

1 **Evidence for the dissolution of molybdenum during tribocorrosion of CoCrMo hip implants in**  
2 **the presence of serum protein**

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26

27 ABSTRACT

28 We have characterized CoCrMo, Metal-on-Metal (MoM) implant, wear debris particles and  
29 their dissolution following cycling in a hip simulator, and have related the results to the  
30 tribocorrosion of synthetic wear debris produced by milling CoCrMo powders in solutions  
31 representative of environments in the human body. Importantly, we have employed a modified  
32 ICP-MS sample preparation procedure to measure the release of ions from CoCrMo alloys  
33 during wear simulation in different media; this involved use of nano-porous ultrafilters which  
34 allowed complete separation of particles from free ions and complexes in solution. As a result,  
35 we present a new perspective on the release of metal ions and formation of metal complexes  
36 from CoCrMo implants. The new methodology enables the mass balance of ions relative to  
37 complexes and particles during tribocorrosion in hip simulators to be determined. A much  
38 higher release of molybdenum ions relative to cobalt and chromium has been measured. The  
39 molybdenum dissolution was enhanced by the presence of bovine serum albumin (BSA),  
40 possibly due to the formation of metal-protein complexes. Overall, we believe that the results  
41 could have significant implications for the analysis and interpretation of metal ion levels in  
42 fluids extracted from hip arthroplasty patients; we suggest that metal levels, including  
43 molybdenum, be analysed in these fluids using the protocol described here.

44

45 KEYWORDS: Hip implants; Metal-on-Metal; Wear Debris; Tribocorrosion; ICP-MS.

46

47 1. INTRODUCTION

48 Metal-on-Metal (MoM) hip implants were originally considered a huge advance in prosthetic  
49 surgery, particularly for long-term use by younger patients. They have however shown high  
50 revision surgery rates which have been linked to material loss, either as implant corrosion or  
51 wear [1]. This loss can produce a tissue reaction that may lead to pain, implant loosening and  
52 implant failure. In addition, pseudotumors are thought to represent a common adverse  
53 reaction to the resulting metal wear debris or dissolved ions, although these have been found  
54 in both patients in pain and those with a well-functioning MoM hip implant [2,3].

55

56 Biotribocorrosion of these implants, which are typically fabricated from CoCrMo alloys, involves  
57 both corrosion of the implant surface itself, which is stimulated by the wear process removing a  
58 chromium-rich passivating film, and also mechanical wear of the surface to produce wear  
59 debris particles [4,5]; such nanoparticles can be spread by lymphatic circulation and  
60 subsequently corrode. Both processes can therefore give rise to the release of metal ions.  
61 These may have an inflammatory or toxic effect on cells and tissues, owing to the fact that  
62 certain metal ions can complex with proteins and disable their primary function [6–8]. This  
63 inflammatory response in turn creates conditions that can accelerate the ion release rate, such  
64 as generation of oxidizing species and local acidification.

65  
66 Current studies on CoCrMo alloy implants confirm that levels of cobalt and chromium ions in  
67 the blood/urine rise after replacement surgery and could persist throughout an implant's life;  
68 molybdenum levels are not normally monitored because it is considered to be a minor  
69 constituent in the alloy [9–11]. As a result there is an ongoing debate concerning the nature  
70 and level of the wear debris particles and metal ions released, the transport mechanisms of  
71 both the ions and the particles, and the link with inflammation [12]. Whilst the tribocorrosive  
72 degradation of orthopaedic devices has been investigated for a number of interfaces [4,13,14],  
73 the degradation of the debris produced as a result of wear has been the focus of only a few  
74 research studies [15,16].

75  
76 Wear debris particles generated *in vivo* from CoCrMo implants and found in fixed tissue  
77 sections cover a size range of 6 – 834 nm, but are most commonly observed to be around 30  
78 nm in diameter [17–19]. Analysis by transmission electron microscopy (TEM) and energy  
79 dispersive X-ray spectroscopy (EDX) of wear debris originally suspended in synovial fluid,  
80 extracted from patients with implants reveals three common particulate species: particles with  
81 similar composition to the bulk material, particles rich in chromium and molybdenum and also  
82 particles composed predominantly of chromium and oxygen [18]; electron energy loss  
83 spectroscopy (EELS) in the TEM showed that the majority of these particles were in the Cr(III)  
84 oxidation state. Although related to a different interface, involving fretting corrosion at the

85 taper junction of the stem and femoral head of the implant, Hart *et al.* [20,21] conducted  
86 investigations using X-ray Synchrotron radiation (with a 4  $\mu\text{m}$  diameter scanned probe) on  
87 tissue sections extracted from regions adjacent to Ultima MoM implants (which exhibit a high  
88 failure rate) as well as from non-Ultima MoM implants, both implants being constructed from  
89 both high carbon (cup liner and stem) and low carbon (head) CoCrMo ASTM F75 alloys. Here, a  
90 chromium phosphate was shown to be the predominant species in tissue surrounding the non-  
91 Ultima implants, whereas analysis of tissue surrounding the Ultima MoM implants revealed a  
92 mixture of metallic Co, with a significant amount of Co (II) as the main species, together with  
93 some Cr phosphate. The inflammatory response to both metal ions and CoCrMo alloy particles  
94 has been highlighted by Caicedo *et al.* [22].

95  
96 Studies on extracted tissues and fluids surrounding implants are complex to undertake and as a  
97 result hip simulators have been developed to mimic the motions and loads experienced by hip  
98 implants, so simulating the degradation process and producing both metal ions and wear debris  
99 particles. The size of particles produced in artificial hip simulators and tribometers are typically  
100 in the range 20-100 nm in diameter [23–25], similar to those produced *in vivo*, but their  
101 detailed form could depend on variables such as: the type of simulated body fluid, the number  
102 of simulation cycles, the head size and the manufacturer of the implant.

103  
104 Since hip simulators produce a relatively small amount of wear debris for subsequent testing, it  
105 is very difficult to obtain sufficient material for detailed corrosion and toxicological studies of  
106 the particles. Hence, recently, an alternative route is to produce larger amounts of synthetic  
107 wear debris particles by wet ball milling of powders of the base alloys and then separation of  
108 the appropriate size fraction using centrifugal sedimentation [26]. Both hip simulators and ball  
109 milling permit studies of the behaviour of particles in different fluid media, enabling  
110 investigation of particle dissolution routes during tribocorrosion.

111  
112 The established technique used to analyse the levels of metal ions in hip simulation fluids is  
113 inductively coupled plasma mass spectrometry (ICP-MS), which is conventionally undertaken

114 following a sample preparation route of centrifugation, extraction of the supernatant, acid  
115 digestion and finally ICP-MS analysis. The centrifugation, digestion and dilution procedures are  
116 used to reduce clogging of the ICP-MS injector tube, as well as to minimise the influence of any  
117 matrix effects which may cause either spectral overlap problems or matrix-induced changes in  
118 the intensity of an analyte signal during analysis. Studies of the total mass loss of hip implants  
119 due to wear and corrosion have employed this sample preparation route for ICP-MS analysis in  
120 order to show that simulated wear debris has a similar composition to that of the bulk alloy  
121 [27–29]. Yan *et al.* [30] attempted to separate wear debris particles and ions, produced by pin-  
122 on-plate testing in biological solutions, by using centrifugation (10 min, 14000 rpm) prior to ICP-  
123 MS analysis. Their results suggested that metal ion contents under open circuit conditions are  
124 similar to the bulk alloy composition.

125  
126 In this study, we demonstrate that centrifugation alone is insufficient to separate  
127 nanoparticulate wear debris suspended in simulation media from metal ions dissolved in the  
128 same media. Critically we show that a combination of centrifugation and ultrafiltration are  
129 required for representative ICP-MS analysis of the products of a number of relevant processes:  
130 biotribocorrosion during hip simulation, as well as the tribocorrosion of particles during milling  
131 and also their static corrosion during incubation of synthetic wear debris particles. This, more  
132 accurate method of separating wear particles and also ions complexed to proteins from  
133 dissolved, free ions, allows us to identify a significant, hitherto unexplored, ion release pathway  
134 through the body.

135

## 136 2. MATERIALS, METHODS AND METHOD DEVELOPMENT

137

### 138 *Hip Simulator Studies*

139 Particulate debris were generated from a 28 mm diameter metal-on-metal hip bearing surface  
140 in a Deep Flexion Prosim hip-simulator for 0.3 million cycles (Mc) under ISO loading conditions.  
141 A typical twin peak loading cycle, with a peak load of 3 kN and a swing phase of 150 N, was run  
142 at a frequency of 1 Hz. Top axis rotation was between +/- 10°, whilst flexion and extension was

143 between +35° to -15°. The bearing material was wrought CoCrMo high carbon alloy (DePuy).  
144 The lubricant used was 12 g/L Foetal Bovine Serum (FBS) plus Phosphate Buffered Saline  
145 solution (PBS), supplemented with 0.03% sodium azide to retard bacterial growth (Sigma-  
146 Aldrich).

147

#### 148 *Mechanochemical Milling*

149 This technique will be detailed more fully in a future publication, however it has been shown to  
150 produce wear debris with a good approximation of size, shape and composition to that  
151 generated *in-vivo* [26,31,32]. It is employed due to the limitations of methods such as hip  
152 simulators and pin-on-plate to generate large volumes of CoCrMo nanoparticles in a reasonable  
153 amount of time, therefore allowing a more detailed study of the corrosion behaviour of wear  
154 debris and its use in subsequent toxicological tests. In addition, milling allows the study of the  
155 tribocorrosion of CoCrMo particles in different simulated body environments.

156

157 Synthetic wear debris was obtained *via* mechanochemical milling of a micron-sized, gas  
158 atomised CoCrMo high carbon powder (Sandvik Osprey – Co212-c) in a SPEX 8000M mill for 150  
159 min, using a ball-to-powder ratio of 3:2, and 40 g/L of Bovine Serum Albumin (BSA) purified by a  
160 heat shock process, pH 7.0 (Fisher Scientific), and diluted in MilliQ Water. Milling was also  
161 performed in pure PBS solution and Simulated Synovial Fluid (SSF) - a mix of iron-supplemented  
162 Calf Serum diluted to a total protein content of 40 g/L with PBS (Sigma-Aldrich). Milling was  
163 performed without bacterial control, as samples were also used for subsequent toxicological  
164 tests which could potentially affect the results.

165

#### 166 *Static Corrosion*

167 Following milling of the CoCrMo alloy powders in BSA at pH 7.2, static dissolution studies  
168 (incubation) were then conducted over a period of 24 h at 37°C and under both neutral and  
169 acid pH conditions, adjusted using HCl (shown at the bottom of Table 1). This latter procedure  
170 focused on simulating the different pH environments that metal particles could be exposed to  
171 within the human body. The particles were also tested for much longer periods (3, 6, 9 and 12

172 months) of incubation in BSA, PBS and SSF at 3-4°C, avoiding the temperature range for  
173 bacterial growth.

174

#### 175 *Transmission Electron Microscopy (TEM)*

176 Particulate dispersions at the various stages of sample preparation for ICP-MS were imaged and  
177 analysed using a FEI Tecnai F20 field emission (scanning) TEM/STEM operated at 200 kV and  
178 fitted with an Oxford Instruments ultrathin window ISIS EDX system. Unless otherwise stated,  
179 dispersions were simply drop cast onto holey carbon films supported on TEM grids (Agar  
180 Scientific Ltd.). Characterisation of particle morphology was carried out based on the procedure  
181 used by Catelas *et al.* [23], who used ratios of the maximum dimensions of each particle  
182 measured along orthogonal directions. Particles were classified according to the value of the  
183 ratio ( $r$ ) of length to width and gave information on particle shape: spherical if  $1 \leq r < 1.2$ ,  
184 faceted if  $1.2 \leq r < 2.5$ , and elongated if  $r \geq 2.5$ . Statistical significance of the results was  
185 calculated by ANOVA with a 95% degree of confidence.

186

#### 187 *Fourier transform infrared spectroscopy (FTIR)*

188 Infrared characterization centrifuged and ultrafiltered supernatants was carried out using a  
189 Thermo Scientific Nicolet iS10 FTIR spectrometer running OMNIC processing software, and  
190 fitted with an attenuated total reflection (ATR) accessory. Water was used as a background.

191

#### 192 *Inductively Coupled Plasma Mass Spectrometry (ICP-MS)*

193 Metallic ions present in solution after biotribocorrosion of both CoCrMo hip implants (i.e. hip  
194 simulation) and gas atomised microparticles (i.e. milling) were analysed using a Perkin Elmer,  
195 Elan DRce, ICP-MS. The levels of isotopes  $^{59}\text{Co}$ ,  $^{52}\text{Cr}$  and  $^{96}\text{Mo}$  were measured.

196

#### 197 **2.1 Method Development**

198

199 Recent evidence indicates nanoparticles are ineffectively removed from a supernatant by  
200 centrifugation alone [33], hence we employed an alternative route to separate nanoparticles

201 from ions during ICP-MS sample preparation. Initially three different methods were explored to  
202 separate particles and ions in the hip simulator lubricant: firstly, centrifugation at 14,000 rpm  
203 for 5-30 min; secondly ultracentrifugation using 40,000-60,000 rpm (a relative Centrifugal Field  
204 of 66,700-150,000  $xg$ ) for 30-60 min; and finally, centrifugation at 14,000 rpm for 10 min,  
205 extraction of the supernatant and then further centrifugation at 14,500 rpm (14000  $xg$ ) for 30  
206 min using a Vivacon® ultrafiltration spin column equipped with a Hydrosart cellulose membrane  
207 of 2 kDa molecular weight cut-off (MWCO), corresponding approximately to a pore size of 1.5  
208 nm. The supernatants of the ultracentrifuged samples were analysed by (S)TEM/EDX before  
209 acid digestion, as well as the supernatant following centrifugation and ultrafiltration. To  
210 prepare TEM samples of these supernatants, grids were simply immersed for 24h in the  
211 corresponding liquid, collected and then dried (as per the procedure detailed by Xu *et al.* [33]).

212

213 Following each separation process applied to the hip simulator lubricant, 1 ml of each sample  
214 was digested in 1 ml of 5% nitric acid for 30 min in an ultrasonic bath (65°C). The samples were  
215 then centrifuged again at 14,000 rpm for 10 min and 0.5 ml of the supernatant was collected  
216 and diluted in 8 ml of MilliQ water. Finally, the levels of isotopes  $^{59}\text{Co}$ ,  $^{52}\text{Cr}$  and  $^{96}\text{Mo}$  were  
217 measured by ICP-MS. For the case of the mechanochemically milled samples, these were  
218 diluted 20x and only the centrifugation plus ultrafiltration protocol was used prior to ICP-MS  
219 analysis (Table 1).

220

221 Following the most severe conditions employed for centrifugal sedimentation of the hip  
222 simulator lubricant, i.e. after ultracentrifugation at 60,000 rpm for 60 min, (S)TEM/EDX  
223 revealed that the supernatant still contained nanoparticles (Figure 1). For this case, 75 particles  
224 in the supernatant were analysed and a preponderance (~55%) of salt particles was found.  
225 These had presumably precipitated from the PBS itself during drying (possibly NaCl, KCl,  
226  $\text{Na}_2\text{HPO}_4$  or  $\text{KH}_2\text{PO}_4$ ). Less than 5% of the particles were found to be relatively large and rich in  
227 Cr, O and P together with evidence of a thick amorphous coating (see Figure 1A), whereas  
228 roughly 40% of particles were observed to be very small Co particles, with only traces of Cr and  
229 Mo and these were again suspended in an amorphous or gel-like coating (Figure 1B) containing



230 N, most likely from the bovine serum albumin. Importantly, samples which had undergone  
231 centrifugation followed by ultrafiltration showed an absence of any kind of particles on the TEM  
232 grid, indicating that the common procedure of solely using a centrifugation step does not  
233 remove all nanoparticles in a supernatant suspension. In order to achieve a good separation of  
234 particles and dissolved ions, a physical barrier such as the ultrafilters is required.

235  
236 The retaining properties of the ultrafilters were assessed using Co, Cr and Mo ionic standards at  
237 a concentration of ca. 100 µg/L for each element (purchased from Reagecon). The assays were  
238 all performed in triplicate using the following conditions: (a) mix all three standards and dilute  
239 in MilliQ water; (b) mix all three standards, dilute in MilliQ water and centrifuge (30 min) using  
240 a 2 kDa ultrafilter; (c) mix all three standards, dilute in BSA (40 g/mL) in PBS and centrifuge  
241 using a 2 kDa ultrafilter. The corresponding ICP-MS data from the filtrates are shown in Figure  
242 2. The results show no significant difference in the Co, Cr and Mo ionic levels measured in  
243 MilliQ water with or without the use of ultrafiltration. Even when Co and Cr ions were placed in  
244 BSA+PBS solution, the amounts measured were within the standard deviation of the original  
245 concentration. However, Mo shows a significant drop in ionic concentration after exposure to  
246 BSA+PBS and ultrafiltration, where only 32% of the Mo ions were recovered. This strongly  
247 suggests that the Mo ions could be complexing with the proteins present in BSA[6,34]. The pore  
248 size of the ultrafilter is designed to remove proteins larger than 2 kDa as well as removing  
249 particles bigger than 1.5 nm, leaving only free metal ions in the filtered solution.

250

### 251 3. RESULTS

252

#### 253 3.1 Tribocorrosion during Hip Simulation

254 Following wear testing in the hip simulator, approximately 100 wear debris particles suspended  
255 in the lubricant (without any centrifugation) were analysed by (S)TEM, and particle size, shape  
256 and composition were recorded. Particles were found to have an average size of  $18 \pm 12$  nm,  
257 with 76 % of particles being faceted, 19 % elongated and 6 % spherical. The majority of the  
258 larger particles were found to be Cr-rich and associated with P and O (an example is shown in

259 **Figure 3A**). The smaller particles were often more acicular, generally enriched in Co and Mo  
260 (see **Figure 3B**) and associated with the presence of N, O and S.

261

262

263 For the hip simulator lubricant samples submitted to just centrifugation or ultracentrifugation,  
264 the elemental ratios determined by ICP-MS were found to be broadly comparable to the bulk  
265 alloy composition (Co-60, Cr-29%, Mo-7%), but with Mo and Co levels raised by a few percent  
266 and Cr levels lowered by similar percentage (Figure 4). The metallic particles already identified  
267 in the supernatants by TEM (**Figure 1** - A and B) could easily have been dissolved by the  
268 digestion process, or measured directly during ICP-MS. Using ultracentrifugation, the absolute  
269 levels of dissolved metal ions are reduced, especially at higher centrifuge speeds and longer  
270 times, however the relative elemental ratios remain identical within experimental variation.

271 The use of an ultrafiltration step decreases the absolute level of metal ions by about 6 times, as  
272 compared to centrifugation alone, and by about 2 times when compared to ultracentrifugation  
273 alone. Importantly though, there is a significant change in the relative elemental ratios (from  
274 the base alloy composition) following ultrafiltration, suggesting much higher fractions of Mo  
275 (and to a lesser extent Co) dissolution together with release of a very low fraction of Cr during  
276 the walking cycle simulation. This marked difference is due to the 2 kDa ultrafiltration step  
277 removing nanoparticles and protein complexes prior to ICP-MS analysis, so providing a more  
278 representative and accurate level of actual Co and Cr metal ion dissolution, as well as an  
279 indication (from figure 2) of both the free Mo ion fraction in solution (about 1/3) and that  
280 inferred to be complexed to BSA in solution (about 2/3).

281

### 282 3.2 Tribocorrosion of CoCrMo particles during milling

283 Mechanochemical milling was also used to compare the release of ions from CoCrMo alloy  
284 powders suspended in different media: PBS, BSA and SSF (i.e. salts and serum) under both  
285 dynamic milling (tribocorrosion) and static conditions (incubation). Subsequent centrifugation  
286 plus ultrafiltration and ICP-MS analysis of CoCrMo alloy particles under dynamic conditions  
287 showed that particles milled in PBS released Co and Mo ions in a ratio of about 5:1 (Table 1),

288 which represents a decrease in Co/Mo ratio as compared to the CoCrMo standard alloy (ca.  
289 10:1). Whilst for material milled in BSA and SSF (both of which contain the same concentration  
290 of serum proteins), total Mo levels have to be estimated from that measured in solution and  
291 that inferred to be complexed with proteins. Mo is then the dominant ion released in BSA and  
292 is in a 1:1 ratio with Co in SSF (Table 1).

293

### 294 3.3 Corrosion of CoCrMo particles as a function of time and environment

295 Static dissolution studies (incubation) conducted over a period of 24 h under both neutral and  
296 acidic pH conditions showed significant solubility of Mo at pH 7.2 and significant Co and Cr  
297 solubility only at low pH. Incubation under neutral pH in BSA, reveals Mo as the main element  
298 released from the alloy. Cr showed the lowest level of dissolution relative to Co and Mo in all  
299 the solutions tested during tribocorrosion. Cr solubility however was clearly enhanced at low  
300 pH (4.8), leading to relative levels of ionic release of Co, Cr and Mo that were comparable with  
301 the bulk CoCrMo alloy composition; this was the only situation following centrifugation plus  
302 ultrafiltration where this behaviour was observed.

303

304 The exposure of milled particles for prolonged times in BSA (**Figure 5**) showed Mo as the  
305 preferential corrosion species, when taking into account the fact that the level of Mo measured  
306 after ultrafiltration is roughly 32% of the total (i.e. accounting for the 68% of Mo ions that  
307 interact with BSA and are retained by the ultrafilter, Figure 2). In PBS (**Figure 6**) and SSF (**Figure**  
308 **7**) Co corrodes from the particles. In terms of the absolute amount of ionic product released,  
309 the salt-rich solutions of PBS and SSF released at least twice as many ions as BSA. Cr showed no  
310 significant corrosion in all three solutions tested. Serum however appears to play an inverse  
311 role in cobalt dissolution; extended exposure times greatly enhanced the release of Mo in the  
312 presence of BSA alone, while inhibiting Co release (i.e. potentially acting as a protective bio  
313 layer[35]).

314

### 315 3.4 Binding of metal ions to proteins

316 FTIR spectroscopy of pure BSA and CoCrMo milled in BSA followed by centrifugal sedimentation  
317 was carried out with and without ultrafilters. **Figure 8A** displays the FTIR spectrum for BSA  
318 diluted in MilliQ water following centrifugation, which indicated the presence of primary and  
319 secondary amides [36]. The same absorbances were seen in the FTIR spectrum of CoCrMo  
320 particles milled in BSA following centrifugation with an increase in absorption, possibly due to  
321 protein coverage on the particle surface (**Figure 8B**). The FTIR spectrum of the solution after  
322 CoCrMo particles milled in BSA had been centrifuged and ultrafiltered with a 100 kDa ultrafilter,  
323 which removed most of the nanoparticles present in solution is shown in **Figure 8C**.  
324 Presumably, the nanoparticles interact with the amide groups in the BSA and, with the removal  
325 of these particles *via* ultrafiltration, the signal related to amide groups was correspondingly  
326 absent; the solution that remained exhibited absorbances due to  $C \equiv O$  and  $C = O$ , as well as  
327 peaks tentatively assigned to metal carbonyls, which could have formed with the remaining  
328 ions in solution [37]. However, this feature could also have been due to the degradation of the  
329 proteins in the BSA, post-milling; the proteins in BSA being formed from more than 500 amino  
330 acids. Finally, **Figure 8D** shows the FTIR spectrum of the solution after CoCrMo particles milled  
331 in BSA had been centrifuged and ultrafiltered with a 30 kDa ultrafilter, which removed up to  
332 95% of the proteins in solution (bovine serum albumin is a 66 kDa protein [38]); here no  
333 significant signal was detected in the FTIR spectrum indicating any protein complexes are  
334 successfully retained by ultrafilters of 30 kDa and smaller.

335

#### 336 4. DISCUSSION

337 The size of wear debris particles produced by the hip simulator used here, were within the  
338 range reported for other hip simulators and also the size of wear debris generated *in vivo*  
339 [17,18,39]. After hip simulation, particles were mainly found to be faceted or oval (for 59% of  
340 those measured) and composed of Co and Mo (for 39% of those measured), whereas in the hip  
341 simulator studies of Catelas *et al.* [23], particles were oval or needle shaped. The studies of  
342 Goode *et al.* [17] from explanted tissues reported that the majority of the particles were  
343 spherical, however most of the debris had corroded and was more diffuse in nature than the  
344 as-worn particles, and some 'needle-like' particles were also observed. The wear debris particle

345 composition determined by Catelas *et al.* [23] after hip simulation indicated that debris from  
346 the 1.75–2 Mc test period (steady-state wear) showed proportionally more chromium oxide  
347 particles and fewer needle-shaped particles (mainly CoCrMo) as compared with those from the  
348 0.25 Mc test period (run-in wear). The size and composition of the particles, however, can vary  
349 according to the number of simulator cycles, indicating a time-sensitive dissolution process.

350  
351 Hesketh *et al.* [25] studied metal ion formation during 1 Mc hip simulator tests in Foetal bovine  
352 serum diluted in PBS (18 g/L). The cumulative ionic release was determined by ICP-MS at 1/3,  
353 2/3 and 1 Mc. ICP-MS samples were mixed with an equal volume of 5% nitric acid, centrifuged  
354 at 14,000 rpm for 10 min and then the supernatant was collected and filtered through a 0.4 µm  
355 filter. At 1/3 and 2/3 Mc, the ratio of Cr, Co and Mo ions was fairly constant throughout the  
356 test: 29%, 59–60%, and 11–12%, respectively. At 1 Mc, there was more variation, Cr, Co and Mo  
357 abundances varied between 16–26%, 60–72% and 11–14%, respectively. These values are close  
358 to the relative composition of the base Cr, Co and Mo alloy as might be expected if wear debris  
359 particles remain suspended in the supernatant analysed by ICP-MS.

360  
361 Our revised ICP-MS preparation method has allowed the identification of the dissolution of  
362 specific metal elements in different biological media and pH environments (Figure 4 and Table  
363 1). This has revealed dissolution and, by additional assessment of ionic standards in the same  
364 media (Figure 2), potential protein complexing of Mo at levels greater than would be expected  
365 for the base alloy composition (Figures 5, 6, 7 and 8). The implication of these results is that,  
366 unless ultrafiltration is employed, ICP-MS analyses both the underlying ion levels in solution  
367 arising as a result of dissolution and protein complexing, plus the alloy wear debris  
368 nanoparticles themselves (Figures 1 and 2). Particles rich in chromium and oxygen that were  
369 found (Figure 3a) were presumably wear debris CoCrMo particles that have undergone Co and  
370 Mo dissolution. Potentially, a complete mass balance of particles, complexes and ions could be  
371 achieved in any media by use of a range of MWCO ultrafilters, combined with spectroscopic  
372 techniques sensitive to proteins as well as ICP-MS. Such an approach would also require  
373 analysis of the appropriate ionic standards in the same media.

374

375 Overall, the results show that Cr dissolution from CoCrMo alloys was minimal in biological  
376 media under neutral pH, but more pronounced at low pH, such as that which might be found in  
377 a lysosome, as discussed by Gill *et al.* [40], it should be noted however that the addition of  
378 0.03% sodium azide during hip simulation may produce more oxidizing conditions increasing  
379 the 'baseline' of dissolution at all pHs. A Pourbaix diagram for Cr in water at 25 °C suggests  
380 toxic chromium VI is released only at high electrode potentials, and the species generated  
381 under acidic conditions is  $\text{Cr}^{3+}$ , which has a much lower correlation with toxicological issues  
382 [40–42]. This agrees with Finley *et al.* [43] who showed that only  $\text{Cr}^{3+}$  is present in the blood of  
383 hip implant patients. Co dissolution appeared to be more significant, particularly in the  
384 presence of salt-rich solutions (e.g. PBS and SSF) and at low pH; here the dominant species  
385 should be  $\text{Co}^{2+}$  as is frequently discussed in recent MoM hip implant literature [21,41].  
386 Mechanical attrition of the alloy surface could disrupt the Cr rich passivation layer, which in a  
387 protein environment could retard Cr autopassivation properties [44] and expose the Co-rich  
388 surface to salts and proteins, drastically increasing the Co ion content in solution [45].

389

390 Importantly, significant dissolution of Mo was identified in the presence of serum proteins and  
391 at neutral pH. The level of Mo ions in solution following biotribocorrosion in the presence of  
392 solutions containing serum proteins (both BSA and SSF) presented here is in agreement with  
393 the electrochemical corrosion studies of Espallargas *et al.* [46]. It has been reported that  
394 molybdenum ions are essential to the formation of an organic film on the surface of hip  
395 implants, which can act as a barrier against electrochemical attack [47,48]. We have shown that  
396 the Mo release is most significant into media containing serum proteins at neutral pH. We  
397 estimate that this may result in the release of up to 1% of the total Mo from particulate wear  
398 debris during static incubation in BSA (the stock concentrations of milled CoCrMo powder prior  
399 to incubation was measured to be 20 mg/mL [31] thus containing a total of 1.4 mg/mL Mo and,  
400 after incubation, Mo levels were measured by ICP-MS to be 700  $\mu\text{g/L}$  in x20 diluted samples,  
401 Figure 5). We expect the dissolved Mo to form a monomeric molybdate anion at neutral pH  
402 ( $\text{MoO}_4^{2-}$ -containing  $\text{Mo}^{6+}$ ) and this species is known to complex with the serum proteins present

403 in the media [6,32,34]. A relatively strong electrostatic interaction could be formed at pH 7  
404 between molybdate anions and positive guanidinium groups in arginine residues in BSA, as has  
405 been reported in Arora *et al.* [49] manuscript, and in simulated synovial fluid this would occur in  
406 competition with phosphate binding to the same sites. In comparison we would expect  $\text{Co}^{2+}$   
407 and  $\text{Cr}^{3+}$  to bind to different sites on BSA as well as the potential for these metals to form  
408 phosphates and precipitate at neutral and higher pH.

409

410 The observed levels of Co and Cr ions measured in hip implant patients may be the result of the  
411 low pH environment surrounding wear debris that has been taken up by phagocytic cells and  
412 digested in lysosomes [40]. In addition, metal containing particles could be picked up during  
413 serum, synovial fluid or blood collection from patients, thereby confounding mass spectrometry  
414 analysis if the sample preparation did not follow a robust protocol for the separation of  
415 suspended (nano)particles from ions. Most importantly, Mo levels in these fluids are not  
416 commonly assessed and in the light of our data we suggest that they should be measured.

417

418 Hallab *et al.* [6] showed that metal ions released by the degradation of CoCrMo particles (ca. 70  
419  $\mu\text{m}$ ) could complex with high molecular weight (ca. 180 kDa) proteins. The complexes formed  
420 were not identified, however they were shown to be immunologically reactive and capable of  
421 inducing lymphocyte activation through proliferation responses. Our current FTIR results show  
422 that, following the removal of nanoparticles  $> 10 \text{ nm}$  (i.e. the majority of particles as measured  
423 by TEM) via the use of ultrafiltration, absorption peaks related to amides were no longer  
424 detected, suggesting protein attachment to the CoCrMo nanoparticles. Another interesting  
425 result from FTIR was the appearance of different absorption peaks following removal of the  
426 nanoparticles from the solution. There was a change in signal intensity following ultrafiltration,  
427 so that any absorption peaks in the region  $2200\text{-}1900 \text{ cm}^{-1}$  that could have been present before  
428 filtration, may have been masked due to the strong signal from BSA. These weak peaks could  
429 have been related to BSA protein degradation [50] during milling. The amino acids present in  
430 BSA solution can provide multiple binding sites for metal free ions. These binding sites may  
431 include  $-\text{NH}$ ,  $-\text{SH}$ ,  $-\text{COOH}$ , and  $-\text{OH}$  groups, which could have given rise to the absorption peaks

432 identified. The hypothesis for the formation of metal carbonyl species is initially thought to be  
433 unlikely because their synthesis often requires reduction at high temperature and pressure  
434 [51]. However, Hart *et al.* [20] detected similar molybdenum species in tissue from MoM hip  
435 patients using X-ray Absorption Near and Extended Edge Structure (XANES and EXAFS). This  
436 study indicated an octahedrally coordinated molybdenum species bound by oxygen and then  
437 carbon in the second coordination sphere. In addition, Dolamic and Burgi (2011) have shown  
438 that the degradation of amino acids such as L-asparagine and L-glutamic acid, both present in  
439 BSA, can give rise to new complexes with metallic species [52]. The importance of identifying  
440 the possible existence of metal carbonyl species as a result of wear from hip implants is related  
441 to the high toxicity of these species [53,54] and specifically, the impact of Mo on the formation  
442 of these and similar complexes must now be considered. This is particularly relevant to higher  
443 Mo content alloys, such as those used in implant stems.

444  
445 In summary, our studies have explored the importance of the correct measurement of metallic  
446 ions in solution during the tribocorrosion of hip implants. Using our new procedure we have  
447 clarified the influence of nanoparticles on dissolution studies and hence revealed relatively high  
448 levels of molybdenum-containing ions that could be complexed with proteins. In general terms,  
449 the role of metal ions in biological processes is widely discussed in literature [55,56], in addition  
450 there are many recent reports about the toxicity of nanoparticles which quickly dissolve,  
451 releasing toxic ions [57–59]. Although there is not a great deal of literature on molybdenum,  
452 Scharf *et al.* [60] have reported Co and Cr metal ions binding to proteins and inducing loss of  
453 their biological function. Yang and Black [55] have shown that free metal ions, such as cobalt,  
454 could saturate at concentrations of around 2 moles per 1 mole of albumin serum. *In-vivo* these  
455 metal ions could be surrounded by an overabundance of serum as healthy joints can contain  
456 between 15-25 g/L of protein concentration (17 g/L is recommendation of ISO standard 14243  
457 for simulations). However, it is known that diseased or inflamed joints with diseases could  
458 present even higher protein concentrations, in the range of 36– 54 g/L [61].

459



460 From the pre-clinical point of view, the benefit of this new analytical method is that it allows an  
461 effective discrimination between the three wear products produced during tribocorrosion: free  
462 (metal) ions, complexed (metal) ions and (metal) nanoparticles (where the metal in the latter is  
463 nominally in a zero valence state). This allows us to determine exactly where metallic ions are  
464 originating from during tribocorrosion processes and then allows a direct correlation of  
465 corrosion measurements with ICP-MS data; highlighting any additional ions that may come  
466 from the dissolution of nanoparticle wear debris. In this respect, we suggest that there are  
467 possible shortfalls in the ASTM/ ISO standards related to the ICP-MS procedure for ionic  
468 concentration measurements in these systems which need to be addressed.

469

## 470 5. CONCLUSIONS

471 In this work we have highlighted that the commonly reported techniques of separating  
472 nanoscale wear debris from lubricant fluids using only centrifugation or ultracentrifugation are  
473 sub-optimal and lead to residual wear nanoparticles suspended in the analyte fluid. This can  
474 cause a large overestimation of the absolute and relative amounts of ionic dissolution that  
475 occur during the tribochemical wear of CoCrMo alloy samples. This has significant implications  
476 for the analysis of serum, synovial fluid and blood samples from patients exhibiting an adverse  
477 reaction to prosthetic hip surgery.

478

479 The use of a centrifugation plus ultrafiltration step during ICP-MS sample preparation can  
480 efficiently remove nanoparticulate wear debris and metal-protein complexes that remain in the  
481 supernatant after centrifugal sedimentation. This revised preparation method has allowed the  
482 identification of the dissolution of specific metal elements in different biological media and pH  
483 environments. These have revealed the dissolution of Mo in the presence of serum proteins,  
484 and that, based on the pH dependence, it is likely that more significant Co and Cr solubility will  
485 only occur if particles are taken up by phagocytic cells and digested in lysosomes. Specifically, in  
486 the case of salt rich solutions, Co dissolution is enhanced. In addition, FTIR showed that a  
487 fraction of the dissolved metal ions, in majority Mo ions, could be forming complexes as a result  
488 of the dissociation of the amino acids found in BSA, possibly driven by the energy input from

489 either, the milling process or any mechanical contact at the implant surface, if the conditions  
490 are severe enough. Finally, there may be implications for use of higher Mo content alloys in  
491 implants, and we suggest that Mo ion levels in relevant fluids should be monitored, in addition  
492 to the more usual analysis of Co and Cr levels.

493

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497

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503

#### 504 ABBREVIATIONS

505 MoM, Metal-on-Metal; ICP-MS, Inductively Coupled Plasma Mass Spectrometry; BSA, Bovine  
506 Serum Albumin; TEM, Transmission Electron Microscopy; EDX, Energy Dispersive X-Ray  
507 Spectroscopy; EELS, Electron Energy Loss Spectroscopy; Mc, million cycles; FBS, Foetal Bovine  
508 Serum; PBS, Phosphate Buffered Saline; FTIR, Fourier transform infrared spectroscopy; SSF,  
509 Simulated Synovial Fluid; MWCO, Molecular Weight Cut-Off.

510

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681

682



683 FIGURE LEGENDS

684

685 **Figure 1.** Bright field TEM images and EDX of: (A) Cr and (B) Co nanoparticles found in the  
686 supernatant of the hip simulator lubricant following ultracentrifugation at 60000 rpm for 60  
687 min.

688

689 **Figure 2.** ICP-MS of Co, Cr and Mo ionic standards incubated in three conditions: only mixed in  
690 milliQ water, mixed in water and passed through an ultrafilter (2 kDa MWCO) and finally mixed  
691 with BSA (40g/L)+PBS and passed through an ultrafilter (2 kDa MWCO).

692

693 **Figure 3.** Bright field TEM images of: (A and B) wear particles from the hip simulator lubricant.  
694 Key EDX spectra are shown in panel C.

695

696 **Figure 4.** Metal elemental levels in supernatants of hip simulator fluids, measured by ICP-MS  
697 after the different sample preparation procedures indicated on the x-axis. Results are given as  
698 the average of duplicate assays, with the error bar indicating the standard deviation. The  
699 percentage values are given for comparison to the bulk alloy.

700

701 **Figure 5.** Ionic release of CoCrMo milled in BSA particles over time, as measured by ICP-MS  
702 following the centrifugation plus ultrafiltration procedure. Mo\* is an estimate of the total Mo  
703 dissolution (i.e., that measured by ICP-MS after ultrafiltration plus that estimated to be retained  
704 by the ultrafilter, Figure 2).

705

706 **Figure 6.** Ionic release of CoCrMo milled in PBS particles over time, as measured by ICP-MS  
707 following the centrifugation plus ultrafiltration procedure.

708

709 **Figure 7.** Ionic release of CoCrMo milled in SSF particles over time, as measured by ICP-MS  
710 following the centrifugation plus ultrafiltration procedure. Mo\* is an estimate of the total Mo

711 dissolution (i.e., that measured by ICP-MS after ultrafiltration plus that estimated to be retained  
712 by the ultrafilter, Figure 2).

713

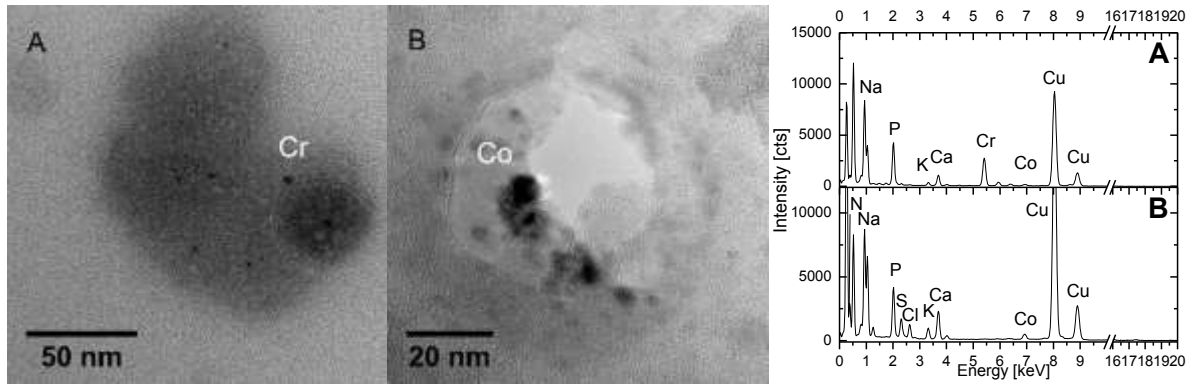
714 **Figure 8.** FTIR spectra of: A. BSA solution showing peaks of Amide I and II; B. CoCrMo milled and  
715 centrifuged nanoparticles in BSA solution showing the Amide I and II peaks; C. CoCrMo milled  
716 and centrifuged nanoparticles in BSA solution after the use of a 100 kDa MWCO ultrafilter  
717 revealing potential metal carbonyl bonds; D. after the use 30 kDa MWCO ultrafilter all strong  
718 absorption peaks are eliminated.

719

720 **Table 1.** Metal elemental levels in supernatant samples following centrifugation plus  
721 ultrafiltration and ICP-MS of CoCrMo powders milled in different environments. Results are  
722 given in both absolute terms ( $\mu\text{g/L}$ ) and also relative terms (%). Measured Mo values in the  
723 presence of serum are indicated by ( )\*. Total Mo values are reported assuming only 32% of  
724 the total dissolved Mo ions are measured in solution by ICP-MS after ultrafiltration (i.e. when in  
725 the presence of serum 68% are estimated to be retained by the ultrafilter, Figure 2).

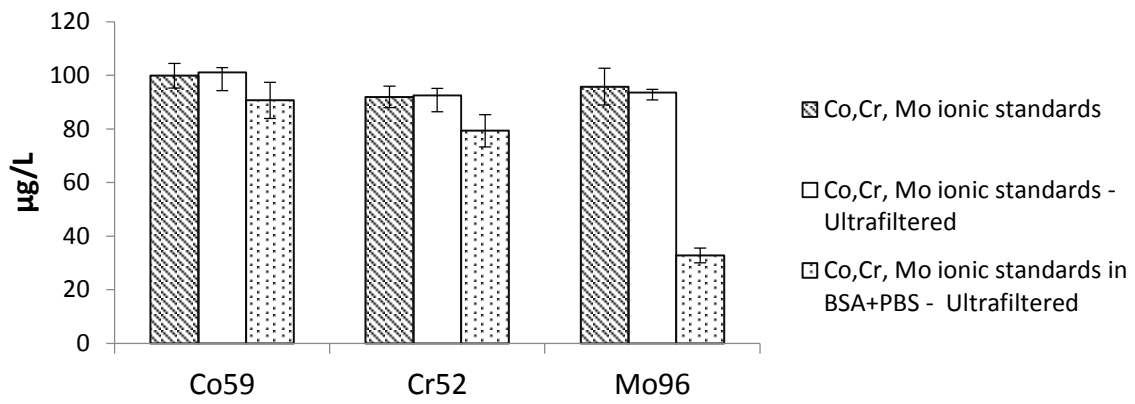
726

727 **FIGURE 1**  
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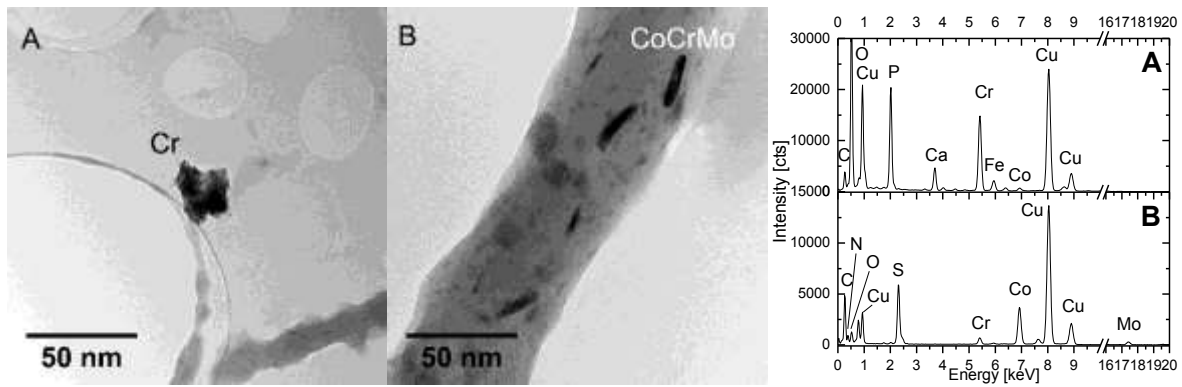
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732 **FIGURE 2**  
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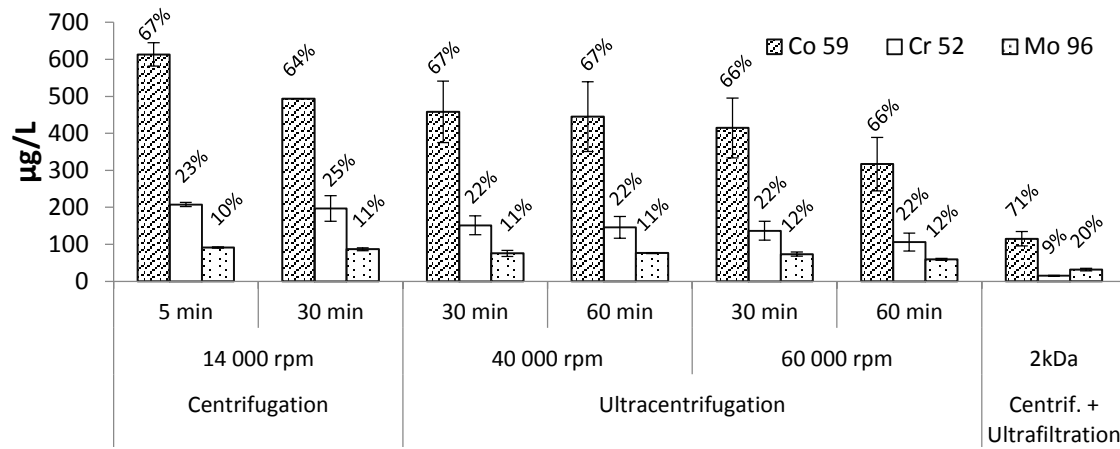
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737 **FIGURE 3**  
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742 **FIGURE 4**  
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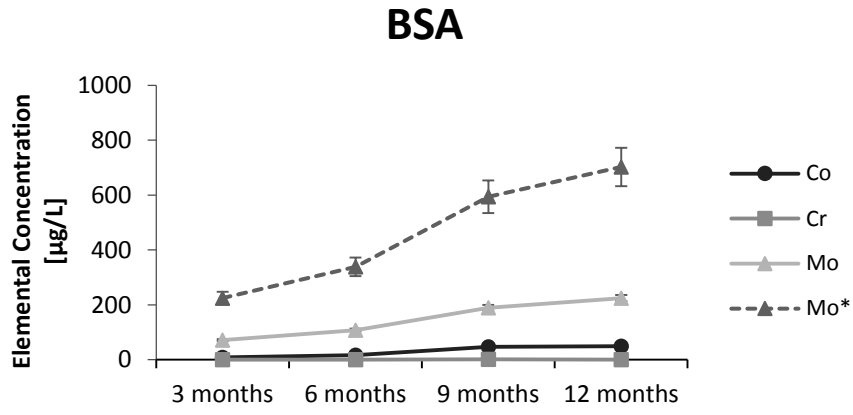
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748 **TABLE 1**  
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		<b>Cobalt</b>			<b>Chromium</b>			<b>Molybdenum</b>		
		<b>[µg/L]</b>	<b>Sdt</b>	<b>[%]</b>	<b>[µg/L]</b>	<b>Sdt</b>	<b>[%]</b>	<b>[µg/L]</b>	<b>Sdt</b>	<b>[%]</b>
<b>Dynamic Conditions (Milling)</b>	PBS [pH 7.2]	174.4	2.3	82.9%	0.3	0.0	0.2%	35.6	3.9	16.9%
	BSA [pH 7.2]	33.1	5.5	12.1%	0.6	0.0	0.2%	239.8(76.7)*	11.8	87.7%
	SSF [pH 7.2]	157.0	2.6	48.6%	0.8	0.0	0.3%	16.3(52.9)*	4.6	51.2%
<b>Static Conditions (incubated 24h)</b>	BSA [pH 7.2]	0.7	0.1	3.3%	0.2	0.1	1.0%	20.0(6.4)*	0.7	95.7%
	BSA [pH 4.8]	16.4	0.2	565%	4.8	0.2	16.5%	7.8(2.5)*	0.2	26.9%

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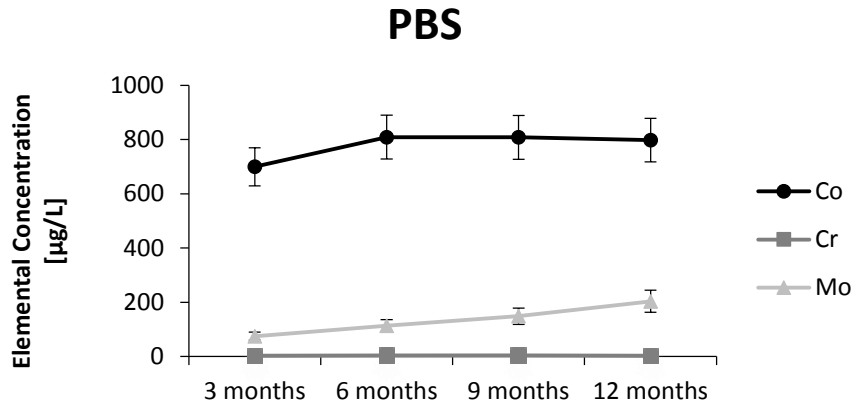
753 **FIGURE 5**  
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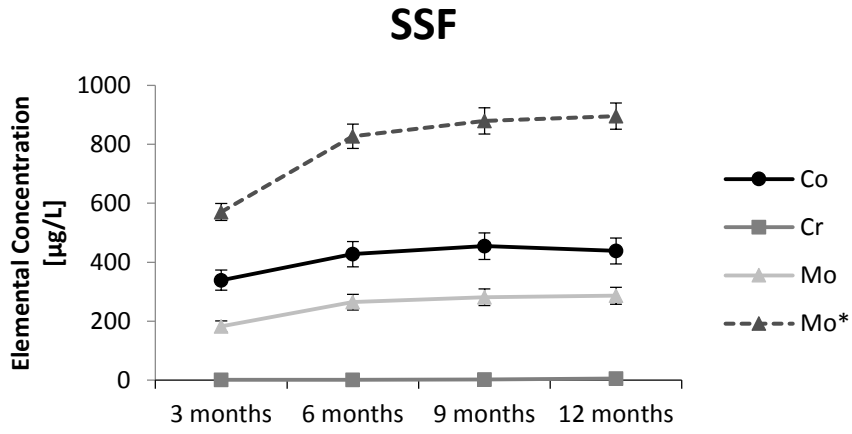


758 **FIGURE 6**  
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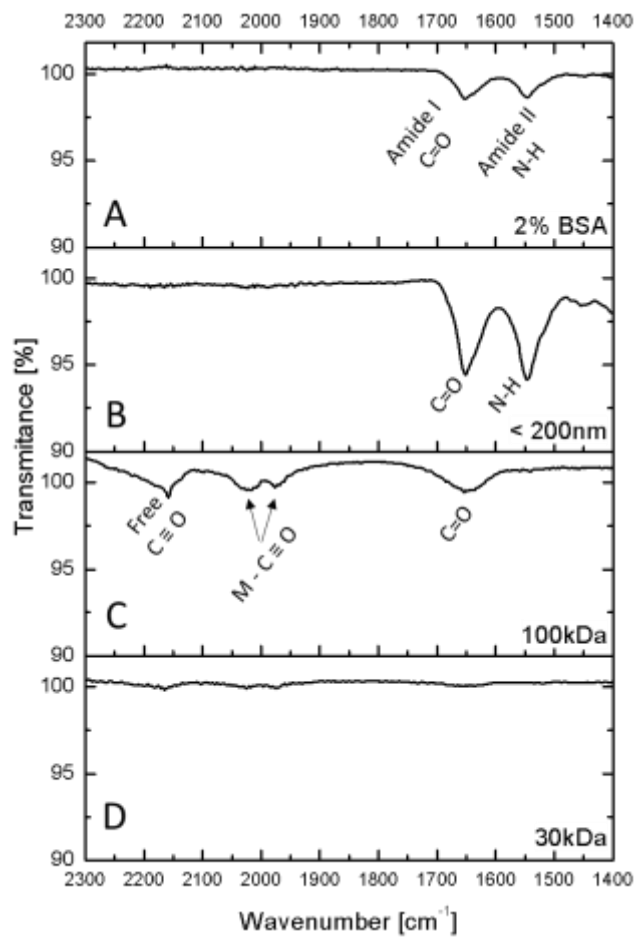
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763 **FIGURE 7**  
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768 **FIGURE 8**  
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