

This is a repository copy of Recommendations for the standardization of bone marrow disease assessment and reporting in children with neuroblastoma; on behalf of the International Neuroblastoma Response Criteria Bone Marrow Working Group.

White Rose Research Online URL for this paper: http://eprints.whiterose.ac.uk/111014/

Version: Accepted Version

#### Article:

Burchill, SA, Beiske, K, Shimada, H et al. (7 more authors) (2017) Recommendations for the standardization of bone marrow disease assessment and reporting in children with neuroblastoma; on behalf of the International Neuroblastoma Response Criteria Bone Marrow Working Group. Cancer, 123 (17). pp. 1095-1105. ISSN 0008-543X

https://doi.org/10.1002/cncr.30380

© 2016 American Cancer Society. This is the peer reviewed version of the following article: Burchill, SA, Beiske, K, Shimada, H et al. (7 more authors) (2016) Recommendations for the standardization of bone marrow disease assessment and reporting in children with neuroblastoma; on behalf of the International Neuroblastoma Response Criteria Bone Marrow Working Group. Cancer. ISSN 0008-543X, which has been published in final form at https://doi.org/10.1002/cncr.30380. This article may be used for non-commercial purposes in accordance with Wiley Terms and Conditions for Self-Archiving.

#### Reuse

Unless indicated otherwise, fulltext items are protected by copyright with all rights reserved. The copyright exception in section 29 of the Copyright, Designs and Patents Act 1988 allows the making of a single copy solely for the purpose of non-commercial research or private study within the limits of fair dealing. The publisher or other rights-holder may allow further reproduction and re-use of this version - refer to the White Rose Research Online record for this item. Where records identify the publisher as the copyright holder, users can verify any specific terms of use on the publisher's website.

#### **Takedown**

If you consider content in White Rose Research Online to be in breach of UK law, please notify us by emailing eprints@whiterose.ac.uk including the URL of the record and the reason for the withdrawal request.



- 1 Recommendations for the standardization of bone marrow disease
- 2 assessment and reporting in children with neuroblastoma; on behalf of the
- 3 International Neuroblastoma Response Criteria Bone Marrow Working Group
- 4
- 5 Running title: INRC BMWG Consensus Assessment Criteria

6

- 7 Susan A Burchill (PhD BSc)#1, Klaus Beiske (MD PhD)2, Hiroyuki Shimada (MD
- 8 PhD)<sup>3</sup>, Peter F. Ambros (PhD BSc)<sup>4</sup>, Robert Seeger (MD MSc)<sup>5</sup>, Godelieve Tytgat
- 9 (MD PhD)<sup>6</sup>, Penelope Brock (MD PhD)<sup>7</sup>, Michelle Haber (DSc PhD BSc)<sup>8</sup>, Julie R
- 10 Park (MD)<sup>9</sup>, Frank Berthold (MD)<sup>#</sup>10

11

12 # co-chairs of INRC Bone Marrow Working Group

- 14 ¹Children's Cancer Research Group, Leeds Institute of Cancer and Pathology, St
- 15 James's University Hospital, Leeds LS9 7TF, United Kingdom. Email:-
- 16 s.a.burchill@leeds.ac.uk
- 17 <sup>2</sup>Oslo University Hospital Radiumhospitalet, Department of Pathology,
- 18 Ullernchausséen 70, N-0310 Oslo, Norway. Email:-klaus.beiske@medisin.uio.no
- 19 <sup>3</sup>Children's Hospital Los Angeles, Pathology & Laboratory Medicine, 4650 Sunset
- 20 Boulevard, Los Angeles, CA 90027, United States of America. Email:-
- 21 HShimada@chla.usc.edu
- 22 <sup>4</sup>CCRI, Children's Cancer Research Institute, St Anna Kinderkrebsforschung,
- 23 Zimmermannplatz 10, 1090 Vienna, Austria. Email:-peter.ambros@ccri.at

- 24 <sup>5</sup> Children's Hospital Los Angeles, The Saban Research Institute, 4650 Sunset
- 25 Boulevard, Los Angeles, CA 90027, United States of America. Email:-
- 26 rseeger@chla.usc.edu
- 27 <sup>6</sup>Prinses Maxima Center for Pediatric Oncology, Utrecht, The Netherlands. Email:-
- 28 G.A.M.Tytgat@prinsesmaximacentrum.nl
- 29 <sup>7</sup>Great Ormond Street Hospital for Children NHS Trust, Great Ormond Street,
- London, WC1N 3JH, United Kingdom. Email:-peppymeunier@gmail.com
- 31 8 Children's Cancer Institute for Medical Research, Level 5, C25 Lowy Cancer
- 32 Research Centre, Gate 9, High Street, UNSW Sydney, Australia. Email:-
- 33 MHaber@ccia.unsw.edu.au
- 34 <sup>9</sup>Seattle Children's Hospital and Department of Pediatrics, University of Washington,
- 35 School of Medicine, 4800 Sand Point Way NE, Seattle WA 98105, United States of
- 36 America. Email:-julie.park@seattlechildrens.org
- 37 10 Center for Integrated Oncology (CIO), Department of Pediatric Oncology and
- 38 Hematology, University of Cologne, Kerpener Straße 62, 50937 Köln, Germany.
- 39 Email:-frank.berthold@uk-koeln.de
- 40 **Corresponding author:** Professor Burchill, Children's Cancer Research Group,
- Leeds Institute of Cancer and Pathology, St James's University Hospital, Leeds LS9
- 42 7TF, United Kingdom. Email:s.a.burchill@leeds.ac.uk. Fax: 00 44 (0) 113 2429886.
- 43 Tel: 00 44 (0) 113 2065873
- Number of text pages= 28, Tables=4, Figures=2, References=41.

46	Condensed abstract: Practical transferable recommendations to standardize			
47	quantitative reporting of bone marrow disease in children with neuroblastoma provided			
48	by the multidisciplinary INRC Bone Marrow Working Group. Wide adoption of these			
49	harmonized criteria will enhance the ability to compare outcomes from different trials			
50	and facilitate collaborative trial design.			
51	Key words: neuroblastoma, bone marrow, quantitative, consensus, aspirates,			
52	biopsies, immunohistochemistry, immunocytology, RTqPCR.			
53	Financial support: The National Cancer Institute (US) convened the teleconferences			
54	and the Pediatric and Adolescent Solid Tumor Steering Committee Clinical Trials			
55	Planning Meeting (CTPM), Revision of the International Neuroblastoma Response			
56	Criteria which took place in Washington DC on April 12-13, 2012. Alex's Lemonade			
57	Stand Foundation, Evan Foundation and the Ben Towne Foundation.			
58	The authors have no conflicts of interest to declare.			
59				
60	Author Contribution Statements:			
61	Susan A Burchill is the corresponding author, responsible for overall content,			
62	contributed to the concept and design of the study, collection and assembly of data,			
63	data analysis and interpretation, by writing and approving the manuscript for			
64	submission.			
65				
66	Klaus Beiske contributed by collection and assembly of data, data analysis and			
67	interpretation, by writing and approving the manuscript for submission.			
68				

69	Hiroyuki Shimada contributed to data analysis and interpretation, by writing and
70	approving the manuscript for submission.
71	
72	Peter F. Ambros contributed to data analysis and interpretation, by writing and
73	approving the manuscript for submission.
74	
75	Robert Seeger contributed to data analysis and interpretation, by writing and
76	approving the manuscript for submission.
77	
78	Godelieve Tytgat contributed to study design and concept, by writing and approving
79	the manuscript for submission.
80	
81	Penelope Brock contributed to data analysis and interpretation, by writing and
82	approving the manuscript for submission.
83	
84	Michelle Haber contributed to data analysis and interpretation, by writing and
85	approving the manuscript for submission.
86	
87	Julie R Park contributed to the concept and design of the study, collection and
88	assembly of data, data analysis and interpretation, by writing and approving the
89	manuscript for submission.
90	
91	Frank Berthold contributed to study design and concept, data analysis and
92	interpretation, by writing and approving the manuscript for submission.
93	

## Abstract

Background: To expedite international standardized reporting of bone marrow
disease in children with neuroblastoma, to improve equivalence of care.

**Methods:** A multidisciplinary International Neuroblastoma Response Criteria Bone Marrow Working Group was convened by the National Cancer Institute in January 2012 with representation from Europe, North America and Australia. Practical transferable recommendations to standardize reporting of bone marrow disease were developed.

Results: Consensus criteria for the collection, analysis and reporting of the percentage area of bone marrow parenchyma occupied by tumor cells in biopsies/trephines is comprehensively provided for the first time. The quantitative analysis of neuroblastoma content in bone marrow aspirates by immunocytology (IC) and reverse transcriptase quantitative polymerase chain reaction (RTqPCR) are revised. The inclusion of PHOX2b for IHC and RTqPCR is recommended. Recommendations for recording bone marrow response are provided. We endorse the quantitative assessment of neuroblastoma cell content in bilateral core biopsies/trephines and aspirates in all children with neuroblastoma, with the exception of infants where evaluation of aspirates alone is advised. Notably 5% disease is accepted as an internationally achievable level for disease assessment.

**Conclusion(s):** Quantitative assessment of neuroblastoma cells is recommended to provide data from which evidence-based numerical criteria for the reporting of bone marrow response can be realised. This is particularly important in the minimal disease setting and when neuroblastoma detection in bone marrow is intermittent, where clinical impact has yet to be validated. Wide adoption of these harmonized criteria will

enhance the ability to compare outcomes from different trials and facilitate collaborative trial design.

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

118

119

## Introduction

Neuroblastoma is the most common extra-cranial solid tumor in children, and accounts for 10-15% of all cancer deaths in the first 15 years of life. Metastatic disease at diagnosis is a powerful predictor of poor outcome and is used in the International Neuroblastoma Risk Group (INRG) Staging System to select treatment for children at diagnosis.<sup>1,2</sup> Bone marrow is the most common site of infiltration in children presenting with metastatic disease at diagnosis,<sup>2</sup> and a frequent site for relapse and disease recurrence.<sup>3,4</sup> Persistence of neuroblastoma disease in bone marrow is predictive of poor outcome, 5,6,7 and provides a means to assess disease response without having to wait for the development of greater tumor burden.8,9,10 Thus making it attractive as part of clinical response criteria. Cytology of aspirates and histology of biopsies has been the gold standard to assess neuroblastoma disease in bone marrow for many years. 1,2,11 However these methods have limited sensitivity when neuroblastoma contamination is less than 10%, and could seriously underestimate the prevalence of bone marrow infiltration.<sup>3,12</sup> Significant improvements in the sensitivity and specificity of neuroblastoma cell detection in bone marrow aspirates have been made such that it is now possible to unambiguously detect a single neuroblastoma cell in one million normal cells using immunocytology (IC) or reverse transcriptase quantitative polymerase chain reaction (RTqPCR).<sup>13</sup> Furthermore these quantitative methods have shown that the level of neuroblastoma cells detected by IC<sup>5,14</sup> or RTqPCR<sup>15,16,18</sup> in bone marrow is predictive of outcome, paving the way for their introduction into clinical practice. Although consensus criteria for the detection of neuroblastoma cells in bone marrow aspirates have previously been described, 13 there is no comparable published guidance on evaluation of bone marrow biopsies. So that the definition of bone marrow response is consistent across international studies, an International Neuroblastoma Response Criteria Bone Marrow Working Group (INRC BMWG) was convened to define consensus criteria for the standardized detection and reporting of bone marrow biopsies, and to review the criteria for analysis of aspirates building on previous international experience and exploiting new knowledge.

## Methods

The INRC BMWG was assembled, with representation from Europe, North America and Australia, as a component of the Neuroblastoma Clinical Trials Planning Meeting held in April 2012 in Washington DC, supported by the National Cancer Institute. Experts from the INRC BMWG presented new data on neuroblastoma cell detection using immunohistochemistry (IHC), IC and RTqPCR from the Society International of Oncology Pediatric-Neuroblastoma (SIOPEN), German Society for Pediatric Oncology and Hematology (GPOH), Children's Oncology Group (COG) and New Approaches to Neuroblastoma Therapy (NANT) cooperative groups. Between January 2012 and September 2014 the INRC BMWG of multidisciplinary experts in pediatric oncology, pathology, translational biology and statistical methods reviewed the literature, shared, sought and examined unpublished data and opinion, participating in over 35 teleconference calls.

# Recommendations for analysis and reporting of neuroblastoma status in bone marrow

# Sample collection, preparation for analysis and storage

Bone marrow samples from at least 2 different sites should be analyzed, usually from the right and left iliac crest. 13,17 We recommend collection of representative bilateral core biopsies for histology/IHC and bilateral bone marrow aspirates for cytology, IC and RTqPCR from all children at diagnosis, and for high-risk children at the time of response assessment at the end of induction therapy; additional time points may be specified according to trial-specific protocols. We advocate the analysis of biopsies and aspirates by central reference laboratories where disease infiltration is less than or equivalent to 5%. In very young or small infants core biopsies are not recommended, as the size and quality of the biopsy is unlikely to be adequate for robust analysis. Where feasible, we suggest that the histology/IHC of bone marrow biopsies and cytology/IC/RTqPCR of bone marrow aspirates are provided in a combined report so that any concordance or discordance is revealed.

Bone marrow aspirations from different sites should be performed using separate syringes, aspirates should not be pooled so that the heterogeneity of neuroblastoma cell infiltration can be recorded and to avoid underestimating the extent of bone marrow disease. From the first aspiration (0.1–0.3ml), 5-10 smears of aspirate from each site should be prepared, air-dried and stained with Pappenheim or modified Wright stain for initial staging using cytological examination by light microscopy. From the next 3-5ml of each sample, 0.5ml of each aspirate should be transferred immediately into RNA preserving tubes such as PAXgene™ blood RNA tubes for the

extraction of RNA and RTqPCR. Samples in PAXgene<sup>TM</sup> blood RNA tubes can be stored at -80°C for up to 5 years or at room temperature for up to 3 days prior to RNA extraction.<sup>18</sup> The remaining aspirate is transferred into anticoagulant such as ethylenediaminetetraacetic acid (EDTA) or heparin, and cells isolated using density gradient centrifugation for the preparation of cytospins for IC; cytospins should be prepared within 24 hours of aspirate collection (maintained at +4°C to +8°C) and can be stored at -20°C until analyzed. <sup>13</sup>

Bone marrow core biopsies should be placed immediately in fixative and decalcified. We recommend fixation in 4% buffered formalin for 18-24 hours, and decalcification by incubating in 12.5% EDTA at pH 7.0 for 4-6 hours to preserve morphology and antigenicity. The fixed, decalcified biopsy should be embedded in paraffin, and a minimum of five slides mounted with 2-3 sections of 4µm prepared. A minimum of 2 slides should be stained with hematoxylin and eosin (H&E) for histology, the remaining unstained slides can be used for IHC which is recommended.

### **Bone marrow quality**

Only bone marrow samples of suitable quality should be investigated for diagnostic and prognostic purposes. If the sample is inadequate we recommend a repeat aspiration or biopsy, and reanalysis.

A bone marrow smear is considered representative and suitable for quantitative reporting of disease when there is greater than 5% tumor cell infiltration. When infiltration with tumor is less than or equal to 5%, then three of the following four criteria must be fulfilled to allow discrimination between no disease or minimal disease (i)

214 presence of particles with stromal cells e.g. histiocytes, fibroblasts or osteoblasts, (ii) 215 presence of megakaryocytes, (iii) the erythroblasts exceed 20% of the nucleated cells, and (iv) peripheral blood cells are within the range for age. i.e. the mature granulocytes 216 217 and lymphocytes do not exceed 65% in infants (<1 year of age) or 50% in children >1 year of age. If these criteria are not met, this should be detailed when reporting on 218 analysis of the smear. 219 Cytospins are prepared from mononuclear cells (MNCs) of the bone marrow and do 220 221 therefore not contain histiocytes and fibroblasts. Macrophages, granulocytes, 222 megakaryocytes and erythroblasts may be maintained in the MNC fraction at levels which are variable and always lower than in a bone marrow smear. Notably, 223 224 erythroblasts never exceed 20% of all nucleated cells in a representative cytospin. 225 Therefore, the above listed quality criteria for bone marrow smears do not apply to bone marrow cytospins. 226 The routine aspiration of larger volumes is not recommended to avoid dilution of the 227 228 bone marrow with blood, which will reduce the sensitivity of analyses. Cells with disrupted cellular or nuclear membranes should not be analyzed. 229 For RTqPCR each aspirate should yield a minimum of 400ng of RNA, which has an 230 optical density reading A<sub>260</sub>/A<sub>280</sub> ratio of >1.5 and <3. Amplification of cDNA, generated 231 232 from 100ng of RNA, for a house-keeping gene such as β<sub>2</sub>-microglobulin should 233 produce a cycle threshold (Ct) <25. Where there is insufficient aspirate to complete all analyses, the priority for investigations is cytology, followed by RTqPCR and finally IC. 234 An optimal bone marrow core biopsy should preferably contain red bone marrow 235 parenchyma at a minimum length of 1cm. This recommendation is derived from 236 previous published work<sup>19</sup> and has since been supported by longstanding experience. 237 The amount of hematopoietic and tumor tissue within the biopsy should be recorded 238

in mm; cortical bone, cartilage, soft tissue, blood clots or areas that are crushed are excluded from the measurement.

241

239

240

242

243

244

245

246

247

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

# Criteria for analysis and reporting of neuroblastoma cell infiltration in bone marrow core trephine biopsies

## Histology

Metastatic tumor infiltration in bone marrow biopsies is estimated as the surface area occupied by peripheral neuroblastic tumor (PNT), as a percentage of the evaluable bone marrow spaces on each side of the biopsy e.g. 0%, ≤5%, >5-<10%, ≥10-<15%, ≥15-<20%, ≥20%-<25%, ≥25%-<30% and so on. Total marrow spaces can include areas of metastatic neuroblastoma, fibrosis and necrosis, hematopoietic components and adipose tissue. The Mitosis-Karyorrhexis Index (MKI) should not be attempted as this is usually not feasible reflecting the limited number of metastatic neuroblastoma cells in bone marrow biopsy specimens.<sup>20</sup> Importantly tumor histology should be classified as poorly differentiated (PD), undifferentiated (UD) or differentiating.<sup>20</sup> In the case of small tumor aggregates the presence or absence of neuropil (a complex network of interwoven cytoplasmic processes of nerve cells and neuroglial cells) detected by IHC for synaptophysin can help to discriminate UD and PD neuroblastoma. In those rare cases where stroma-rich and stroma-poor histology are present within a single biopsy, the amount of stroma-rich and stroma-poor tumor should be recorded as a percentage of the surface area occupied by the tumor (Figure 1).

## • Immunohistochemistry (IHC)

IHC is frequently employed to improve the precision of neuroblastoma detection in bone marrow biopsies. We encourage IHC of multiple sections (>3 sections) from all biopsies using a minimum of two antibodies; to minimise cost 3 sections might be placed on a single slide with each antibody. Highly specific target antigens for which IHC is unambiguous include synaptophysin, tyrosine hydroxylase, chromogranin A and paired-like homeobox 2b (PHOX2B). Additional frequently used markers include CD56 and PGP9.5.<sup>11,20,21,22,23,24</sup> Where suspected, Schwann cells can be reliably detected by morphology and IHC for the S-100 protein.<sup>20</sup> Less useful markers for the detection of neuroblastoma cells in the bone marrow are neuron specific enolase (NSE) and NB84; NSE because it lacks specificity<sup>25</sup> and NB84 because it is rarely expressed by neuroblastoma cells in the bone marrow.<sup>26</sup> The quality of any immunohistological analysis should be monitored by simultaneous processing of a positive control sample. Relevant controls can be sections from multi-tissue blocks including the adrenal gland or other neuroendocrine tissues; an ideal control would have areas of positive and negative cells.

A bone marrow biopsy is regarded as negative for tumor in the absence of neuroblastoma cell nests detected by H&E staining and IHC, using a minimum of two antibodies to analyse at least 3 sections. A case should only be confirmed negative after assessment of all available sections.

Criteria for analysis and reporting of neuroblastoma cells in bone marrow aspirates

• Cytomorphology – bone marrow smears

Smears should be viewed by light microscopy at low (e.g. 60-100x) and high (e.g. 600-100x) magnification; at low magnification to assess cellular density and search for the presence of large tumor cell nests or clumps, and at higher magnification to identify small tumor cell nests, any potential single neuroblastoma cells and to recognize features of differentiation. Neuroblastoma nests may contain Homer Wright rosettes, with neuroblastoma cells in a characteristic ring around a center of neuropil.

Neuroblastoma cells are typically round and larger than small lymphocytes, with a high nuclear to cytoplasmic ratio. The cell nucleus can be round or oval, with a fine granular chromatin structure (so called "salt and pepper" pattern)(Figure 2). This is not always present, therefore it is not obligatory for the description of neuroblastoma. Cells in nests may range in size from large to intermediate to small, and can be polygonal in shape, producing a so-called "paving stone pattern". A nest or clump of typical neuroblasts is regarded as the lower threshold for reporting, a nest or clump containing at least 3 neuroblastoma cells (Figure 2). Granules are not visible, although in exceptional circumstances emperipolesis, phagocytosis or inclusion bodies may be detected in neuroblastic cells. Although a rare event, neuroblastoma cells may mature spontaneously or after therapy (Figure 2); if features of differentiation are detected in more than 5% of tumor cells this should be reported as "presence of differentiating neuroblastoma cells". Single neuroblasts detected by cytology alone cannot unequivocally be identified as neuroblastoma cells, and should not be reported as such (see below).

The evaluated cellularity per slide should be reported as this can impact on the sensitivity of cytology.<sup>27</sup> Single cells alone should not be scored as positive, rather we recommend such samples are reported as suspicious and the presence of neuroblastoma cells is confirmed or refuted following central review and analysis by additional methods such as IC. Where no tumor cells are revealed, this should be recorded as a tumor cell negative bone marrow aspirate.

# • Immunocytology (IC) - cytospins

Ideally, to reach a sensitivity of 1 neuroblastoma cell in 1 x 10<sup>6</sup> MNCs IC should be reported on 3 x 10<sup>6</sup> MNCs per aspirate<sup>28</sup> using a monoclonal anti-GD<sub>2</sub> disialoganglioside antibody. We endorse the use of clone 14 G2a.<sup>13</sup> Bound antibody can be visualized by light microscopy following enzymatic reaction to produce a stable chromogen, or immunofluorescence when a fluorescent antibody or reporter is employed. Criteria for the reliable light microscopic identification of neuroblastoma cells on immunocytochemically stained slides are published elsewhere and summarized in Table 1.<sup>13</sup> Where immunofluorescence is used we recommend that digital images of positive cells with image acquisition details are stored, since the fluorescence will fade with time. Cytospins of control GD<sub>2</sub> positive cells must be processed in parallel to the test samples to manage any inter-assay variation; these might usefully be bone marrow smears with a moderate to high tumor cell infiltration or cytospins of a neuroblastoma cell line which contains high and low GD<sub>2</sub> expressing cells such as IMR-32. The number of tumor cells and total number of investigated cells should be reported, from which the percentage of tumor infiltration can be calculated.

# Reverse Transcriptase quantitative Polymerase Chain Reaction (RTqPCR)

We recommend that RNA extracted from bone marrow aspirates taken at diagnosis is amplified by RTqPCR for the expression of at least the neuroblastoma mRNAs tyrosine hydroxylase (TH) and PHOX2B<sup>9,16,18</sup>. This is most strongly recommended within the minimal disease setting where neuroblastoma bone marrow contamination is ≤5% and heterogeneity of tumor content may have greatest impact. It is highly recommended for children with newly diagnosed high-risk disease at the time of entry into trial and times of response assessment, for example at the end of induction treatment.

RNA should ideally be diluted to a minimum concentration of 40ng/µl, and stored in single use aliquots (such as 10µl) in a  $-80^{\circ}$ C freezer. We recommend analysis of each RNA sample in triplicate with each replicate containing 100ng of RNA; the amount of RNA analyzed should be stated in the report. The results of RTqPCR must be reported as the cycle threshold (Ct) values so that any discrepancies between biological repeats can be identified, and using  $2^{-\Delta Ct}$  or  $-\Delta Ct$  where the expression of the neuroblastoma mRNAs is normalised to the internal control (in this case  $\beta_2$ -microglobulin);  $\Delta Ct = (Ct$  of neuroblastoma mRNA – Ct of  $\beta_2$ -microglobulin). The lower Ct for reporting RTqPCR for the neuroblastoma mRNAs is a Ct value of 40. A tumor negative bone marrow has a Ct value of  $\geq$ 40 for all of the neuroblastoma mRNAs examined, when amplification of the reference house-keeping gene generates a Ct value of <25. The quality of the amplification curves should be confirmed. <28,29,30

Where possible we strongly recommend reporting results using the comparative Ct method, also known as the  $2^{-\Delta\Delta Ct}$  method<sup>31,32</sup> which reports the fold change in  $\Delta Ct$ 

expression of the sample relative to a calibrator sample that is analyzed in each assay (ideally on each plate), to control for variation in amplification across different platforms. We advise that the sensitivity and specificity of RTqPCR analyses across centres analyzing samples from within clinical trials is maintained by quality control to minimize inter-laboratory variability.<sup>18</sup>

361

362

363

364

365

366

367

368

369

370

371

372

373

374

375

376

377

378

356

357

358

359

360

# Recommendations for reporting bone marrow response

Currently we recommend >5% bone marrow infiltration as the internationally attainable level of reliable tumor detection for reporting bone marrow response (Table 2). Importantly, prospectively the number of neuroblastoma cells and level of neuroblastoma mRNAs in bilateral bone marrow aspirates and the percentage of tumor in bilateral bone marrow biopsies should be recorded, to accumulate the data from which more precise evidence-based response criteria can be defined in the future. This is essential in the setting of minimal disease (when tumor cell infiltration is ≤5%), and when infiltration on reassessment is increased two-fold to >5% but does not reach 20%. Quantitative assessment of bone marrow infiltration is also important to more precisely evaluate the potential clinical impact of intermittent neuroblastoma cell detection. Not involved and not evaluable are clearly defined (Tables 1 and 2); where bone marrow samples were not obtained this should be recorded as 'Not done' (ND or ND<sub>PCR</sub> for IC and RTqPCR respectively). In the case of discrepant results between analysis of multiple bone marrow aspirates and biopsies using any of the described methods, the sampled site with the highest level of tumor should be utilized to grade response. Where quantification is not possible locally and for assessment of response in early phase 1 and 2 clinical trials, it is recommended that aspirates and biopsies are collected and analyzed by trial led central review.

## **Discussion**

For the first time we describe international consensus criteria for the collection, processing and quantitative reporting of neuroblastoma cells in bone marrow biopsies. Importantly in biopsies we recommend reporting the percentage area of bone marrow parenchyma occupied by tumor cells to minimize errors that may arise when the number of tumor cells in a biopsy is very low, for example after chemotherapy. We have developed these recommendations with the ambition that they will be suitable for adoption across all centres treating children with neuroblastoma (Table 4). We anticipate that, coupled with the updated guidance for assessment of neuroblastoma cell contamination in bone marrow aspirates, they will facilitate a robust international standardization of bone marrow reporting. Previous studies have reported on the variation and inadequacy of bone marrow biopsy quality, 19,33 underlining the need for change, which we anticipate the comprehensive recommendations provided in this paper will expedite.

In response to the increased sensitivity and specificity of methods to quantify clinically relevant neuroblastoma cells in bone marrow, we advocate that children with bone marrow disease ≤5% are considered in a separate response category of minimal disease. Adoption of quantitative reporting of neuroblastoma cell number and mRNA levels in prospective clinical trials will in the future inform a more precise definition of what constitutes a response in the setting of minimal disease. This information in the

long term may inform timely introduction of emerging effective agents to treat bone marrow disease, with an anticipated improvement in outcome. International adoption of these recommendations will facilitate cross-trial comparisons and increase opportunities for collaborative trial design and research, with the expectation that this will speed the advancement of new treatments to improve outcome for children with disseminated disease.

409

410

411

412

413

414

415

416

417

418

419

420

421

422

423

424

425

426

427

408

403

404

405

406

407

Several studies have reported a greater frequency of neuroblastoma identification in bone marrow biopsies than in aspirates.<sup>34,35</sup> However, there is substantial agreement that analysis of both should be performed for the most accurate interpretation of bone marrow disease. 35,36,37 This might be particularly important in the minimal disease setting, when sequential monitoring of bone marrow disease and response evaluation may be more informative. 3,6,7 Therefore we recommend that both bilateral bone marrow aspirates and biopsies are analyzed, and their clinical value compared prospectively to inform future practice. The value of IC and IHC are both dependent on the quality of the sample, and the specificity and sensitivity of the antibodies employed. For IHC we advise using antibodies against at least two of the recommended antigens, endorsing the use of synaptophysin and chromogranin A<sup>12</sup> and advocating the introduction of PHOX2B.22,23 For IC we support the use of antibodies to GD<sub>2.13</sub> and commend the inclusion of a second antibody (e.g. anti-PHOX2B or anti-CD56) to control for those rare situations where GD<sub>2</sub> expression may be weak or negative. 38 39 We advocate the use of RTqPCR within clinical trials to quantify the level of the neuroblastoma mRNA tyrosine hydroxylase, the most widely evaluated mRNA target which has prognostic value in bone marrow, 13 in all children in the minimal disease setting and in high-risk children at trial-specific disease

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

446

447

448

449

450

451

assessment time-points. In addition we now recommend the adoption of RTqPCR for PHOX2B mRNA in clinical trials, as this is reported to be a highly specific marker for the detection of disseminating neuroblastoma cells<sup>16</sup> and in combination with tyrosine hydroxylase may allow the identification of children with drug refractory disease.9 (Figure 3). Additional methods may serve to improve the sensitivity and specificity of quantitative bone marrow analysis and reporting using IC or RTqPCR, including automatic immunofluorescence plus FISH (AIPF)39 and assessment of the RNA integrity number (RIN)<sup>40</sup> respectively. However the dependency of these tests on specialist equipment prohibits their inclusion as standard recommendations for assessment of disease. Whilst flow cytometry has been utilized to quantify neuroblastoma cell content in bone marrow aspirates, a requirement to analyze large numbers of cells reduces the sensitivity of this approach which is not recommended in the clinical setting.41 In summary, consensus methods to detect neuroblastoma cells and mRNAs in bone marrow aspirates and biopsies have been described (Figure 3). The future challenge will be to empower centres to improve the quality of bone marrow collection from children with neuroblastoma, and to assess whether these recommendations have changed practice. Adoption of these consensus recommendations by the international community will enhance the comparison of results from clinical trials to expedite trial led change in response assessment, to improve outcome for children with neuroblastoma.

### **Acknowledgements**

We are grateful to Roswitha Schmacher-Kuckelkorn (Cologne, Germany) and Laura Finn, Joseph Rutledge, Kathy Patterson and Min Xu (Seattle, USA) for sharing their

452	expertise and data, and to the members of the CTPM Executive board for their helpful
453	comments and clinical insight.
454	References
455	1 Monclair T, Brodeur GM, Ambros PF, et al. INRG Task Force. The International
456	Neuroblastoma Risk Group (INRG) staging system: an INRG Task Force report. J Clin
457	Oncol. 2009;27:298-303.
458	
459	2 Cohn SL, Pearson AD, London WB, et al. INRG Task Force. The International
460	Neuroblastoma Risk Group (INRG) classification system: an INRG Task Force report.
461	J Clin Oncol. 2009;27:289-297.
462	
463	3 Cheung NK, Heller G, Kushner BH, Liu C, Cheung IY. Detection of metastatic
464	neuroblastoma in bone marrow: when is routine marrow histology insensitive? J Clin
465	Oncol. 1997;15:2807-2817.
466	
467	4 Kushner BH, Kramer K, Modak S, Cheung NK. Sensitivity of Surveillance Studies
468	for detecting Asymptomatic and Unsuspected Relapse of High-Risk Neuroblastoma.
469	J Clin Oncol. 2009;27:1041-1046.
470	
471	<b>5</b> Seeger RC, Reynolds CP, Gallego R, Stram DO, Gerbing RB, Matthay KK.
472	Quantitative tumor cell content of bone marrow and blood as a predictor of outcome
473	in stage IV neuroblastoma: a Children's Cancer Group Study. J Clin Oncol.
474	2000;18:4067–4076.
475	

476	6 Cai JY, Pan C, Tang YJ, et al. Minimal residual disease is a prognostic marker for
477	neuroblastoma with bone marrow infiltration. Am J Clin Oncol. 2012;35:275-278.
478	
479	7 Choi YB, Bae GE, Lee NH, et al. Clinical Significance of Persistent Tumor in Bone
480	Marrow during Treatment of High-risk neuroblastoma. J Korean Med Sci.
481	2015;30:1062-1067.
482	
483	8 Stutterheim J, Zappeij-Kannegieter L, Versteeg R, Caron HN, van der Schoot CE,
484	Tytgat GA. The prognostic value of fast molecular response of marrow disease in
485	patients aged over 1 year with stage 4 neuroblastoma. Eur J Cancer. 2011;47:1193-
486	1202.
487	
488	9 Viprey VF, Gregory WM, Corrias MV, et al. Neuroblastoma mRNAs Predict Outcome
489	in Children with Stage 4 Neuroblastoma: A European HR-NBL1/SIOPEN Study. J Clin
490	Oncol. 2014;32:1074-1083.
491	
492	10 Cheung N-KV, Ostrovnaya I, Kuk D, Cheung IY. Bone marrow minimal residual
493	disease was an early response marker and a consistent independent predictor of
494	survival after anti-GD2 immunotherapy. J Clin Oncol. 2015;33:755-763.
495	
496	11 Brodeur GM, Pritchard J, Berthold F, et al. Revisions of the International Criteria
497	for Neuroblastoma Diagnosis, Staging, and Response to Treatment. J Clin Oncol.
498	1993;11:1466-1477.
499	

500	12 Méhes G, Luegmayr A, Kornmüller R, et al. Detection of disseminated tumor cells	
501	in neuroblastoma: 3 log improvement in sensitivity by automatic immunofluorescence	
502	plus FISH (AIPF) analysis compared with classical bone marrow cytology. Am J	
503	Pathol. 2003;163:393-399.	
504		
505	13 Beiske K, Burchill SA, Cheung IY, et al. International neuroblastoma Risk Group	
506	Task Force. Consensus criteria for sensitive detection of minimal neuroblastoma cells	
507	in bone marrow, blood and stem cell preparations by immunocytology and QRT-PCR:	
508	recommendations by the International Neuroblastoma Risk Group Task Force. Br J	
509	Cancer. 2009;100:1627-1637.	
510		
511	14 Moss TJ, Reynolds CP, Sather HN, Romansky SG, Hammond GD, Seeger RC.	
512	Prognostic value of immunocytologic detection of bone marrow metastases in	
513	neuroblastoma. N Engl J Med. 1991;324:219-226.	
514		
515	15 Burchill SA, Lewis IJ, Abrams KR, et al. Circulating neuroblastoma cells detected	
516	by reverse transcriptase polymerase chain reaction for tyrosine hydroxylase mRNA	
517	are an independent poor prognostic indicator in stage 4 neuroblastoma in children	
518	over 1 year. J Clin Oncol. 2001;19:1795-1801.	
519		
520	16 Stutterheim J, Gerritsen A, Zappeij-Kannegieter L, et al. PHOX2B is a novel and	
521	specific marker for minimal residual disease testing in neuroblastoma. J Clin Oncol.	
522	2008; 26:5443-5449.	
523		

524	17 Franklin IM, Pritchard J. Detection of bone marrow invasion by neuroblastoma is		
525	improved by sampling at two sites with both aspirates and trephine biopsies. J Clin		
526	Pathol. 1983;36:1215-1218.		
527			
528	18 Viprey VF, Corrias MV, Kagedal B, et al. Standardisation of operating procedures		
529	for the detection of minimal disease by QRT-PCR in children with neuroblastoma:		
530	quality assurance on behalf of SIOPEN-R-NET. Eur J Cancer. 2007;43:341-350.		
531			
532	19 Reid MM, Roald B. Deterioration in performance in obtaining bone marrow trephine		
533	biopsy cores from children. European Neuroblastoma Study Group. J Clin Pathol.		
534	1999;52:851-852.		
535			
536	20 Shimada H, Ambros IM, Dehner LP, Hata J, Joshi VV, Roald B. Terminology and		
537	morphologic criteria of neuroblastic tumors: recommendations by the International		
538	Neuroblastoma Pathology Committee. Cancer. 1999;86:349-363.		
539			
540	21 Nagai J, Kigasawa H, Tomioka K, Koga N, Nishihira H, Nagao T.		
541	Immunocytochemical detection of bone marrow-invasive neuroblastoma cells. Eur J		
542	Haematol. 1994;53:74-77.		
543			
544	22 Bielle F, Fréneaux P, Jeanne-Pasquier C, et al. PHOX2B immunolabeling: a novel		
545	tool for the diagnosis of undifferentiated neuroblastomas among childhood small round		
546	blue-cell tumors. Am J Surg Pathol. 2012;36:1141-1149.		
547			

548	23 Hata JL, Correa H, Krishnan C, et al. Diagnostic utility of PHOX2B in primary and
549	treated neuroblastoma and in neuroblastoma metastatic to the bone marrow. Arch
550	Pathol Lab Med. 2015;139:543-546.
551	
552	24 Parsons LN, Gheorghe G, Yan K, Simpson P, Jarzembowski JA. Improving
553	detection of metastatic neuroblastoma in bone marrow core biopsies: a proposed
554	immunohistochemical approach. Pediatric Developmental Pathology. 2016;19: 230-
555	236.
556	
557	25 Nakajima T, Kameya T, Tsumuraya M, et al: Immunohistochemical demonstration
558	of neuron-specific enolase in normal and neoplastic tissues. Biomedical Research.
559	1983;4: 495-504,
560	
561	26 Bomken SN, Redfern K, Wood KM, Reid MM, Tweddle DA. Limitations in the ability
562	of NB84 to detect metastatic neuroblastoma cells in bone marrow. J Clin Pathol.
563	2006;59:927-929.
564	
565	27 Swerts K, Ambros PF, Brouzes C, et al. Standardization of the
566	Immunocytochemical Detection of Neuroblastoma Cells in Bone Marrow. J Histochem
567	Cytochem. 2005;53:1433-1440.
568	
569	28 Bustin SA. The PCR Revolution Basic Technologies and Applications. Cambridge,
570	Cambridge University Press, 2010.
571	

572	29 Ruijter JM, Pfaffl MW, Zhao S, et al. Evaluation of qPCR curve analysis methods
573	for reliable biomarker discovery: Bias, resolution, precision, and implications.
574	Methods. 2013; 59:32-46.
575	
576	30 Thermo Fisher Scientific [online tutorial]. Available from URL:
577	http://www.appliedbiosystems.com/absite/us/en/home/support/tutorials/.html
578	[accessed May 10, 2016].
579	
580	31 Livak KJ, Schmittgen TD. Analysis of Relative Gene Expression Data Using Real-
581	Time Quantitative PCR and the $2^{-\triangle\triangle C}_T$ Method. Methods. 2001; 25:402-408.
582	
583	32 Schmittgen TD, Livak KJ. Analyzing real-time PCR data by the comparative C(T)
584	method. Nature Protocols. 2008;3:1101-1108.
585	
586	33 Reid MM, Roald B. Adequacy of bone marrow biopsy specimens in children. J Clin
587	Pathol. 1996;49: 226-229.
588	
589	34 Favrot MC, Frappaz D, Maritaz O, et al. Histological, cytological and immunological
590	analyses are complementary for the detection of neuroblastoma cells in bone marrow.
591	Br J Cancer. 1986;54:637-641.
592	
593	35 Mills AE, Bird AR. Bone marrow changes in neuroblastoma. Pediatr Pathol.
594	1986;5:225-234.

596	36 Aronica PA, Pirrotta VT, Yunis EJ, Penchansky L. Detection of Neuroblastoma in			
597	the Bone Marrow: Biopsy versus Aspiration. J Ped Hematol Oncol 1998; 20:330-334.			
598				
599	37 Oppedal BR, Storm-Mathisen I, Kemshead JT, Brandtzaeg P. Bone marrow			
600	examination in neuroblastoma patients: a morphologic, immunocytochemical, and			
601	immunohistochemical study. Hum Pathol. 1989 20:800-805.			
602				
603	38 Schumacher-Kuckelkorn R, Hero B, Ernestus K, Berthold F. Lacking			
604	immunocytological GD2 expression in neuroblastoma: report of 3 cases. Pediatr Blood			
605	Cancer. 2005;45:195-201.			
606				
607	39 Méhes G, Luegmayr A, Ambros IM, Ladenstein R, Ambros PF. Combined			
608	automatic immunological and molecular cytogenetic analysis allows exact			
609	identification and quantification of tumor cells in the bone marrow. Clin Cancer Res.			
610	2001; 7:1969–1975.			
611				
612	40 Schroeder KL, Okubara PA, Tambong JT, Lévesque CA, Paulitz TC. Identification			
613	and Quantification of Pathogenic Pythium spp. from Soils in Eastern Washington			
614	Using Real-Time Polymerase Chain Reaction. Phytopathology. 2006;96:637-647.			
615				
616	41 Ifversen MR, Kågedal B, Christensen LD, Rechnitzer C, Petersen BL, Heilmann C.			
617	Comparison of immunocytochemistry, real-time quantitative RT-PCR and flow			
618	cytometry for detection of minimal residual disease in neuroblastoma. Int J Oncol.			
619	2005;27:121-129.			

# Figure 1. Histomorphological features of differentiation.

- A. Trephine biopsy after chemotherapy of an initially poorly differentiated neuroblastoma demonstrating non-neoplastic Schwann cell rich stroma.
- B. Contra-lateral trephine biopsy from the same trephine in A. In addition to sheets of Schwann cells (not shown), only 2 foci of tumor cells are found (areas marked with dotted lines) which include a sufficient number of differentiating neuroblasts with abundant cytoplasm (arrows) to fulfill the criteria of a differentiating neuroblastoma.
- **c.** Trephine biopsy after chemotherapy shows proliferation of non-neoplastic Schwann cell stroma encasing a few differentiating neuroblasts/ganglion-like cells either as single cells (long arrows) or in small clusters (short arrows and inset down left) resembling maturing ganglioneuroma.

644	Figure 2. Cytomorphological features of differentiation.		
645	A, B.	Neuroblastoma cell nests with poorly differentiated neuroblasts	
646	C.	Neuroblastoma cell clump with varying features of differentiation: increasing	
647	cell size, larger nuclei with bluish nucleoli, more distinct nuclear membrane, coarse		
648	chromatin, polychromatic cytoplasm, neuropil between cells.		
649	D.	Differentiating cells increase even more in size, more compact and coarse	
650	granular chromatin, nuclear membrane like pencil-delineated, few bluish nucleoli,		
651	one bi-nucleated cell		
652	E.	Tumor cell clump with 5 differentiating cells: large nuclei with big nucleoli,	
653	exten	sive polychromatic cytoplasm	
654	F.	Tumor cell nest with undifferentiated cells and one differentiated giant	
655	ganglionic-like cell with abundant cytoplasm and two nuclei and extra chromatin.		
656	Both nuclei contain 3-4 bluish nucleoli.		
657			
658			

Figure 3. Consensus recommendations for analysis of bone marrow biopsies and aspirates

Analysis of both bilateral bone marrow biopsies and aspirates is recommended. We advise IHC of multiple sections using antibodies against at least two of the recommended antigens and advocate the inclusion of PHOX2B. For IC we support the analysis of at least 3 x  $10^6$  MNCs per aspirate using a monoclonal anti-GD<sub>2</sub> disialoganglioside antibody; a second antibody may be included to control for those rare situations where GD<sub>2</sub> expression is weak or negative. Within clinical trials we recommend RTqPCR of RNA extracted from bone marrow aspirates to quantify the level of the neuroblastoma mRNAs tyrosine hydroxylase and PHOX2B. The results of RTqPCR must be reported as the Ct value and using  $2^{-\Delta Ct}$  or  $-\Delta Ct$ , where the expression of the neuroblastoma mRNAs is normalised to an internal control; we recommend  $\beta_2$ -microglobulin.