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**A Journey Along the Extruder with Polystyrene:C60  
Nanocomposites: Convergence of Feeding Formulations into  
a Similar Nano-Morphology**

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6 **Nanocomposites: Convergence of Feeding Formulations into a**  
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9 **Similar Nano-Morphology**  
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## Abstract

In this work we investigated the effect of the feeding formulation (pre-mixed powders of pure components versus solvent-blended mixture) of polystyrene-C<sub>60</sub> composites on the dispersion and re-agglomeration phenomena developing along the barrel of a twin screw extruder. The dispersion of C<sub>60</sub> in the PS matrix is studied over different length-scales using a combination of optical microscopy, Spin-Echo-Small Angle Neutron Scattering (SESANS), Small Angle Neutron Scattering (SANS), Small Angle X-ray Scattering (SAXS) and Wide Angle X-ray Scattering (WAXS). When a solvent-blended mixture is used as the feeding formulation, the inlet material contains essentially molecularly dispersed C<sub>60</sub> as revealed by the nano-domains with very small phase contrast. However, C<sub>60</sub> re-agglomeration occurs along the extruder, creating a morphology still containing only nano-domains but with much higher phase contrast. In the case of mixed powders, the material evolves from the initial macroscopic mixture of pure polystyrene and C<sub>60</sub> into a composite simultaneously containing micro- and nano-aggregates of C<sub>60</sub> as well as C<sub>60</sub> molecularly dispersed in the matrix. Our results show that the two different initial feeding formulations with widely different initial morphologies converge along the extruder, through opposite morphological pathways, into a similar final nanomorphology which is dictated by the interplay between the thermodynamics of the system and the flow. Correlations between the morphological evolution along the extruder and the thermo-rheological properties of the composites are identified.

**Keywords:** Nanocomposites; Small Angle Neutron Scattering (SANS); Spin-Echo-SANS (SESANS); Small Angle X-ray Scattering (SAXS); fullerene C<sub>60</sub>; extrusion

## 1. Introduction

Polymer nanocomposites containing carbon nanoparticles such as graphenes<sup>1, 2</sup>, carbon nanotubes<sup>3-7</sup> and fullerenes<sup>8</sup> open a new horizon for polymeric-based materials. These materials benefit from a synergistic combination of useful polymer properties, such as low density, flexibility, ease of processing and cost efficiency, with nanoparticles that not only significantly enhance the mechanical, electrical, and thermal properties of the resulting composite<sup>9</sup>, as well as create novel functionalities. Although the homogeneous dispersion and distribution of carbon nanoparticles in a polymer matrix is generally desired to maximize performance, some level of particle aggregation can be advantageous in specific applications<sup>10, 11</sup>. Though property improvements have been achieved in a variety of nanocomposites, carbon nanoparticle dispersion remains difficult to control, with both thermodynamic and kinetic processes playing significant roles.

The dispersion of carbon nanotubes and graphenes is complicated by their strong van der Waals interactions, chemical inertia that creates weak interfaces with most polymers, as well as difficulty to control initial size and shape of these nanoparticles. These parameters influence the cohesiveness of the agglomerates and have been related to dispersion routes such as rupture and erosion<sup>12, 13</sup>. Conversely, fullerene C<sub>60</sub> (also known as buckminsterfullerene) has a very well defined size and shape: it is a bucky-ball with a diameter of 0.7 nm<sup>14, 15</sup>. When replacing graphenes or carbon nanotubes by fullerenes in a polymer composite, particle size and geometry change (these being associated with entropic changes) while the differences in enthalpic interactions between the particles and the matrix are kept at a minimum. For this reason, polymer composites with C<sub>60</sub> can be used as model systems to help understand the dispersion of carbon nanoparticles.

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2  
3 In the last two decades, polystyrene (PS) composites containing fullerene nanoparticles  
4  
5 have been the subject of a number of studies<sup>15-33</sup> reporting improvements in properties such  
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7 as electrical conductivity<sup>22, 23</sup>, thermal stability<sup>24-27</sup> and permeability<sup>28, 29</sup>. The impact of C<sub>60</sub>  
8  
9 loading and sample preparation conditions on the corresponding glass transition temperature  
10  
11 ( $T_g$ ) and melt viscosity, has also received attention<sup>16, 19, 34</sup>.

14 Campbell et al<sup>21</sup> used wide-angle X-ray scattering (WAXS) to determine the miscibility  
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16 limit of C<sub>60</sub> in PS as being ~1 wt%. In this context miscibility is understood as an absolute  
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18 thermodynamic value associated with molecular homogeneity and which is reversible and  
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20 independent of the pathway followed from aggregates to molecular dispersion, i.e. it is a  
21  
22 maximum value that is independent of processing. An identical miscibility threshold was  
23  
24 determined by Sanz et al<sup>34</sup>, using a combination of microscopy, SANS and WAXS  
25  
26 experiments. Additionally, these authors also determined a dispersibility threshold of ~4 wt%  
27  
28 C<sub>60</sub>, where this is the maximum C<sub>60</sub> loading associated with the maximum observed increase  
29  
30 in the  $T_g$  of the composites. Loadings beyond this concentration gradually reverted the  
31  
32 composite  $T_g$  towards the neat PS value. Increases in  $T_g$  with C<sub>60</sub> loadings in PS composites  
33  
34 were also reported by Weng et al<sup>32</sup> and by Wong et al<sup>19</sup>, with the latter also concluding that  
35  
36 beyond 1 wt% nanoparticle concentration, the C<sub>60</sub> is aggregated in polydispersed aggregates.  
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38 Self-assembly of C<sub>60</sub> into clusters within PS-C<sub>60</sub> thin films was also reported, with the size of  
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40 the clusters becoming macroscopic for C<sub>60</sub> concentrations in the range 3 wt% – 4 wt% C<sub>60</sub><sup>30</sup>.

46 The impact of C<sub>60</sub> on the melt viscosity of PS-C<sub>60</sub> composites was studied by Tuteja et al  
47  
48<sup>16</sup>. They showed that a viscosity reduction in the PS-C<sub>60</sub> nanocomposites may occur if the  
49  
50 polymer is entangled ( $M > M_c$ , where  $M_c$  is the critical molecular mass critical mass for  
51  
52 entanglement coupling) and if the average interparticle half-gap ( $h$ ) is less than the polymer  
53  
54 size (i.e.  $h < R_g$ ), where  $h/a = [\Phi_m/\Phi]^{1/3} - 1$ ,  $\Phi_m$  being the maximum random packing volume  
55  
56 fraction (~0.638) and  $a$  being the particle radius (~0.4 nm). For non-entangled polymer melts  
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3 ( $M < M_c$ ), a viscosity increase is observed upon the addition of C<sub>60</sub>. In practice, the authors  
4  
5 observed a viscosity decrease in well dispersed fullerene-polystyrene nanocomposites  
6  
7 prepared via rapid precipitation from solution and using a PS with Mw= 393 kDa. On the  
8  
9 other hand, a viscosity increase was observed when using a PS with Mw=19.3 kDa.  
10

11  
12 In most of the preceding studies, PS-C<sub>60</sub> blending was achieved through the use of  
13  
14 solvents, using methods such as solvent casting or rapid precipitation from solution. Despite  
15  
16 the fact that in the commodity plastics industry melt extrusion is a ubiquitous process for the  
17  
18 manufacturing of polymer nanocomposites, with solvents rarely being used, in the scarce  
19  
20 literature studies in which polymer-C<sub>60</sub> blending was performed in an extruder no attempts  
21  
22 were made to assess the dispersion achieved<sup>27</sup>. Therefore, there is an obvious fundamental  
23  
24 and practical interest in studying how C<sub>60</sub> nanoparticles can be mixed with polystyrene in a  
25  
26 melt extruder.  
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30 In this work, we conduct a detailed investigation of the dispersion of fullerene C<sub>60</sub> in  
31  
32 polystyrene composites along an intermeshing co-rotating twin-screw extruder. We study and  
33  
34 compare two different types of melt-processed PS-C<sub>60</sub> composites that were prepared using  
35  
36 identical C<sub>60</sub> loadings (1 wt%) and processing conditions (flow rate = 130 g.h<sup>-1</sup>, screw speed  
37  
38 = 80 rpm; extruder and die set temperature = 200 °C), but which differ widely on the quality  
39  
40 of dispersion of the initial material: (i) mixed powders of pure polystyrene and pure C<sub>60</sub> and  
41  
42 (ii) a solvent pre-processed PS-C<sub>60</sub> blend. The morphological characterization of the  
43  
44 composites was performed using both direct and indirect characterization techniques. Five  
45  
46 direct morphological characterization techniques were used to probe four different length-  
47  
48 scale regimes, namely: optical microscopy for the ~ 1 mm – 1 μm range; spin-echo small  
49  
50 angle neutron scattering (SESANS)<sup>35</sup> for the ~ 20 μm – 200 nm range; small angle neutron  
51  
52 scattering (SANS) and small angle x-ray scattering (SAXS) for the ~ 200 nm – 1 nm range  
53  
54 and wide angle x-ray scattering (WAXS) for the sub-nanometer range. Additionally, the  
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3 composites were characterized by differential scanning calorimetry (DSC) and rheological  
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5 measurements, as indirect morphological characterization techniques, to provide an overall  
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7 understanding of the processing-structure-property relationships in these model polymer-  
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9 fullerene systems.  
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11  
12 This paper is organized as follows. We first describe the sample preparation methods.  
13  
14 Then we characterize the dispersion of C<sub>60</sub> in the composite samples using optical  
15  
16 microscopy, SESANS, SANS, SAXS and WAXS. Next, we study the variation of the glass  
17  
18 transition temperature with sample preparation conditions and we characterize the samples  
19  
20 rheologically. Finally, we discuss and rationalize all the results obtained.  
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22

## 23 24 25 **2. Experimental Section** 26

### 27 28 *2.1 Materials* 29

30  
31 The C<sub>60</sub> fullerene used in this work was supplied by Solenne BV (> 99.5% purity, Mw =  
32  
33 720.64 g.mol<sup>-1</sup> and density = 1.65 g.cm<sup>-3</sup>). The polystyrene was purchased from Sigma  
34  
35 Aldrich (catalog # 430102) with average Mw~192.000, MFI = 6.0-9.0 g/10 min (200°C/5kg)  
36  
37 and density of 1.05 g.cm<sup>-3</sup> at 25 °C. The polymer R<sub>g</sub> is approximately 12 times larger  
38  
39 (estimated from  $R_g \cong 0.27M_w^{1/2}$ <sup>36</sup>) than the diameter of C<sub>60</sub> (~1 nm).  
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### 43 44 *2.2 Nanocomposite preparation* 45

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47 Two different types of PS-C<sub>60</sub> formulations were prepared as feeding material for the twin  
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49 screw extruder: (a) 1.0 wt% C<sub>60</sub> was simply mechanically mixed with PS (hereafter simply  
50  
51 referred to as “mixed powders”) and (b) mixtures with 1.0 wt% C<sub>60</sub> were prepared by solution  
52  
53 blending followed by co-precipitation in a non-solvent (hereafter referred to as “pre-solvent  
54  
55 blended”).  
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3 In the preparation of the mixed powders formulation, the granulated polystyrene was  
4 milled into a fine powder and then thoroughly mixed with the, as purchased, fine C<sub>60</sub> powder  
5 in a rotating mixer.  
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9  
10 In the preparation of the pre-solvent blended formulation, appropriate amounts of PS and  
11 C<sub>60</sub> were dissolved in toluene, while stirring at 80 °C for 4 hours. Then, the solution was  
12 added dropwise into a 5-fold volume excess of pre-cooled methanol (T < 0°C) under  
13 continuous stirring, to enable the co-precipitation of the PS-C<sub>60</sub> composite. The solids were  
14 vacuum filtered from methanol using a nylon membrane filter with a pore size of 0.45 μm  
15 (Whatman, cat no. 7404-004) and washed with cold methanol. This was followed by drying  
16 at ~100 °C and 10<sup>-2</sup> mbar for several hours, until no mass changes were detected using a  
17 balance with a precision of ±0.01g. Upon drying, light purple fiber composites were obtained.  
18 The yield of composite preparation was ~ 100%, showing that no C<sub>60</sub> and polystyrene losses  
19 had occurred. The total amount of pre-solvent blended formulation prepared was ~35 g (after  
20 drying). Finally, the fibrous composite was milled into a fine light purple powder.  
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35 The two types of feeding formulations were then melt processed in a prototype co-rotating  
36 intermeshing twin-screw extruder of modular construction (Figure S.I.1.(a) in Supporting  
37 Information), designed to process small amounts of material (in the range of 30-300 g/h)  
38 while retaining the same characteristics of larger equipment. The screws have a diameter of  
39 13 mm and an L/D ratio of 27 (Figure S.I.1.(b) in Supporting Information). The screw profile  
40 is built by sliding along a shaft conveying (with distinct pitches and lengths) and kneading  
41 elements (that can be stacked with variable staggering angles to induce different  
42 hydrodynamic stress levels and, therefore, variable balances of distributive/dispersive  
43 mixing). The barrel contains nine material sampling ports, evenly distributed along its length.  
44 These are manually operated rotary valves which allow one to quickly remove small volumes  
45 of material from within the extruder during steady state operation<sup>37, 38</sup>. A miniaturized  
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3 prototype volumetric feeder is positioned upstream. The screw profile used in this work  
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5 contained two kneading zones consisting of four 3 mm thick kneading disks staggered at -30°  
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7 (for intensive mixing) separated by a conveying zone. The flow channels had a maximum  
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9 depth of 1.5 mm so that shear rates were relatively high, even at low screw speeds.  
10

11  
12 Each PS-C<sub>60</sub> mixture was compounded at a flow rate of 130 g/h, with the screws rotating  
13  
14 at 80 rpm. The temperature profile along the barrel and the slit die (5 mm x 1 mm) was kept  
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16 at a constant 200 °C for all the processing experiments. In order to track the quality of the  
17  
18 mixing along the extruder, spherically-shaped composite samples in their molten state were  
19  
20 collected at sampling ports P2 and P9 during steady state extruder operation. These molten  
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22 samples were then sandwiched between two Teflon sheets placed between two metal plates  
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24 and slightly compressed, while cooling down to room temperature, into approximately  
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26 circular disks with thickness ~1.5 mm and diameter ~ 20 mm (see Figure S.I.2 in Supporting  
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28 Information). These samples, hereafter simply referred to as samples P2 and P9 depending on  
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30 the sampling port from which they were collected, as well as the extruded ribbons collected  
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32 after air cooling and winding were then stored for subsequent characterization. To keep the  
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34 extrusion line running continuously, the lowest possible draw-down (i.e., the ratio between  
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36 the linear velocity of the winder and the extrudate velocity) was applied.  
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42 Additionally, reference samples with C<sub>60</sub>:PS weight ratios 1:99 were prepared by  
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44 compression molding: (i) a mixture of the pure powders at 170 °C and 10 MPa during 5  
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46 minutes (1 sample produced); (ii) powders of the solvent blended composite at 90 °C and 170  
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48 °C and 10 MPa during 5 minutes (2 samples produced). These three reference samples were  
49  
50 also stored for subsequent characterization.  
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53 All the samples are shown in Figure S.I.2 in Supporting Information. The initial sample  
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55 compression molded from mixed powders is mostly colourless and transparent, albeit  
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57 containing a few macroscopic black spots in it. The initial pre-solvent blended sample is  
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3 purple and transparent. The samples from mixed powders collected at P2, P9 and ribbon are  
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5 all dark brown and optically opaque. The samples from pre-solvent blend collected at P2, P9  
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7 and ribbon are light brownish and still optically transparent to some degree.  
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9

### 10 2.3 *Nanocomposites characterization*

#### 11 2.3.1. *Optical microscopy*

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14 The agglomerate size distribution in the initial, i.e. before melt compounding, pre-solvent  
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16 blended and mixed powder formulations was determined by light transmission optical  
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18 microscopy (O.M.) using a BH2 Olympus microscope coupled to a Leica DFC 280 camera,  
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20 with a 1.6 x ocular and 20 x objective magnification. For microscopy observations, the  
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22 feeding formulations (in powder form) were softened at 160 °C and spread onto a glass slide.  
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28 The evolution of dispersion along the extruder was estimated by O.M. For this, 10 μm thin  
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30 sections were cut from samples at room temperature with a Leitz 1401 microtome using glass  
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32 knives with an angle of 45°. The extruded tapes were molded in an epoxy resin and cut  
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34 perpendicular to the flow direction. Micrographs were acquired using the same microscopy  
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36 equipment and under similar magnifications. To obtain sufficient statistics, at least six  
37  
38 micrographs were analyzed using ImageJ<sup>®</sup> Software, leading to an investigated total area of  
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40 2.1 mm<sup>2</sup>.  
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44 The level of dispersion was quantified in terms of Area ratio (Ar), which balances the total  
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46 area of agglomerates and the total area analyzed, and cumulative relative distribution of the  
47  
48 agglomerates (C<sub>C60</sub>). This is determined by summing the areas of the individual agglomerates  
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50 in ascending area order and dividing by the total area of agglomerates. In particular, the size  
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52 of the larger agglomerate contained in 75% (A<sub>χ75</sub>) and 90% (A<sub>χ90</sub>) of the total area of  
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54 agglomerates were followed.  
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### 2.3.2. Spin-Echo Small Angle Neutron Scattering (SESANS)

Spin-Echo Small Angle Neutron Scattering (SESANS) is a relatively new technique to measure structures of materials. Structures can be determined over three orders of magnitude in length scale, from 10 nm to 20  $\mu\text{m}$ . This is two orders of magnitude larger than conventional SANS and comparable to what may be studied with light scattering or OM, though with the complementary benefits afforded by the use of neutrons such as contrast and probing the bulk. However, like O.M., and unlike SANS (or SAXS), SESANS measures in real space.

Briefly, SESANS uses a series of magnetised permalloy films and magnetic fields to encode the scattering angle information in the spin precession of a beam of polarized neutrons. Neutrons scattered through different angles traverse magnetic fields of different lengths and thus precess differently to the unscattered neutrons. The structural length scale probed depends upon the applied magnetic field strength, hence varying the magnetic field strength provides tunability over a range of length scales, termed the spin-echo length.

The SESANS measurements reported here were performed at the Reactor Institute Delft (TU Delft, Netherlands), using a beam monochromatised (using a pyrolytic graphite crystal) to a wavelength  $\lambda=2.06 \text{ \AA}$ <sup>35</sup>. The pre-solvent blend sample was measured for 4 hours whilst the sample from mixed powders was measured for ~12 hours over the same spin-echo length range.

### 2.3.3. Small Angle Neutron Scattering (SANS)

Small-angle neutron scattering (SANS) measurements were performed at the LOQ diffractometer at the ISIS Pulsed Neutron Source (Rutherford Appleton Laboratory, Oxfordshire, UK) with a polychromatic incident beam of  $\lambda = 2 - 10 \text{ \AA}$  and fixed sample-to-detector distance of 4 m, to provide a scattering vector range of  $0.009 < q < 0.25 \text{ \AA}^{-1}$ , where

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2  
3  $q=(4\pi/\lambda)\sin(\theta/2)$  and  $\theta$  is the scattering angle. Due to the high carbon to hydrogen content in  
4  
5  $C_{60}$ , there is a naturally high neutron scattering length density contrast with the hydrogenous  
6  
7 polystyrene polymer removing the need for isotopic substitution (deuteration). However,  
8  
9 there is a greater degree of incoherent background scattering from the matrix that degrades  
10  
11 the signal-to-noise, particularly at larger  $q$  values.  
12  
13

14  
15 The samples P2 and P9 were  $\sim 1.5$  mm thick and the extruded ribbons were  $\sim 0.7$  mm  
16  
17 thick although their exact thicknesses were measured by a micrometer and those values used  
18  
19 in the data reduction procedure to ensure proper intensity scaling. Samples were mounted on  
20  
21 a computer-controlled sample changer and SANS patterns were recorded at room temperature  
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23 for approximately 90 min/sample. Each raw scattering data set was then radially-averaged,  
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25 corrected for the detector efficiency, sample transmission and background scattering and then  
26  
27 converted to scattering cross-section data and plotted on an absolute scale ( $\partial\Sigma/\partial\Omega$  vs  $q$ ) using  
28  
29 Mantid software<sup>39</sup>. For convenience, we shall follow the normal convention of referring to  
30  
31  $\partial\Sigma/\partial\Omega$  as intensity ( $I$ ). The corrected data were then fitted to appropriate models using  
32  
33 SasView software (Version 3.1.1)<sup>40</sup>. The neutron scattering length densities of hydrogenous  
34  
35 PS and of  $C_{60}$  are respectively,  $\rho_N(H-PS) = 1.41 \times 10^{-6} \text{ \AA}^{-2}$  and  $\rho_N(C_{60}) = 5.60 \times 10^{-6} \text{ \AA}^{-2}$  and  
36  
37 therefore  $\Delta\rho_N=4.09 \times 10^{-6} \text{ \AA}^{-2}$ .  
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#### 42 2.3.4. Small Angle X-Ray Scattering (SAXS)

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44  
45 SAXS measurements were performed in-house on a Bruker instrument (NanoStar,  
46  
47 Department of Chemistry, University of Sheffield, UK) equipped with a microfocus Cu-K $\alpha$   
48  
49 source (8 keV,  $\lambda = 1.54 \text{ \AA}$ ; Xenocs, France), collimating system with motorized scatterless  
50  
51 slits (Xenocs, France) and a HiStar 2D multiwire gas detector (Siemens/Bruker). Scattering  
52  
53 patterns were corrected for the detector's dark current, spatial distortion, flat field and  
54  
55 normalized using sample thickness, exposure time, sample transmission and the detector  
56  
57  
58  
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60

1  
2  
3 normalization coefficient. The electron densities of hydrogenous PS and of C<sub>60</sub> are  
4  
5 respectively,  $\rho_X(H-PS) = 9.56 \times 10^{-6} \text{ \AA}^{-2}$  and  $\rho_X(C_{60}) = 1.40 \times 10^{-5} \text{ \AA}^{-2}$  and therefore  $\Delta\rho_X =$   
6  
7  $4.44 \times 10^{-6} \text{ \AA}^{-2}$ .  
8  
9

### 10 11 *2.3.5. Wide Angle X-Ray Scattering*

12  
13 WAXS measurements, of samples P9 from both mixed powders and solvent processed  
14  
15 blend, were performed on a Xeuss 2.0 SAXS/WAXS laboratory beamline using a liquid  
16  
17 Gallium MetalJet (Excillum) x-ray source (9.2 keV,  $\lambda = 1.34 \text{ \AA}$ ). The scattered X-rays were  
18  
19 detected using a Pilatus3R 1M detector. Scattering from the samples was collected at room  
20  
21 temperature for 3 minutes.  
22  
23

### 24 25 *2.3.6. Differential Scanning Calorimetry*

26  
27  
28 Differential Scanning Calorimetry (DSC) experiments were performed on a Perkin Elmer  
29  
30 Pyris-1 calorimeter under nitrogen where temperature and heat capacity were calibrated using  
31  
32 a sapphire standard. Thermal history was eliminated by ramping from 25 to 150 °C at 10  
33  
34 °C.min<sup>-1</sup>, isothermal annealing at 150 °C for 2 minutes, then cooling to 30 °C at 10°C.min<sup>-1</sup>.  
35  
36 Two heating-cooling cycles were run. The glass transition temperature  $T_g$  was computed from  
37  
38 the second heating run following the half-Cp extrapolation method. Error bars in  $T_g$  are  
39  
40 estimated by the maximum deviation of three independent measurements.  
41  
42  
43

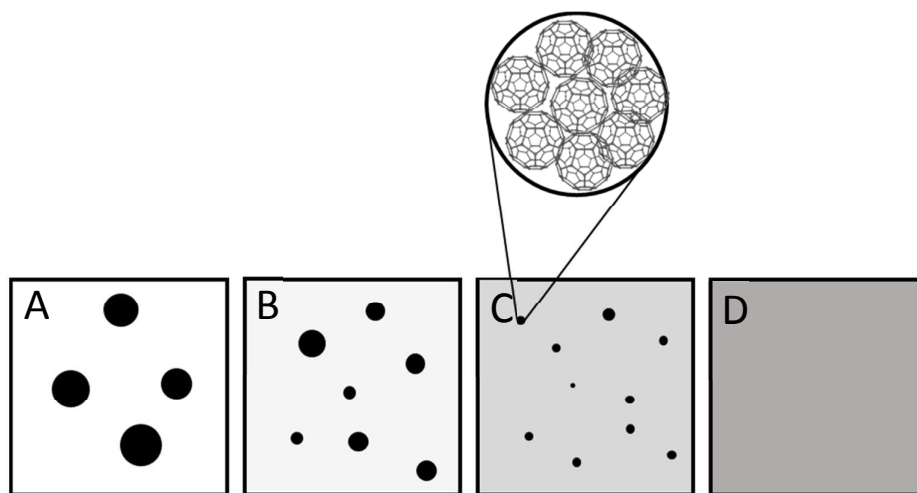
### 44 45 *2.3.7. Rheometry*

46  
47 Disks were loaded between the parallel plates (diameter 25 mm) of a stress-controlled  
48  
49 rotational rheometer (ARG2, TA Instruments) at a temperature of 200 °C under air. Time was  
50  
51 left for the samples to thermally stabilize and adjust to the defined gap between the parallel  
52  
53 plates, as inferred from the on-line reading of the normal force relaxation. A time sweep was  
54  
55 first performed at 1 Hz with a deformation of 0.1% (corresponding to the linear regime of  
56  
57  
58  
59  
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viscoelasticity as inferred from separate strain sweep experiments performed at 1 Hz) to confirm the thermal stability of all samples within 20 minutes. Then a new sample was loaded and equilibrated as mentioned above, for the recording of a mechanical spectrum using a frequency sweep performed with a deformation of 0.1%.

### 3. Results and Discussion

As an aid to the analysis and discussion of our experimental results, we start by showing in Figure 1 an idealized schematic of the different possible stages of  $C_{60}$  dispersion in a polymer matrix.



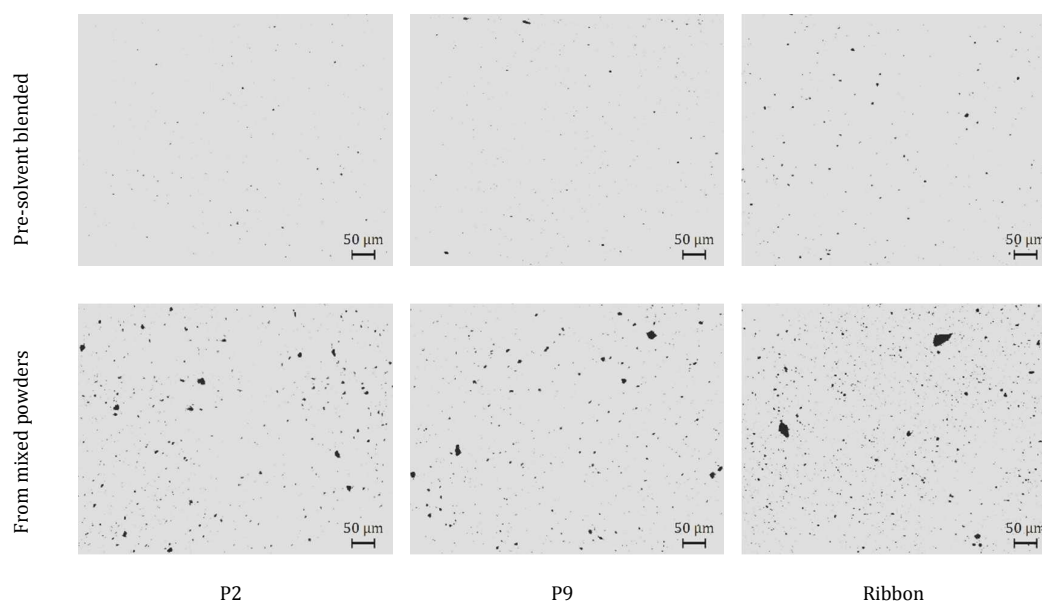
**Figure 1.** Idealized schematic of  $C_{60}$  dispersion in the polymer matrix along the extruder, starting from macroscopic agglomerates of  $C_{60}$  in a matrix of pure polymer and ending with an idealized mixture of individual  $C_{60}$  molecules homogeneously dispersed in the polymer matrix.

There are two extreme situations, namely situation A in which macroscopic and microscopic agglomerates of  $C_{60}$  coexist in a matrix of pure polymer and situation D consisting of an idealized mixture of individual  $C_{60}$  molecules homogeneously dispersed in

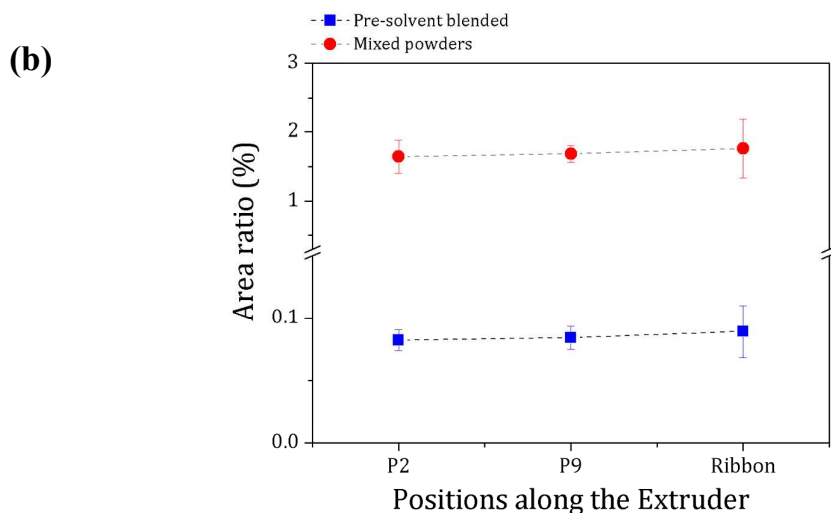
1  
2  
3 the polymer matrix. Two intermediate cases (B and C) correspond to a mixture of  
4 microscopic and nanoscopic agglomerates of  $C_{60}$  in a polymer matrix containing some  
5 microscopic and nanoscopic agglomerates of  $C_{60}$  in a polymer matrix containing some  
6 molecularly dispersed  $C_{60}$  and to a mixture of nanoscopic agglomerates in a polymer matrix  
7 containing a considerable amount of molecularly dispersed  $C_{60}$ , respectively.  
8  
9

10  
11  
12 The level of dispersion of  $C_{60}$  in the initial feeding formulations and in the samples  
13 collected along the extruder was first investigated by optical microscopy (O.M.), as shown in  
14 Figure 2 and in Figure S.I.3. in Supporting Information.  
15  
16  
17  
18  
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20  
21 **(a)**







**Figure 2.** (a) Morphology development of PS nanocomposites containing 1 wt. % of  $C_{60}$  along the twin-screw extruder; (b) Area ratio evolution along the twin-screw extruder of PS nanocomposites containing 1 wt. % of  $C_{60}$ .

Figure S.I.3. shows optical microscopy images of the initial feeding formulations. The image of the “mixed powders” feeding formulation shows large black aggregates in a matrix of essentially pure polystyrene. Overall this formulation shows both a poor dispersion and poor distribution of the aggregates. By contrast, on the image of the “solvent-blended” feeding formulation, although some randomly distributed darker spots are visible, these are not large black aggregates. These darker spots most likely result from some possible heterogeneities (regions with different PS: $C_{60}$  ratio) inherent to the precipitation process used in the preparation of this composite. Also visible in the “solvent-blended” figure is a larger, approximately circular, feature with  $\sim 50 \mu\text{m}$  diameter which corresponds to material that did not melt during the preparation of the optical microscopy samples.

The morphology development of PS nanocomposites containing 1 wt. % of  $C_{60}$  along the extruder is presented in Figure 2(a). As clearly shown, the extruded samples prepared from mixed powders contain a large number of microscopic aggregates. By contrast, in the extruded samples prepared from pre-solvent blend the number and size of microscopic

features is much smaller. Figure 2(b) displays the corresponding evolution of the area ratio and the dispersion characterization results are summarized in Table 1. As shown in Figure 2 and Table 1, in extruded samples from both mixed powders and pre-solvent blend, the area ratio remains approximately constant along the extruder.

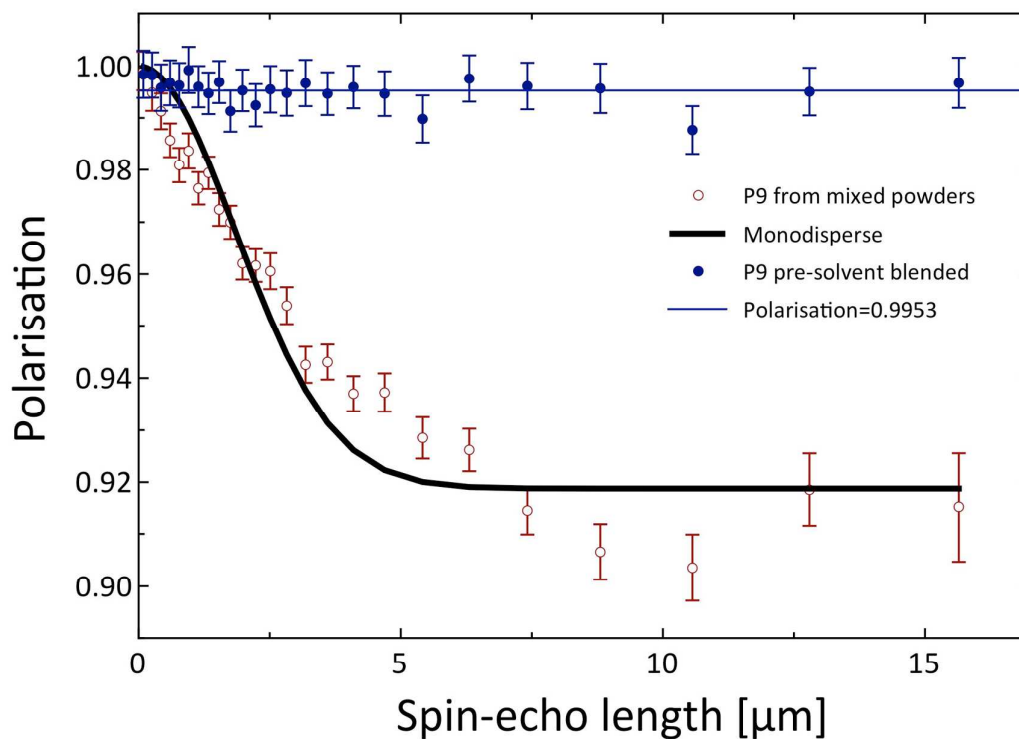
It is well accepted that dispersion of solid agglomerates in a molten matrix occurs when the hydrodynamic stresses developed during flow are larger than the cohesive strength of the agglomerates. This balance is usually quantified by the fragmentation number,  $F_a$ . It has been suggested that when  $F_a$  is large, dispersion is dominated by the rupture of the agglomerates into successively smaller aggregates. When  $F_a$  is small, erosion should prevail. This is a much slower dispersion route, whereby small aggregates or individual particles detach from the agglomerates. Both phenomena were reported in studies of the dispersion of carbon nanotubes and graphite nanoplates<sup>41, 42</sup>. In the present work, as the area ratio remained constant along the extruder, this means that no substantial rupture took place, but some dispersion may have developed via erosion, as in this case the large agglomerates are still visible by optical microscopy.

| Position | Pre-solvent blended                    |  |                             | From mixed powders                     |  |                             |
|----------|--|--|-----------------------------|--|--|-----------------------------|
|          | $A_{\chi 75\%}$<br>( $\mu\text{m}^2$ ) | $A_{\chi 90\%}$<br>( $\mu\text{m}^2$ ) | $N$<br>(per $\text{mm}^2$ ) | $A_{\chi 75\%}$<br>( $\mu\text{m}^2$ ) | $A_{\chi 90\%}$<br>( $\mu\text{m}^2$ ) | $N$<br>(per $\text{mm}^2$ ) |
| P2       | 16.91                                  | 24.07                                  | $88 \pm 10$                 | 59.31                                  | 161.88                                 | $988 \pm 137$               |
| P9       | 17.48                                  | 28.95                                  | $88 \pm 16$                 | 71.34                                  | 178.22                                 | $980 \pm 76$                |
| Ribbon   | 18.86                                  | 33.84                                  | $92 \pm 16$                 | 87.43                                  | 374.48                                 | $1020 \pm 235$              |

**Table 1.** Optical microscopy dispersion characterization results for PS nanocomposites containing 1.0 wt. % of  $\text{C}_{60}$

The SESANS data from two nanocomposites extracted from port P9 is shown in Figure 3. The data from the mixed powder sample has been fitted to a model of monodispersed

particles using the Gaussian approximation formalism detailed by Andersson et al <sup>43</sup> and the resulting fit is also shown in Figure 3.



**Figure 3.** Spin-Echo-SANS signals, expressed as the depolarisation ratio  $P/P_0$ , of extruded PS-C<sub>60</sub> nanocomposites extracted from sampling port #9. The black line is a fit to a monodisperse sphere model whilst the blue line is a constant illustrating a small depolarisation over those length scales probed in the pre-solvent blended sample.

This modelling approach is used as the system is low concentration and no consideration of a structure factor is necessary. The best fit to the data, in which the radius was the only free parameter, was found for particles of radius  $2.83 \pm 0.10 \mu\text{m}$ . The scattering length densities were fixed at the values quoted in Table 2, as derived from the SANS data analysis detailed below. We note in passing that the extended tail of the data at longer spin echo lengths suggests that there is in fact a dispersion in the particle sizes of the agglomerates.

No structure was observed in the pre-solvent blended sample on SESANS length scales, however some depolarisation is observed  $\langle P \rangle = 0.9953$  which can be attributed to very small

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3 structures (well below the micron level observed in the mixed powder sample). In the  
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5 micrographs obtained by optical microscopy there is some evidence for larger structures in  
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7 the pre-solvent blended sample. However, Figure 2(b) shows that the area ratio is one order  
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9 of magnitude smaller than that of the mixed powders. The change in SESANS polarization  
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11 ratio as a function of spin echo length is given by  $P = P_0 \exp(\Sigma_t [G(z)-1])$ , where  $G(z)$  is the  
12  
13 projection of the density distribution along the measurement axis and the term  $\Sigma_t$  (the fraction  
14  
15 of neutrons that are scattered only once) in a binary mixture scales with the volume fraction  
16  
17  $\Phi$  as  $\Phi(1-\Phi)$ . Hence if one assumes that the area ratio of agglomerates measured at the  
18  
19 surface is consistent with their volume fraction in the bulk then the change in polarisation  
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21 ratio would be of the order 1%, which is at the limits of detection for the instrument used.  
22  
23

24  
25  
26 In order to probe the bulk nano-morphology of the composite samples at length-scales  
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28 from  $\sim 1 - 200$  nm, we used SANS and the results are shown in Figure 4. In SANS, the  
29  
30 intensity is proportional to the number, size and contrast of the scattering entities in a sample,  
31  
32 while the  $q$ -dependence of the intensity is related to their shape and local arrangement. So in  
33  
34 our composites it is the scattering from the  $C_{60}$  that dominates the SANS, not the  
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36 hydrogenous PS (which would be expected to contribute a mostly flat background).  
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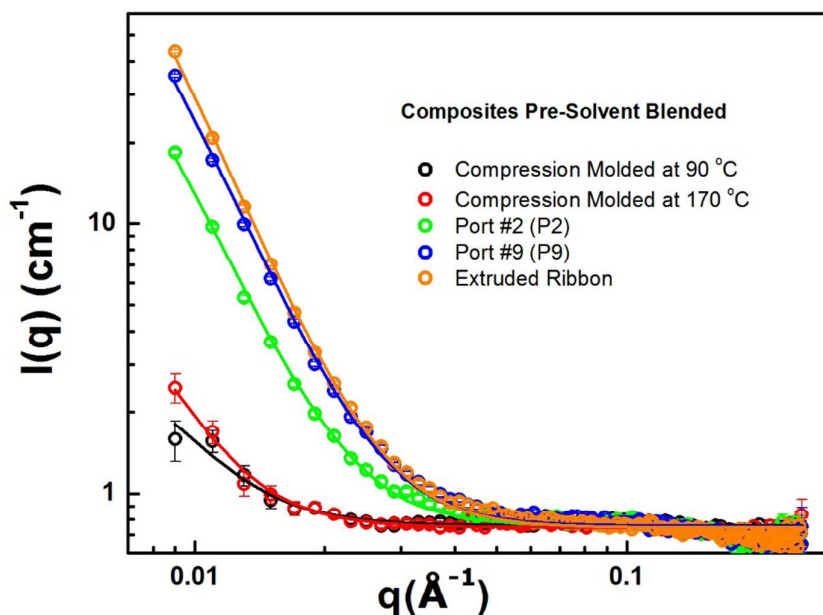
39  
40 We have started our analysis of the SANS data by determining if processing induces any  
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42 nanoscale orientation in our samples. In the Supporting Information, Figure S.I.4.(a) shows  
43  
44 the 2D SANS patterns from extruded ribbons processed from both a pre-solvent blend and  
45  
46 from mixed powders, and in Figure S.I.4.(b) we show the corresponding intensity ratios  
47  
48  $I(Q_V)/I(Q_H)$  as a function of  $q$  for the vertical and horizontal quadrants of the 2D patterns. As  
49  
50 clearly shown, the nanoscale distribution of  $C_{60}$  aggregates in the extruded ribbons is  
51  
52 isotropic. Accordingly, the SANS data were circularly-averaged to produce 1D graphs of  
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54 intensity vs  $q$ .  
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58 As shown in Figure 4(a) for the pre-solvent blended composite, five different samples  
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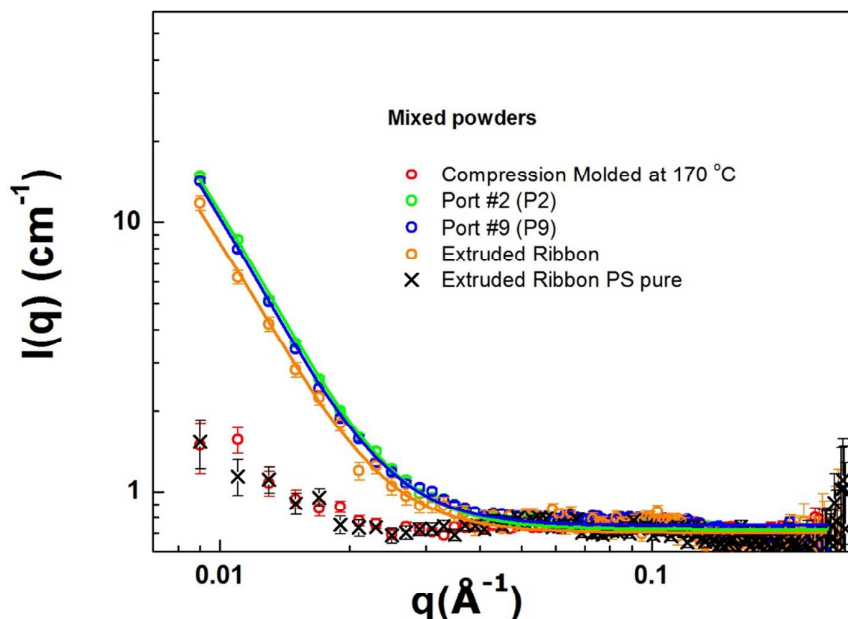
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3 were analyzed using SANS, namely: (a) a compression molded sample annealed at 90 °C for  
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5 5 minutes; (b) a similarly compression molded sample annealed at 170 °C for 5 minutes; (c)  
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7 an extruded sample collected at P2; (d) an extruded sample collected at P9 and (e) an  
8  
9 extruded ribbon.  
10

11  
12 As can be seen in Figure 4(a), the neutron scattering intensity  $I(q)$  of the compression  
13  
14 molded sample annealed at 90 °C for 5 minutes is very weak and only increases slightly when  
15  
16 the annealing temperature increases to 170 °C. Our goal on testing these samples at these two  
17  
18 temperatures (90 °C and 170 °C), intermediate between room temperature and the extrusion  
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20 temperature (200 °C), was to study the effect of temperature alone on the nano-morphology  
21  
22 of the composite samples as they are heated at the very beginning of the extruder.  
23  
24  
25  
26  
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28  
29

30 (a)



(b)



**Figure 4.** SANS data from extruded PS- $C_{60}$  nanocomposites (identical scales have been used for ease of comparison): (a) Pre-solvent blended; (b) Prepared from mixed powders of PS and  $C_{60}$ .

When the composites are fed into the extruder an abrupt increase of nearly 1 order of magnitude in scattering intensity occurs in the early stages of the extrusion process, as revealed by a comparison between the two previous samples and the sample P2. Indeed, it can be seen that the scattering intensity continues to increase further along the extruder, reaching a maximum value in the extruded ribbon.

Figure 4(b) presents the SANS data for the corresponding composites prepared from mixed powders of the pure components PS and  $C_{60}$ . Also shown for comparison are the background (incoherent) SANS scattering of a ribbon of pure PS extruded under the same conditions, as well as the SANS of a sample prepared by compression molding at 170 °C consisting of  $C_{60}$  and PS powders in the weight ratio 1:99. This sample corresponds to the unmixed reference, i.e. a sample in which  $C_{60}$  and PS are not intermixed (situation A in

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3 Figure 1). As seen in Figure 4(b), there is again an almost 1 order of magnitude increase in  
4  
5 scattering intensity in the early part of the extruder, i.e. from the powder mixture at the inlet  
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7 (here represented by our “unmixed reference”) to sampling location P2. But, contrary to what  
8  
9 is observed with the pre-solvent blended composites, the scattering intensity changes very  
10  
11 little with processing from sampling location P2 to the die outlet.  
12  
13

14  
15 We continued our SANS analysis by determining the slopes of the SANS data in their  
16  
17 linear regions (between 0.009 and 0.015 Å<sup>-1</sup> for the pre-solvent processed composite samples  
18  
19 compression molded at 90 °C and 170 °C, and between 0.009 and 0.025 Å<sup>-1</sup> for the pre-  
20  
21 solvent processed composite samples collected from the extruder and for the composite  
22  
23 samples prepared from mixed powders) with a simple power law model fit of the form  $I(q) \propto$   
24  
25  $q^{-m}$ , where  $m$  is related to the fractal dimension of the underlying structure.  
26  
27

28  
29 Considering first the samples prepared from pre-solvent processed composites, we find the  
30  
31 value of  $m$  increases from 1.2 at 90 °C, to 1.8 at 170 °C, and to 2.7, 3.1 and 3.3 at sampling  
32  
33 locations P2, P9 and the extruded ribbon, respectively (see details in Table 2). This indicates  
34  
35 that with increasing temperature and residence time inside the extruder, the mass distribution  
36  
37 of C<sub>60</sub> changes from something sparsely distributed to something more clustered, with the PS-  
38  
39 C<sub>60</sub> interfaces becoming better defined. These factors point to nano-agglomeration of the C<sub>60</sub>.  
40  
41

42  
43 Turning now to the composite samples prepared from mixed powders of PS and C<sub>60</sub>, we  
44  
45 find that the value of  $m$  exhibits a small decrease from 2.6 in P2, 2.5 in P9 and 2.4 in the  
46  
47 extruded ribbon (Table 2). This indicates that processing induces a slight shift in the C<sub>60</sub> mass  
48  
49 distribution towards something less clustered and with more diffuse PS-C<sub>60</sub> interfaces,  
50  
51 suggesting some improvement of dispersion in these composites.  
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|                     |        | $C_{DB} (\text{\AA}^{-4})$                  | $\Delta\rho (\text{\AA}^{-2})$ | Length $L$<br>(nm) | $m$            |
|---------------------|--------|---|--------------------------------|--------------------|----------------|
| Pre-Solvent Blended | 90 °C  | $2.5 \times 10^{-6} \pm 2.9 \times 10^{-7}$ | $3.91 \times 10^{-7}$          | $14.7 \pm 4.1$     | $1.2 \pm 0.3$  |
|                     | 170 °C | $3.9 \times 10^{-6} \pm 1.2 \times 10^{-6}$ | $4.88 \times 10^{-7}$          | $25.0 \pm 12.3$    | $1.8 \pm 0.3$  |
|                     | P2     | $3.8 \times 10^{-5} \pm 8.4 \times 10^{-7}$ | $1.52 \times 10^{-6}$          | $20.7 \pm 0.8$     | $2.7 \pm 0.03$ |
|                     | P9     | $7.3 \times 10^{-5} \pm 1.1 \times 10^{-6}$ | $2.11 \times 10^{-6}$          | $20.5 \pm 0.5$     | $3.1 \pm 0.02$ |
|                     | Ribbon | $9.7 \times 10^{-5} \pm 2.2 \times 10^{-6}$ | $2.44 \times 10^{-6}$          | $25.5 \pm 0.9$     | $3.3 \pm 0.02$ |
| From Mixed Powders  | P2     | $3.2 \times 10^{-5} \pm 3.8 \times 10^{-7}$ | $1.40 \times 10^{-6}$          | $14.9 \pm 0.4$     | $2.6 \pm 0.03$ |
|                     | P9     | $3.0 \times 10^{-5} \pm 3.9 \times 10^{-7}$ | $1.35 \times 10^{-6}$          | $15.6 \pm 0.5$     | $2.5 \pm 0.03$ |
|                     | Ribbon | $2.4 \times 10^{-5} \pm 7.6 \times 10^{-7}$ | $1.21 \times 10^{-6}$          | $14.9 \pm 1.2$     | $2.4 \pm 0.07$ |

**Table 2.** SANS fitting parameters (DAB model) for the  $q$  range  $0.009 - 0.2545 \text{ \AA}^{-1}$ .  $C_{DB}$  is the scaling factor,  $\Delta\rho$  is the contrast between the two phases,  $L$  is the average distance between the two phases and  $m$  is the slope of the SANS data in their linear region extracted using the power law model ( $I(q) \propto q^{-m}$ ).

A more quantitative approach to interpreting the SANS data is to model-fit it over its full range ( $q = 0.009 - 0.2545 \text{ \AA}^{-1}$ ). The model we have chosen to use for this is the Debye-Bueche (DB), also called the Debye-Anderson-Brumberger (DAB), model<sup>44, 45</sup> (Equation 1). This model calculates the scattering from a randomly distributed, two-phase system that is characterized by a single length scale - the correlation length,  $L$  - which is a measure of the average spacing between regions of the two different phases (1 and 2). Crucially, this model makes no assumptions about the underlying morphology of the sample. The DAB function has the form

$$I(q) = C_{DB} \frac{L^3}{(1+(qL)^2)^2} + \text{background} \quad (1)$$

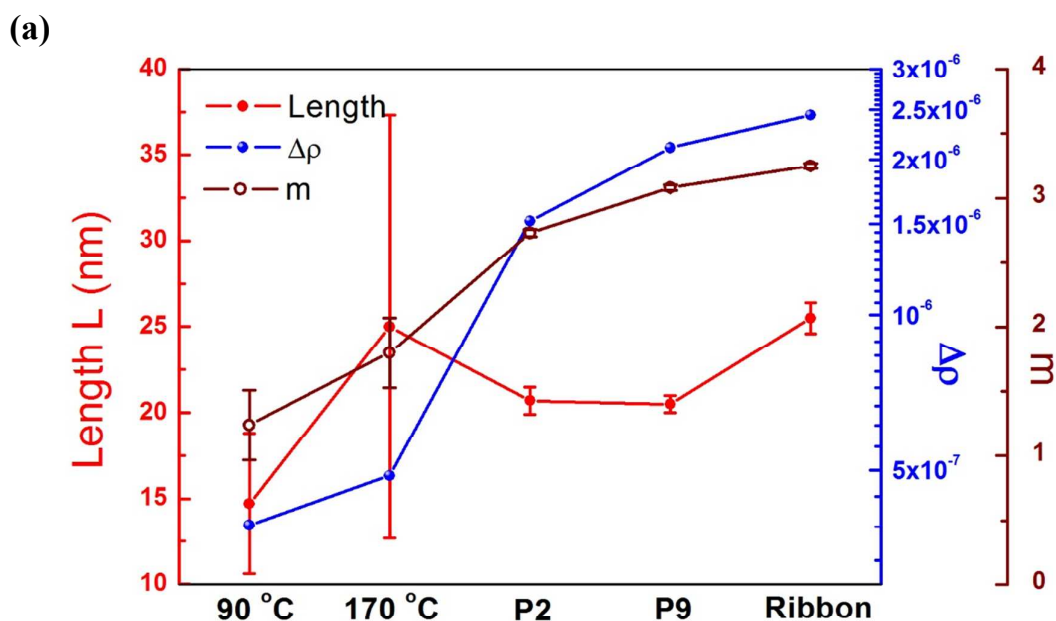
where the pre-factor  $C_{DB} = 8\pi K(\Delta\rho)^2 \phi_1 \phi_2$ , where  $\Delta\rho$  is the neutron scattering length density

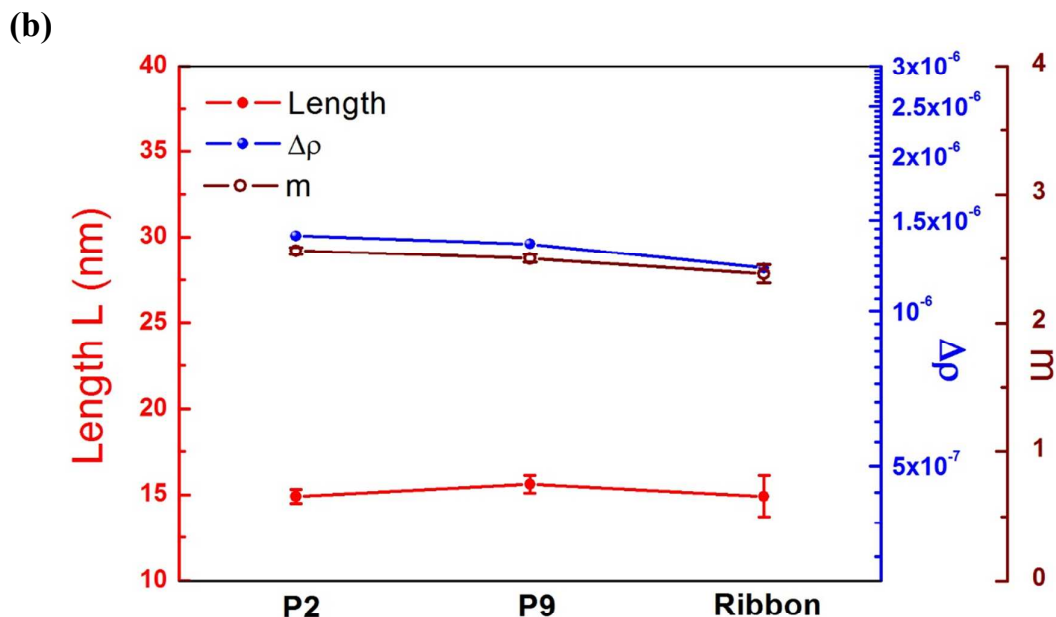


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3 difference between the phases having volume fractions of  $\phi_1$  and  $\phi_2$  and  $K$  is a scalar to  
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5 convert the units of  $L^{-1}$  to those of  $I(q)$  (i.e.  $\text{cm}^{-1}$ ).  
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7

8 As shown in Figure 4, the DAB model (solid lines) gives a good description of the data.  
9  
10 We have not fitted the data for the sample of mixed powders compression molded at 170 °C  
11 (sample “mixed powders – initial” in Figure S.I.2 in Supporting Information) and for the  
12 extruded ribbon of pure PS because neither of these samples conforms to the physics of the  
13 DAB model. The small amount of residual low- $q$  scattering that is evident in these two  
14 samples results most likely from some large-scale heterogeneities (e.g., voids).  
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22 The values obtained from the fitting for  $C_{DB}$  and  $L$  using Equation 1 are shown in Table 2.  
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24 The values of  $\Delta\rho$  calculated from the respective  $C_{DB}$  values are also included. All these  
25 values are depicted graphically in Figure 5.  
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**Figure 5.** Evolution of the correlation length ( $L$ ), phase contrast ( $\Delta\rho$ ) and slope ( $m$ ) with processing for the samples prepared: (a) From pre-solvent-blended composites; (b) From mixed powders of pure PS and pure  $C_{60}$ .

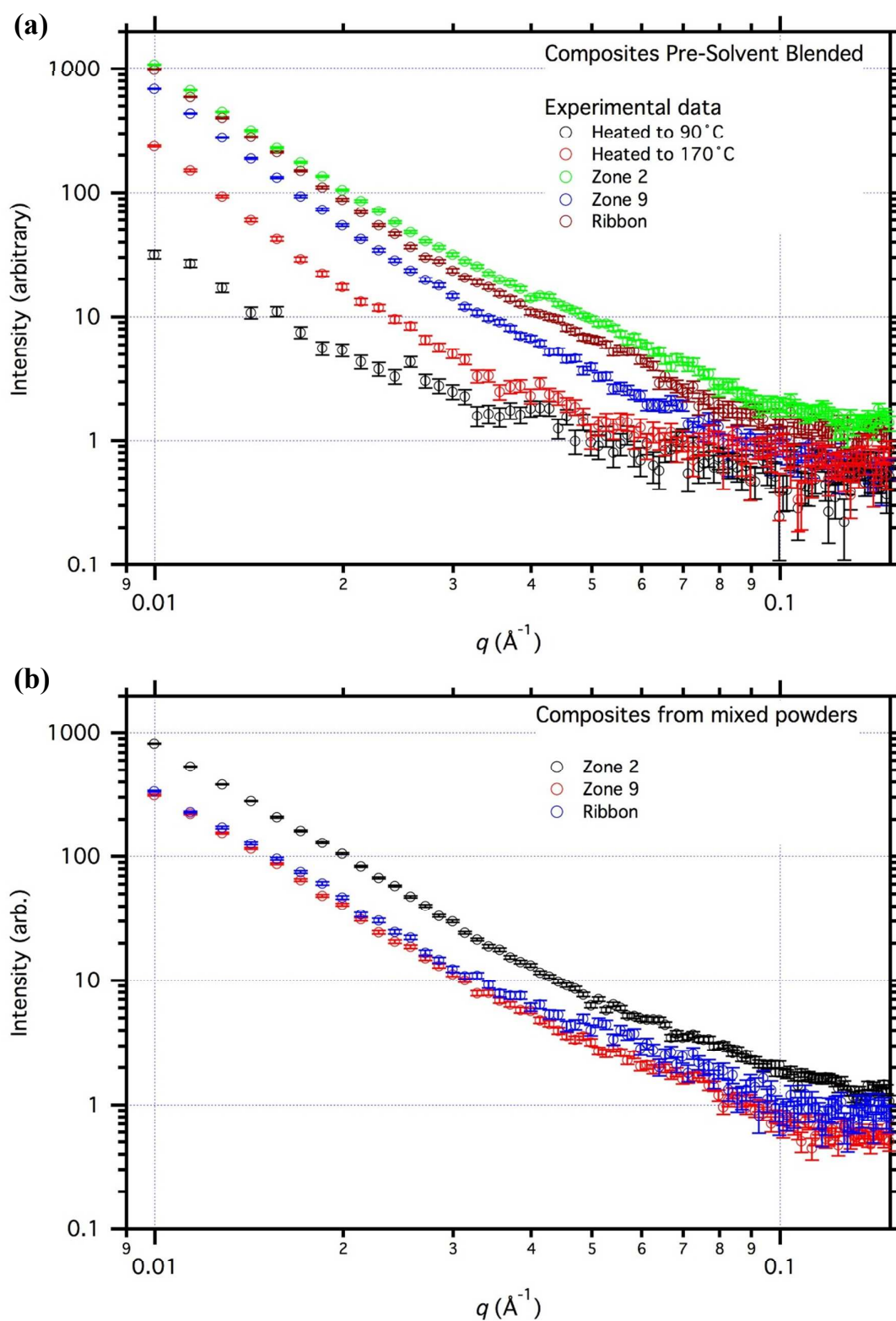
According to the values in Figure 5(a) and in Table 2, in the case of the samples prepared from solvent-processed blends, there is some increase in correlation length of the phase domains along the barrel of the extruder which indicates the occurrence of some phase domain coarsening. However, the most striking feature of these samples is the  $\sim 1$  order of magnitude increase in the scattering length density difference between the two phases ( $\Delta\rho$ ), from  $3.91 \times 10^{-7} \text{ \AA}^{-2}$  in the initial sample to  $2.44 \times 10^{-6} \text{ \AA}^{-2}$  in the extruded ribbon, which clearly indicates that during processing the phases become purer due to  $C_{60}$  re-agglomeration. In the extreme situation of having pure phases of PS and pure phases of  $C_{60}$  the corresponding  $\Delta\rho$  would be  $4.19 \times 10^{-6} \text{ \AA}^{-2}$ .

According to Figure 5(b) and Table 2, in the case of composite samples prepared from powder mixtures of pure  $C_{60}$  and PS, the correlation length between the two phases remains

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3 approximately constant ( $\sim 15$  nm) from sample P2 to the extruded ribbon and the phase  
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5 contrast decreases slightly suggesting only a slight improvement in the quality of the nano-  
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7 dispersion.  
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10 In summary, therefore, our SANS results show that the major changes in the nano-  
11 morphology of the PS- $C_{60}$  composites occur in the early stages of the extrusion process,  
12 between the hopper and sampling port #2. This is in accordance with observations reported  
13 for other polymer-based systems, such as polymer blends and composites containing layered  
14 silicates, carbon nanotubes or graphene derivatives. As the material reaches the first kneading  
15 zone of the screw, it melts as a result of a combination of conducted and dissipated heat.  
16 Since melt temperatures are still low, hydrodynamic stresses are high, favouring dispersion.  
17 Moreover, flow through kneading disks is complex, promoting distribution. Furthermore,  
18 according to our SANS results, in the case of composites prepared from the pre-solvent blend  
19 the main morphological evolution that occurs along the extruder is a continuous re-  
20 agglomeration of the initially molecularly dispersed  $C_{60}$  into purer phase domains. In the case  
21 of composites prepared from mixed powders the main morphological evolution is an initial  
22 abrupt increase in the degree of dispersion up to sampling location P2, followed by a much  
23 less visible morphological evolution from P2 to the die exit.  
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42 Figure 6 illustrates the SAXS data for the same samples as in Figure 4, namely in Figure  
43 6(a) for the pre-solvent blended composites heated to 90 °C, 170°C and also collected from  
44 P2, P9 and extruded ribbon, and in Figure 6(b) for the composites compounded from mixed  
45 powders and collected at P2, P9 and extruded ribbon. Again, data in the  $q$  range 0.009 – 0.025  
46  $\text{\AA}^{-1}$  was fitted with a linear power law and obtained values of  $m$ . These are plotted in Figure  
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**Figure 6.** Small angle X-ray scattering of extruded PS and PS-C<sub>60</sub> nanocomposites: (a) Pre-solvent blended; (b) Prepared from mixed powders of PS and C<sub>60</sub>.

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3 As seen, in both Figures 6(a) and 6(b) as well as in Figure S.I.5. in Supporting  
4 Information, the SAXS data show trends very similar to those observed in the corresponding  
5 SANS data, namely: (a) in the case of pre-solvent blended composites  $m$  increases  
6 progressively from  $m=2.5$  in the sample heated to 90 °C to  $m=3.4$  in the extruded ribbon; (b)  
7 in the case of composites from mixed powders  $m$  changes only very little between sampling  
8 location P2 and the extruded ribbon, specifically a small decrease is observed from  $m=3.0$  in  
9 location P2 to  $m=2.9$  in the extruded ribbon. These observations corroborate the previous  
10 SANS results showing that: (a) in the case of samples prepared from pre-solvent processed  
11 blends, PS-C<sub>60</sub> interfaces become progressively sharper as the material progresses along the  
12 screw which points strongly to the occurrence of C<sub>60</sub> re-agglomeration; (b) in the case of  
13 samples prepared from mixed powders, there is a slight improvement in mixing from  
14 sampling location P2 to the extruded ribbon with the PS-C<sub>60</sub> interfaces becoming slightly less  
15 sharp.

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32 The 1D WAXS patterns for the two samples prepared from the two different feeding  
33 formulations and collected from sampling port #P9 are shown in Figure S.I.6. in Supporting  
34 Information. In both samples the two broad amorphous halos with maxima at  $q = 0.75 \text{ \AA}^{-1}$   
35 and  $q = 1.35 \text{ \AA}^{-1}$  are due to the amorphous polystyrene. For mixed powders, at location P9 a  
36 crystalline C<sub>60</sub> peak is clearly visible at  $q = 0.77 \text{ \AA}^{-1}$  as well as two small peaks at  $q = 1.26$   
37 and  $1.48 \text{ \AA}^{-1}$ <sup>34</sup>. These peaks, which are not visible in the pre-solvent processed sample, are  
38 due to the presence of crystalline C<sub>60</sub> aggregates<sup>21, 34</sup>. These results reinforce those obtained  
39 by O.M. and SESANS showing that extruded samples processed from mixed powders retain  
40 crystalline C<sub>60</sub> aggregates while the samples processed from pre-solvent blend either do not  
41 have any crystalline C<sub>60</sub> aggregates or have them in such a low quantity that they are below  
42 the detection limit of the WAXS technique.

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57 To investigate this morphological evolution further, we have performed some  
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3 Transmission Electron Microscopy (TEM) analysis of the samples collected from P2 and P9  
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5 for both the composites prepared from mixed powders and from pre-solvent blend. Figures  
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7 S.I.7 and S.I.8 in Supporting Information show some representative TEM images. The TEM  
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9 images show that in the case of composites processed from mixed powders the size and  
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11 number of aggregates decreases along the extruder and in the case of composites processed  
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13 from the solvent blend the size and number of the aggregates increases along the extruder, a  
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15 finding in good qualitative agreement with our SANS data.  
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19 Before proceeding further, we believe it is important to make a few comments about the  
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21 complementarity of some of the different direct measurement techniques for assessing  
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23 nanocomposite morphology. Whilst TEM is arguably more accessible than neutron scattering,  
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25 it nonetheless has some drawbacks, particularly for studying polymer composites. First, there  
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27 is the issue of the representative nature of the sampling process: TEM is subjective in the  
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29 sense that the operator must search the sample for regions of interest, and those regions of  
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31 interest must be at, or near to, the surface of the sample. In contrast SANS is a bulk sampling  
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33 technique: the beam is essentially the same size as the sample and the weak neutron-nucleus  
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35 interaction conveys depth penetration. In the present work we have used a 10 mm diameter  
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37 neutron beam, meaning the illuminated area  $\sim 78 \text{ mm}^2$  ( $= 78,000,000 \text{ }\mu\text{m}^2$ ). However, in the  
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39 TEM pictures shown in the Supporting Information the maximum area probed in a single  
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41 picture (Figure S.I.7.(a)) is only  $\sim 60 \text{ }\mu\text{m}^2$ . SAXS suffers from a similar limitation to TEM in  
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43 this respect. Second, to achieve any penetration into a sample with electron or X-ray beams it  
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45 is necessary to use beams of high energy (many keV), energies that are way beyond covalent  
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47 bond energies meaning that there is a significant possibility of radiation-induced damage in  
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49 the sample. The ‘cold’ neutrons used in SANS, on the other hand, have energies of just a few  
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51 meV, meaning SANS is a genuinely non-destructive technique. Lastly, there is the issue of  
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53 phase contrast. Electrons and X-rays interact with atomic electrons meaning that the greatest  
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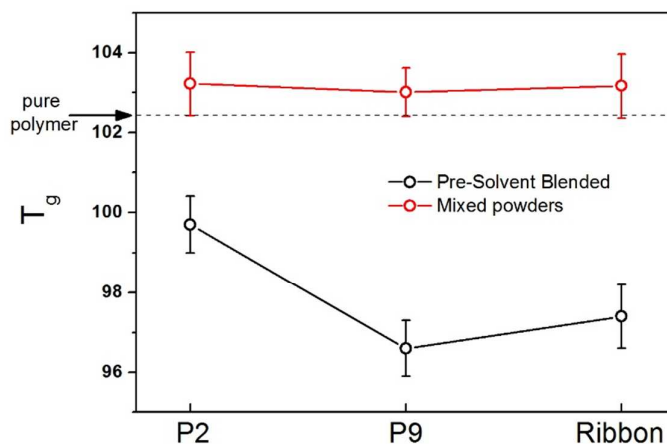
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3 contrast is obtained between elements of significantly different atomic number. In our  
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5 samples we have carbon dispersed in a matrix of carbon and hydrogen. This means that, for  
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7 example, in the TEM pictures we have provided in the Supporting Information, there is no  
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9 reliable way of knowing if what we see are aggregates of C<sub>60</sub> in a matrix of pure PS or  
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11 regions rich in C<sub>60</sub> in a soup of PS with some very well molecularly dispersed C<sub>60</sub>. In SANS,  
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13 however, the phase contrast also stems from the neutron-nucleus interaction and can be very  
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15 different between nuclei of similar atomic number, as it indeed is between carbon and  
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17 hydrogen. Thus, whilst our neutron measurements do not provide the same visually-intuitive  
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19 picture of a sample that TEM does, they are sensitive to structural information that TEM  
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21 simply is not.  
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26 In Figure 7 we show the DSC data, where we plot the variation of the glass transition  
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28 temperature  $T_g$  with processing. The  $T_g$  of the pure polystyrene is ~102.4 °C. In the case of  
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30 composites processed from mixed powders of C<sub>60</sub> and PS we observe that the corresponding  
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32  $T_g$  increases to ~103.2 °C at location P2 and then remains approximately unaltered at P9 and  
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34 in the extruded ribbon. Based on previous work<sup>19, 32, 34</sup> this ~0.8 °C increase in  $T_g$  may be  
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36 explained by the occurrence of some molecular dispersion of C<sub>60</sub> in the PS matrix and  
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38 corroborates our SANS results. It also shows that this molecular dispersion principally occurs  
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40 in the first part of the extruder, i.e. between the hopper and sampling location P2. In the case  
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42 of the samples extruded using pre-solvent blended composites we note that the  $T_g$  of the  
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44 initial solvent blended powder was ~100°C, i.e. lower than the  $T_g$  of the pure polymer. This  
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46 most likely results from the fact that the solvent-blended composite still contained some  
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48 traces of solvent trapped inside the material in spite of the drying under vacuum at 100 °C for  
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50 several hours. In order to probe for the presence of trapped-solvent we have performed some  
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52 thermogravimetric analysis (TGA) of the solvent-blended composites (Figure S.I.9. in  
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54 Supporting Information) which showed no clear evidence of its presence, within the  
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3 resolution of the technique. However, we did observe contamination on surface of solvent  
4 processed samples caused by the electron beam (Figure S.I.10). This well-known surface  
5 contamination is electron beam induced deposition of excess volatile carbonaceous species.  
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These observations strongly suggest that trapped-solvent is present in very small amounts, although enough to decrease the  $T_g$  of the system by several degrees Celsius.

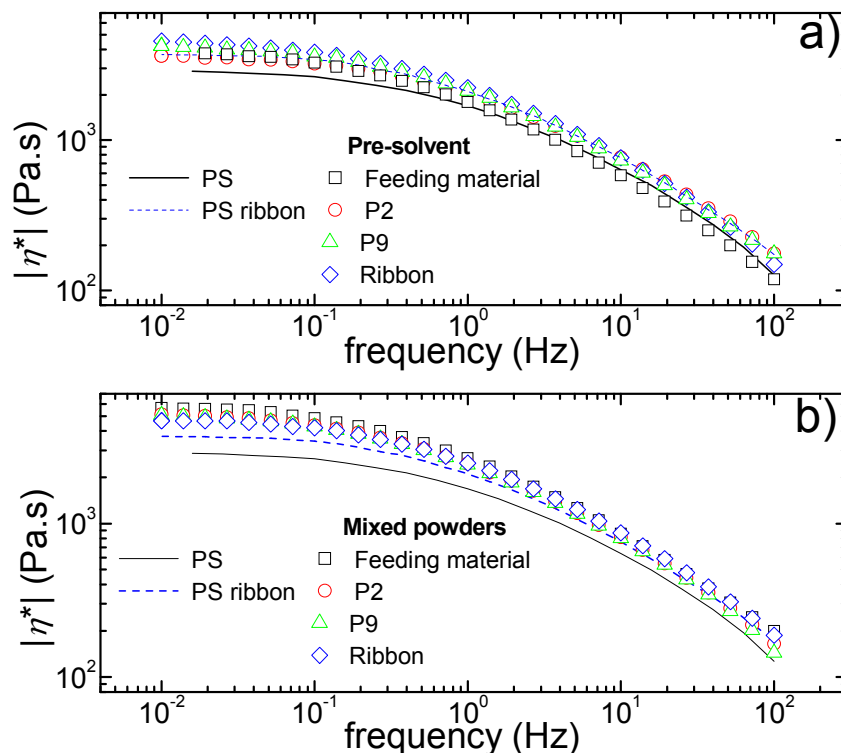
The most interesting feature of the  $T_g$  of the solvent-blended composites, is that it drops from  $\sim 99.7$  °C to  $\sim 96.6$  °C respectively at P2 and P9 and then it remains approximately constant between P9 and the extruded ribbon. Based also on previous work<sup>19, 32, 34</sup>, this decrease in  $T_g$  along the extruder is most likely due to the fact that  $C_{60}$  is leaving the PS matrix and re-agglomerating, as also supported by our SANS results.



**Figure 7.** Variation of the glass transition temperature ( $T_g$ ) along processing.  $T_g$  was determined using the extrapolated half- $C_p$  method. Associated error bars are typically  $< \pm 1$  °C.

Figure 8 shows the mechanical spectra of the PS matrix and the composites obtained when processing the pre-solvent blended composites (a) or the mixed powders (b).





**Figure 8.** Frequency dependence of the dynamic viscosity  $|\eta^*|$  for the matrix (lines), the solvent prepared composite (a) and the mixed powders composite (b) all measured at different locations along the extruder.

Rheological data of PS samples collected along the extruder first reveal that the PS matrix is sensitive to processing. The PS ribbon spectrum is shifted to larger viscosity values for all frequencies with respect to the PS powder sample. Thermo-oxidative crosslinking reported recently for a PS nanocomposite<sup>46</sup> could explain the increase in the zero shear viscosity of PS ribbon samples. This degradation process is further supported by the rheological data presented in Figure S.I.11. (in Supporting Information), where a gradual increase in the zero shear viscosity of PS samples collected along the extrusion line relates with the increasing residence time of each sample in the extruder.

Although entanglements in the PS matrix are evident in the master curve (see Figure S.I.12. in Supporting Information which shows a rubbery plateau prior to the glass transition regime), no reduction in the zero shear viscosity of the PS nanocomposite produced with the

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3 solvent method is seen in Figure 8(a). Thus the non-Einstein behavior reported elsewhere for  
4 a similar system <sup>16, 47</sup> and for other well dispersed polymer nanocomposites <sup>46, 48</sup> is not  
5 reproduced here. The C<sub>60</sub> dispersion quality required to produce a viscosity reduction is not  
6 met in the powder sample produced with the solvent method. Indeed SANS indicated that the  
7 minimum distance between aggregates is of the order of 15 nm in this sample, which is much  
8 larger than the size of the PS chain. This poor molecular dispersion of C<sub>60</sub> therefore explains  
9 the reinforcement of the PS matrix mirrored in the enhanced viscoelasticity for all  
10 frequencies.

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21 The melt processing of the solvent based PS composite is accompanied with an increase in  
22 the dynamic viscosity. Indeed, the progressive increase from P2 to P9 does partially correlate  
23 with the viscosity increase of the thermally degraded matrix, as demonstrated by the constant  
24 viscosity ratio between composite and matrix which nearly matches an Einstein like value  
25 between P2 and P9 (see Figure S.I.13. in Supporting Information).

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33 However, the breakdown of Einstein like behavior for the powder sample is concomitant  
34 with the presence of structural heterogeneities below 20 nm in the solvent based PS  
35 composite which impedes the interpretation of the rheological data using classical continuum  
36 theories <sup>11</sup>. Overall, rheological changes along the processing of the solvent based feeding  
37 formulation are in harmony with the re-agglomeration process (SANS and TEM data both  
38 showing a coarsening of the structure along the extruder) which progressively leads to an  
39 Einstein-like reinforcement of the PS matrix.

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49 The situation is changed for the mixed powders as the Newtonian viscosity in Figure 8(b)  
50 shows a monotonic decrease along the extruder. In contrast to this, the high frequency regime  
51 of the dynamic viscosity is not sensitive to the residence time in the extruder nor to the  
52 progressive structural rearrangement. Thus, the process-induced reduction in C<sub>60</sub> aggregates  
53 size does not impact on the entanglement plateau, whereas it accelerates the terminal  
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3 relaxation time of the PS matrix. Similar effects were reported in well dispersed PS  
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5 nanocomposites containing dendritic polyethylene particles <sup>46</sup> or PS nanoparticles <sup>49</sup>.  
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7 Confinement of PS chain together with additional free volume brought by the nanoparticles  
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9 were called for explaining the non-classical viscosity reduction in the latter system, whereas  
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11 such effects were ruled out for the former polymer nanocomposite. Although local chain  
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13 confinement cannot be excluded (the SANS analysis suggests an aggregate-aggregate  
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15 distance of the order of 15 nm), the existence of large aggregates in microscopic imaging  
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17 supports the larger viscosity and slower dynamics of the mixed powders when compared to  
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19 the neat PS.  
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23 Rationalizing the zero shear viscosities of the composites and the PS matrix shows that  
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25 after P9, no evolution is observed in the rheology of the processed mixed powders. This is in  
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27 contrast to the viscosity increase from P9 to the extrudate observed with the solvent route,  
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29 which eventually results in a close matching between the rheological properties of the two  
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31 extrudates (see Figure S.I.14. in Supporting Information).  
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35 Thus, this rheological similarity suggests a dynamically equivalent macroscopic  
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37 morphology for the two types of composite extrudates. However, the routes for achieving  
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39 similar viscosity levels are different, as the re-agglomeration of the solvent based feeding  
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41 formulation opposes to the break-up/erosion of aggregates and molecular dispersion of C<sub>60</sub> in  
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43 the composites from mixed powders.  
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47 The relative sizes of PS chains and aggregates, the dynamics of the polymer close to the  
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49 aggregates, the interfacial tensions, the aggregates-aggregates interactions and C<sub>60</sub>-PS  
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51 interactions all contribute in a complex fashion to the rheology of these composites. Because  
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53 the interplay between rheology and all these ingredients is far from being understood even in  
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55 model systems <sup>50</sup>, the rheological data collected in Figure 8 essentially indicate that for the  
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3 present PS-C<sub>60</sub> composite, the re-agglomeration process gives way to an increase in the  
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5 dynamic viscosity, whereas the structural sizing down corresponds to reduced viscosity.  
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#### 8 9 **4. Conclusions**

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12 In this work we studied the effect of the initial feeding formulation on the morphology  
13 evolution of PS-C<sub>60</sub> nanocomposites along the axis of a twin screw extruder. Two markedly  
14 different initial feed formulations comprising 1 wt% C<sub>60</sub> were used, namely: (a) a simple  
15 mechanical mixture of PS and C<sub>60</sub> powders and (b) a PS-C<sub>60</sub> composite prepared by solvent  
16 blending. The study was carried out by performing sequential sampling along the extruder  
17 and by characterizing the corresponding degrees of dispersion. A number of experimental  
18 techniques were used to probe the dispersion levels at different length scales, namely: optical  
19 microscopy, spin-echo small angle neutron scattering (SESANS), small angle neutron  
20 scattering (SANS), small angle x-ray scattering (SAXS) and wide-angle x-ray scattering  
21 (WAXS). These are complemented by differential scanning calorimetry (DSC) and  
22 rheological measurements.  
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37 According to our results, the vastly different morphologies of the initial feeding  
38 formulations (situation A for mixed powders and situation D for the solvent blend, in Figure  
39 1) converge along the extruder into a similar final nanomorphology (situation C in Figure 1)  
40 which strongly suggests that the final morphology is mainly dictated by the coupling between  
41 thermodynamics and flow leading to a preferred steady state of the system which is  
42 independent of the initial conditions.  
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51 Furthermore, this work strongly suggests that starting from a perfectly solvent-blended  
52 mixed system and promoting its re-agglomeration along the extruder might be a good  
53 strategy to prepare nanocomposites when some level of particle aggregation is advantageous  
54 to specific applications<sup>10, 11</sup>.  
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## Author Contributions

G.B., J.A.C. and L.H. originated the initial experimental and overall motivation for the work. L.F. prepared the solvent-blended composite. H.G. and P.T. performed the extrusion experiments. R.S. undertook the optical microscopy characterization. W.G.B. and S.R.P. conducted the Spin-Echo-SANS characterization. G.B., S.M.K., A.J.P. and N.C. performed the SANS measurements and analysis. M.W. and N.C. carried out the SAXS measurements and analysis. A.J.P. undertook the WAXS analysis. G.B. and H.G. performed the DSC characterization. L.H., P.T. and H.G. performed the rheological characterization. C.J.H. undertook the TEM analysis. K.J.A. carried out the STEM characterization. G.B. performed the TGA study. G.B. wrote the initial draft of the manuscript with inputs from all the authors and subsequently the draft was revised by all the authors.

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17 **A Journey Along the Extruder with Polystyrene:C<sub>60</sub> Nanocomposites: Convergence of**  
18 **Feeding Formulations into a Similar Nano-Morphology**  
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