

1 **Measuring eating capability, liking and difficulty**
2 **perception of older adults: A textural consideration.**

3

4 Laura Laguna ¹, Marion M. Hetherington ² Jianshe Chen ³, Gràcia
5 Artigas ⁴, and Anwesha Sarkar ^{1*}

6

7 ¹ Food Colloids and Processing Group, School of Food Science and Nutrition, University of
8 Leeds, Leeds LS2 9JT, UK

9 ² School of Psychology, University of Leeds, Leeds LS2 9JT, United Kingdom

10 ³ School of Food Science and Bioengineering, Zhejiang Gongshang University, Hangzhou,
11 Zhejiang 310018, China

12 ⁴ EMPORHOTEL, AIE, C/Hospital, 27, 2on, 1a, 17230 Palamós

13

14

15

16

17

18 *Corresponding author:

19 Dr. Anwesha Sarkar

20 Food Colloids and Processing Group,

21 School of Food Science and Nutrition, University of Leeds, Leeds LS2 9JT, UK.

22 E-mail address: A.Sarkar@leeds.ac.uk (A. Sarkar).

23

24 **Abstract**

25 Malnutrition in older adults is partly attributable to decreasing muscle strength
26 leading to inadequate intakes. It is therefore important to investigate ways of
27 identifying eating capability both through objective measures of strength and
28 subjective measures of perceived difficulty and liking. In addition, food texture design
29 might affect the oral processing and the difficulty perceived. Therefore the present
30 study sets out to examine the relationship between various quantitative measures of
31 eating capability (EC) and perceived difficulty of processing foods and gels varying in
32 hardness in older adults. Tests were conducted on 30 participants (mean age $79 \pm$
33 9.4 years) using non-invasive techniques (hand gripping force, tongue pressure,
34 biting force, and hand dexterity) in conjunction with frame-by-frame video recording
35 analysis of chewing and swallowing of food stimuli and ratings of eating difficulty.
36 The EC scores were computed to grade the population into three different groups.
37 Stimuli were classified into two categories: food products and flavourless
38 hydrocolloid gels with different inhomogeneity (textures). The EC parameters did not
39 correspond to oral residence time, or the difficulty perceived. Bite force differed by
40 EC group, and was significantly different by dental status [$F(3,4.26)=3.842$, $p=0.022$],
41 and influenced both liking and number of chews. The food hardness ($r=0.915$,
42 $p=0.01$) was significantly correlated with the number of chews. Gel heterogeneity
43 influenced food oral processing behaviour. Oral residence time was significantly
44 correlated with number of chews, liking and difficulty perceived. In summary, dental
45 status and bite force of older adults are determining EC parameters to design
46 optimized food-texture.

47

48 **Keywords:** older adults; eating capability; dental status; gel heterogeneity; oral
49 residence time

50 **1 Introduction**

51 A global shift in demographics predicts that with greater medical care, social
52 advancement and survival rates, the number of people over the age of 60 is
53 expected to double by the year 2050 (WHO, 2015a). According to the WHO this will
54 require changes in how older adults are viewed and treated, especially to ensure that
55 older adults have improved health in their final years. In particular, the WHO
56 promotes the idea that living longer is not enough, but that nations should ensure
57 that “these extra years are healthy, meaningful and dignified” (Dr Margaret Chan,
58 Director-General of WHO, 2015).

59
60 Therefore, although ageing is characterised by a decline in physical capacity
61 (Balagopal, Rooyackers, Adey, Ades, & Nair, 1997; Fleg & Lakatta, 1988; Kenny,
62 Yardley, Martineau, & Jay, 2008; Mingioni et al., 2016; Vita, Terry, Hubert, & Fries,
63 1998) and poorer mental health (Lange-Maia et al., 2016); there is nevertheless
64 opportunity to identify changes associated with ageing to intervene early to promote
65 health. One example of this is to characterise problems such as loss of appetite and
66 develop solutions to improve nutritional status in older adults (Nieuwenhuizen,
67 Weenen, Rigby, & Hetherington, 2010). Another example is to quantify eating
68 capabilities so that interventions can be developed to support older adults to eat well
69 despite changes in masticatory function. Thus, with ageing, mastication time and the
70 time taken to swallow are greater due to a decrease in masticatory function (Matsuo
71 & Palmer, 2009) which in turn affects food choice and dietary intakes (Hildebrandt,

72 Dominguez, Schork, & Loesche, 1997; Ranta, Tuominen, Paunio, & Seppänen,
73 1988; Walls & Steele, 2004).

74 Ageing increases difficulties in the physical characteristics of the eating process.
75 Older adults report anorexia and fail to consume adequate energy and nutrients.
76 Ageing involves tooth loss, and changes in muscle function both of which
77 compromise masticatory efficiency (Fontijn-Tekamp et al., 2000; Miyaura, Morita,
78 Matsuka, Yamashita, & Watanabe, 2000). The contacting area between upper and
79 lower teeth is highly important for food breakdown, also fewer teeth is associated
80 with a decrease in biting force (Laguna, Sarkar, Artigas, & Chen, 2015). Replacing
81 missing teeth with dentures can improve mastication but cannot always fully recover
82 the efficiency of natural teeth (N'Gom & Woda, 2002). People who have lost post
83 canine teeth and replaced with removable dentures (Fontijn-Tekamp et al., 2000;
84 Kapur & Soman, 2006; Pocztaruk, Frasca, Rivaldo, Fernandes, & Gavião, 2008)
85 have a much reduced masticatory function. For these reasons older adults who
86 usually suffer from more tooth loss often have partially depleted mastication
87 capability.

88

89 The mastication process is generally assessed by measuring particle size after
90 chewing specific edible food stimuli such as peanuts, almonds, cocoa, carrots, jelly,
91 hazelnuts, decaffeinated coffee beans, chewing gums or gelatin gels (Ahmad, 2006;
92 Gambareli, Serra, Pereira, & Gavião, 2007; Schneider & Senger, 2002) or non-edible
93 stimuli such as silicone-based artificial materials Optosil[®], Optocal Plus[®] and
94 CutterSil[®] (Fontijn-Tekamp, Van Der Bilt, Abbink, & Bosman, 2004) and leak-proof
95 polyvinyl acetate capsules (de Abreu et al., 2014). In all of these cases, the stimulus
96 is expectorated before swallowing and is then studied for particle size distribution.

97 However, these methods share the same disadvantage, namely that both saliva and
98 particles can be swallowed accidentally during chewing which will cause inevitable
99 experimental error. Studies which can assess mastication in other ecologically valid
100 ways can reduce error and improve understanding of changes with ageing.

101 Regarding the swallowing process, clinical studies rely upon techniques, such as
102 videofluorography and fiberoptic endoscopy exist (Hori et al., 2009; Langmore, 2003;
103 Palmer, Drennan, & Baba, 2000; Yamashita, Sugita, & Matsuo, 2013). Although both
104 techniques are very useful for studying swallowing, their use requires clinical training
105 and both are invasive techniques, making them less accessible for research
106 scientists and for community applications.

107

108 A recent study conducted within the EU-funded OPTIFEL project combined a series
109 of strength measures in 203 elderly participants providing an overall “eating
110 capability score” Laguna et al. (2015a), concept proposed previously by the same
111 authors (Laguna & Chen, 2016). Measures included hand grip force, finger grip
112 force, biting force, lip sealing pressure, tongue pressure and touch sensitivity. The
113 collated and aggregated measure of “Eating Capability” or EC was then used to
114 characterise four categories of participants from weakest to strongest and two
115 intermediate groups. The aim of development of this eating capability tool was to
116 group people by capabilities rather than age and to ultimately provide appropriately
117 textured food to each group. The key limitation of currently developed eating
118 capability model is that it is a relative scoring technique. Considering it is developed
119 very recently, till now there are no reference values of all the eating capability
120 components at all ages in the elderly population of different countries and hence
121 strongest participant in each study is taken as the reference point. In the previous

122 study (Laguna et al., 2015a), eating capability in UK participants and the Spanish
123 participants were studied separately considering different strongest participant in
124 each country and then the relative scores were compared. To test the functional
125 utility of this classification, participants of different eating capabilities then rated food
126 pictures on how difficult it was to manage that particular food by hand (manual
127 cutlery manipulation such as cutting or picking up food) and by mouth (such as oral
128 processing – chewing, biting, swallowing).

129 This research demonstrated strong correspondence between different measures of
130 manual strength and indicators of oral/masticatory function. The proposal from this
131 study is that grip strength could be a useful non-invasive proxy for masticatory
132 function. Moreover the aggregated EC category was also meaningful in relation to
133 perceived eating difficulty of various foods. Thus, participants from the weakest EC
134 groups perceived fibrous and hard food products significantly more difficult to eat
135 than participants with the highest EC score.

136

137 The present study was designed to extend these findings to include responses to
138 real food stimuli and not only food photographs. The overall aim was to examine the
139 relationship between measured eating capability and food oral processing variables
140 such as chewing cycles, bolus-swallowing time as well as subjective variables such
141 as perceived difficulty and liking of the food stimuli.

142

143 To enhance the measurement of real difficulties during eating, (mastication and
144 swallowing) participants were filmed during the study to capture actual oral
145 processing time for each food stimulus varying in hardness. This is a non-invasive
146 method which has ecological validity and is relatively simple to undertake. A pilot

147 study was done in young population to check its validity and to identify any difficulty
148 in the performance (Laguna et al. 2016). Since dental status is likely to influence oral
149 processing time and eating capability, the relationship between dentition, EC, oral
150 processing and subjective measures was also investigated.

151 In summary, using a variety of measurements to characterise eating capability
152 (directly and indirectly) it was predicted that difficulty in oral processing (actual and
153 perceived) would increase with age and that it would differ according to eating
154 capability score and dental status in a diverse group of older adults in response to
155 food stimuli of varying hardness and matrix heterogeneity.

156 **2 Methods**

157 **2.1 Participants**

158 **Recruitment of participants**

159 *United Kingdom.* A total of 9 participants (over 65 years old, 6 women and 3 men)
160 were recruited from a local community centre (Morley) and one private
161 accommodation through the Neighbourhood Network Scheme in the area of Leeds
162 (Yorkshire, UK).

163

164 *Spain.* A total of 21 participants (over 65 years old, 6 women and 15 men) were
165 recruited in the area of Baix Emporda (Girona, Spain) from one nursing home and
166 one community centre.

167

168 To be included in the study, participants had to be aged over 65 years with no acute
169 pain in the upper extremities and oral areas. Participation in the study was voluntary.
170 For the entire experimental procedure, participants were tested in their place of
171 residence by the researcher who visited them either in the community centres,

172 private homes or nursing homes. All the experimental procedures followed ethical
173 guidance set by the University of Leeds, UK. Ethical approval was obtained from the
174 Faculty Ethics Committee at the University of Leeds (MEEC 14-018, amendment
175 July 2015) for UK and from the Comitè Ètic d'Investigació Clínica Institut
176 d'Assistència Sanitària, Girona for Spain.

177

178 **2.2 Eating capability score**

179 Eating capability (EC) can be defined as the *physical, physiological, and cognitive*
180 *capabilities of an individual in handling and consuming food*. For the present study
181 the EC involved a composite score (see below) for grip strength (left and right hand),
182 manual dexterity and oro-facial muscular capability (bite force and tongue pressure).
183 All measurements were done in duplicate. The previous version of the eating
184 capability measurement (Laguna et al., 2015 a, b) included finger grip force and touch
185 sensitivity. However, due to the high coordination and precise movement required to
186 execute finger force measurement, participants found it difficult to do the test.
187 Furthermore, finger grip was not related with the overall capability of eating as
188 demonstrated by Laguna et al. (2015, a, b). Also, touch sensitivity score was
189 removed, because it's implication on overall eating action was rather limited. A tool
190 that was more relevant in terms of gripping and moving objects during the eating
191 process was needed; hence, the manual dexterity measure by a standardized kit
192 (described below) was introduced and the score was used to measure the eating
193 capability.

194 The eating capability (EC) score was calculated using the following equation :

$$195 \quad EC = \frac{\left(\frac{RH_{Par}}{RH_{Str\ Par}}\right) + \left(\frac{LH_{Par}}{LH_{Str\ Par}}\right)}{2} + \left(\frac{BF_{Par}}{BF_{Str\ Par}}\right) + \left(\frac{TP_{Par}}{TP_{Str\ Par}}\right) + \frac{\left(\frac{RD}{RD_{Str\ Par}}\right) + \left(\frac{LD_{Par}}{LD_{Str\ Par}}\right)}{2}$$

196

197 where, *RH* is the right hand gripping force (kg), *LH* is the left hand gripping force
198 (kg), *BF* is the biting force (kg), *TP* is the tongue pressure (KPa), *RD* is the right
199 hand dexterity count and *LD* is the left hand dexterity count (using manual dexterity
200 kit). Subscripts *Par* and *Str Par* represent the individual and strongest individual scoring
201 the highest in that particular test, respectively.

202

203 The maximum EC score was 4-points having each test measurement contributing to
204 a maximum of 1-point. To calculate the value of each force for every individual, a
205 fraction was generated. The denominator was the maximum value obtained for the
206 test by the strongest participant, and the numerator was populated with values for
207 the participant under study. Participants with eating capability < 2 were placed in
208 cluster number one (the weakest group); participants with eating capability >2 and <
209 3 were placed in cluster number 2, participants with eating capability >3 were placed
210 in cluster 3.

211

212 **2.2.1. Measurement of eating capability components**

213 **Measurement of muscle strength**

214 Physical strength measurements for grip strength, tongue pressure and bite force
215 were measured using the methodology described in more details previously (Laguna
216 et al., 2015). In brief, hand gripping force was measured with an adjustable handheld
217 dynamometer (JAMAR dynamometer, Patterson Medical Ltd., Nottinghamshire, UK);
218 bite force with a thin flexible force transducer (Tekscan, South Boston,
219 Massachusetts, USA) with two adhesive silicon discs (diameter: 1.5 cm, thickness:
220 0.3 cm to sandwich the force sensor) connected to a multimeter; and tongue

221 pressure was measured using the Iowa Oral Performance Instrument (IOPI®,
222 Medical LLC, Redmond, Washington, USA). Before using the equipment, each
223 measurement was demonstrated to the participant by a trained researcher and any
224 questions were answered.

225

226 **Coordination and dexterity**

227 A standardized kit for manual dexterity was used. Individuals move, one at a time,
228 the maximum number of blocks from one compartment of a box to another of equal
229 size, within 60 seconds. This kit provides a baseline for motor coordination. The test
230 is quick and simple to administer and it is suitable for persons with limited motor
231 coordination (Mathiowetz, Volland, Kashman, & Weber, 1985).

232 Researchers followed the norms published by (Mathiowetz et al., 1985). The test box
233 was placed lengthwise, and each subject was seated facing the box, the researcher
234 was seated in front facing the participant. When the researcher indicated, the subject
235 grasped one block at a time with the dominant hand transported the block over the
236 partition and released it into the opposite compartment. This activity was carried out
237 during a minute, after which the test was stopped, and then the test was resumed
238 with the non-dominant hand. If the participant did not cross the partition at least with
239 the tip of their fingers or carried more than one block, then, it was not counted.

240 In this study, data were classified by age, gender and dominant hand. In average,
241 participants over 65 years old moved 27-28 blocks and over 75 years old moved 25-
242 26 blocks.

243

244 **Dental status**

245 In the present study, participants were asked about their dental status and were
246 separated into four different categories: natural teeth; bridge and crowns; dentures;
247 and edentulous (no teeth or dentures at all). One participant had only upper dentures
248 and another participant had implants, neither of them (2) was taken into account for
249 the statistical analysis of dental status.

250

251 **2.3 Observational study (video-recording): mastication and oral residence** 252 **time**

253 Prior to the video recording session, participants were given a complete explanation
254 of the procedure: that they would be offered different food products to chew and
255 swallow normally in the order that they prefer whilst they are video-recorded. They
256 were shown two black trays: one with hydrocolloid gels of different textures and one
257 with real food products. Participants were told that they could stop at any time and
258 could withdraw from the study without prejudice. They were also informed that in
259 case of any of the product causing discomfort, they did not have to eat it. They were
260 aware that the main focus of this video-recording session was to record their
261 mastication and swallowing behaviour. An example of chewing cycle and swallowing
262 is shown in Figure 1.

263

264 Despite testing in different contexts, the researcher created an environment which
265 was standard for comfort, quiet and minimal distractions. The researcher was seated
266 in front of the participant, beside the camera. The researcher assisted participants
267 with tissues or water if requested, but water was not offered at the beginning.
268 Participants were given the food stimuli to eat in their customary manner whilst they
269 were recorded via video camera (Canon Powershot SX500 IS). Videos were
270 analysed to record the number of chew cycles and swallowing time. One chew cycle

271 was considered as the mandible movement upward and downward, the final number
272 of chews was the sum of chew cycles from when the participant placed the food
273 inside the mouth up until the action of swallowing. To record the time at swallowing,
274 researchers observed two factors: lip seal force increment and consequent down
275 turning of the lip corners followed by paused breathing and pharynx movement. The
276 swallowing process was considered finished once the participant had resumed
277 breathing, normally shown by slight mouth opening (see figure 1B).

278 Frame-by-frame analysis of all videos was done in duplicate. In case of a difference
279 in the number of chewing or time, that participant's video was re-studied a third time.

280 **Subjective ratings of difficulty and liking**

281 Participants used a Visual Analogue Scale (100 mm) anchored by words to score
282 their difficulty (least difficult to most difficult). Participants were asked: *How difficult*
283 *is for you to eat this food product?*

284 Also since perceived difficulty may be moderated by how much a food is liked,
285 participants were asked: *How much do you like the food product?* The participants
286 scored their liking for the food stimuli on a 9 point hedonic scale (1= dislike extremely
287 to 9= like extremely). (L270-276)

288

289 **2.4 Food stimuli**

290 **Preparation of model hydrocolloid gels**

291 κ -Carrageenan and sodium alginate were both obtained from Special Ingredients
292 (Sheffield, UK). Calcium chloride was obtained from Mineral Water (Purfleet, UK). All
293 three ingredients were food grade and used without any further purification.

294 *Calcium alginate beads production (CAI)*. Firstly, sodium alginate solutions were
295 prepared by slowly adding the exact quantity of the powder in distilled water. The
296 obtained dispersion was heated and stirred for 1 h at 90 °C to ensure complete
297 solubilisation. Calcium chloride solutions (2M) were prepared by dissolving the
298 required quantity in distilled water. For the preparation of big beads (median
299 diameter 1300 µm), sodium alginate (Na alginate) solution was extruded using a 0.8
300 mm nozzle syringe (Terulo, Neolus) into the calcium chloride bath. For the small
301 beads (median diameter 57 µm), sodium alginate solution was sprayed at 50-55
302 mL/min over a calcium chloride bath using jet sprayer (0.45 mm nozzle diameter).
303 The bead size was measured using static light scattering (Malvern MasterSizer
304 3000, Malvern Instruments Ltd, Worcestershire, UK). The Na-alginate beads were
305 cross-linked by Ca²⁺ ions to form sprayed Ca-alginate beads. Both beads remained
306 in the CaCl₂ bath for 30 minutes; the prepared beads were removed and washed
307 with deionized water twice to remove any non-cross-linked Ca²⁺ ions.

308

309 *κ-Carrageenan gel production (1κ-2κ)*. 1-2 wt% of κ-carrageenan was prepared by
310 dissolving appropriate quantities of κ-carrageenan in distilled water and mixing by
311 magnetic stirring for a few hours at 80 °C to facilitate hydration.

312

313 *κ-Carrageenan and sodium alginate gel production (M-κSAI)*. Binary gel preparation
314 involved dry blending of appropriate quantities of κ-carrageenan and sodium alginate
315 and dissolving in distilled water (2 wt%) followed by magnetic stirring for a 45
316 minutes at 80 °C.

317

318 *κ-Carrageenan and calcium alginate bead production (B-κCAI/ S-κCAI)*. Small
319 (spray) or big beads were added to a tray (12×7.5×1.5 cm), then, *κ*-carrageenan
320 solution of 1 wt% concentration (80 °C) was poured in to the tray in 1:1 w/w. After
321 storage at 4 °C for 24 h, gels were cut in a circular shape (2.0×1.0 cm; diameter x
322 height).

323

324 **Food products**

325 Fifteen commonly consumed food products: pear, carrot, apple, banana,
326 watermelon, pineapple, potato, gherkin, baby sweetcorn, heart of palm, mild
327 cheddar, medium mature cheddar, mature cheddar, mozzarella and soft, spreadable
328 cheese were initially analysed using penetration tests. This test simulates the
329 puncture of an incisor tooth biting through food; data is recorded in a force-time
330 curve. The probe used (Volodkevich Bite Jaw, wedge with a cross sectional
331 dimensions 10mmx10mm) was attached to the Texture Analyser (Texture analyser,
332 Stable Micro Systems, Godalming, UK) and samples were placed on a flat platform
333 (test settings: 1 mm/s, for a distance= 10 mm, trigger force= 5 g).

334 Then, five foods were selected according to their different breakage profile or
335 maximum break at force at break as a function of distance (data not shown) taking
336 into account dentition status of the participants, these were: mild cheddar (soft),
337 mature cheddar (hard), mozzarella cheese, banana, and canned diced potato.

338

339 **Fracture behaviour of food stimuli**

340 To characterize the mechanical properties of the food stimuli used in this study,
341 fracture mechanics were tested by a penetration test (using the upper Volodkevich
342 Bite Jaw). For gels, additionally a compression test using 75-mm diameter aluminium

343 plate (P/75) (Texture analyser, Stable Micro Systems, Godalming, UK) was done. As
344 it has been described in the previous section, samples were placed on a flat platform
345 and the probe was brought down at a controlled speed of 1 mm per second for 10
346 mm and at a trigger force of 5 g. Each test was performed with five repetitions for
347 each sample. The maximum force (N) was taken as a measure of hardness.

348

349 **2.5 Statistical analysis**

350 In order to test the hypothesis that objective measures of eating capability and oral
351 processing would correspond to subjective eating difficulty and liking of food stimuli,
352 a series of statistical tests were performed. Pearson's correlation was calculated to
353 examine the relationships between independent indicators of muscle strength (grip
354 force, bite force, tongue pressure). Correlations (Pearson's correlation) between time
355 and number of chew, likeness score and difficult perceived per participant and stimuli
356 were also studied. This analysis was performed using XLSTAT 2009.4.03 statistical
357 software (Microsoft, Mountain View, CA).

358

359 Analysis of variance (one-way ANOVA) was applied to study the difference among
360 participants according to grouping by dental status, and eating capabilities groups. A
361 one-way multivariate analysis of variance was performed to study how different
362 factors (number of chews, liking and difficulty) could influence in the oral processing
363 time. The entire ANOVA tests were done using SPSS (IBM SPSS Statistics for
364 Windows, Version 22.0. Armonk, NY: IBM Corp).

365

366 **3 RESULTS**

367 **3.1. Strength results**

368 Descriptive data from the strength measured in the 30 participants are summarised
369 in Table 1.

370

371 [TABLE 1 here]

372

373 Age was inversely related with grip strength and manual dexterity left hand (see
374 Table 2). Grip strength was significantly associated between left and right hands,
375 also with manual dexterity score and tongue pressure but not with bite force.

376

377 [TABLE 2 here]

378

379 **3.2. Eating capability score**

380 The Eating capability (EC) of the different groups is presented in Table 3.
381 Participants were grouped into EC1 with a score from 0 to 2 (lowest eating
382 capability) group; those with a score between 2 and 3 were intermediate EC2 and
383 the participants of EC3 had the highest scores (from 3 to 4). Participants classed in
384 EC3 were younger and stronger, they had significantly higher ($p<0.05$) hand grip and
385 were quicker in the kit for manual dexterity, however this group of participants had
386 only three participants. Therefore, the differences must be interpreted with caution.

387

388 [TABLE 3 here]

389

390 Tongue pressure had higher variability, therefore, averages were different between
391 the EC1 and EC2-EC3, but were not statistically significant ($p=0.105$). Bite force was
392 significantly different among eating capability groups ($p<0.05$), lowest bite force was
393 executed by EC1 group, whilst EC3 were the strongest. Regarding the oral
394 residence time, the variability inside the groups was too great to detect significant
395 differences between the EC groups; and it was not a clear trend for gels and food.
396 On average, EC1 participants scored perceived difficulty lower than EC3 participants
397 but this was not significant ($p=0.470$, $p=0.705$). This also can happen because the
398 food given to the participants was previously chosen by researchers in order to be
399 “easy” to avoid choking.

400

401 **3.3. Influence of dental status**

402 In this study, participants were grouped according to their dental status; data is
403 presented in Table 4. Those participants with natural teeth were able to execute
404 significantly higher biting force than those participants with denture or edentulous
405 participants. [$F(3,4.26)=3.842$, $p=0.022$].

406 As it can be seen in Table 2 bite force did not correlate significantly with any other
407 individual indicators of EC.

408

409 [TABLE 4 here]

410

411 Edentulous participants needed to chew significantly more ($p<0.05$) the 1k gel.
412 Participants with natural teeth or crown, chew significantly less ($p<0.05$)
413 heterogeneous samples ($B-\kappa CAI$) (see figure 2). No difference was found among

414 participants masticating the food products given, probably due to the soft and
415 homogeneous structure of the food.

416

417 [FIGURE 2 here]

418

419 For a better understanding of the influence of biting force into the liking score of gels
420 and food products, participants' bite force was segregated into three groups with
421 weak (N=7), moderate (N=8) and strong bite force (N=10) (see Figure 3).
422 Participants with the strong bite force rated liking for foods higher than those in the
423 weak bite force but this failed to reach significance ($p>0.05$).

424

425 [FIGURE 3 here]

426

427

428

429 **3.4. Influence of food fracture and homogeneity**

430 As it can be observed in Figure 4a, the number of chews needed to swallow the gels
431 did not correlate significantly with their instrumental hardness ($r= 0.754$, number of
432 samples, gels, correlated=5). When the maximum force at break was similar, the
433 time in mouth was dependent on the food heterogeneity (i.e. irregularities in the
434 matrix), and the time in mouth increased with the heterogeneity increment (e.g.
435 number of beads). However, at the same level of homogeneity, harder gels (2κ)
436 needed more chews than the softer gel (1κ). Figure 4b shows the plot of the number
437 of chews and the maximum force at break of the food products. In this case, a trend
438 can be observed with the instrumental hardness because the three matrices were

439 quite homogeneous. Although gels were generally harder than food products, the
440 number of chews in gels ($n=30.67\pm 17.5$) was similar to the number of chews of food
441 products ($n=29.33\pm 11.5$), (Figure 4b).

442

443 [FIGURE 4 here]

444 **3.5. Oral residence time dependency**

445 Figure 5 shows the influence on oral processing time of different factors: number of
446 chews, liking and difficulty.

447 In this study, the participants were required to masticate freely. In Figure 5, the
448 relation among time and number of chews, liking score and difficulty are shown
449 ($N=279$). As can be observed in Figure 5a, there is a significant and high correlation
450 between number of chews and duration in the mouth (gels= 0.726 , $p=0.020$; and
451 food= 0.658 , $p=0.018$), therefore oral residence is related to chewing effort.

452 In Figure 5b a significant but a lower correlation between liking score and time kept
453 in the mouth for gels ($r=0.483$, $p<0.01$) and for food products ($r = 0.252$, $p<0.01$) was
454 observed. For the gels, liking score varied from 4 to 6.5, however foods were scored
455 from 2.65 to 6.85. This suggests that since gels were unfamiliar, these were rated in
456 the neutral to liked zone, whereas food products were familiar and participants were
457 able to discriminate better between the items based on past experience.

458 In figure 5c, the relation between time in mouth and rated difficulty is shown. For
459 food products there was a significant correlation with longer residence time related to
460 perceived difficulty ($r=0.252$, $p<0.01$), for gels was this was also significant ($r=0.291$,
461 $p<0.01$).

462 This meant that participants associated the difficulty with the oral residence time or
463 time to swallow. Liking and difficulty were both associated with oral residence time
464 for food products.

465

466 [FIGURE 5 here]

467

468 **4. Discussion**

469 The present investigation demonstrated that EC discriminates between older adults
470 on the basis of age, manual dexterity and biting force. However, in the present study
471 the EC failed to discriminate between participants on oral residence time, number of
472 chews and perceived difficulty. Furthermore, grouping participants on the basis of
473 their dental status (a close proxy for eating capability), was related to number of
474 chews needed to process some of the food stimuli (gels), with their average liking
475 and with the maximum bite force able to execute.

476 A previous study showed that hand force was correlated negatively with participant
477 age (Laguna et al., 2015; Luna-Heredia, Martín-Peña, & Ruiz-Galiana, 2005) (Table
478 2). Ageing causes significant changes to hand morphology and function through
479 commonly experienced skeletal diseases such as osteoarthritis, rheumatoid arthritis,
480 and osteoporosis, as well as hormonal changes, and degenerative disease of the
481 central nervous system such as Parkinson's disease (Carmeli, Patish, & Coleman,
482 2003). Also, in line with in our previous research (Laguna et al., 2015) hand force
483 showed a low but significant correlation with tongue pressure. Participant's
484 coordination and dexterity were also inversely correlated with age for both hands,
485 and correlated significantly with the hand strength. It is worthwhile mentioning that
486 from filming real time mastication, measures of the time at swallow and the number

487 of chews can be made, but also facial gestures can be used to support subjective
488 ratings of perceived difficulty. Also, food manipulated by hand was observed in the
489 recorded videos, 8 of 30 participants lost the gels on the way from hand to the mouth
490 several times, but these events did not correspond significantly to EC score.

491 Against our expectations the sum of components of the eating capability did not
492 differentiate between the food oral processing parameters (oral residence time,
493 number of chews and perceived difficulty). Each individual may have a different
494 component of the eating capability depleted, and the sum of them does not
495 discriminate enough to identify different patterns during the food oral processing in
496 this small sample.

497 To our knowledge, till date, no normalized data for eating capability measurement is
498 available, so authors have compared EC within the population studied. It is worth
499 highlighting that EC groups cannot be compared between studies, as they are based
500 on different parameters. However, EC components (hand force, tongue force)
501 among different studies can be compared as they are based on the same objective
502 measurements.

503 This study revealed a significant difference in the bite force between participants with
504 natural teeth and those who wear dentures (see table 4), furthermore, those without
505 teeth needed to chew more (figure 2). This means bite was less efficient; and effort
506 (number of chew) was higher compared to those with natural teeth. These findings
507 support previous studies where masticatory efficiency decreases with number of
508 missing teeth (Fontijn-Tekamp et al., 2000; Miyaura et al., 2000); this is due to a
509 decrement in the contact area between the upper and lower teeth, important for oral
510 food breakdown. During the mastication, food is transformed continuously, this
511 provokes a sensory feedback in the oral and pharyngeal cavities, adapting the

512 chewing pattern (Palmer, Kuhlemeier, Tippet, & Lynch, 1993) up to the point that is
513 considered safe to swallow. There is a normal interindividual variability in the number
514 of chews, in middle age population (~43 years old) more bite force and better
515 chewing performance is related with less number of chews (Avlund, Damsgaard,
516 Sakari-Rantala, Laukkanen, & Schroll, 2002). The current study demonstrates this
517 effect and shows the impact of ageing and tooth loss on masticatory function.

518 Although it was not statistically significant, liking was lower with less bite force (figure
519 3), this may be attributed to one or more factors. One is the loss of sensory
520 experience of participants with less bite force (and less number of natural teeth).
521 During a bite or chew, the pressure exertion on teeth causes slight stretching to the
522 periodontal ligaments that send information to the central nervous system for
523 interpretation of the textural properties of the food (Chen, 2009). The second factor
524 that may influence liking is the effort required when one has less teeth. In the study
525 of Hyland, Ellis, Thomason, El-Feky, and Moynihan (2009) patients involved
526 explained how with the time, they have the feeling that loss of gum tissue reduced
527 enjoyment of eating. Furthermore, when the denture is not well adjusted, the tongue
528 is used to stabilize and aid retention of dentures, then, this not only will decrease the
529 masticatory efficiency, the function of the tongue in positioning the bolus of food is
530 less efficient (Bohnenkamp & Garcia, 2007).

531

532 In accordance with previous authors (Lassauzay, Peyron, Albuissou, Dransfield, &
533 Woda, 2000), harder food products were kept longer in mouth. For the gels created,
534 at the same level of hardness, when textural heterogeneity was present (B- κ CAI, S-
535 κ CAI) participants kept them longer in mouth than gel samples with one texture (M-
536 κ SA, 1 κ). This might be attributed to the degree of structure due to the gel

537 heterogeneity, which can play an important role in the fracture of the gels affecting
538 the oral processing behaviour and oral residence time (Hutchings & Lillford, 1988).
539 When comparing the three factors: number of chews, liking and difficulty number,
540 liking and difficulty contribute similarly to the oral processing time (Figure 5). Several
541 studies demonstrate the relationship between liking and sensory temporality
542 (Delarue & Loescher, 2004; Thomas Carr & Lesniasuskas, 2016), however few
543 examine liking and overall time in the mouth. It could be that liked food will be kept
544 longer in the mouth than food that is not liked since this may not be eaten, will be spit
545 out or will be swallowed as soon as possible. In the case of the gels, three
546 participants refused to eat them, and two asked to spit them out after being chewed.
547 Overall liking was related with the texture perception for gels and for foods.
548 Therefore, participants associated perceived difficulty with the oral residence time or
549 time to swallow. This supports research by Çakır et al. (2012) and Takahashi
550 Takahashi, Uzawa, Myo, Okada, and Amagasa (2009), who found a link between the
551 duration of mastication and with the ease with which food is broken down to form into
552 a cohesive bolus.

553

554 Four of the 30 participants indicated by facial expression (closing eyes or pointing
555 the neck by hand) the difficulty experienced during eating. However they had a
556 normal tongue pressure (average 43.5 kPa), and they belonged to different EC
557 groups. Thus these facial expressions were not specifically linked to the ability to
558 perform the right tongue pressure and swallow.

559

560 **Limitations of the study**

561 This study has several limitations. In order to keep the safety and comfort of the
562 participants, food stimuli given were in the range of soft-solid food and this does not
563 cover the whole range of food (hard solids such as carrots or nuts). Also, even
564 though participants were instructed to avoid talking whilst eating, the majority made
565 comments prior/post consumption, especially in the gels given as “are you sure that
566 this is edible?”, “do I have to swallow?”, “it does not have any taste”, etc, This
567 suggests that despite efforts to develop and characterise different gel matrices, older
568 adults struggle with these unfamiliar systems when compared to real foods, which
569 are familiar and acceptable. Finally, the sample size was small although measures of
570 eating capability measurement were rigorously taken. Also it might be worth pointing
571 out that gender had more influence on hand grip force and age had more influence
572 on Tongue pressure measurements which might have influenced the overall EC
573 score.

574

575 **5. Conclusions**

576 Overall, using a sample of 30 older adults, eating capability scores did not
577 discriminate between objective and subjective oral processing measures However,
578 dental status was significant in distinguishing bite, oral processing time, number of
579 chews, and liking. This suggests that an important proxy for eating capability is
580 dental status.

581

582 From a food design point of view, in this preliminary study, it has been elucidated
583 that not only the consistency (hardness) of food structure but also the heterogeneity
584 of the matrix affected food oral processing behaviour (number of chews and time in
585 mouth).

586 The implications of this research are that to ensure good nutrition in older adults,
587 eating capability can be determined in part by dental status and that this in turn
588 affects oral processing, which can then influence food intake. Awareness of liking,
589 perceived difficulty and objective parameters of eating capability can support
590 decisions regarding which foods to offer older adults to optimise the energy and
591 nutrient intakes to promote health and well-being.

592

593 **Acknowledgements**

594 This study was supported by funding from the European Union's Seventh
595 Framework Programme for research, technological development and demonstration
596 under Grant Agreement No. Kbbe- 311754 (OPTIFEL).

597 Author A. Sarkar acknowledges the financial support from The Gen Foundation
598 (Registered UK Charity No. 1071026).

599 Author L. Laguna would like to thank each participant for their time invested and
600 patient. Also thanks to the manager of Morley Elderly Action (Ann Minke), Palamós
601 Gent Gran (Dr. Nuri Comas), Palamós Gent Major for the support offered, and the
602 kitchen staff of Palamós Gent Gran for allowing the author to use their space for
603 sample preparation.

604 **References**

- 605 Ahmad, S. (2006). An insight into the masticatory performance of complete denture
606 wearer. *Ann Dent*, 13, 24-33.
- 607 Avlund, K., Damsgaard, M. T., Sakari-Rantala, R., Laukkanen, P., & Schroll, M.
608 (2002). Tiredness in daily activities among nondisabled old people as
609 determinant of onset of disability. *Journal of Clinical Epidemiology*, 55(10),
610 965-973.
- 611 Balagopal, P., Rooyackers, O. E., Adey, D. B., Ades, P. A., & Nair, K. S. (1997).
612 Effects of aging on in vivo synthesis of skeletal muscle myosin heavy-chain
613 and sarcoplasmic protein in humans. *American Journal of Physiology-
614 Endocrinology And Metabolism*, 273(4), E790-E800.
- 615 Bohnenkamp, D. M., & Garcia, L. T. (2007). Phonetics and tongue position to
616 improve mandibular denture retention: a clinical report. *The journal of
617 prosthetic dentistry*, 98(5), 344-347.
- 618 Çakır, E., Vinyard, C. J., Essick, G., Daubert, C. R., Drake, M., & Foegeding, E. A.
619 (2012). Interrelations among physical characteristics, sensory perception and
620 oral processing of protein-based soft-solid structures. *Food Hydrocolloids*,
621 29(1), 234-245.
- 622 Carmeli, E., Patish, H., & Coleman, R. (2003). The aging hand. *The Journals of
623 Gerontology Series A: Biological Sciences and Medical Sciences*, 58(2),
624 M146-M152.
- 625 Chen, J. (2009). Food oral processing—A review. *Food Hydrocolloids*, 23(1), 1-25.
- 626 de Abreu, R. A. M., Pereira, M. D., Furtado, F., Prado, G. P. R., Mestriner Jr, W., &
627 Ferreira, L. M. (2014). Masticatory efficiency and bite force in individuals with
628 normal occlusion. *Archives of Oral Biology*, 59(10), 1065-1074.
- 629 Fleg, J. L., & Lakatta, E. G. (1988). Role of muscle loss in the age-associated
630 reduction in VO₂ max. *Journal of Applied Physiology*, 65(3), 1147-1151.
- 631 Fontijn-Tekamp, F., Slagter, A., Van Der Bilt, A., Hof, M. V. T., Witter, D., Kalk, W., et
632 al. (2000). Biting and chewing in overdentures, full dentures, and natural
633 dentitions. *Journal of Dental Research*, 79(7), 1519-1524.
- 634 Fontijn-Tekamp, F., Van Der Bilt, A., Abbink, J., & Bosman, F. (2004). Swallowing
635 threshold and masticatory performance in dentate adults. *Physiology &
636 Behavior*, 83(3), 431-436.
- 637 Gambareli, F. R., Serra, M. D., Pereira, L. J., & Gavião, m. b. d. (2007). Influence of
638 measurement technique, test food, teeth and muscle force interactions in
639 masticatory performance. *Journal of Texture Studies*, 38(1), 2-20.
- 640 Hildebrandt, G. H., Dominguez, B. L., Schork, M. A., & Loesche, W. J. (1997).
641 Functional units, chewing, swallowing, and food avoidance among the elderly.
642 *The journal of prosthetic dentistry*, 77(6), 588-595.
- 643 Hori, K., Ono, T., Tamine, K.-i., Kondo, J., Hamanaka, S., Maeda, Y., et al. (2009).
644 Newly developed sensor sheet for measuring tongue pressure during
645 swallowing. *Journal of Prosthodontic Research*, 53(1), 28-32.
- 646 Hutchings, J. B., & Lillford, P. J. (1988). The perception of food texture — the
647 philosophy of the breakdown path. *Journal of Texture Studies*, 19(2), 103-115.
- 648 Hyland, R., Ellis, J., Thomason, M., El-Feky, A., & Moynihan, P. (2009). A qualitative
649 study on patient perspectives of how conventional and implant-supported
650 dentures affect eating. *Journal of Dentistry*, 37(9), 718-723.
- 651 Kapur, K. K., & Soman, S. D. (2006). Masticatory performance and efficiency in
652 denture wearers. *The journal of prosthetic dentistry*, 95(6), 407-411.

653 Kenny, G. P., Yardley, J. E., Martineau, L., & Jay, O. (2008). Physical work capacity
654 in older adults: Implications for the aging worker. *American Journal of*
655 *Industrial Medicine*, 51(8), 610-625.

656 Laguna, L., & Chen, J. (2016). The eating capability: Constituents and assessments.
657 *Food Quality and Preference*, 48, Part B, 345-358.

658 Laguna, L., Asensio Barrowclough, R., Chen, J., & Sakar, A. (2016). New approach
659 to food difficulty perception: food structure, food oral processing and
660 individual's physical strength. *Journal of Texture studies*, In Press,
661 doi:10.1111/jtxs.12190

662 Laguna, L., Sarkar, A., Artigas, G., & Chen, J. (2015a). A quantitative assessment of
663 the eating capability in the elderly individuals. *Physiology & Behavior*, 147,
664 274-281.

665 Laguna, L., Sarkar, A., & Chen, J. (2015b). Assessment of eating capability of elderly
666 subjects in UK: a quantitative evaluation. *Proceedings of the Nutrition Society*,
667 74, E167-E167.

668 Lange-Maia, B. S., Newman, A. B., Cauley, J. A., Boudreau, R. M., Jakicic, J. M.,
669 Caserotti, P., et al. (2016). Sensorimotor Peripheral Nerve Function and the
670 Longitudinal Relationship With Endurance Walking in the Health, Aging and
671 Body Composition Study. *Archives of Physical Medicine and Rehabilitation*,
672 97(1), 45-52.

673 Langmore, S. E. (2003). Evaluation of oropharyngeal dysphagia: which diagnostic
674 tool is superior? *Current opinion in otolaryngology & head and neck surgery*,
675 11(6), 485-489.

676 Luna-Heredia, E., Martín-Peña, G., & Ruiz-Galiana, J. (2005). Handgrip
677 dynamometry in healthy adults. *Clinical Nutrition*, 24(2), 250-258.

678 Mathiowetz, V., Volland, G., Kashman, N., & Weber, K. (1985). Adult norms for the
679 Box and Block Test of manual dexterity. *American Journal of Occupational*
680 *Therapy*, 39(6), 386-391.

681 Matsuo, K., & Palmer, J. B. (2009). Coordination of mastication, swallowing and
682 breathing. *Japanese Dental Science Review*, 45(1), 31-40.

683 Mingioni, M., Mehinagic, E., Laguna, L., Sarkar, A., Pirttijärvi, T., Van Wymelbeke,
684 V., et al. (2016). Fruit and vegetables liking among European elderly
685 according to food preferences, attitudes towards food and dependency. *Food*
686 *Quality and Preference*, 50, 27-37.

687 Miyaura, K., Morita, M., Matsuka, Y., Yamashita, A., & Watanabe, T. (2000).
688 Rehabilitation of biting abilities in patients with different types of dental
689 prostheses. *Journal of Oral Rehabilitation*, 27(12), 1073-1076.

690 N'Gom, P. I., & Woda, A. (2002). Influence of impaired mastication on nutrition. *The*
691 *journal of prosthetic dentistry*, 87(6), 667-673.

692 Nieuwenhuizen, W. F., Weenen, H., Rigby, P., & Hetherington, M. M. (2010). Older
693 adults and patients in need of nutritional support: review of current treatment
694 options and factors influencing nutritional intake. *Clinical Nutrition*, 29(2), 160-
695 169.

696 Palmer, J. B., Drennan, J. C., & Baba, M. (2000). Evaluation and treatment of
697 swallowing impairments. *American family physician*, 61(8), 2453-2462.

698 Pocztaruk, R. d. L., Frasca, L. C. d. F., Rivaldo, E. G., Fernandes, E. d. L., & Gavião,
699 M. B. D. (2008). Protocol for production of a chewable material for masticatory
700 function tests (Optocal-Brazilian version). *Brazilian oral research*, 22(4), 305-
701 310.

- 702 Ranta, K., Tuominen, R., Paunio, I., & Seppänen, R. (1988). Dental status and intake
703 of food items among an adult Finnish population. *Gerodontology*, 4(1), 32-35.
- 704 Schneider, G., & Senger, B. (2002). Clinical relevance of a simple fragmentation
705 model to evaluate human masticatory performance. *Journal of Oral*
706 *Rehabilitation*, 29(8), 731-736.
- 707 Takahashi, K.-I., Uzawa, N., Myo, K., Okada, N., & Amagasa, T. (2009).
708 Simultaneous Assessment of Cyclin D1 and Epidermal Growth Factor
709 Receptor Gene Copy Number for Prognostic Factor in Oral Squamous Cell
710 Carcinomas. *Oral Science International*, 6(1), 8-20.
- 711 Vita, A. J., Terry, R. B., Hubert, H. B., & Fries, J. F. (1998). Aging, health risks, and
712 cumulative disability. *New England Journal of Medicine*, 338(15), 1035-1041.
- 713 Walls, A. W. G., & Steele, J. G. (2004). The relationship between oral health and
714 nutrition in older people. *Mechanisms of Ageing and Development*, 125(12),
715 853-857.
- 716 WHO. (2015a). Ageing and health. *World Health Organization, Fact sheet N°404*.
- 717 WHO. (2015b). WHO: Number of people over 60 years set to double by 2050; major
718 societal changes required.
719 <http://www.who.int/mediacentre/news/releases/2015/older-persons-day/en/>.
- 720 Yamashita, S., Sugita, D., & Matsuo, K. (2013). Relationship between stage II
721 transport and number of chewing strokes as mastication progresses.
722 *Physiology & Behavior*, 122, 100-103.

723

724

725

726