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Cellular Mechanisms Underlying Burst Firing in Substantia Nigra Dopamine Neurons

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Burst firing of substantia nigra dopamine (SN DA) neurons is believed to represent an important teaching signal that instructs synaptic plasticity and associative learning. However, the mechanisms through which synaptic excitation overcomes the limiting effects of somatic Ca^{2+} -dependent K⁺ current to generate burst firing are controversial. Modeling studies suggest that synaptic excitation sufficiently amplifies oscillatory dendritic Ca^{2+} and Na^+ channel currents to lead to the initiation of high-frequency firing in SN DA neuron dendrites. To test this model, visually guided compartment-specific patch-clamp recording and ion channel manipulation were applied to rodent SN DA neurons *in vitro*.

As suggested previously, the axon of SN DA neurons was typically found to originate from a large-diameter dendrite that was proximal to the soma. However, in contrast to the predictions of the model, (1) somatic current injection generated firing that was similar in frequency and form to burst firing *in vivo*, (2) the efficacy of glutamatergic excitation was inversely related to the distance of excitation from the axon, (3) pharmacological blockade or genetic deletion of Ca^{2+} channels did not prevent high-frequency firing, (4) action potential bursts were invariably detected first at sites that were proximal to the axon, and (5) pharmacological blockade of Na⁺ channels in the vicinity of the axon/soma but not dendritic excitation impaired burst firing. Together, these data suggest that SN DA neurons integrate their synaptic input in a more conventional manner than was hypothesized previously.

Introduction

In vivo substantia nigra dopamine (SN DA) neurons exhibit lowfrequency (<4 Hz) regular/irregular activity, punctuated by brief periods of high-frequency (>10 Hz) burst firing (Wilson et al., 1977; Guyenet and Aghajanian, 1978; Grace and Bunney, 1980; Hyland et al., 2002; Schultz, 2006). In awake animals, burst firing is associated with items of biological value or stimuli that predict their receipt (Schultz et al., 1997; Hyland et al., 2002). Burst firing generates a profound increase of DA in target areas, such as the striatum (Gonon, 1988), which in turn promotes synaptic plasticity (Centonze et al., 2001; Reynolds et al., 2001; Kreitzer and Malenka, 2007; Shen et al., 2008) and consolidates behavior (Schultz et al., 1997). The precisely timed nature of burst firing, its sensitivity to receptor antagonists, and its absence in deafferented preparations demonstrate that synaptic input is essential for its generation (Overton and Clark, 1997). Indeed, synaptic stimulation or dendritic application of glutamate in vitro generates in vivo-like burst firing (Morikawa et al., 2003; Blythe et al.,

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2007). However, the mechanisms through which synaptic inputs generate burst firing are controversial.

Voltage-dependent Na $^+$ (Na_v), Ca $^{2+}$ (Ca_v), and K $^+$ (K_v) channels, mixed cation channels, and small-conductance Ca²⁺dependent K⁺ (SK) channels regulate the activity of SN DA neurons (Shepard and Bunney, 1988; Grace and Onn, 1989; Harris et al., 1989; Nedergaard et al., 1993; Mercuri et al., 1994; Liss et al., 2001; Neuhoff et al., 2002; Chan et al., 2007; Kim et al., 2007; Puopolo et al., 2007). Nav, Cav, hyperpolarization-activated cyclic nucleotide-gated cation (HCN), and nonselective cation channels underlie depolarizing currents, whereas A-type K_v and SK channels underlie hyperpolarizing currents. The large magnitude and long duration of currents generated by somatic SK channels are particularly thought to restrict activity (Kuznetsov et al., 2006). G-protein-coupled receptor-mediated reduction in SK channel current was therefore initially thought essential for burst firing. However, this form of modulation has not been identified (Morikawa et al., 2003), and the distinctive activity pattern that follows blockade of SK channels (Shepard and Bunney, 1988; Johnson and Wu, 2004; Kuznetsov et al., 2006) implies the existence of alternative mechanisms. Thus, the rapid clearance of intracellular Ca²⁺ from small-diameter dendrites (and thus smaller magnitude/shorter duration of dendritic SK current) was proposed to confer dendrites with the capability to oscillate at higher frequencies than the somatic compartment in which Ca^{2+} is cleared more slowly (Wilson and Callaway, 2000). Furthermore, NMDA receptor-mediated synaptic inputs were proposed to amplify dendritic Ca_v and Na_v channel currents such that action potentials initiate in dendrites and overcome the lim-

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iting effects of the soma (Kuznetsov et al., 2006). However, other studies suggest that action potentials are invariably initiated in the axonal compartment before propagating into the somatodendritic compartment (Häusser et al., 1995; Gentet and Williams, 2007). Thus, to further address the mechanisms that underlie burst firing in SN DA neurons, we used conventional microscopy-guided or two-photon laser scanning microscopy (2PLSM)-guided compartment-specific patch-clamp recording and ion channel manipulation during synaptic stimulation-evoked or exogenous glutamate-evoked activity.

Materials and Methods

Slice preparation. Procedures involving animals were performed in accordance with the policies of the National Institutes of Health and were approved by the Northwestern University Institutional Animal Care and Use Committee. Brain slices were prepared from Sprague Dawley rats [postnatal day 12 (P12) to P55] or where specified transgenic bacterial artificial chromosome (BAC) D₂ enhanced green fluorescent protein (EGFP) Cacna1d knock-out/wild-type (P22-P31) mice (Day et al., 2006). Animals were deeply anesthetized with ketamine/xylazine (87 and 13 mg/kg, i.p., respectively) and then perfused via the ascending aorta with 10-30 ml of ice-cold modified artificial CSF (ACSF), which contained the following (in mM): 230 sucrose, 26 NaHCO₃, 2.5 KCl, 1.25 NaH₂PO₄, 0.5 CaCl₂, 10 MgSO₄, and 10 glucose (equilibrated with 95% O₂-5% CO₂). Each brain was rapidly removed, blocked along the midline, glued to the stage of a vibratome (model 3000; Vibratome), and immersed in modified ACSF at ~0.5°C. Coronal/sagittal slices containing the SN were cut at 250–300 μ m before being transferred to a holding chamber, which contained the following (in mM): 126 NaCl, 26 NaHCO₃, 2.5 KCl, 1.25 NaH₂PO₄, 2 CaCl₂, 2 MgSO₄, and 10 glucose (equilibrated with 95% O_2 -5% CO_2).

Visualized recording. Individual slices were recorded in a chamber that was perfused at 2–4 ml/min with synthetic interstitial fluid, which contained the following (in mM): 126 NaCl, 26 NaHCO₃, 3 KCl, 1.25 NaH₂PO₄, 1.6 CaCl₂, 1.5 MgSO₄, and 10 glucose (equilibrated with 95% O₂–5% CO₂, heated to 35–37°C). Neurons were first selected for recording using Dodt contrast optics. For conventional microscopy, a fixed-stage upright microscope (Axioskop 2 FS plus; Zeiss) equipped with a 60×, 0.9 numerical aperture objective (Olympus) and an infrared filter was used. For 2PLSM a fixed-stage upright microscope (BX51WI; Olympus) equipped with a 60×, 0.9 numerical aperture lens (Olympus) and an Ultima 2P system (Prairie Technologies) was used. A tunable laser (Verdi 5W Mira 900F; Coherent) was used as a light source for two-photon imaging. Neurons were imaged at 800 nm with 76 MHz pulse repetition and ~200 fs pulse duration at the sample plane.

Electrophysiological recordings used borosilicate glass pipettes (Warner Instruments) with an impedance of $4-12 \text{ M}\Omega$. Recordings were made in the tight-seal cell-attached, whole-cell or perforated configurations. For whole-cell and cell-attached recording, pipettes were filled with a solution containing the following (in mM): 135 K-MeSO₄, 3.8 NaCl, 1 MgCl₂·6H₂O, 10 HEPES, 0.1 Na₄-EGTA, 0.4 Na₃-GTP, and 2 Mg-ATP, pH 7.3. To visualize neurons under 2PLSM, 20 μ M Alexa Fluor 568 hydrazide sodium salt (Invitrogen) was added. To visualize neurons after recording, 0.5% biocytin was routinely added. For perforated patch recording, gramicidin D (Sigma) was added at a concentration of 20 μ g/ml to gain electrical access.

Electrophysiological records were acquired using a computer running Clampex 9/10 software (Molecular Devices) connected to a Multiclamp 700B amplifier (Molecular Devices) via a Digidata 1322A digitizer (Molecular Devices). Data were low-pass filtered at 10–30 kHz and sampled at 50–100 kHz. Electrode capacitance was compensated online. Wholecell and perforated patch-clamp recordings were corrected offline for liquid junction potentials of 9 and 4 mV, respectively (Baufreton et al., 2005).

Generation of burst firing in SN DA neurons. Burst firing was generated through local electrical stimulation (50–100 stimuli at 50–100 Hz; stimulus duration, 0.1 ms; stimulus intensity, 100–600 μ A) of glutamatergic

afferents or pressure-pulse application (25 pulses at 50 Hz; pulse duration, 10 ms; pulse pressure, 0.1-0.2 bar) of 1 mM glutamate [dissolved in a solution containing the following (in mM): 140 NaCl, 23 glucose, 15 HEPES, 2 KCl, 2 MgCl₂, and 1 CaCl₂, pH 7.2 (300 mOsm)]. GABA_A, GABA_B, D₂, and type 1 metabotropic glutamate receptor antagonists were continuously bath applied to block inhibition and maximize glutamatergic excitation. Bipolar electrical stimulation (A360 stimulus isolator; World Precision Instruments) was applied via a matrix stimulating electrode (four rows of five tungsten electrodes with a between-row separation of 600 µm and a within-row separation of 240 µm; FHC). Electrode pairs were tested until a combination that evoked large, reliable EPSPs was found. 2PLSM imaging of Alexa Fluor 568-filled neurons was used to guide the compartment-selective pressure-pulse (Picospritzer III; Parker Hannifin) application of glutamate via patch pipettes. To estimate the extent of glutamate application, Alexa Fluor 488 was added to the ejection solution and 2PLSM movies were acquired during ejection, or the application pipette was withdrawn from the target until no response was detected. When two glutamate application pipettes were used to activate different compartments of the same neuron, identical application pipettes and pressure settings were used. Occasionally, the positions of the two application pipettes were transposed to ensure that each pipette evoked similar responses. In some cases, voltage-dependent Ca, 1 channel and/or ionotropic glutamate receptor antagonists were subsequently bath applied and/or Nav channel antagonists were pressurepulse applied (as for glutamate) to reduce the contribution of each ion channel to the evoked response. After electrophysiological recording, 2PLSM z-series (0.8-1 µm focal steps) of Alexa Fluor 568-filled neurons were generated.

Drugs. GABA_A and GABA_B receptors were blocked with 20 μM SR95531 [2-(3-carboxypropyl)-3-amino-6-(4-methoxyphenyl)pyridazinium bromide] and 1–2 μM CGP55845 [(2S)-3-[([1S]-1-[3,4-dichlorophenyl]-ethyl)amino-2-hydroxypropyl] (phenylmethyl)phosphinic acid], respectively. D₂-like dopamine receptors were antagonized with 10 μM sulpiride. Type 1 metabotropic glutamate receptors were blocked with 50 μM 7-hydroxyminocyclopropan[b]chromen-1α-carboxylic acid ethyl ester. Ca_v1 channels were blocked with 5–20 μM isradipine or 5–10 μM nimodipine. Na_v channels were blocked with 1 μM tetrodotoxin (TTX). All drugs were bath applied except for TTX, which was pressure-pulse applied. Drugs were obtained from either Ascent Scientific or Tocris Bioscience.

Anatomical reconstruction. After recording, slices were fixed in 4% paraformaldehyde [in 0.1 M phosphate buffer (PB), pH 7.4] at 4°C. To visualize biocytin-filled neurons, fixed tissue slices were rinsed with PBS, pH 7.4, and incubated in avidin-biotin-peroxidase complex (Vectastain Elite ABC kit; Vector Laboratories) in PBS containing 1% normal serum, 1% bovine serum albumen, and 0.5% Triton X-100 (Sigma) overnight at room temperature. Slices were then rinsed with PBS and stained with diaminobenzidine (Vector Laboratories). At the point of optimal staining, reactions were terminated by washing with PBS and then PB (0.1 M, pH 7.4). Finally, slices were treated with osmium (0.4%; Electron Microscopy Sciences) for 15-20 min, rinsed with PB several times, dehydrated in a graded series of alcohol, and mounted in resin (Durcupan; Sigma) on microscope slides. The resin was then cured for 48 h at 60°C before neurons were imaged and reconstructed using a light microscope (DM LB; Leica) equipped with a Neurolucida system (MicroBrightField).

Analysis. Data were analyzed using IgorPro 6 (Wavemetrics) and Origin Pro 8 (OriginLab Corp) software. Numerical data are reported as mean \pm SD and presented as line (paired datasets) or box plots (unpaired datasets; median, central line; mean, square; 25–75% range, box; 5–95% range, outer lines). The ages of animals that were used are reported for each dataset. Paired and unpaired statistical comparisons were made using the nonparametric Wilcoxon's signed-rank test and Mann–Whitney *U* (MWU) test, respectively. For statistical comparisons involving multiple groups, ANOVA tests followed by Tukey's multiple comparison tests were performed. A *p* value of <0.05 was used as the criterion for determining statistically significant differences. Synaptic stimulation artifacts were removed by deleting up to 1 ms of data for each artifact and then replacing the data with a straight line that spanned the deletion. Action potential initiation was detected as described previously (Baufre-



Figure 1. Physiological and morphological properties of SN DA neurons. SN DA neurons were identified by their characteristic physiological properties including slow (<4 Hz), autonomous firing (*A*1), broad (>2 ms duration) action potentials (*A*2), sag in response to hyperpolarizing current injection (*A*3), and depolarization block (*A*4) in response to depolarizing current injection. *B*1, Selection of neurons for single somatic or dual somatic/dendritic recordings were based on the appearance of the soma or the width of proximal dendrites, respectively. The distance of the axon hillock from the soma was significantly greater for the latter selection strategy. *B*2, *B*3, The base and average diameters of the primary parts of ABDs were significantly greater than the equivalent sections of nABDs. The base and average diameters of ABDs were also significantly greater than the dendrites of neurons in which the axon emerged from the soma. *C*, Examples of neurons in which the axon emerged from a proximal dendrite close (32.9 μ m) to the soma and the soma and a secondary dendrite far (243 μ m) from the soma. The axon hillock is denoted by an arrow. Note that the cut end of each axon forms a characteristic retraction ball. *p < 0.05.

ton et al., 2005). Burst firing was defined as firing of a frequency greater than the mean spontaneous firing frequency +3 SD.

Results

Physiological and morphological properties of SN DA neurons

SN DA neurons were distinguished from other SN neurons by their characteristic physiological properties, which include slow <4 Hz autonomous firing (Fig. 1*A1*), long-duration (>2 ms) action potentials (Fig. 1*A2*), pronounced sag in response to hyperpolarization (Fig. 1*A3*), and cessation of action potential generation in response to depolarizing current injection (Fig. 1*A4*) (Richards et al., 1997). Neurons were routinely filled with biocytin for visualization after recording because the axon of SN DA neurons may arise from a variety of locations (Juraska et al., 1977; Preston et al., 1981; Grace and Bunney, 1983a,b; Tepper et al., 1987; Häusser et al., 1995; Gentet and Williams, 2007), which presumably has fundamental implications for the manner in which synaptic inputs are integrated. Our data supported previous findings that the axon originated from a dendrite in the majority of cases (73%; 48 of 66 neurons). The healthy appearance of the soma was most commonly used to select neurons for recording (n = 56). In a subset of neurons, in which dendrites were also targeted for recording, neurons that additionally possessed largediameter dendrites were selected (n = 10). Interestingly, the axon of neurons selected for somatic criteria alone emerged from the soma (32%) or a dendrite (68%), whereas the axon invariably originated from a dendrite in neurons selected for large-diameter dendrites. Thus, the axon emerged from a location relatively proximal to the soma when the somatic search strategy was used (somatic strategy, 17.8 \pm 23.5 μ m, n = 56; dendritic strategy, $71.0 \pm 65.4 \ \mu\text{m}, n = 10; p < 0.05, \text{MWU test}$ (Fig. 1*B1*). Furthermore, the axon emerged from a relatively proximal part of the axon bearing dendrite (ABD) when the somatic search strategy was used (somatic strategy, $26.2 \pm 24.2 \,\mu\text{m}$, n = 38; dendritic



Figure 2. Somatic current generation generates a burst of action potentials. *A*–*C*, Action potential bursts evoked by somatic current injection in juvenile (*A*, *C*; P14 ± P1) and more mature (*B*, *C*; P52 ± P7) SN DA neurons. *A*1, *B*1, Response of representative SN DA neurons to depolarizing current injections (200 pA for 1 s). *A*2, *B*2, Overlay of selected action potentials (AP1, AP3, AP5, AP7, AP9, AP11) generated by current injection reveals a progressive decrease and increase in the amplitude and duration of action potentials, respectively. *A*3, *B*3, Overlay of the first derivative of action potentials illustrated in *A*2 and *B*2 reveals the progressive reduction in the peak of the first derivative and separation of early (axonal) and later (somatic) components of action potentials. *C*1, *C*2, Maximum (max) and mean frequency (freq) of burst activity versus current amplitude. *C*3, *C*4, Number of burst action potentials (APs) and duration of evoked burst firing versus current amplitude.

strategy, 71.0 \pm 65.4 μ m, n = 10; p < 0.05, MWU test). The relatively distal location of the axon in neurons selected for largediameter dendrites was similar to studies that also targeted dendrites for recording (Häusser et al., 1995; Gentet and Williams, 2007). To determine whether neuron selection based on dendritic diameter targeted neurons with ABDs, the diameter of ABDs and non-axon bearing dendrites (nABDs) were compared in a randomly selected subset of neurons. ABDs possessed a significantly larger base diameter (4.5 \pm 1.3 μ m; n = 20) than nABDs (2.5 \pm 1.1 μ m; n = 65; p < 0.05, ANOVA/Tukey's test) (Fig. 1B2) or dendrites of neurons in which the axon emerged from the soma (2.4 \pm 0.9 μ m, n = 26; ANOVA/Tukey's test, p <0.05) (Fig. 1 B2). Similarly, ABDs possessed a significantly larger average diameter (3.6 \pm 1.2 μ m; n = 20 dendrites/20 neurons) than nABDs (1.9 \pm 1.0 μ m; n = 65 dendrites/20 neurons; p <0.05, ANOVA/Tukey's test) (Fig. 1B3) or dendrites of neurons in which the axon emerged from the soma (1.8 \pm 0.8 μ m; n = 26dendrites/5 neurons; p < 0.05, ANOVA/Tukey's test) (Fig. 1B2). Representative examples of neurons are illustrated in Figure 1C1-C3. Together, the data demonstrate that the axon emerges from the soma or a dendrite proximal to the soma in the majority of SN DA neurons, but recording strategies that target neurons with large dendritic diameters preferentially select neurons with ABDs in which the axon emerges from a relatively distal location.

The apparent failure of SN DA neurons, in response to somatic current injection, to fire action potentials at frequencies or durations similar to those associated with burst firing *in vivo* (Grace and Bunney, 1983b; Yung et al., 1991) has led to the proposition that synaptic inputs modulate or interact with the intrinsic properties of SN DA neurons in a unique manner to produce burst firing (Kitai et al., 1999; Kuznetsov et al., 2006). In vivo SN DA neuron action potential bursts comprise 2-10 action potentials with frequencies ranging from 12 to 30 Hz and are characterized by a progressive reduction in action potential amplitude, a progressive increase in action potential duration, and a progressive decrease in firing frequency (Grace and Bunney, 1983b, 1984; Freeman et al., 1985; Hyland et al., 2002). In the course of distinguishing SN DA neurons from SN GABA neurons, we observed, to our surprise, that action potential bursts evoked by somatic current injection were similar to in vivo burst firing (Fig. 2). The responses of SN DA neurons to current injection were therefore systematically analyzed and are illustrated in Figure 2. Data was obtained from juvenile $(n = 20; P14 \pm P1)$ and more mature (n = 6; P52 \pm P7) SN DA neurons. Neurons were recorded in the whole-cell configuration (juvenile, n = 15; mature, n = 6) and the less invasive perforated configuration (juvenile, n =5). Data obtained from juvenile neurons in each recording configuration were similar and therefore pooled. Both juvenile and mature neurons readily generated action potential bursts. The pattern of evoked firing in vitro therefore suggests that SN DA neurons, if sufficiently depolarized, possess intrinsic membrane properties that are adequate for the generation of in vivo-like burst firing.

Burst firing can be generated by glutamatergic activation of both somatic and dendritic compartments

The duration of SK channel current in the large-diameter somatic compartment, in which Ca²⁺ is cleared relatively slowly, has been suggested to set an upper limit on both the frequency of autonomous firing and firing in response to somatic current injection



Figure 3. The intensity of glutamate-evoked activity is inversely related to the distance of glutamate application from the axon. Glutamate was pressure-pulse applied to specific compartments of SN DA neurons in three distinct combinations: soma and dendrite (*A*); ABD and nABD (*B*); proximal and distal sections of the same dendrite (*C*). *A1*, *B1*, *C1*, 2PLSM *z*-series of neurons together with sites of glutamate application (green). The axon hillock (red arrow) was identifiable in *B1* and *C1*. *A2*, *B2*, *C2*, Glutamate-evoked activity for each combination. Green line denotes time over which glutamate was applied through pressure pulses. Applications of glutamate to the soma, ABDs and non-nABDs, and proximal and distal dendrites generated burst firing. *D*, *E*, Population data. Each line denotes an individual neuron. *D*, The frequencies of activity evoked by somatic versus dendritic or ABD versus nABD applications of glutamate were not significantly different. However, relatively proximal applications of glutamate axon firing than more distal dendritic applications. *E*, Replotting of data with respect to distance of glutamate application from the axon reveals that, in the majority of neurons, glutamate applications relatively proximal to the axon generated higher-frequency activity than more distal applications (black lines). In only two neurons (gray lines) was an opposite trend observed. **p* < 0.05.

Table 1. Generation of burst firing in SN DA neurons by compartment-specific application of glutamate

	Maximum frequency (Hz)	Mean frequency (Hz)	Number of APs	Burst duration (ms)
Dendrite	14.7 ± 9.6	10.8 ± 5.8	5.0 ± 2.4	417 ± 69
Soma	17.0 ± 9.2	13.2 ± 6.9	5.5 ± 2.2	378 ± 117
(<i>n</i> = 9; P20 ± P3)				
ABD	20.9 ± 5.2	15.3 ± 4.8	6.9 ± 1.1	471 ± 126
nABD	19.1 ± 9.4	13.6 ± 6.4	6.6 ± 2.1	537 ± 64
(<i>n</i> = 6; P18 ± P4)				
Proximal dendrite	17.9 ± 11.8	13.3 ± 8.0	6.4 ± 1.3	690 ± 284
Distal dendrite ($n = 6$; P20 \pm P4)	8.3 ± 8.6*	6.5 ± 5.8*	3.0 ± 1.3*	521 ± 263

APs, Action potentials. *p < 0.05.

(Wilson and Callaway, 2000; Kuznetsov et al., 2006). In contrast, the relatively short duration of Ca^{2+} disposal in small-diameter dendrites (because of their higher surface area/volume ratio) has been proposed to confer dendrites with the capability to support high-frequency firing especially if oscillatory dendritic Ca_v and Na_v channel currents are amplified by synaptic activation of

NMDA receptors (Wilson and Callaway, 2000; Kuznetsov et al., 2006). Thus, a prediction of the model is that excitatory glutamatergic inputs to proximal, largediameter compartments will evoke lowerfrequency firing than inputs to more distal, small-diameter compartments. To test this prediction, glutamate was focally applied to SN DA neurons in a compartment-specific manner under the visual guidance of 2PLSM or conventional microscopy. Glutamate application pipettes were positioned in one of three configurations: soma and dendrite; ABD or nABD; or proximal and distal parts of the same dendrite (Fig. 3). Application of glutamate to the soma or dendrites (n =9) or to the ABD or nABDs (n = 6) of individual neurons generated bursts of action potentials that were similar (Fig. 3A, B, D, Table 1). In contrast, application of glutamate to a proximal section of dendrite generated higher-frequency burst firing than more distal application of glutamate to the same dendrite in each of six neurons tested (Fig. 3C,D, Table 1). Together, these data demonstrate that glutamatergic excitation of somatic, ABD/nABD, and proximal/distal dendritic compartments can generate burst firing in SN DA neurons. However, excitation of proximal compartments more effectively drives firing than excitation of distal compartments. Because these findings are inconsistent with the coupled oscillator model of synaptic integration (Kuznetsov et al., 2006), we next studied the relationship between the effectiveness of glutamate application and the distance of glutamate application from the axon. In the majority of neurons, applications of glutamate relatively proxi-

mal to the axon generated more intense burst firing than more distal applications (Fig. 3*E*). Together, these data suggest that the efficacy of excitation is inversely related to the distance from the axon, as suggested by a previous modeling study (Häusser et al., 1995).

$\rm Ca_v 1$ channels contribute to but are not essential for burst firing in SN DA neurons

Dendritic Ca_v1 channels have been proposed to be critical for high-frequency burst firing in SN DA neurons (Ping and Shepard, 1999; Shepard and Stump, 1999; Johnson and Wu, 2004; Kuznetsov et al., 2006). To test this proposition, burst firing was evoked by local electrical stimulation (100 Hz for 1 s) of excitatory afferents in the absence and presence of 5–10 μ M nimodipine, a selective Ca_v1 channel blocker. In these experiments, the perforated patch configuration was used. Nimodipine application significantly but modestly reduced evoked burst firing but did not abolish it (Fig. 4*A*, *C1*, Table 2). To discount the contribution of presynaptic Ca_v1 channels, the effects of Ca_v1 channel blockade on bursts that were generated by dendritic application of glutamate were also tested in SN DA neurons that were recorded in the whole-cell or cell-attached configuration. Bath application of the Ca_v1 channel antagonist isradipine (5–20 μ M) produced a similar significant but modest reduction in the intensity of glutamate-evoked burst firing (Fig. 4B, C2, Table 2). It is possible that the relatively modest effects of Cav1 channel blockade were attributable to the relatively juvenile nature of recorded SN DA neurons (n = 12; P21 \pm P4). However, isradipine (10 μ M) application also failed to abolish glutamate evoked burst firing in more mature neurons (n = 5; P42 \pm P2) (supplemental Fig. S1, Table S1, available at www.jneurosci.org as supplemental material).

Although application of dihydropyridines significantly reduced the intensity of burst firing in SN DA neurons, we observed a less consistent effect of $Ca_v 1$ channel blockade on autonomous activity than some (Nedergaard et al., 1993; Mercuri et al., 1994) but not all (Fujimura and Matsuda, 1989; Shepard and Stump, 1999; Kim et al., 2007; Puopolo et al., 2007) studies have reported. After 10–15 min dihydropyridine application, the frequency of autonomous activity decreased in eight neurons and increased in eight neurons, and the regularity of firing decreased in 11 neurons and in-

creased in five neurons. Overall, the effects were not statistically significant (control frequency, 2.9 ± 1.4 Hz, n = 16; dihydropyridine frequency, 2.4 ± 1.7 Hz, n = 16; control coefficient of variation, 0.12 ± 0.12 ; dihydropyridine coefficient of variation, 0.30 ± 0.47).

To specifically assess the role of Ca_v1.3 channels in burst firing, synaptic stimulation-evoked and exogenous glutamate-evoked burst firing were compared in control mice (BAC D₂ EGFP Cacna1d wild-type) and mice with the genetic deletion of Ca_v1.3 channels (BAC D₂ EGFP *Cacna1d* knock-out) (Day et al., 2006) through whole-cell and cell-attached recording. Synaptic stimulation (50 Hz for 500 ms) generated action potential bursts in Cacna1d wild-type and knock-out neurons that were not significantly different (Fig. 5A, Table 3). Similarly somatic or proximal dendritic application of glutamate (50 Hz for 500 ms) generated burst firing in Cacna1d wild-type and knock-out neurons that was not significantly different (Fig. 5B, Table 3). In line with previous findings in rats (Morikawa et al., 2003; Blythe et al., 2007), synaptic stimulation-evoked and exogenous glutamateevoked burst firing in mouse SN DA neurons was entirely eliminated by bath application of AMPA and NMDA receptor antagonists (data not shown). Together, the data suggest that Cav1 channels may contribute to but are not essential for burst firing in SN DA neurons.

The site of action potential initiation is not altered during burst firing

Although current injection generated action potentials are initiated in the axon of SN DA neurons (Häusser et al., 1995; Gentet and Williams, 2007), it has been predicted that synaptic input acting through NMDA receptors shifts the site of action potential initiation to dendrites during burst firing (Kuznetsov et al., 2006). Indeed action potentials actively propagate in SN DA neuron dendrites (Häusser et al., 1995; Gentet and Williams, 2007), and their morphology is compatible with action potential gener-



Figure 4. Ca_v1 channel antagonists reduce the intensity of, but do not eliminate, burst firing in SN DA neurons. *A*, Generation of burst firing in an SN DA neuron by local synaptic stimulation (gray) under control conditions (*A*1) and in the presence of nimodipine (10 μ M; *A*2). *B*, Generation of burst firing in a SN DA neuron by dendritic pressure-pulse application of glutamate (green) under control conditions (*B*1) and in the presence of isradipine (10 μ M; *B*2). *B*3, 2PLSM *z*-series with site of glutamate application (green pipette) and axon hillock (red arrow) indicated. *C*, Population data. Individual neuron data indicated by black lines. Sample mean indicated by red line. Application of nimodipine (*C*1) or isradipine (*C*2) significantly reduced the intensity of evoked firing in most neurons tested and across the sample population compared with firing under control conditions. APs, Action potentials; CON, control; freq, frequency; ISR, isradipine; NIM, nimodipine. **p* < 0.05.

Table 2. Application of Ca_v1 channel antagonists reduces the intensity of synaptic stimulation-evoked and exogenous glutamate-evoked burst firing in SN DA neurons

	Maximum frequency (Hz)	Mean frequency (Hz)	Number of APs	Burst duration (ms)
Stimulation, control Stimulation, nimodipine ($n = 8$; P17 \pm P1)	27.6 ± 12.1 22.9 ± 12.4*	11.7 ± 3.1 $10.7 \pm 3.3^*$	$\begin{array}{c} 10.4 \pm 2.9 \\ 9.3 \pm 3.2^{*} \end{array}$	1088 ± 178 956 ± 163*
Glutamate, control Glutamate, isradipine ($n = 12$; P21 \pm P4)	15.0 ± 4.6 11.4 ± 7.0*	11.1 ± 3.0 9.2 ± 4.8*	6.2 ± 1.6 $4.7 \pm 1.8^*$	546 ± 105 454 ± 131*

APs, Action potentials. *p < 0.05.

ation in dendrites (Vetter et al., 2001). To address this prediction, simultaneous somatic and dendritic whole-cell patch-clamp recordings of SN DA neurons were performed during spontaneous firing and synaptic stimulation-evoked burst firing (50 Hz for 500 ms) to determine the relative timing of action potential initiation. Consistent with previous findings, we found that spontaneously generated action potentials were first detected with recording electrodes that were placed on ABDs close to the axon hillock (n = 5) (Fig. 6A, C, Table 4). Furthermore, the relative timing of ABD and somatic action potentials was not altered during synaptic stimulation-evoked burst firing (Fig. 6A, C, Table 4). Consistent with axonal initiation of action potentials, spontaneous action potentials were detected in nABDs after the detection of somatic action potentials (n = 3), and the relative timing of nABD and somatic action potentials was also not altered during synaptic stimulation-evoked burst firing (Fig. 6B, C, Table 4).

To further test for the site of initiation of action potentials during burst firing, TTX was applied either at the site of dendritic glutamate application or in the region of the axon hillock and



Figure 5. Synaptic stimulation or dendritic application of glutamate generates burst firing in BAC D₂ EGFP *Cacna1d* wild-type/ knock-out SN DA neurons. Local synaptic stimulation (*A*, gray) or dendritic pressure-pulse application of glutamate (*B*, green) generated burst firing in SN DA neurons derived from wild type (WT; *A1*, *B1*) and *Cacna1d* knock-out (*A2*, *B2*) mice. 2PLSM *z*-series of each recorded neuron are adjacent to their respective electrophysiological records. The locations of glutamate application pipettes (green) are denoted. Insets, Overlays of Dodt contrast and EGFP fluorescent images confirm that each recorded neuron was BAC D₂ EGFP positive. *A3*, *B3*, Population data. Burst firing was not significantly different for any parameter in WT and *Cacna1d* knock-out mice. APs, Action potentials; freq, frequency; KO, knock-out; NS, not significant.

Table 3. Synaptic stimulation-evoked and exogenous glutamate-evoked burst firing in *Cacna1d* wild-type/knock-out SN DA neurons

	Maximum frequency (Hz)	Mean frequency (Hz)	Number of APs
Stimulation, wild type $(n = 6; P25 \pm P0)$	34.0 ± 15.3	19.2 ± 7.5	9.9 ± 3.2
Stimulation, knock-out $(n = 4; P28 \pm P1)$	27.2 ± 13.4	14.6 ± 4.4	7.5 ± 1.3
Glutamate, wild type $(n = 11; P25 \pm P3)$	12.4 ± 4.7	8.9 ± 2.6	6.7 ± 1.0
Glutamate, knock-out ($n = 9$; P25 \pm P2)	13.1 ± 5.1	9.9 ± 3.6	7.4 ± 2.6

APs, Action potentials

soma. Neurons (n = 15; P21 \pm P2) were recorded in the somatic whole-cell or cell-attached configurations. Application of TTX to the site of dendritic excitation did not alter the frequency, latency, or threshold of glutamate-evoked burst firing (Fig. 7A, Table 5) but did lead to a slight but significant reduction in the number of action potentials per burst (Fig. 7A, Table 5) (application of vehicle alone to the site of dendritic excitation had no effect on glutamate-evoked activity; data not shown). In contrast, application of TTX to the axo-somatic region impaired autonomous activity and dramatically reduced burst firing (Fig. 7B, Table 5). Thus, axo-somatic TTX application significantly decreased the maximum frequency of burst firing and the number of action potentials associated with burst firing and increased the latency to burst firing (Fig. 7B, Table 5). Axo-somatic TTX application also elevated the threshold of action potentials evoked by dendritic glutamate application in each of five neurons recorded in the whole-cell configuration. Anatomical reconstruction of neurons in which the axon could be identified confirmed that

dendritic TTX applications were significantly further from the axon hillock than axo-somatic applications (dendritic TTX, 110.0 \pm 26.4 μ m, n = 7; axo-somatic TTX, 23.7 \pm 10.9 μ m, n =5; p < 0.05, MWU test). The relatively powerful effects of axo-somatic versus dendritic TTX application on glutamate-evoked action potential bursts were also confirmed in more mature (n = 4; P47 \pm P3) SN DA neurons (supplemental Fig. S2, Table S2, available at www.jneurosci.org as supplemental material). Together, these data suggest that spontaneous and synaptic stimulation-evoked and glutamate-evoked burst firing are initiated in the axon of SN DA neurons and dendritic excitation does not shift the site of initiation to other compartments.

Discussion

The axon typically emerges from a large-diameter, proximal dendrite

Previous studies have reported that the axon of SN DA neurons emerges from the soma or a dendrite (Juraska et al., 1977; Preston et al., 1981; Grace and Bunney, 1983a; Tepper et al., 1987; Häusser et al., 1995; Gentet and Williams, 2007). However, a wide range of axon–soma separa-

tions have been documented ranging from short (15–30 μ m) (Grace and Bunney, 1983a), long (69–258 µm) (Gentet and Williams, 2007), and highly variable $(0-240 \ \mu m)$ (Häusser et al., 1995). A possible reason for the variability between studies is that neurons were sampled blindly (e.g., Golgi labeling or in vivo recording studies) or targeted according to the suitability of their somata or dendrites for patch-clamp recording. Indeed, we show that the axon-soma separation of neurons selected for recording from large-diameter dendrites was significantly greater (71.0 \pm 65.4 μ m) than neurons selected for their somatic appearance alone (17.8 \pm 23.5 μ m). This sampling bias presumably arises because SN DA neuron axons emerge from the largest-diameter dendrite, as suggested previously (Häusser et al., 1995; Gentet and Williams, 2007) and confirmed here. The diameter of ABDs was approximately twice as thick as nABDs. Given the average axon-soma separation and the large diameter of ABDs, the data are consistent with the conclusion that the axon of SN DA neurons typically arises from a compartment that is electrotonically close to the soma. This proximity could increase the security of action potential propagation from the axon to the soma. Indeed, axon-soma propagation failures are common in SN DA neurons when axon-soma separations exceed the mean separation reported here by a factor of 2–3 (Gentet and Williams, 2007).

Somatic current injection generates bursts of action

potentials that are similar in form to those observed *in vivo* In anesthetized or awake rats, SN DA neuron action potential bursts are associated with a progressive reduction in action potential amplitude, a progressive increase in action potential duration, and a progressive decrease in instantaneous frequency and are comprised of 2–10 action potentials with an instantaneous frequency ranging from 12 to 30 Hz (Grace and Bunney, 1983b,



Figure 6. The site of action potential initiation does not shift during synaptic stimulation-evoked burst firing. *A*–*C*, Simultaneous recordings from the soma (black) and an ABD (red) or the soma and a nABD (blue) were used to compare the relative timing of action potentials (time of dendritic action potential — time of somatic action potential) during spontaneous firing and synaptic stimulation-evoked action potential bursts. Spontaneous action potentials (spont AP) and the first (AP1) and second (AP2) action potentials of synaptic stimulation-evoked action potential bursts are indicated. *A1*, *B1*, Photomontages of recorded, biocytin-labeled neurons together with sites of somatic and dendritic recording locations relative to the axon hillock (red arrow). *A2*, *B2*, Local electrical stimulation at 50 Hz for 500 ms (gray bar) generated a burst of action potentials in each recorded neuron. *A3*, *A4*, Action potentials were first detected in the ABD and then the soma in a representative neuron (*A3*) and the sample population (*A4*; *n* = 5; black lines represent individual neuron data, red line represents sample mean). *B3*, *B4*, Action potentials were recorded in the soma and then the nABD in a representative neuron (*B3*) and the sample population (*B4*; *n* = 3; black lines represent individual neuron data, blue line represents sample mean). *C*, Timing of dendritic action potentials plotted with respect to the timing of somatic action potentials versus the relative distance of the dendritic recording electrodes from the axon hillock (distance = distance of dendritic electrode from axon hillock — distance of somatic electrode from axon hillock). Individual neuron data are represented by distinct symbols.

Table 4. Timing of spontaneous and synaptically evoked action potentials in ABDs and nABDs relative to somatic action potentials in SN DA neurons

	Spontaneous (μ s)	Burst AP1 (μ s)	Burst AP2 (µs)
ABD (n = 5; P13 ± P1) nABD (n = 3; P13 ± P1)	-89.5 ± 34.4 65.6 ± 33.8	-91.6 ± 27.8 107.7 ± 53.2	-86.8 ± 39.3 110.3 ± 40.2

AP, Action potential. The relative timing of action potentials was calculated from the time of initiation in the dendritic compartment subtracted by the time of initiation in the somatic compartment.



Figure 7. Initiation of action potential bursts is impaired by blockade of axo-somatic Na_v channels but not Na_v channels at the site of excitation. *A*, Application of TTX to the site of dendritic glutamate application had a minimal effect on spontaneous and glutamate-evoked activity. *A1*, *A2*, Representative example. *A1*, Application of TTX (red) 1 s before the dendritic pulsed application of glutamate (green) had little effect on evoked activity compared with that evoked under control conditions. *A2*, 2PLSM *z*-series with sites of TTX (red) and glutamate (green) application indicated for the example in *A1*. *A3*, Population data. Black lines represent individual cells, and red lines represent population mean. Dendritic TTX application of glutamate. *B1*, *B2*, Representative example. *B1*, Proximal application of TTX (red) 1 s before the dendritic application of glutamate. *B1*, *B2*, Representative example. *B1*, Proximal application of TTX (red) 1 s before the dendritic application of glutamate (green) reduced spontaneous and evoked activity compared with that observed under control conditions. The reduction in firing increased with successive applications of TTX; compare early (first) and late (ninth) trials. *B2*, 2PLSM *z*-series with sites of glutamate (green) and TTX (red) application indicated for the example in *B2*. *B3*, Population data. Black lines represent individual cells, and red lines represent population means. Axo-somatic TTX application data. Black lines represent individual cells, and red lines represent population means. Axo-somatic TTX application data. Black lines represent individual cells, and red lines represent population means. Axo-somatic TTX application significantly (red asterisk) reduced the intensity and increased the latency of burst firing and also increased the threshold of APs in each of five neurons tested. AP, Action potential. *p < 0.05.

1984; Freeman et al., 1985; Hyland et al., 2002). It has been suggested that somatic current injection in SN DA neurons cannot generate burst firing because of the limiting effects of SK channelmediated action potential afterhyperpolarization and Na_v channel inactivation (Grace and Bunney, 1983b; Kuznetsov et al., 2006). However, our study and other studies in mature animals (Nedergaard et al., 1993; Richards et al., 1997) demonstrate that somatic depolarizing current injection can generate action po-

> tential bursts that are similar in pattern and frequency to those observed in vivo. A possible reason for this discrepancy is that intracellular recordings in vivo caused relatively greater damage to the somatic membrane than in vitro intracellular or patch-clamp recordings, thereby perturbing intrinsic properties and limiting the effectiveness of somatic current injection. Another possibility is that factors preserved by the more intact in vivo preparation, e.g., background synaptic activity contributes to the discrepancy. Together, these findings demonstrate that somatic SK channels and Nav channel inactivation do not prevent burst firing and that bursts are generated in SN DA neurons in vitro when there is sufficient depolarization.

Glutamatergic excitation of somatic and dendritic compartments generate burst firing

It has been proposed that excitation of either somatodendritic compartments proximal to the axon (Häusser et al., 1995) or small-diameter dendritic compartments distal to the axon of SN DA neurons more effectively generate firing (Kuznetsov et al., 2006). To experimentally test these contradictory predictions, glutamate was pressure-pulse applied under visual guidance to activate postsynaptic AMPA and NMDA receptors in a compartment-selective manner (Blythe et al., 2007). Although glutamate applied in this manner does not closely mimic the spatiotemporal profile of synaptically released transmitter, glutamatergic excitation of both SN DA neuron somata and dendrites generated bursts of action potentials that resembled those observed in vivo. Furthermore, glutamatergic excitation of proximal dendrites generated stronger firing than excitation of distal dendrites. Although glutamate application to large-diameter proximal compartments may have activated more AMPA and NMDA receptors than application to thin, distal compartments, the capability of somatic excitation to evoke burst firing is inconsistent with a profound limiting influence of somatic SK channels. These findings therefore do not support the primary prediction of the coupled oscillator model that excitation of thin, distal den-

Table 5. Effects of dendritic or axo-somatic TTX application on dendritic glutamate-evoked burst firing in SN DA neurons

	Maximum frequency (Hz)	Mean frequency (Hz)	Number of APs	Latency (ms)	AP threshold (mV)
Control Dendritic TTX (P21 ± P4) Control	11.8 ± 6.9 11.5 ± 9.4 (n = 8) 14.3 ± 9.1	9.6 ± 5.7 8.2 ± 4.6 (n = 8) 11.4 ± 7.7	5.3 ± 1.8 $4.1 \pm 1.7^*$ (n = 8) 5.6 ± 2.2	$42.7 \pm 47.7 57.2 \pm 57.4 (n = 8) 26.0 \pm 13.0$	$-48.0 \pm 7.6 \\ -47.0 \pm 8.4 \\ (n = 6) \\ -45.8 \pm 3.0$
Axosomatic TTX (P21 \pm P2)	6.5 ± 9.6* (n = 7)	6.5 ± 9.6* (n = 7)	1.4 ± 0.5* (<i>n</i> = 7)	59.2 ± 22.7* (n = 7)	-39.8 ± 5.3 (n = 5)

AP, Action potential. *p < 0.05. Discrepancies in the number of observations are because a subset of recordings was made in the cell-attached configuration in which action potential threshold cannot be measured.

drites generates higher-frequency firing than excitation of the soma (Wilson and Callaway, 2000; Kuznetsov et al., 2006). Indeed, when the location of the axon was taken into account, we found that the intensity of evoked firing was inversely related to the distance of excitation from the axon hillock. This observation is consistent with the view that inputs proximal to the axon have a relatively greater impact than more distal inputs because they occupy a "relatively privileged position," close in electrotonic terms to the presumed site of action potential initiation in the proximal axon (Häusser et al., 1995).

Cavl channels contribute modestly to burst firing

Application of dihydropyridines moderately reduced the intensity of both synaptically evoked and exogenous glutamate-evoked burst firing but did not eliminate it. In addition, action potential bursts evoked in neurons in mice with or without genetic deletion of $Ca_v 1.3$ channels were not significantly different. Together, these data imply that $Ca_v 1$ channels may contribute to but are not essential for burst firing. These data are consistent with the dihydropyridine sensitivity of apamin-induced (Shepard and Stump, 1999) but not NMDA-induced (Johnson and Wu, 2004) burst firing in SN DA neurons. The data are clearly inconsistent with the reliance on dendritic $Ca_v 1$ channel-dependent voltage oscillations for burst firing (Kuznetsov et al., 2006).

In concordance with some studies (Fujimura and Matsuda, 1989; Shepard and Stump, 1999; Kim et al., 2007; Puopolo et al., 2007) but not all studies (Nedergaard et al., 1993; Mercuri et al., 1994), the effects of Ca_v1 channel blockade on spontaneous/ autonomous activity were variable. Indeed, it is becoming increasingly clear that a variety of channels contribute to autonomous firing in these neurons, including Na_v , $Ca_v2.1$, HCN, and nonselective cation channels (Grace and Onn, 1989; Neuhoff et al., 2002; Chan et al., 2007; Kim et al., 2007; Puopolo et al., 2007; Guzman et al., 2009).

Dendritic Nav channels do not initiate burst firing

Under conditions of intense synaptic excitation, some neurons are capable of generating dendritic action potentials in advance of axonal action potential initiation (Stuart et al., 1997; Golding and Spruston, 1998; Kamondi et al., 1998). Because SN DA neurons possess a high density of dendritic Na_v channels and a dendritic geometry "conducive" to dendritic action potential formation and propagation (Häusser et al., 1995; Vetter et al., 2001; Gentet and Williams, 2007), it has been proposed that synaptic excitation shifts the site of action potential initiation from the axon to the site of excitation during burst firing (Kuznetsov et al., 2006). However, simultaneous recordings from the somata and dendrites of SN DA neurons during burst firing revealed that action potentials were always first detected at the site of recording closest to the axon, suggesting that action potential initiation remains fixed within that compartment. Furthermore, blockade of dendritic Na_v channels close to the site of exogenous glutamate application did not reduce the intensity of burst firing, whereas blockade of Na_v channels in the soma/axon hillock region significantly reduced autonomous and evoked burst firing. Thus, dendritic Na_v channels do not appear to strongly boost dendritic excitation or underlie the initiation action potentials in SN DA neurons. These data are consistent with previous observations, which showed that dendritic injection of square-wave or AMPA receptor synaptic current waveforms do not shift the site of action potential initiation from the axon to the site of excitation (Häusser et al., 1995; Gentet and Williams, 2007).

Functional implications

The data are consistent with the conclusion that SN DA neurons integrate excitatory inputs in a relatively conventional manner (Eccles, 1966). Thus, action potentials are invariably initiated in the axon, and the efficacy of excitation is inversely related to its distance from the axon. Although Nav and Cav channels are strongly expressed in the dendrites of SN DA neurons, they appear to be more important for the release of dopamine from dendrites than the boosting of excitatory inputs. Dendritic Na, channels underlie the active backpropagation of action potentials, which leads to the activation of "high voltage-activated" $Ca_v 2.2$ channels, which in turn pass Ca^{2+} that triggers exocytosis of dopamine (Häusser et al., 1995; Beckstead et al., 2004; Gentet and Williams, 2007). Dendritic dopamine release, through D₂ dopamine receptor-GIRK (G-protein-gated inwardly rectifying K⁺ channel) signaling (Lacey et al., 1987; Beckstead et al., 2004), is believed to be critical for the negative feedback control of firing in SN DA neurons (Pucak and Grace, 1994). Clearly, this homeostatic mechanism would be compromised if dopamine release was generated directly by synaptic excitation and was not a reflection of axonal activity. If dendritic Cav1 channels are not essential for firing or dendritic dopamine release (Chen et al., 2006), what function do they serve? This is a critical question because recent studies suggest that blockade of Ca_v1 channels reduces the vulnerability of SN DA neurons in Parkinson's disease (Chan et al., 2007; Becker et al., 2008). This study does not speak to the neuroprotective mechanism, but it does suggest that therapies based on Cav1 channel blockade will not prevent burst firing in SN DA neurons.

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