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Research paper Comparison of ultrafast electron and X-ray diffraction – A computational study

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1. Introduction

Ultrafast laser spectroscopy has developed dramatically over the past two decades, and constitutes today a large family of techniques capable of probing fundamental transformations of matter in astonishing detail [1,2]. However, spectroscopy probes molecular rearrangements of geometry indirectly, in energy rather than in spatial coordinates, and inversion of the observed spectra often requires extensive high-level calculations. In contrast, diffraction probes molecular geometry directly. This key advantage was recognised by Ahmed Zewail, who even before his 1999 Nobel Prize was working on ultrafast electron diffraction (UED) [3] and continued to pursue it vigorously after the Prize [4,5], in the footsteps of and alongside other pioneers [6–8].

The bet on electron diffraction was clever, because it echoed a similar development in the early days of static gas-phase diffraction [9,10], where electrons came to supersede X-rays thanks to the larger scattering cross-section. However, interest in time-resolved X-ray diffraction remained [11], and new experiments suitable for time-resolved X-ray scattering in the condensed phase were developed using mechanical choppers at synchrotrons [12].

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ABSTRACT

We compare ultrafast electron and X-ray diffraction using quantum molecular dynamics simulations in photoexcited ethylene. The simulations of ethylene are done using the *ab-initio* multiconfigurational Ehrenfest (AI-MCE) approach, with electronic structure calculations at the SA3-CASSCF(2,2)/cc-ppVDZ level. The diffraction signal is calculated using the independent atom model. We find that the electron diffraction is more sensitive to the nuclear wavepacket, and the dynamics of the hydrogen atoms in particular.

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The emergence of X-ray Free-Electron Lasers (XFELs) put X-ray diffraction firmly back in the picture by offering a large increase in X-ray intensity and short pulse durations [13], making ultrafast X-ray diffraction possible both in gas [14–19] and condensed phases [20,21]. In parallel, the introduction of high-energy electron beams overcame the space-charge and velocity mismatch problems that previously hampered the time-resolution of UED [22,23].

This brings us to the current and rather promising situation, where we have two powerful ultrafast diffraction techniques, one based on electrons and one on X-rays, both capable of complementing ultrafast spectroscopy. This Letter, in recognition of Ahmed Zewail's contributions to ultrafast spectroscopy, femtochemistry, and UED, compares the electron and X-ray diffraction signals predicted for the ultrafast dynamics of photoexcited ethylene using state-of-the-art quantum molecular dynamics simulations, with the aim of exploring the complementarities of ultrafast diffraction by either electrons or X-rays.

2. Theory

The photodynamics of the excited ethylene molecule (H₂C=CH₂) is simulated using the AI-MCE quantum molecular dynamics method [24,25], which is derived from the coupled coherent states methodology [26–28]. The molecular wavefunction, $|\Psi(t)\rangle$, is





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expanded in terms of Ehrenfest wavepackets with dynamically coupled expansion coefficients $D_k(t)$,

$$|\Psi(t)\rangle = \sum_{k=1}^{N} D_k(t) |\psi_k(t)\rangle, \tag{1}$$

where each individual wavepacket, $|\psi_k(t)\rangle$, consists of a nuclear wavepacket, $|\bar{\mathbf{z}}_k(t)\rangle$, distributed across multiple electronic states, $|\phi_k^i\rangle$, with amplitudes $a_k^i(t)$,

$$|\psi_k(t)\rangle = \left[\sum_{i=1}^{N_s} a_k^i(t) |\phi_k^i\rangle\right] |\bar{\mathbf{z}}_k(t)\rangle.$$
(2)

For larger molecules and complicated dynamics, converging the expansion in Eq. (1) is challenging but sampling methods have been developed to speed up convergence [29,30]. The electronic potential energies, gradients, and nonadiabatic couplings required for the simulations are calculated *on-the-fly* at the SA3-CASSCF level using the MOLPRO electronic structure package [31]. A small but balanced CAS(2,2) active space is used together with the Dunning's cc-ppVDZ basis [25]. The Ehrenfest trajectories are initiated in the Franck-Condon region using a Wigner distribution [32], with initial population completely localised on the first excited S_1 ($\pi\pi^*$) state, and are propagated for 150 fs with a total of 1000 Ehrenfest trajectories included, resulting in a converged calculation [25].

Next we calculate the time-dependent X-ray and electron diffraction signals for the photoexcited ethylene using the independent atom model (IAM) approximation for elastic scattering with the assumption of a negligible coherence length of the probe pulse relative the time-scale of the dynamics. This results in an incoherent convolution from different molecular geometries present in the wavepacket [33]. Thus, the differential scattering signal $\mathcal{W}(\tau, q)$ [33],

$$dS(\mathbf{q}, t_p) = \alpha \int_0^\infty I_P(\tau - t_p) \, \mathcal{W}(\tau, \mathbf{q}) \, d\tau, \tag{3}$$

where $I_P(\tau)$ is the intensity profile of the probe pulse, t_p is the delay time between pump and probe pulses, and the pre-factor α provides an overall scaling of the signal which is different for electrons and X-rays, and is left out in the present comparison. The momentum transfer vector **q** is the difference between the incident and scattered wave vectors, and has amplitude $|\mathbf{q}| = (4\pi/\lambda) \sin(\theta/2)$, with θ the deflection angle and λ the (de Broglie) wavelength. In electron diffraction the conventional symbol for the momentum transfer is **s** rather than **q**, and we use the two symbols interchangeably.

Since the IAM does not distinguish between different electronic states, the signal depends only on molecular geometry,

$$\mathcal{W}(\tau, \mathbf{q}) = \langle \Psi(\tau; \bar{\mathbf{R}}) | |f_{\mathsf{IAM}}(\mathbf{q}; \bar{\mathbf{R}})|^2 | \Psi(\tau; \bar{\mathbf{R}}) \rangle_{\bar{\mathbf{R}}}, \tag{4}$$

with the integration over the nuclear coordinates $\bar{\mathbf{R}}$, amounting to a convolution of the IAM scattering over the total nuclear wavefunction [33].

The explicit form of the IAM form factor $f_{IAM}(\mathbf{q}; \mathbf{\bar{R}})$ is different for X-rays and electrons. In practice, the X-rays scatter only from the electron density, resulting in,

$$f_{\text{IAM}}^{\text{xray}}(\mathbf{q}; \bar{\mathbf{R}}) = \sum_{\alpha=1}^{N_{\text{at}}} f_{\alpha}^{0}(q) e^{i\mathbf{R}_{\alpha}\mathbf{q}},$$
(5)

where $f_{\alpha}^{0}(q)$ are the tabulated atomic form factors [34], N_{at} the number of atoms, and \mathbf{R}_{α} the position of atom α . In contrast, the electrons scatter from the total charge density. Assuming that the nuclei are point charges we obtain,



Fig. 1. Contour plot showing the probability distribution of the C—C twist angle and the degree of pyramidalisation of the carbon atoms at times t = 10, 20, 40, and 125 fs.



Fig. 2. Elastic electron scattering difference signal, $\Delta dS^{\text{elec}}(s, t)$, in percent, shown as a function of momentum transfer *s* and pump-probe delay-time *t*, calculated using Eq. (8) with rotational averaging for photoexcited ethylene. The upper figure shows the signal calculated for 1000 trajectories, while the lower shows the signal for a subset of 20 trajectories.

$$f_{\text{IAM}}^{\text{elec}}(\mathbf{s}; \bar{\mathbf{R}}) = \sum_{\alpha=1}^{N_{\text{at}}} \frac{Z_i - f_{\alpha}^0(s)}{s^2} e^{i\mathbf{R}_{\alpha}s},\tag{6}$$

where Z_i is the atomic number of each nucleus. The scattering from the electron density is included via the same atomic form factors as in X-ray scattering, and is added coherently to the scattering from the nuclei. The expression for electron scattering is quite similar to Eq. (5), apart from an overall damping factor s^{-2} . The treatment of electron scattering could be improved by compensating for failures in the Born-approximation via atomic phase-factors η_{α} and relativistic corrections (an overview is provided in Section 4.3.3.3 of Ref. [34]).

In the following, we consider rotationally averaged scattering (mean intensity) along the lines of Debye's well-known derivation [35], which results in an intensity $\langle |f_{IAM}(q)|^2 \rangle_{rot}$ that depends only on the amplitude of the momentum transfer and the distance between atoms, $R_{\alpha\beta} = |\mathbf{R}_{\alpha} - \mathbf{R}_{\beta}|$,

$$\langle |f_{\rm IAM}(q)|^2 \rangle_{\rm rot} = \sum_{\alpha=1}^{N_{\rm at}} |f^0_{\alpha}(q)|^2 + \sum_{\beta\neq\alpha}^{N_{\rm at}} f^0_{\alpha}(q) f^0_{\beta}(q) \frac{\sin\left(qR_{\alpha\beta}\right)}{qR_{\alpha\beta}},\tag{7}$$

shown here for X-ray scattering, and with an analogous expression for electron scattering. The first sum on the right-hand side is the atomic scattering term, $I_{at}(q)$, and the second is the molecular term, $I_{mol}(q)$, which contains the interference that yields structural information.

3. Results

Ethylene photodynamics has been studied extensively, both experimentally [36] and theoretically [25,37], and our AI-MCE simulations are broadly in agreement with previous simulations which exclude the contribution of Rydberg states [25,37]. Following vertical excitation into the S_1 ($\pi\pi^*$) state, the ethylene molecule

undergoes cis-trans isomerisation around the C=C bond, and decays via nonradiative decay through a twisted or pyramidalised conical intersection, or via H-atom migration to form ethylidene (CH₃CH) which then decays through a different conical intersection.

The population of S_1 remains fairly constant for the first 30 fs, at which point the molecule reaches a region where the gap between S_1 and S_0 is sufficiently small for efficient population transfer, and the population then decays exponentially with an approximate lifetime of \approx 112 fs. As can be seen in Fig. 1, the wavepacket disperses rather quickly.

The diffraction signal is given in terms of the percentage difference signal, $\Delta dS(q, t)$, defined as,

$$\Delta dS(q,t) = \gamma_{\text{excit}} \frac{dS(q,t) - dS_{\text{off}}(q)}{dS_{\text{off}}(q)},$$
(8)

where γ_{excit} is the fraction of excited molecules, dS(q, t) is the *laser-on* signal corresponding to the molecular wavefunction excited by the pump pulse, $dS_{\text{off}}(q)$ is the *laser-off* background signal, and we drop the subscript on t_P . In the calculations a Gaussian-profile probe pulse with duration 25 fs is assumed, with the q/s-range up to 14 Å⁻¹ and an excitation fraction of 9%.

The resulting diffraction signal, $\Delta dS^{\text{elec}}(s, t)$, is shown in Fig. 2a. At short times the coherent oscillation in the C–C bond-length leaves a distinct signature, while at later times the signal becomes progressively more constant as increasing dispersion and delocalisation of the nuclear wavefunction averages out specific motions. The main three factors that influence the diffraction signal are the oscillations in the C–C distance, the pyramidalisation and twist around the C–C bond, and the dispersion of the molecular wavepacket.



Fig. 3. Contour plots of the elastic scattering signal for photoexcited ethylene, plotted against the momentum transfer *s* (*q* for X-rays) and pump-probe delay-time *t*, for electron (Fig. 3a) and X-ray (Fig. 3b) scattering respectively. Fig. 3c shows the absolute difference between electrons and X-rays.

The sensitivity of the calculated scattering signal to the number of included Ehrenfest trajectories can be assessed from comparison of Fig. 2a, which shows the signal calculated for 1000 trajectories, and Fig. 2b, which is calculated using 20 trajectories. The smaller subset captures the main features of the scattering signal quite well, but underestimates the dispersion of the nuclear wavepacket at longer times, as can be seen from the comparatively sharp features present in the small set at long times, but absent from the large set. The reminder of the calculations in this Letter are done with the smaller subset in Fig. 2b. Overall, the electron diffraction signal calculated here agrees with the X-ray signal calculated previously [33].

To examine the differences between the X-ray and the electron diffraction, we calculate the absolute difference of the diffraction signals, $|\Delta dS^{\text{elec}}(s,t) - \Delta dS^{\text{xray}}(q,t)|$, with the results shown in Fig. 3c. The non-negligible difference between the two diffraction signals stems from the greater contrast (variation) in the electron diffraction signal, which ultimately can be traced to the additional contributions from the nuclei. The difference is very small in the small angle scattering, but increases at intermediate angles and remains fairly significant to 11 Å⁻¹, corresponding to comparatively high resolution. The difference also appears to increase over time, an issue that we will address below.

We proceed to demonstrate that a large part of the difference between the electron and X-ray scattering signal stems from the



Fig. 4. Contour plots of "normal" vs "modified" ethylene diffraction plotted as a function of the momentum transfer *s* (*q* for X-rays) and the pump-probe delay-time *t*. In the modified case, the contribution to the structural component of the diffraction from the H-atoms has been quenched. Fig. 4a shows the difference between normal and modified diffraction for electron diffraction, while Fig. 4b shows the same difference for X-rays.

greater sensitivity of electron scattering to nuclear motion. In ethylene, at longer times the most distinct motion is associated with the hydrogen atoms, while the carbon-carbon dynamics is strongly dispersed. Using "computational *alchemy*" we set all distances associated with the H-atoms to large values (>1000 Å), thus quenching the contribution of the H-atoms to the structural interference term (I_{mol}), while leaving their contribution to the atomic scattering (I_{at}) unaffected. We examine the effect of this modification, by plotting $\left|\Delta dS_{norm}^{elec}(s,t) - \Delta dS_{mod}^{elec}(s,t)\right|$ with the "modified" diffraction, $\Delta dS_{mod}^{elec}(s,t)$, corresponding to the ethylene signal with quenched H-atoms and the "normal" diffraction, $\Delta dS_{norm}^{elec}(s,t)$, corresponding to the regular diffraction obtained with normal distances.

The results are shown in Fig. 4. The main differences between the "modified" and the "normal" diffraction appear in the interval $2 < q < 8 \text{ Å}^{-1}$ for both electrons (Fig. 4a) and X-rays (Fig. 4b). It is clear from the two contour plots, both plotted using the same color axis, that the effect of the H-atoms on the diffraction pattern is far greater for electron diffraction. Looking at the electron diffraction in Fig. 4a there is also a comparative increase in the difference signal over time, which is largely absent in the X-ray diffraction in Fig. 4b. This leads to the conclusion that the increased difference between electron and X-ray diffraction observed at long times in Fig. 3c can be ascribed to diffraction from the small amplitude motion of the H-atoms, emphasising the sensitivity of electron diffraction to nuclear dynamics.

4. Conclusions

Our quantum molecular dynamics simulations of photoexcited ethylene and the calculated diffraction signals for X-rays and electrons show that the signals from ultrafast electron and X-ray diffraction are qualitatively similar. Although both signals carry signatures of the dominant carbon-carbon dynamics at short times, the electron diffraction is more sensitive to the dynamics of the hydrogen atoms at long times when the carbon-carbon portion of the wavepacket is strongly dispersed. This is not surprising given that the electron beam probes the nuclei directly, while in the X-ray scattering the nuclear motion is probed indirectly via the comparatively diffuse electron densities of the atoms.

One of the greatest advantages of X-rays may lie in their versatility, since they are not just capable of ultrafast X-ray diffraction but also of e.g. X-ray spectroscopy [38], inelastic Raman scattering [39], and Coulomb explosion imaging [40]. In general, a promising future direction for both electron and X-ray diffraction is the combination of energy-resolved and spatially-resolved measurements, with a recent example of this a combined photoelectron spectroscopy and ultrafast X-ray diffraction study of the ring-opening reaction in 1,3-cyclohexadiene [41].

Finally, it is worth pointing out that the independent atom model (IAM) is a simplified model of the elastic scattering. Accounting for the actual electron density of the target is known to result in differences in the elastic scattering, something which may potentially be exploited to distinguish different electronic states [42,43]. Polarisation of the electron density, for instance around hydrogen atoms, is known to require corrections beyond the IAM model [44,45,42], and the additional scattering from the nuclei afforded by electrons is useful in those situations. It would be interesting to see UED data for the ultrafast dynamics of H₂ or D₂ in the gas-phase [46], or perhaps for tunneling in photoexcited dimer-molecules [47]. Looking further into the future, one may envision the detection of electronic structure using X-ray or electron scattering [48,49,42,50].

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