:  $H\ p\ x\ q \implies x \preceq R\ p\ q$ 

begin

lemma R-skip:  $1 \preceq R\ p\ p$ 
  by (simp add: H-skip R2)

lemma R-cons:  $p \preceq p' \implies q' \preceq q \implies R\ p'\ q' \preceq R\ p\ q$ 
  using H-cons R1 R2 by blast

lemma R-seq:  $(R\ p\ r) \cdot (R\ r\ q) \preceq R\ p\ q$ 
  using H-seq R1 R2 by blast

lemma R-loop:  $H\ p\ q\ (p \cdot q) \implies H\ p\ r\ (p \cdot r) \implies (q \cdot R\ (p \cdot q)\ p)^* \cdot r \preceq R\ p\ (p \cdot r)$ 
  by (simp add: H-loopi R1 R2 preo-refl)

lemma R-cond:
assumes  $\bigwedge x\ y. p \cdot (x + y) \preceq p \cdot x + p \cdot y$  and  $\bigwedge x\ y. x \cdot q + y \cdot q \preceq (x + y) \cdot q$ 
shows  $H\ p\ v\ (p \cdot v) \implies H\ p\ w\ (p \cdot w) \implies v \cdot R\ (p \cdot v)\ q + w \cdot R\ (p \cdot w)\ q \preceq R\ p\ q$ 
  by (simp add: assms H-cond R1 R2)

end

definition rel-R :: 'a rel ⇒ 'a rel ⇒ 'a rel where rel-R P Q =  $\bigcup\{X. \text{rel-hm.H}\ P\ X\ Q\}$ 

interpretation rel-rm: R-monoid Id op ; op  $\cup$  op  $\subseteq$  rtrancl rel-R
  by (standard, auto simp add: rel-R-def rel-hm.H-def, blast)

lemma R-assign:  $(\forall s. P\ s \longrightarrow Q\ (s\ (v := e\ s))) \implies (v ::= e) \subseteq \text{rel-R}\ [P]\ [Q]$ 
  by (simp add: H-assign rel-rm.R2)

lemma R-assignr:  $(\forall s. Q'\ s \longrightarrow Q\ (s\ (v := e\ s))) \implies (\text{rel-R}\ [P]\ [Q']) ; (v ::= e) \subseteq \text{rel-R}\ [P]\ [Q]$ 
  by (metis H-assign rel-hm.H-seq rel-rm.R1 rel-rm.R2)

lemma R-assignl:  $(\forall s. P\ s \longrightarrow P'\ (s\ (v := e\ s))) \implies (v ::= e) ; (\text{rel-R}\ [P']\ [Q]) \subseteq \text{rel-R}\ [P]\ [Q]$ 
  by (metis H-assign rel-hm.H-seq rel-rm.R1 rel-rm.R2)

lemma if-then-else-ref:  $X \subseteq X' \implies Y \subseteq Y' \implies \text{if}\ P\ \text{then}\ X\ \text{else}\ Y\ \text{fi} \subseteq \text{if}\ P\ \text{then}\ X'\ \text{else}\ Y'\ \text{fi}$ 
  by (auto simp: if-then-else-def)

lemma while-ref:  $X \subseteq X' \implies \text{while}\ P\ \text{do}\ X\ \text{od} \subseteq \text{while}\ P\ \text{do}\ X'\ \text{od}$ 
  by (simp add: while-def rel-hm.mult-isol rel-hm.mult-isor rel-rm.star-iso)

```

Once more Euclid's algorithm is used as an example. The initial specification consists of the precondition and postcondition used in the previous verification proof. The first step brings the specification statement in shape for introducing a while loop in the second step. The next three steps introduce the assignments in the body of the loop. The final step ties these facts together by isotonicity; it shows that Euclid's algorithm refines its specification statement.

lemma euclid1:

```
rel-R [λs::nat store. s ''x'' = x ∧ s ''y'' = y] [λs. s ''x'' = gcd x y]
  ⊇
rel-R [λs. gcd (s ''x'') (s ''y'') = gcd x y] [λs. gcd (s ''x'') (s ''y'') = gcd x y ∧ ¬ s ''y'' ≠ 0]
by (intro rel-rm.R-cons, auto simp: p2r-def)
```

abbreviation $P x y \equiv [\lambda s::nat store. gcd (s ''x'') (s ''y'') = gcd x y \wedge s ''y'' \neq 0]$

lemma euclid2:

```
rel-R [λs. gcd (s ''x'') (s ''y'') = gcd x y] [λs. gcd (s ''x'') (s ''y'') = gcd x y ∧ ¬ s ''y'' ≠ 0]
  ⊇
while (λs. s ''y'' ≠ 0) do rel-R (P x y) [λs. gcd (s ''x'') (s ''y'') = gcd x y] od
apply (simp only: while-def p2r-mult-hom[symmetric])
by (intro rel-rm.R-loop, auto simp: p2r-def rel-hm.H-def)
```

lemma euclid3:

```
rel-R (P x y) [λs. gcd (s ''x'') (s ''y'') = gcd x y]
  ⊇
rel-R (P x y) [λs. gcd (s ''z'') (s ''y'') = gcd x y] ; (''x'' ::= (λs. s ''z''))
by (intro R-assignr, simp)
```

lemma euclid4:

```
rel-R (P x y) [λs. gcd (s ''z'') (s ''y'') = gcd x y]
  ⊇
rel-R (P x y) [λs. gcd (s ''z'') (s ''x'' mod s ''y'') = gcd x y] ; (''y'' ::= (λs. s ''x'' mod s ''y''))
by (intro R-assignr, simp)
```

lemma euclid5:

```
rel-R (P x y) [λs. gcd (s ''z'') (s ''x'' mod s ''y'') = gcd x y]
  ⊇
(''z'' ::= (λs. s ''y''))
by (intro R-assign, auto simp: gcd-non-0-nat)
```

lemma euclid-ref:

```
rel-R [λs::nat store. s ''x'' = x ∧ s ''y'' = y] [λs. s ''x'' = gcd x y]
  ⊇
while (λs. s ''y'' ≠ 0)
  do
    (''z'' ::= (λs. s ''y'')) ;
    (''y'' ::= (λs. s ''x'' mod s ''y'')) ;
    (''x'' ::= (λs. s ''z''))
  od
apply (rule dual-order.trans, subst euclid1, simp, rule dual-order.trans, subst euclid2, simp)
apply (intro while-ref) using euclid3 euclid4 euclid5 by fast
```

end

The proof steps could have been automated further once more by programming tactics that apply the refinement laws and simplify the results of their applications.

The step-wise refinement proof of Euclid's algorithm could be translated into a verification proof as follows. The fifth, fourth and third step of the refinement proof translate directly into a Hoare-style proof for the body of the while loop by using (1) and combining the results by using (HSeq). Alternatively, (RSeq) can be used first for obtaining a refinement proof for the body of the loop, and then (1) can be used for translating the result into a verification proof. It can be completed by applying the rule for while loops and then the consequence rules.

Conversely, a fine-grained verification proof of Euclid's algorithm could be translated directly into a step-wise refinement proof by using the proof obligations generated by Isabelle when applying the PHL rules as preconditions and postconditions in specification statements. Isotonicity laws can then be used for breaking refinement proofs into pieces, as in step three to five in the example above.

10. Counterexamples

When trying to find general algebras in which properties such as PHL or PRC are derivable, the question of counterexamples arises. This section presents counterexamples related to the most important axiomatisations in this article. Isabelle's Nitpick tool assisted in their generation. Where possible and relevant, counterexamples are given in the presence of interesting additional structure.

The first two counterexamples relate to Proposition 7.

Lemma 32.

- (i) In some dioid, without the left distributivity axiom, (HCond) does not hold.
- (ii) In some dioid, without the right distributivity axiom, (HCond) does not hold.

Proof.

- (i) Consider the structure with carrier set $\{a, b, 0, 1\}$, addition defined by $0 < 1 < b$, $0 < a < b$, whereas 1 and a are incomparable, and multiplication by $aa = ba = a$ and $ab = bb = b$. It is routine to check that it forms a dioid, but left distributivity fails because $a(1 + a) = ab = b \neq a = a1 + aa$. Then $H a 1 (a1)$, because $a \leq a$, $H a b (ab)$, because $ab = b = bab$, $H (a1) 1 a$, because $a \leq a$ and $H (ab) a a$, because $aba = a = aa$, but not $H a (11 + ba) a$, as $a(1 + ba) = b \not\leq a = (1 + ba)a$.
- (ii) Consider the structure with carrier set $\{a, b, 0, 1\}$, with addition defined by $0 < a < 1$ and $0 < b < 1$ whereas a and b are incomparable, and multiplication by $aa = ba = 0$, $ab = a$ and $bb = b$. It is routine to check that this forms a dioid, but right distributivity fails because $(a + b)b = b \neq 1 = ab + bb$. Then $H 1 1 (11)$, $H 1 b b$, because $b = bb$ and $H 1 a b$, because $a = a$, but not $H 1 (b + a) b$, as $b + a = 1 \not\leq b$.

□

The following counterexamples relate to Proposition 12.

Lemma 33.

- (i) In some R-monoid, without the left distributivity axiom, (RCond) does not hold.
- (ii) In some R-monoid, without the right distributivity axiom, (RCond) does not hold.

Proof.

- (i) Consider the R-monoid with carrier set $\{a, 1\}$, partial order $a < 1$, multiplication by $aa = a$, iteration $a^\circ = 1^\circ = 1$ and tables for the other operations given by

$$\begin{array}{c|cc} R & 1 & a \\ \hline 1 & 1 & a \\ a & 1 & 1 \end{array} \qquad \begin{array}{c|cc} + & 1 & a \\ \hline 1 & 1 & 1 \\ a & 1 & 1 \end{array}$$

Then $H11(11)$, but $1R(11)a + 1R(11)a = a + a = 1 \not\leq a \leq R1a$. Note that addition is commutative in this counterexample.

- (ii) Consider the R-monoid with carrier set $\{a, b, 1\}$, partial order $a < 1 < b$, iteration $a^\circ = b^\circ = 1^\circ = 1$, multiplication defined by $ab = bb = b$ and $aa = ba = a$, and the remaining operations by

$$\begin{array}{c|ccc} R & 1 & a & b \\ \hline 1 & b & a & b \\ a & b & 1 & b \\ b & b & a & b \end{array} \qquad \begin{array}{c|ccc} + & 1 & a & b \\ \hline 1 & b & b & b \\ a & b & a & b \\ b & b & b & b \end{array}$$

Then $Hab(ab)$ because $ab = b = bab$ and $H a 1(a1)$, but $bR(ab)a + 1R(a1)a = b \not\leq 1 = Ra a$. Note that addition is again commutative. □

In this case, a dioid-based counterexample for (1) was rather large whereas I did not succeed to find one for (2). The following counterexamples relate again to Proposition 7.

Lemma 34.

- (i) In some Kleene algebra, (HCond) without reflection conditions does not hold.
- (ii) In some Kleene algebra, (HLoop) without reflection conditions does not hold.

Proof.

- (i) Consider the Kleene algebra with carrier set $\{a, 0, 1\}$, addition defined by $0 < a < 1$, multiplication by $aa = 0$ and $x^* = 1$ for $x \in \{a, 0, 1\}$. Then $H(1a)0a$ and $H(1a)1a$, but not $H1(a0 + a1)a$ because $a \not\leq 0 = aa$.
- (ii) Consider again the Kleene algebra from (1). Then $H(11)11$, but not $H1((11)^*a)(1a)$ because $1^*a = a \not\leq 0 = aa$. □

The next counterexamples relate to Proposition 12 and the discussion at the end of Section 3.

Lemma 35.

- (i) In some R-Kleene algebra, (RCond) without reflection conditions does not hold.
- (ii) In some R-Kleene algebra, (RLoop) without reflection conditions does not hold.
- (iii) In some R-Kleene algebra, $x \leq Rpq \Rightarrow Hpxq$ does not hold.

Proof.

- (i) Consider the R-Kleene algebra with carrier set $\{a, 0, 1\}$, addition defined by $0 < a < 1$, multiplication by $aa = 0$, $0^* = 1^* = a^* = 1$ and

$$\begin{array}{c|ccc} R & 0 & a & 1 \\ \hline 0 & 1 & 1 & 1 \\ a & a & 1 & 1 \\ 1 & 0 & 0 & 1 \end{array}$$

Then $aR(1a)a + aR(1a)a = a \not\leq 0 = R1, a$.

- (ii) Consider again the R-Kleene algebra from (1). Then $1R(11)1^*a = a \not\leq 0 = R1(1a)$.
- (iii) Consider the Kleene algebra with carrier set $\{a, 0, 1\}$, addition defined by $0 < 1 < a$, multiplication by $aa = a$, $0^* = 1^* = 1$ and $a^* = a$ and

$$\begin{array}{c|ccc} R & 0 & 1 & a \\ \hline 0 & a & a & a \\ 1 & 0 & a & a \\ a & 0 & a & a \end{array}$$

Then $1 \leq a = Ra1$, but $Ha11$ does not hold because $a1 = a \not\leq 1 = 11$.

□

The final counterexample relates to the discussion following Lemma 21.

Lemma 36. There is a preorder (S, \preceq) equipped with $+, \cdot, R : S \times S \rightarrow S, \circ : S \rightarrow S$ and $1 \in S$, such that $\cdot, +$ and \circ are isotone, $Hp(Rpq)q$ and $Hpxq \Rightarrow x \preceq Rpq$ hold for $Hpxq \Leftrightarrow px \preceq xq$, (RSeq) holds, but not (HSeq).

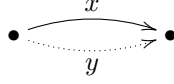
Proof. Consider the structure with carrier set $\{a, b, c\}$, preorder $a \prec b \prec c$ and the other operations defined by the tables

$$\begin{array}{c|ccc} R & a & b & c \\ \hline a & c & c & c \\ b & c & c & c \\ c & c & c & c \end{array} \quad \begin{array}{c|ccc} + & a & b & c \\ \hline a & a & a & a \\ b & a & a & a \\ c & a & a & a \end{array} \quad \begin{array}{c|ccc} \cdot & a & b & c \\ \hline a & a & a & b \\ b & a & a & b \\ c & b & b & b \end{array} \quad \begin{array}{c|c} | & \circ \\ \hline a & b \\ b & b \\ c & b \end{array}$$

It can be checked that all conditions hold, and in addition $Hccc$, and $Hccb$, because $cc = b = cb$, but $Hc(cc)b$ fails because $c(cc) = cb = b \not\prec a = bb = (cc)b$. □

11. Proposition 7 by Diagram Chase

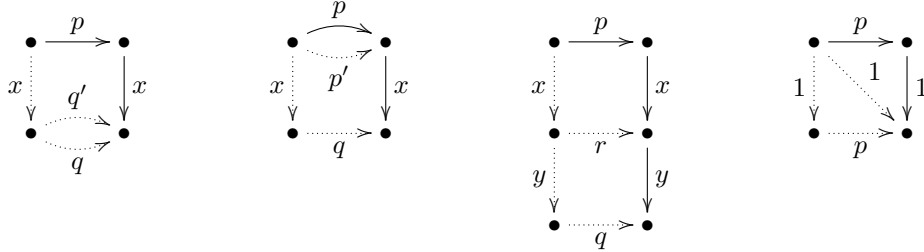
Depict $x \preceq y$ by the following diagram:



Accordingly, $H x y z$ and $H x y (xy)$ are depicted as follows:



The rules (HCons1), (HCons2), (HSeq) and (HSkip) are then derived as follows:



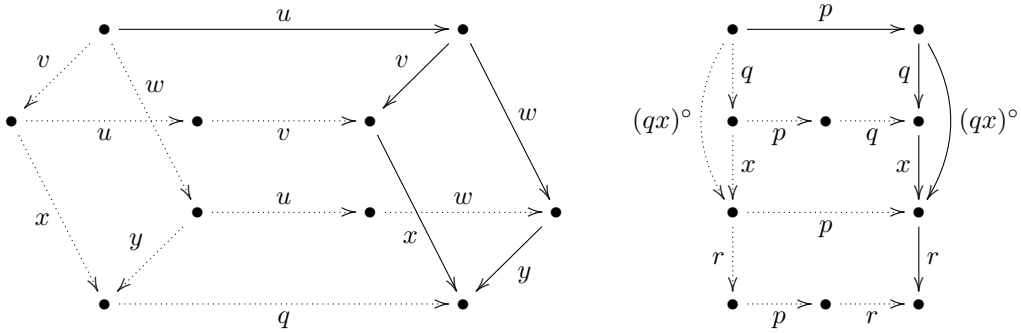
The next two export rules are helpful for deriving (HLoop) and (HCond).

$$H p r (pr) \wedge H (pr) x q \Rightarrow H p (rx) q, \quad (\text{HExp1})$$

$$H q r (qr) \wedge H p x q \Rightarrow H p (xr) (qr). \quad (\text{HExp2})$$

The first one is obtained by substituting r for x and pr for r and y for x in (HSeq), the second one by substituting q for y and r and qr for q in (HSeq).

Finally, (HCond) is obtained with (HExp1) and (HLoop) with (HExp1) and (HExp2):



12. Conclusion

The range of Hoare logics and refinement calculi covered by H- and R-semigroups requires further exploration. First of all, examples for Hoare logics over (non-idempotent) ordered

semirings beyond the parallel rules for multirelations in Section 7 remain to be found. Matrix semirings might come to mind, but the definition of matrix orderings is rather intricate and convincing examples are so far missing.

Hoare logics for probabilistic and quantum programs (e.g. (HartogV02; ChadhaMS06)) have already been developed, but further work is needed for relating them with H-semirings, defining refinement calculi for them and implementing components for them in Isabelle.

For the probabilistic case, a concise denotational semantics, e.g. relations between probability (sub)distributions, and the operation of probabilistic choice, which is added to nondeterministic choice, sequential composition and finite iteration, must be included in the algebra. From an abstract point of view, values are mapped to distributions or subdistributions of values, which resembles the multirelations in Section 7, but leads to more pleasant algebraic properties.

Quantum Hoare logics further adapt the probabilistic approach. They seem to be based predominantly on predicate transformer semantics, which encode Hoare triples differently. Hence a relational semantics should be built before an integration. Such considerations are left as interesting avenues for future work.

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