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Modelling canopy flows over complex terrain

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Abstract Recent studies of flow over forested hills have been motivated by a number of important applications including understanding CO₂ and other gaseous fluxes over forests in complex terrain, predicting wind damage from trees and modelling wind energy potential at forested sites. Current modelling studies have focused almost exclusively on highly idealised, and usually fully forested, hills. This paper presents model results for a site on the Isle of Arran, Scotland with complex terrain and a heterogeneous forest canopy. The model uses an explicit representation of the

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canopy and a one-and-a-half order turbulence closure for the turbulence within and 13 above the canopy. The validity of the turbulence closure scheme is assessed using 14 the turbulence data from the field experiment before comparing predictions of the 15 full model with the field observations. For near-neutral stability the results compare 16 well with the observations showing that a relatively simple canopy model such as this 17 can accurately reproduce the flow patterns observed with complex terrain and realis-18 tic variable forest cover, while at the same time remaining computationally feasible 19 for real case studies. The model allows a closer examination of the flow separation 20 observed over complex forested terrain. Comparison with model simulations using a 21 roughness length parametrization show significant differences, particularly with re-22 spect to flow separation and this highlights the need to explicitly model the forest 23 canopy if detailed predictions of the near-surface flow around forests are required. 24

25 Keywords Complex terrain, First order mixing length closure, Flow separation,

²⁶ Forest canopy, Numerical modelling

27 **1 Introduction**

There has been significant interest over the last few years in modelling the effects of canopy flow over complex terrain. This has been motivated by a number of issues, particularly the need to understand and interpret CO₂ flux measurements over complex forested sites, where advective affects can lead to a significant difference between above-canopy fluxes and the source / sinks within the canopy (Katul et al., 2006; Ross and Harman, 2015). Other important applications include assessing wind damage to trees and estimating potential wind energy resources for wind farms. Real-

world sites tend to be complicated, in terms of both the terrain and heterogeneity in 35 the forest canopy. In contrast the vast majority of modelling studies so far have ad-36 dressed highly idealised problems. Many concentrate on flat, homogeneous canopies 37 (e.g. Pinard and Wilson, 2001). Where they do study heterogeneous problems, these 38 are often highly idealised such as a sharp forest edge (Liu et al., 1996; Yang et al., 39 2006; Dupont and Brunet, 2008, 2009; Dupont et al., 2011; Banerjee et al., 2013; 4N Schlegel et al., 2015) or idealised fully forested hills (Ross and Vosper, 2005; Ross, 41 2008; Dupont et al., 2008; Patton and Katul, 2009). The recent paper of Ross and 42 Baker (2013) takes this slightly further by looking at partially forested (but still ide-43 alised) hills. There are good reasons for starting with such idealised problems. It 44 allows for a systematic study of the individual processes influencing flow over for-45 est hills. These problems may also be amenable to analytical analysis (e.g. Finnigan 46 and Belcher, 2004). It is also possible to reproduce some of these problems in the 47 laboratory (e.g. Poggi and Katul, 2007) to provide validation data for the models. 48 However, ultimately we need to be able to model flow over real, complex terrain with 49 complicated, heterogeneous forest cover. This study aims to do that. The simulations 50 discussed here are based on the field experiment described in Grant et al. (2015) and 51 the field observations will be used to validate the modelling. The aim is to assess the 52 feasibility of using existing models to tackle such complex problems and to investi-53 gate some of the issues faced when making such realistic simulations. 54

There are currently two principal approaches used for modelling turbulence in canopy flows: mixing length closure schemes (e.g. Pinard and Wilson, 2001; Ross and Vosper, 2005; Banerjee et al., 2013) and large-eddy simulations (LES) (e.g. Brown

et al., 2001; Yang et al., 2006; Ross, 2008; Dupont et al., 2008; Patton and Katul, 58 2009). LES offers advantages in terms of requiring fewer assumptions about the na-59 ture of the turbulence in forest canopies, but the excessive computational demands 60 make it usually impractical in terms of modelling realistic cases over large domains, 61 although Schlegel et al. (2015) have demonstrated that this is possible, at least for 62 idealised flow across a forest edge with a real heterogeneous canopy structure. Pre-63 vious work has shown that while there are limitations in its applicability, mixing 64 length closure schemes actually perform reasonably well in terms of predicting mean 65 flow over relatively flat, homogeneous canopies from both a theoretical (Finnigan 66 and Belcher, 2004) and a practical (Pinard and Wilson, 2001) perspective. In a recent 67 paper Finnigan et al. (2015) have reviewed the applicability and limitations of mixing 68 length closure schemes from a theoretical perspective. In this study we will look at 69 how applicable such schemes are for modelling more complex terrain and heteroge-70 neous forest canopies in reality, using the one-and-a-half order mixing length closure 71 scheme from Ross and Vosper (2005). 72

In section 2 the model setup is described. Section 3 provides some validation 73 for the mixing length closure by testing the closure assumptions using observational 74 data over complex terrain from Grant et al. (2015). Section 4 presents a comparison 75 of the model and observational results in terms of the mean flow, momentum fluxes 76 and turbulent kinetic energy. The sensitivity of the model to the parametrization of the 77 surface is investigated in Section 5, and the model results are used to better understand 78 the complicated flow separation over a realistic site. Finally section 6 provides some 79 discussion and conclusions. 80

2 Description of observations and model

The case study used in this paper comes from a field experiment conducted on the 82 Isle of Arran, Scotland during spring 2007. The experiment is described in detail 83 in Grant et al. (2015). The field site is the ridge Leac Gharbh which is situated on 84 the north-east coast of Arran. The ridge is orientated north-west / south-east with 85 the southern end of the ridge being mostly covered with Sitka spruce and mixed deciduous trees. Here we make use of wind speed and direction measurements made 87 from a network of 12 automatic weather stations (AWS) and 3 instrumented towers 88 as described in Grant et al. (2015). The AWS were fitted with cup anemometers and 89 wind vanes at 2m height and were located both within and outside the forest canopy. 90 The 3 towers varied in height from 15 to 23 m with 4 sonic anemometers mounted 91 on each. The towers formed a transect across the forested part of the ridge. The data 92 presented is based on 15-minute average wind speeds and directions. The choice of 93 coordinate system for sonic anemometer measurements in complex, forested terrain 94 is non-trivial, as highlighted by a number of recent studies including Ross and Grant 95 (2015); Oldroyd et al. (2015), however for simplicity and for consistency in compar-96 ing with the model, a double rotation into streamwise coordinates is carried out here, 97 as in Grant et al. (2015). This coordinate system means u is the velocity component 98 in the streamwise direction, w is the slope normal velocity component and v is the 99 remaining velocity component in the axis perpendicular to u and w. 100

Given the uncertainty in the forest parameters and in the upstream flow conditions, and also the local variability in the observations, this study aims to model some generic flow conditions (neutral flow with a $10 \,\mathrm{m\,s^{-1}}$ geostrophic wind and different

fixed geostrophic wind directions) and compare them with the observational clima-104 tology, rather than trying to precisely model particular case studies. The focus here 105 is on near-neutral flow for a couple of reasons. Firstly, much of the previous theoret-106 ical work (e.g. Finnigan and Belcher, 2004; Ross and Vosper, 2005; Ross and Baker, 107 2013) is for neutral flow, and one motivation of the paper is to test how these ideas 108 can be applied to more complex terrain and canopy cover. Secondly, under stable 109 conditions canopy flows are known to decouple, with an in-canopy drainage flow dis-110 tinct from the above canopy flow (see e.g. Belcher et al., 2012). This is an important 111 problem, but the mixing length closure model described here has not been developed 112 or tested with such flows in mind, and so for this study such regimes are excluded. 113

Numerical simulations were conducted using the BLASIUS model, originally de-114 veloped at the UK Met Office and described in Wood and Mason (1993). The model 115 solves the three-dimensional, time-dependent Boussinesq equations of motion in a 116 terrain-following coordinate system. The addition of a canopy drag term and a mod-117 ified turbulence scheme (see Ross and Vosper, 2005) make it suitable for modelling 118 canopy flows over hills. It has been used for studying a range of idealised problems 119 related to canopy-covered hills (Brown et al., 2001; Ross and Vosper, 2005; Ross, 120 2008, 2011; Ross and Harman, 2015), partially forested hills (Ross and Baker, 2013) 121 and variable canopy densities (Ross, 2012). The model has been validated against 122 wind tunnel measurements over a hill, and against observations from a flat hetero-123 geneous forest (Ross and Vosper, 2005), but this is the first time the model has been 124 applied to such complex, heterogeneous terrain as this. 125

The simulations described here use a one-and-a-half order mixing length closure scheme with a prognostic equation for the turbulent kinetic energy, *k*. The scheme is described in Ross and Vosper (2005), however in summary the eddy viscosity is calculated as $v_t = \Gamma_0^{1/2} k^{1/2} l_m$ where Γ_0 is the (assumed constant) ratio between the stress and the energy and l_m is the mixing length, which is constant within the canopy and scales with height above the canopy. In BLASIUS a default value of $\Gamma_0 = 0.357$ is used. The turbulent kinetic energy satisfies

$$\rho \frac{Dk}{Dt} = \rho \nabla \cdot (\mathbf{v}_t \nabla k) + \tau_{ij} \frac{\partial U_i}{\partial x_j} - \rho \varepsilon$$
(1)

where ρ is the density of the air, U_i is the mean wind speed, τ_{ii} is the Reynolds stress 133 tensor and ε is the dissipation. The Reynolds stress is modelled as $\tau_{ij} \equiv -\rho \overline{u'_i u'_j} =$ 134 $\rho v_t S_{ij}$ where $S_{ij} = \partial U_i / \partial x_j + \partial U_j / \partial x_i$ is the deformation tensor. To close the prog-135 nostic equation for turbulent kinetic energy requires the dissipation term, ε to be 136 parametrized. This takes the standard form above the canopy ($\varepsilon_{cc} = k^{3/2} \Gamma_0^{3/2} / l_m$), 137 with an enhanced dissipation $\varepsilon_{fd} = Ca|\mathbf{U}|k$ within the canopy (following Wilson 138 et al., 1998) to account for canopy drag rapidly converting energy from large scales 139 to small, quickly dissipated "wake scales". The overall dissipation within the canopy 140 is taken as the maximum of these two terms $\varepsilon = \max(\varepsilon_{cc}, \varepsilon_{fd})$. See also Katul et al. 141 (2004) for a useful discussion of k and $k - \varepsilon$ models applied to canopy flows. 142

Terrain and land use data (50m horizontal resolution) came from the Ordnance Survey Landranger and MasterMap products, accessed via EDINA (2011). The model domain was 6km × 6km with 120 grid points in each direction giving a horizontal resolution of 50m. The domain is centred on the Leac Gharbh ridge. The height of the domain was 5km with a stretched vertical grid of 80 points giving a vertical res-



Fig. 1 The model domain used in the BLASIUS simulations. The shaded grey colour denotes the terrain height, with contours every 25m. The solid green line marks the boundary of the forest. The red circles labelled T1-T3 denote the 3 instrument towers and the blue + show the location of the AWS. The light blue area around the edges denotes sea, where a lower roughness length z_0 is used. The dashed line marks the edge of the damping layer.

olution varying from 0.5 m at the surface to approximately 180 m at the top of the 148 domain. In order to keep the model domain to a computationally manageable size 149 lateral periodic boundary conditions were used, with a damping layer applied over 150 the outermost 500m of the domain to relax the solution back towards the geostrophic 151 wind profile. The terrain is also smoothed to zero in the damping layer domain and 152 the surface roughness set to the value over the sea to ensure continuity across the 153 periodic boundaries. Figure 1 shows the model domain and illustrates the topography 154 and forest cover used. The white area around the edges and to the top right is sea. 155

The location of regions of different land use is accurately obtained from the Ord-156 nance Survey data, however there is significant uncertainty in the correct roughness 157 length and canopy parameters to use in these regions. Field measurements of tree 158 properties made by Forest Research near the field site (Grant et al., 2015) suggest that 159 a canopy height h = 15 m, uniform canopy density of $0.5 \text{ m}^2 \text{ m}^{-3}$ and canopy drag co-160 efficient $C_d = 0.25$ are broadly representative of the forest cover on the ridge. There 161 is variation in the canopy cover, however given the lack of detailed measurements 162 across the whole ridge and the other uncertainties in the modelling, these represen-163 tative canopy parameters should be reasonable. The roughness length used over the 164 land outside the forest and at the forest canopy floor is 0.05 m, representative of grass-165 land. Over the sea a lower representative value of 0.005 m is used. The sensitivity of 166 the results to these roughness lengths will be assessed later. The model simulations 167 were all run to steady state (approx 1000s or twice the domain advection time). 168

¹⁶⁹ **3 Validation of mixing length closure**

Typically turbulence closure schemes are validated using data from relatively flat, 170 homogeneous sites (e.g. Pinard and Wilson, 2001). To test the validity of the tur-171 bulence closure assumptions in BLASIUS over a site with complex, heterogeneous 172 terrain observational data from the field campaign described in Grant et al. (2015) 173 is analysed. The one-and-a-half order turbulence scheme in BLASIUS assumes that 174 the Reynolds stress tensor τ_{ij} is given by $\tau_{ij} = -\rho \overline{u'_i u'_j} = \rho v_t S_{ij}$. Even in complex 175 canopy flows scaling analysis suggests that the stress tensor S_{ij} is usually dominated 176 by the vertical gradients of the horizontal velocity components, and so here we focus 177



Fig. 2 Momentum flux $\overline{u'w'}$ in streamwise coordinates as a function of $k^{1/2}\partial \overline{u}/\partial z$ where *k* is the turbulent kinetic energy. The colours denote the direction of the mean wind for each 15-minute averaged data point. The solid line is a best fit line to the data which passes through the origin. The slope of the line is proportional to the mixing length l_m . The three columns correspond to towers T1 (left), T2 (centre) and T3 (right). The rows correspond to the different heights on each tower with the top row corresponding to the top of the tower, and the bottom row the lowest instrument height.



Fig. 3 As for Fig 2, but for $\overline{v'w'}$ as a function of $k^{1/2}\partial\overline{v}/\partial z$. The dotted line shows the slope of the equivalent subplot in Fig 2.

on $-\overline{u'w'} \approx \Gamma_0^{1/2} l_m k^{1/2} \partial u / \partial z$ and $-\overline{v'w'} \approx \Gamma_0^{1/2} l_m k^{1/2} \partial v / \partial z$. The vertical gradients 178 in streamwise coordinate are calculated by first rotating into a fixed frame of refer-179 ence relative to the ground, calculating the gradients at the midpoints between the 180 observations by finite differencing, linearly interpolating the results back onto the 181 measurement heights and then finally rotating back into the local streamwise coordi-182 nates at each height. Here quality controlled data from Grant et al. (2015) for all wind 183 directions and stabilities is used to assess the validity of the closure assumptions. The 184 quality control involves ensuring sufficient data is available in each 15-minute aver-185 aging period and also that the data passes the stationarity test of Foken and Wichura 186 (1996) as described in Grant et al. (2015). This quality controlled data amounts to 187 about 4000 data points for T1, 3600 data points for T2 and 2500 data points for T3. 188

Figure 2 shows the momentum flux, $-\overline{u'w'}$, plotted against $k^{1/2}du/dz$ for the 3 189 turbulence towers (T1, T2 and T3) situated across the ridge. The linear best fit line 190 through the data is also plotted. The slope of this line is proportional to the average 191 mixing length, l_m with the constant of proportionality being $\Gamma_0^{1/2}$. The results show 192 that for tower T1 the data collapses well, with the mixing length relatively constant 193 with height within the canopy (the best fit line has the same slope at different heights). 194 There is some slight evidence of a decreased mixing length at the lowest height due 195 to the close proximity to the ground. Similar plots of $\overline{v'w'}$ against $k^{1/2}dv/dz$ in Fig. 3 196 show a relatively small vertical flux of across-stream momentum, suggesting little 197 directional shear and an approximately two-dimensional flow. 198

¹⁹⁹ In contrast to tower T1, at tower T2, which is surrounded on nearly all sides by ²⁰⁰ trees and where there is often flow separation at the lower two levels, the collapse of

the data is far less good. The fluxes are generally lower, and there is significant direc-201 tional wind shear in the vertical (see Grant et al., 2015, for details). This directional 202 shear is not observed at tower T1 and may be responsible for the poorer data collapse 203 at tower T2. Interestingly there does seem to be a dependence on the mean wind di-204 rection, which is most noticeable at the top of T2. For particular wind directions (e.g. 205 easterly winds) the data does seem to collapse, but the slope is a function of the wind 206 direction. For other wind directions (e.g northerly / north-westerly winds) there is 20 no clear collapse. This may suggest that the mixing length is dependent on the flow 208 direction. This would makes sense since it is the upwind forest canopy density which 209 will control the observed mixing length. At the second height down on T2 there is 210 a much stronger linear relationship, but the sign of the flux exhibits a strong depen-211 dence on the wind direction. The negative values of $\overline{u'w'}$ are at first glance surprising 212 given the wind speed typically increases with height at this location. Due to the strong 213 direction wind shear however du/dz is actually negative. It is not clear why the data 214 collapse is better at the second height down than at the top of T1, although it might be 215 related to the proximity of the top of the tower to canopy top, or to difficulties in accu-216 rately calculating the shear in this region. The strong directional wind shear at the the 217 second height down might also result in a stronger correlation between the local shear 218 and the local turbulent momentum fluxes. A further complication is the presence of a 219 SW-NE aligned fire break across the ridge just to the south of T2 which may impact 220 on the flow for certain wind directions. It is not clear though that the data collapse 221 is worse for cases where the wind is blowing from this direction. At the lowest two 222 measurement heights, in the region of separated flow and where the speeds are low-223

est, there is little evidence of any linear relationship between $\overline{u'w'}$ and $k^{1/2}d\overline{u}/dz$. At 224 the lowest height, the apparent trend is negative, which is contrary to the underlying 225 assumptions in the closure model and suggests either a non-local source for the tur-226 bulent eddies responsible for the momentum transport or errors in the calculation of 227 the wind shear at this location. The scatter however is large and so the relationship is 228 not clear. The plots of $\overline{v'w'}$ against dv/dz show that the cross-stream momentum flux 229 is not insignificant at this site (again, consistent with the importance of directional 230 shear). The first order closure still seems to hold reasonably well, particularly at the 231 second height from the top of the mast. The slopes of the solid and dashed lines are 232 very similar showing that the mixing lengths inferred from $\overline{v'w'}$ are very similar to 233 those derived from $\overline{u'w'}$, which is again encouraging. At the lowest height, as for $\overline{u'w'}$, 234 the data collapses surprisingly well but gives a negative slope. The other noticeable 235 feature at tower T2 is that the sign of the shear term $k^{1/2}dv/dz$ is strongly dependent 236 on wind direction suggesting two different flow regimes for broadly north-easterly 237 and broadly south-westerly flow, which is again consistent with the profiles given in 238 Grant et al. (2015) and with the plots of $k^{1/2} du/dz$. 239

T3 is taller that T1 and T2, and so the top measurements are above the canopy. Despite this the data collapse is less clear. For much of the time and for certain wind directions the data does lie on a straight line, however again during periods of flow separation there is often a positive value of $\overline{u'w'}$, indicative of the effects of directional shear. The diagnosed mixing lengths are relatively constant with height, similar to those at tower T1. The plots of $\overline{v'w'}$ show a similar collapse of the data to those of $\overline{u'w'}$ and very similar mixing lengths. Values of $\overline{v'w'}$ lie somewhere between those at towers T1 and T2, suggesting that direction shear may be important here, but probably less than at tower T2. The data at the lower heights collapses well, but as for $\overline{u'w'}$ there is a directional dependence on the mixing length at the top of the tower.

Calculating an average mixing length from the slope of the best-fit line using only 250 data with $\overline{u'w'} < 0$ gives fairly consistent results, with mixing lengths in the range of 251 2.3 - 3m at most heights on towers T1 and T3, and lower values close to 1.5m at 252 the lowest instrument heights. These mixing length values are surprising consistent 253 with values derived from the plots of $\overline{v'w'}$, particularly at towers T2 and T3 where 254 the directional shear and cross-stream momentum flux are most important. The data 255 from the top of tower T3 remains somewhat different and is separated into two flow 256 regimes. The bulk of the data, for broadly easterly winds with no flow separation, lies 257 on the steeper line with a slope giving $l_m \approx 4.8$ m. This tower is taller than towers T1 258 and T2 and the instrument is well above the height of the canopy, so one would expect 259 to see an increase in the mixing length at this location under these conditions. The 260 remaining data is predominantly for westerly cases with flow separation and stronger 261 directional shear and is characterised by larger values of the shear term $k^{1/2} du/dz$ but 262 weaker momentum fluxes. Mixing length closure schemes are known to have issues 263 in separated flows (e.g. Ross et al., 2004) and so it is perhaps not surprising that a 264 different behaviour is observed in this separated flow regime. 265

From all these profiles one can conclude that in many cases (particularly where there is little directional shear) a mixing length closure assumption is reasonable, and that the diagnosed mixing lengths from the observations are consistent with the common assumptions of a constant mixing length in the canopy. Only at T3 do mea-

surements extend much above the canopy, and these seem to suggest a mixing length 270 which increases with height (at least for non-separated flow), although there are not 271 enough measurements to conclude whether this relationship is linear with height as 272 expected from theory. This has important implications for the numerical modelling 273 of canopy flows in complex terrain. There remain a number of cases (particularly at 274 T2 near the summit) where there is flow separation and strong directional shear, and 275 in these cases the mixing length closure assumptions do not appear to hold as well. 276 Some cases with directional shear (e.g. the 2nd height from the top on tower T2) do 277 actually support the assumption of a constant mixing length, and so it may be that it is 278 not the directional shear per se which is important, but the fact that the mixing length 279 is strongly dependent on the wind direction due to very different upstream conditions 280 in different directions. For many of the cases where the simple mixing length closure 281 assumptions do not hold the corresponding momentum fluxes are small anyway, and 282 so the overall impact on the mean flow may not be significant. There is also more 283 uncertainty associated with the observations in the cases with significant directional 284 shear. Weak mean flow and larger directional shear make it harder to calculate the 285 gradient terms du/dz in the mean flow in a robust manner. Weak mean winds also 286 lead to more variability in the calculated streamwise coordinate rotations, which may 287 impact on the calculated momentum fluxes. Both of these are likely to increase the 288 scatter in the results as for example is observed in the plots of $\overline{u'w'}$ from the lower 289 two instruments on T2 (Figs. 2(h) and (k)) located deep within the canopy. Over-290 all these results support the use of the one-and-a-half order mixing length closure 291 scheme implemented in the BLASIUS model. The precise impact the regions of di-292

rectional shear, and the associated errors in the mixing length turbulence closure,
have on model predictions of mean flow fields will be investigated in the following
section by comparing results from the full model with the observations.

296 4 Comparison of model and observations

As in Grant et al. (2015), only observational data from near-neutral or transition-to-297 stable conditions is used in order to allow comparison with the neutral flow model 298 simulations. Two flow regimes of north-easterly and south-westerly are presented 299 here. These are the same cases used in Grant et al. (2015), where a detailed observational analysis of these cases is given. There are some issues with interpreting cup 301 anemometer measurements, particularly in a canopy flow. Firstly, the cup anemome-302 ters have a stall speed (notionally $0.7 \,\mathrm{m\,s^{-1}}$ in this case) below which they will not 303 turn, and so under low wind conditions (typical in the canopy) they will tend to give 304 an underestimate of the wind speed compared to sonic anemometer measurements. 305 Secondly, at higher wind speeds, the cup will respond both to the mean wind, but 306 also to larger turbulent gusts, and will therefore tend to overestimate the wind speed 307 so the measured wind speed is effectively $\sqrt{U^2 + 2k}$ 308

Figure 4 shows wind roses from the 12 AWS and 3 tower sites for both observational and model data. The observations are for cases where the wind is broadly northeasterly with the wind direction at AWS ARP (a ridge top site outside the canopy) being between 50° and 90°. This equates to about 15 hours of data. The model results are for a geostrophic wind direction of 90°, which gives a 2m wind direction at AWS ARP of about 80°. Figure 4(a) show wind roses of 15-minute averaged winds from

the 15 hours of observational data, while Fig. 4(b) shows the equivalent wind rose plot 315 from the model, with just a single wind value at each location. Note the model is for 316 a representative geostrophic wind speed of 10 ms^{-1} . This gives winds at the AWSs 317 which are similar in magnitude to the observations, but the values cannot be directly 318 compared. It is worth noting that the red bins are for mean winds which are close to or 319 below the stall speed of the cup anemometers on the AWS and so the precise values 320 should be treated with some caution. It is likely that these are under-representing the 32 true wind speed due to stalling. 322

It is however interesting to look at the wind directions and the variations in wind 323 speed across the hill for both the observations and model. In the easterly case there 324 is evidence of flow separation in the observations from a number of the AWS sites 325 (Fig. 4a), with sites within the canopy on the ridge and over the lee slope showing 326 strong deviations from the geostrophic wind. The flow is generally not reversed, but 327 there can be significant variability in wind direction. Outside the canopy there is less 328 variability in wind direction with winds predominantly remaining north-easterly. As 329 might be expected, wind speeds outside the canopy are also higher than those in the 330 canopy. The tower profiles (Fig. 4c) show little sign of separation, with tower T1 (on 331 the lee slope) still showing broadly north-easterly winds, except at the lowest level in 332 the canopy where there is some indication of more south-easterly winds. This appears 333 to be a marginal case of flow separation and highlights how three-dimensional flow 334 separation can be over real terrain, in contrast to previous idealised two-dimensional 335 studies. In this case the model predictions broadly agree with the observations. Out-336 side the canopy the predicted flow is easterly / north-easterly and stronger than inside 337

the canopy (Fig. 4b). Over the upwind slope the flow remains north-easterly, while 338 near the ridge the wind is more along the ridge. The two AWS sites near the forest 339 edge on the lee slope (ARA and ARC) show light winds and complete flow reversal. 340 This is rather more dramatic than the observations, and may reflect the fact that unre-341 solved small scale local features are important in determining wind direction in very 342 light winds and under an adverse pressure gradient. The observations are particularly 343 variable at these sites. The model tower profiles (Fig. 4d) also look very similar to 344 the observations, and even show the same tendency for the flow to become south-345 easterly at the lowest level on tower T1. The model also shows a similar (though less 346 pronounced) tendency for the wind to turn clockwise at lower levels on tower T2, 347 which is not seen in the observations. This tower is close to the summit of the ridge 348 and so the precise wind direction is likely to be quite sensitive to the exact location of 349 the grid point. In both observations and model, the results at tower T3 on the upwind 350 slope show a north-easterly wind at all levels. Broadly there is agreement between 351 the model and observations in terms of the wind speeds. The highest winds are seen 352 above the canopy, particularly at the top of tower T2 near the ridge summit. The low-353 est winds in the observations are at the lower levels on towers T1 and T2. The model 354 is slightly different, with low wind speeds low down on tower T1, but slightly higher 355 winds at the bottom of tower T2. Again the differences here perhaps represent the 356 sensitivity of the exact grid location at the ridge top. 357

Figure 5 is similar to Fig. 4, except that results are for broadly south-westerly winds (observed wind directions in the range 240° to 260° - about 50 hours of data). The model results are for a westerly geostrophic wind (corresponding to a wind di-



Fig. 4 Wind roses for the 12 AWS sites (a,b) marked with letters ARA to ARQ and 3 tower sites (T1, T2 and T3) (c,d). Results are from observations with north-easterly winds (a,c) and from the model simulation with a 10 m s^{-1} easterly geostrophic wind (b,d). The grey shading is height, with contours plotted every 10m. On the maps the locations of the three towers are marked with black circles.

rection of about 260° at 2m at AWS ARP). The ridge is asymmetric with the eastern 361 slope steeper than the western slope and so for westerly winds the lee slope is steeper 362 and flow separation occurs more easily than for easterly winds. The AWS observa-363 tions (Fig. 5a) show very weak winds and reversed flow at all the AWS sites near the 364 ridge and over the lee slope (ARF, ARG, ARH, ARN). Even the AWS on the coast 365 (ARJ) outside the forest shows reversed flow. The observations show large deviations 366 in the flow near the upwind canopy edge as well (ARA, ARB, ARC), possible due to 367 canopy edge effects or local features of the terrain or canopy. The tower observations 368

(Fig. 5c) corroborate this picture. Tower T3 over the lee slope shows reversed flow 369 up to the top (about 23 m), which is well above canopy top. Tower T2 appears to be 370 close to the separation point and the lowest two instrument heights show reversed 371 flow, the flow is roughly southerly at the next height, and the flow is still westerly 372 at the top of the tower. Again the model shows very similar behaviour to the ob-373 servations (Fig. 5c), with the AWS sites over the lee slope demonstrating reversed 374 flow. The directions are similar to those seen in the observations. The most notice-375 able difference is that the model shows more consistently westerly winds over the 376 upwind slope compared to the observations (ARA, ARB, ARC). Since these sites 377 also showed more variability in the observations in the easterly wind cases it seems 378 likely that the deviations are due to unresolved local features of the terrain or forest 379 canopy. The model profiles from the tower sites (Fig. 5d) show a remarkable simi-380 larity to the observations, capturing the flow reversal at tower T3 and the turning of 381 the wind with height at tower T2. The magnitudes of the model winds also appear 382 to vary between locations in a similar way to the observations. As a sensitivity test 383 to the choice of geostrophic wind speed in the model an additional simulation for 384 the south-westerly case was done with a higher geostrophic wind speed of $20 \,\mathrm{ms}^{-1}$. 385 Results for the sensitivity test (not shown) were very similar to Fig. 5. Visually, there 386 were only very minor differences in the normalised profiles, most noticeably at T2. 387 This supports the comparison of the model with normalised observations over a range 388 of background wind speeds. It also highlights the sensitivity of T2, which is perhaps 389 not surprising given its proximity to the separation point. 390



Fig. 5 Wind roses for the 12 AWS sites (a,b) marked with letters ARA to ARQ and 3 tower sites (T1, T2 and T3) (c,d). Results are from observations with south-westerly winds (a,c) and from the model simulation with a 10 m s^{-1} westerly geostrophic wind (b,d). The grey shading is height, with contours plotted every 10m. On the maps the locations of the three towers are marked with black circles.

Figures 6 and 7 provide a more detailed comparison of the mean wind profiles 391 from the three towers, and also the profiles of momentum fluxes and turbulent ki-392 netic energy. To allow for a more quantitative comparison between observations and 393 model the profiles are all normalised using a reference velocity U_{ref} which, for both 394 the observations and the model, is taken as the wind speed at the height of the high-395 est instrument on the upwind tower (tower T1 for south-westerlies and tower T3 for 396 north-easterlies). This normalisation is to account for differences in the background 397 windspeed between the model and the different observations. Table 1 gives the value 398



Fig. 6 Profiles for the north-easterly case of (a-c) wind speed, (d-f) streamwise momentum flux $\overline{u'w'}$, (g-i) across-stream momentum flux $\overline{v'w'}$ and (j-l) turbulent kinetic energy, all normalised with a reference velocity U_{ref} taken at the height of the top instrument on the upstream tower T3. Symbols show the mean value from the observations and the error bar shows the interquartile range. The coloured circles represent measurements from the sonic anemometers, with the colour denoting the wind direction. The crosses are measurements from the cup anemometers on the towers. The solid lines show interpolated model profiles at the site of the tower (thick line) and at points 25m to the north, south, east and west of the tower (thin lines), again coloured according to wind direction. The horizontal dotted line marks the approximate canopy top at each tower.



Fig. 7 As for Fig 6, but for the south-westerly case with U_{ref} taken as the top of tower T1.

Wind	Reference	Median wind	Interquartile	Model wind
direction	tower	$(m s^{-1})$	range (m s ^{-1})	$(m s^{-1})$
NE	T3	4.3	2.8 - 5.9	5.4
SW	T1	10.0	7.9 – 12.5	3.7

Table 1 Values of the reference wind speed U_{ref} from the observations (median and interquartile range)and from the model for the north-easterly and south-westerly cases.

for U_{ref} from the model and the median and interquartile range from the observations 399 for each wind direction. Several interpolated model profiles are shown, one from the 400 location of each tower and 4 more from 25 m north, south, east and west of the tower 401 (25 m is half the grid resolution of the model) to give an idea of the spatial variability 402 in the model, and hence the possible uncertainty in the model-observation compari-403 son. It is worth noting that the mean wind speeds measured by the cup anemometers 404 are lower than those measured by the sonic anemometers as a result of stalling at low 405 wind speeds in the canopy. This problem is particularly noticeable in Fig 6(a)-(c) due 406 to the lower wind speeds in the north-easterly flow conditions. 407

For north-easterly cases (Fig 6) the model mean wind profiles at towers T1 and 408 T3 are in reasonable agreement with the observations, however the modelled pro-409 files at tower T2 appear to significantly overpredict the wind speed, although they do 410 capture a profile with fairly constant wind speeds in the canopy and increasing wind 411 speeds above. There is also a large spread between the different model profiles sug-412 gesting a region of complex canopy cover with large differences in wind speed over 413 short spatial distances. Bearing this is mind, along with the relatively simple treat-414 ment of the canopy properties (uniform canopy height and density everywhere within 415

the canopy) it is perhaps not surprising that the model and observations show some 416 discrepancy. Tower T2 is characterised by quite different canopy cover to the east 417 (relatively sparse larch) and to the west (dense spruce), and there is a fire break to the 418 south, so the uniform canopy parameters are not necessarily a good approximation 419 at this location. Interestingly the profiles of streamwise momentum flux, $\overline{u'w'}$, are in 420 good agreement at all three towers. Note also that there is very little variability in the 421 normalised observations of streamwise momentum flux, suggesting that the single 422 reference velocity at the top of tower T3 provides a good scaling for the momentum 423 flux. The relative accuracy of the streamwise momentum flux predictions at T2 is 424 likely to be due to the fact the model captures the right wind shear profile through-425 out most of the canopy, it's just that the wind speeds are consistently too large. In 426 contrast the profiles of $\overline{v'w'}$ show generally less good agreement between model and 427 observations. The model profiles do demonstrate a significant degree of variability 428 suggesting that $\overline{v'w'}$ is sensitive to the details of the local canopy and flow structure. 429 Comparisons of turbulent kinetic energy profiles between the model and observations 430 are also reasonable at T1 and T3, although at T2 the model appears to consistently 431 overpredict the turbulent kinetic energy within the canopy, which may be related to 432 the overprediction of the wind speeds in this case. 433

For south-westerly cases (Fig 7) the model mean wind profiles at T2 and T3 show reasonable agreement with the observations, although the model seems to predict more wind shear at T2 than is seen in the observations. At T1, the comparison is a little less good, with the model underpredicting wind speeds below canopy top and too strong a shear near canopy top. T1 is sat on a small outcrop, and in south-westerly

winds the flow is likely to accelerate over this outcrop, rather than passing through the 439 upwind canopy, but this feature is not well resolved by the model with the given 50m 440 horizontal resolution. Streamwise momentum fluxes are also in reasonable agreement 441 at most locations, except at the top of towers T1 and T2 where the model predicts a 442 much more rapid increase in the momentum flux than was observed. There is slightly 443 more wind shear in the model wind profiles, but not enough to account for the large 444 increase in momentum flux. The model profiles are very consistent and so this does 445 not appear to be due to spatial heterogeneity. It may be due to slight differences in 446 the canopy height between the model and observations, since the model assumes a 447 constant height of 15 m, or due to vertical variations in the canopy structure which are 448 not represented in the model simulation. Once again across-stream momentum fluxes 449 $\overline{v'w'}$ are very variable and show little agreement between model and observations 450 except at T3. This highlights the very three-dimensional nature of the flow at T1 451 and T2. For the south westerly cases turbulent kinetic energy profiles seem to be 452 overpredicted by the model at most heights, even where the momentum fluxes are in 453 reasonable agreement. This is most pronounced at T1 and T2. The overprediction of 454 shear near the canopy top may lead to extra generation of turbulent kinetic energy in 455 the model, which is then mixed down into the canopy. A further possibility is that 456 the simple representation of dissipation used in the model is not correct in complex 457 heterogeneous canopies. Further work is needed to understand these discrepancies. 458

⁴⁵⁹ Overall the model reproduces surprisingly well the observed patterns of wind ⁴⁶⁰ speed and direction over the hill. Those sites where the agreement is less good appear ⁴⁶¹ to be primarily located close to the forest edge or near the ridge top at tower T2. The model also appears to often capture the observed streamwise momentum fluxes, although the across-stream momentum fluxes and turbulent kinetic energy profiles are not always captured as accurately. The agreement gives confidence in using the model results to study more closely the patterns of mean flow and flow separation over the ridge.

⁴⁶⁷ **5** Flow separation and sensitivity to surface parametrization

The results of Ross and Vosper (2005) suggested that flow separation is an intrinsic feature of uniform canopy flows over idealised hills, and that this is fundamentally different to flow separation over a hill with a rough surface. Here the sensitivity of the model results to the surface parametrization over a more complex and realistic hill is investigated, with particular focus on flow separation.

To test the importance of explicitly resolving the canopy in these simulations the 473 westerly wind case was re-run with the forest canopy being represented by a rough-474 ness length parametrization rather than with the explicit canopy model. The rough-475 ness length was chosen to match the equivalent roughness of the canopy, $z_0 = 0.35$ 476 (see e.g. Ross and Vosper, 2005). All other aspects of the simulation were unchanged. 477 Figure 8 shows the wind roses from this simulation. In comparison with Fig. 5 there 478 is clearly less strong flow separation with the roughness length parametrization of the 479 surface. The sites that would be in the canopy over the lee slope show a flow which 480 is slowed and deflected along the slope to the south rather than being completely re-481 versed as occurs with the canopy model. Outside the canopy there is little difference 482 between the results, suggesting that the impact of the canopy is relatively localised. In 483



Fig. 8 Wind roses from the model simulation with westerly winds and a roughness length parametrization of the canopy. Results are shown at the 12 AWS sites (a) and the 3 towers (b).

the vertical (not shown) the region in which the flow is reversed or strongly deflected 484 appears to extend up to about 55m above ground level (40m above the canopy top) 485 with the explicit canopy model. In contrast, with the roughness length parametriza-48F tion the depth and horizontal extent of the region of strongly deflected flow is much 487 reduced, reaching a maximum height of only about 12m above ground level. This 488 suggests that even above the canopy, perhaps up to a couple of times the canopy 489 height, the flow may be fundamentally different under conditions of flow separation 490 depending on the way the effect of the canopy is modelled. 491

In three dimensions it is hard to identify flow separation in the velocity field. Unlike in two dimensions it is not simply a matter of looking for reversed flow since the flow may be deflected rather than reversed. This makes interpreting the flow pattern based on point observations tricky. Using the model allows a better understanding of the flow across the whole ridge, but it is still difficult to identify flow separation from near surface winds. As Hunt et al. (1978) showed, flow separation is associated with a singularity in the surface stress field and this can provide an alternative method for

identifying points or lines where the flow separates from or reattaches to the surface 499 in three-dimensional flows. The surface stress is given by $\partial \mathbf{u}_s / \partial n$ where \mathbf{u}_s is the ve-500 locity tangential to the surface and n is the normal to the surface and so the surface 50 stress gives an indication of the flow direction at the surface, but has the advantage 502 of being non-zero, except where flow separates or reattaches. Wood (1995) suggested 503 using plots of surface stress "streamlines" or streaks to identify these singularities. 504 The streaks are plotted by calculating a series of two-dimensional surface trajectories 505 (x,y), where the two horizontal components of the surface stress take the role of the 506 velocity field so 507

$$\frac{dx}{dt} = \tau_x \quad \frac{dy}{dt} = \tau_y. \tag{2}$$

The streaks are initialised from a series of points across the model domain and then calculated by integrating the trajectories forward and backwards for a specified length 509 time. This works well for the examples used by Wood (1995), however the large 510 difference between surface stress values inside and outside the canopy means that 511 streaks in the canopy are very short. To circumvent this problem, we use a longer 512 integration time, but limit the length of the streaks plotted so that streaks outside 513 the canopy are not too long. The surface stresses are interpolated from the model 514 grid using bilinear interpolation and the integration is carried out using the ode45 515 function in Matlab. Using this approach and integrating forward numerically from 516 t = 0 to t = 20000, and limiting the length of the streaks to 500 m gives much more 517 even lengths of streaks inside and outside the canopy, and makes visualisation of flow 518 separation much easier in partially forested flows. Locations where the surface stress 519 streaks all converge at a line or point are associated with flow separating from the 520

⁵²¹ surface, while locations where the surface stress streaks all diverge from a line or
 ⁵²² point are associated with flow reattaching to the surface.

Figure 9 shows plots of the surface stress streaks calculated from the model. For-523 ward trajectories (blue) show flow separation and backward trajectories (red) show 524 reattachment. Wind direction vectors are also plotted at the points where trajectories 525 are initiated. For the easterly wind simulation (Fig. 9a) the surface stress plot clearly 526 illustrates the flow separation occurring over the lee slope on the forested part of the 527 ridge. There is one clear separation line just upwind of the ridge summit stretching 528 right along the forested part of the ridge there is also some indication of a second 529 separation line downwind of the ridge on the southern shoulder of the ridge. Reat-530 tachment appears to occur at a singular point on the lee slope close to x = 1300 m531 and y = 1100 m. This highlights the rather complicated three-dimensional structure 532 of the flow separation over a real ridge with heterogeneous canopy cover in compar-533 ison with previous idealised two-dimensional modelling and laboratory studies. To 534 the north where there is no forest cover then the stress streaks pass right over the 535 ridge showing that flow separation does not occur, even though the ridge is slightly 536 higher at this point. Around the southern edge of the ridge, outside the canopy the 537 stress streaks run more or less parallel to the lower edge of the canopy and the con-538 tours. This demonstrates the importance of flow around the southern end of the ridge 539 in easterly flow. 540

In contrast, for the westerly case (Fig. 9b) where the steep eastern slope of the ridge is on the downwind side there is clear evidence of flow separation all along the summit of the ridge, with reattachment occurring somewhere off the coast. Even

without the forest canopy this slope is steep enough to generate flow separation. In 544 this case there is a single separation line running right down the ridge. Outside the 545 canopy the separation line is downwind of the ridge summit, while within the canopy 546 separation occurs nearer the ridge summit. The flow off the coast remains almost 547 parallel to the ridge and to the coastline, suggesting that the region of separated flow 548 extends well beyond the foot of the ridge and is therefore much larger than in the 549 easterly wind case. The streaks in this case also suggest a rather less important role 550 for flow around the southern end of the ridge in westerly flow. These figures support 551 the interpretation of the flow separation based on the observed and model wind fields 552 made above and highlight the differences between cases with steep lee slopes where 553 flow separation would occur anyway (westerly flow) and less steep lee slopes, where 554 flow separation requires the presence of the canopy (easterly flow). 555

The conclusions on the sensitivity of the results to the explicit canopy parametriza-556 tion are supported by the surface stress plot for the roughness length simulations. For 557 the easterly wind case (Fig. 9c) no flow separation was observed at all in the sur-558 face stress streaks with a roughness length parametrization, in clear contrast to the 559 simulation with an explicit canopy. For the westerly case (Fig. 9d) a clear separa-560 tion line downwind of the summit of the ridge is apparent with the roughness length 561 parametrization. Outside the canopy the streaks look very similar in the two sim-562 ulations. Inside the canopy, parametrizing the canopy by a roughness length shifts 563 the flow separation further down the lee slope, and significantly reduces the variabil-564 ity caused by the heterogeneous canopy cover and channelling through gaps in the 565 canopy. Explicitly modelling the canopy appears to be essential to capture the flow 566



Fig. 9 Surface stress streaks from the model (forward trajectories - blue lines, backward trajectories - red lines) plotted over the height contours (at 10m intervals). Also plotted are wind direction arrows. Results are shown for easterly (a, c) and westerly (b, d) winds. Subfigures a), b) are with the explicit canopy model and c), d) are with a roughness length parametrization of the canopy. The orange dots show the sites of the AWS.

separation in the easterly case with a shallow lee slope, and even in the westerly case
with a steeper lee slope the explicit canopy model significantly changes the location
and magnitude of the separated region.

570 6 Discussion and conclusions

Flow over realistic complex terrain with variable forest cover, such as the Leac Gharbh 571 ridge, is complicated and the local wind direction depends strongly on the local ter-572 rain and forest cover. Burns et al. (2011), one of the few other observational studies in 573 complex terrain, draws similar conclusions. For flow which is close to neutral, high 574 resolution numerical simulations with an explicit canopy model reproduce many of 575 the features of the observed flow, however high quality input data sets for the terrain 576 and the forest canopy are essential. High resolution terrain data sets are generally 577 available, however details of forest canopy parameters are generally harder to obtain 578 and require dedicated surveys. Available mapping products may provide details of 579 the forest coverage, but they rarely contain details on the nature of the forest, the 580 canopy height, or the canopy density. These details are essential for successful mod-581 elling of the flow in or near the canopy. Other recent studies (Burns et al., 2011; 582 Desmond et al., 2014; Schlegel et al., 2015) have also highlighted the need for de-583 tailed canopy structure to accurately model heterogeneous canopy flows. Indeed in 584 their study Desmond et al. (2014) saw more sensitivity to realistic canopy structure 585 (particularly vertical structure) than they did to the turbulence closure model used. 586 Recent progress using lidar offers exciting possibilities for detailed three-dimensional 587 mapping of canopy structure (Boudreault et al., 2015), but unfortunately such a sur-588 vey was not available at this site. 589

Near the edge of the forest canopy there appears to be greater discrepancy between the model and observations. This is partly due to the limitations of the forestry data, but more fundamentally may be linked to the horizontal resolution of the simu-

lations. At the edge of a uniform canopy the flow adjusts to the canopy over a distance 593 of order $6L_c$ where $L_c = 1/(C_d a)$ is the canopy adjustment length scale (Belcher et al., 594 2012; Ross and Baker, 2013). For the canopy parameters here this gives $L_c = 4 \text{ m}$ and 595 so the flow adjusts over a distance of about 24 m, roughly half of the horizontal grid 596 spacing. Therefore the details of flow near the canopy edge will not be captured ac-597 curately. Unfortunately this is often likely to be the case in real simulations where 598 the desired horizontal resolution has to be balanced with the overall computational 599 requirements of the simulation. The idealised simulations of Ross and Baker (2013) 600 suggest that at a distance greater than about $6L_c$ from the canopy edge the flow in the 601 canopy is dominated by the effect of the hill and not the canopy edge. This also seems 602 to be the case in these more realistic simulations with complex terrain and forest cover 603 since the agreement between observations and model at sites away from forest edges 604 is much better. A further complication is that adjustment can take much longer for 605 non-uniform canopies with a sparse sub-canopy trunk space (Dupont et al., 2011) 606 due to sub-canopy jets penetrating deep into the forest, although the dense canopy 607 cover over most of this field site makes this relatively unlikely. 608

The other significant discrepancy appears to be at tower T2, particularly in easterly wind cases. It may be that this site, near the ridge top and close to the line of separation is particularly sensitive to small changes in the measurement position. This location is also where the assumptions of a mixing length turbulence closure seemed to be weakest, with a less clear relationship between the momentum flux and the observed shear stress and with a strong directional shear. It is possible that these factors are linked and that part of the reason for the slightly larger disagreement ⁶¹⁶ between model and observations near the ridge top is the limitations of the model
⁶¹⁷ turbulence closure scheme at this point. More work, possibly using computationally
⁶¹⁸ expensive higher resolution simulations with the current turbulence closure scheme
⁶¹⁹ or large-eddy simulations, is likely to be required to identify the real cause of this
⁶²⁰ discrepancy.

Although there is some debate in the literature about the suitability of mixing-621 length closure schemes for canopy flows, these results suggest that such a model does 622 reproduce the main features of the mean flow seen in these observations over complex 623 terrain, at least in near-neutral flow. In part this may be due to the fact that advection 624 is important in the canopy and that this is driven by pressure gradients which are to 625 leading order a result of inviscid flow (see for example the analytical model of Finni-626 gan and Belcher, 2004, for flow over a forested hill). Using the model results allows 627 a far more detailed understanding of the flow separation over such a complicated site 628 than is possible with the observations alone. The role of the canopy in promoting 629 flow separation over gentler slopes, and of shifting the location of flow separation 630 nearer the ridge over steeper slopes, seems clear and is in accord with theoretical 631 ideas developed by Finnigan and Belcher (2004) and Ross and Vosper (2005) over 632 idealised two-dimensional ridges. In contrast, simulations with a roughness length 633 parametrization fail to correctly predict the flow separation and pressure field over 634 the hill. While simulations such as this require a high vertical and horizontal resolu-635 tion in order to correctly represent the canopy, the simplicity of the one-and-a-half 636 order closure scheme does mean that this approach is at least feasible for realistic 637 high resolution simulations of flow within and above forest canopies. In contrast, 638

more complicated approaches such as large-eddy simulations are at present usually computationally unfeasible for modelling realistic flows with complex topography and forest cover. Of course, for real applications stability effects are also important, particularly in night time drainage flow conditions, and further work needs to be done to see how well mixing-length schemes such as that used here can perform in these cases.

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