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# Evaluation of determinants of walking fitness in patients attending cardiac rehabilitation

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## ABSTRACT

**Aim:** This study aims to investigate the ability of patients' baseline characteristics to predict the distance walked during the incremental shuttle walk test (ISWT) in the cardiac rehabilitation (CR) population and to produce reference values to guide practice.

**Methods:** Secondary analysis was conducted on National Audit Cardiac Rehabilitation data collected between 2010 and 2015. Patients (n=8863) were included if they were aged  $\geq 18$  years and had a recorded ISWT score assessed before starting CR. Stepwise regression was used to identify factors predicting the ISWT distance. Age, gender, body mass index, height, weight; presence of hypertension, dyslipidemia or diabetes; smoking and physical activity were independent variables. ISWT distance was the dependent variable. The 25th, 50th and 75th percentiles of the ISWT distance were used as reference values.

**Results:** Age and gender explained 27% of the variance of the distance covered in the ISWT ( $R^2=0.27$ , adjusted  $R^2=0.27$ , Standard Error of the Estimate (SEE) = 148.7,  $p<0.001$ ). Reference values using age and gender categories were developed.

**Conclusion:** Age and gender were the significant factors for predicting the walking fitness in the CR population, with age being the best predictor. The age and gender reference values produced represent a potentially valuable tool to be used in the clinical setting.

## INTRODUCTION

Assessing physical fitness at baseline and end of programme in patients attending cardiac rehabilitation (CR) is strongly recommended in clinical guidelines and national standards.<sup>1–3</sup> Assessing a patient's fitness level at the beginning of the programme enables the appropriate intensity of exercise to be prescribed, determines the level of supervision and monitoring required and allows for the assessment of the effectiveness of the intervention.<sup>3–4</sup>

Using laboratory maximal tests on treadmills or cycle ergometers to assess cardiorespiratory fitness by directly measuring the maximal oxygen uptake ( $VO_2$

max or  $VO_2$  peak) is regarded as the gold standard. However, these tests are not widely available.<sup>1–5</sup> Compared with the gold standard methods, functional fitness walk tests are simple and safe to use and are a reasonable surrogate measure of fitness.<sup>6</sup> In the UK, the most commonly used field test in CR and chronic obstructive pulmonary disease patients is the incremental shuttle walk test (ISWT), which is an objective test widely used in clinical settings to assess the extent of physical fitness.<sup>5–6</sup> This type of test is shown to be *reliable and* strongly correlated with the cardiopulmonary exercise test (CPET).<sup>7–8</sup> The ISWT is a submaximal, incremental, externally paced test that evaluates physical fitness based on the distance covered during the assessment.<sup>6–8</sup> The recommended protocol is a 20 min test followed by a 30 min rest period before the test is repeated. The best outcome of the two tests is then recorded. However, in routine clinical practice, the ISWT is generally performed just once despite emerging research suggesting that the learning effect may influence the distance achieved as evidenced through a second baseline test.<sup>9</sup> Most CR programmes struggle to carry out even a single baseline fitness test,<sup>10</sup> which makes undertaking a second test unrealistic. To date, very few studies have tried to establish reference values as a comparison with the first ISWT attempt, and where it has been attempted, the sample size has been insufficient within the proposed categories.<sup>11–12</sup>

Healthy individuals have been shown to walk double the distance of patients with cardiac disease during the ISWT (600–800 m vs 300–400 m, respectively).<sup>11</sup> Predicting the distance covered during the ISWT has been attempted in several studies in healthy populations<sup>13–17</sup>; however, to date, only two studies have been published using a CR population.<sup>11–12</sup> These two studies conducted by Pepera et al (2013) and



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Cardoso *et al* (2016) explained 20% and 25%, respectively, of the variance in distance walked, and the latter study attempted to produce reference values for CR patients. Age, height, body mass index (BMI) and the presence of diabetes were found to be significant predictors in the study by Cardoso *et al* while Pepera *et al* found only height and BMI to be significant. However, the limited number of female participants and the small number of centres used limit the generalisability and clinical usefulness of these results. The need for reference values remains important as they could help reduce or even eliminate the need to do the ISWT a second time and could be used as feedback for patients in respect of their level of fitness.

The aims of this study were (1) to identify any additional important predictors, beyond age and gender, of the distance covered during the ISWT at baseline, (2) to produce reference values for the distance walked by CR patients during the ISWT and (3) to propose an approach for benchmarking performance following the test. This information has the potential to be used to guide clinical practitioners' expectations of the patients' performance in an ISWT and help them set realistic CR goals.

## METHODS

This observational study was reported according to the Strengthening the Reporting of Observational Studies in Epidemiology.<sup>18</sup>

### Data collection

Secondary analysis was conducted using anonymised individual patient data from the National Audit Cardiac Rehabilitation (NACR) database from 2010 to 2015. NACR is a national quality-assurance project which is designed to ensure that the optimum CR outcomes are achieved with patients with cardiovascular disease and that the CR programmes follow good practice as defined by the clinical minimum standards.<sup>10 19</sup> The NACR data are collected under 251 approval by National Health Service Digital. The data are entered by the centres themselves into the NACR database. This database includes information concerning the patients' demographic and anthropometric details, initial event, risk factors, treatment, medications, physical activity status and clinical outcomes following CR.<sup>10</sup>

Patients were included in the analysis if they were adults (>18 years); were postmyocardial infarction, coronary artery bypass grafting, percutaneous coronary intervention or valve surgery patients; had already been assessed at baseline before starting CR; had undertaken the ISWT and hence their fitness had been assessed and their age, gender, height, weight, BMI and comorbidities had also been recorded.

### Incremental shuttle walk test

In this test, the patient walks a 10 metre course, known as a shuttle. The patient walks continuously back and forth between two cones and an audio signal dictates the walking speed. The test has 12 levels, at each level the number of shuttles the patient is required to walk increases. The test ends when the patient completes the 12 levels, achieves 80% of the maximum heart rate ( $HR_{max} = 220 - \text{age}$ ), rate perceived exertion (RPE) is more than 15, is no longer able to keep up with the audio signal, or is too breathless. When the patient finishes the test, the number of shuttles, and hence the distance covered, is recorded.<sup>20 21</sup>

### Statistical analysis

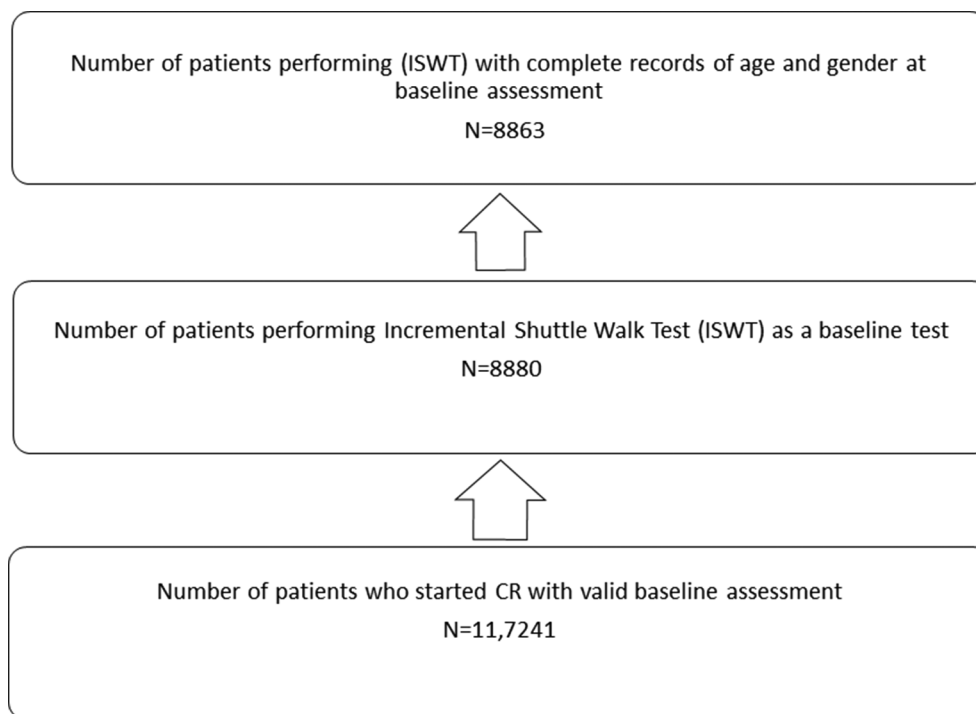
Data were presented as mean and standard deviation (SD). An independent t-test was used to determine the differences between men and women at baseline. Pearson's correlation was used to study the relationship between outcome and the potential predicted variables. By accounting for age, the difference in the distance walked between men and women was assessed using two-way ANOVA. Stepwise multiple regression analysis was used to investigate which factors predict ISWT distance. Age, gender, BMI, height and weight; presence of hypertension, dyslipidemia or diabetes; smoking (yes, no) and physical activity level at baseline based on meeting the 150 min/week recommendation (yes, no) were entered into the regression as independent variables. The key outcome was the distance covered in metres during the ISWT. Prior to the analysis, it was decided to consider only variables which explained more than 5% of the variance. The assumptions of the regression model were checked and there were no violations.

The 25th, 50th and 75th percentiles of the distance walked were produced to provide reference values. Data were analysed using Statistical Package for Social Science V.23. A p value <0.05 is considered to be significant.

## RESULTS

The study population comprised patients from 48 centres in the UK who had undertaken the ISWT as a baseline assessment (figure 1). Of the 8863 patients, 6893 (77.78%) were male and 1970 (22.22%) were female.

The patient demographics and the baseline characteristics are summarised in table 1. The mean age of the group was  $63.26 \pm 11.09$  years and ranged from 20 to 99 years; the mean BMI was  $27.86 \pm 4.56 \text{ kg/m}^2$  (table 1). There was a significant difference between the ages of men and women ( $62.7 \pm 11$  vs  $65.11 \pm 11.24$ ,  $p < 0.001$ ), respectively. Men were also significantly taller ( $174.27 \pm 7.00$ ) than women ( $160.16 \pm 6.64$ ). The mean distance covered by the overall sample was  $358.11 \pm 174.40$  m. After taking account of age in the analysis, the men walked significantly further than women ( $384.24 \pm 175.41$  m and



**Figure 1** Patient flowchart for cardiac rehabilitation.

266.58±135.94 m,  $p<0.001$ , respectively). The most prevalent comorbidities among the participants were hypertension, dyslipidemia and diabetes. Seven per cent of the participants were smokers (table 1).

Stepwise regression analysis using age and gender explained 27% of the variance in the distance covered

during the ISWT. Age was the best predictor explaining 21% of the variance in the ISWT distance ( $r=0.455$ ,  $R^2=0.21$ , adjusted  $R^2=0.21$  SEE=155.4,  $p<0.001$ ). The strength of prediction was further improved by adding gender ( $R^2=0.27$ , adjusted  $R^2=0.27$ , SEE=148.7,  $p<0.001$ ) (table 2).

**Table 1** Patient demographics and baseline characteristics

Factor Sample size	Total sample n=(8863)	Male n=6893 (77.8%)	Female n=1970 (23.2%)
Age (years)	63.26±11.09	62.73±11	65.10±11.25
Height (cm)	171.14±9.11	174.27±7	160.16±6.64
Weight (kg)	81.80±15.51	84.78±14.4	71.40±14.8
BMI (kg/m <sup>2</sup> )	27.86±4.56	27.89±4.29	27.81±5.42
ISWT (m)*	358.11±174.40	384.24 m±175.41	266.58±135.94
Hypertension (%)	40.1	39.0	43.0
Dyslipidemia (%)	31.3	31.2	31.8
Diabetes (%)	16.0	16.2	15.5
Physical activity (%)	40.5	43.0	33.0
Smokers (%)	7.3	7.2	7.7
MI (%)	8.6	7.3	13.0
PCI (%)	60.1	60.8	57.3
CABG (%)	22.1	24.4	14.2
Valve surgery (%)	9.2	7.4	15.6

BMI, body mass index; CABG, coronary artery bypass grafting; ISWT, incremental shuttle walk test; MI, myocardial infarction; PCI, percutaneous coronary intervention.

**Table 2** Predictor variables for distance walked in the ISWT

Variable	Unstandardised coefficient (b)	95% CI	p Value
Age	−6.95	−7.23 to − 6.67	>0.001
Gender	101.18	93.70 to 108.66	>0.001
Constant	719.20	699.80 to 738.60	>0.001

ISWT, incremental shuttle walk test

The equation developed in this study to predict the ISWT distance was:

$719.2 - (6.95 \times \text{age}) + (101.18 \times \text{gender})$  (Where male=1 and female=0)

None of the following variables; BMI, height, weight, smoking, physical activity level or the presence of hypertension, dyslipidemia and diabetes made no considerable contribution as predictors to the model.

Tables 3 and 4 show the age-related reference values according to patient gender. In each age band, the distances walked by women were significantly shorter than those walked by men. There was an inverse relationship between the values of distance walked and age bands for both men and women. The youngest age group ( $\leq 44$  years) for both genders walked the farthest and the oldest age group ( $\geq 80$ ) walked the shortest distance, and this remained true for each centile value.

## DISCUSSION

In this study, the factors which best predict the distance covered in the ISWT and the reference values for this distance at the baseline of the CR assessment were produced using national level data from routine

clinical practice. The main findings of this study were that age and gender were significant predictors of the variance in distance walked during the ISWT.

The results indicated that age was the best predictor explaining 21% of the variance in the distance covered and was inversely correlated with fitness. It was reported that the direction of this correlation might be due to changes which occur in parallel with ageing such as cardiovascular responses related to a decrease in the maximal heart rate, arteriovenous oxygen difference, ejection fraction and a reduction in maximal cardiac output,<sup>22–24</sup> or the decrease in maximal oxygen uptake and a reduction in both muscle mass and muscle strength.<sup>13</sup> This 21% value is in agreement with the result reported in the study by Cardoso *et al*<sup>11</sup> in female participants, higher than the 4% reported in a previous study in healthy subjects.<sup>14</sup> This study found that gender explained 6% of the variance, which is in agreement with the study by Dourado *et al*; however, gender was reported to explain more in the study by Cardoso *et al* (11%).

The current results explained more of the variance in distance walked than that reported in previous studies in a similar CR population.<sup>11–12</sup> The study conducted

**Table 3** Centile values for total distance walked during the ISWT by female patients assessed at entry to outpatient cardiac rehabilitation

Women age band (years)*	Sample	C 25	C 50	C 75	Mean	SD
$\leq 44$	88	300	375	500	402	167
45–49	115	270	350	440	366	151
50–54	141	250	330	440	342	151
55–59	236	220	290	390	310	142
60–64	254	200	270	360	285	125
65–69	379	180	250	340	262	116
70–74	337	150	220	290	228	103
75–79	271	130	190	260	200	91
$\geq 80$	149	100	160	200	160	86

\*Age bands (years), in 5-year increments.

C, centile value for distance walked in metre; ISWT, incremental shuttle walk test.

**Table 4** Centile values for total distance walked during the ISWT by male patients assessed at entry to outpatient cardiac rehabilitation

Men Age band (years)*	Sample	C 25	C 50	C 75	Mean	SD
$\leq 44$	357	420	520	630	519	193
45–49	473	370	510	620	497	182
50–54	798	340	460	570	470	182
55–59	985	330	420	540	435	176
60–64	1085	280	380	490	391	156
65–69	1209	270	360	450	366	141
70–74	926	220	330	420	321	134
75–79	701	180	250	330	264	118
$\geq 80$	359	140	200	280	214	107

\*Age bands (years), in 5-year increments.

C, centile value for distance walked in metres; ISWT, incremental shuttle walk test

recently by Cardoso *et al* reported that age, height and the presence of diabetes explained 24% and 25% of the variance in the distance walked by female and male participants, respectively, while in men, an additional significant predictor was BMI. However, in that study, the results for the female participants were based on a very small sample of patients with diabetes ( $n=35$ ), whereas, in this study ( $n=8863$ ), the presence of diabetes did not contribute significantly to the explanation of the variance in either men or women. In the earlier study by Pepera *et al*<sup>12</sup>, height and BMI explained 20% of this variance; however, unlike in the current study, neither age nor gender were significant predictors, which might be due to the lower age range of the participants and the small sample of women.

Conversely, the results from this study showed a lower  $R^2$  value ( $R^2=0.27$ , adjusted  $R^2=0.27$ ,  $SEE=148.7$ ,  $p<0.001$ ) than that reported in previous studies where reference equations were developed to predict the distance covered during ISWT in a healthy population.<sup>13–17</sup> However, the protocol of the ISWT was extended in these studies from 12 to 15 levels to avoid the ceiling effect,<sup>13–15</sup> whereas in a typical CR patient, achieving a distance over and above the standard ISWT (12 levels) is unlikely.<sup>10</sup>

### Reference values

On the basis of the results obtained from this study, we have created reference values according to age and gender. Age was the stronger predictor and gender, in addition to its modest predictive function, is routinely used in literature to differentiate fitness values.<sup>1 11 25 26</sup> The practical implications of these reference values are to guide practitioners' expectations about how well patients might perform in an ISWT, to assist in the initial interpretation of the ISWT results to assess the need for a second test, to help patients understand their fitness level in relation to their peers and to set realistic goals to improve their physical condition as part of the CR intervention.

As mentioned previously, there is only one study which has attempted to produce ISWT reference values for the CR population.<sup>11</sup> Cardoso *et al* produced reference values for patients who joined the outpatient CR programme based on 547 patients: 132 women and 415 men. The patients were divided into age bands at 5-year intervals from 25 to 90 (13 bands) according to gender. However, the use of these reference values might be considered unrealistic due to the small number of participants, most notably fewer females, which resulted in an average number of approximately 10 participants in each band. In addition, the data were obtained from only four UK hospitals, compared with 48 CR programmes in this study, which might be considered unrepresentative of the general population with cardiac disease.

In this study, we divided the group into nine bands, seven of them at 5-year intervals. The two remaining bands, namely the first and the last band, were larger

as we found that there was no significant difference in the mean of the ISWT distance walked between the patients within each of these marginal bands regardless of age. The median distance walked by men and women in the youngest age band ( $\leq 44$  years) was 520 m and 375 m, respectively, which was more than twice the distance walked in the oldest age band ( $\geq 80$  years) at 200 m for men and 160 m for women. We used the 25th and 75th percentiles as they are a useful guide for CR practitioners to evaluate baseline score and assist with goal setting as part of core CR delivery.

The major strength of this study was the large sample, covering a wide age range in the CR population suffering from different comorbidities, which was sufficient to enable the analysis. However, the study was not without limitations. Due to the retrospective nature of the study, some significant predictors that were reported in previous studies were not found in the NACR database such as resting heart rate, rate of perceived exertion and hand strength grip, and so these were not taken into consideration.<sup>16</sup>

The normative values proposed in this article assume that the ISWT was carried out in a rigorous way but we are unable to substantiate this. The test is supported by a clinician, and the patient follows the verbal and bleep commands from the ISWT audio recording, which gives some confidence that it was delivered in a consistent manner.

### Conclusion

Age and gender were the commonly determined key factors in predicting the ISWT distance in the CR population, with age being the best predictor. The reference values produced in this study represent a valuable tool to be used in a clinical setting. These findings may assist practitioners in their initial expectations of patients' performance in the ISWT, enable them to interpret the test results to better inform patients of their fitness level and potentially aid patients in the setting of realistic CR goals in respect to their walking ability.

### Implications for practice

- ▶ Reference values in this study have the potential to be used as a tool to help guide CR practitioners' expectations of ISWT performance.
- ▶ These values can inform practitioners of the need to perform a second ISWT test.
- ▶ Reference values enable CR patients to understand their age and gender-related walking fitness level prior to starting CR, which could help set realistic goals.

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