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Environmental impact of fertiliser embodied in a wheat-to-bread supply chain

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Food production and consumption cause approximately one third of total greenhouse 11 gas emissions¹⁻³, and therefore delivering food security challenges not only the capacity 12 of our agricultural system but also its environmental sustainability⁴⁻⁷. Knowing where 13 and at what level environmental impacts occur within particular food supply chains is 14 necessary if farmers, agri-food industries and consumers are to share responsibility to 15 mitigate these impacts^{7,8}. Here we present the analysis of a complete supply chain for a 16 staple of the global diet – a loaf of bread. We obtained primary data for all the processes 17 involved in the farming, production and transport systems leading to the manufacture 18 of a particular brand of 800g loaf. The data were analysed using an advanced life-cycle 19 assessment tool⁹, yielding metrics of environmental impact, including greenhouse gas 20 emissions. We show that over half the environmental impact of producing the loaf of 21 bread arises directly from wheat cultivation, the use of ammonium nitrate fertiliser 22 alone accounting for around 40%. These findings reveal the dependency of bread 23 production upon the unsustainable use of fertiliser and illustrate the detail needed if the 24

actors in the supply chain are to assume shared responsibility for achieving sustainable food production.

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A projected human population of 10 billion¹⁰ and an increasing consumption of food 4 that has high environmental impact associated with economic development¹¹ are placing 5 massive strain on the global agri-food system. Meeting the challenge of achieving 6 7 sustainable food security requires consideration of all the key aspects of food production and 8 consumption, taking a holistic agri-food ecosystem approach that includes land and resource 9 use, crop production, consumer behaviour and human health⁷. Moreover, in order to materialise a condition in which collective action and shared responsibility occurs within 10 fragmented food supply chains, an integrating framework that involves the mapping, analysis, 11 visualisation and sharing is needed⁷. Integration can be enabled through supply chain 12 sustainability research, which yields total visibility of the entire supply chain^{9,12,13}. To realise 13 14 this ambition, a natural resource driven business experiment involving a mixed methods approach of quantitative analytical modelling and qualitative contextualisation was deployed 15 to develop a detailed case study of environmental impact in the manufacture of a specific 16 food-stuff – a loaf of whole grain bread. This involved Life Cycle Assessment (LCA) at each 17 stage of the supply chain for the loaf of bread. 18

It is well known that the more precise are the sources of data for an LCA model, the more accurate are the results from the LCA modelling. Most of the existing LCA research relies on both primary and secondary data, the latter used to compensate for the unavailability of primary data. Consequently, an artificial level of uncertainty in the data averages out the variation hence making the environmental impact from a modelled supply chain a proxy and an estimate, as in all previous LCA of the wheat-bread supply chain¹⁴⁻¹⁷, where primary data might be used for one process stage but secondary data for others (e.g. ref. 17). To address this deficiency, and in contrast to all previous studies, we utilised primary data at all stages of the linked Cradle to Gate UK bread manufacturing life cycle, with 90% of the process stages modelled on the basis of primary data. This was enabled by collaboration with a commercial bread and flour producer and a large agronomy services provider. The higher level of granularity achieved in this way increases the confidence level of the results and therefore the certainty and validity of the conclusions upon which action is based.

7 Three supply chain stages were considered (Figure 1): wheat cultivation which 8 includes ground preparation, sowing the wheat seed, application of agrochemicals, 9 harvesting of the wheat grain, drying, storage and finally transportation to the mill; milling, which includes the transformation of cultivated wheat grain into wholemeal flour, through 10 intake, cleaning, milling and out-loading processes, prior to transportation to the bakery; and 11 baking, which comprises all the remaining processes leading to the final, packaged loaf of 12 13 wholemeal bread. Primary data for material and energy flow was collected for the designated 14 processes at these three stages of the supply chain, calibrated to a functional unit, a single 14 wholegrain loaf of bread, weighing 800 g (Supplementary Data Figs.1-3). Each loaf required 15 cultivation of 7.2E-05 hectares or 0.72 m^2 of land. This produced 688 g of grain, using a 16 number of fertiliser inputs: 42.0 g of granular ammonium nitrate (580 kg/hectare), 11 g of 17 triple superphosphate (152 g/hectare), 6 g muriate/sulphate of potassium (83 kg/hectare) and 18 3 mL of liquid ammonium nitrate (42 L/hectare, applied just prior to harvest to maximise 19 protein content of the grain). Upon transfer to the mill there are two key output streams. 20 21 Firstly, a small proportion of the grain (about 3%) is rejected upon delivery if it fails to meet strict quality standards concerning excess moisture, the presence of foreign bodies or 22 contamination. Secondly, around 22% of wheat grain is lost during the extraction process; 23 24 this loss accounts for the difference between in mass between raw, dirty wheat and flour leaving, after excess moisture and non-millable impurities are removed. During milling a 25

total of 520 g of flour is produced in processes consuming approx. 0.07kWh electricity.
Before baking a number of ingredients, including 365 g of water and 13 g of sugar, were
added to the flour to give a mass of 950 g. A further 5.8 % loss of solid flour due to sub
optimal quality reduced this mass to 898 g, whilst the final preparation and the baking
process resulted in 3.5 g of flour loss and reduction in mass to 807 g through water
evaporation. 0.09 kWh of electricity was consumed at the bakery.

7 The raw data were analysed using the Supply Chain Environmental Analysis Tool's (SCEnAT) Life Cycle Assessment (LCA) methodology⁹. To provide a broad assessment of 8 environmental impact on air, water and land, six contrasting impact categories were selected 9 for analysis - these are expressed as "potential" impacts. Thus, for example, emission of 10 greenhouse gases is quantified as global warming potential (GWP), the eutrophication 11 potential (EP) is a measure of pollution of water courses and human toxicity potential (HTP) 12 quantifies the potential human health problems caused by release of toxic substances into the 13 14 environment.

GWP data calculated for each supply chain process are shown in supplementary data, figures 3-6. GWP from the whole supply chain was found to be 0.589 kg CO₂-eq per loaf of bread, and it is clear immediately that wheat cultivation is the major source - this supply chain stage totalling 0.388 kg CO₂-eq, with the growth and protection process stages (mostly fertiliser) alone accounting for 0.281 kg CO₂- eq. Milling added a further 0.028 kg CO₂- eq. and the bakery stage 0.173 kg CO₂- eq.

Impacts from each process in the supply chain were added together to give their cumulative environmental impacts, expressed as a percentage of the total in Figure 2. All the processes involved in cultivation of wheat account for 65.8 % of the total GWP, which is within the range of previous analyses using secondary datasets¹⁴⁻¹⁶. Similarly, wheat cultivation was the principle cause of the other environmental impacts: 68.5 % EP, and 77.9 % HTP. Fertiliser used to promote growth of the wheat crop was found to be the largest
single process contributing to environmental impact metrics (red bar in Fig. 2), for example
accounting for 47.8 % GWP, 38.5% EP and 41.9 % HTP.

4 Other processes involved in cultivation had significant, though lesser impacts. The 5 use of farm machinary in preparation of land accounts for 5.2 % of total GWP. Grain drying 6 is another significant cultivation hotspot; farmers are normally contracted to deliver wheat 7 grain for storage with a water content of lower than 15% in order to pass the weight and 8 pricing thresholds expected by the buyers. In our study, electric continuous flow grain dryers 9 were used to reduce water content. Grain drying, storage and transport to the mill together 10 account for 8.7 % of GWP, 6.5 % of EP and 7.6 % of HTP respectively.

Baking, ingredients handling and cleaning/milling were found to be significant GWP hotspots, accounting for 9.7, 9.1 and 4.4 % respectively. Energy is the key contributor to GWP in milling and baking processes. In particular, gas usage during baking accounted for 7.9 % of overall GWP. Similarly, electricity usage during cleaning/ milling, mixing/ divide/ first proof, baking and de-pan/ cooling stages were identified as significant hotspots, contributing 4.2 %, 1.6 %, 1.8 % and 2.2 % to overall GWP respectively. These processes contribute to ET and HTP to similar extents.

The upstream supply chains of various ingredients added to flour to form bread dough during the ingredients handling stage of baking also contributed to GWP, the most significant being wheat gluten, sodium stearoyl lactylate, fermented wheat flour and sugar which accounted for 2.6 %, 1.5 %, 1.5 % and 1.1 % respectively. The switch to the use of Low Density Polyethylene (LDPE) wrapping has reduced the environmental burden of bread packaging, as compared to more traditional plastic packaging types. However, packaging is still a notable contributor to GWP (3.1 %).

This study highlights the contribution of fertiliser to the environmental impact of bread production. Remarkably, the use of ammonium nitrate fertiliser alone accounts for most of this, 0.256 kg CO₂-eq, 43.4 % of overall GWP (Figure 3). Similarly 34.1 % of EP and 32.5% of HP are due to ammonium nitrate. This value of GWP measures the CO₂ emissions associated with the manufacture and application of the fertiliser and N₂O released into the atmosphere from the on-farm degradation of ammonium nitrate by soil microbes.

The exact amount of GWP from N_20 release depends of a variety factors and its estimation is the subject of some controversy¹⁸. Previous studies with maize¹⁹ and wheat²⁰ have estimated it to be of similar proportions to the GWP arising from energy use during manufacture. We calculated 0.083 kg CO₂e per loaf arising from N_2O emissions (see supplementary data Figure 4), approx. 1/3 of the total. The other environmental impacts of the use of this fertiliser have been described²¹, eutrophication of water courses in particular. Their quantitative significance in the wheat-to-bread supply chain is made clear in our study.

14 Nitrogen use efficiency (NUE) of wheat yield, defined as the ratio of harvested nitrogen to that applied to the field ultimately determines the environmental impact of 15 nitrogen fertiliser. In our study NUE was estimated to be 71%, in line with that predicted for 16 the 246 kg N/hectare fertiliser application used²², which is slightly above the UK average, 17 and typical of intensified production. Studies show that whilst wheat yield increases with 18 higher applications of fertiliser, NUE declines²². However, without such intensive 19 fertilisation, there is lower yield, and a small but important reduction in the protein content of 20 the grain. Consequently, the cost of a staple food item made from UK wheat could rise. 21 22 Alternatively, in a global wheat market, the environmental impact of fertiliser use could be exported via the import of cheaper grain from other countries. Clearly neither of these 23 scenarios are desirable – instead new solutions are needed²³. These solutions can take place 24

at different parts of the extended supply chain, from fertiliser manufacture to bread
 consumption.

More energy efficient methods of synthesising ammonium nitrate fertiliser would be 3 beneficial (but presently seem unlikely), as would be a shift towards carbon neutral energy 4 supply. But the most immediate solutions to the fertiliser problem mostly reside in increasing 5 NUE whilst maintaining high yield²¹, through a combination of improved agronomic practice 6 and improved crop plant physiology. To reduce on-farm fertiliser use, there needs to be a 7 8 move away from blanket fertiliser application towards area-specific and temporal-specific application of fertiliser²³, acknowledging the soil variation across different parts of the crop 9 field and the differing physiological requirements for nitrogen at different stages of crop 10 growth. More radical is a shift away from chemical fertiliser altogether towards a biological 11 approach to nitrogen fertilisation, such as crop rotations with nitrogen-fixing legumes²⁴ and 12 restoration of the soil microbe/plant root interactions that promote plant growth²⁵. In fact, 13 judicious use of fertiliser incorporated into a series of such modified agronomic practice 14 drastically reduced GWP of wheat cultivation in Canada²⁰. Development of new wheat 15 varieties with an increased intrinsic NUE could also make a significant contribution, although 16 there are significant challenges to achieving this $goal^{26}$ – either an increased ability to take up 17 nitrogen from the soil²⁷ or an altered physiology which allows more biomass accumulation 18 per unit of taken-up nitrogen²⁸ and allocation of more biomass N to the grain²⁹. Biological 19 nitrogen fixation by the wheat plant itself remains an important, if elusive goal³⁰. One 20 21 possible consequence of maintaining wheat yield whilst reducing or eliminating fertiliser use could be a reduction in the protein content of the wheat grain. At present, the protein content 22 of wheat grain used in flour production forms a key aspect of the commercial contract. For 23 24 UK bread-making, a high protein content of 11-13g/100g is required, a higher amount needed for wholemeal loaves (which account for approx. 10% of the UK market) compared to white 25

loaves (80% UK market). The wheat grain protein requirement for wholemeal bread in the
 current study is 13g/100g. An important part of the solution to the fertiliser problem could be
 a change in bread-making technology to accommodate grain with lower protein content³¹.

Much research takes a generic approach to identifying the interventions needed to 4 5 deliver sustainable food security. Extending beyond this, our study points to the increased granularity of the information that is required to make accurately informed decisions about 6 7 individual food supply chains. As argued previously this information has to be integrated and applied across the entire supply $chain^7$ – otherwise time, effort and resources will be wasted 8 implementing changes of little overall significance whilst ignoring the real problem, in this 9 case study, the use of fertiliser. So, having identified the problem, responsibility for 10 implementing any or all of the above solutions must be designated. According to the 11 principles of extended producer responsibility, all the actors in the supply chain have to share 12 responsibility⁸. Similarly such responsibility must be extended to the consumer. Thus 13 14 although the fertiliser manufacturer may bear the biggest responsibility, actions have to be co-ordinated across the wheat-bread supply chain, between the fertiliser manufacturer, the 15 16 farmer, the mill, the bakery, the retailer and the consumer. This new direction is feasible due to increasingly advanced data capture and sensor technology where LCA will be a norm for 17 all decision making across the supply chains. 18

The dependency of delivering high yields of high protein bread wheat upon unsustainable amounts of fertiliser exposes an unresolved grand challenge for the 21st century: how to produce more food but with lower pollution³². Our findings bring into focus a key part of this challenge – resolving the major conflict embedded in the agri-food system, whose primary purpose is to make money not to provide sustainable global food security³³. High agricultural productivity, necessary for profit for farmers, agri-businesses and food retailers, whilst also keeping prices low for consumers, currently requires high levels of

application of relatively cheap (and often subsidised) fertilisers. The environmental impact
of fertiliser use is not costed within the system and thus, there are currently no effective
incentives to implement of any of the solutions described above³².

4

5 Methods

Data collection. A Life Cycle Assessment (LCA) methodological approach was used to 6 evaluate the environmental impact of commercial bread production in the UK, using 100% 7 8 group 1 and 2 domestic milling wheat. The functional unit is defined as a single wholegrain loaf of bread, weighing a total of 800g and the scope of the study is from cradle (farm) to gate 9 10 (shipping of the final, packaged loaf of bread to a retailer). All agricultural and production 11 stages are based in the UK and data is representative of the 2014 wheat harvest and 12 production period. The supply chain was segmented into three distinct stages, cultivation, milling and baking. Primary data was collected at each of these stages, with a leading UK 13 14 commercial bread manufacturer providing access to milling and bakery datasets and a large agronomy organisation providing access to farm level data, using the example of a farm 15 producing UK group 1 and 2 milling wheat at 9.5t/ha. Data collection was undertaken 16 through both field interviews and analysis of organisational datasets, which in combination 17 provided researchers with a detailed understanding of energy and material flows through each 18 19 of the defined three model stages. For mill and bakery stages, data was obtained for two specific sites that represented an average energy and material consumption balance for the 20 partner's annual production; these were in Bradford and Manchester respectively. At a farm 21 22 level, material, machinery and energy data, provided in collaboration with a large agronomy organisation, has been modelled from an upper quartile farm in terms of yield and 23 24 agricultural efficiency.

Environmental Impact Categories. Life Cycle Inventory (LCI) data for all identified 1 material and flows was sourced from the Ecoinvent database $(v3.2)^{34}$. The Ecoinvent 2 database provides well-documented LCI process data for a large number of materials and 3 4 products covering relevant environmental flows, such as resource extraction, land use and emissions, as well as all material and energy inputs and products of an activity. To provide a 5 broad assessment of the environmental impact that UK commercial bread production has to 6 7 air, water and land, six impact categories were selected for analysis from the CML (2001) categorisation model available in Ecoinvent, produced by the Institute of Environmental 8 Sciences at Leiden University, NL³⁵. These are; Acidification Potential (kg SO₂-Eq – Eur 9 Average), Climate Change (kg CO₂-Eq – GWP 100a) and Eutrophication Potential (kg NO_x-10 Eq – Eur Average). The various toxicity indicators use the reference unit, kg 1,4-11 12 dichlorobenzene equivalent (1,4-DCB) and are: Freshwater Aquatic Eco-Toxicity (kg 1,4-DCB-Eq – FAETP 100a), Freshwater Sediment Toxicity (kg 1,4-DCB-Eq – FSTP 100a) and 13 Human Toxicity (kg 1,4-DCB-Eq – HTP100a). 14

Data Analysis. Domestic LCI data was prioritised for material and energy flows throughout 15 16 the three stages where available. However, it was necessary to use European or global reference LCI data for some inputs. Moreover, where specific LCI data was not obtainable 17 for a given material or process, appropriate 'closest match' substitutes were identified, in 18 collaboration with industry partners whenever possible. Allocation was necessary at both mill 19 and bakery stages, where for example, several types of flour are produced at the same mill or 20 21 energy flows are measured across multiple processes. Again, as with data substitution, where necessary, allocation was carried out through dialogue with industry partners to maximise 22 accuracy. Our analysis considers output from milling and bakery stages as co-products, rather 23 24 than traditional wastes as they are sold for use in other industries. Due to the varied use of these outputs, coupled with fluctuating market pricing, we did not consider economic 25

allocation to be appropriate in the instance. Instead, a traditional mass allocation approach
was adopted in keeping with the finding that for external communication to the market and
consumers, mass allocation should be viewed as the preferred method in most cases³⁶.

Data was combined and analysed using the Supply Chain Environmental Analysis Tool 4 (SCEnAT) developed by researchers at the University of Sheffield, UK. SCEnAT employs 5 life cycle assessment methodology⁹ to assess product supply chains, capturing both direct and 6 indirect/embodied emissions in accordance with ISO14040³⁷ and ISO14044³⁸ standards. 7 8 Nitrogen use efficiency was calculated using the quality-control grain protein content used by the manufacturer and a wheat grain nitrogen/protein conversion factor of 5.81³⁹. On farm 9 N₂O emissions were calculated using established protocols^{40,41} as summarised in reference 10 42. 11

12 Data availability. The authors declare that the data supporting the findings of this study are 13 available within the paper and its supplementary information files

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9	
10	Author contributions
11	PH and SCLK conceived the study, LG and RB negotiated with the commercial partners, and
12	LG carried out the collection and analysis of the data. All authors were involved in the
13	interpretation of the findings and the writing of the paper.
14	
15	Author Information.
16	The authors declare no competing financial interests. Correspondence and requests for
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18	
19	Supplementary Information
20	Pdf files
21	Supplementary Data Figures 1-6 and Supplementary Table 1
22	

1 Figure Legends

2

Figure 1. The wheat-to-bread supply chain. A. Map of the supply chain showing cultivation, mill and bakery stages. Sources of energy/ material flow data from two industry partners are also shown. These sources are a large commercial bread maker with multiple production sites across the UK and a wheat farm, producing group 1 and 2 milling wheat at 9.5t/ha during the 2014 harvest. B: Supply chain stages and their component processes.

8

9 Figure 2. Process group environmental impact. Each coloured bar section represents the 10 environmental impact of process groups at cultivation, mill and bakery stages as shown in Figure 1, expressed as percent of total values. Material and energy input data were assessed 11 alongside six impact categories selected from the CML (2001)³⁵ environmental impact 12 categorisation model produced by the Institute of Environmental Sciences (CML) at Leiden 13 University. AP, acidification potential; GWP, global warming potential; EP, eutrophication 14 potential; FAETP, freshwater aquatic ecotoxicity potential; FSTP, freshwater sediment 15 toxicity; HTP, human toxicity potential. 16

17

Figure 3. Environmental impact of ammonium nitrate fertiliser in comparison to other process groups. The data for process groups were aggregated to give total impacts for ammonium nitrate (blue), and the cultivation (minus ammonium nitrate) (red), milling (purple), baking (cyan) and storage/transport (green) stages as in Figure 1. The six environmental impact categories are as described in Figure 2.

23