Distribution and Redistribution of HIV-1 Nucleocapsid Protein in Immature, Mature, and Integrase-Inhibited Virions: A Role for Integrase in Maturation

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ABSTRACT

During virion maturation, HIV-1 capsid protein assembles into a conical core containing the viral ribonucleoprotein (vRNP) complex, thought to be composed mainly of the viral RNA and nucleocapsid protein (NC). After infection, the viral RNA is reverse transcribed into double-stranded DNA, which is then incorporated into host chromosomes by integrase (IN) catalysis. Certain IN mutations (class II) and antiviral drugs (allosteric IN inhibitors [ALLINIs]) adversely affect maturation, resulting in virions that contain “eccentric condensates,” electron-dense aggregates located outside seemingly empty capsids. Here we demonstrate that in addition to this mislocalization of electron density, a class II IN mutation and ALLINIs each increase the fraction of virions with malformed capsids (from ~12% to ~53%). Eccentric condensates have a high NC content, as demonstrated by “tomo-bubblegram” imaging, a novel labeling technique that exploits the susceptibility of NC to radiation damage. Tomo-bubblegrams also localized NC inside wild-type cores and lining the spherical Gag shell in immature virions. We conclude that eccentric condensates represent nonpackaged vRNPs and that either genetic or pharmacological inhibition of IN can impair vRNP incorporation into mature cores. Supplying IN in trans as part of a Vpr-IN fusion protein partially restored the formation of conical cores with internal electron density and the infectivity of a class II IN deletion mutant virus. Moreover, the ability of ALLINIs to induce eccentric condensate formation required both IN and viral RNA. Based on these observations, we propose a role for IN in initiating core morphogenesis and vRNP incorporation into the mature core during HIV-1 maturation.

IMPORTANCE

Maturation, a process essential for HIV-1 infectivity, involves core assembly, whereby the viral ribonucleoprotein (vRNP, composed of vRNA and nucleocapsid protein [NC]) is packaged into a conical capsid. Allosteric integrase inhibitors (ALLINIs) affect multiple viral processes. We have characterized ALLINIs and integrase mutants that have the same phenotype. First, by comparing the effects of ALLINIs on several steps of the viral cycle, we show that inhibition of maturation accounts for compound potency. Second, by using cryoelectron tomography, we find that ALLINIs impair conical capsid assembly. Third, by developing tomo-bubblegram imaging, which specifically labels NC protein, we find that ALLINIs block vRNP packaging; instead, vRNPs form “eccentric condensates” outside the core. Fourth, malformed cores, typical of integrase-deleted virus, are partially replaced by conical cores when integrase is supplied in trans. Fifth, vRNA is necessary for ALLINI-induced eccentric condensate formation. These observations suggest that integrase is involved in capsid morphogenesis and vRNP packaging.

The formation of infectious HIV-1 particles starts with the coassembly of the Gag and Gag-Pol polyproteins into a spherical shell, which buds from the cell to produce an immature virion (1). Following budding, the viral protease (PR) is activated and cleaves Gag and Gag-Pol into their component domains, of which the capsid protein CA assembles into a conical capsid shell containing the viral RNA (vRNA) genome, nucleocapsid protein NC, and replication enzymes (we use the term “capsid” to denote the assembled protein shell and “core” for the capsid plus whatever it may contain). This transformation is known as HIV-1 maturation. Drawing mainly on studies by cryoelectron tomography (cryo-ET), a technique that allows three-dimensional (3D) imaging of individual pleomorphic particles with good preservation of native structure (e.g., see reference 2), a number of different models explaining how CA can assemble into conical cores have been proposed (3–6). However, maturation—the process targeted not only by protease inhibitors (PIs) (7) but also by “maturation inhibitors” that act by denying PR access to a key cleavage site (8, 9)—is incompletely understood.

Mutational studies of the viral enzyme integrase (IN) have demonstrated that the effects of some amino acid substitutions, the so-called class II IN mutations, include defects in particle assembly and reverse transcription (compared to class I IN mutations, which affect only integration) (reviewed in reference 10). Class II IN mutant viruses provided an initial clue for a role of IN in the late stages of replication, including maturation. More recently, a group of investigational compounds, 2-(quinolin-3-yl) acetic acid derivatives, which we refer to as allosteric integrase inhibitors (ALLINIs) (11) but which are also called NCINIs for noncatalytic IN inhibitors (12), LEDGInGs for lens epithelium-derived growth factor (LEDGF)/p75-IN inhibitors (13), INLAI s for IN-LEDGF/p75 allosteric inhibitors (14), and MINIs for multimeric IN inhibitors (15), were found to inhibit HIV-1 infection (13, 16). ALLINIs engage the interface that is formed by two mol...
ecules of the IN catalytic core domain at the binding pocket for the cellular integration cofactor LEDGF/p75 (11, 13–15, 17–19), which itself guides viral DNA integration to active genes (reviewed in reference 20). Inhibition of the LEDGF/p75-IN interaction was initially thought to underlie the mode of ALLINI action (13). However, the compounds were since discovered to affect the late stage of HIV-1 replication in a manner that is independent of LEDGF/p75 expression (12, 15, 18, 21, 22). The compounds are also active during the early stage of HIV-1 replication, but much higher concentrations are required to achieve potencies similar to those when virus producer cells are treated (12, 14, 15, 17, 18, 21, 22). Akin to several class II IN mutant viruses, particles produced in the presence of ALLINIs are reportedly defective for multiple steps of HIV-1 replication, namely, maturation (12, 15, 18, 21), reverse transcription (12, 15, 18, 21), nuclear import of the viral DNA (21), and integration (12–15, 18, 19, 21). The ability of ALLINIs to induce higher-order IN oligomerization during virus production underlies their antiviral activity (11, 12, 14, 15, 17–19, 21, 23, 24).

Transmission electron microscopy of thin sections of plastic embeddings (referred to here as TEM) of HIV-1 particles generated in the presence of ALLINIs revealed an apparent uncoupling of the incorporation of the viral ribonucleoprotein (vRNP) complex, assumed to be composed primarily of vRNA and NC, from assembly of the capsid (12, 15, 18, 21). Instead of a normal electron-dense core, a relatively electron-translucent core was observed, accompanied by a roughly spherical electron-dense feature situated between the viral envelope. We refer to this feature as an “eccentric condensate.” It follows that ALLINIs can be useful probes to help understand how IN contributes to maturation, in a similar way that maturation inhibitors (which prevent the scission of the CA-SP1 junction within Gag, the final proteolytic cleavage that leads to the generation of a mature core [25, 26]), or mutations that prevent the cleavage of the Pol polyprotein (27, 28), have provided structural insights.

In this study, we first analyzed the relationships between core formation, reverse transcription, and infectivity of ALLINI-treated particles and conclude from dose-response curves that inhibition of particle maturation is the process that underlies the potencies of two representative ALLINI compounds. Using cryo-ET, we found that, in addition to affecting vRNP incorporation into the mature core, ALLINIs reduced by a factor of approximately five the efficiency with which conical cores are formed. To demonstrate that the eccentric condensate is indeed composed of NC and RNA, we applied tomo-bubblegram imaging, a novel technique that takes advantage of the acute sensitivity of NC protein to electron irradiation that is expressed in the generation of bubbles as radiation damage products. The same approach confirmed that NC, and by inference the vRNP, is present inside wild-type cores, predominantly at the wide end, and that NC lines the inner part of the thick-walled Gag shell in immature virions. Using Vpr-IN to functionally transcomplement particles that lack IN (∆IN), we also show that IN promotes conical core formation and HIV-1 infectivity in parallel. Furthermore, we implicate vRNA as a necessary component for ALLINI action during virus production. Together, these results imply that IN has a direct role in nucleating the assembly of conical cores and the incorporation of the vRNP into the mature core and that ALLINI treatment effectively subverts this role(s) of IN during HIV-1 particle maturation.

MATERIALS AND METHODS

Plasmids and antivirals. HIV-1 NLX.Luc carrying wild-type (WT) IN was expressed from pNL43/Xml (29), whereas the V165A IN mutant was expressed from pNL43/Xml.V165A (30). Single-round derivatives HIV-Luc and HIV-Luc.∆IN were expressed from pNLX.Luc.R–∆IN (31) and pNLX.Luc.R–∆IN (18), respectively. The D25A mutation in PR was introduced in pNL43/Xml using PCR-directed mutagenesis. Gag/-mi
sus virus-like particles (VLPs) were expressed from pR359 (32) (also known as pCMV53M1-10 [32, 33]) whereas Gag-LeuZip was expressed from pR546 (32). The HIV-1-based pH-dl-N/A packaging plasmid (34) was cotransfected with phiLi-Luc transfer vector (35) or plGL3–Basic control plasmid (Promega). Vpr fused to the IN protein from HIV-1 SG3 (WT or H171T mutant) was expressed from pLR2P/Vpr/IN (18, 36), whereas the vesicular stomatitis virus G (VSV-G) glycoprotein was expressed using pCG-VSV-G (31).

ALLINIs BI-D and BIB-2 were synthesized as described previously (23, 37). Efavirenz (EFV) was obtained from the National Institutes of Health AIDS Research and Reference Reagent Program (NIH ARRRP).

Cells, viruses, and antiviral assays. Transformed human embryonic kidney 293T (HEK293T) cells (American Type Culture Collection item CRL-3216) were grown in Dulbecco’s modified Eagle medium, while human SupT1 T cells (NIH ARRRP item number 100) were maintained in RPMI 1640 medium, both supplemented to contain 10% (vol/vol) fetal bovine serum, 100 IU/ml penicillin, and 100 µg/ml streptomycin. HIV-Luc was produced by cotransfiting HEK293T cells (8 × 10^6) with 3.5 µg pNLX.Luc.R and 0.35 µg pCG-VSV-G using PolyJet transfection reagent (SignaGen Laboratories). HIV-1 NLX.Luc.R–∆IN was transcomplemented with Vpr-IN by cotransfiting HEK293T cells (3 × 10^6) with 4.5 µg pNLX.Luc.R–∆IN, 1.25 µg pRL2P-Vpr-IN containing WT or H171T mutant IN, and 0.5 µg pCG-VSV-G, Dimethyl sulfoxide (DMSO) (or ALLINIs) were added with fresh medium at 17 to 20 h posttransfection, and cell supernatants were collected 24 h later. The cell-free virus concentration, typically 500 to 1,000 ng/ml, was determined using a commercial p24 ELISA kit (Advanced Biosciences Laboratories). For Vpr-IN complementation experiments, SupT1 cells were infected in quadruplicate with 5 ng/ml p24. Luciferase values, expressed in relative light units, were determined 48 h postinfection.

DNA analysis and quantitative PCR. SupT1 cells (2 × 10^6) infected with DNase-treated (1 h at 37°C) HIV-Luc (50 ng p24/ml) for 2 h were washed and split into two samples. DNA was isolated from one set of samples 5 h later by use of a DNaseasy blood and tissue kit (Qiagen). The other set of samples was seeded in quadruplicate in 96-well plates, and luciferase activity was determined 48 h from the start of infection.

Duplicate PCR mixtures containing 50 ng DNA, 0.2 µM primers (AE2963/AE4422), 0.1 µM probe (AE2963), and 1X QuantiTect Probe PCR master mix were incubated at 50°C for 2 min and 95°C for 15 min,
followed by 40 cycles of 94°C for 15 s, 59°C for 30 s, and 72°C for 30 s (38). Standards were prepared by endpoint dilution of pNLX.Luc.R- into DNA from uninfected cells. To account for potential residual plasmid carryover from transfection, parallel infections were performed in the presence of 10 μM EFV, and the values obtained from these PCR assays were subtracted from the values from the experimental samples.

**RNA isolation and analysis.** Total RNA was extracted from concentrated virus preparations after a 30-min incubation with 0.05 μg/ml protease K (Life Technologies) and 0.4% sodium dodecyl sulfate at 56°C. Following phenol-chloroform extraction, RNA was precipitated using isopropanol and GenElute-LPA (Sigma-Aldrich) as the carrier. The RNA concentration was determined by the Ribogreen (Invitrogen) assay, which was completed according to the manufacturer’s instructions. PCRs to determine vRNA copy number per nanogram of total RNA were completed as previously described (18), utilizing 2 μl of purified RNA and primer sets AE2963/AE2964 for Fig. 9 samples (excluding HIV-Luc) and AE2961/AE4422 for Fig. 6 samples and HIV-Luc.

**TEM.** Viruses produced from transfected HEK293T cells were pelleted at 30,000 rpm at 4°C for 1.5 h with a Beckman SW41 rotor. Pelleted virus was resuspended in a small volume of phosphate-buffered saline. An equal volume of 4% EM-grade paraformaldehyde (Electron Microscopy Sciences) was added, and samples were incubated at 4°C before being embedded in Epon resin. Thin (75- to 100-nm) sections were applied to 200-mesh carbon-coated copper grids, stained with 0.2% lead citrate, and observed using either a Tecnai-12 (FEI) or JEOL 1200EX microscope equipped with a Gatan or AMT 2k charge-coupled device (CCD) camera, respectively. Images were captured at ×37,500 magnification and were visually scanned to count 100 viral particles per preparation.

**Cryo-ET.** HIV-1 carrying the V165A mutation, WT virions produced with the indicated concentration of ALLINIs or DMSO control, PR-defective (PR−) virions, Gag/Phill-minus VLPs, and Gag-LeuZip VLPs were pelleted as described above and were imaged by cryo-ET as described previously (39). In brief, purified fixed virus was mixed (2:1) with a suspension of colloidal gold particles (Electron Microscopy Sciences), applied to Quantifoil R2/2 holey carbon grids (Structure Probe, Inc.), and plunge-frozen in a Vitrobot instrument (FEI). For data acquisition, grids were transferred to a cryo-holder (type 626; Gatan), and single-axis tilt series were recorded on a Tecnai-12 electron microscope equipped with an energy filter (GIF 2002; Gatan) operated at 120 keV in zero-loss mode. Images were acquired on a 2,048- by 2,048-pixel CCD camera (Gatan) at 66°, at ×38,500 magnification (0.78-nm/pixel) and a defocus of −4 μm. The electron dose per projection was −1.1 e−/Å², giving a total cumulative dose of −75 e−/Å². Tilt series were reconstructed using the Bsoft package (41), and virions were extracted as subtomograms and denoised by 20 iterations of anisotropic nonlinear diffusion (42).

**Bubblemgram and tomo-bubblemgram imaging.** Dose series of HIV-1 particles were acquired either on a CM200-TEM (FEI), operating at 120 keV with doses of −17 e−/Å² per exposure, or in a Tecnai-12 TEM operating at 120 keV at −5 e−/Å² per exposure. All images were collected on 2,048- by 2,048-pixel CCD cameras. Filled cores and eccentric aggregates bubbled at average doses of 165 ± 40 e−/Å² (n = 109).

The procedure used to collect and analyze data for tomo-bubblemgram imaging is described in Results. The imaging was performed on virions located over holes (and not near their edges) in the Quantifoil grids. The distances from the bubble centers to the center of the viral envelope were measured using Bsoft programs. Briefly, after extraction and centering of a virion, its radius was estimated as follows. First, to reduce noise and to suppress “missing wedge” effects (43), particles were spherically symmetrized (for ease of implementation, we applied isosahedral symmetry, which is not present in HIV-1 virions but gave a close approximation to spherical symmetry and could be applied with the software available). Then the radius was estimated from a density profile of the symmetrized particle. The bubble centers were then selected manually in the tomo-bubblemgram, using the BshowX utility, and the distances of the bubbles from the envelope were calculated by subtracting the distance from the center of the bubble to the center of the particle from the particle radius at the middle of the envelope. Isosurface rendering of viral particles was done in Chimera (44).

Bacterially expressed recombinant NC was purified as previously described (45) and phosphorylated after the addition of one equivalent Zn²⁺ to zinc finger. NC was then resuspended in buffer (25 mM HEPES, 30 mM NaCl, 1 mM MgCl₂, 3 mM dithiothreitol [DTT], and 10% of glycerol at pH 7.5) at a concentration of 10 μM, aliquoted, and immediately frozen and stored at −80°C. For cryo-EM, thawed aliquots (25 μl) were centrifuged at maximum speed for 5 min in a tabletop centrifuge and the top 20 μl was discarded. The remaining 5 μl was applied to a 400-mesh copper grid covered with a thin carbon film supported by a thick lacey carbon film, washed with buffer (25 mM HEPES, 10 mM NaCl, 1 mM MgCl₂, pH 7.5) to remove the glycerol, and plunge-frozen and imaged in a Tecnai-12 EM as described above.

**RESULTS**

**Inhibition of virion maturation underlies ALLINI potency.** ALLINIs have been reported to inhibit multiple aspects of HIV-1 replication, including virion maturation, reverse transcription, nuclear import, and integration (see the introduction), suggesting that it may be the combination of multiple inhibitory processes that underlies ALLINI potency. An alternative scenario is that inhibition may be due to a single dominant or bottleneck step, which in this case would be particle maturation, as it is the first step inhibited from the perspective of the virus producer cell. To investigate this possibility, we compiled dose-response curves measuring the first two steps affected by ALLINIs—maturation and reverse transcription—and compared them to overall antiviral activity as assessed by the expression of the luciferase reporter gene in cells infected with drug-treated HIV-Luc particles. If maturation is a bottleneck step, we anticipated that its inhibition would equate with antiviral potency. If inhibition of multiple steps is involved, then the maturation and reverse transcription inhibitory curves would individually be less potent than overall antiviral activity.

**HIV-Luc was produced in the presence of two representative ALLINI compounds, BI-D, with a 50% effective concentration (EC₅₀) for inhibition of HIV-1 infection of 89 nM, and BIB-2 (also known as ALLINI-2), with an EC₅₀ of 630 nM (23, 37) (Fig. 1), at various EC₅₀ doses (1×, 2×, 4×, and 8×). TEM analysis of virus made in the presence of the solvent control DMSO revealed that 87% ± 2% (mean ± SD) of these particles harbored mature cores with internal density. This value decreased by ~50% when virus was produced with unit EC₅₀ of BI-D or BIB-2, with accompany-
ing increases in particles containing eccentric condensates (Fig. 2A). Higher doses of each compound further reduced the fraction of mature cores and increased the fraction of eccentric condensate-containing particles. The reverse transcription activity and infectivity (luciferase activity) of the particles were measured in SupT1 cells infected with equal amounts of virus, as quantitated by their CA/p24 content. By comparing the results of Fig. 2A and B, we determined that the effect of drug treatment on reverse transcription activity closely paralleled the inhibition of mature core formation (Pearson correlation coefficient $r^2 = 0.998$ for the two sets of dose-response curves; $P < 0.001$). Inhibition of reverse transcription, moreover, paralleled overall antiviral activity (Fig. 2C; $r^2 = 0.903$ for these two data sets; $P < 0.001$).

ALLINI treatment affects conical core assembly in addition to vRNP incorporation into the mature core. Having determined that the antiviral activity of two independently tested, representative ALLINIs is due to their inhibition of particle maturation, we performed cryo-ET to further probe the antiviral phenotype. Virions produced with fully inhibitory ALLINI concentrations (10× EC$_{50}$ for BIB-2; 10 to 100× EC$_{50}$ for BI-D) (Fig. 2C) were classified according to core morphology (conical, e.g., see Fig. 3A and B; nonconical, e.g., see Fig. 3C and D; or no core, e.g., see Fig. 4A), and the presence (Fig. 3B and D and Fig. 4B) or absence (Fig. 3A and C and Fig. 4A) of an eccentric condensate. The eccentric condensate was previously observed by TEM as a compact, darkly staining body common to class II IN mutant viruses (12, 18, 46, 47) and ALLINI-treated virions (12, 15, 18). We used cryo-ET to extend these observations (Fig. 3B and D). Their compactness and density distinguish condensates from the other material that typically occupies the space between the core and the viral envelope, which we take to be mostly nonpolymerized CA (48, 49) and host cell proteins (50). In BI-D-treated particles, the eccentric condensates have ellipsoidal shapes with major and minor axes of 58 ± 12 nm and 37 ± 12 nm ($n = 93$), respectively; those present in particles treated with BIB-2 or untreated V165A mutant particles, which was utilized as a class II IN mutant control (18), were similar in size. The incidence of these morphological classes is summarized in Fig. 3E. Eccentric condensates are relatively rare (~12%) in wild-type (WT) virions but present in ~75% of particles treated with BI-D or BIB-2 or with the V165A IN mutant. We observed no virions with more than one eccentric condensate.

As in earlier studies by cryo-ET (e.g., see references 3, 4, and 25), most WT virions (~77%) were found to have closed conical cores with internal density (Fig. 3A), noting that the tomograms would not detect small holes if present (51). Most of the eccentric condensate-containing virions from BI-D or BIB-2 treatment or the V165A IN mutant also have cores (Fig. 3E), but there are two striking differences between these cores and WT cores. First, they have a much higher incidence of nonconical cores than the WT (the ratios of nonconical to conical cores were 1.8:1 for BI-D, 0.9:1 for BIB-2, and 1.6:1 for V165A, compared to 1:7.2 for WT). Thus, there is marked impairment of capsid morphogenesis. Second, V165A mutant, BI-D, and BIB-2 conical cores are relatively empty, as exemplified by comparing Fig. 3A and B. With WT
cores, internal material is generally taken to consist mainly of the vRNP, although this assignment has not been formally demonstrated and is addressed further below. While V165A mutant, BI-D, and BIB-2 cores have less internal content than the WT ones, they tend to have some internal material (e.g., see Fig. 3D). With this caveat, we estimate that ~50% of them have little or no content compared to 3% for the WT virions. These data suggest that V165A mutant, BI-D, and BIB-2 virions are deficient in capsid morphogenesis as well as incorporation of the vRNP into the mature core.

Bubblegrams detect radiation-sensitive material in filled cores and eccentric condensates. It is plausible that eccentric condensates represent NC-vRNA complexes that failed to be incorporated into the mature core. We obtained supporting evidence for this hypothesis by means of a labeling technique called bubblegram imaging (52, 53). Vitrified samples imaged in an electron microscope undergo progressive damage by electron irradiation.
ation. This damage is initially expressed as a “smearing” of the macromolecular density, and for this reason the electron dose is kept as low as possible during imaging (54). When proteinaceous specimens are subjected to relatively high levels of electron irradiation in cryo-EM, perhaps 10-fold higher than the dose used in a typical imaging experiment, bubbles of hydrogen gas are generated (55, 56) which are readily visible due to their low density. Different proteins have different bubbling thresholds (N. Cheng,
TABLE 1 Tomo-bubblegram labeling of HIV-1 virions

<table>
<thead>
<tr>
<th>Sample type</th>
<th>Conical core, no condensate</th>
<th>Nonconical core, no condensate</th>
<th>Condensate with core (conical or nonconical)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Inside core</td>
<td>Outside core</td>
<td>Both</td>
</tr>
<tr>
<td>WT (n = 78)</td>
<td>55</td>
<td>0</td>
<td>19</td>
</tr>
<tr>
<td>BI-D (n = 72)</td>
<td>7</td>
<td>0</td>
<td>7</td>
</tr>
<tr>
<td>BIB-2 (n = 64)</td>
<td>8</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>V165A mutant (n = 88)</td>
<td>3</td>
<td>0</td>
<td>2</td>
</tr>
</tbody>
</table>

*The data are shown as percentages of virions with bubbles either inside the core, outside the core, or both. The majority species for each sample type is indicated by bold values. Few particles have no bubbles (<3% of the virions observed), and they were not included in this analysis. According to the chi-square test, highly significant differences with P values of <0.00001 were found for comparisons between WT and BIB-2 samples and between WT and V165A mutant samples. The comparison between WT and BI-D samples yielded a P value of 0.009, whereas the differences between the V165A mutant and BI-D or BIB-II samples were not significant, with P values of ~0.25. To make possible the application of the chi-square test, only four categories were considered: virions with conical or nonconical core without eccentric condensate that were labeled inside the core or inside and outside the core, and virions with condensate and core (conical or nonconical) that were labeled inside the condensate (i.e., eighth column) or inside and outside the condensate (i.e., ninth column).

Weimin Wu, Anastasia Aksyuk, J. Fontana, and A. C. Steven, unpublished observations. Moreover, proteins embedded in DNA bubble relatively early because the densely packed DNA impedes the diffusion of radiation products from their sites of origin, accelerating their buildup to a critical concentration for bubbling. Hypothesizing that compact NC-vRNA condensates may have distinctive bubbling behavior, we subjected HIV-1 virions to tomo-bubblegram labeling of HIV-1 virions.

In summary, we found that the WT conical core contents and eccentric condensates are more prone to bubbling than the other components of the virion (CA protein, MA protein, envelope glycoprotein) and that they are indistinguishable in this respect. In turn, this correlation strongly supports the idea that they are chemically the same and are indeed NC-vRNA complexes.

**Bubbles label NC-RNA in immature virions.** To further investigate which component of HIV-1 virions is bubbling, we examined immature (PR-defective [PR−]) virions in which, in the absence of proteolysis, the Gag and Gag-Pol domains are radially ordered, with MA in contact with the viral membrane and CA and NC/vRNA arranged in successive concentric layers. PR− virions (HIV-1NL4-3 with the D25A inactivating mutation in the PR active site) exhibited a similar bubbling threshold as WT virions, although the bubbles appeared in a different location, i.e., within the Gag shell (Fig. 6Ai and ii; see also Movie S5 in the supplemental material). Radially, these bubbles are centered at ~15 nm (average, 15 ± 5 nm; n = 333) in the middle of the viral envelope, a position that corresponds to the interface between the CA and NC/vRNA layers (Fig. 7). In the other two dimensions of the Gag lattice, we did not find a preference for bubbling in any particular location.

Next, immature virions in which vRNA and NC were respectively eliminated from Gag virus-like particles (VLPs) were analyzed to test for the relative contributions of these two components to bubbling phenomena. A VLP construct that lacked the vRNA ψ region (33) reduced the packaging of vRNA relative to total virion RNA by ~20,000-fold (Fig. 6C). Gag/ψ−minus particles bubbled in the same locations as immature (PR−) virions, thus showing that vRNA is not needed for bubbling (Fig. 6Aii and iv; see also Movie S6 in the supplemental material). We do, however, note that the bubbling appeared to be slightly reduced with this construct (16 ± 10 bubbles/viron in Gag/ψ−minus particles versus 27 ± 15 bubbles/viron in PR− particles [Table 2]; the differences are significant at a P of <0.01 according to the twotailed Student t test). The difference, such as it is, might arise from the ~2.5-fold reduction in overall RNA content in Gag/ψ−minus particles, as the RNA may impede the dispersal of hydrogen gas generated from NC. To eliminate NC but nevertheless retain structurally similar particles, we used a construct, termed Gag-LeuZip, in which the NC domain of Gag was replaced by a leucine...
FIG 6 Tomo-bubblegrams of immature HIV-1 particles. (Ai to Av) Central tomographic sections (left) and corresponding tomo-bubblegram sections (right). Full tomograms of the virions in panels Aii (Movie S5), Aiii (Movie S6), and Avi (Movie S7) are shown in movies in the supplemental material. The diagrams on the left side of the figure illustrate the composition of each sample. PR− particles contain the Gag and Gag-Pol polyproteins that include NC and incorporate vRNA. The virions are immature due to the inactivating D25A mutation in the PR active site. Gag/ψ-minus particles are also immature, and additionally their RNA lacks the ψ region and therefore vRNA is not incorporated into particles. The Gag-LeuZip particles replace NC with a leucine zipper; these particles lack NC and vRNA. Bar, 50 nm. (B) Total virion RNA from the particles described for panel A. RNA concentration was normalized to the Gag signal obtained from anti-p24 Western blots of multiple input volumes. Shown are the averages (±SD) of total RNA (in nanograms) standardized by Gag signal for four independent experiments, reported as a percentage of PR−. (C) RNA from panel B was utilized to determine the copy number of vRNA. Values, reported as a percentage of PR−, are the averages (±SD) for four independent experiments.
zipper (32) but in which Gag otherwise retains its immature conformation (57). The Gag-LeuZip VLPs yielded almost no bubbles (Fig. 6Av and vi; see also Movie S7) (0.3 bubbles/virion versus 16 bubbles/Gag/H9274 minus VLP at the same electron doses), and ~94% of these particles had 0 or 1 bubble (versus 100% of the control particles that each had two or more bubbles) (Table 2). The relative levels of total RNA and vRNA in Gag-LeuZip VLPs were similar to those in Gag/ψ-minus VLPs (Fig. 6B and C), though we do note that an earlier study failed to detect tangible RNA levels in Gag-LeuZip VLPs (32). Nevertheless, we conclude that apart from a very low background of bubbles from other sources (as illustrated in Fig. 6Av and vi), NC protein is the bubbling component.

Purified NC exhibits bubbling behavior similar to that observed in mature and immature virions. Identification of NC protein as the component responsible for bubbling in both mature WT and IN mutant or ALLINI-treated virions and in immature virions led to the prediction that NC protein should also bubble provided that it is suitably concentrated. To test this hypothesis, we examined aggregates of purified NC. Aggregates were similar in diameter to eccentric condensates (average, 51 ± 20 nm [n = 69] versus 48 ± 9 nm [n = 93], respectively) and were found to

![Locations of bubbles in PR− virions. (A) Cryo-ET central slice of an immature PR− particle. (B and C) Tomo-bubblegram section corresponding to panel A. In panel C, bubbles are marked with red circles. (D) Surface rendering of a cutaway slice of the particle shown in panels A to C. Most of the bubbles (white) are located between the CA layer (green) and the NC-vRNA layer (yellow). The viral envelope is in cyan, and the internal contents are in red. Bar, 50 nm. (E) Radial density profile of subtomogram averaged Gag from immature virions (from reference 25). (F) Histogram of the distance from the center of the bubbles to the center of the viral envelope (membrane + MA).](http://jvi.asm.org/)

**TABLE 2 Bubbles in three kinds of PR− HIV-1 particles**

<table>
<thead>
<tr>
<th>Particle type</th>
<th>No. of tomograms</th>
<th>Total avg dose (e−/Å²)</th>
<th>No. of virions</th>
<th>No. of bubbles/virion</th>
<th>% of virions with 2 or more bubbles</th>
<th>% of virions with 1 or no bubbles</th>
</tr>
</thead>
<tbody>
<tr>
<td>PR−</td>
<td>3</td>
<td>215</td>
<td>65</td>
<td>27 ± 15</td>
<td>100</td>
<td>0</td>
</tr>
<tr>
<td>Gag/ψ-minus</td>
<td>4</td>
<td>221</td>
<td>53</td>
<td>16 ± 10</td>
<td>100</td>
<td>0</td>
</tr>
<tr>
<td>Gag-LeuZip</td>
<td>4</td>
<td>228</td>
<td>53</td>
<td>0.3 ± 0.6</td>
<td>6</td>
<td>94</td>
</tr>
</tbody>
</table>

*For PR− and Gag/ψ-minus VLPs, the bubbles from 29 and 31 virions, respectively, were counted. For the Gag-LeuZip, bubbles from all particles imaged were counted.*
have a bubbling threshold similar to that of eccentric condensates (average, $154 \pm 57$ e$^-$/Å$^2$ [$n = 69$] versus $165 \pm 42$ e$^-$/Å$^2$ [$n = 69$], respectively). Their bubbling threshold was somewhat dependent on size, with larger aggregates bubbling earlier than smaller ones (Fig. 8). This is consistent with the greater abundance of NC in larger aggregates providing a richer source of hydrogen gas as a radiation product. Strikingly, bubbling started in the middle of these aggregates and then spread outwards, consistent with the outer layers of molecules impeding the outward diffusion of the gas. Taken together, these observations provide strong evidence that the bubbling component in HIV-1 virions is NC protein and therefore confirm that ALLINI treatment affects incorporation of the vRNP into the core.

ALLINI-induced eccentric condensate formation requires vRNA. To address whether ALLINI-mediated impairment of vRNP incorporation into the mature core is dependent upon the species of RNA within the virus, we cotransfected pHI-Luc, which expresses a minimal HIV-1 transfer vector that harbors ~45% of the full-length vRNA genome, with pHP-dI-N/A, which expresses the Gag and Pol proteins required to package HI-Luc vRNA in trans. As a transfection control for pHI-Luc, we utilized the promoterless pGL3-basic (GL3-B) construct that carries the luciferase

FIG 8 Bubblegram imaging of purified NC protein. (A to E) Cryo-EM dose series of five NC aggregates of different sizes. The images in which bubbles first appear are labeled with a white asterisk in each case (although NC is formally soluble, small aggregates of suitable size were consistently found on EM grids). Bar, 50 nm. (F) Plot of the diameters of NC aggregates versus the cumulative electron dose at which they started bubbling. Points corresponding to the aggregates from panels A to E are shown in red. While the data exhibit some stochastic variability in bubbling threshold, there is a clear trend toward larger aggregates requiring less electron radiation to initiate bubbling. A linear fit is shown for reference (red line).
gene but lacks HIV-1-related sequences and the capacity to express RNA. Total RNA levels of pelleted virions nevertheless did not vary significantly between those made in the presence of pHLuc or GL3-B, although, as expected, the HIV-1 genome was selectively incorporated into HI-Luc particles (Fig. 9A and B). We also note that HI-Luc total and vRNA levels were similar to those of the HIV-Luc virions characterized in Fig. 2.

TEM revealed that ~30% of HI-Luc viruses harbored WT-like conical cores, ~22% harbored cores as well as eccentric aggregates, ~25% had a previously unseen morphology in which dual electron density (dual ED) appeared both inside and outside the core, ~15% had empty cores, and ~15% contained immature-like spherical shells (Fig. 9C). The baseline phenotype of virions made in the presence of GL3-B did not differ dramatically from that of the HIV-Luc virions characterized in Fig. 2.

TEM classification of IN virions revealed that the majority (~61%) contained eccentric condensates while ~9% harbored WT-like conical cores and ~30% were immature (18). To assess the role of IN in HIV-1 maturation and the impact of ALLINI treatment on this role, ΔIN virions were functionally transcomplemented by IN fused to the viral accessory protein Vpr. INH171T, which confers ~44-fold resistance to BI-D (58), was utilized as a control. Although class II IN mutations such as ΔIN can impede CA/p24 release in a cell type-dependent manner (31, 59), the ΔIN virus used here yielded supernatant p24 values similar to those of the WT control virus (18). Vpr-IN boosted the infectivity of the highly defective ΔIN virions ~100-fold, to a level equal to ~5 to 10% of the infectivity of the parental HIV-Luc virus (Fig. 10A). As expected (18), a 10× EC_{50} dose of BI-D abolished the infectivity of
transcomplementation (Fig. 10B). With Vpr-INWT, ALLINI treatment restored this phenotype to the noncomplemented IN value of ∼9%, whereas virions transcomplemented by Vpr-INH171T harbored ∼30% mature cores, regardless of ALLINI treatment.

**DISCUSSION**

In this study, we have utilized the recently discovered antiviral activity of ALLINIs to assess the role of IN in HIV-1 maturation. Of virions assembled in ALLINI-treated cells, the fraction that acquires a closed conical capsid (taken to be a requirement for infectivity [60]) is markedly reduced. Many of these capsids are malformed. Moreover, in most of these virions, the vRNP is not incorporated into the mature core but remains outside the capsid as an “eccentric condensate,” even if a closed conical capsid is assembled. These phenotypes are reproduced by various class II IN mutations, including the V165A point mutation or IN deletion. IN supplied in trans partially rescued the fraction of ΔIN virions that harbored WT cores with internal electron density, a recovery that could be abolished by ALLINI treatment. As vRNA was required for ALLINI-induced eccentric condensate formation, we suggest a model wherein disruption of an IN-vRNA interaction, either by genetic or pharmacological means, results in the inability to properly incorporate the vRNP into the capsid during HIV-1 core morphogenesis.

**ALLINI antiviral activity is due to inhibition of HIV-1 particle maturation.** ALLINIs have been shown to inhibit several steps of the HIV-1 replication cycle (see the introduction). However, it has been unclear whether the inhibition of multiple steps combines to determine drug potency or whether ALLINIs inhibit a single bottleneck step in the replication cycle. To address this issue, we correlated dose-response curves from inhibiting maturation and reverse transcription, the first two steps that are inhibited from the perspective of the drug-treated virus-producing cells, to overall antiviral activity. As all three curves (percentage of mature cores, reverse transcription activity, infectivity) effectively superposed with highly significant correlations for two representative inhibitors, we conclude that inhibition of HIV-1 maturation determines the antiviral potency of these compounds.

Similar to PIs (61), ALLINIs display relatively steep dose-response curves (11, 18). Two mutually nonexclusive models for this behavior posit that such compounds inhibit multiple copies of the drug target at the relevant step of the replication cycle (61) or that they inhibit multiple steps in the replication cycle (62). Whereas inhibition of multiple replication steps appears to underlie the cooperative behavior of PIs (62), we conclude from our data that ALLINIs mainly if not exclusively target IN during HIV-1 maturation and, by inference, that multiple copies of IN, if not the full virion complement of the protein, are affected. We accordingly note similar slopes (m) of BI-D dose-response curves for stimulation of purified IN multimerization in vitro (m, ∼2) and inhibition of HIV-1 replication (m, ∼2.8) (18).

**Tomo-bubblegram imaging labels NC-containing complexes in 3D.** Cryo-ET and tomo-bubblegram imaging have given a clearer account of the substructure of HIV-1 virions whose maturation was subverted by a class II mutation in IN or by ALLINI treatment. First, we confirmed earlier TEM data (12, 15, 18, 21) that ALLINI treatment yields a high proportion of virions with eccentric condensates. This property recurs in the class II IN mutant control virus. Second, being able to visualize the 3D structures of complete virions in greater detail, we additionally found an adverse effect of the V165A mutation and ALLINIs on capsid morphogenesis.

We now consider the density inside conical capsids of mature WT virions. This density has been interpreted as the vRNP, composed primarily of NC and vRNA. The vRNP also contains the RT and IN enzymes and some other viral and host cell components (63–66). Serendipitously, NC turned out to be highly susceptible to irradiation-induced bubbling, and we exploited this property to...
demonstrate that NC is indeed a major internal component of WT cores; moreover, the NC is primarily at the wide end of the core (Fig. 5A to F; see also Movie S1 in the supplemental material and reference 67). Because few bubbles are seen elsewhere in virions, it seems that most if not all of the NC protein goes into the core. These data directly support earlier evidence that most or all of NC is in the core, whereby the ratio of NC to CA was found to be significantly higher in isolated cores than in virions (68), in keeping with the property that only about half of the CA present in an immature virion assembles into a mature capsid (48, 49). Similarly, our tomo-bubblegrams have shown that eccentric condensates are rich in NC, with few bubbles seen anywhere else in condensate-containing virions.

The typical dimensions of the material enclosed in the wide end of a WT core are similar to those of an eccentric condensate. It appears therefore that when NC and the attached genomes are released from the Gag shell in a maturing virion, they condense into one or the other of these aggregates. A heuristic calculation supports this inference. If one takes an eccentric condensate to have major axes of 55 by 55 by 35 nm (see above), its volume is ~55,000 nm$^3$. Assuming ~50% of this volume to be occupied by protein (a value typical for a protein crystal) and a protein density of 0.78 kDa/nm$^3$, a mass of 21.5 MDa results. Alternatively, an NC copy number of ~2,000 to 2,500 per virion (1) and a subunit mass of 7.2 kDa give a total mass of ~14 to 18 MDa. Therefore, the eccentric condensates are large enough to account for all of the NC plus the two copies of the vRNA genome, which remain associated with NC after virion maturation (64, 69).

Our analysis also shows that ~12% of WT virions contain eccentric condensate: of these, 75% have conical cores and 25% have nonconical cores. An example is shown in Fig. 4B. The existence of such particles demonstrates miscarried vRNP incorporation into mature cores under the otherwise unperturbed WT situation of HIV-1 clade B strain NL4-3 assembly from transfected HEK293T cells. Additional work with other viral clades and cell types would be needed to discern how broadly applicable this observation may be.

**Implications for core assembly.** In order to assemble a core, Gag and Gag-Pol must be dissected by PR, with their component domains being separated in a tightly regulated cleavage program (70). Finally, CA is released from the maturing Gag shell into a soluble pool, from where it assembles to form the mature core, leaving about 50% unassembled (4, 26, 48, 49). During core assembly, NC together with the vRNA and IN and RT enzymes becomes situated into the mature core (see above).

Several models have been proposed for how capsid assembly.
takes place (3, 4). They differ on whether assembly starts at the wide end (3) or the narrow end (4) and are couched primarily in terms of self-assembly properties attributed to CA protein, since conical capsids similar to the ones observed inside HIV-1 virions can be assembled from purified components in vitro (71). It has also been suggested that the vRNP may help to nucleate assembly (3, 5, 67, 72), ensuring its incorporation into the capsid, and that MA or the inner surface of the viral membrane could direct the closure of the core (4). A related idea for coupling capsid assembly with vRNA packaging was advanced for Rous sarcoma virus in that some unprocessed or incompletely processed copies of Gag in the Gag shell may form a nucleation complex that binds via the NC moieties to a genomic packaging site and, via the CA moieties, nucleates outgrowth of the capsid shell (72). Although IN has been implicated in regulating PR activity during HIV-1 assembly and particle release (59), a role for the viral enzyme in core morphogenesis has not been proposed. Based on our data, we propose an active role for IN in initiating vRNP incorporation into the mature core, which then nucleates condensation around the enclosed vRNA-NC complex (Fig. 11). This scenario could in theory apply to precleavage IN as a domain of Gag-Pol or postcleavage IN that has remained in essentially the same position. Supporting a model for an IN-vRNA interaction(s) in core morphogenesis, our data reveal a role for vRNA in ALLINI-induced eccentric condensate formation (Fig. 9) and high-affinity binding of purified HIV-1 IN to RNA has been observed in vitro (73). A linkage between IN and CA changes has, moreover, been noted among strains in drug-naive and drug-experienced AIDS patients (74), indicating a potential biological role for an IN-CA interaction. IN-RNA and IN-CA interactions are two avenues that we are currently pursuing to investigate the mechanistic basis of IN in HIV-1 core formation and vRNP incorporation into the mature core.

ACKNOWLEDGMENTS

We thank Alan Rein for the generous gift of pRR359 and pRR546 plasmid DNAs, Dennis Winkler and Bernard Heymann for support with resources for EM and computation, Eric Freed for helpful comments on the draft manuscript, and Weifeng Wang for valuable discussion. This work was funded in part by the Intramural Research Program of NIAMS (A.C.S.), the Intramural AIDS Targeted Antiviral Program (A.C.S.), NIH grants AI070042 (A.N.E.) and GM103368 (A.N.E. and J.R.F.), and funds of the National Cancer Institute under contract HHSN26120080001E with Leidos Biomedical Research, Inc. (R.J.G.). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

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October 2015 Volume 89 Number 19 Journal of Virology jvi.asm.org 9777

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