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Wall shear stress at the initiation site of cerebral aneurysms

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6 Abstract Hemodynamics are believed to play an important role in the initiation of

7 cerebral aneurysms. In particular, studies have focused on wall shear stress (WSS),

⁸ which is a key regulator of vascular biology and pathology. In line with the obser-

⁹ vation that aneurysms predominantly occur at regions of high WSS, such as bifurca-

¹⁰ tion apices or outer walls of vascular bends, correlations have been found between

the aneurysm initiation site and high WSS. The aim of our study was to analyze the WSS field at an aneurysm initiation site that was neither a bifurcation apex nor

the WSS field at an aneurysm initiation site that was neither a bifurcation apex nor the outer wall of a vascular bend. Ten cases with aneurysms on the A1 segment of

the anterior cerebral artery (ACA) were analyzed and compared with ten controls.

Aneurysms were virtually removed from the vascular models of the cases to mimic

the pre-aneurysm geometry. Computational fluid dynamics (CFD) simulations were

received to assess the magnitude, gradient, multidirectionality, and pulsatility of the

¹⁷ WSS. To aid the inter-subject comparison of hemodynamic variables, we mapped

¹⁹ the branch surfaces onto a two-dimensional parametric space. This approach made it

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²⁰ possible to view the whole branch at once for qualitative evaluation. It also allowed

us to define a patch for quantitative analysis, which was consistent among subjects

²² and encapsulated all aneurysm initiation sites. To test the reproducibility of our re-

²³ sults, CFD simulations were repeated with a second independent observer virtually

 $_{\rm 24}$ removing the aneurysms and with a 20 % higher flow rate at the inlet. We found that

²⁵ branches harboring aneurysms were characterized by high WSS and high WSS gra-

26 dients. Among all assessed variables, the aneurysm initiation site most consistently

²⁷ coincided with peaks of temporal variation in the WSS magnitude.

28 Keywords aneurysm initiation · cerebral aneurysms · computational fluid dynamics ·

 $_{29}$ $\,$ hemodynamics \cdot image-based modeling \cdot flow pulsatility \cdot wall shear stress

30 1 Introduction

³¹ Cerebral aneurysms are localized, pathological dilatations of cerebral arteries. Their

³² rupture causes subarachnoid hemorrhage and is associated with high rates of mor-

³³ bidity and mortality (Hop et al, 1997). Better understanding of the mechanisms un-

derlying aneurysm initiation is crucial for the development of new preventive and
 therapeutic strategies (Jamous et al, 2005).

While systemic risk factors such as hypertension and connective tissue disorders may weaken the cerebral arteries' ability to maintain homeostasis, hemodynamic

³⁸ stress appear to be a necessary trigger for the pathological remodeling leading to

³⁹ aneurysm formation (Brown et al, 1990; Stehbens, 1989; Nixon et al, 2010; Penn

⁴⁰ et al, 2011; Dolan et al, 2013; Sadasivan et al, 2013; Meng et al, 2014; Frösen, 2014;

⁴¹ Turjman et al, 2014). In vivo measurements of these stresses are limited by the low

⁴² spatial and temporal resolution of current imaging techniques (Markl et al, 2012) and

the rarity of imaging a patient prior to aneurysm formation. Instead, computational

⁴⁴ fluid dynamics (CFD) techniques have been employed to simulate the hemodynam-⁴⁵ ics in vascular geometries with the aneurysm virtually removed to approximate the

⁴⁵ ics in vascular geometries with the aneurysm virtually removed to approximate the ⁴⁶ pre-aneurysm condition (Mantha et al, 2006; Baek et al, 2009; Ford et al, 2009; Shi-

mogonya et al, 2009; Singh et al, 2010; Castro et al, 2011; Chen et al, 2013; Kono and

⁴⁸ Terada, 2013; Lauric et al, 2014) and in vascular geometries derived from rare pre-

⁴⁹ aneurysm images (Doenitz et al, 2010; Kulcsar et al, 2011; Kono and Terada, 2013;

⁵⁰ Kono et al, 2014). CFD simulations have also been used to complement histological

analyses of aneurysm formation in animal models (Meng et al, 2007; Metaxa et al,

⁵² 2010).

⁵³ Hemodynamic studies have strongly focused on wall shear stress (WSS), which

is a key regulator of vascular biology and pathology (Dolan et al, 2013). In line with

55 the observation that aneurysms predominantly occur at high WSS regions such as

⁵⁶ bifurcation apices or outer walls of vascular bends (Kondo et al, 1997; Alnaes et al,

57 2007; Piccinelli et al, 2011; Alfano et al, 2013), many studies have found correlations

⁵⁸ between the aneurysm initiation site and high WSS (Castro et al, 2011; Singh et al,

⁵⁹ 2010; Chen et al, 2013), especially in combination with high positive WSS gradients

60 (WSSG) (Meng et al, 2007; Metaxa et al, 2010; Kulcsar et al, 2011; Kono and Ter-

ada, 2013; Kono et al, 2014). Other studies have found correlations with low WSS

62 (Mantha et al, 2006; Doenitz et al, 2010), WSS patterns involving both high and low

⁶³ WSS (Baek et al, 2009; Lauric et al, 2014), or indices describing the oscillatory na-

ture of the WSS and WSSG (Mantha et al, 2006; Ford et al, 2009; Shimogonya et al,

⁶⁵ 2009; Chen et al, 2013). These apparent inconsistencies among CFD-based studies

can be attributed to the small datasets, variety of aneurysm locations, and subjectivity

of data analyses, as pointed out by Chen et al. (Chen et al, 2013), but also to missing

patient-specific information about boundary conditions and properties of the arterial
 wall.

The aim of our study was to analyze the WSS field at the aneurysm initiation site. 70 All included aneurysms were from a single location, which was neither a bifurcation 71 apex nor the outer wall of a vascular bend. Vascular geometries with the aneurysm 72 removed were matched to controls that never formed an aneurysm at that particular 73 location but elsewhere. To standardize the data analysis and simplify the comparison 74 of cases, branches of interest were mapped onto the same parametric space. Tests 75 were performed to measure the reproducibility of the computed WSS field with re-76 spect to the observer virtually removing the aneurysm and the flow rate imposed at 77 the inlet. 78

79 2 Methods

80 2.1 Case selection

Twenty patients, ten cases and ten controls, were drawn from a large multicenter 81 database created within the EU-funded project @neurIST (Villa-Uriol et al, 2011). 82 The data collection protocol was approved by individual local ethics committees, and 83 written consent was obtained from patients or, where appropriate, next of kin. The 84 cases were all the patients in the database with an aneurysm on the A1 segment of 85 the anterior cerebral artery (ACA). They were selected because of their remarkable 86 consistency in aneurysm location: all aneurysms were just distal to the internal carotid 87 artery (ICA) bifurcation with nine cases directed posteriorly (cases 1 to 9) and one 88 case directed anteriorly (case 10). Moreover, the location was neither a bifurcation 89 apex nor the outer wall of a vascular bend, which – attributed to being high WSS 90 regions – are the most common aneurysm locations (Kondo et al, 1997; Alnaes et al, 91 2007; Piccinelli et al, 2011; Alfano et al, 2013). The controls were patients with 92 an aneurysm at the middle cerebral artery (MCA) bifurcation, hence predisposed to 93 having aneurysms, that did not form an aneurysm at the studied location on the A1 94 segment of the ACA. They were selected to match cases by patient age (within 2 95 years) and aneurysm hemisphere (left or right). No other information was considered 96 during the selection process. 97

98 2.2 Vascular modeling

- ⁹⁹ Patient-specific vascular models, represented by triangular surface meshes, were con-
- structed by segmenting three-dimensional rotational angiography (3DRA) images using a geodesic active regions approach (Bogunović et al, 2011). The ophthalmic

artery, anterior choroidal artery, and posterior communicating artery branching off 102 the ICA were preserved if successfully segmented. Touching vessels were removed. 103 Models were smoothed using a geometry-preserving smoothing algorithm (Nealen 104 et al, 2006). To ensure consistency in the extent of the vascular models, inlet and 105 outlet branches were clipped at the same location for all cases and controls. Inlet 106 branches were clipped at a manually selected location at the start of the cavernous 107 segment of the ICA and then extruded 10 mm to allow for flow to develop. Outlet 108 branches were automatically clipped 10 mm from their proximal bifurcation; those 109 shorter than 10 mm were first extruded. The ACA had to be extruded only for control 110 6. Figure 1 shows the vascular models of all cases and controls. 111

Aneurysms were virtually removed from the vascular models of the cases to mimic the pre-aneurysm geometry (Figure 1). Triangle removal and hole filling operations were iteratively applied to reconstruct the ACA without aneurysm. Subsequently, the vascular model was smoothed and inlet and outlet branches were clipped as described in the previous paragraph. To assess the reproducibility of the computed WSS field with respect to this manual procedure, two observers independently removed the aneurysm for all cases.

The automatic selection of outlet locations made use of centerlines and bifurcation origins generated with the Vascular Modeling Toolkit (VMTK) (Antiga et al, 2008; Piccinelli et al, 2009). Manual mesh editing operations were performed in @neuFuse (B3C, Bologna, Italy) (Villa-Uriol et al, 2011), a software application developed within @neurIST.

124 2.3 Blood flow modeling

¹²⁵ Unstructured volumetric meshes were created with ICEM CFD 13.0 (ANSYS, Canons-

¹²⁶ burg, PA, USA) using an octree approach. Meshes were composed of tetrahedral el-

ements with a side length of 0.2 mm and three prism layers with a total height of

128 0.07 mm and a side length of 0.1 mm. The total number of elements ranged from 2.3

129 to 6.7 million, the density from 3124 to 4076 elements per mm³, depending on the

¹³⁰ surface-area-to-volume ratio of the computational domain. This mesh resolution was ¹³¹ chosen following previously performed mesh dependency tests (Geers et al, 2014).

chosen following previously performed mesh dependency tests (Geers et al, 2014).
 CFD simulations were created with CFX 13.0 (ANSYS), which is a commercial

¹³² CFD simulations were created with CFX 13.0 (ANSYS), which is a commercial ¹³³ vertex-centered finite volume solver. We used a second-order advection scheme and a ¹³⁴ second-order backward Euler transient scheme. Solutions converged until the normal-¹³⁵ ized residual of the WSS everywhere in the computational domain was $< 5 \times 10^{-4}$.

¹³⁵ ized residual of the WSS everywhere in the computational domain was $< 5 \times 10^{-4}$. ¹³⁶ Blood was modeled as an incompressible Newtonian fluid with density $\rho = 1060$ kg/m³

and viscosity $\mu = 4$ mPa s. Although blood is a non-Newtonian fluid, assuming con-

stant viscosity is appropriate for our problem (Morales et al, 2013). Vessel walls were

assumed rigid with a no-slip boundary condition. A parabolic velocity profile was im-

¹⁴⁰ posed at the inlet.

Since patient-specific flow information was unavailable, we estimated the flow rate waveform at the inlet and imposed zero-pressure boundary conditions at all outlets. The shape of the flow rate waveform was obtained from Ford et al. who averaged the waveform shapes of 17 young, normal volunteers (Ford et al, 2005). The time-averaged flow rate, Q, was obtained using the relationship from Cebral et al.:

 $Q = 48.21 A^{1.84} T^{-1}$ where Q is in ml/s, A is the inlet's cross-sectional area in cm²,

and T is the period of the cardiac cycle in s. This relationship was obtained by fitting

a power-law function through measurements of Q and A of the ICAs and vertebral

arteries of 11 normal volunteers (Cebral et al, 2008). Reynolds numbers at the inlets
 ranged from 62 to 441 with an average of 152. To assess the reproducibility of the

¹⁵¹ computed WSS field with respect to boundary conditions, we repeated the simula-

tions for all cases and controls with a 20% higher flow rate at the inlet.

The cardiac cycle was discretized in 200 uniformly distributed time steps and, to reduce the effect of initial transients, the second of two simulated cardiac cycles was analyzed. These settings were chosen following previously performed time step and cycle dependency tests (Geers et al, 2014).

A total of 50 CFD simulations were created: 10 cases and 10 controls under 'nor-

mal' inflow conditions, 10 cases and 10 controls under 'high' inflow conditions, and
 10 cases under 'normal' inflow conditions with the aneurysm removed by the second
 observer.

¹⁶¹ 2.4 Hemodynamic variables

As mentioned in the Introduction (Section 1), different aspects of the WSS field are

deemed relevant to the initiation of aneurysms. Specifically, we assessed the magnitude, gradient, multidirectionality, and pulsatility of the WSS, according to the defi-

¹⁶⁵ nitions below.

Given WSS vector $\tau_w = \tau_w(x,t)$ at surface point x and time t, the time-averaged WSS magnitude (TAWSS) is defined as

$$\text{TAWSS} = \frac{1}{T} \int_0^T |\tau_w| \, \mathrm{d}t \tag{1}$$

¹⁶⁸ where T is the period of the cardiac cycle.

¹⁶⁹ For use in the definition of other WSS-related variables, we defined unit vectors

¹⁷⁰ in the direction of and perpendicular to the time-averaged WSS vector, respectively ¹⁷¹ \hat{p} and \hat{q} , as

$$\hat{p} = \frac{\int_0^T \tau_w \, \mathrm{d}t}{\left|\int_0^T \tau_w \, \mathrm{d}t\right|}, \qquad \hat{q} = \hat{p} \times \hat{n} \tag{2}$$

where \hat{n} is the surface normal.

For the gradient of TAWSS (TAWSSG), we used the definition proposed by Meng and colleagues (Tremmel et al, 2010; Dolan et al, 2013), which differentiates between positive and negative gradients with respect to \hat{p} , namely,

$$\mathsf{TAWSSG} = \nabla_S(\mathsf{TAWSS}) \cdot \hat{p} \tag{3}$$

where ∇_S is the gradient on the vessel wall surface.

Throughout the cardiac cycle, the WSS vector may change direction and not remain parallel to \hat{p} . The changing WSS direction is associated with the concept of ¹⁷⁹ 'disturbed' flow. To quantify the multidirectionality of disturbed flow, we used the ¹⁸⁰ transverse WSS (transWSS), which was recently proposed by Peiffer et al. in the ¹⁸¹ context of atherosclerosis (Peiffer et al, 2013a). The transWSS is defined as the time-¹⁸² averaged absolute value of the *q*-component of the WSS vector, that is,

transWSS =
$$\frac{1}{T} \int_0^T |\tau_w \cdot \hat{q}| \, \mathrm{d}t$$
 (4)

We quantified the temporal variation in the WSS magnitude during the cardiac
 cycle by calculating the WSS pulsatility index (WSSPI) (Gosling and King, 1974),
 given by

$$WSSPI = \frac{\max_{t \in [0,T]} \tau_w - \min_{t \in [0,T]} \tau_w}{TAWSS}$$
(5)

As the WSS magnitude may vary substantially between CFD simulations us-186 ing either patient-specific or estimated boundary conditions, caution in interpretation 187 must be exercised (Karmonik et al, 2010; Marzo et al, 2011; Jansen et al, 2014; Mc-188 Gah et al, 2014). We chose to focus on the WSS distribution by normalizing TAWSS 189 by the space-averaged TAWSS on the branch (TAWSS_B). For aneurysms, normalized 190 WSS distributions have been shown to remain relatively unchanged across a range 191 of physiological boundary conditions (Marzo et al, 2011). TAWSSG and transWSS 192 were similarly normalized by TAWSS_B. Unless stated otherwise, we will report on 193 normalized values. 194

¹⁹⁵ 2.5 Geometric variables

¹⁹⁶ Vascular geometry has a major impact on hemodynamics (Geers et al, 2011) and ¹⁹⁷ indeed bifurcations harboring aneurysms tend to more strongly deviate from the op-

timality principle (Baharoglu et al, 2014). To complement the hemodynamic analysis

¹⁹⁹ in our study, we characterized the vascular geometry using the framework presented

by Piccinelli et al. (Piccinelli et al, 2009), which is available as part of VMTK. We
 will briefly outline the procedure. Some of the processing steps are illustrated in Fig-

²⁰² ure 2. For more details, refer to (Piccinelli et al, 2009).

Two centerlines were created: one from the inlet to the MCA outlet and another from the inlet to the ACA outlet. At the ICA bifurcation, the two centerlines diverged into their respective branches and the corresponding bifurcation origin and plane were

identified. The normal to the bifurcation plane was set to point posteriorly. Center lines were split into branches corresponding to the ICA, MCA, and ACA.

For each branch, a representative cross sectional area A was defined as the mean

209 surface area of two cross sections. These two sections were created one and two

²¹⁰ maximally inscribed sphere radii away from the bifurcation. The bifurcation's area

ratio (Ingebrigtsen et al, 2004) was given by

area ratio =
$$\frac{A_{ACA} + A_{MCA}}{A_{ICA}}$$
 (6)

Vectors pointing in the direction of the branches were created and then projected onto the bifurcation plane. The in-plane ICA-ACA and ICA-MCA angles were calculated.

To quantify the tortuosity of the ACA, we used the definition

tortuosity =
$$\frac{L}{D} - 1$$
 (7)

where L is the length along the centerline and D is the Euclidean distance between its endpoints.

218 2.6 Branch extraction and parametrization

To aid the inter-subject comparison of hemodynamic variables on the surface of the 219 ACA, we used the approach proposed by Antiga et al. (Antiga and Steinman, 2004), 220 which is also available as part of VMTK. Briefly, the vessel wall surface was split into 221 branches corresponding to the previously split centerlines (Figure 2). As branches 222 are topologically equivalent to cylinders, the ACA could be mapped onto a two-223 dimensional (2D) parametric space with a longitudinal coordinate, u, and a periodic 224 circumferential coordinate, v. Coordinate u ranged from 0 to 10 mm, increasing in the 225 direction of the flow. Coordinate v ranged from $-\pi$ to π rad. The position of v = 0226 was determined by the bifurcation normal parallel transported along the centerline 227 and v > 0 was set to correspond to the superior side of the ACA. 228

229 2.7 Data visualization

Contour plots were created to visualize the distribution of hemodynamic variables 230 on the surface of the ACA. Using the 2D parametrization, the branch surface was 231 flattened onto a rectangle such that u and v corresponded to the vertical and horizontal 232 axes of the plots, respectively (Figure 2). This approach made it possible to view 233 the whole branch at once and more easily compare between subjects. Because the 234 circumferential coordinate is periodic, we slightly extended the plot to range from -4235 to 4 rad, thus maintaining a visual continuity of the variable distributions. To indicate 236 the location of the aneurysm neck, we calculated the distance from the surface with 237 aneurysm to the surface without aneurysm and plotted a contour line at 0.1 mm. The 238 region enclosed by the aneurysm neck will be referred to as 'aneurysm initiation site'. 239

240 2.8 Statistical analysis

In this study, we assessed the reproducibility of the computed WSS field with respect to the observer virtually removing the aneurysm and to the flow rate imposed at the inlet. Differences between solutions were quantified by calculating the root-mean-square deviation (RMSD) between TAWSS fields, after linearly interpolating them onto a uniformly remeshed branch surface with a nominal node spacing of 0.05 mm. Solutions of observer 2 were projected onto the remeshed branch surface of observer

²⁴⁷ 1. Since normalized TAWSS fields were considered, for which $\overline{\text{TAWSS}}_{\text{B}} = 1$, the ²⁴⁸ RMSD was equal to the coefficient of variation of the RMSD (CVRMSD). CVRMSD

will be expressed as a percentage.

Space-averaged values of variables were calculated for quantitative analysis. Besides analyzing the whole branch, we defined a 'patch' that encapsulated all aneurysm initiation sites. This patch was bound by $u \in [0,5]$ and $v \in [-\pi/2, \pi/2]$, see Figure 2. For case 10, with the aneurysm directed anteriorly, and its matching control, the patch was defined at the opposite side of the branch, bound by $u \in [0,5]$ and $v \in [-\pi, -\pi/2) \cup (\pi/2, \pi]$. Variables were averaged over the branch, patch, and non-patch (branch minus patch).

To test the significance of the differences between regions and between cases and controls, we used the Wilcoxon signed-rank test for paired samples and the Wilcoxon rank-sum test for unpaired samples. Differences were considered statistically significant for p < 0.05. The following samples were compared: I. patch vs. non-patch for the cases (paired), II. patch vs. non-patch for the controls (paired), III. patches of the cases vs. patches of the controls (unpaired), and IV. branches of the cases vs. branches of the controls (unpaired).

The Wilcoxon rank-sum test was also used to compare geometric variables between cases and controls. Again, differences were considered statistically significant for p < 0.05.

267 3 Results

268 3.1 Geometry

As mentioned in Section 2.1, cases in this study were remarkably consistent in loca-269 tion, which is also confirmed by the location of the aneurysm initiation site in Fig-270 ure 3. For cases 1 to 9, the circumferential coordinate of the center of the initiation 271 site was on average 2° (range: -24 to 13°). For case 10, it was 119° . In other words, 272 most aneurysms were approximately aligned with the transported bifurcation normal. 273 Table 1 reports on the statistical analysis of geometric variables. Bifurcation angles were very similar among cases and controls. Cross sectional areas of branches 275 tended to be larger for cases, but only for the MCA branch these differences were 276 statistically significant. Area ratios were not significantly different. The tortuosity of 277 ACAs showed a non-significant trend of being larger for cases than for controls. 278

279 3.2 Hemodynamics

Figure 3 shows for all cases and controls the non-normalized TAWSS on the ACA.

²⁸¹ There were large variations in space-averaged TAWSS with values ranging from 1.0

to 11.2 Pa (mean: 3.5 Pa; standard deviation: 2.2 Pa). Figure 4 shows the normalized

TAWSS, highlighting the distribution rather than the magnitude. Overall, cases ap-

peared to have a larger spatial variation in TAWSS, covering a wider range of TAWSS

values. Close to the apex of the bifurcation and on the superior side of the ACA (Fig-

ure 2), TAWSS was relatively high for cases. However, some controls showed sim-

ilar patterns, e.g. control 3 and control 5, whereas some cases, e.g. case 6, did not.

Aneurysm initiation sites partly overlapped with regions of high TAWSS, yet tended

to be near the edge of them. Statistical analysis revealed no significant differences

²⁹⁰ between the patch and the rest of the branch (non-patch) for controls, but did show ²⁹¹ significant differences between those regions for cases (Table 2). Also, patches of

cases experienced significantly higher TAWSS than those of controls. By definition,

²⁹³ normalization removed differences in TAWSS between branches.

Figure 5 shows the distribution of TAWSSG. Cases' larger spatial variation in TAWSS was reflected by higher positive and negative gradients. Correspondingly, the absolute value of TAWSSG was significantly higher, both for the whole branch and for the patch (Table 2). Although magnitudes varied, distributions were found to be similar for cases and controls: patches experienced significantly higher absolute TAWSSG than the rest of the branch. However, there was no clear correlation between either positive or negative gradients and the aneurysm initiation site.

Figure 6 shows the distribution of transWSS. Concentrated regions of high transWSS were observed. WSS vectors changed direction more strongly closer to the ICA bifurcation, which is also reflected by patches having significantly higher transWSS than non-patches (Table 2). On average, transWSS was higher for cases than controls, but only for the whole branch these differences were significant. No clear correlations were found between regions of high transWSS and the aneurysm initiation site.

Animations of the WSS field during the cardiac cycle showed that, although the 307 WSS magnitude obviously changed over time, the distribution remained relatively 308 unchanged. Please refer to (Geers et al, 2015a) to view the animations online. This 309 means that at each point on the branch the WSS magnitude over time resembled the 310 shape of a typical flow rate waveform, which motivated our choice for describing 311 the temporal variation with the pulsatility index. Figure 7 shows the distribution of 312 WSSPI. Similar patterns could be observed among cases and controls. Near the bifur-313 cation, regions of relatively high WSSPI were located on the posterior and anterior 314 side of the ACA and regions of relatively low WSSPI were located on the superior 315 and inferior side (See Figure 2C for a location guide). Further downstream, WSSPI 316 was also relatively low. As a result, we found significant differences between patches 317 and non-patches (Table 2). The main difference between cases and controls was that 318 WSSPI was on average higher for cases, a significant difference for branches but not 319 for patches. Judging from the contour plots, however, we did observe a clear corre-320 lation between WSSPI peaks and the aneurysm initiation site. Additional statistical 321 analysis confirmed this observation by revealing that WSSPI was significantly higher 322 for just the initiation site than for the whole patch (1.61 vs. 1.52, p = 0.007), which 323 was not true for any of the other variables. In other words, among the assessed hemo-324 dynamic variables, WSSPI most consistently correlated with the aneurysm initiation 325 site. 326

No pattern was found explaining the deviating aneurysm orientation of case 10. Removing the case and its matching control from analysis did not alter the observed

329 trends.

330 3.3 Reproducibility analysis

Figure 8 shows the differences in TAWSS distribution between the observers manu-331 ally removing the aneurysm and between 'normal' and 'high' flow rates at the inlet. 332 For each comparison, we chose three representative cases or controls, corresponding 333 to minimum, closest-to-mean and maximum CVRMSD. Good reproducibility was 334 found between observers and, although increasing the flow rate by 20 % increased the 335 average TAWSS magnitude by 28 %, the TAWSS distribution remained relatively un-336 changed. CVRMSD between observers was 6.17 ± 0.07 % (mean \pm standard error), 337 range: 3.57 to 8.05 %. CVRMSD between flow rates was 3.96 ± 0.04 %, range: 2.73 338 to 5.21 %. 339

340 4 Discussion

341 4.1 Main contributions and findings

In summary, the main contributions of this study are: 1. the dataset was drawn from a 342 multicenter database and was composed of cases with aneurysms at a single location, 343 which was not in a known region of high WSS, and a matching set of controls, 2. 344 objective comparison of variable distributions was made possible by automatic ex-345 traction and parametrization of the branch, 3. to our knowledge, this is the first study 346 to evaluate the transWSS and WSSPI in the context of aneurysm initiation; and 4. 347 tests were performed to assess the reproducibility of the computed WSS field with 348 respect to the observer virtually removing the aneurysm and the flow rate imposed at 349 the inlet. 350 The main findings of this study are: 1. aneurysms form on branches with large 351

spatial variations in TAWSS, as also reflected by the presence of high TAWSSG gra dients; 2. aneurysms form on branches with large temporal variations in WSS direc tion (i.e. transWSS); 3. aneurysms form at regions of high TAWSS; and 4. aneurysms
 form at focal regions with large temporal variations in WSS magnitude (i.e. WSSPI).

356 4.2 Aneurysm location

³⁵⁷ The majority of aneurysms are found at the apex of bifurcations or the outer wall of

vascular bends, which has long established the importance of hemodynamic stress in

the initiation of cerebral aneurysms (Kayembe et al, 1984; Stehbens, 1989; Gonzalez

et al, 1992; Kondo et al, 1997; Foutrakis et al, 1999). At the bifurcation apex, blood impinges the wall and rapidly accelerates and then decelerates as it diverts into the

³⁶¹ impinges the wall and rapidly accelerates and then decelerates as it diverts into the ³⁶² branches. The associated WSS is low at the impingement region and high further

downstream, with, along the branch, first high positive and then high negative gra-

dients (Dolan et al, 2013). By complementing animal studies with CFD simulations,

³⁶⁵ Meng and colleagues have gathered evidence indicating that the combination of high

³⁶⁶ WSS and positive WSSG triggers the pathological remodeling leading to aneurysm

³⁶⁷ formation (Meng et al, 2007; Metaxa et al, 2010; Dolan et al, 2013). Other CFD stud-

ies, using pre-aneurysm images, have corroborated this finding (Kulcsar et al, 2011;

Kono and Terada, 2013; Kono et al, 2014). With respect to the rest of the branch and
 the controls, we also found aneurysms to form in regions of relatively high WSS and

³⁷¹ WSSG. However, although regions of positive WSSG were found close to the bifur-

cation apex, aneurysms were located further downstream in regions of mixed positive

and negative WSSG.

Aneurysms also occur at locations with lesser-known hemodynamic conditions. 374 Studying these locations can provide great insight into the hemodynamic mecha-375 nisms underlying aneurysm initiation. For instance, finding high WSS and WSSG in 376 regions that are not commonly dominated by those WSS characteristics, which can 377 be confirmed with controls, would provide stronger evidence in support of their role 378 in aneurysm initiation. Recently, Lauric et al. reported on a study of 10 aneurysms 379 located at the inner wall of the carotid siphon and 25 control ICAs (Lauric et al, 380 2014). The location was of particular interest as little was known about the hemo-381 dynamic conditions, except that the WSS was expected to be low. They found that 382 aneurysms had formed in regions of low WSS flanked by peaks of high WSS and 383 WSSG; WSS peaks correlated with the aneurysm necks; and controls were charac-384 terized by low, almost constant, WSS and WSSG. Similarly, in our study, comparing 385 ACAs harboring aneurysms to ACAs that never formed an aneurysm allowed us to 386 differentiate between hemodynamic stress patterns common to ACAs and those spe-387

388 cific to aneurysm formation.

389 4.3 Temporal variation in WSS direction

Apart from their magnitudes, research has also focused on the oscillatory nature of 390 the WSS and WSSG vectors. The most commonly used variable in this regard is the 391 oscillatory shear index (OSI), which was introduced in the context of atherosclerosis 392 (Ku et al, 1985) but later also used to study aneurysm initiation (Shimogonya et al, 393 2009; Singh et al, 2010; Kono et al, 2014) and rupture (Xiang et al, 2011; Miura et al, 394 2013). Two other variables were introduced specifically to study aneurysm initiation: 395 the (potential) aneurysm formation indicator (AFI) (Mantha et al, 2006) and the gra-396 dient oscillatory number (GON) (Shimogonya et al, 2009). Variable definitions and 397 results for these variables are presented in the appendix (Section 6) 398

Peiffer et al. recently proposed the transWSS and showed that it captures different flow features than OSI (Peiffer et al, 2013a). Preliminary results indicated strong correlations with atherosclerotic lesion. Other than OSI and AFI, which give more weight to flow reversal, transWSS focuses solely on the multidirectional (vs. uniaxial) nature of disturbed flow. Given these unique properties, we considered it a relevant new variable to assess in the context of aneurysm initiation.

For both cases and controls, regions of high transWSS were concentrated, suggesting that flow disturbances remained in the same location throughout the cardiac cycle. As expected, flow was more disturbed closer to the ICA bifurcation, leading to higher transWSS values in that region. Averaged over the whole, cases were found to have significantly higher transWSS values, but there were no clear correlations with

⁴¹⁰ the aneurysm initiation site.

411 4.4 Temporal variation in WSS magnitude

Among the assessed hemodynamic variables, WSSPI most consistently coincided 412 with the aneurysm initiation site. The variable was introduced as a simple metric 413 to quantify the temporal variation of the WSS magnitude without using noise-prone 414 415 temporal gradients (Lee et al, 2009). Besides the spatial variation in the WSS magnitude, related to the WSSG, and the temporal variation in the WSS direction, our 416 results suggest that the temporal variation in the WSS magnitude is also an important 417 factor to consider when investigating the role of hemodynamic stress in aneurysm ini-418 tiation. This is in line with the observation that endothelial cells respond differently 419 to temporal vs. spatial variations in WSS (White et al, 2001, 2005) and to different 420 types of pulsatile flow (Helmlinger et al, 1991; Himburg et al, 2007; Feaver et al, 421 2013). 422

423 4.5 Limitations and future directions

Aneurysms were virtually removed to approximate the pre-aneurysm vascular geom-424 etry. This approach has two main limitations. First, manual removal of aneurysms 425 is observer-dependent. We addressed this by repeating the analysis with a second 426 observer and found good agreement (Figure 8). Other studies employed automatic 427 removal methods (Ford et al, 2009; Shimogonya et al, 2009; Chen et al, 2013), but 428 these preserve less of the vascular geometry and still rely on manually set parameters. 429 Second, aneurysm removal does not account for possible changes in parent vessel 430 geometry due to interaction with the perianeurysmal environment during aneurysm 431 growth (Sforza et al, 2012). Since ICA bifurcations are not near bone structures, sub-432 stantial changes were unlikely to have occurred. However, prospective studies are 433 needed for confirmation. 434 Discrepancies between estimated and patient-specific flow rate waveforms at the 435 inlet have been shown to strongly affect the WSS magnitude (Karmonik et al, 2010; 436

Marzo et al, 2011; Jansen et al, 2014; McGah et al, 2014), but not the WSS distri-437 bution (Marzo et al, 2011). Therefore, we focused on the distribution by normalizing 438 appropriate variables by the average WSS on the branch. We also repeated the anal-439 ysis with a 20% higher inflow rate to confirm that the WSS distribution remained 440 relatively unchanged. The influence of the waveform shape on the WSSPI should be 441 investigated. Regarding the outlets, despite the simplification of zero-pressure bound-442 ary conditions, the resulting ACA:MCA flow split of 34:66 closely matched the in 443 vivo measurements (36:64) reported in (Zhao et al, 2007). Although much can be 444 learned from WSS distributions, we wish to stress that CFD studies scrutinizing the 445 role of hemodynamics in aneurysm initiation and rupture would greatly benefit from 446 patient-specific boundary conditions. Ideally, a range of possible boundary condi-447 tions, covering all the patient's levels of exercise, should be considered to obtain a 448

⁴⁴⁹ complete picture of the shear stresses exerted on the arterial wall.

450 Pathogenesis of cerebral aneurysms involves the interplay between mechanical

stimuli, vascular biology, and vascular geometry (Meng et al, 2014). Therefore, aneurysm

initiation is likely caused by a combination of biochemical and biomechanical fac-

12

tors (Sadasivan et al, 2013). Although hemodynamic stresses appear to be important,

their effect on the vascular biology, i.e. the mechanobiology, should also be modeled

to gain a deeper understanding of the underlying mechanisms (Humphrey and Taylor,

⁴⁵⁶ 2008; Watton et al, 2009, 2010). Moreover, among hemodynamic stresses, not only
 ⁴⁵⁷ the WSS but also pressure-induced tensile stresses are known regulators of vascular

⁴⁵⁸ biology and should be analyzed (Meng et al, 2014).

459 5 Conclusions

The aim of this study was to analyze the WSS field at the aneurysm initiation site.

⁴⁶¹ Ten cases with aneurysms at a single location were analyzed and compared with ⁴⁶² ten controls. We found that the general region in which aneurysms had formed was

characterized by high TAWSS and high TAWSSG. The aneurysm initiation site partly

⁴⁶⁴ overlapped with regions of high TAWSS and, among all assessed variables, most

⁴⁶⁵ consistently coincided with peaks of WSSPI.

466 6 Open data

⁴⁶⁷ To promote the future use of the dataset, surface meshes of all cases (with and without

⁴⁶⁸ aneurysm) and controls have been made available online at (Geers et al, 2015b).

469 Conflict of interest

470 None.

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485 Appendix: Additional hemodynamic variables

- This appendix presents variable definitions and results for three additional hemodynamic variables describing the oscillatory nature of the WSS and WSSG vectors.
- The oscillatory shear index (OSI) was introduced by Ku et al. (Ku et al, 1985) and
- 489 later redefined by He and Ku (He and Ku, 1996). It describes the oscillatory nature
- of the WSS vector, τ_w , during the cardiac cycle and has been used extensively in the
- ⁴⁹¹ context of atherosclerosis (Peiffer et al, 2013b) and aneurysm initiation (Singh et al,
- ⁴⁹² 2010; Shimogonya et al, 2009; Kono et al, 2014). It is given by

$$OSI = \frac{1}{2} \left(1 - \frac{\left| \int_0^T \tau_w \, \mathrm{d}t \right|}{\int_0^T |\tau_w| \, \mathrm{d}t} \right), \qquad OSI \in [0, \frac{1}{2}]$$
(8)

⁴⁹³ where *t* is time and *T* is the cardiac period.

⁴⁹⁴ The (potential) aneurysm formation indicator (AFI) was proposed by Mantha et ⁴⁹⁵ al. (Mantha et al, 2006) to identify flow stagnation zones, which in their study of ⁴⁹⁶ three sidewall aneurysms coincided with the aneurysm initiation site. It measures the ⁴⁹⁷ cosine of angle θ between the instantaneous WSS vector and the time-averaged WSS ⁴⁹⁸ vector, that is,

$$AFI = \cos \theta = \frac{\tau_w}{|\tau_w|} \cdot \hat{p}, \qquad AFI \in [-1, 1]$$
(9)

⁴⁹⁹ AFI was obtained at time point H1 of Ford et al.'s flow rate waveform (Ford et al, ⁵⁰⁰ 2005), corresponding to midsystolic deceleration during which flow is least stable

⁵⁰⁰ 2005), corresponding to mid ⁵⁰¹ (Fung, 1997, p. 137).

⁵⁰² The gradient oscillatory number (GON) was proposed by Shimogonya et al. (Shi-

mogonya et al, 2009) to quantify the degree of oscillating tension/compression forces
 at the aneurysm initiation site. It is given by

$$\operatorname{GON} = 1 - \frac{\left| \int_0^T G \, \mathrm{d}t \right|}{\int_0^T |G| \, \mathrm{d}t}, \qquad \operatorname{GON} \in [0, 1]$$
(10)

505 where

$$G = \begin{pmatrix} \nabla_{S} \left(\tau_{w} \cdot \hat{p} \right) \cdot \hat{p} \\ \nabla_{S} \left(\tau_{w} \cdot \hat{q} \right) \cdot \hat{q} \end{pmatrix}$$
(11)

⁵⁰⁶ Contour plots of the three variables are in Figures 9 (OSI), 10 (AFI), and 11 ⁵⁰⁷ (GON).

We found strong correlations between variables, implying that they capture the same flow features: AFI correlated with OSI, GON correlated with absolute TAWSSG. This is in accordance with previous studies (Lee et al, 2009; Peiffer et al, 2013a). The distribution of GON was very noisy, which can largely be attributed to it being the temporal variation in the second-order derivative of the velocity (Chen et al, 2013). No clear correlations were found between the variable distributions and the aneurysm initiation site.

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Fig. 1 Vascular models of cases with an eurysm (top), cases with the aneurysm virtually removed (middle), and controls (bottom). The ACA is colored red. View points were selected to best visualize the vascular model, so images are not necessarily at the same scale.



Fig. 2 Post-processing steps. A. Bifurcation sections were created one and two maximally inscribed sphere radii (MISR) away from the bifurcation to obtain representative cross sectional areas for the ICA, ACA and MCA. Bifurcation vectors projected onto the bifurcation plane (panel C) were used to calculate the bifurcation angles. The tortuosity was defined by Eq. (7) using the branch length along the centerline and the Euclidean distance between its endpoints. B. Centerlines from inlet to ACA outlet and inlet to MCA outlet diverged at the ICA bifurcation and were split into branches. Correspondingly, the vessel wall surface was also split into branches. C. The posteriorly directed normal to the bifurcation plane was parallel transported along the centerline. The ACA was mapped onto a 2D parametric space with a longitudinal and a circumferential coordinate. To view the distribution of hemodynamic variables on the whole branch at once, it was flattened onto a rectangle. Plots of the flattened branch indicate the location of the aneurysm neck and the patch. They also indicate the location of the posterior (pos), anterior (ant), superior (sup) and inferior (inf) sides of the variable distribution. Duplicated regions were grayed out.



Fig. 3 Time-averaged WSS (TAWSS) under 'normal' inflow conditions. The same colormap range was used for all cases and controls. Plot properties are explained in Figure 2C.



Fig. 4 Time-averaged WSS (TAWSS) under 'normal' inflow conditions. Colormaps were normalized by using \overline{TAWSS}_B as unit. Plot properties are explained in Figure 2C.



Fig. 5 Gradient of the time-averaged WSS (TAWSSG) under 'normal' inflow conditions. Colormaps were normalized by using \overline{TAWSS}_B/mm as unit. Plot properties are explained in Figure 2C.



Fig. 6 Transverse WSS (transWSS) under 'normal' inflow conditions. Colormaps were normalized by using \overline{TAWSS}_B as unit. Plot properties are explained in Figure 2C.



Fig. 7 WSS pulsatility index under 'normal' inflow conditions. The same colormap range was used for all cases and controls. Plot properties are explained in Figure 2C.



Fig. 8 Comparison of TAWSS distributions between observers removing the aneurysm and between 'normal' and 'high' flow rates at the inlet. Displayed are three cases or controls representating minimum, closest-to-mean and maximum CVRMSD. Colormaps were normalized by using \overline{TAWSS}_B as unit. Plot properties are explained in Figure 2C.



Fig. 9 Oscillatory shear index (OSI). Plot properties are explained in Figure 2C.



Fig. 10 Aneurysm formation indicator (AFI). Plot properties are explained in Figure 2C.



Fig. 11 Gradient oscillatory number (GON). Plot properties are explained in Figure 2C.

 Table 1
 Statistical analysis of geometric variables.

		Mean and st		
Variable	Unit	Cases	Controls	p-value ^a
ICA-ACA angle	[°]	77.8 ± 2.7	77.8 ± 3.1	.880
ICA-MCA angle	[°]	40.3 ± 2.5	39.4 ± 3.0	.940
ICA cross sectional area	$[mm^2]$	10.7 ± 0.8	9.5 ± 1.0	.364
ACA cross sectional area	[mm ²]	5.2 ± 0.6	3.8 ± 0.3	.059
MCA cross sectional area	[mm ²]	6.0 ± 0.3	5.1 ± 0.3	.049
ICA bifurcation area ratio	[-]	1.05 ± 0.05	0.98 ± 0.05	.545
ACA tortuosity	[-]	0.12 ± 0.03	0.06 ± 0.01	.059

^a *p*-values were calculated with the Wilcoxon rank-sum test; values highlighted in bold face correspond to statistically significant differences with p < 0.05.

 Table 2 Statistical analysis of hemodynamic variables.

		Mean and standard error of space-averaged variable values									
			Cases		Controls			p-value ^b			
Variable	Unit	Patch	Non-patch	Branch	Patch	Non-patch	Branch	Ι	II	III	IV
TAWSS	[TAWSS _B]	$1.18{\pm}0.05$	$0.94{\pm}0.02$	$1.00{\pm}0.00$	$1.01 {\pm} 0.05$	$1.00{\pm}0.02$	$1.00{\pm}0.00$.022	.959	.034	1.00
TAWSSG	$[\overline{TAWSS}_B/mm]$	$0.53{\pm}0.05$	$0.34{\pm}0.02$	$0.39{\pm}0.02$	$0.35{\pm}0.03$	$0.26{\pm}0.02$	$0.28{\pm}0.02$.017	.013	.010	.003
transWSS	$[10^{-3} \overline{\text{TAWSS}}_{\text{B}}]$	42.7 ± 3.9	26.1 ± 3.0	$30.4{\pm}2.7$	$31.4{\pm}3.2$	$21.4{\pm}1.1$	23.7±1.3	.007	.005	.059	.028
WSSPI	[-]	$1.52{\pm}0.03$	$1.38{\pm}0.03$	$1.41{\pm}0.03$	$1.45{\pm}0.02$	$1.27{\pm}0.02$	$1.32{\pm}0.02$.005	.005	.082	.003
									-		

^a TAWSSG differentiates between positive and negative gradients, so we space-averaged the absolute TAWSSG. ^b *p*-values were calculated with the Wilcoxon rank-sum test; the following samples were compared: I. patch vs. non-patch for the cases (paired), II. patch vs. non-patch for the controls (paired), III. patches of the cases vs. patches of the cases vs. patches of the cases vs. branches of the controls (unpaired); values highlighted in bold face correspond to statistically significant differences with p < 0.05.