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**InterFace: A software package for face image warping,
averaging and principal components analysis**

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InterFace: A software package for face image warping, averaging and principal components analysis

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Running Head: InterFace: software for face research

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Abstract

We describe InterFace, a software package for research in face recognition. The package supports image warping, re-shaping, averaging of multiple face images and morphing between faces. It also supports principal components analysis (PCA) of face images, along with tools for exploring 'face-space' as produced by PCA. The package uses a simple GUI, allowing users to perform these sophisticated image manipulations without any need for programming knowledge. The program is available for download in the form of an app, which requires that users also have access to the (freely available) MATLAB Runtime®.

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1. Introduction

Psychological research in face perception has benefitted greatly from advances in computer graphics. For example, morphing software allows us to test hypotheses about the way faces are recognised by creating high quality images which blend pictures in sophisticated ways. That technique can be employed by psychologists with a large range of interests, for example perception of identity, expression or social attributes (e.g. Beale & Keil, 1995; Calder, Young, Perrett, Etcoff & Rowland, 1996; Young et al, 1997). Other image-manipulation techniques allow us to alter images in ways which we predict will affect judgements of gender, age, race or any number of other psychologically relevant dimensions (e.g. Busey, 1998; Oosterhof & Todorov, 2008; Stewart et al, 2012; Walker & Tanaka, 2003). In short, the facility to manipulate images in well-specified ways opens up the opportunity to design perceptual experiments which were impossible in the era before widely-available graphical computers.

A second benefit of computational graphics is the ability it brings to analyse large sets of face images. Rather than generate novel pictures, some research questions can best be addressed by a statistical analysis of large sets of unmodified images – for example when asking which physical properties of faces predict consistent social attributions (Nestor, Plaut, & Behrmann, 2013; Scheucherpflug, 1999; Tredoux, 2002). Of course, these two approaches are related – if analysis of image sets throws up a statistical regularity (let us say a systematic difference between kindly and threatening faces) then it should be possible to use this to manipulate a novel set of images – perhaps rendering them more kindly or more threatening.

While these techniques have been very prevalent in the past twenty years of face processing, they are not widely available to the whole research community. Laboratories specialising in such research have typically developed in-house bespoke software, and its use normally requires programming ability. Furthermore, because labs are not typically generating software for use by others, these programs are not generally user-friendly or well-documented

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3 enough to make sharing easy, even between collaborating partners. While some
4 face-related software is commonly available (e.g. Fantamorph -
5 <http://www.fantamorph.com>; Psychomorph - Tiddeman, Burt, & Perrett, 2001),
6
7 programs tend to be highly specific in their function, or not straightforward for
8
9 novice users.
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13 In this paper we describe a software suite to support research in face perception.
14 InterFace is a program which can be used by any researcher. Its use does not
15 require knowledge of programming, and all functions are interactive, contained
16 in an easily-understood graphical user interface. The program is written in
17
18 MATLAB, but can be run across Mac and PC platforms using the freely-available
19
20 MATLAB Runtime[®]. It is freely distributed, along with a detailed manual
21
22 including many examples. InterFace has both graphical-manipulation and
23
24 statistical analysis facilities. Its main functions are:
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26

- 27
28 1. *Shape and texture re-mapping*: Any face can be warped to a different
29 shape. For example the face of one person can be manipulated to the
30 shape of a second person, or to a shape which is particularly masculine,
31 friendly or smiling etc. Faces can also be morphed together to produce
32 blends.
33
- 34 2. *Facial averaging*. Many faces can be averaged together. This can be used
35 to observe regularities in different groups – for example, an average of
36 faces which have been rated friendly might be compared to an average of
37 faces which have been rated fierce. Alternatively, many different images
38 of the same person might be combined to provide a single average
39 version of that person.
40
- 41 3. *Principal Components Analysis*. This is a technique for extracting key
42 dimensions of face images. The program delivers these dimensions for
43 further analysis, and also provides an interactive ‘reconstruction’ tool,
44 allowing users to manipulate facial components independently – a facility
45 which is useful in projects aiming to understand how different sources of
46 facial information are coded in images.
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The InterFace manual provides detailed instructions and examples. Here we will describe the approach taken in design of the software, and illustrate some of its uses at a conceptual level. We will describe its main properties, though there are many detailed features available to users of the software, and we cannot give a detailed function-by-function account here.

InterFace

Main concepts: Shape and Texture

At the heart of InterFace is a distinction between *shape* and *texture*. For many graphical techniques, as well as for many psychological hypotheses, this is an important way of segmenting an image (e.g., Beymer, 1995; Craw & Cameron, 1991; Vetter & Troje, 1995). A face image *shape* refers to the positions of a set of fiducial points corresponding to key feature locations, such as corners of eyes, mouth etc. In InterFace there are 82 points, and these are shown in Fig. 1. The program contains a graphical tool for helping users to position these points, and the specifications for their placement are defined in the user manual. InterFace requires that these points are identified for all faces.

We next consider the texture of a face. 'Texture' is a shorthand label for all the information in a face which is not carried by the position of the key (fiducial) points. This includes information about the reflectance properties, the lighting and surface information, and information due to the camera characteristics. In order to consider this information separately from shape, faces are warped to a standard shape. InterFace provides a standard shape which can be used, but users also have the option to define their own template for this. The key issue is that within any set of faces under analysis, the 'texture' of each face is defined as the image resulting from morphing the original to a standard shape. We refer to the resulting images as *shape-free faces* (Craw, 1995; Craw & Cameron, 1991). This is because shape does not discriminate between faces in the set – following

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3 the standardisation, they all have the same shape. Figure 1 illustrates the
4 separation of a particular image into its constituent shape and texture (for
5 further examples see Hancock, Burton & Bruce, 1996; Itz, Schweinberger, Schulz,
6 & Kaufmann, 2014; Schulz, Kaufmann, Walther, & Schweinberger, 2012).
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FIGURE 1 HERE PLEASE

InterFace provides a landmarking tool which allows an easy way to compute the shape and texture of an image. Figure 2 shows an example. Fiducial points are located by hand, using a mouse to align these until the user is satisfied. At this point, the user selects buttons on the graphical interface to save the shape and texture of the face. These are stored in standard directories as a text file for shape (a list of xy positions of the fiducial points) and a graphical file for the texture (the face re-shaped to the standard shape). This initial separation of a face into shape and texture forms the basis of all further operations, and we find that operators with a little experience can perform the landmarking of a face in under five minutes. The algorithm used in InterFace is bi-cubic interpolation (see Wolberg, 1998) though this is not under the control of users, who have access only to input and output images.

FIGURE 2 HERE PLEASE

Usage

1. Re-shaping a face

As described in the previous section, InterFace provides a simple tool for re-shaping a face to a standard shape. However, users also have the option to use any shape they choose. So, for example, a researcher may wish to warp one person's face to the shape of another person, a technique which has been used to study the different signals involved in perception of identity (Andrews, Baseler, Jenkins, Burton & Young, 2016; Burton, Kramer, Ritchie & Jenkins, 2016). Alternatively, one might be interested in whether social judgements can be affected by shape change (e.g. Oosterhof & Todorov, 2008). In that case, a face

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3 which viewers have rated highly trustworthy could be re-shaped to the shape of
4 someone rated untrustworthy. Another study might involve re-shaping highly
5 masculine faces to shapes of highly feminine images. InterFace allows all these
6 possibilities. Using the same tool as illustrated in Fig. 2, users can re-shape a face
7 into any shape they choose, provided that shape is stored in a text file
8 corresponding to the simple xy-coordinate structure required by the program.
9
10 Figure 3 shows some examples of faces warped to difference shapes.
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FIGURE 3 HERE PLEASE

2. Face averages

There are a number of different types of average which can be created within InterFace, each with potential use in psychological research.

2.1 Shape Averages. Since the shape of faces is coded as an ordered set of xy-coordinates, it is straightforward to compute the average of any set of these. The average fiducial points for particular sets can be useful in a number of research settings. For example, how does the average shape of a set of men differ from the average of a set of women? Such questions have previously been answered through laborious measurement (Bruce et al, 1993; Burton, Bruce & Dench, 1993). However, this software delivers the ability to compute and display shape averages very easily.

The construction of face averages is also very useful in other settings. For example, the standard shape template in InterFace was derived as the average of a large and diverse set of faces. But some research questions might require normalisation by more restrictive criteria, i.e. norms based on a single sex, race or age of a face. Similarly, all the uses of face re-shaping, described in the previous section, could be used with average face shapes. One might want to ask how a European face looks when it is morphed to the average shape of a set of Chinese faces, or the average of a set of men or women, young or old people. In

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3 short, this facility allows one to derive ‘norms’ on which to base further image
4 analysis.
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8 *2.2 Texture Averages.* In the same way that averages can be taken of shape
9 information, it is also possible to combine textures. Any set of shape-free images
10 shares the same feature layout (by definition) and so averaging these together is
11 achieved simply by computing average intensity at each point in the image, and
12 InterFace provides this facility. This could be used for a number of research
13 purposes. For example, Fig. 4 shows the average textures for a set of images of
14 two celebrities, so-called within-person texture averages (Burton, Jenkins,
15 Hancock & White, 2005). Other usages might be to compare the textures
16 between groups of different people, in exactly the same way as we described for
17 shape comparisons in the section above.
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27 FIGURE 4 HERE PLEASE
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30 *2.3 Full averages.* Computation of separate shape and texture averages is
31 sometimes useful. However, a more general technique, likely to have wider use
32 in research, is to compute averages of sets of faces which combine both shape
33 and texture. This is very easily achieved in InterFace. To compute a full average
34 of a set, its average texture is simply re-shaped to its average shape. In this way
35 it is possible to derive full averages of very different images. Figure 5 shows two
36 uses of this technique. In Fig. 5 (top row), a full average has been created for a
37 set of men and a set of women, all items used in our celebrity database. In Fig. 5
38 (bottom row), we show within-person averages, which we have used in our own
39 research, as a means of eliminating superficial differences between different
40 images of the same person – differences which make computer recognition very
41 difficult across images (Jenkins & Burton, 2008, 2011; Robertson, Kramer &
42 Burton, 2015).
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57 **3. Morphing**

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3 InterFace includes a facility to morph between two face images. The smooth
4 graphical transition between faces has become a standard tool in psychological
5 research (for example Beale & Keil, 1995; Calder, Young, Perrett, Etcoff &
6 Rowland, 1996; Young et al, 1997), and InterFace allows users to combine
7 images in any proportion (i.e. 50/50, 90/10 etc.). As with the techniques
8 described above, this facility relies on landmarking of both images. Shape and
9 texture information for each facial image is stored in a standard location, and
10 combined in the morphing process. Figure 6 shows an example of two facial
11 images, and a 50/50 morph between them.
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20 FIGURE 6 HERE PLEASE
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23 **4. Principal Components Analysis: PCA** 24

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27 PCA has become a very important part of face perception research (Kirby &
28 Sirovich, 1990; Phillips, Moon, Rizvi, & Rauss, 2000; Turk & Pentland, 1991). The
29 technique provides a statistical description of a set of face images by extracting
30 dimensions of variability (eigenvectors, or 'eigenfaces'), in order of the variance
31 they explain. So, early components capture gross variations in the image set, and
32 later components capture more fine-grained variation. The technique, and
33 related others such as factor analysis, are useful in data reduction when a
34 relatively small number of dimensions captures a large proportion of the set
35 variance.
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44 PCA is especially popular in psychological face research because it provides an
45 operationalization of face space: a space with metric dimensions into which faces
46 can be placed (Valentine, 1991). The typical use of PCA takes a large number of
47 faces to derive a relatively small number of dimensions, in which any face image
48 can be described, either as a set of co-ordinates in that space, or (equivalently) as
49 a weighted sum of the eigenvectors. For an introduction to this technique see
50 Valentin, Abdi, and O'Toole (1994), or for a full mathematical account see Gong,
51 McKenna, and Psarrou (2000).
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3 InterFace provides the facility to carry out PCA straightforwardly. As with the
4 techniques above, it requires a set of faces which have been landmarked. The
5 program performs separate PCA on the shape and texture of the set, and users
6 are prompted to specify how many components they wish to extract (up to a
7 maximum of the set-size minus one). Following PCA, eigenvectors and
8 corresponding eigenvalues are written to files, which can then be used in
9 subsequent analysis of the original or novel images (see below). The texture
10 eigenvectors are also represented in an image file, giving a visualisation for each
11 component. Reconstruction values of each of the contributing face images are
12 also written to file (i.e. their values on derived dimensions) along with measures
13 of reconstruction error (e.g., cosine between original and reconstruction).
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23 Having derived a novel set of dimensions with which to describe faces, it is
24 possible to use these in a number of ways. Most simply, one can ask whether the
25 distribution of faces in PC-space has any correspondence with human face
26 perception. The data files derived from the PCA – which give a location in space
27 for each contributing image – are simple text files which can be analysed in any
28 way the researcher wishes. This approach has been used to examine notions of
29 face similarity and distinctiveness – asking whether the faces which are close
30 together in PC-space are those which human perceivers find most similar (e.g.
31 Nestor, Plaut, & Behrmann, 2013; Scheuchenpflug, 1999; Tredoux, 2002), or
32 whether faces perceived as ‘distinctive’ by human viewers are those which lie in
33 sparsely-populated regions of space (e.g. Burton, Bruce, & Hancock, 1999;
34 Hancock et al, 1996; O’Toole, Deffenbacher, Valentin, & Abdi, 1994).
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45 A further possibility is to use the PC space to ‘reconstruct’ novel face images. A
46 property of the technique is that the novel space can be used to represent *any*
47 image (as long as it is the same size as the originals). This property is at the
48 heart of PCA as a tool for face identification. In short, images for recognition are
49 ‘reconstructed’ in the low-dimensional PC space, and then compared to known
50 faces. If a novel image lies sufficiently close to a known face in this space, then
51 the novel face is taken as being recognised (e.g. Turk & Pentland, 1991; Moon &
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3 Phillips, 2001). InterFace provides the facility to code any novel image in the PC
4 space derived from a previous PCA, and thus supports this use.
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8 In order to allow exploration of 'face space', InterFace also provides a graphical
9 visualisation tool for interactive manipulation of items within the space. Figure
10 7 shows an example. In this case, we have a picture of Tom Cruise and its
11 reconstruction in 30 texture components and 30 shape components. The
12 component values (coefficients in the reconstruction) are shown to the sides,
13 and slider bars invite the user to change values. This allows the user to explore
14 the derived space – the reconstruction image changes automatically as
15 coefficient values are altered. So, by altering one dimension at a time, and
16 leaving other component values unchanged, the user observes the effects that a
17 particular dimension has – i.e. the dimension it codes.
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27 FIGURE 7 HERE PLEASE
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30 We will now provide an example of using InterFace for carrying out a principal
31 components analysis in realistic research.
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35 *Example: Within-person PCA.*
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38 The traditional use of PCA in face recognition research is to use images of
39 different people in order to extract the major ways in which faces vary (e.g.,
40 O'Toole, Abdi, Deffenbacher, & Valentin, 1993; Moon & Phillips, 2001; Zhao,
41 Chellapa, Phillips & Rosenfield, 2003). The intuition behind this approach is that
42 a statistical description of real face images is more likely to reveal the true
43 underlying dimensions of 'face space' than an intuitive language-based
44 factorisation relying on easily-labelled metrics such as 'distance between the
45 eyes' or 'width of mouth'.
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54 In our own research, we have used PCA in a different way, to explore
55 representations of familiar faces (Burton et al., 2016; Burton, Jenkins, &
56 Schweinberger, 2011; Jenkins & Burton, 2011). Simply, the idea is that a
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3 separate PCA is carried out for each identity (on multiple images of that person),
4 with the goal of deriving a multidimensional space specific for that face without
5 the inclusion of variability between people (Aishwarya & Marcus, 2010; Chiachia,
6 Falcão, Pinto, Rocha, & Cox, 2014; Shan, Gao, & Zhao, 2003). We will describe an
7 example use of InterFace for this purpose.
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13 *Step 1: Creating a set of images.* In this example, we use 30
14 ambient/unconstrained images of the same identity, collected from personal
15 photographs.
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20 *Step 2: Landmarking.* We next manually landmarked each of the images using
21 the InterFace tool (see Fig. 2). This process creates two new files for each
22 original face: a shape file and (optionally) a texture file (see above). These are
23 stored in separate shape and texture directories.
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28 *Step 3: PCA.* The InterFace program was used to conduct PCA on the 30 images.
29 In this example we requested all possible 29 dimensions of shape and similarly
30 all 29 of texture. This process creates a number of new files, including: the
31 eigenvectors of the PCA; the associated eigenvalues; the reconstruction values
32 (coefficients) needed to code each original face in the new 29+29 dimensional
33 space.
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40 *Step 4: Reconstruction:* After running a PCA on a set of faces, we can reconstruct
41 those images using a simple weighted sum of the resulting
42 components/eigenvectors. An example is shown in Fig. 8. This tool is useful to
43 gain an intuitive understanding of the reconstruction, but we can also
44 interrogate the quality of the representation more formally.
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FIGURE 8 HERE PLEASE

Figure 9 shows the first three shape and texture components from this analysis. The first texture component (which explains the largest amount of variance) represents a general change in the brightness of the photographs. The first shape

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3 component captures a head rotation along the longitudinal axis (“roll”) as well as
4 a slight change in camera distance. These components are, of course, specific to
5 the images of this identity, and so other sets will likely depict different
6 transformations for their components. What seems to be common to all within-
7 person PCA that we have tried is that the first three shape dimensions tend to
8 describe rigid head rotations in three-dimensional space in some
9 order/composition (Burton et al., 2016; Jenkins & Burton, 2011). This remains
10 the topic of on-going research.
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FIGURE 9 HERE PLEASE

5. Image presentation

The figures in this paper show faces cropped to a standard shape, which we have used for much of our own work. For the purpose of statistical analysis, it is not important that this standard shape is somewhat angular - consistency of use being the most important feature. However, we are aware that this shape is not very aesthetically pleasing, and that if researchers plan to use the output of these image manipulations as experimental stimuli, it may be better to use a more naturalistic outline. For this purpose, we have included a smoothing operator in InterFace, which will render norm-shaped faces less angular. Figure 10 shows some examples of this transformation, which simply adds extra vertices between outer points on the standard shape used by InterFace.

FIGURE 10 HERE PLEASE

Conclusions

We have provided an outline of the main features of InterFace, a software package intended to support researchers in face recognition. We have shown that the package offers a number of standard image manipulation tools (shape-warping and morphing) as well as statistical analysis (PCA and a visualisation

InterFace: software for face research

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3 tool). We have outlined some potential uses of this tool in psychological
4 research, and we hope it will support further work in this field.
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8 This tool can be downloaded from:

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10 <https://www.york.ac.uk/psychology/interface>

11 It contains a runtime app, which can be used on either PC or Mac, and is
12 accompanied by a Software Guide describing all its features.
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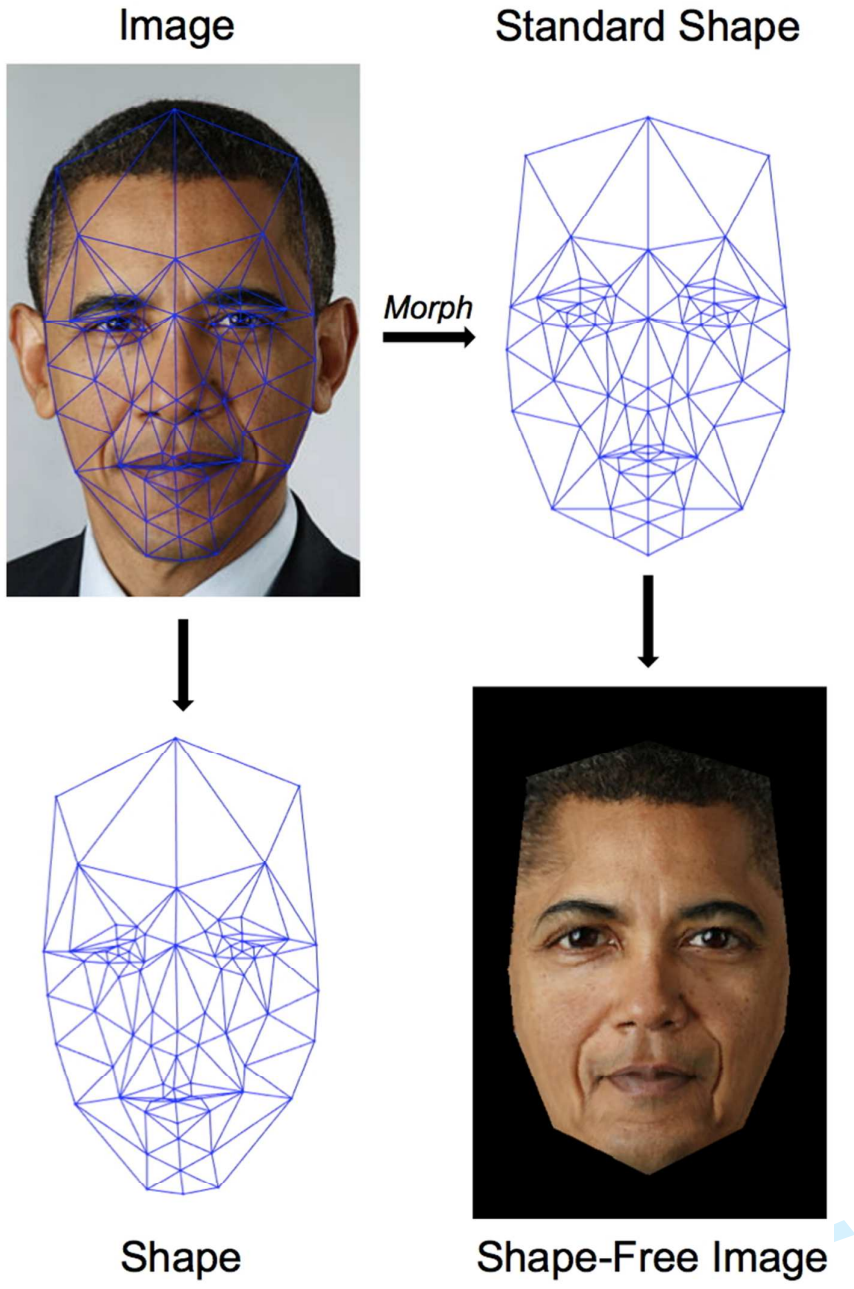


Fig. 1 Segmentation of a face image into its shape and texture. Image of Barack Obama attributed to Pete Souza (Own work) [CC BY 3.0].

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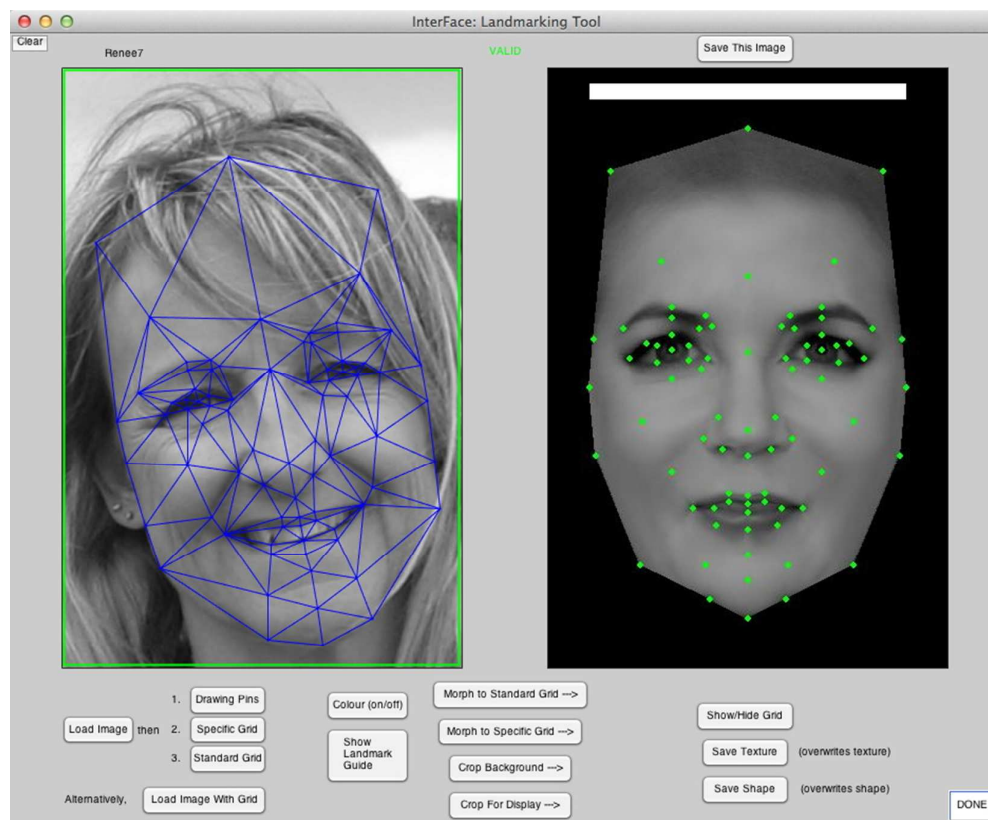


Fig. 2 Example image landmarked using the Landmarking Tool. The photograph is manually landmarked (left) with 82 fiducial points (right). Original image by Robin S. S. Kramer [CC BY-SA 2.0].



Fig. 3 Examples of images that have been re-shaped. An image of Matt Damon warped to the average Tom Cruise shape (left), an image of Gwyneth Paltrow warped to the average female shape (middle), and an image of Brad Pitt warped to the shape of a different Brad Pitt image (right). Original images attributed to Nicolas Genin (Own work) [CC BY-SA 2.0], Georges Biard (Own work) [CC BY-SA 3.0], and Eva Rinaldi (Own work) [CC BY-SA 2.0] respectively.

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Fig. 4 Two celebrities whose average textures have been warped to the same common shape template. Images depict Gwyneth Paltrow and Tom Cruise.

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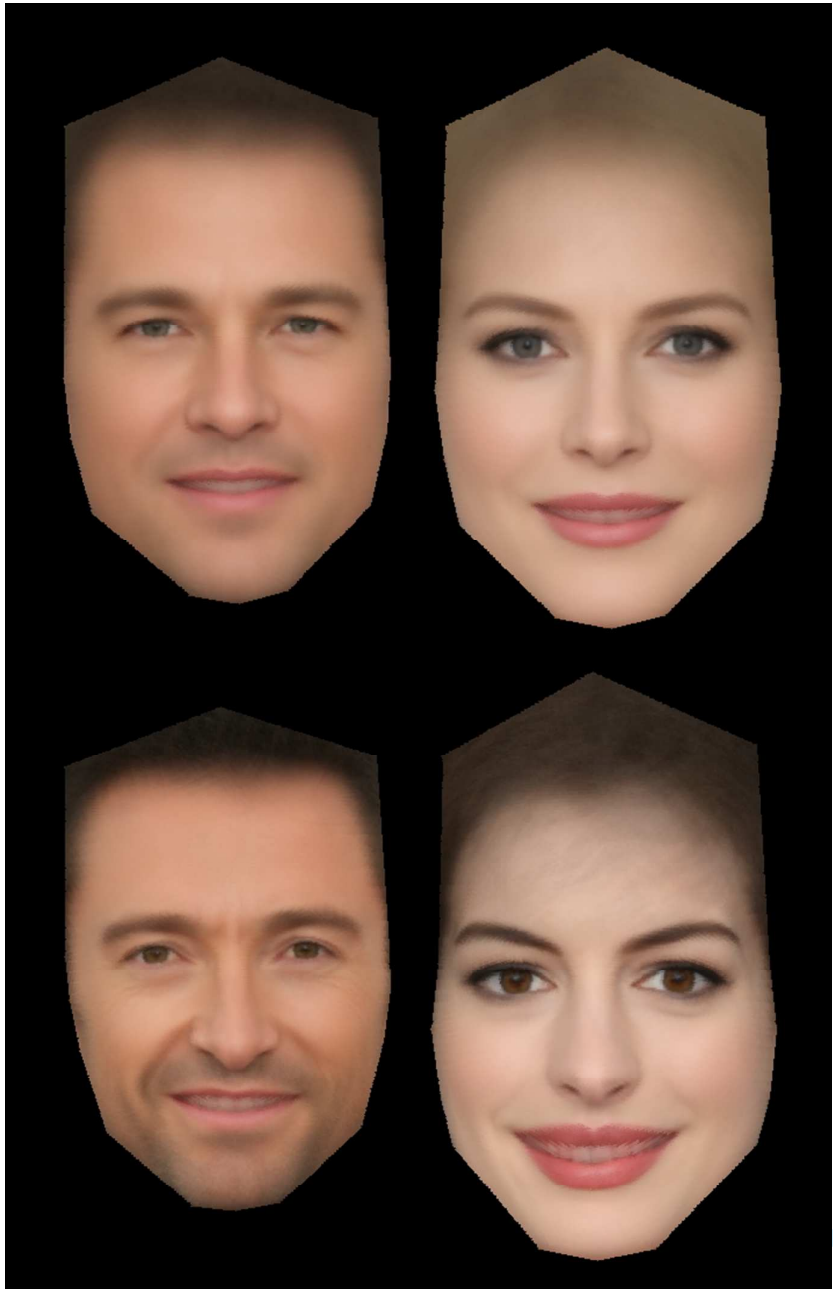


Fig. 5 Example averages. Top row: the average man (left) and woman (right). Bottom row: an average of 35 images of Hugh Jackman (left) and Anne Hathaway (right).



Fig. 6 Example morph. Tom Cruise (left), Hugh Jackman (right), and a 50/50 morph of the two images (centre). Left image attributed to Ian Morris (Own work) [CC BY 2.0] and right image attributed to Grant Brummett (Own work) [CC BY-SA 3.0].

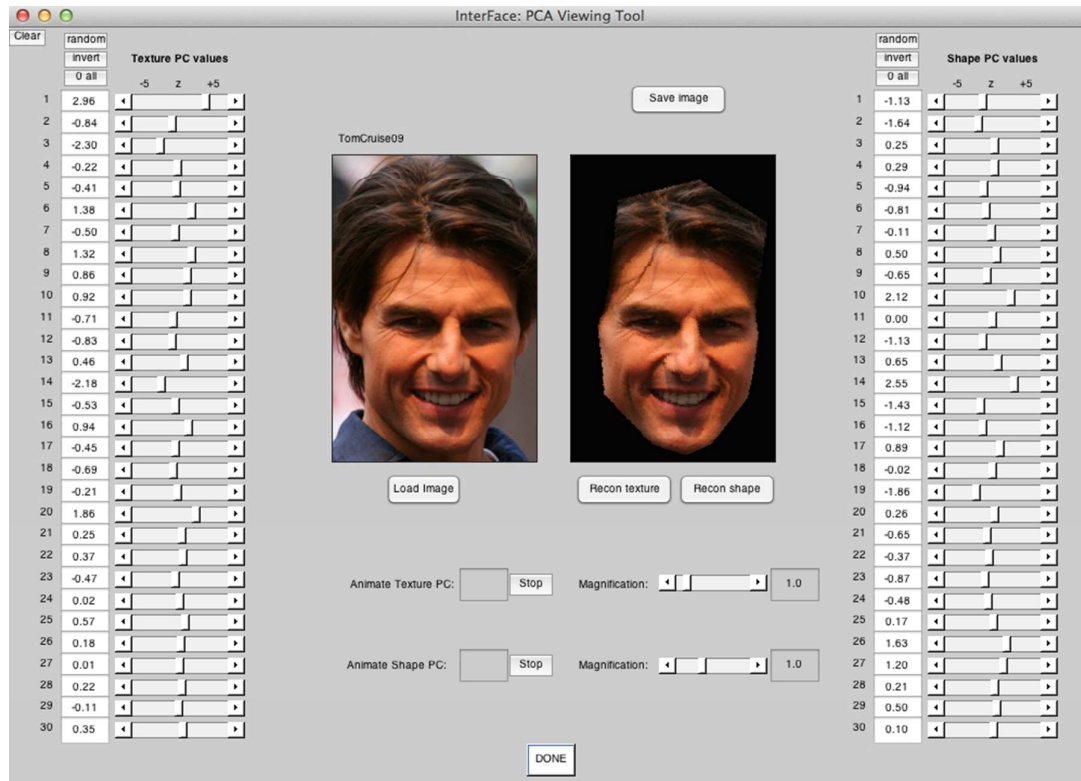


Fig. 7 Example of an image of Tom Cruise reconstructed in the PCA Viewing Tool. Image attributed to Ian Morris (Own work) [CC BY 2.0].

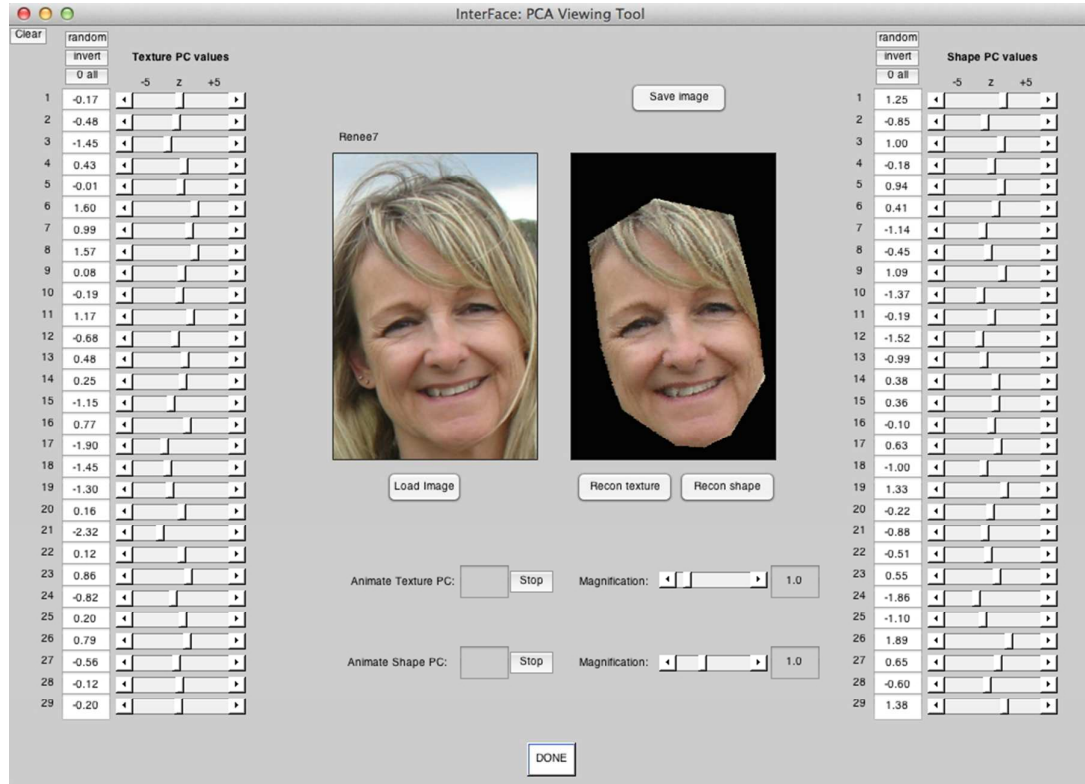


Fig. 8 Example image reconstructed using the PCA Viewing Tool. The projection values for texture (left column) and shape (right column) illustrate where on each component this particular image falls. Original image by Robin S. S. Kramer [CC BY-SA 2.0].

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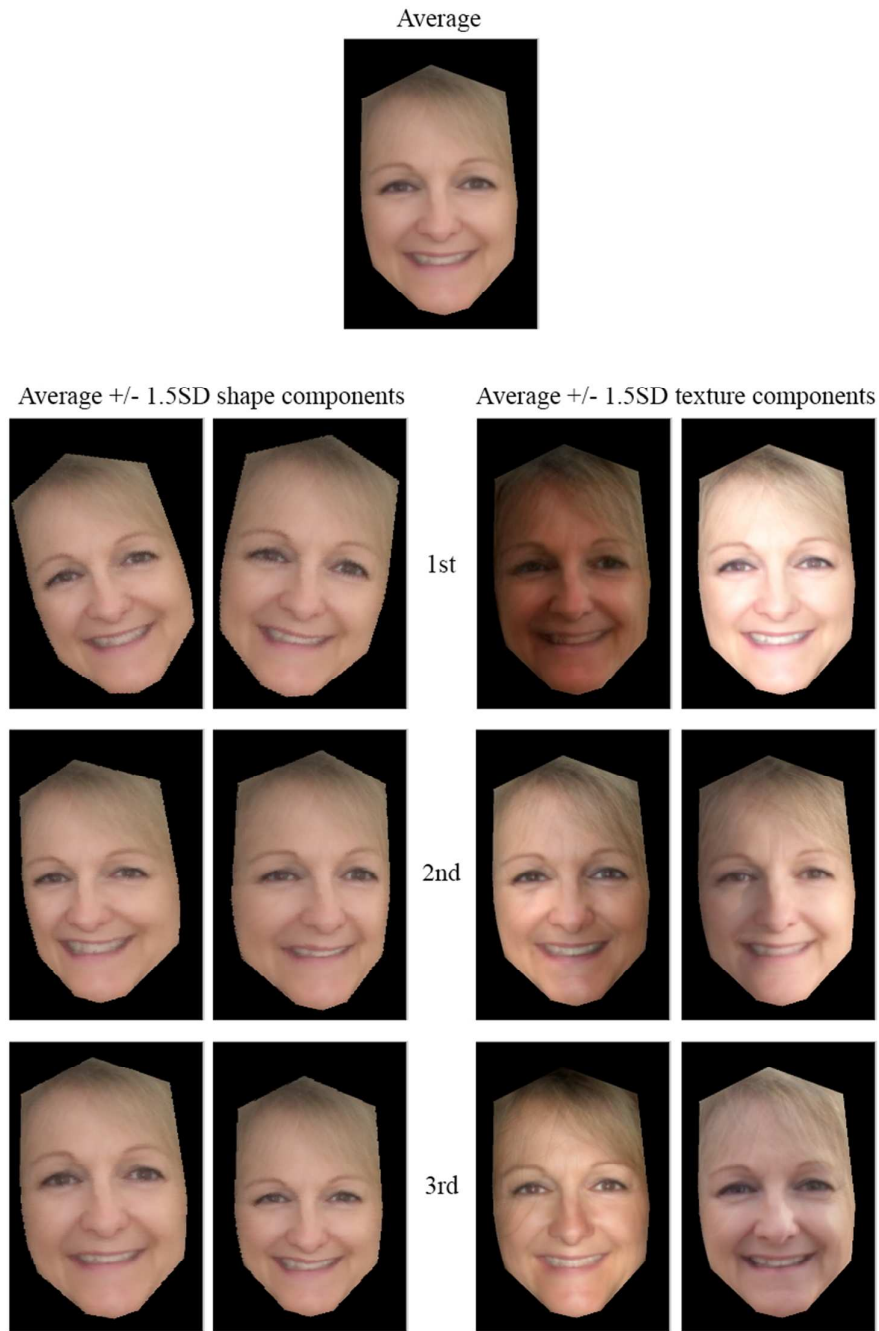


Fig. 9 Variance captured by the first three components of shape and texture. The contribution of these components is illustrated by adding a low and high value (\pm 1.5 SDs) to the person's average.

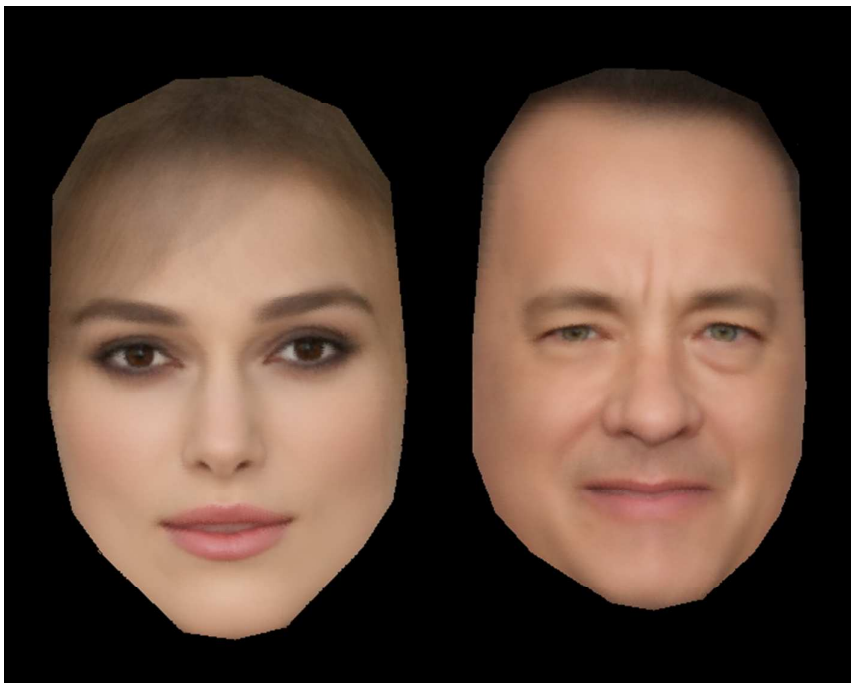


Fig. 10 Averages of Keira Knightley and Tom Hanks, “cropped for display” to appear less angular.

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